

CTX-M-15-Producing Shewanella sp Clinical Isolate Expressing OXA-535, a Chromosome-Encoded OXA-48 Variant, Putative Progenitor of the Plasmid-Encoded OXA-436

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2	CTX-M-15-producing Shewanella sp. clinical isolate expressing OXA-535, a
3	chromosome-encoded OXA-48 variant, putative progenitor of the plasmid-encoded
4	OXA-436
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ABSTRACT

Shewanella spp. constitute a reservoir of antibiotic resistance determinants. In a bile sample, 37 we have identified three Extended Spectrum β -lactamase (ESBL)-producing bacteria 38 39 (Escherichia coli, Klebsiella pneumoniae and Shewanella sp. JAB-1) isolated from a 10-year-40 old child suffering from cholangitis. Our objectives were to characterize the genome and the 41 resistome of the first ESBL-producing isolate of the genus Shewanella and determine whether 42 plasmidic exchange occurred between the three-bacterial species. Bacterial isolates were 43 characterized using MALDI-TOF, standard biochemical tools and antimicrobial susceptibility 44 testing. Shewanella sp JAB-1 and ESBL gene-carrying plasmids were characterized using 45 PacBio and Illumina whole genome sequencing, respectively. The Shewanella sp JAB-1 46 chromosome-encoded OXA-48-variant was cloned and functionally characterized.

47 Whole genome sequencing (WGS) of the Shewanella sp. clinical isolate JAB-1 revealed the presence of a 193-kb plasmid belonging to IncA/C incompatibility group and harboring two 48 49 ESBL genes: *bla*_{CTX-M-15} and *bla*_{SHV-2a}. *bla*_{CTX-M-15} gene carrying plasmids belonging to IncY 50 and IncR incompatibility groups were also found in the E. coli and K. pneumoniae isolates 51 from the same patient, respectively. Comparison of the *bla*_{CTX-M-15} genetic environment 52 indicated the independent origin of these plasmids and dismissed in vivo transfers. 53 Furthermore, characterization of the resistome of Shewanella sp. JAB-1 revealed the presence 54 of a chromosome-encoded blaoXA-535 gene, likely the progenitor of the plasmid-encoded blaoxA-436 gene, a novel blaoxA-48-like gene. Expression of blaoxA-535 in E. coli showed the 55 carbapenem-hydrolyzing activity of OXA-535. The production of OXA-535 in Shewanella 56 57 sp. JAB-1 could be evidenced using molecular and immuno-enzymatic tests, but not with 58 biochemical tests that monitor carbapenem-hydrolysis. In this study, we have identified a 59 CTX-M-15-producing Shewanella species that was responsible of an hepatobiliary infection

- 60 and that is likely the progenitor of OXA-436, a novel plasmid-encoded OXA-48-like class D
- 61 carbapenemases.

INTRODUCTION

63 Shewanella spp. are non-fermentative Gram-negative bacilli that are widely distributed throughout the world. They are found mainly in sea water in areas with warm 64 65 climates (1, 2). Although Shewanella spp. are an unusual cause of infections in humans, the 66 number of cases reported is increasing (1, 3-5). In most cases, this organism is cultured from 67 samples of immunocompromised patients suffering from soft tissue infections after sea water exposure (3, 6). More recently, several cases of *Shewanella* infections have been reported in 68 69 patients suffering from hepatobiliary diseases (3-5, 7). Human infections are most often 70 caused by Shewanella algae and Shewanella putrefaciens (3). However, it is often difficult to 71 identify the bacteria at the species level using conventional culture-based and biochemical 72 tests. 16S rRNA gene sequencing improved identification of Shewanella species as 73 pathogenic organisms (3, 5).

74 Most Shewanella spp. are susceptible to piperacillin/tazobactam, ceftazidime, 75 cefepime, imipenem, meropenem, gentamicin, tobramycin, amikacin and ciprofloxacin, but 76 susceptibility to penicillins is more variable (3, 7). Several naturally-occurring β -lactamases 77 have been identified in Shewanella spp., especially class D β-lactamases (8-11) also known 78 as oxacillinases. For instance, Shewanella oneidensis strain MR-1 was found to naturally-79 harbor the bla_{OXA-54} gene, which is related to bla_{OXA-48} gene, a plasmid-mediated carbapenem-80 hydrolyzing class D β-lactamase (CHDL) involved in carbapenem resistance in 81 Enterobacteriaceae (10). Moreover, OXA-55 was identified in S. algae and possesses 82 biochemical properties similar to those of OXA-54, in particular the ability to hydrolyze carbapenems (11). Shewanella xiamenensis has been identified as the source of bla_{OXA-181} 83 84 gene encoding another OXA-48-like variant with carbapenemase activity that is highly 85 prevalent on the Indian subcontinent and increasingly reported in France (9, 12). Recently, 86 next-generation sequencing enabled to identify several *bla*_{OXA-48-like} genes, such as *bla*_{OXA-199},

