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Emergence of Hemicryptophanes: From Synthesis to Applications for Recognition, Molecular Machines, and Supramolecular Catalysis

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Emergence of Hemicryptophanes: From Synthesis to Applications for Recognition, Molecular Machines, and Supramolecular Catalysis

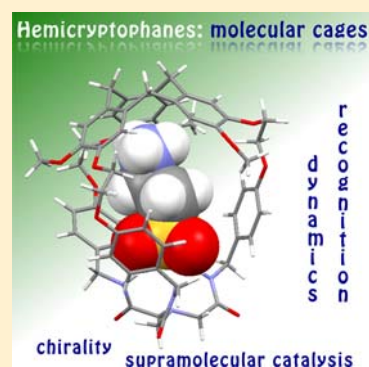
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ABSTRACT: In the wide area of host–guest chemistry, hemicryptophanes, combining a cyclotribenzylene (or cyclotrimeratrylene CTV) unit with another different C_3 -symmetrical moiety, appears as a recent family of molecular cages. The synthesis and recognition properties of the first hemicryptophane were reported in 1982 by Collet and Lehn, but the very little attention received by this class of host compounds in the 20 years following this first promising result can account for their apparent novelty. Indeed, in the last 10 years hemicryptophanes have aroused growing interest, and new aspects have been developed. Thanks to the rigid shaping unit of the *north* part (CTV) and also the variable and easily functionalized *south* moiety, hemicryptophanes are revealed to be inherently chiral ditopic host compounds, able to encapsulate various guests, including charged and neutral species. They also enter the field of stimuli-responsive supramolecular systems exhibiting controlled functions. Moreover, endohedral functionalization of their inner cavity leads to supramolecular catalysts. The confinement of the catalytic center affords nanoreactors with improved catalytic activities or selectivities when compared to model systems without a cavity. The current trend shows that reactions in the confined space of synthetic hosts, mimicking enzyme behavior, will expand rapidly in the near future.



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1. INTRODUCTION

Molecular cages, defined as hollow structures delineating a three-dimensional cavity, are attracting considerable attention in modern supramolecular chemistry due to their possible applications in molecular recognition, catalysis, drug delivery, biosensing, separation, and storage.^{1–8} Among the classes of molecular containers, such as crown ethers,^{9,10} cryptands,¹⁰ calixarenes,^{11–13} resorcinarenes,^{14,15} cucurbiturils,^{16–18} cyclodextrins,^{19,20} or pillararenes,^{21–23} cryptophanes and hemi-cryptophanes based on a cyclotribenzylene core, most of the time identified to a derivative of the cyclotrimeratrylene (CTV) unit (Figure 1), have recently received growing interest.

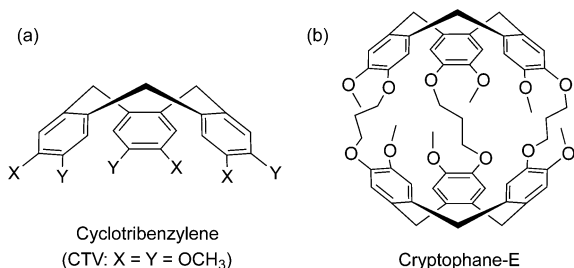


Figure 1. (a) Cyclotribenzylene and cyclotrimeratrylene (CTV, X = Y = OCH₃). (b) Cryptophane-E.²⁴

Cryptophanes are homotopic host compounds built from two CTV units, which can efficiently encapsulate small molecules such as methane or epoxides, cations, anions, and xenon or radon noble gases.^{24,25} A previous comprehensive review on cryptophanes by Brotin and Dutasta highlighted the synthesis methods, their binding and chiroptical properties, and their potential applications as biosensors and chiral agents.²⁴ In contrast, the related hemi-cryptophanes combining a CTV unit with another C₃-symmetrical moiety via covalent bonds or noncovalent interactions are heteroditopic hosts able to recognize various charged or neutral guests, such as ion pairs, zwitterions, ammoniums, carbohydrates, and fullerenes. Currently, hemi-cryptophanes are mainly used as molecular receptors, supramolecular catalysts, and functional molecules anticipating molecular machines.

The chemistry of hemi-cryptophane was developed quite slowly before 2005.²⁶ In 1982, Lehn and Collet described the first two members of a new type of molecular cages called *speleands* (1 and 2 in Figure 2a), which associated a CTV and a crown ether unit, showing potential recognition properties toward methylammonium cations.²⁷ Then in 1989 Nolte et al. reported the synthesis of a molecular host derived from CTV and diphenylglycoluril units presenting potential catalytic

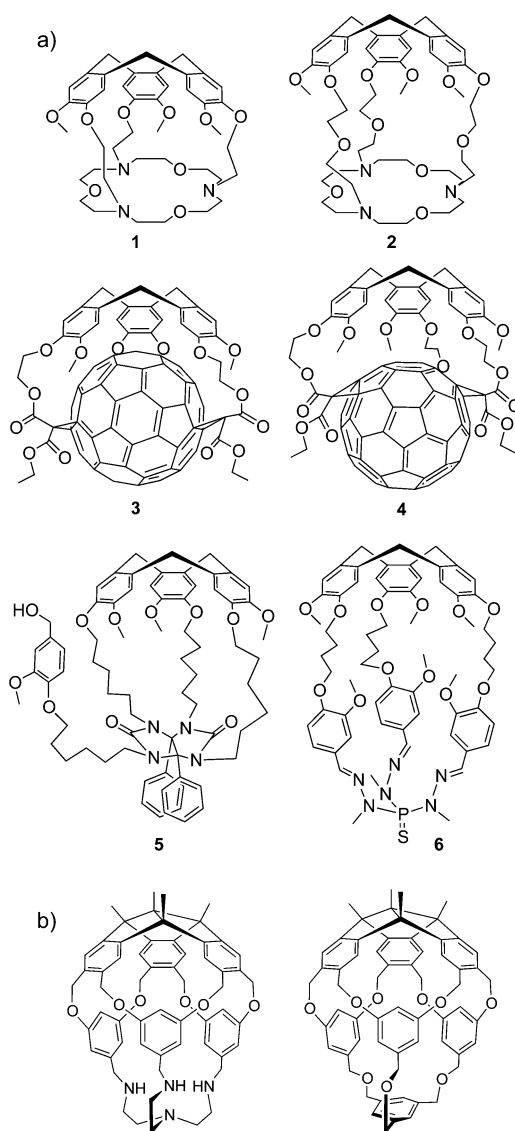


Figure 2. (a) Hemicryptophanes 1–6 synthesized between 1982 and 2005. (b) Structures of Kuck's hemi-cryptophanes based on the TBTQ unit.

properties related to its easily functionalized arm (5 in Figure 2a).²⁸ Ten years later, Diederich and Echegoyen described the synthesis of the two covalent CTV–C₆₀ adducts 3 and 4 (Figure 2a) prepared by the tether-directed Bingel reaction.²⁹ The C₃ symmetry of the two cage adducts was evidenced by NMR spectroscopy. Nevertheless, it is obvious that the free space in the cavity of the two compounds is quite restricted due to the interactions between the electron-rich aromatic rings of the CTV and the electron-poor C₆₀, limiting their applications as receptors. The same year Dutasta and co-workers reported the synthesis and crystal structure of the thiophosphorylated molecular cage 6 (Figure 2a) based on the CTV unit and proposed the term hemi-cryptophane for this type of molecular cages, which has been widely used thereafter.³⁰ Last, based on suitably functionalized CTVs, metal complexes were obtained that possess the hemi-cryptophane structure. In some specific cases, the CTV cap was used as ligand platform for iron(II) and iron(III) coordination.³¹ Hence, it can be seen that this class of host compounds received little attention before 2005 since only a few relevant papers were reported during this period and even

less have really focused on their applications. Unexpectedly, after 2005, this chemistry received growing interest leading to a blossoming field of research, particularly for molecular recognition. For instance, a series of sophisticated enantiopure hemicryptophanes has been synthesized benefitting from the inherent chirality of the CTV unit and used for stereoselective recognition of chiral guest molecules. They have also entered the field of controlled molecular motions, exhibiting solvent-responsive properties. Moreover, confinement of catalytic sites in the inner space of the cavity of hemicryptophanes resulted in supramolecular organic and organometallic catalysts with remarkable properties. We should mention herein the important contribution of Kuck et al., who synthesized cryptophane and hemicryptophane-like molecular cages based on the tribenzotriquinacene (TBTQ) scaffold. Recently, they reported the synthesis of hemicryptophanes where the CTV part is replaced by the TBTQ unit. This type of molecular receptor does not strictly belong to the CTV-based derivatives, but their closely related structures deserve to be pointed out in this review (Figure 2b).³²

In this review, we will first introduce the general synthetic methods (section 2) to obtain racemic, enantiopure, water-soluble, and metalated hemicryptophane hosts. Next, the applications of hemicryptophanes in molecular recognition (section 3) as molecules with controllable motions (section 4) and as supramolecular catalysts (section 5) will be presented. Finally, we will conclude and present perspectives on the future development of hemicryptophanes and highlight the key challenges in this emerging area of research (section 6).

2. SYNTHESIS OF HEMICRYPTOPHANES

Hemicryptophane hosts can be classified into two main families according to the method of preparation used: covalent hemicryptophanes and self-assembled hemicryptophane capsules. Covalent hemicryptophanes refer to compounds, where the two main C_3 -symmetrical units defining the ditopic character of these hosts are connected by covalent bonds. Access to covalent cages most of time needs multistep syntheses, and often the main drawback of these syntheses is a low overall yield. Although some cage compounds are only accessible on a milligram scale, a range of laboratory-scale synthesis schemes has been developed to afford hemicryptophanes with various functionalities that can be obtained easily on gram scale, allowing wider applications. The different approaches to the syntheses of covalent hemicryptophane hosts will be presented in section 2.1. The formation of self-assembled hemicryptophane capsules is currently attracting great attention due to the developments in self-assembling strategies and will be described in section 2.2. For instance, hemicryptophanes formed of two interacting molecular subunits have been reported using reversible dynamic covalent interactions such as the reversible formation of boronate esters or supramolecular ionic interactions between charged moieties. Finally, section 2.3 is devoted to the functionalization of hemicryptophanes to develop specific properties and to investigate their applications in catalysis and sensing in organic or aqueous media.

An important aspect of hemicryptophanes is their inherent chirality arising from the CTV unit. A greatly growing demand for chiral hosts exists, and consequently, the production of enantiopure hemicryptophanes should be considered as a significant breakthrough for applications in chiral recognition or catalysis. Particular attention will be paid to this aspect throughout this section. The C_3 symmetry of the CTV moiety

confers to the hemicryptophanes an inherent chirality with *P* or *M* configuration.³³ When associated with other stereogenic centers the hemicryptophane molecules exist as diastereomers. Thus, the synthesis of enantiopure compounds leads to either enantiomers or diastereomers, and it is essential to determine their absolute configuration. A convenient method relies on determination of the X-ray crystal structure of the enantiopure compounds. However, obtaining crystals suitable for X-ray analysis is not always easy and successful.³⁴ The use of electronic circular dichroism (ECD) spectroscopy has been widely described in the literature and is particularly suitable to determine the absolute configuration of compounds bearing the CTV unit.³⁵ Indeed, the spectra can be interpreted as the result of the excitonic coupling of the three aromatic rings of the CTV unit, which gives a specific ECD signature.^{35–38} This method is relatively easy and reliable but may also fail in some specific cases when the ECD signals of the CTV overlap with those of other aromatic groups. In such cases, chemical correlation methods were developed and successfully applied to hemicryptophane molecules.

When compared to racemic hemicryptophanes, enantiopure cages are more useful since chirality plays a crucial role in most of the biological events, and chiral synthetic bioinspired supramolecular systems can be designed to mimic and understand these processes.^{39–41} However, the easy synthesis of this type of enantiopure molecular cages is a difficult challenge because of the high complexity of such molecules. Two main strategies can be followed to prepare enantiopure hemicryptophanes. (i) One is the resolution of racemic mixtures using chiral semipreparative high-performance liquid chromatography (HPLC). The major drawbacks of this method are the necessity of a chiral HPLC and the difficulty in providing sizable amounts of compounds. (ii) Another is introduction of additional stereocenters to form diastereomers. This second strategy needs more complex synthesis pathways, and in most cases, the separation of two diastereomers is tedious but affords more sizable amounts of enantiopure cages. Both methods appear in the literature and will be discussed in the following sections. Throughout this review, without specific indications, the chiral compounds described are racemates.

2.1. Synthesis of Covalent Hemicryptophanes

Covalent hemicryptophanes can be obtained by three main pathways. The first one is a cage-closing reaction to form the CTV unit (arbitrarily named the *north* part) and is related to the “template method” used for the synthesis of cryptophanes (Figure 3a).²⁴ The Friedel–Crafts condensation of the veratryl precursors is a convenient and common approach for the synthesis of cryptophanes and hemicryptophanes. The acid-catalyzed cyclization reaction using mainly HCOOH or Sc(OTf)₃ gives rise to the CTV moiety leading to the desired hemicryptophanes. The performance of this intramolecular cyclodehydration to generate the CTV in the last step, in terms of yield and difficulty in their purification, usually depends on the structures of the hemicryptophane precursors.

The second way is the [1 + 1] coupling between the north part and the other C_3 unit (arbitrarily named the *south* part), which is very effective in many cases and is particularly well suited for the preparation of enantiopure hemicryptophanes (Figure 3b). Moreover, this strategy allows the preparation of a wide range of ditopic molecules thanks to the numerous and versatile available southern moieties and involves different approaches such as reactions of acyl chloride with primary or

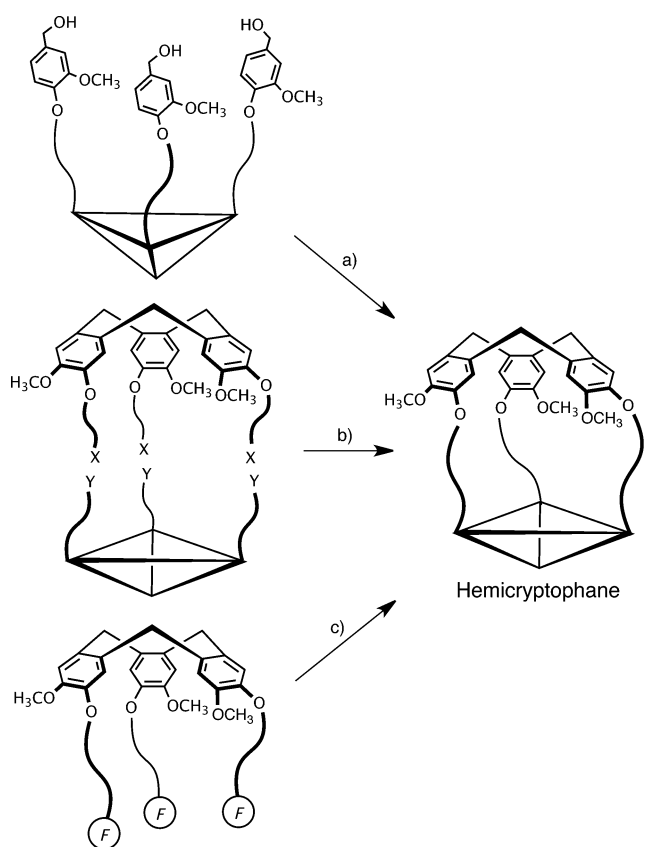


Figure 3. Synthesis strategies for the preparation of hemicryptophane hosts: (a) by formation of the CTV unit; (b) by [1 + 1] coupling of north and south parts; (c) by closure reaction at the south part (X, Y, and F are suitable functions).

secondary amine, carboxyl with primary amine, Ugi reaction type, and disulfide-bond formation most commonly. For instance, sophisticated structures such as crown ethers, calixarenes, and cyclodextrins were combined with the CTV cap to afford ditopic hosts with fairly good yields. This method usually requires high-dilution conditions to avoid formation of polymeric compounds.

The third way is to introduce the southern C_3 unit from the suitably functionalized CTV scaffold (Figure 3c). In this way hemicryptophanes are formed by appending on the CTV three linkages bearing suitable functions for an intramolecular reaction that leads to the cage structure. This approach has been seldom used compared to strategies based on the cage-closure reaction at the north part or the [1 + 1] coupling presented above.

For a given compound, the authors often used either of the methods described above to increase the yields and/or produce sizable amounts of enantiopure compounds. For instance, the [1 + 1] coupling between two modules has been often advantageously applied to the synthesis of hemicryptophanes already obtained by formation of the CTV cap according to the first synthetic pathway. In the following sections we thus emphasize the various approaches reported in the literature for the synthesis of racemic and enantiomerically pure hemicryptophanes according to the nature of the southern units.