87 $bla_{OXA-252}$, $bla_{OXA-514}$ and $bla_{OXA-515}$ in different *Shewanella* spp. isolates, in food-producing 88 animals and water samples (2). Furthermore, analyzis of publicly available *Shewanella* spp. 89 genomes revealed the presence of several uncharacterized bla_{OXA-48} like genes, confirming the 90 importance of *Shewanella* spp. as OXA-48-like class D β -lactamase reservoir (13, 14).

91 Extended-spectrum β-lactamases (ESBLs) are being increasingly reported worldwide 92 in Enterobacteriaceae (15) but have never been described in Shewanella spp yet. ESBLs 93 belong to the Ambler class A β -lactamases (16). ESBLs are usually described as acquired β -94 lactamases that are encoded mostly by plasmid-located genes. There are three major types of 95 ESBLs: TEM, SHV and CTX-M (16). CTX-Ms are now the most prevalent ESBLs 96 worldwide (17). CTX-M-producing Enterobacteriaceae are not only responsible for 97 nosocomial infections and hospital outbreaks, but they are now also considered to be true 98 community pathogens (15).

In this study, we have characterized three CTX-M15-producing bacteria, including a *Shewanella* sp. isolate, isolated from a 10-year-old child suffering from cholangitis. Using antimicrobial susceptibility testing and whole genome sequencing, we have characterized this *Shewanella* strain, investigated its resistome and characterized the plasmids carrying the CTX-M15-determinant. Furthermore, we have cloned and expressed the naturally-encoded OXA-48-like gene (*bla*_{OXA-535}) and demonstrated its carbapenemase activity.

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RESULTS

107 **Case report.** A 10-year-old child was directly transferred from a hospital in Niger to 108 the Bicêtre hospital pediatric department, Ile-de-France, France, for biliary surgery. During 109 her previous hospitalization, she underwent a cholecystectomy that was complicated with a 110 leak from the common bile duct forming an abdominal effusion. A drain was then introduced 111 to constitute an external bypass. Upon admission at the Bicêtre hospital, she had fever with 112 abdominal pain and cholangitis was diagnosed. The liquid collected from the drain was 113 addressed to the microbiology laboratory and an antibiotic treatment with piperacillin and 114 tazobactam was started. The culture revealed a polymicrobial infection due to numerous 115 Gram-negative bacteria (Pseudomonas putida, Citrobacter freundii, K. pneumoniae, E. coli, 116 Stenotrophomas maltophilia and a Shewanella sp.) and to Enterococcus avium. Antimicrobial 117 susceptibility testing revealed the presence of extended-spectrum β -lactamases (ESBL) in 118 Shewanella sp., E. coli and K. pneumoniae isolates. One week after the admission, the patient 119 underwent a laparotomy to perform a Roux-en-Y biliary bypass procedure and to introduce an 120 internal-external biliary drain to protect the anastomosis. Five days after the surgery, the 121 patient became feverish. A blood and a bile sample collected from the drain revealed the 122 presence of an ESBL-producing K. pneumoniae isolate. Antibiotic therapy was switched to imipenem for 15 days. The biliary drain was withdrawn one month after the surgery and no 123 124 other complication occurred.

125 Identification of *Shewanella* sp. JAB-1. After 24h of growth on blood-agar plate, the 126 Shewanella sp. JAB-1 colonies were small, smooth, oxidase and catalase positive. Api32GN 127 was unable to identify Shewanella sp. even at the genus level. MALDI-TOF MS was used for 128 species identification yielding S. putrefaciens with a best score value of 2.0, S. profunda 129 (score 1.7) and S. baltica (score 1.5). Since MALDI-TOF discrepancies for Shewanella's 130 identification are known, sequencing of 16S rRNA gene was performed. The 1,406 bp 16S 131 rRNA gene sequence was 98% identical to Shewanella seohaensis T GU944672 making this 132 strain the closest relative based on patristic distances (18). As the 16S rRNA gene sequence 133 may not be discriminatory enough to differentiate closely related Shewanella species (9), we 134 used WGS data to dive deeper into the identification of this isolate. Genomes of 135 representative Shewanella species were collected and rpoB/gyrB concatemer sequences were 136 extracted for phylogenetic analysis (Fig. 1A). It revealed that Shewanella sp. JAB-1 did not belong to any known *Shewanella* species. Phylogeny based on whole genome revealed that *Shewanella* sp. JAB-1 was closely related to four other isolates, namely MR-4, MR-7, ANA-3
and Shew256 with Average Nucleotide Identity (ANI) of 94,6%, 94,35%, 94,32% and
93,90% respectively (Fig. 1B).

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Genomic characteristics of *Shewanella* sp. JAB-1. To reconstruct the genome of the *Shewanella* sp. JAB-1, a long read PacBio-based sequencing was performed. The genome was of 4,800,597 bp with an average GC% of 48%. It was composed of 4161 CDS, 9 copies of rRNA operons and 102 tRNAs genes (Figure 2). PacBio assembly revealed the presence of two plasmids of 10.5 and 193-kb in size (Figure 2).

A function-based comparison using RAST server revealed unique features in *Shewanella* sp. JAB-1, with 90 and 100 unique CDS with known functions compared to MR-4 and MR-7 respectively. These CDS include widely distributed functions notably involved in amino acid and carbohydrate metabolism, gene regulation and resistance to antibiotic compounds (Figure 2).

Susceptibility testing and detection of resistance genes. *E. coli*, *K. pneumoniae* and *Shewanella* sp. JAB-1 shared the same phenotype regarding susceptibility to β-lactams. They were resistant to all penicillins tested and association with clavulanic acid did not fully restore penicillins activity. They were resistant to expanded-spectrum cephalosporins but susceptible to cefoxitin and to piperacillin-tazobactam combination. Finally, double-disk synergy tests revealed ESBL activity in the three strains.

In agreement with this phenotype, we identified in the genome of *Shewanella* sp. four β -lactamases genes: two ESBL genes, (*bla*_{CTX-M-15} and *bla*_{SHV-2a}), the narrow spectrum oxacillinase *bla*_{OXA-1}, and a novel *bla*_{OXA-535} gene. It codes for an oxacillinase 91.3% identical to the carbapenem-hydrolyzing class D (CHDL) β-lactamase OXA-48, 98% to OXA-436 and OXA-48-like of *Shewanella* sp. ANA-3 (3 amino-acids of difference) and 99% to OXA-48like in *Shewanella* sp. MR-4, MR-7 (one and 2 amino-acids of difference, respectively).
OXA-436, is a plasmid encoded OXA-48-variant detected in several species responsible of an
outbreak in Denmark (unpublished, genbank bioproject PRJNA297498). This outbreak
involved OXA-436-producing *Citrobacter freundii, K. pneumoniae* and *Enterobacter asburiae*.

Shewanella sp. JAB-1 is resistant to aminoglycosides (Gentamicin, Tobramycin and Netilmicin) due to the production of two acetyltransferases (aac(6')-Ib-cr and aac(3)-IIa) and two phosphotransferases (aph(3'')-Ib and aph(6)-Id). The resistance to ciprofloxacin is likely due to the acquisition of the aac(6')-Ib-cr, known to confer reduced susceptibility to this fluoroquinolone by *N*-acetylation of its piperazinyl amine (19). Amikacin and cotrimoxazole are two antibiotics that remained active *in vitro*.

174 Plasmid characterization and genetic context of *bla*_{CTX-M-15} genes in the three 175 ESBL-producing isolates. Plasmid extraction of Shewanella sp. JAB-1 and subsequent 176 electroporation and mating-out assay into E. coli TOP10 conferred resistance to penicillin and 177 expanded-spectrum cephalosporins with double-disk synergy images consistent with an ESBL 178 phenotype. Amplification of the *bla*_{CTX-M-15} gene on the *E. coli* transformants and 179 transconjugants as well as co-resistance to aminoglycosides indicated that both of *bla*_{CTX-M-15} 180 gene and *aac*(6')-Ib-cr were located on the largest plasmid, namely pSHE-CTX-M of ca. 193 181 kb. pSHE-CTX-M was fully sequenced using PacBio technologies. The overall structure of 182 the plasmid indicated that it belonged to IncA/C incompatibility group (Fig. 3). The plasmid 183 backbone shares features of IncA/C-type plasmid i.e. partitioning, replication and conjugation 184 apparatus. In the variable region, several antibiotic resistance genes have been identified in 185 addition to *bla*_{CTX-M-15}, *bla*_{SHV-2a} and *bla*_{OXA-1} β-lactamase genes, the four aminoglycoside-186 modifying enzymes (aph(3'')-Ib, aph(6)-Id, aac(6')-Ib-cr and aac(3)-IIa), the tetracycline resistance gene (*tetA*), the sulfonamide resistance gene (*sul2*) and the chloramphenicol
resistance gene (*catB3*).