2.1.1. Triamide- and Tren-Hemicryptophanes. The triamide and the tris(2-aminoethyl)amine (tren) structures have been used to build receptors for complexation of anionic^{42,43} and cationic species.⁴⁴ In particular, transition

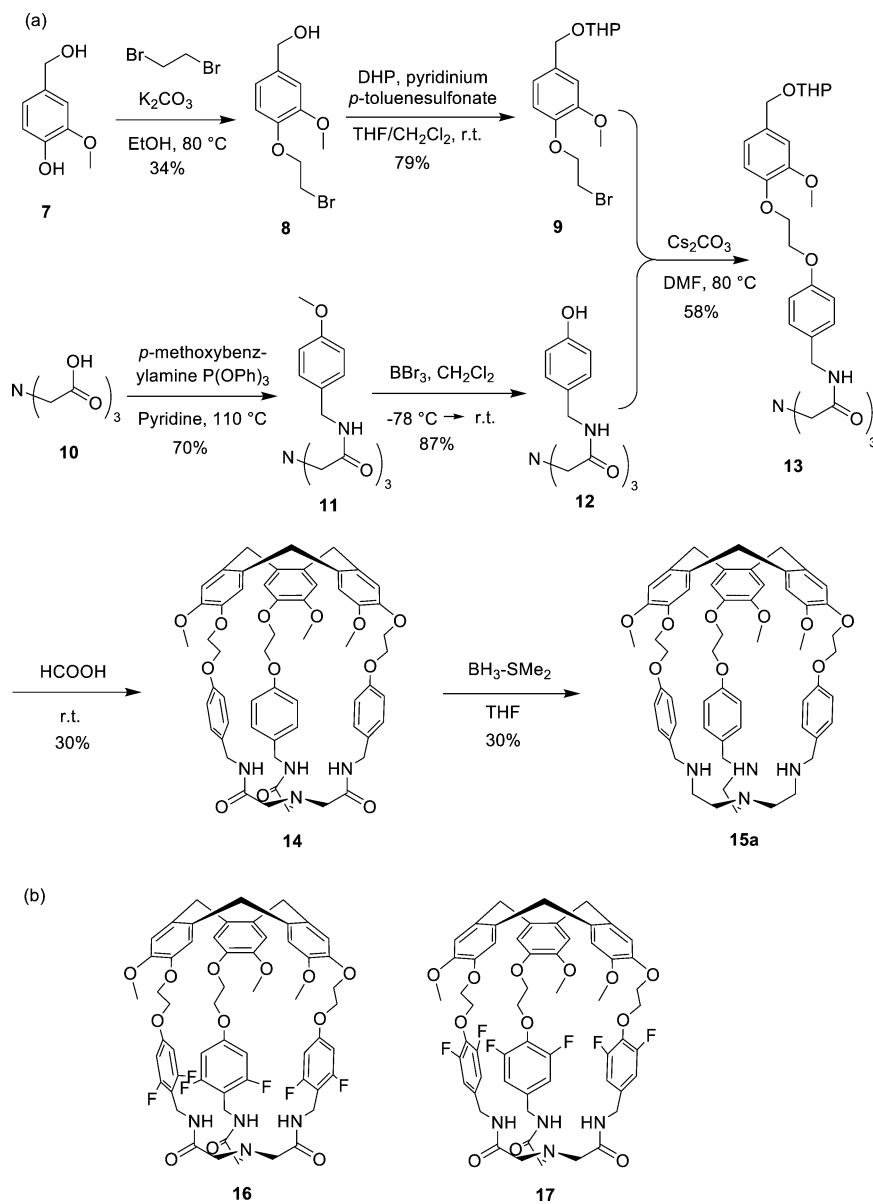
metal ions such as cobalt, zinc,⁴⁵ and copper⁴⁶ form complexes with these ligands, presenting interesting catalytic or recognition properties. Different heteroelements have been also trapped into a tren moiety, leading, in the case of phosphorus, to pro-azaphosphatane compounds, which constitute a remarkable class of basic and nucleophilic organocatalysts.⁴⁷

The racemic triamide- and tren-hemicryptophanes **14** and **15a** were first synthesized following the first method (Figure 3a) as depicted in Scheme 1.⁴⁸ The reaction of vanillyl alcohol **7** with dibromoethane in EtOH at 80 °C affords compound **8**, which is protected with THP to give **9** with an overall yield of 27%. The C_3 symmetry precursor of the south part of the host is obtained from the nitrilotriacetic acid **10** that reacts with 3 equiv of *p*-methoxy benzylamine in the presence of $P(OPh)_3$ to give the tripodal triamidoamine derivative **11**. The methoxy groups in **11** are then removed using BBr_3 to give the triphenol derivative **12**. Reacting **9** and **12** in DMF in the presence of Cs_2CO_3 affords the hemicryptophane precursor **13**. The cyclization is then performed in HCOOH to give the desired hemicryptophane **14** in 30% yield. Finally, the reduction of the amide functions is achieved with BH_3 in THF to give the tren-hemicryptophanes **15a** in 30% yield. Similar to the synthesis of **14**, the hemicryptophanes **16** and **17** (Scheme 1b) bearing fluorinated aromatic rings in the three linkages were also obtained.⁴⁹ The racemic triamide-hemicryptophane *rac*-**14** was resolved by chiral semipreparative HPLC, leading to the enantiopure compounds *M*-**14** and *P*-**14**.⁵⁰ Applied to *rac*-**15a** the resolution is unsuccessful, underlining the limitation of the method.

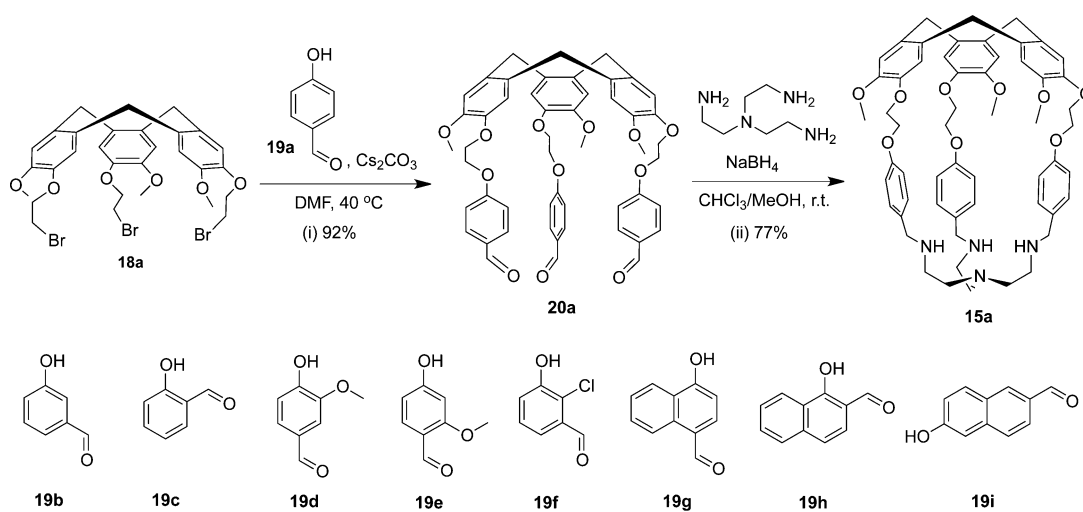
The convenient and efficient modular approach of the [1 + 1] coupling reaction (Figure 3b) was developed for the synthesis of the tren-based hemicryptophanes **15a–i** (Scheme 2).⁵¹ The alkyl-brominated CTV **18a** is first synthesized in two steps from vanillyl alcohol and dibromoethane according to the procedure described by Dmochowski et al.⁵² The preparation of the hemicryptophane precursor **20a** is then conducted under standard conditions by reacting **18a** with 4-hydroxybenzaldehyde (**19a**) in DMF in the presence of Cs_2CO_3 with excellent yields and without purification by column chromatography. The condensation of **20a** with tris(2-aminoethyl)amine in $CHCl_3/CH_3OH$ mixture, followed by a reduction step with $NaBH_4$, affords **15a** in 77% yield. Hemicryptophane **15a** is thus obtained in 8% overall yield via a four-step synthetic route, which improves the previous seven-step procedure (3% overall yield; Scheme 1) and allows the synthesis of **15a** on a gram scale. Moreover, the size, shape, and functionalities of the molecular cavity are easily modified by changing the nature of the aromatic linkages (Scheme 2). For instance, the cavity size was modified by changing the relative position of the aldehyde and the hydroxy groups on the aromatic rings (hemicryptophanes **15b** and **15c**). An electron-donating group was also incorporated in the aromatic part of the linkages at different positions, leading to hemicryptophanes **15d** and **15e**, and the chlorine electron-withdrawing group was successfully integrated in the aromatic wall of the hemicryptophane **15f**. Hemicryptophanes **15g–i** with larger aromatic walls (naphthyl moieties) were obtained and showed fluorescent properties. Thus, this synthetic pathway constituted an important step in the chemistry of hemicryptophanes as it allowed production of larger amounts of compounds, which helped in further applications as receptors or supramolecular catalysts.

Dutasta and Martinez et al. followed the pathway shown in Scheme 2 to develop the gram-scale preparation of enantiopure

Scheme 1. (a) Synthesis of Hemicryptophanes 14 and 15a; (b) Structure of Hemicryptophanes 16 and 17



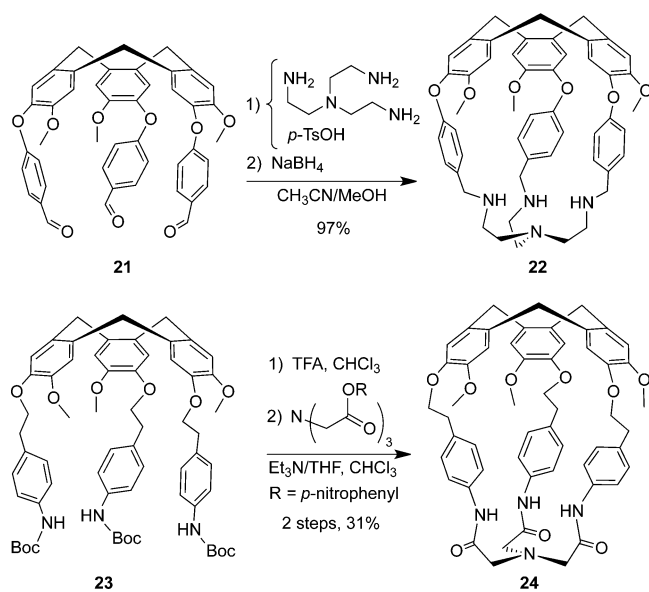
Scheme 2. Synthesis of Hemicryptophanes 15a–i



hemicryptophanes *M*-15a and *P*-15a.⁵³ The synthesis starts from the enantiopure CTV–18a obtained by resolution of its racemates by chiral HPLC. To prevent the racemization of the enantiopure 18a and 20a, the two subsequent steps were performed at 25 °C, affording the expected product with good yields and excellent enantiomeric excess for the final cages (ee \geq 98%). Moreover, the enantiopure hemicryptophanes 15d, 15f, and 15i were also prepared from the corresponding phenols 19d, 19f, and 19i, respectively, highlighting the modularity of this strategy.

Makita and co-workers followed a similar strategy to synthesize the tren-hemicryptophane *rac*-22 and triamide-hemicryptophane *rac*-24 having smaller cavities (Scheme 3).^{54,55} It is noteworthy that hemicryptophane 22 was obtained

Scheme 3. Synthesis of Hemicryptophanes 22 and 24



in 97% yield from precursor 21,⁵⁴ demonstrating the high efficiency of this synthetic method. The triamide derivative 24 was obtained in a two-step synthesis. Removal of the tri-*tert*-butoxycarbonyl (Boc) protecting groups from precursor 23 and subsequent coupling with nitrilotriacetic acid tris(*p*-nitrophenyl ester) afforded hemicryptophane 24 in 31% overall yield. Hemicryptophane 24 was able to bind tetraalkylammonium salts and exhibited a strong affinity for acetylcholine neurotransmitter.

Compared to 14 and 15a, hemicryptophane stereoisomers *M*-(*S,S,S*)-29/30, *P*-(*S,S,S*)-29/30, *M*-(*R,R,R*)-29/30, and *P*-(*R,R,R*)-29/30 possess three additional stereogenic centers (Scheme 4).^{56,57} Interestingly, introducing these new chiral centers in 29 avoids chiral HPLC to separate the enantiomers and also makes this class of hosts more promising for stereoselective recognition experiments. A synthetic pathway similar to that used for 14 afforded hemicryptophanes 29 starting from the chiral (*S/R*)-4-methoxy- α -benzylamine. The two diastereomers *M*-(*S,S,S*)-29 and *P*-(*S,S,S*)-29 were separated by preparative achiral TLC. Direct reduction of the amide functions of the mixture of the two diastereomers 29 gives the two stereoisomers of 30, which are readily separated by column chromatography on silica gel.⁵⁷

An easy synthesis of enantiopure hemicryptophanes 31a–c via the thermodynamic resolution of the racemic CTV 20a

(Scheme 2), leading to enantiomerically pure compounds, was reported (Scheme 5).⁵⁸ It was found that when using enantiopure tren derivative as the other modular compound, only one diastereomeric cage was formed whatever the nature of the substituents located on tren's stereogenic centers. This route provides an original access to enantiopure hemicryptophanes, avoiding the separation of two diastereomers.

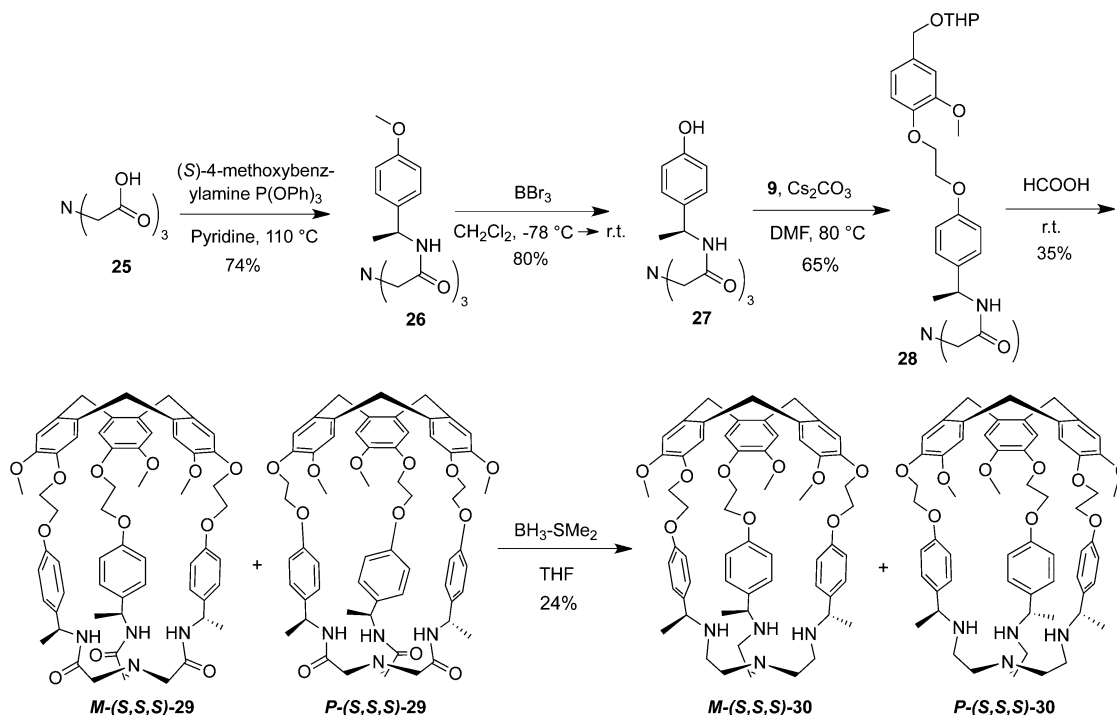
Rivera et al. developed a very efficient and straightforward method, featuring the one-pot assembly of molecular architectures by Ugi-type multiple multicomponent macrocyclization of trifunctional building blocks, for synthesis of the hemicryptophane 33 bearing Ugi-peptoid backbones.⁵⁹ In this approach, four components, i.e., CTV–tricarboxylic acid 32, a primary amine, an oxo compound, and an isocyanide, are required for the 3-fold Ugi reaction (Scheme 6). A methanol solution of the tetra-*n*-butyl ammonium salt of CTV–tricarboxylic acid 32 is gradually added to a solution of paraformaldehyde and amine, followed by slow addition of tris(2-isocyanoethyl)amine. The hemicryptophane 33 is thus obtained with acceptable yields after purification by flash column chromatography. Apart from the simplicity of this approach for constructing complex molecules, another key feature is the variability of the tris-functionalized scaffolds with defined geometries to build various molecular cages.

2.1.2. Trialkanolamine Hemicryptophanes. Hemicryptophanes combining CTV and triethanolamine groups such as compound 41 (Scheme 7) are particularly interesting for their potential as ligands for endohedral metal coordination. The synthesis of the enantiopure hemicryptophanes 41 was reported in 2005 and facilitated by introduction of additional stereocenters to form diastereomers.⁶⁰ As shown in Scheme 7, allyloxyphenol 34 reacts with compound 9 in the presence of Cs₂CO₃ in DMF to give 35, which is subsequently deprotected to generate the phenol derivative 36. The epoxide 37 is synthesized by a regioselective nucleophilic substitution reaction of 36 on (*R*)-(-)-glycidyl nosylate in DMF. Then an excess of ammonia is added to the methanol solution of 37 to give the primary amine 38. The hemicryptophane precursor 39 is obtained by a trimerization reaction of 38 with 2 equiv of the epoxide 37 in methanol followed by an acetylation reaction to decrease the polarity and facilitate purification. An intramolecular cyclization of 39 is then accomplished using Sc(OTf)₃ as Lewis acid in CH₃CN to produce hemicryptophanes *M*-(*R,R,R*)-40 and *P*-(*R,R,R*)-40 in their diastereomerically pure form with yields of 22% and 17%, respectively, after separation by column chromatography. Finally, the synthesis of hemicryptophanes *M*-(*R,R,R*)-41 and *P*-(*R,R,R*)-41 is achieved quantitatively from the corresponding hemicryptophane 40 by hydrolysis with methanolic NaOH. Starting from the (*S*)-(+)-enantiomer of glycidyl nosylate, the other diastereoisomeric pair, *P*-(*S,S,S*)-41 and *M*-(*S,S,S*)-41, were also successfully prepared using the same synthetic pathway.

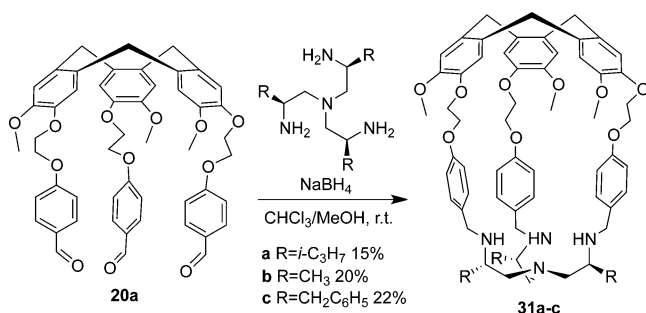
Determination of the absolute configuration of the four stereoisomers of 41 was achieved using ECD spectroscopy. The *P* configuration of the enantiopure hemicryptophane gave rise to a characteristic positive–negative bisignate (from low to high energies, 270–230 nm). In turn, the *M* configuration is assigned to the hemicryptophane that gives a negative–positive bisignate, as observed in Figure 4.⁶⁰

A new class of enantiopure hemicryptophanes (46, Scheme 8) bearing the trialkanolamine group was also synthesized.⁶¹ However, the structures of the stereoisomers of 46 are more complicated than those of 41 since there are three types of

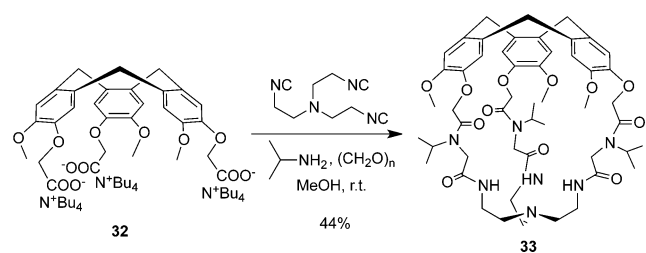
Scheme 4. Synthesis of Hemicryptophanes *M*-(*S,S,S*)-29/30 and *P*-(*S,S,S*)-29/30