189 E. coli JAB-1 carried three plasmids as observed following Kieser extraction (data not 190 shown). Electroporation in E. coli TOP10 and selection on Ticarcillin conferred an ESBL 191 phenotype as well as resistance to cotrimoxazole. The transformant carried a plasmid of 68 192 kb, namely pECO-CTX-M that was fully sequenced. Several antibiotic resistance genes were 193 found on this IncY plasmid (Fig. 3). The EBSL encoding bla_{CTX-M-15} gene and the narrow-194 spectrum β -lactamase encoding *bla*_{TEM-1} gene *dfr*A14, encoding a trimethoprim-insensitive 195 dihydrofolate reductase variant, sul2 encoding a dihydropteroate synthase that is not inhibited 196 by sulfonamide, tetA (tetracyclin efflux protein) and aminoglycosides resistance genes 197 (aph(6)-Id and aph(3'')-Ib) were present on the plasmid. Finally, the plasmid-encoded 198 fluoroquinolone resistance gene qnrS1 was responsible for a reduced susceptibility to 199 ciprofloxacin.

Kieser extraction showed that *K. pneumoniae* JAB-1 carries three plasmids (data not shown). Electroporation into *E. coli* TOP10 and selection on Ticarcillin conferred an ESBL phenotype and the transformant carried a plasmid of 60 kb, called pKP-CTX-M that belonged to IncR incompatibility group and was fully sequenced (Fig. 3). We identified in this plasmid five resistance gene encoding three β-lactamases ($bla_{CTX-M-15}$, bla_{TEM-1b} and bla_{OXA-1}), an aminoglycoside acetyl-transferase (aac(6')-lb-cr) and the chloramphenicol resistance gene catB3.

207 Comparison of the three $bla_{CTX-M-15}$ gene harboring plasmids revealed major 208 differences in size, backbone and incompatibility group, thus ruling out *in vivo* plasmid 209 exchanges between these three species (Fig. 3). There was no plasmid deposited in the NCBI 210 database that shared the same structure as pSHE-CTX-M and pKP-CTX-M, but we found one 211 plasmid called tig00003056 (CP021681.1) very close to pECO-CTX-M (A Jousset, pers

212 comm). To gain further insights into the acquisition of *bla*_{CTX-M-15} gene by these plasmids, we 213 analyzed the genetic environment of the ESBL gene (Figure 4). It revealed that *bla*_{CTX-M-15} gene was part of an ISEcp1-borne transposon as previously described (20). In pKP-CTX-M 214 215 and pECO-CTX-M, the ISEcp1-borne transposon was inserted in the transposase tnpA gene 216 of Tn2 generating the same target site duplication (TSD: TCACA; Figure 4A and B). This is 217 in favor of the acquisition of *bla*_{CTX-M-15} gene by homologous recombination of this region 218 rather than by a transposition event. This structure was also identified in other bla_{CTX-M-15}-219 gene harboring plasmids such as the archetypal IncFII-type plasmid pC15-1A circulating in 220 Canada in the early 2000's (21). In pSHE-CTX-M, ISEcp-1-bla_{CTX-M-15} is also inserted within 221 Tn2 transposase gene but this insertion differs by two different features; (i) the DNA fragment 222 upstream of Tn2 transposase is missing and (ii) an insertion sequence (IS) belonging to the 223 IS256 family with a TSD of 8 bp was identified (CATTTTGG) within ISEcp1 transposase 224 gene. Our data suggest a common ancestor for these structures but they have evolved 225 differently and are carried on different plasmids. Despite the insertion of an IS256-like in 226 ISEcp1 in pSHE-CTX-M, the expression of CTX-M-15 did not seem to be impacted based on 227 the MICs measured for third-generation cephalosporins (Table 1). Accordingly, the promoter 228 sequences provided by ISEcp1 (-35 TTGAAA and -10 TACAAT) remained intact.

229 Characterization of OXA-535. To determine whether OXA-535 can hydrolyze 230 carbapenems, the *bla*_{OXA-535} gene was cloned into pTOPO together with its surrounding 231 regions. E. coli TOP10 expressing the recombinant plasmid (pTOPO-OXA-535) displayed a 232 class D carbapenemase phenotype with resistance to temocillin, to penicillins that was not restored by clavulanic acid addition and susceptibility to third generation cephalosporins 233 234 (Table 1). In addition, E. coli TOP10 pTOPO-OXA-535 had reduced susceptibility to 235 carbapenems compared to E. coli TOP10. The Carba NP test, performed on the recombinant 236 strain was positive, suggesting that the OXA-535 enzyme hydrolyzes carbapenems.