Scheme 5. Synthesis of Hemicryptophanes 31a–c



Scheme 6. Synthesis of Hemicryptophane 33

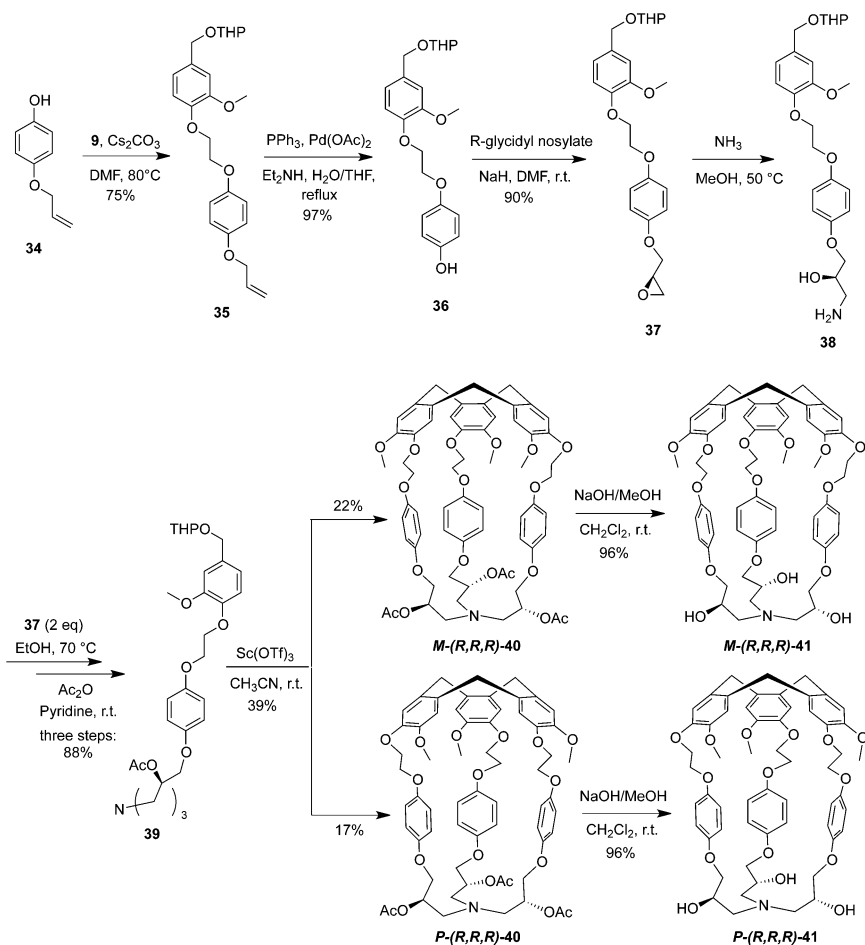


chiral elements simultaneously in one molecule with seven stereogenic units, i.e., a helically chiral CTV unit, three axially chiral binaphthol linkages, and three centrally asymmetric carbons on the trialkanolamine group affording eight stereoisomers. Following the synthetic strategy depicted in [Scheme 8](#), the first pair of diastereomers of hemicryptophane **46**, *M*-(*S,S,S*)-(*S,S,S*)-**46** and *P*-(*S,S,S*)-(*S,S,S*)-**46**, was obtained by condensation of (*S*)-(-)-1,1'-bi(2-naphthol) (BINOL) with compound **9** to give the BINOL derivative **42** and subsequent reaction with (*S*)-glycidyl-nosylate in DMF to provide the epoxide **43**. The primary amine **44** was obtained by treating **43** with an excess of ammonia in methanol. The trimerization

reaction led to the hemicryptophane precursor **45**, which was then treated with $\text{Sc}(\text{OTf})_3$ in CH_3CN to generate the enantiopure hemicryptophanes *M*-(*S,S,S*)-(*S,S,S*)-**46** and *P*-(*S,S,S*)-(*S,S,S*)-**46** in moderate yields (30% and 13%, respectively) after preparative thin-layer chromatography (TLC). Following the same synthetic scheme and using the enantiopure BINOL and glycidyl-nosylate with the suitable configuration, the other three pairs of hemicryptophane diastereomers **46** were obtained with a moderate diastereoselectivity in the last synthesis step. Noteworthy is the fact that the incorporation of binaphthyl groups into the cage structures not only introduces an additional stereogenic element and fluorescent properties but also avoids the protection–deprotection steps required in the synthesis of **41**. In addition, it was later reported that in solution and in the solid state the trisalkanolamine unit presents a concave form twisted by the three binaphthol linkages, and the cavities of these diastereomeric cages exhibit unexpected “imploded” structures, which give rise to different physical/chemical and binding properties.⁶²

The assignment of the absolute configuration of the eight stereoisomers of hemicryptophane **46** by ECD spectroscopy failed because of the overlap of the signals of CTV and binaphthyl groups.⁶¹ In this particular case the authors developed a chemical correlation method based on the [1 + 1] coupling of north and south parts. The enantiopure cage **46**, such as *P*-(*S,S,S*)-(*S,S,S*)-**46**, can also be prepared from the enantiopure CTV–tribromide *P*-**18**, successfully resolved by chiral HPLC,⁵³ and the BINOL derivative (*S,S,S*)-(*S,S,S*)-**47**, synthesized from enantiopure starting materials ([Scheme 9](#)). The [1 + 1] coupling between the two units afforded the hemicryptophane **46** with controlled chirality. In this work, the authors underline the importance of the reaction conditions, especially temperature and concentration, to avoid racemization of the enantiopure CTV **18a** and polymerization or low reaction rate. Furthermore, one single chemical correlation

Scheme 7. Synthesis of Hemicryptophanes *M*-(*R,R,R*)-41 and *P*-(*R,R,R*)-41



experiment provides the information about the absolute configuration for four stereoisomers, and two experiments are enough to assign all eight isomers. Interestingly, despite the low yield of the reaction depicted in Scheme 9 (15%), it also provides a new way to directly obtain enantiopure hemicryptophanes, avoiding the tedious separation of diastereomers.

2.1.3. Hemicryptophanes Based on a Tripodal Benzenic Platform. The benzenic ring is a simple and attractive unit to close a covalent cage because of its high modularity. Indeed, depending on the nature of the substituents attached to this moiety (withdrawing or electro-donating groups) it can interact with anions, cations, or neutral guests by anion- π , cation- π , or CH- π interactions, respectively. The following examples are representative of the variety of strategies used for construction of hemicryptophanes from a tripodal benzenic platform.

Rivera et al. applied the Ugi-type multiple multicomponent macrocyclization to the synthesis of hemicryptophane 49.⁵⁹ Following the same synthesis pathway described for hemicryptophane 33 (section 2.1.1; Scheme 6) and adding the triisocyanide 48 to CTV 32, they obtained the cage compound 49 bearing a C_3 symmetry tricarboxamide aromatic platform (Scheme 10).

Another example of tris amido-aromatic hemicryptophane was also synthesized from CTV derivative 53 and benzene-1,3,5-tricarbonyl trichloride, still using the [1 + 1] coupling reaction, to give compound 54 (Scheme 11).⁶³ Vanillyl alcohol 7 reacts with chloroacetic acid 50 in the presence of NaI and

NaOH in EtOH to give compound 51. Formation of the CTV 52 is performed by a one-pot synthesis by first adding 1 equiv of HCl to 51 and then a catalytic amount of *p*-toluenesulfonic acid in MeOH. The resulting compound 52 is then condensed in pure ethylenediamine to give 53. Finally, the [1 + 1] coupling between 53 and 1,3,5-benzenetricarbonyl trichloride generates the hemicryptophane 54 in four steps and with 4% overall yield from vanillyl alcohol without any chromatography.

Hemicryptophanes bearing three BINOL groups in the linkages and a 1,3,5-trisubstituted aromatic ring at the south part were also synthesized and afforded the four hemicryptophane stereoisomers of 56 (Scheme 12).⁶⁴ Starting from the (*S*)-BINOL derivative 42, the hemicryptophane precursor 55 was obtained in one step by reaction of 42 with tris(bromomethyl)benzene in DMF using Cs_2CO_3 as base. The macrocyclization of the precursor 55 in CH_3CN catalyzed by $Sc(OTf)_3$ gave the first pair of diastereomers of hemicryptophane 56 with a moderate diastereoselectivity. The other pair of stereoisomers was synthesized using the same way starting from the (*R*)-BINOL derivative.

The absolute configurations of the stereoisomers *M*-(*S,S,S*)-56, *P*-(*S,S,S*)-56, *M*-(*R,R,R*)-56, and *P*-(*R,R,R*)-56 were assigned using the chemical correlation method described above for hemicryptophane 46 (section 2.1.2).⁶¹ For this the authors synthesized the stereoisomers of 56 by the [1 + 1] coupling of diastereomerically and enantiomerically pure CTV 57 suitably substituted with BINOL arms with 1,3,5-tris-

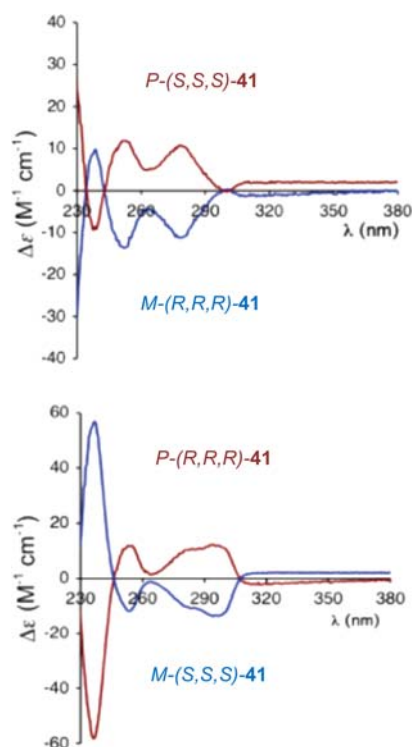
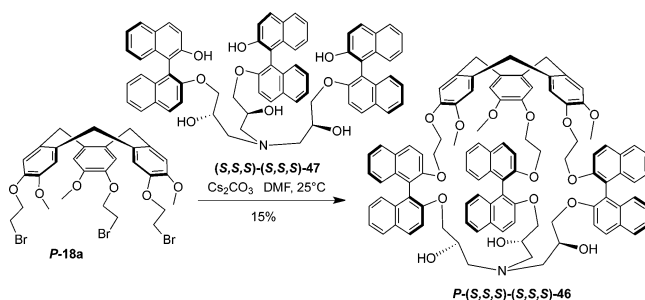


Figure 4. ECD spectra of the four stereoisomers of hemicryptophane **41** in CH_2Cl_2 at 20 °C. Reproduced with permission from ref **60**. Copyright 2010 American Chemical Society.

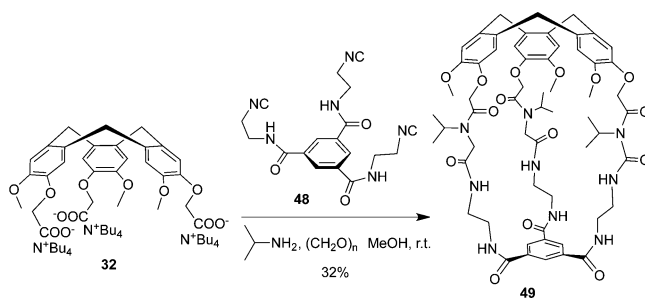
(bromomethyl)benzene as shown in **Scheme 13** for *M*-(*S,S,S*)-**56**.⁶⁴

2.1.4. Hemicryptophanes Based on a Macrocyclic Platform. Macrocyclic units like calixarenes or cyclodextrins have been linked to CTV units in order to combine in a single hemicryptophane molecule the recognition properties of both compounds. The syntheses of the resulting heteroditopic hosts are presented below.

Scheme 9. Synthesis of Hemicryptophane *P*-(*S,S,S*)-(*S,S,S*)-**46** Using the [1 + 1] Coupling Reaction

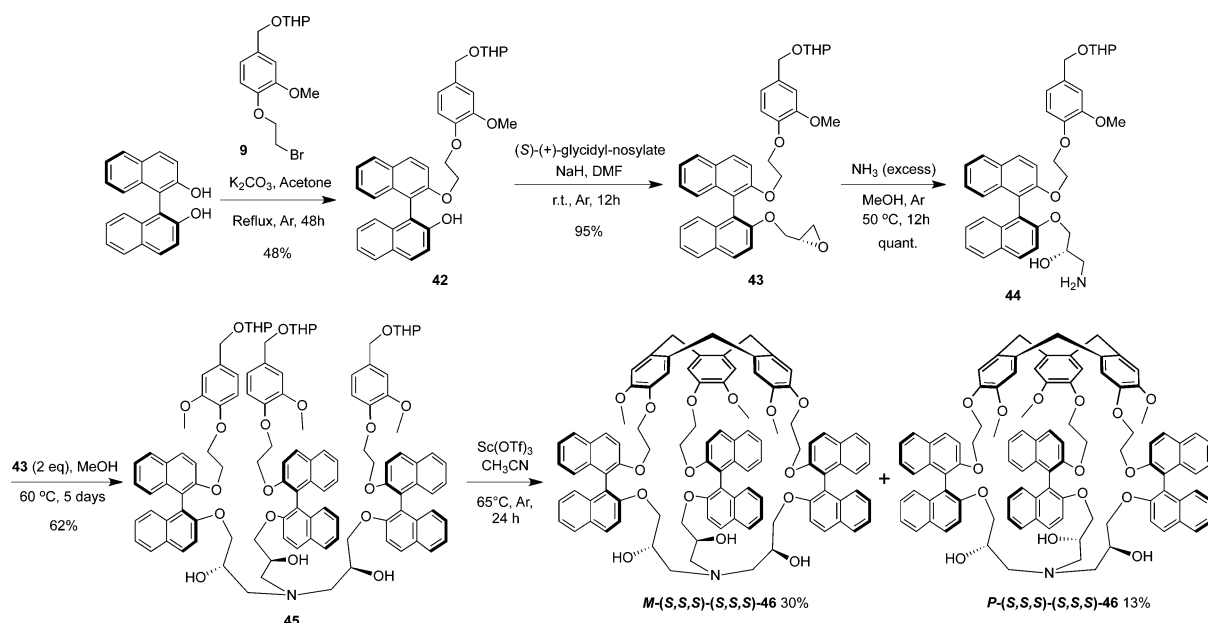


Scheme 10. Synthesis of Hemicryptophane **49**

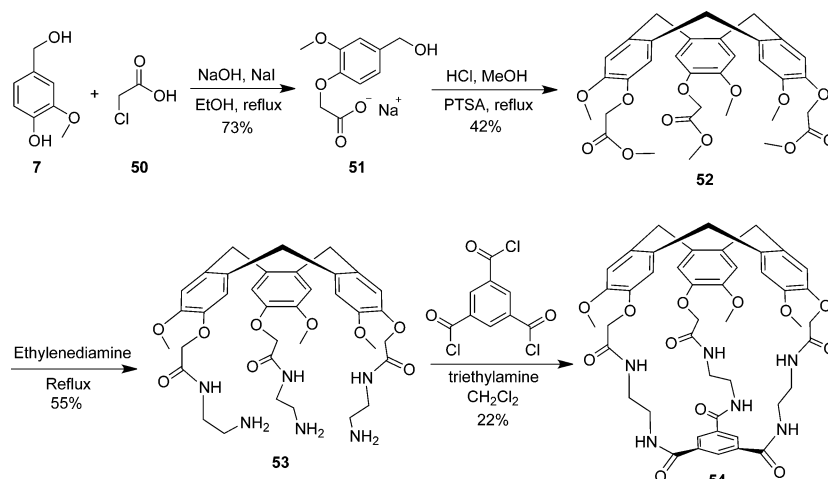


2.1.4.1. Hemicryptophanes Based on Aza-Crown Ether: Speleands. The synthesis of the first two hemicryptophane derivatives (speleands **1** and **2**) developed by Collet and Lehn was achieved using the [1 + 1] coupling method.²⁷ As shown in **Scheme 14**, condensation of the trichloride **58** with the azacrown ether [18]- N_3O_3 under high dilution conditions afforded the tricarboxamide hemicryptophane **59** in 35% yield. Then reduction of **59** with diborane gave the hemicryptophane **1** in 85% yield. Starting from the trichloride **60** and following the same reaction sequence, the hemicryptophane **2** with a larger cavity was synthesized with an overall yield of 26%.

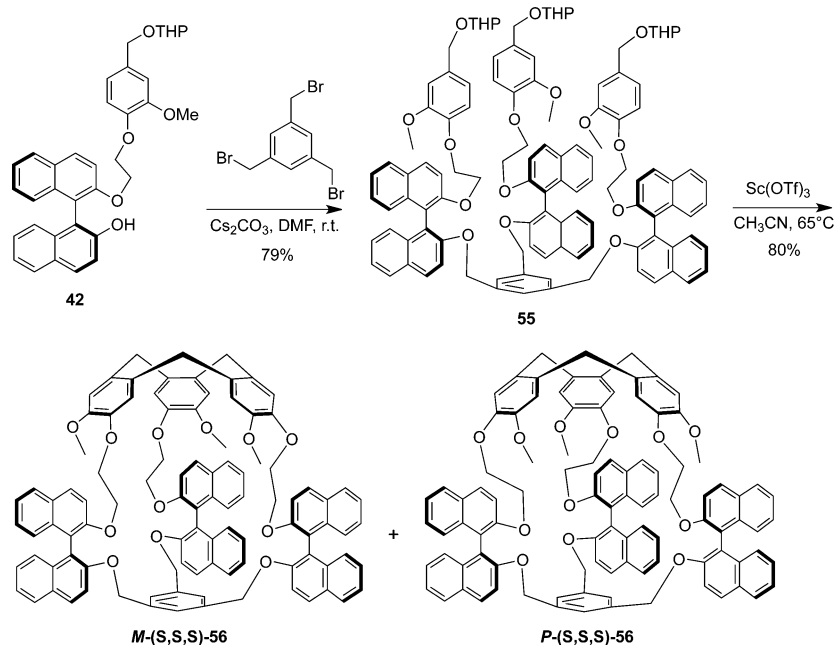
Scheme 8. Synthesis of Hemicryptophanes *M*-(*S,S,S*)-(*S,S,S*)-**46** and *P*-(*S,S,S*)-(*S,S,S*)-**46**



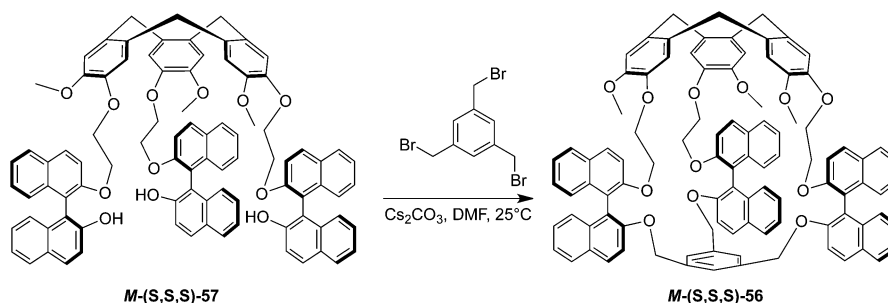
Scheme 11. Synthesis of Hemicryptophane 54



Scheme 12. Synthesis of Hemicryptophanes *M*-(*S,S,S*)-56 and *P*-(*S,S,S*)-56



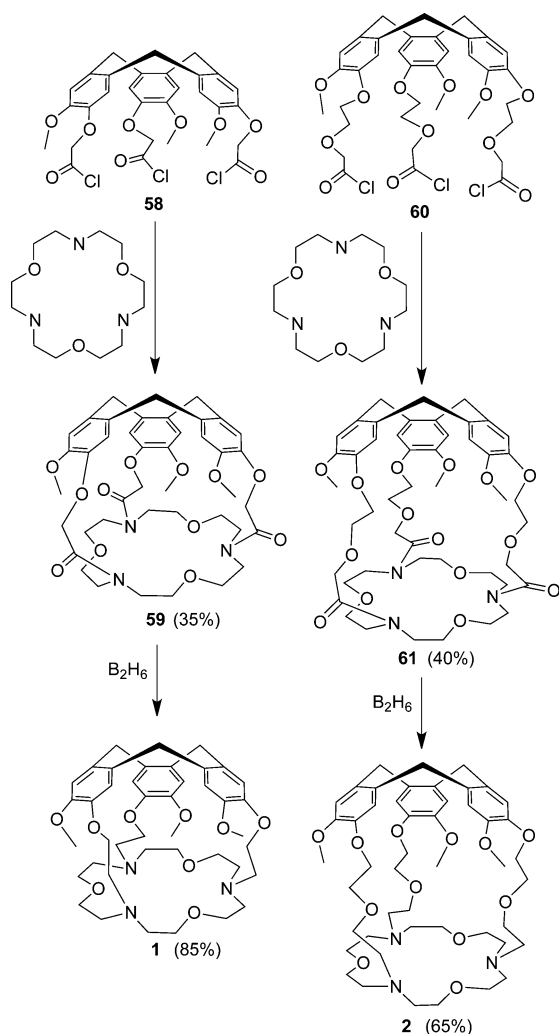
Scheme 13. Synthesis of Hemicryptophane *M*-(*S,S,S*)-56 Using the [1 + 1] Coupling Reaction



2.1.4.2. *Hemicryptophanes Based on a Cyclopeptide Platform.* Hutton et al. synthesized a new class of enantiopure cyclic peptide-containing hemicryptophanes *PL-67* and *ML-67* (Scheme 15) able to recognize the biologically relevant carnitine zwitterion.⁶⁵ Three tyrosine residues provide a hydrophobic “wall” to the cavity, and glycine was chosen for

the alternate residues to facilitate the synthesis of the cyclic peptide and to minimize steric bulk within the cage. First, cleavage of the hexapeptide from the resin with 5% TFA gives the unprotected linear peptide **63**. The cyclization of compound **63** generates the cyclic peptide **65** in quantitative yield after 1 h. Compound **65** can also be prepared starting

Scheme 14. Synthesis of Speleands 1 and 2



from cleavage of the hexapeptide from the resin with 1% TFA; however, an additional deprotection step is required (see Scheme 15). The cyclic hexapeptide **65** is then triply alkylated with bromoethyl vanillyl alcohol **9** to give hemicryptophane precursor **66** in 55% yield. Finally, the CTV unit was generated by treatment of **66** with HCOOH, providing hemicryptophane **67** in good yield (64%) as a mixture of two diastereomers. The *P* and *M* isomers were formed in a 2:1 ratio and readily separated by column chromatography.