Furthermore, specific activity (SA) measured with a culture extract of *E. coli* TOP10-pTOPOpreOXA-535 showed that this strain hydrolyzes imipenem significantly (SA=270 mU.mg-1 of protein) similar to that of *E. coli* TOP10-pTOPO-OXA-48 used as control (SA=130 mU.mg-1 of protein). The MICs for β -lactams for OXA-535 and OXA-48 were similar, confirming that these enzymes possessed similar resistance patterns (Table 1).

242 Interestingly, when performed directly on the Shewanella sp. JAB-1, the Carba NP test 243 and the β -CARBA testTM were negative. Since tests based on carbapenem hydrolysis can lack 244 of sensitivity to detect isolates expressing β -lactamase with low carbapenemase activity such 245 as OXA-48-like enzymes, an immunochromatographic test known to detect OXA-48-like 246 enzymes with high sensitivity was performed (22). Indeed, the OXA-48 K-Set gave a positive 247 result on Shewanella's colonies. Therefore, it seems that the OXA-535 enzyme is expressed at 248 a low level but sufficiently to be detected by the immunochromatographic test. At last, a 249 molecular test routinely used in clinical microbiology lab, the Xpert Carba-R test, was 250 performed directly on the Shewanella sp. JAB-1 colonies and yielded a positive PCR for 251 OXA-48-like carbapenemase.

252

253 **DISCUSSION**

We report the case of a biliary infection that occurred in a 7-year-old child with a novel *Shewanella* sp. belonging to an uncharacterized species. MALDI-TOF MS identified this isolate at the genus level, whereas WGS provided high resolution to identify this isolate as closely related to *Shewanella* sp. MR-4, MR-7, ANA-3 and Shew256 (Figure 2). Phylogenetic analyses revealed that these strains belong to a unique lineage that likely constitutes a novel species.

Shewanella spp. are increasingly reported as pathogens, especially in patients with
underlying hepatobiliary diseases. In a case series performed by Chen *et al.*, all patients with

bacteremia had underlying hepatobiliary disorders (23). Here, bacterial culture from the bile
of the patient identified in addition to the *Shewanella* sp. JAB-1, six other clinically-relevant
bacteria. In a case series performed by To *et al*. bile samples whose culture were positive for *Shewanella* were also polymicrobial, along with other enteric bacteria (7). Since *Shewanella*spp. are often isolated with other pathogens in clinical specimens, their clinical significance
might be difficult to assess (4).

Next Generation Sequencing enabled us to study the complete resistome of the Shewanella sp. JAB-1 as well as the features of the three ESBL-carrying plasmids (pSHE-CTX-M, pECO-CTX-M and pKP-CTX-M). Even if the three strains shared the same clinical environment, they did not share their plasmid since they belong to different incompatibility groups. Plasmid continuous sequences allowed us to determine the genetic environment of $bla_{CTX-M-15}$. Indeed, distinct episodes of homologous recombination and of transposition seem to have occurred rather than direct plasmid conjugation between strains in the patient.

275 The *bla*_{CTX-M-15} and *bla*_{SHV-2a} genes are two ESBLs present on an IncA/C plasmid of 276 193 kb in Shewanella sp. JAB-1. ESBL production has to date never been reported in any 277 Shewanella spp. IncA/C plasmids are known to efficiently spread many resistance genes, in particular *bla*_{CMY-like} Ambler class C β -lactamases genes (24). They have been detected 278 279 worldwide, and carbapenemase genes such as bla_{NDM-1} and bla_{VIM-4} have been identified on 280 that plasmid scaffold (25, 26). Recently, characterization of ESBLs plasmids in clinical 281 isolates of K. pneumoniae in Taiwan revealed that the most common replicon type was 282 incompatibility group IncA/C (27). Co-expression of *bla*_{CTX-M} and *bla*_{SHV} is not rare on this 283 scaffold (27). Therefore, IncA/C plasmids seem to efficiently spread ESBL genes among 284 Enterobacteriaceae and non-Enterobacteriaceae.

By sequencing *Shewanella* sp. JAB-1 genome, we identified a new oxacillinase variant called OXA-535. According to the enzymatic activities OXA-535 can be considered as a new carbapenem-hydrolyzing β -lactamase. OXA-535 presented only 3 amino-acids of difference with the plasmidic carbapenemase OXA-436. Moreover, we could identify a 7.3 kb fragment in the chromosome of *Shewanella* sp. JAB-1including the *bla*_{OXA-535} gene that presented 96% of nucleotide identity with the plasmid carrying *bla*_{OXA-436} gene region. Considering the high similarities between these structures, we can speculate that this 7.3 kb fragment has been mobilized on a plasmid and that *bla*_{OXA-535} gene is likely the precursor of the plasmid-encoded *bla*_{OXA-436} gene.