2.1.4.3. Hemicryptophanes Based on a α -Cyclodextrin Platform. Chambron et al. synthesized a pair of hemicryptophane diastereomers based on permethylated α -cyclodextrin.⁶⁶ As shown in Scheme 16, α -cyclodextrin triol **68** is treated with a 4-fold excess of NaH in DMF at room temperature followed by addition of the same amount of the iodo compound **69** to give the hemicryptophane precursor **70** in 49% yield. The last cyclization reaction for the hemicryptophane precursor has been tested with HCOOH or Sc(OTf)₃ as catalyst; however, both of them gave very low yields for a mixture of the two diastereomers of **71**, 8% and 5%, respectively. The separation by column chromatography of the two diastereomers obtained in a 6:1 ratio allowed the authors to isolate the main diastereomer in pure form. Interestingly, hemicryptophane **71** acts as a solvent-sensitive NMR and ECD probe. Inclusion of a solvent molecule in the α -CD component

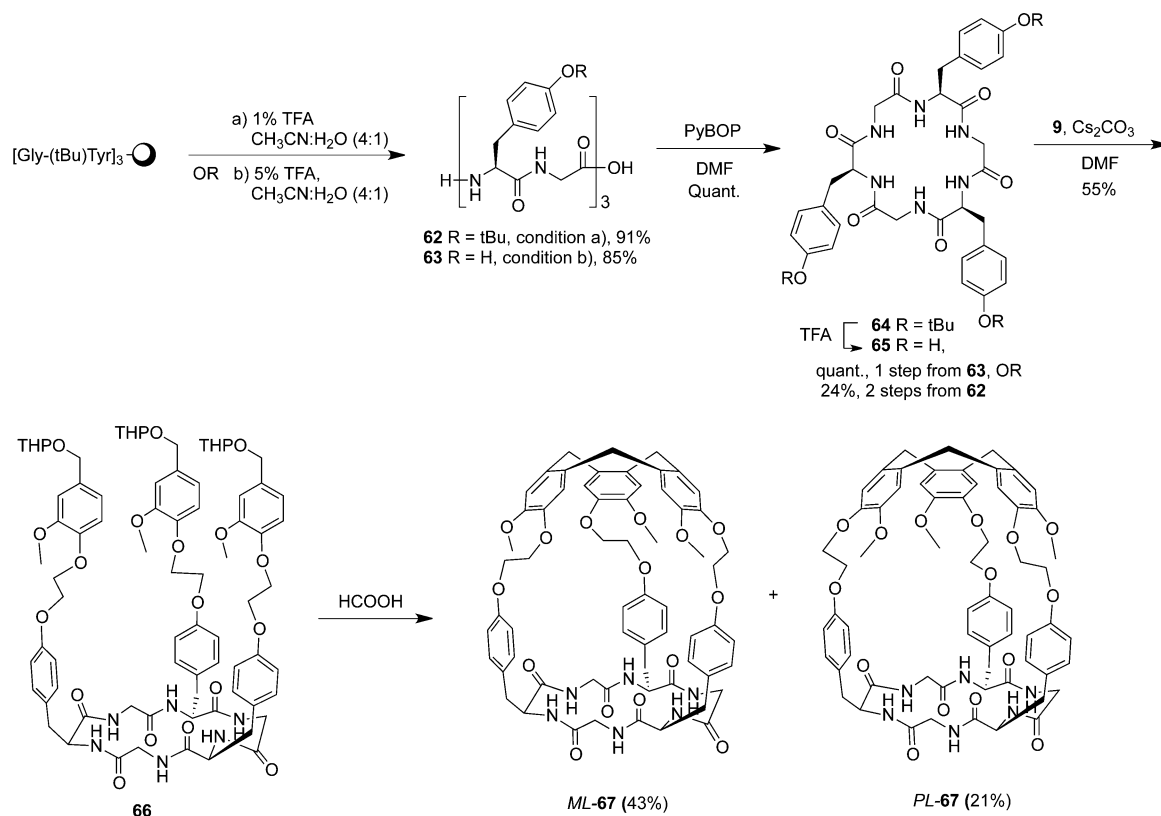
of the hemicryptophane induces conformational changes that result in the shielding of the primary methoxy (6'-OMe) protons of the α -CD unit, which increases with the size of the chloroalkane solvent.⁶⁷

Formation of disulfide bonds was also used for constructing hemicryptophanes based on a α -cyclodextrin scaffold following the [1 + 1] coupling strategy (Scheme 17).⁶⁸ The trimesylate α -cyclodextrin **72** reacts with potassium thioacetate in DMF to give compound **73** in quantitative yield after column chromatography. Subsequently, methanolysis of **73** in the presence of K₂CO₃ in MeOH followed by acidification of the mixture with HCl affords the tris-methylthiol α -cyclodextrin **74**. Addition of a solution of I₂ in MeOH to a methanol solution of **74** and racemic cyclotrithiophenolene **75** in the presence of KI and triethylamine generates the final hemicryptophane **76** in 11% yield as a 5:3 mixture of two diastereomers that could not be separated.

2.1.4.4. Hemicryptophanes Based on a Macrocyclic Arylamide Platform. Wang et al. synthesized hemicryptophanes **85a** and **85b** starting from the CTV tribromides **18a** and **18b** (Scheme 18).⁶⁹ This synthesis follows the seldom-used strategy consisting in preparing a cyclotriphenylene bearing suitably functionalized pendant arms that react together to form the hemicryptophane derivative (Figure 3c). The authors first treated **18a** or **18b** with an excess of NaN₃ in DMF to form the triazide derivatives **77a** and **77b**, respectively. Then the alkynyl-methylbenzoate derivative **80** was prepared from **78** and **79** in DMF in the presence of K₂CO₃ at 80 °C and further hydrolyzed with LiOH to give **81** quantitatively. The acid **81** was then coupled with **82** in CHCl₃ to generate compound **83**, which then reacts with **77a** or **77b** in the presence of CuI to give the hemicryptophane precursors **84a** or **84b**. Finally, the hemicryptophanes **85a** and **85b** are assembled quantitatively by forming three imine bonds from arylamide-derived foldamer segments after adding TFA to the CHCl₃ solution of **84a** and **84b**. In principle, each of the two hemicryptophanes should have four stereoisomers, two pair of enantiomers, because of the relative orientation of the CTV and triimine macrocyclic units. However, only one set of signals is observed in the ¹H NMR spectra, suggesting that only one isomer (or a pair of enantiomers) was formed in the final step. Molecular dynamic simulations demonstrated that isomers, where the methoxy of the CTV moiety and the imine groups are arranged in anti orientation with respect to one another, are more energetically favorable and probably the observed products in solution. Hemicryptophanes **85a** and **85b** turned out to be good receptors for C₆₀ and C₇₀ fullerenes (see section 3.2.5).

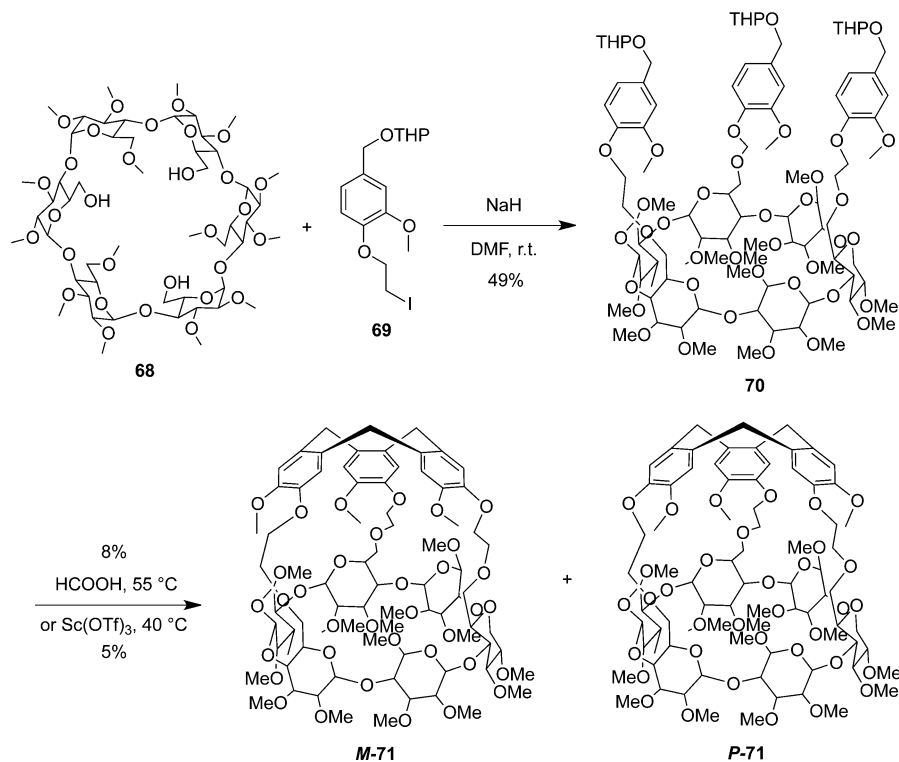
2.1.4.5. Hemicryptophanes Based on Calixarene. On the basis of the reaction between tris-acyl chloride and tris-amino compounds, Jabin and co-workers synthesized hemicryptophane **90** with a calix[6]arene platform (Scheme 19).⁷⁰ The [1 + 1] macrocyclization reaction between calix[6]arene **86** and CTV **87** was first performed under high dilution conditions, giving the hemicryptophane **90** in 15% yield after flash chromatography purification. With the aim of improving the yield, they also tested and optimized the reaction between calix[6]trisamine **86** and CTV tris-acid **88** in the presence of peptide-coupling reagents such as PyBOP ((benzotriazol-1-yloxy)tripyrrolidinophosphonium hexafluorophosphate) to produce **90** with a much better yield (46%). Under the same reaction conditions they also obtained the hemicryptophane **91** containing a wider cavity and a larger number of hydrogen-bond donors in 33% yield (Scheme 19).

Scheme 15. Synthesis of Hemicryptophanes ML-67 and PL-67^a



^aAdapted from ref 65. Copyright 2013 The Royal Society of Chemistry.

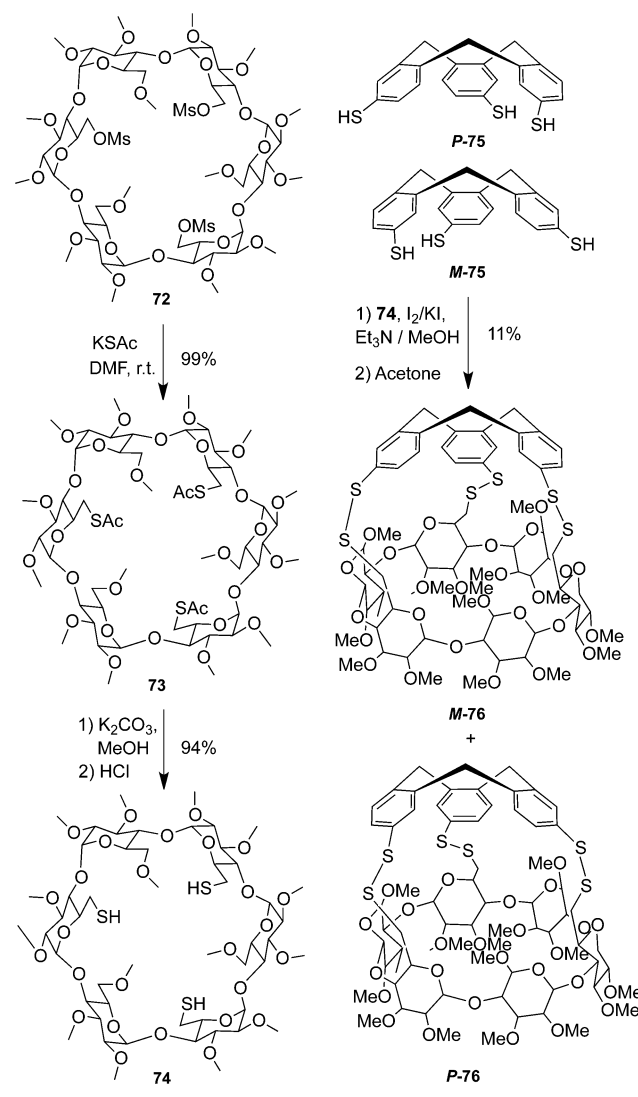
Scheme 16. Synthesis of Hemicryptophanes M-71 and P-71



2.1.5. Hemicryptophanes Based on Heteroatomic Pivot. 2.1.5.1. *Hemicryptophanes with a Phosphotrihydrazone Core.* Following the [1 + 1] strategy, the racemic

hemicryptophane **6** was successfully obtained (Scheme 20a).³⁰ The trisallyl CTV **93** was first prepared from the allyl-protected vanillyl alcohol **92** in perchloric acid and methanol. The Pd-

Scheme 17. Synthesis of Hemicryptophanes M-76 and P-76



catalyzed deprotection of **93** in the presence of Et_2NH in $\text{THF}/\text{H}_2\text{O}$ led to the cyclotriguaiacylene **94**, which reacted with the brominated derivative **95** in the presence of aqueous NaOH in DMF – HMPA to give the precursor **96** bearing three benzaldehyde moieties. Finally, **96** reacted with phosphotrihydrazide **97** in THF under high dilution conditions affording the hemicryptophane **6** in 23% yield. It was proposed that the success of the last reaction is partly attributed to the rather rigid conformation of the phosphorus derivative.

A similar synthetic scheme led to hemicryptophane **101**, which was specifically designed to complex iron and gallium ions (section 2.3.2.2). The reaction of the cyclotriguaiacylene platform **94** with allyl-protected benzaldehyde **98** afforded the precursor **99**. The subsequent deprotection step led to compound **100**, which was allowed to react with phosphotrihydrazide **97** to give hemicryptophane **101** (Scheme 20b).⁷¹

2.1.5.2. Hemicryptophanes with an Amine Core. The synthesis of hemicryptophane **105** was reported by Dmochowski et al.,⁵² where vanillyl alcohol **7** reacted with dibromo-*p*-xylene **102** in the presence of K_2CO_3 to form compound **103**, which was treated with a catalytic amount of $\text{Sc}(\text{OTf})_3$ in CH_3CN to afford the hemicryptophane precursor **104** (Scheme 21). In the last step, compound **104** reacted with 7 N NH_3 in

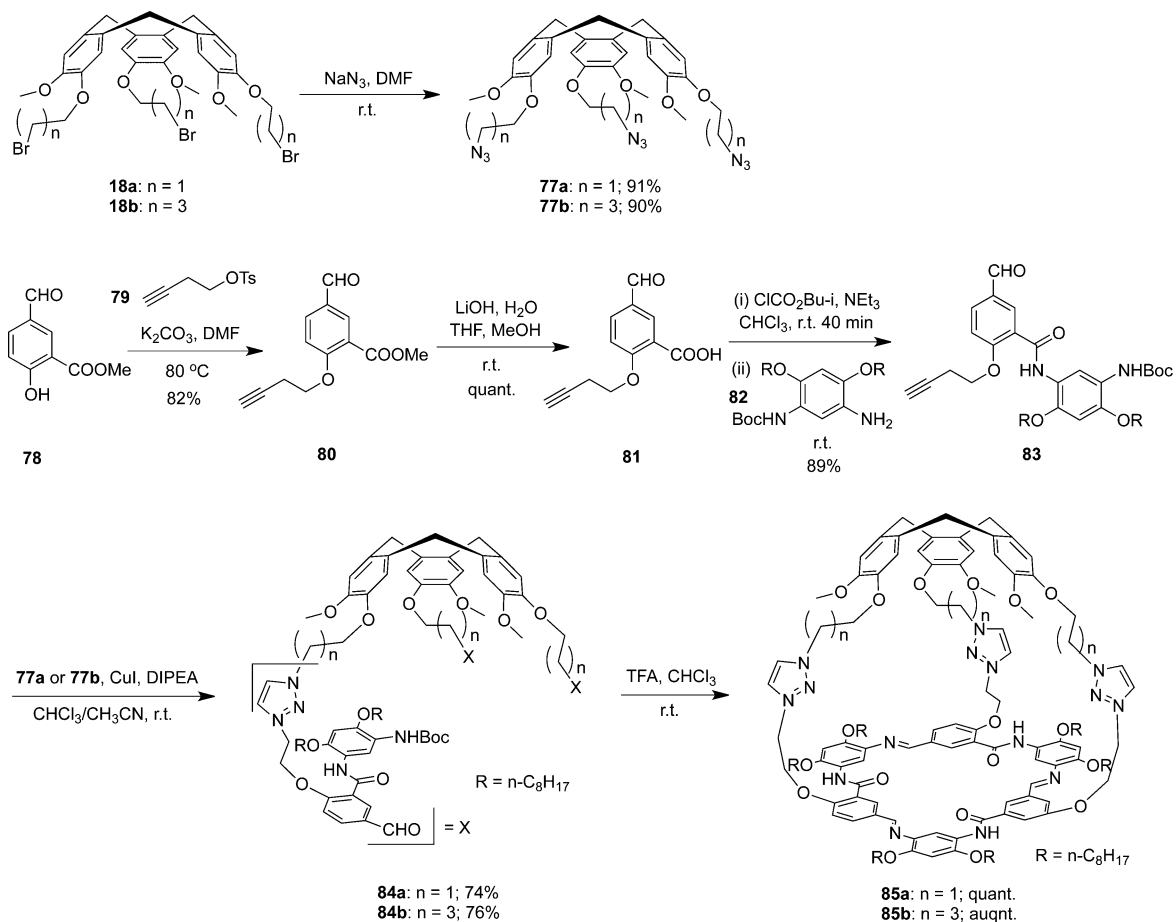
MeOH at high temperature to give the hemicryptophane **105** in 67% yield. It can be noted that this short, streamlined synthetic scheme utilizes mild conditions and results in a fairly good overall yield (13%).