294 Interestingly, antimicrobial susceptibility testing, the Carba NP test, the β -CARBA testTM and 295 OXA-48 K-Set indicated that *bla*_{OXA-535} gene is barely expressed in *Shewanella* sp. JAB-1. Accordingly, we did not identify any IS upstream the blaOXA-535 gene that could provide 296 297 strong promoter sequences, unlike to the plasmid ecoded OXA-181 and OXA-48 where 298 ISEcp1 and IS1999, respectively allow strong carbapenemase expression. Like in other 299 sequenced *Shewanella* spp., we identify a gene coding for a peptidase C15-family upstream 300 *bla*_{OXA-535} and a *lysR* gene coding for a putative LysR transcriptional regulator downstream 301 (13).

302 The Xpert Carba-R test performed on the colonies could amplify the *bla*_{OXA-535} gene 303 (data not shown). We can speculate that if this test would have been performed directly on a 304 rectal swab of this patient, as recommended for screening of high risk patients (30), it might 305 have been falsely positive for the detection of a carbapenemase-producing Enterobactericeae. 306 A false positive molecular screening test has already been reported by Antonelli *et al.*, likely 307 due to the presence in the human gut of S. xiamenensis carrying bla_{OXA-416} in its chromosome 308 (31). The rate of fecal carriage of *Shewanella* spp. in the general population is currently 309 unknown, as well as the bacterial concentration sufficient to yield a positive molecular test 310 result. Nevertheless, the analytical limit of detection of the Xpert Carba-R assay is quite low (ranging from 1.1×10^2 to 1.2×10^3 CFU/swab depending on the carbapenemase gene (32)). 311

Given these data, false positive molecular test results remain troublesome since hepatobiliary
diseases have been proposed as a risk factor for infections (or colonization) due to *Shewanella*spp. and can therefore contribute to regularly inoculate the gastrointestinal tract.

315

316 MATERIAL AND METHODS

317 Bacterial strains. Shewanella sp. JAB-1, Escherichia coli JAB-1 and Klebsiella 318 pneumoniae JAB-1 clinical isolates were from the Bicêtre hospital, Le Kremlin-Bicêtre, 319 France. They have been identified with MALDI-TOF MS using Bruker MS System (Bruker 320 Daltonics, Bremen, Germany), according to the manufacturer's instructions. In addition, sequencing of 16S rRNA using universal primers 16S 8-27 and 16S 1512-1491 was 321 322 performed for the Shewanella isolate, as described previously (9). Biochemical features were studied using API32GN and API20E (Bio-Mérieux, Marcy l'Etoile, France) according to the 323 324 manufacturer's instructions. Electrocompetent E. coli TOP10 (Invitrogen, Eragny, France) was used as a recipient for electroporation experiments. E. coli J53 RIF^R, which is resistant to 325 326 azide and rifampin, was used for conjugation experiments. E. coli 50192 was used as a 327 reference strain for plasmid extraction (33). S. algae CIP 103562, S. putrefaciens CIP 67.65 and S. xiamenensis S12 (9) were used as reference for susceptibility testing and determination 328 329 of biochemical features.

Susceptibility testing and MIC determinations. Susceptibility testing was performed by the disc diffusion method on Mueller–Hinton agar plates (Bio-Rad) incubated for 18 h at 37°C. There are no recognized Clinical and Laboratory Standards Institute (CLSI) MIC interpretative standards specified for *Shewanella* genus. We used the MIC breakpoints of CLSI approved standard M100-S24 categories for other non-Enterobacteriaceae in accordance with previous reports (3). MICs of carbapenems were determined using the E-test (bioMérieux, La Balmes les Grottes, France). Resistance genes detection. Genes coding for Ambler class A β-lactamases were sought by PCR using primers specific for the bla_{TEM} , bla_{SHV} , and $bla_{\text{CTX-M}}$ genes, as previously described (34). PCR products were purified using Qiaquick PCR purification kit (Qiagen, Courtaboeuf, France) and sequenced on both strands with an automated sequencer (ABI 3100; Applied Biosystems, Foster City, Calif.).