2.1.6. Hemicryptophanes Formed from a Covalent CTV– C_{60} Adduct. The concave electron-donor aromatic surface of the CTV scaffold is known to interact favorably with electron-acceptor fullerenes C_{60} or C_{70} .^{72–74} Diederich et al. used this property to build a covalent CTV– C_{60} assembly that led to a hemicryptophane-like architecture. The tether-directed Bingel reaction of C_{60} with the tris-malonate CTV derivative **106** led in one step to C_3 -symmetrical CTV– C_{60} tris-adducts **3** and **4** (Scheme 22).^{29,75} Although no available cavity is observed in **3** and **4**, these adducts can be considered as hemicryptophane structures. Many regioisomers are expected that complicate the determination of the stereochemistry of the isolated compounds.⁷⁶ In their former work, Diederich and colleagues isolated the *trans*-3,*trans*-3,*trans*-3 and *e,e,e* regioisomers, which were characterized by their FAB-mass spectra and UV–vis and NMR spectroscopies. Later, the authors performed a new synthesis from the enantiomerically pure CTV units *P*-**106** and *M*-**106** to get more insights into the structure and configuration of the CTV– C_{60} adducts. In this work, they observed the exclusive formation of only four CTV– C_{60} adducts among the $2^3 = 8$ expected ones according to the combination of *trans*-3,*trans*-3,*trans*-3 or *e,e,e* with the *P* or *M* configurations of the CTV units. The ECD and VCD spectra allowed the assignment of the tris-adducts and their absolute configuration based on the known absolute configuration of the enantiopure CTV units *P*-**106** and *M*-**106**. These results revealed that only the *trans*-3,*trans*-3,*trans*-3 tris-adducts were formed underlining the complete regioselectivity of the addition reaction of **106** with C_{60} .⁷⁷

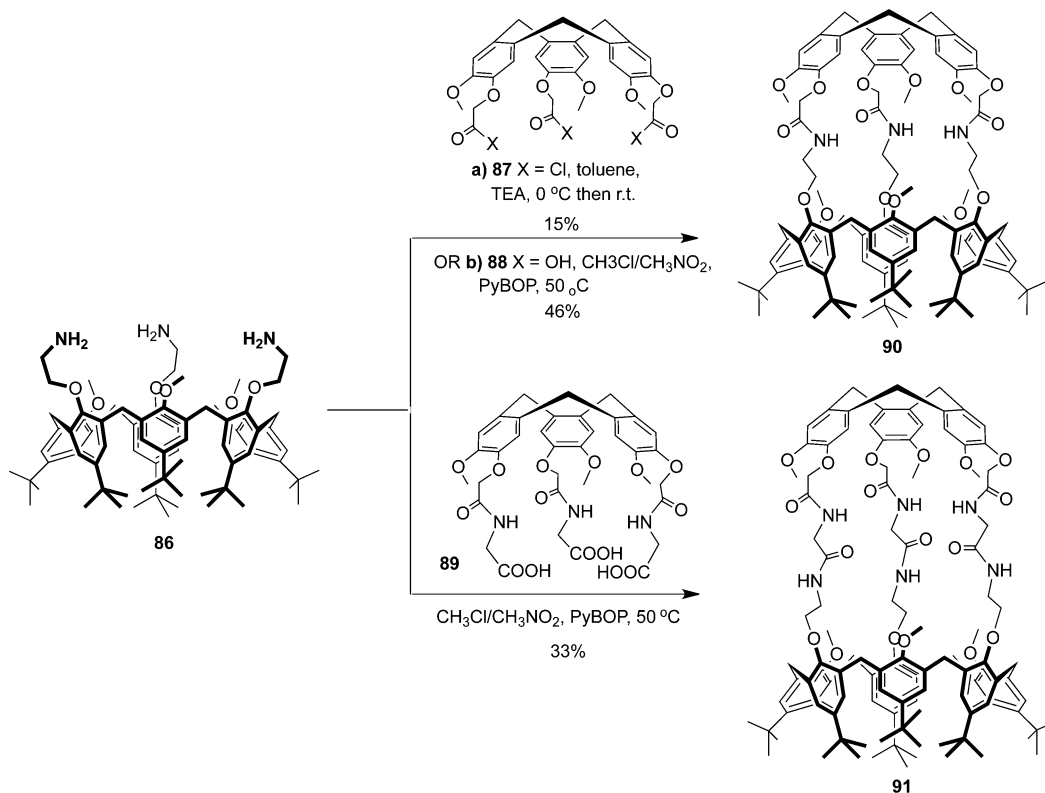
2.1.7. Hemicryptophanes Constructed from a Diphenylglycoluril Unit. Nolte et al. reported in 1989 the preparation of one of the first hemicryptophane structures. The cage molecule (**5**) was constructed from the CTV scaffold and a diphenylglycoluril southern unit that did not satisfy the expected C_3 symmetry, giving rise to a molecular cage with a free functionalized arm. The strategy used is depicted in Scheme 23: vanillin reacts first with 1,6-dibromohexane to give **107**, which then reacts with diphenylglycoluril to give the precursor **108a** with four *n*-hexyl arms terminated with vanillyl groups. Reduction with NaBH_4 affords the corresponding benzylic alcohol derivative **108b**, which is treated in formic acid to produce the expected hemicryptophane **5**.²⁸

2.1.8. Hemicryptophanes Obtained by Metal Coordination. Although they are not strictly covalent hemicryptophane hosts, several CTV metal complexes gave rise to original hemicryptophane structures. Functionalized CTVs with three pendant arms have been prepared as new C_3 -symmetrical ligands for metal coordination. For example, by incorporating thiol groups on the arms, the cyclotrimeratrylene derivatives thus obtained were able to complex iron–sulfur clusters. Collet et al. reported in 1994 the formation of complexes **109** and **110** (Figure 5).⁷⁸ The same year the group of Nolte synthesized very similar ligands, which reacted with various $[\text{4Fe-4S}]$ clusters affording complexes such as **111** and **112** presented in Figure 5. It was shown that for hemicryptophane **111** with a Fe–Cl bond, the chloro ligand was pointing toward the cavity, whereas the Fe–SR group in **112** is oriented outside the cavity.⁷⁹

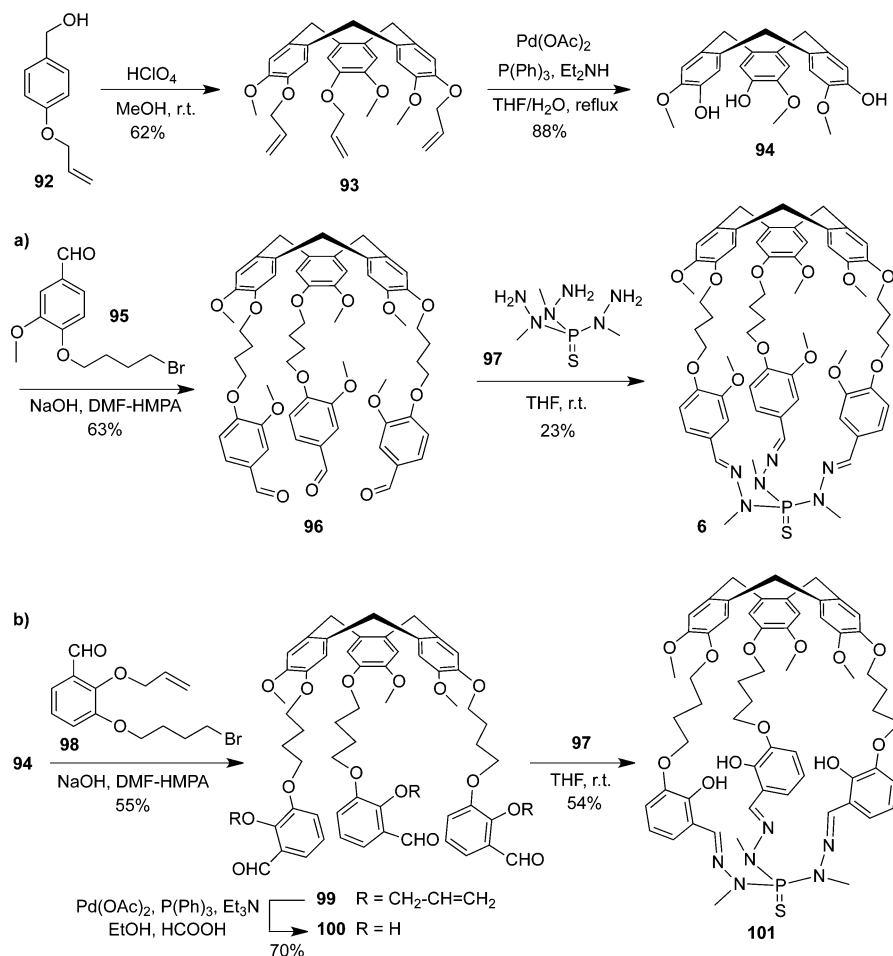
Scheme 18. Synthesis of Hemicryptophanes 85a and 85b



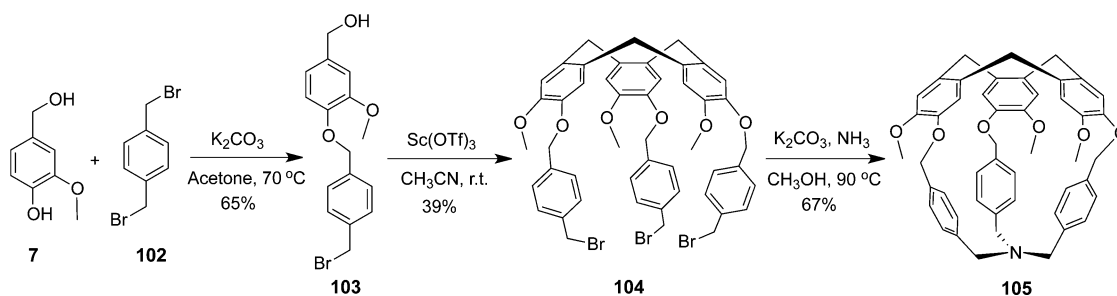
Scheme 19. Synthesis of Hemicryptophanes 90 and 91



Scheme 20. Synthesis of Hemicryptophanes 6 (a) and 101 (b)



Scheme 21. Synthesis of Hemicryptophane 105



Several C_3 cyclotrimeratrylene ligands featuring three arms ending with catecholate, hydroxamate, bipyridine, or iminopyridine moieties were synthesized for the octahedral coordination of iron(II) and iron(III) (Figure 6). Ligands bearing various pendant arms (a–h in Figure 6) were generally obtained by reaction of CTV precursors such as 52, 53, or 88 with the corresponding suitable reagents.³¹ Magnetic and electrochemical properties of iron(II) complexes 113e–h were investigated. As an example, compound 113g was characterized by X-ray diffraction and Mössbauer spectroscopy, and it has been shown that both low-spin and high-spin states coexist in the solid. These iron complexes contain two stereogenic elements, possibly leading to the two diastereomeric racemates ($M\Delta/P\Lambda$) and ($M\Lambda/P\Delta$). Interestingly, in the X-ray molecular

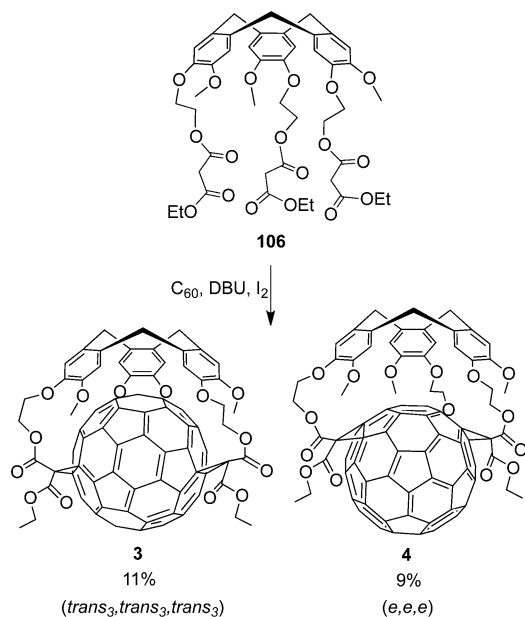
structure of 113g only the ($M\Delta/P\Lambda$) pair of enantiomers was found.⁸⁰

In 1995 Nolte and co-workers published also a rhodium complex of a CTV ligand bearing three arms terminated by triphenyl phosphite functions. The hemicryptophane complex thus obtained is quite flexible, and the cavity is occupied by the spacer arms.⁸¹

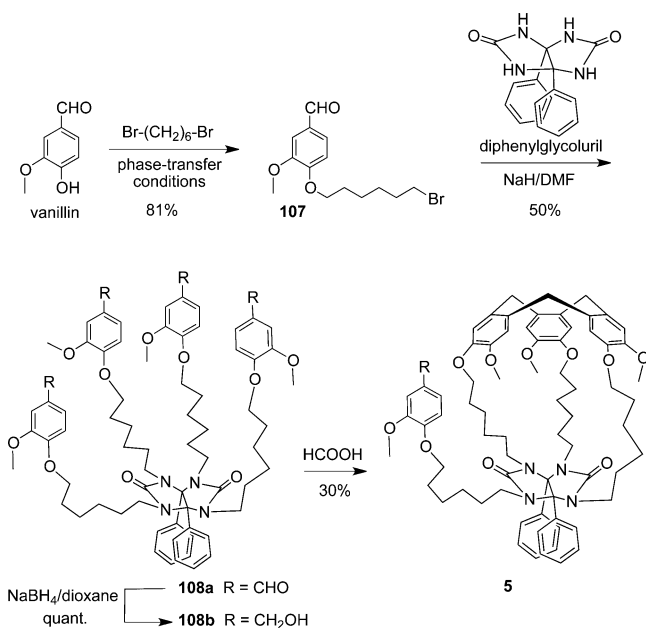
2.2. Formation of Hemicryptophane Capsules by Reversible Bonds/Interactions

The association of two or more CTV units has led to interesting self-assembled supramolecular systems, which present a molecular cavity. We will not report on these cage structures, which are out of the scope of this review. They are more like reversible capsules with C_3 symmetry when two cyclotribenzylene caps self-arranged to form a cryptophane-like

Scheme 22. Synthesis of C60-CTV Tris-Adducts 3 and 4



Scheme 23. Synthesis of Hemicryptophane 5



structure.^{82–85} Some can also form MOF-type structures⁸⁶ when several cyclotribenzylene units are held together through metal coordination.^{87–92} However, besides the covalent synthesis of hemicryptophane cages, reversible covalent bonds, such as dynamic boronate esterification,^{93,94} and reversible supramolecular interactions, such as ionic interaction,⁹⁵ have also been developed for construction of hemicryptophane capsules. Compared to the covalent synthesis, the self-assembling is straightforward and can avoid tedious purification procedures. However, the lower stability of the resulting cages can be a main drawback, as mentioned for self-assembled architectures using reversible bonds/interactions.^{96–99} Furthermore, the assemblies are usually driven by external template molecules, which may go inside the cavity of the hemicryptophane capsules, preventing access to their inner cavities to other guest molecules.¹⁰⁰

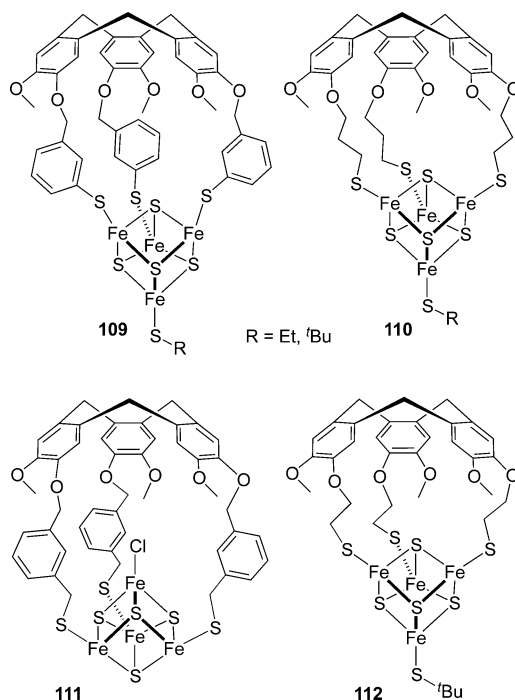


Figure 5. Structures of hemicryptophanes built on [4Fe-4S] clusters.

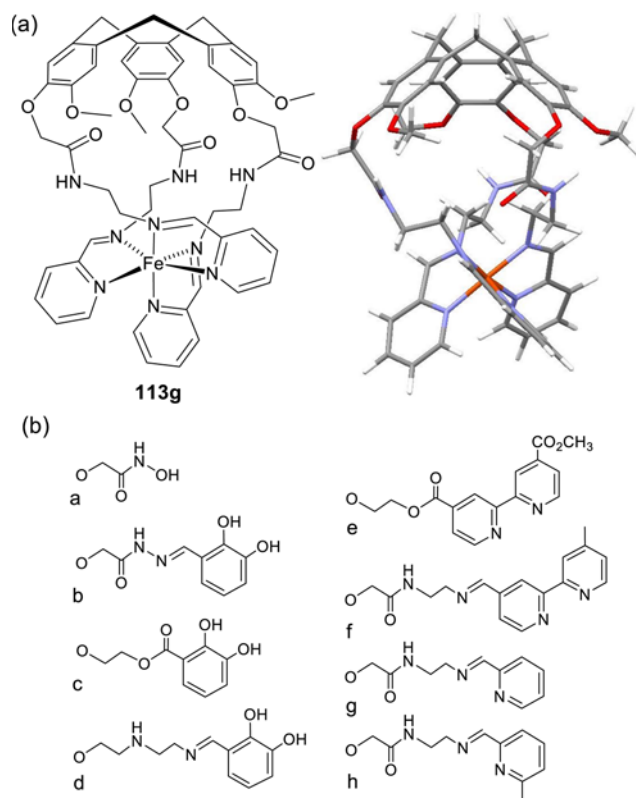
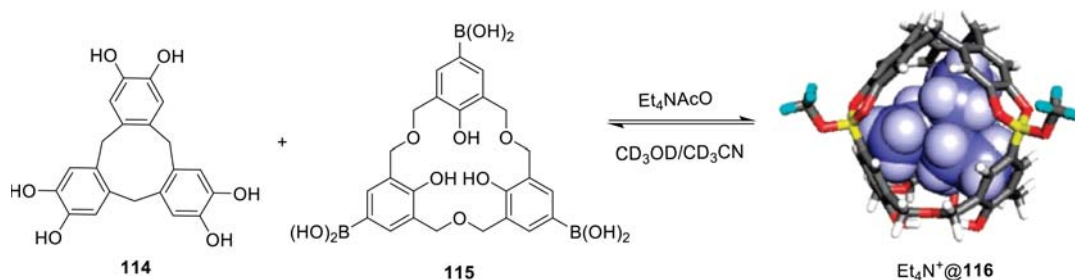


Figure 6. Hemicryptophane 113g obtained by complexation of iron ion: (a) X-ray molecular structure (stereoisomer P_{Δ} is shown); (b) different linkages used in the construction of complexes 113a–h.

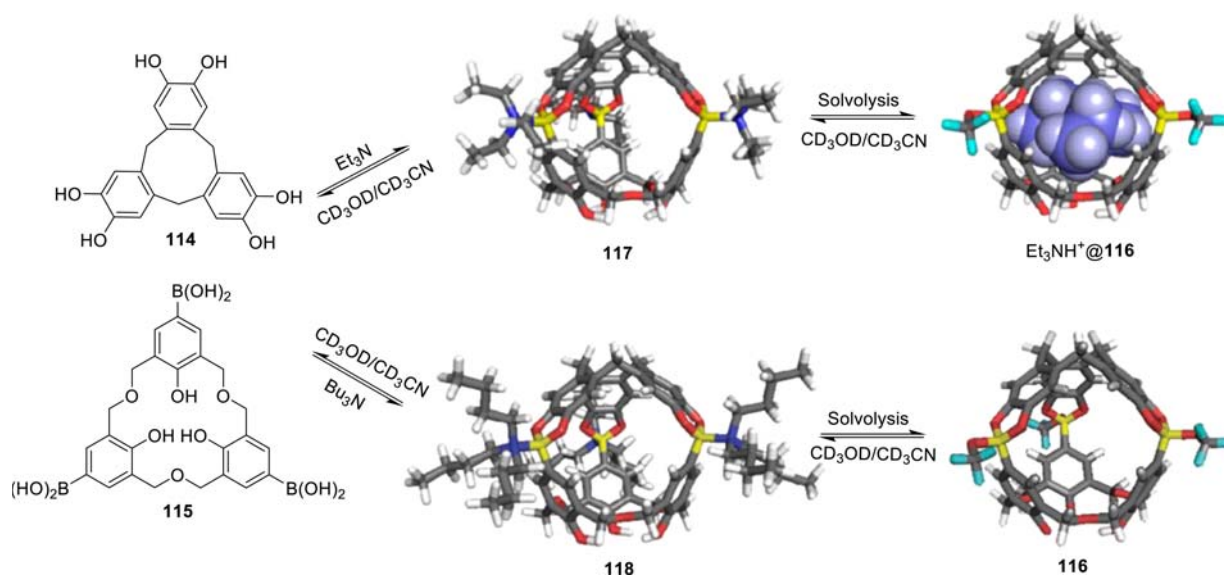
2.2.1. Formation by Boronate Esterification. Boronic acids can rapidly and reversibly form cyclic boronate esters with diols showing great promise for developing self-assembled hemicryptophane capsules.^{101,102} For example, Kataoka et al. described the ion-pair-driven hemicryptophane self-assembled

Scheme 24. Formation of Hemicyrptophane Capsule $\text{Et}_4\text{N}^+@116$ ^a



^aAdapted from ref 93. Copyright 2007 American Chemical Society.

Scheme 25. Formation of Hemicyrptophane Capsules 116 and $\text{Et}_3\text{NH}^+@116$ ^a



^aAdapted with permission from ref 94. Copyright 2009 The Royal Society of Chemistry.

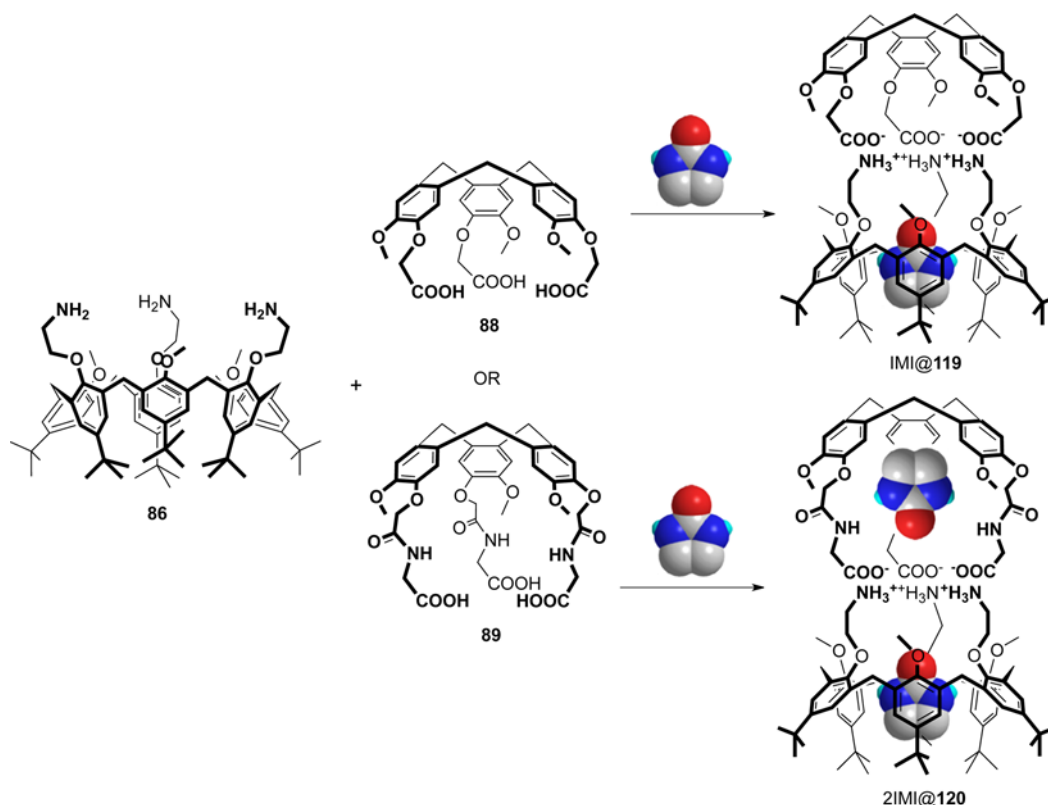
capsule **116** using cyclotricatechylene **114** and boronic acid-appended hexahomotrioxacalix[3]arene **115** via boronate esterification (Scheme 24).⁹³ Individually, **114** exhibits a bowl-shaped structure, whereas **115** presents a flexible conformation. No assembled capsule is formed when mixing **114** and **115** in $\text{CD}_3\text{OD}/\text{CD}_3\text{CN}$ since no change of the ^1H NMR chemical shifts of **114** and **115** is observed. However, when 3 equiv of $\text{Et}_4\text{N}^+\text{AcO}^-$ is added to this solution, the ^1H NMR spectrum changed significantly; in particular, the ethereal protons in **115** become a doublet, suggesting that the conformation of **115** is frozen into a cone conformation. In addition, the encapsulated Et_4N^+ species is also detected at low chemical shift, demonstrating formation of a molecular capsule induced by $\text{Et}_4\text{N}^+\text{AcO}^-$. The authors also use ROESY, DOSY, and ESI-MS techniques to investigate the $\text{Et}_4\text{N}^+\text{AcO}^-$ -triggered self-organization. The decomposition–reconstruction process of **116** is reversible and could be controlled by the pH. Nevertheless, it cannot be neglected that Et_4N^+ plays an important role as template in formation of the cage, preventing exploration of the recognition capacities of the inner space.

Subsequently, the authors reported the assembled hemicyrptophane capsule **116** with the same modules **114** and **115** triggered by amine using dynamic boronate esterification.⁹⁴ As shown in Scheme 25, upon addition of Et_3N to the $\text{CD}_3\text{OD}/\text{CD}_3\text{CN}$ solution of **114** and **115**, the N–B bonds are first formed together with the association of two modular

compounds to generate the intermediate **117**. Then the cage **117** is subjected to a solvolysis reaction to produce the CD_3O -attached **116** with encapsulation of Et_3NH^+ . Addition of Bu_3N also follows the same sequence, first giving intermediate **118**. However, only the capsule **116** with an empty cavity is obtained in the solvolysis reaction because of the larger size of Bu_3NH^+ compared with Et_3NH^+ . This result is quite appealing since an empty assembled hemicyrptophane capsule is obtained, of which properties and applications, such as molecular recognition, could be investigated.

2.2.2. Formation by Ionic Interactions. Ionic interactions between anion and cation have also been utilized for constructing self-assembled hemicyrptophane cages.⁹⁵ Jabin et al. combined the trisamine-calix[6]arene **86** with the CTV-tricarboxylic acids **88** or **89** to generate [1 + 1] hemicyrptophanes (Scheme 26). In a first experiment addition of 1 equiv of calix[6]trisamine **86** to a suspension of CTV-tricarboxylic acid **88** in CDCl_3 did not generate [1 + 1] hemicyrptophane capsule, and instead, various aggregated species were formed probably because of the flexibility of the calix[6]arene structure. However, subsequent addition of 3 equiv of neutral polar molecules, such as imidazolidin-2-one (IMI), to this 1:1 mixture led to a discrete [1 + 1+1] self-assembled ternary hemicyrptophane capsule IMI@**119** composed of **86**, **88**, and encapsulated IMI. Furthermore, other polar molecules, such as imides, alcohols, amides, sulfoxides,

Scheme 26. Formation of Hemicryptophane Capsules IMI@119 and 2IMI@120^a



^aAdapted with permission from ref 95. Copyright 2007 Elsevier.

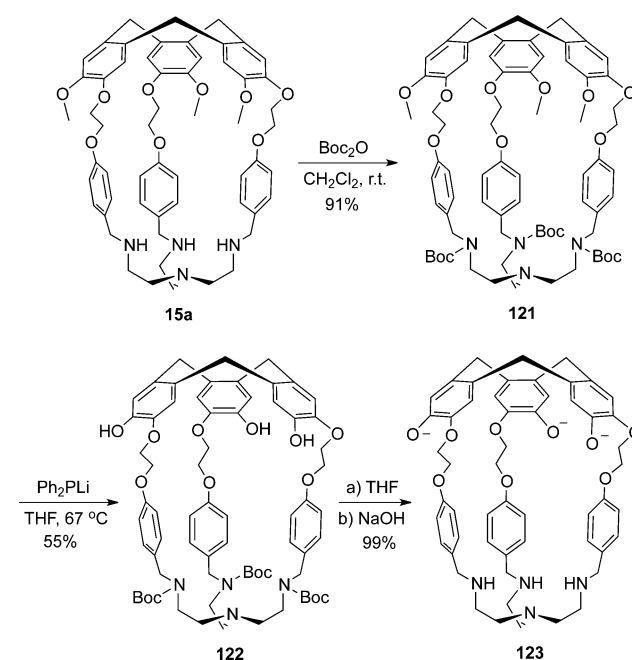
carbamates, or ureas, could also induce the formation of the hemicryptophane capsules through an induced-fit process. These polar molecules can go inside the cavity of the calix[6]tris-ammonium via hydrogen-bonding, CH- π interactions with the aromatic walls, and charge-dipole interactions, leading to the expulsion of the methoxy groups from the calix cavity, rigidification of the calixarene core, and preorganization of the ammonium arms, which are necessary for assembly of the [1 + 1] ion-paired complex. Interestingly, when using the CTV building block 89, a new self-assembled ditopic hemicryptophane capsule 2IMI@120 including simultaneously two IMI molecules, one in the calixarene cavity and one in the CTV cavity, was formed thanks to the larger size of the building block 89 and the flexibility of 86.

2.3. Functionalization of Hemicryptophanes

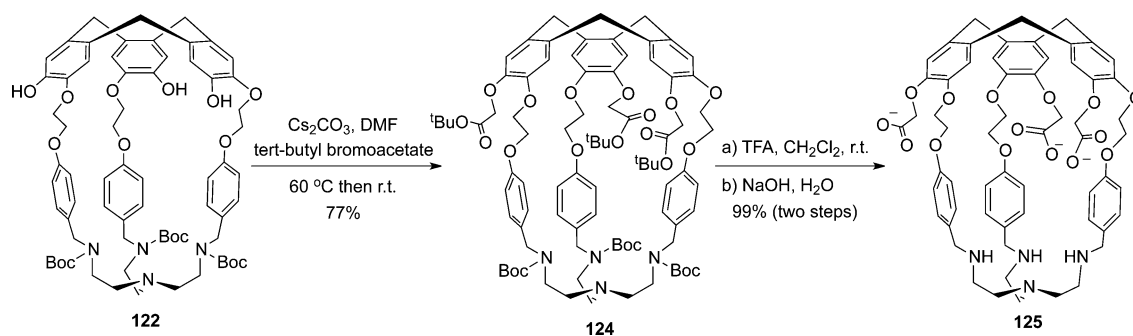
2.3.1. Water-Soluble Hemicryptophanes. Effective molecular recognition or catalysis in water is especially meaningful and attractive since it can mimic more accurately biological systems.^{103,104} Accordingly, supramolecular events should take place in water at physiological pH without addition of organic solvent, requiring water-soluble molecular hosts. The design of water-soluble hemicryptophanes is a real challenging task that mainly relies on introduction of hydrosoluble functions on the CTV unit. Two strategies that address this issue have been reported, where hydrosoluble groups allow solubilization of the host in strong basic aqueous solution¹⁰⁵ or in water at physiological pH.¹⁰⁶ The two strategies that either introduce phenol functions or incorporate carboxylate groups on the CTV units originate from the synthesis of water-soluble cryptophanes.^{107–109}

The tren-hemicryptophane 123 bearing three phenol functions on the CTV cap was synthesized from hemicryptophane 15a (Scheme 27).¹⁰⁵ The tren unit in 15a was first protected by *tert*-butyloxycarbonyl groups (Boc) to give compound 121, avoiding the difficult and tedious purification

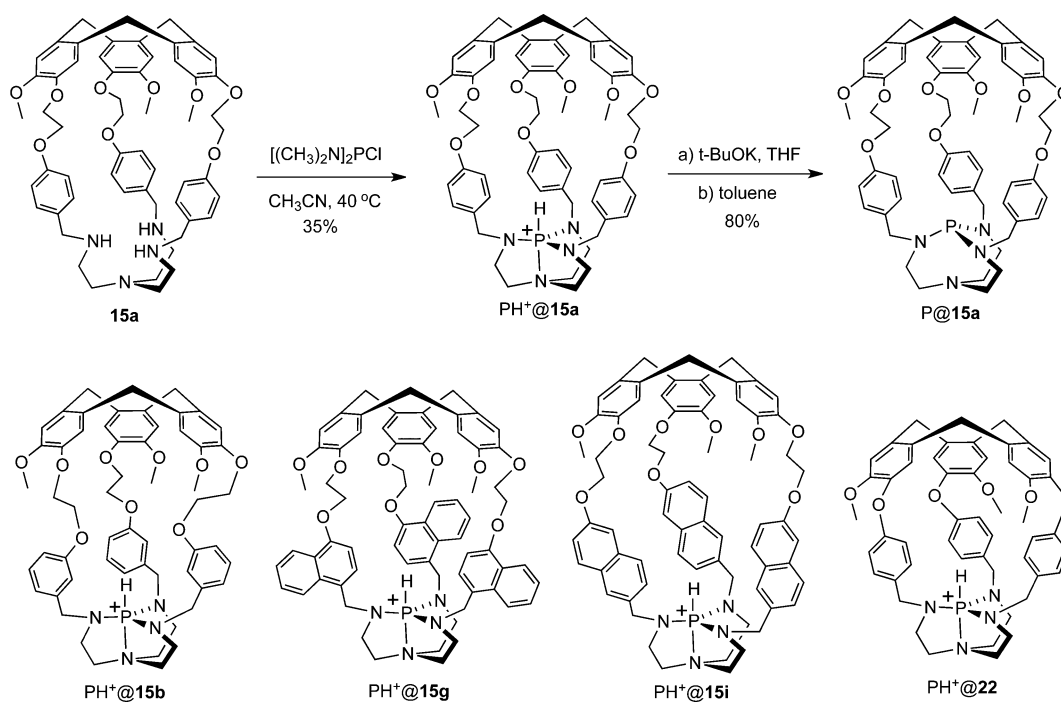
Scheme 27. Synthesis of the Water-Soluble Hemicryptophane 123



Scheme 28. Synthesis of Water-Soluble Hemicryptophane **125**



Scheme 29. Synthesis of (Pro)Azaphosphatrane Encaged Hemicryptophane Complexes



of compounds presenting simultaneously amine and phenol functions. Then the methoxy groups of **121** are removed using Ph_2PLi to afford the trihydroxy-*N*-Boc-protected derivative **122**. Finally, deprotection of the amine functions in **122** using trifluoroacetic acid led quantitatively to the hexaphenolate hemicryptophane **123** soluble in water at $\text{pH} = 12$.

Hemicryptophane **123** is only soluble in water at strong basic pH , which does not allow its use in biological environment at physiological pH . To overcome this problem the hemicryptophane **125** was synthesized based on the above intermediate **122** (Scheme 28).¹⁰⁶ The first step involves reaction of **122** with *tert*-butyl-bromoacetate in DMF in the presence of Cs_2CO_3 to yield the protected triester derivative **124**. Deprotection of the amine and the ester functions with trifluoroacetic acid affords quantitatively the desired hemicryptophane **125** bearing three carboxylate groups and soluble in water at physiological pH ($\text{pH} \approx 7$).

2.3.2. Endohedral Functionalization of Hemicryptophanes. Endohedral functionalization of a molecular cavity is a highly challenging topic, arousing growing interest from the international community.^{110–115} Endohedral nonmetal or metal functional groups inside the molecular cavity can participate as binding sites to enhance the host–guest interactions or act as

reactive centers to promote catalytic reactions in the confined space of the inner cavity, mimicking biological entities such as enzymes.^{113–117} The endohedral functionalization of the hemicryptophane cages reported so far is mainly concerned with (pro)azaphosphatrane derivatives and the vanadium (V(V)), zinc (Zn(II)), copper (Cu(II)), and ruthenium (Ru(II)) hemicryptophane complexes, the crucial role of which as supramolecular catalysts has been investigated.