342 Cloning experiments. Genomic DNA of Shewanella sp. JAB-1 isolate extracted 343 using the Qiagen DNAamp kit (Qiagen) was used as template for amplification of the blaoxA-₅₃₅ gene with its surrounding region (290 nt before the ATG and 181 nt after the stop codon) 344 345 was performed with pre-OXA-535-For (5'- CGTTTGGGTTTGCTTCAT-3') and pre-OXA-535-Rev (5'- GACTAGGCTTTTTGCGTT-3') and the PCR product was inserted into 346 347 pTOPO (Invitrogen, Eragny, France) resulting in pTOPO-preOXA-535. E. coli TOP10 carrying recombinant plasmids pTOPO-preOXA-535 was selected using Trypticase soy (TS) 348 349 agar plate containing Ticarcillin (50 mg/L) and Kanamycin (50 mg/L). The inserted DNA 350 fragment was verified by Sanger sequencing.

Plasmid extraction, electroporation and mating-out assay. Natural plasmids were extracted using the Kieser extraction method (35) and subsequently analyzed by electrophoresis on a 0.7% agarose gel. ESBL-carrying plasmids DNA of *Shewanella* sp. JAB-1 (pSHE-CTX-M), *E. coli* (pEco-CTX-M) and *K. pneumoniae* (pKp-CTX-M) isolates were extracted using the QIAGEN Plasmid Maxi Kit (QIAGEN, Courtaboeuf, France) and analyzed by agarose gel electrophoresis (Invitrogen, Paris, France).

357 Transfer of the β -lactam resistance markers from *Shewanella* sp. JAB-1 was performed by 358 liquid mating-out assays at 37°C using *E. coli* J53 RIF^R as the recipient strain (33).

359 Recombinant plasmids (pTOPO-preOXA-48 and pTOPO-preOXA-535) were introduced by

360 electroporation into *E. coli* TOP10 using a Gene Pulser II (Bio-Rad Laboratories) (33).

361 **Detection of carbapenemase presence.** Bacterial colonies of *Shewanella* sp. JAB-1

362 recovered from trypticase soy agar were used to perform Xpert Carba-R test version 2 363 (Cepheid, Sunnyvale, CA, USA), OXA-48 *K*-SeT assay (Coris BioConcept, Gembloux, 364 Belgium), the Carba NP test and β-CARBA testTM, according to the manufacturer's 365 recommendations (22, 36, 37) or updated guidelines (38). The Carba NP test was also 366 performed on recombinant *E. coli* TOP10 carrying pTOPO-preOXA-535.

Enzymatic activities. The specific activities of the OXA-48 and OXA-535 β lactamases were determined using the supernatant of a whole-cell crude extract obtained from an overnight culture of *E. coli* clones expressing those two β -lactamases (pTOPO-preOXA-48 and pTOPO-preOXA-535 in *E. coli* TOP10) with an Ultrospec 2000 UV spectrophotometer (Amersham Pharmacia Biotech), as previously described (39). Imipenem was used as a substrate at a concentration of 100 μ M.

373 Whole Genome Sequencing & Bioinformatic analysis. Total DNA of Shewanella 374 sp. JAB-1 was extracted using the UltraClean Microbial DNA Isolation Kit (MO BIO 375 Laboratories, Carlsbad, CA, USA), following the manufacturer's instructions. Total DNA and 376 plasmid DNAs (pSHE-CTX-M, pECO-CTX-M and pKP-CTX-M) concentrations were 377 measured using Qubit dsDNA BR Assay Kit (Life Technologies, Carlsbad, CA, USA). The 378 DNA libraries were prepared using the NexteraXT v3 kit (Illumina, San Diego, CA, USA), 379 according to the manufacturer's instructions, and then run on the HiSeq or MiSeq systems 380 (Illumina) for generating paired-end 150-bp reads. Shewanella's total DNA was also 381 sequenced using PacBio long read technology (Macrogen, Seoul, South Korea).

382 Illumina reads *de novo* assembly was performed using CLC genomic workbench 9.0 383 according the manufacturer's recommandations (Qiagen, Courtaboeuf, France). PacBio 384 subreads were assembled with both Canu (40) and the RS_HGAP_Assembly.3 protocol from 385 the SMRT analysis toolkit v2.3, while consensus accuracy was further polished using Quiver 386 (41) as was previously described (42). PCR sequencing was used to complete the assembly of 387 pEco-CTX-M and pKp-CTX-M plasmids.