2.3.2.1. Encaged (Pro)Azaphosphatranes: Synthesis and Cage Effect. Proazaphosphatranes, also known as Verkade's superbases, and their azaphosphatrane protonated counterparts exhibit catalytic properties in a wide range of reactions.^{47,118–122} In 2011, Dutasta and Martinez et al. synthesized the first hemicryptophane-Verkade's superbases and its conjugated acid $\text{PH}^+\text{@15a}$ from their precursor **15a** using the experimental conditions reported for other azaphosphatranes (Scheme 29). Addition of hemicryptophane **15a** to a solution of $[(\text{CH}_3)_2\text{N}]_2\text{P(=O)Cl}$ in CH_3CN afforded $\text{PH}^+\text{@15a}$ in 35% yield, which was then deprotonated using potassium *tert*-butoxide (*t*-BuOK) in THF to give the encaged superbases P@15a in 80% yield.^{123,124} The azaphosphatrane-hemicryptophanes $\text{PH}^+\text{@15a}$ was optically resolved by chiral semipreparative HPLC, leading to the enantiopure compounds $\text{PH}^+\text{@M-15a}$ and $\text{PH}^+\text{@P-}$

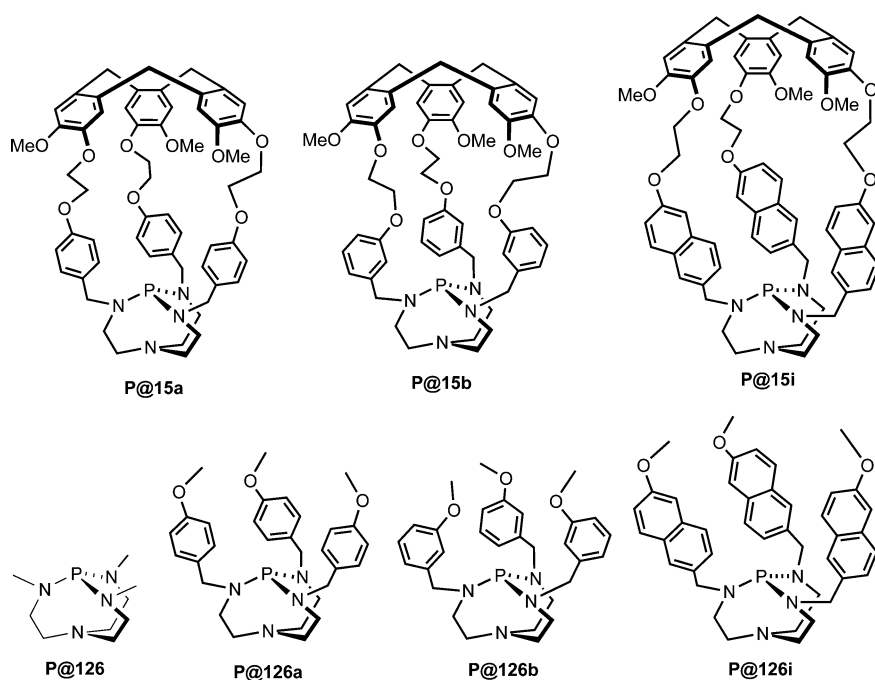


Figure 7. Structures of hemicryptophane superbases and the noncaged models.

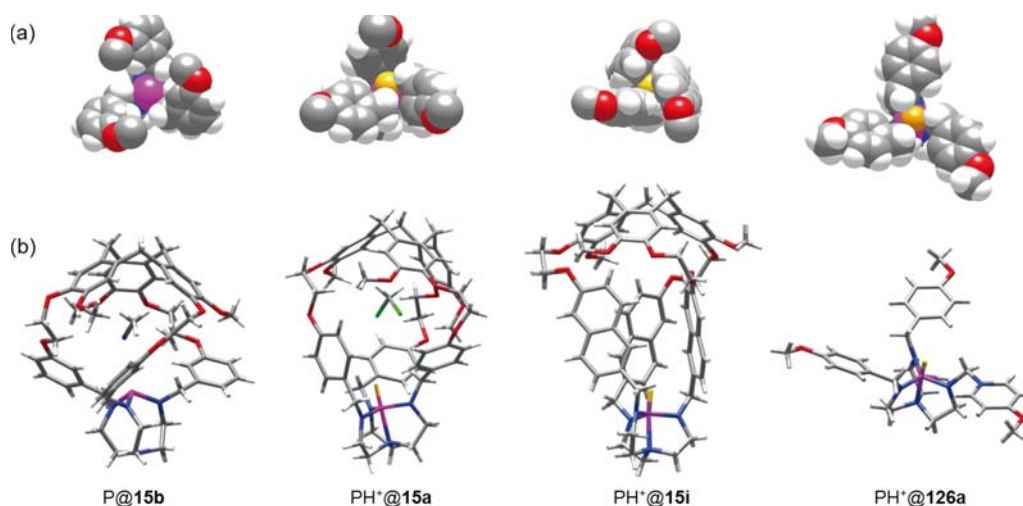


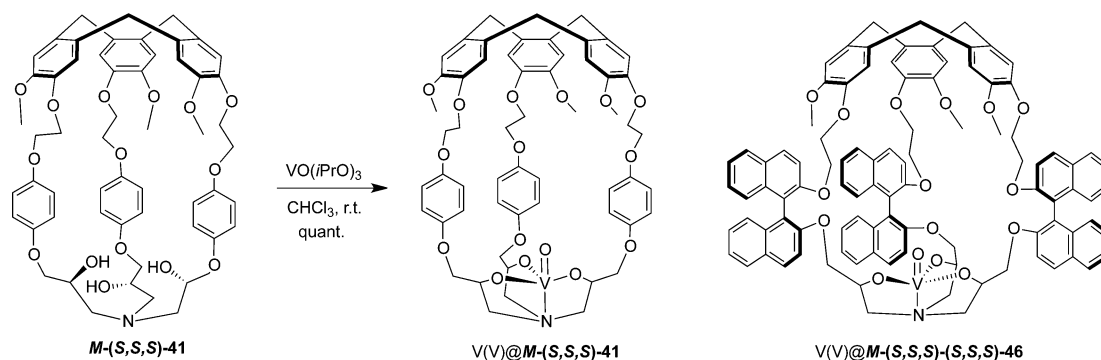
Figure 8. X-ray crystal structures of the encaged Verkade's superbase P@15b and the azaphosphatranes PH⁺@15a, PH⁺@15i, and PH⁺@126a: (a) space-filling top views of the phosphorus centers (for P@15b, PH⁺@15a, and PH⁺@15i, the CTU units have been removed for clarity); (b) stick views. Reproduced with permission from ref 124. Copyright 2013 American Chemical Society.

15a.¹²⁵ Likewise, hemicryptophane-superbases P@15b, P@15g, and P@15i and their corresponding protonated forms were also obtained using the same synthetic pathway. Makita et al. also prepared the encaged azaphosphatranes PH⁺@22,¹²⁶ but deprotonation of the PH⁺ failed even with an excess of *t*-BuOK or sodium hexamethyldisilazide (Scheme 29). This was attributed to the smaller size of the molecular cavity that protects the endohedral proton. Most of the encaged azaphosphatranes display a characteristic single ³¹P NMR signal, which is about 20 ppm downfield shifted compared to nonencaged azaphosphatranes.¹²⁵

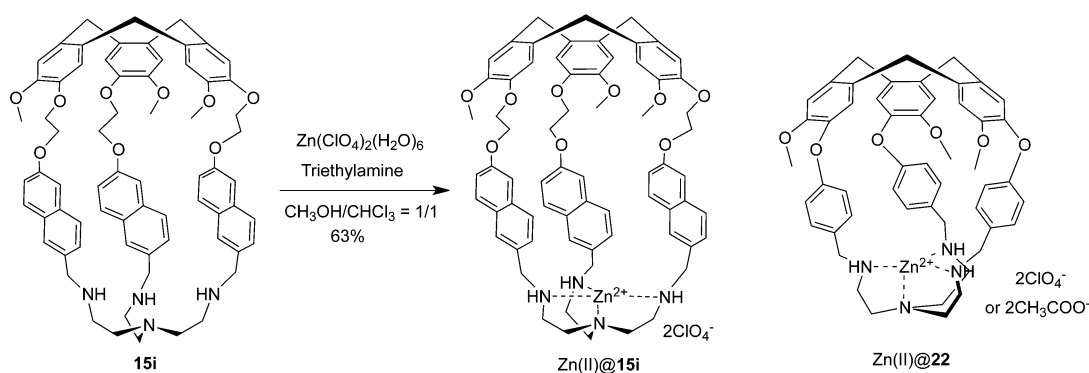
Then Martinez et al. investigated the cage effect on the basicity and reactivity of the superbases.¹²³ Indeed, the kinetics and thermodynamics of proton transfer in biological systems can be strongly modified by the surrounding medium.¹²⁷ Changes in the reactivity in such confined biological entities

have led to the design of bioinspired supramolecular structures.^{128,129} These investigations are likely to provide valuable information for a better understanding of enzymes or other complex biological systems. For that purpose, the model compounds P@126 and P@126a were prepared (Figure 7), and competition experiments were run for determination of pK_a of P@15a and P@126a relative to P@126 in CD₃CN using ³¹P and ¹H NMR spectroscopy. This afforded a value of K_a = 1.03 × 10⁻³³ for P@15a, 7 times smaller than that of its model superbase P@126a (K_a = 7.25 × 10⁻³³), making the encaged superbase P@15a 7 times more basic than the model molecule P@126a. In addition, the deprotonation rate constant is more than 500-fold lower with the encaged PH⁺@15a than with the model PH⁺@126a. In spite of the higher basicity of the superbase P@15a, the rate constant for its protonation is nearly 2 orders of magnitude lower than that for the model

Scheme 30. Synthesis of Oxidovanadium Hemicryptophane Complexes



Scheme 31. Synthesis of Zn(II) Hemicryptophane Complexes



superbase $P@126a$, meaning that the rate constant is unrelated to the thermodynamics of proton transfer. These observations indicate that encapsulation of the phosphorus moiety inside the cage affects the basicity of the superbase and strongly decreases the rate of proton transfer.

In order to further investigate how the size and shape of the nanospace around this highly reactive center can control the basicity and the rate of the proton transfer, the authors prepared a series of encaged proazaphosphatrane superbases having a molecular cavity with different volumes and shapes and also their corresponding model compounds without a cavity (Figure 7).¹²⁴ X-ray crystal structures reveal that from $P@15b$ to $PH^+@15a$ and $PH^+@15i$ the molecular cavity grows along the pseudo- C_3 axis of the molecule but also becomes narrower (Figure 8). It has been shown that the encapsulation greatly affects the basicity of the superbase. For example, according to the pK_a values, the encaged superbase $P@15a$ is 7 times more basic than its model $P@126a$, whereas $P@15b$ is more than 30 times less basic than its model $P@126b$, and $P@15i$ was found to be over 100 times more basic than its model $P@126i$. These results were closely related to the geometry of the environment around the $P-H$ site, and the congestion of the inner space around the phosphorus center corresponds to an increase of the basicity of the superbases $P@15a$ and $P@15i$ compared to their respective models $P@126a$ and $P@126i$. In addition, the kinetic data show that the rates of the proton transfer for these superbases are in the order of $PH^+@121a > PH^+@15b > PH^+@15a > PH^+@15i$, which is consistent with the accessibility of the reactive phosphorus center also observed from the crystal structures.

2.3.2.2. Synthesis of Endohedral Metal@Hemicryptophane Complexes. Metal complexes possess a variety of coordination topologies, thermodynamic stabilities, and kinetics and have

found wide applications in molecular recognition and catalysis. They can serve both as binding and as catalytic sites in the development of sensors and catalysts, similar to the function of metalloenzymes in bioinorganic chemistry.^{130–135} The hemicryptophane cages including tren and trialkanolamine units, such as $15a$ and 41 , can easily coordinate a metal ion such as Zn(II), Cu(II), Ru(II), Al(III), or V(V) to form atrene structures, which are an interesting class of compounds well represented across the periodic table and widely studied.^{44,136–138}

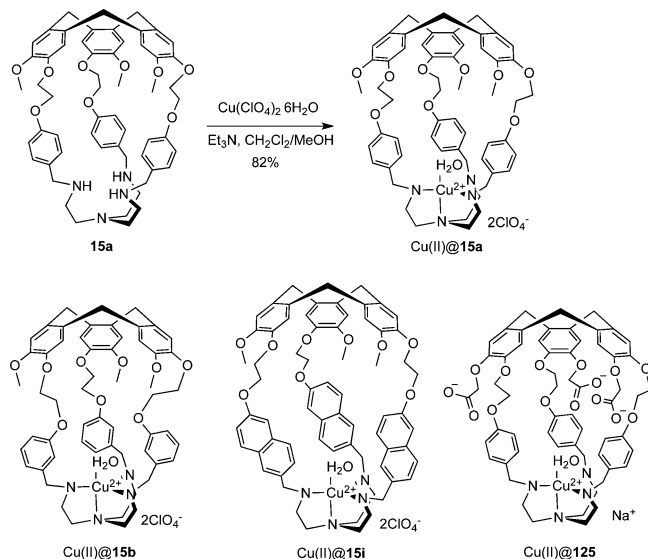
The oxidovanadium hemicryptophane complexes, such as the two diastereomers $V(V)@M-(S,S,S)-41$ and $V(V)@P-(S,S,S)-41$, were synthesized in one step from their precursors bearing trialkanolamine moieties (Scheme 30).^{60,139} Hemicryptophane $M-(S,S,S)-41$ reacted with 1 equiv of vanadium oxytriisopropoxide to give the corresponding complex quantitatively. Similarly, the oxidovanadium hemicryptophane complex $V(V)@M-(S,S,S)-(S,S,S)-46$ and its stereoisomers were also successfully obtained.¹⁴⁰ It is noteworthy that these vanadium complexes present potential catalytic sites in chiral environments, which may find applications in asymmetric catalysis. Another point is that besides vanadium(V), titanium(IV) and zirconium(IV) are also known to form stable complexes with trialkanolamine ligands.^{141,142}

tren-Hemicryptophane $15i$ reacted with $Zn(ClO_4)_2(H_2O)_6$ to yield the $Zn(II)@15i$ complex in 63% yield (Scheme 31).¹⁴³ Following this method, Makita et al. synthesized $Zn(II)@22$ with ClO_4^- or CH_3COO^- as counterion^{54,144} and the $RuCl_2@22$ hemicryptophane complexes.¹⁴⁵

The tren unit easily binds Cu(II) ions, and a series of Cu(II)@hemicryptophane complexes has been described. Different tren-hemicryptophane ligands reacted with stoichiometric amounts of $Cu(ClO_4)_2$ in a $CH_2Cl_2/MeOH$ mixture to

produce Cu(II)@15a, Cu(II)@15b, Cu(II)@15i, and Cu(II)@125 complexes with high yields (Scheme 32).¹⁴⁶ It is important to point out that Cu(II)@125 constitutes the first water-soluble Cu(II)@hemicryptophane complex.¹⁰⁶

Scheme 32. Synthesis of Cu(II) Hemicryptophane Complexes



Recently, Dutasta et al. reported the preparation of Ga(III)@101 and Fe(III)@101 hemicryptophane complexes presenting an octahedral coordination site inside the molecular cavity of the host molecule.⁷¹ The complexes were obtained by addition of Ga(acac)₃ or Fe(acac)₃ to a solution of 101 in 1,1,2,2-tetrachloroethane and characterized by ¹H, ¹³C, and ³¹P NMR spectroscopies. The EPR spectrum of the iron complex Fe(III)@101 indicated a high-spin Fe(III) ion in an octahedral environment. The isostructural X-ray molecular structures of both complexes showed the metal ions nested in the phosphorylated part of the ligand (Figure 9). It is interesting

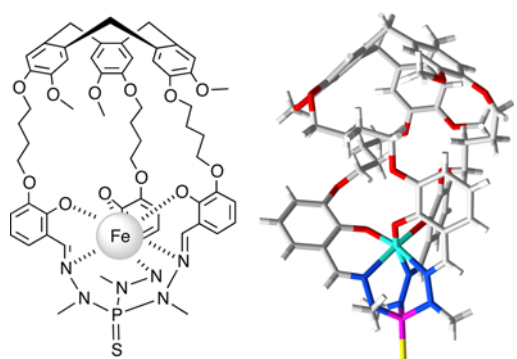


Figure 9. X-ray molecular structure of Fe(III)@101 complex.

to note that the chiral CTV cap induces a helical arrangement of the three linkers of the host molecule, whose configuration is related to that of the CTV unit. Moreover, the complexes present two stereogenic centers, the CTV unit with *P* or *M* configuration and the octahedral coordination site with Δ or Λ configuration, that should lead to two diastereomeric racemates (*P* Δ /*M* Λ and *M* Δ /*P* Λ). In the present case the authors only observed the (*P* Δ /*M* Λ) racemate. This seems to be a general

feature observed with other hemicryptophane structures where the CTV imposes the right- or left-handed conformation of the linkers and also the stereochemistry of other stereogenic elements present in the host molecule.

3. HOST–GUEST CHEMISTRY OF HEMICRYPTOPHANES

The use of molecular containers for complexation and recognition of neutral or charged guests is of increasing interest as they can lead to a better understanding of recognition phenomena in biological systems.^{12,24,147–150} In particular, hemicryptophane molecular receptors present several advantages. (i) The rigid CTV scaffold results in a preorganized cavity in terms of shape and size, enhancing guest binding. Furthermore, the size of the cavity can be controlled and adjusted by changing the linkages between CTV and south units. (ii) The versatile south unit is easily modified to specifically recognize cations, anions, or neutral molecules depending on its interacting sites; thus, various homoditopic and heteroditopic hosts can be designed when combined with the electron-rich CTV moiety. (iii) Signaling groups, for instance, fluorophore, can be incorporated into the cages to provide fast responses with a low detection limit for host–guest complexation. The position of the signaling groups is relatively flexible as they can be tethered to the north or south units or included in the three linkages. (iv) Taking advantage of the chirality of the CTV unit, enantio- and diastereoselective recognition properties are expected.

The first complexation experiment was described in 1982 with hemicryptophane 1,²⁷ followed 26 years later by a second example reported by Le Gac and Jabin.⁷⁰ Only recently, hemicryptophanes have been investigated as complexing agents for various chiral or achiral guests such as ammoniums,^{94,105,151,152} ion pairs,^{49,70,153} zwitterions,^{63,65,143,154} carbohydrates,^{56,57,61,155} and C₆₀ or C₇₀ fullerenes.⁶⁹ This section aims at reporting the complexation properties in the solid state and in a solution of hemicryptophane hosts, emphasizing the enantioselective recognition with enantiopure receptors.