Phylogenetic tree based on *gyrB/rpoB* concatemer was drawn with MEGA7 software using Maximum Likelihood method based on the Tamura-Nei model (43). Phylogenetic analysis based on whole genome sequences of *Shewanella* sp. close to *Shewanella* sp. JAB-1 was done using the Parsnp program from the Harvest Suite (44). tRNAs were detected by ARAGORN (<u>http://mbio-serv2.mbioekol.lu.se/ARAGORN/</u>) (45). Circular representation was performed by CGview (46). *Shewanella* sp. JAB-1 was compared with three closely related strains Shewanella MR-4 (CP000446.1), MR-7 (CP000444.1) and ANA-3 (CP000469.1).

395 Nucleotide sequence accession numbers. The nucleotide sequence of the $bla_{OXA-535}$ 396 gene of the assembled chromosome sequence of Shewanella sp. JAB-1 and of its 10.5 kb 397 plasmid with no antibiotic resistance feature have been deposited at the EMBL/GenBank 398 nucleotide sequence database under the accession numbers KX828709, CP022358 and 399 CP022360 respectively. The three assembled ESBL-carrying plasmids (pSHE-CTX-M, 400 pECO-CTX-M and pKP-CTX-M) have been deposited at the EMBL/GenBank nucleotide 401 sequence database under the accession numbers CP022359, MF510423, MF510424, 402 respectively.

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413 CONFLICT OF INTERESTS

414 LD is co-inventor of the Carba NP Test, which patent has been licenced to bioMérieux (La415 Balmes les Grottes, France).

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	MIC (µg/mL)						
Antibiotic	S. putrefaciens	S. xiamenensis	S. algae	Shewanella sp. JAB-1	E. coli TOP10	E. coli TOP10	E. coli TOP10
	(OXA-181 chr*)	CIP 67.65	CIP 103562	(OXA-535 chr*)	(pTOPO-OXA-535)	(pTOPO OXA-48)	
Temocillin	0.38	0.75	0.19	0.25	>1024	>1024	4
Cefotaxime	0.094	0.064	0.032	>32	0.064	0.75	0.06
Ceftazidime	0.125	0.125	0.064	1.5	0.19	0.19	0.12
Imipenem	4	0.75	0.5	0.38	1	0.75	0.25
Ertapenem	0.064	1	0.023	1	0.25	0.25	0.003
Meropenem	0.125	0.5	0.023	0.38	0.19	0.25	0.016

558 559 Table 1. MIC values of *Shewanella* spp.. and transformants

*chr : indicated the presence of a natural oxacillinase in the chromosome

563 **LEGENDS TO FIGURES**

564

Figure 1. Phylogenetic analysis of representative Shewanella spp. (A) Unrooted 565 566 phylogenetic tree based on gyrB and rpoB genes. The tree was constructed by Maximum-567 Likelihood method with Tamura-Nei model using MEGA program (MEGA 7.0). The tree is drawn to scale with branch lengths representing the evolutionary distances. (B) A focus on 568 569 Shewanella sp. close to Shewanella sp. JAB-1 was made and the phylogenetic tree was based 570 on whole genome sequences. It was constructed by Maximum-Likelihood method with Jukes-571 Cantor model, using Parsnp software. The tree is drawn to scale based on the Single 572 Nucleotide Polymoprhisms (SNPs) of the alignment.

573

574 Figure 2. Genome representation of *Shewanella* sp. JAB-1 and its plasmids.

The representation was performed by CGview server. The outermost two rings show features extracted from the *Shewanella* sp. JAB-1 genome. The next three rings show the positions of BLAST hits detected through blastn comparisons of *Shewanella sp.* JAB-1 genome against the three closest genomes of *Shewanella* (MR-4, MR-7 and ANA-3, represented by red, green and blue circles respectively). Darker arc indicates high percent of identity of the hit. The black circle display GC content and inner circles display GC skew.

581

Figure 3: Schematic representation of the three ESBL carrying plasmids. Main features are represented. Antimicrobial resistance associated genes are indicated by orange boxes. Transposon related genes and insertion sequences are indicated by red boxes. Other genes are indicated by boxes colored in violet (replicase genes) and in gray (restriction genes). *tra* locus and heavy-metal resistance loci are indicated within blue boxes. Δ indicates a partial protein. Tnp: transposase.

Figure 4. Genetic environment of the $bla_{CTX-M-15}$ gene of *K. pneumoniae* JAB-1 (A), *E. coli* JAB-1 (B), and of *Shewanella* sp. JAB-1 (C). Antimicrobial resistance genes are indicated with dark grey arrows. Open reading frames within insertion sequences (IS) are represented with striped arrows. Target Site Duplications are indicated with small dotted triangle. Inverted repeats IR_R and IR_L are represented by white and light grey arrows respectively. Oblique lines indicate truncated genes.







Α