3.1. Guest Inclusion in the Solid State: X-ray Molecular Structures

Dutasta et al. reported in 1999 the first structure of a guest imprisoned in the lipophilic cavity of a hemicryptophane host.³⁰ The crystal structure of hemicryptophane 6, recrystallized from toluene, shows a well-preorganized cavity defined by the CTV and phosphotrihydrazone moieties, with the S=P bond oriented outward of the cavity (Figure 10). One toluene guest is totally encapsulated in the cavity of the host to form a host–guest complex stabilized by van der Waals and C–H \cdots π interactions. In the solid, host 101 encapsulates a toluene molecule and displays the two *P* and *M* configurations of the CTV unit.⁷¹ Interestingly, the linkers adopt an α - or β -helical conformation, and only the *P*- α -helix and *M*- β -helix enantiomers coexist in the crystal (Figure 10). Single crystals of hemicryptophane 14 were also obtained by slow evaporation from a CH₂Cl₂ solution (Figure 10).⁴⁸ Hemicryptophane 14 exhibits an asymmetric structure with respect to the C₃ axis of the CTV cap, introduced by the inward orientation of one C=O amide bond stabilized by H bonds with the adjacent NH groups. The two other C=O groups are oriented outward and participate in the crystalline cohesion through intermolecular hydrogen bonding. One CH₂Cl₂ guest molecule is fully

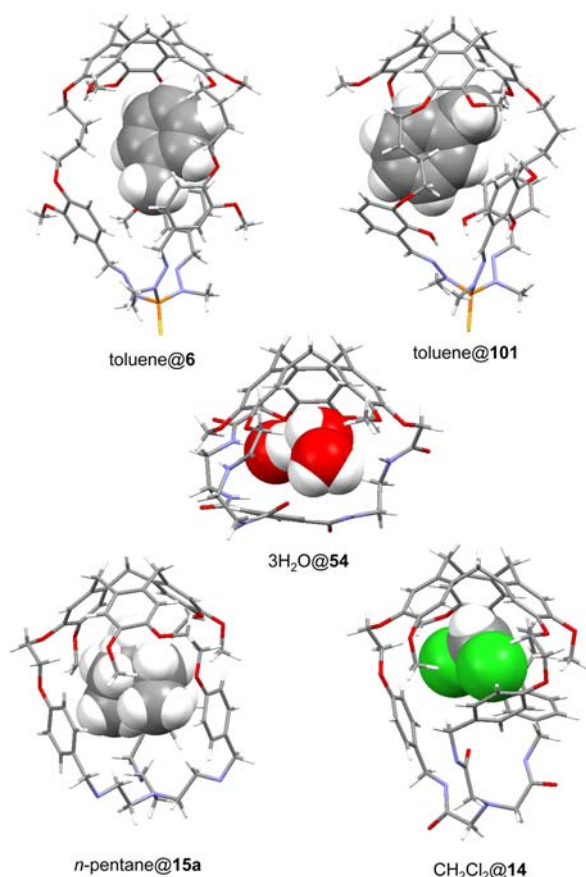


Figure 10. Crystal structures of toluene@6, toluene@101, 3H₂O@54, *n*-pentane@15a, and CH₂Cl₂@14 complexes.

encapsulated in the host cavity and localized close to the CTV unit in the more lipophilic environment of the cavity. This important feature indicates the possible ditopic character of the hemicryptophane host toward guest compounds. Slow diffusion of pentane in a solution of 15a in CH₂Cl₂ gave crystals suitable for X-ray analysis (Figure 10).⁴⁸ The structure presents a C₃-symmetrical axis with a well-preorganized cavity encapsulating a molecule of *n*-pentane. In the complex, C–H⋯ π interactions between CH₃ groups of the guest and aromatic groups of the linkers are observed. Interestingly, water molecules can also be included in the cavity of hemicryptophanes. In the X-ray structure of 54, crystallized from CH₃CN/H₂O, three water molecules are encaged inside the hemicryptophane cavity.⁶³ Two of them interact with an amide group of the host through hydrogen bonding, and one is in the proximity of the electron-deficient aromatic ring. This anticipates the recognition properties of this host toward hydrophilic species.

Makita et al. also described a series of crystal structures of inclusion complexes with hemicryptophane hosts. For example, the X-ray crystal structure of hemicryptophane 22 (Figure 11), obtained by slow evaporation from a CH₃CN solution, exhibited a preorganized cavity constructed by the CTV and the tren moieties.¹⁴⁴ A molecule of CH₃CN is entrapped inside the molecular cavity stabilized by hydrogen-bonding and CH⋯ π interactions.⁵⁴ Interestingly, the Zn(II)-coordinated complex of 22 (ClO₄⁻ as counterion) also bound a CH₃CN molecule as observed from its crystal structure prepared by slow diffusion of THF into a CH₃CN solution.¹⁴⁴ In this case, the cage maintains the C₃ symmetry and includes a CH₃CN

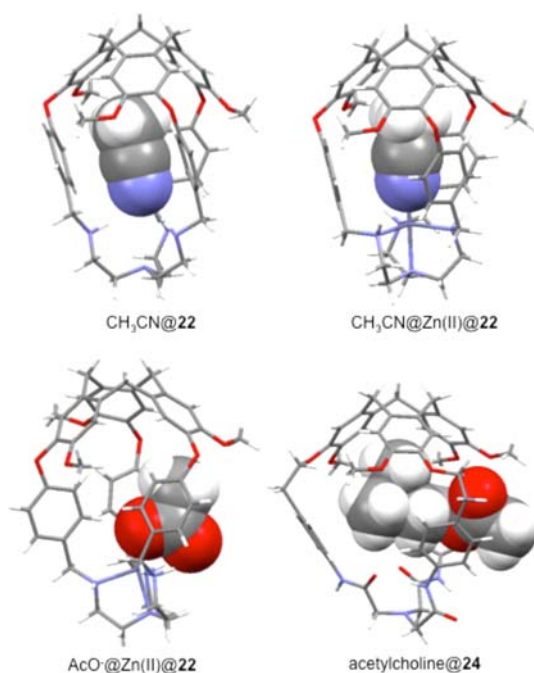


Figure 11. Crystal structures of CH₃CN@22, CH₃CN@Zn(II)@22, AcO⁻@Zn(II)@22, and acetylcholine@24.

molecule through CH⋯ π interactions. In addition, the CH₃CN N atom also loosely coordinates to the Zn(II) ion, which indicates the binding ability of this metal center. However, when AcO⁻ was used as the counterion, the Zn(II)@22 complex does not include a CH₃CN molecule despite the crystals being obtained by slow diffusion of Et₂O into a CH₃CN solution.⁵⁴ Instead, an AcO⁻ counterion was embedded inside the molecular cavity according to the coordination bond between the O atom in AcO⁻ and Zn(II). This inclusion behavior of Zn(II)@22 toward AcO⁻ was also observed in solution. These results underline the strong binding ability and heteroditopic character of the Zn(II)@22 host.

Most of the X-ray structures of guest inclusion in hemicryptophane hosts reported so far are often restricted to solvent@hemicryptophane complexes. However, in 2016 Makita et al. reported the solid-state structure of an acetylcholine molecule encaged in the cavity of hemicryptophane 24.⁵⁵ A partial encapsulation of the neurotransmitter was observed: indeed, the ammonium head of acetylcholine is trapped inside the cavity, whereas the acetyl group is located outside, leading to an original pseudorotaxane structure (Figure 11). This important result highlights the ability of hemicryptophane to tolerate partial encapsulation and thus to complex only a part of large guest molecules.

X-ray molecular structures of free hosts, without guest molecules in the molecular cavity, have also been reported and result from two main factors. (i) The cavity is too small to accommodate any guest molecules as, for instance, in the crystal structure of hemicryptophane 105 described by Dmochowski et al.⁵² or in hemicryptophane 15b where hydrogen bonds involving the three linkages induce a twisted structure, giving rise to very small available space inside the cavity (Figure 12).⁵¹ (ii) The hemicryptophane structure collapses and the molecule adopts a compact structure with the molecular cavity occupied by one of the linkages or the south moieties such as the crystal structures of V(V)@P-

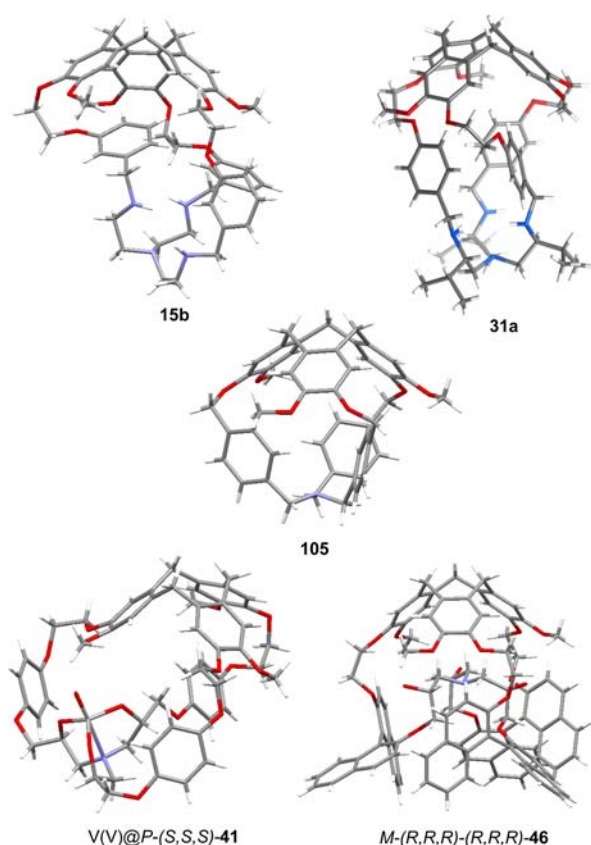


Figure 12. Crystal structures of **15b**, **31a**, **105**, **V(V)@P-(S,S,S)-41**, and **M-(R,R,R)-(R,R,R)-46**.

(S,S,S)-41¹⁵⁶ and **M-(R,R,R)-(R,R,R)-46** (Figure 12).⁶¹ Therefore, when designing a hemicryptophane host with the purpose of complexing molecules, these factors, which may induce no guest encapsulation, should be taken into account.

Interestingly, the X-ray molecular structures can be used to assign the absolute configuration of the chiral CTV unit. For instance, in the solid-state structure of hemicryptophane **31a**, recrystallized from a dichloromethane solution, a well-defined molecular cavity was observed (Figure 12). Because the *S* configuration of the three stereogenic carbons of the tren moiety was controlled during the synthetic pathway, it was possible to assign the *M* configuration of the CTV unit (Flack parameter = 0.00(1)).⁵⁸

3.2. Complexation in Solution

Most of the host–guest systems with hemicryptophane hosts have been investigated in solution. The binding affinities rely on the lipophilic and electron-donor properties of the CTV moiety, which can be associated with various southern units leading to a wide range of suitable guests. Thus, the peculiar properties of the hemicryptophanes are strongly related to their heteroditopic character with modulable cavity size and shape, polarity, hydrophilicity, and hydrophobicity. Among the various noncovalent interactions that can take place with hemicryptophane hosts, hydrogen-bonding and cation– π or anion– π interactions prevail due to the aromatic environment of the receptors built from the CTV platform. This review will cover the most encountered hemicryptophane–guest complexes reported in the literature including charged species (ammonium, ion pairs, zwitterions) and neutral guests such as fullerenes and carbohydrates.

Because of the chirality of the CTV unit, the recognition of achiral molecules by hemicryptophane hosts usually involves racemic mixtures. Conversely, the complexation of chiral guests uses enantiopure hemicryptophane hosts. The enantioselective recognition of chiral molecules with C_3 -symmetrical hosts is highly challenging. Indeed, the first enantioselective recognition of chiral primary ammonium ions with C_3 -symmetric tripod receptors was reported by Ahn et al. only in 2002,¹⁵⁷ followed by a few other examples.^{158,159} Then Moberg et al. were able to rationalize the enantioselectivity observed with C_3 -symmetric hosts, putting an end to an old scientific controversy.^{160,161} This highlights that enantioselective recognition of chiral molecules with C_3 -symmetrical hosts remains a difficult task. Access to enantiomerically pure hemicryptophanes as described in the preceding sections allowed important investigations in the field of chiral recognition in solution that will be underlined in the following sections.

3.2.1. Recognition of Ammonium Guests. The first hemicryptophane, **speleand 1**, developed by Collet and Lehn, was shown to be an efficient binding receptor for primary ammonium.²⁷ A schematic representation of the inclusion complex is shown in Figure 13: the NH_3^+ group interacts with

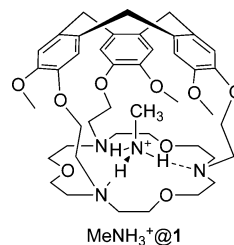


Figure 13. Proposed binding mode between hemicryptophane **1** and MeNH_3^+ .

the azacrown ether by hydrogen bonding, and the CH_3 head is located inside the molecular cavity. A host–guest complex with the CH_3 group located outside of the cavity below the azacrown ether is also detected, and a 2:1 ratio between the exo and the endo complexes is observed.

The second example of encapsulation of ammoniums was reported in 2009 using the *n*- Bu_3N -triggered hemicryptophane capsule **118** in $\text{CD}_3\text{OD}/\text{CD}_3\text{CN}$.⁹⁴ The *n*- Bu_3NH^+ cannot go inside the cavity because of its too large size. However, addition of ammoniums Et_4N^+ and Me_4N^+ or phosphonium Me_4P^+ to a solution of the empty capsule **116** gave rise to new signals in the range of 0.3–0.7 ppm on the ^1H NMR spectra, indicating the formation of encapsulated species. The authors then used competition experiments to investigate the relative binding affinities of the hemicryptophane capsule toward these three guests. For instance, when a 1:1 mixture of $\text{Et}_4\text{N}^+\text{I}^-$ and $\text{Me}_4\text{N}^+\text{I}^-$ was added to **116**, two kinds of guest-filled capsules $\text{Et}_4\text{N}^+\text{@116}$ and $\text{Me}_4\text{N}^+\text{@116}$ appeared in a 2.3:1 ratio. In this way, the relative binding abilities were determined in the following order: $\text{Et}_4\text{N}^+ > \text{Me}_4\text{N}^+ > \text{Me}_4\text{P}^+$. Interestingly, the authors also characterized the encapsulation of the Cs^+ cation by host **116**. However, for the two above cases no binding constants are reported.

tren-Hemicryptophanes were also used for the recognition of primary ammonium. For example, host **15a** was demonstrated to be an efficient primary alkylammonium receptor in $\text{CDCl}_3/\text{MeOD}$ solution.¹⁵² Upon addition of the host **15a** to the solution of picrate salts of ammoniums, such as BnNH_3^+ ,

MeNH₃⁺, *t*-BuNH₃⁺, and *n*-PrNH₃⁺, the guest's protons displayed significant high-field shifts due to the shielding effect of the aromatic cavity, in agreement with the formation of inclusion complexes. The binding constants were thus determined based on the 1:1 stoichiometry indicated by Job's plot and showed relatively high values (10⁴–10⁵ M⁻¹) with an order BnNH₃⁺ > MeNH₃⁺ > *t*-BuNH₃⁺ > *n*-PrNH₃⁺. Combination of a stabilizing hydrogen-bonding network between the encapsulated ammonium and the tren moiety and a good fit allowing both favorable CH... π interactions within the aromatic cavity and minimization of steric repulsions can account for these results. In addition, the ability of hemicyptophane **15a** to complex ammonium neurotransmitters was also investigated. A binding constant of 2.5×10^4 M⁻¹ was measured with dopamine as guest molecule, highlighting the potential use of hemicyptophane hosts for the recognition of neurotransmitters. A binding mode between **15a** and dopamine has been proposed by DFT calculations (Figure 14a).

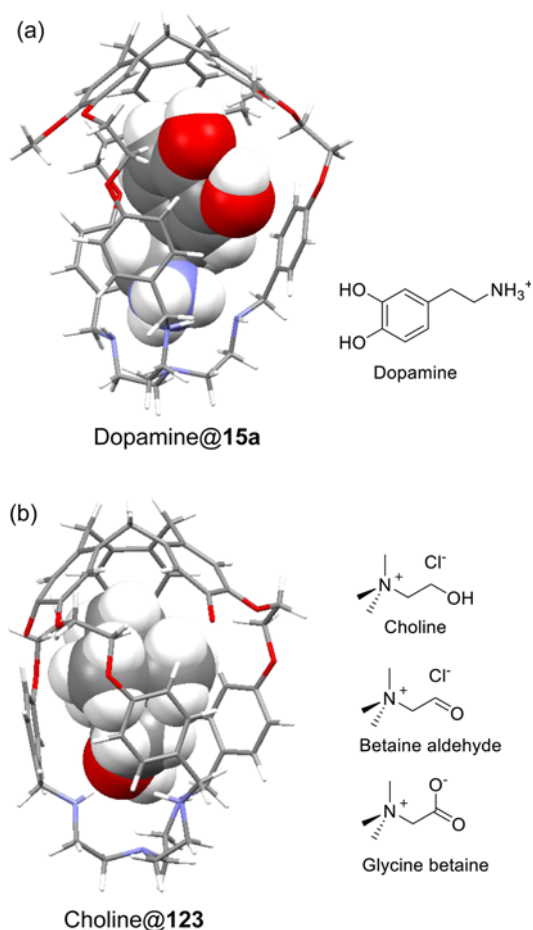


Figure 14. (a) DFT-optimized structure of dopamine@**15a**, and structure of dopamine. (b) DFT-optimized structure of choline@**123**, and structures of choline, betaine aldehyde, and glycine betaine.

Efficient complexation of choline neurotransmitter in water using the water-soluble hemicyptophane **123** was reported, constituting a real advance in host–guest recognition, rarely described in aqueous medium.¹⁰⁵ ¹H NMR titration was first used for characterizing the host–guest association, where significant high-field shifts of the guest's protons were observed attributed to the shielding effect of the aromatic environment.

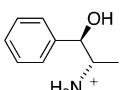
At lower temperature (270 K), under slow exchange conditions on the NMR time scale, a new signal appeared in the high-field region corresponding to the included choline ammonium. In addition, DFT calculations are consistent with the ¹H NMR data and confirm the location of the ammonium part of the guest in the vicinity of the CTV unit (Figure 14b). A binding constant of 2300 M⁻¹ was determined by isothermal titration calorimetry (ITC). Surprisingly, no association was observed by ITC for betaine aldehyde and glycine betaine guest molecules, highlighting the high specificity and selectivity of hemicyptophane **123** toward choline.

Complexation of choline emphasized the use of hemicyptophanes for the recognition of compounds of biological interest in water. More importantly, the recognition of neurotransmitters is very meaningful since many of them are involved in important biological processes.¹⁶² However, most of them are chiral amine derivatives that lead to the formation of diastereomeric host–guest complexes in the recognition experiments run with racemic host compounds. To this end, enantiopure hemicyptophanes have been synthesized and used successfully in the recognition of chiral ammonium guests. Enantiopure tren-hemicyptophanes, *M*-(*S,S,S*)-**30**, *P*-(*S,S,S*)-**30**, *M*-(*R,R,R*)-**30**, and *P*-(*R,R,R*)-**30**, were tested in the stereoselective recognition of norephedrine and ephedrine in CDCl₃/CD₃OD solution.¹⁵¹ ¹H NMR titration experiments were performed showing the formation of 1:1 and 1:2 host–guest complexes. The authors explained the 1:2 stoichiometry with the fact that both the tren and the CTV moieties are able to bind ammonium guests according to hydrogen-bonding and cation– π interactions, as previously observed in the recognition of dopamine and choline with **15a** and **123**, respectively. Examination of the binding constants reported in Table 1 shows that these hosts are able to complex ephedrine and norephedrine with high binding constants, and in addition, most of the host diastereomers discriminate norephedrine from ephedrine, exhibiting larger affinity toward the former. Furthermore, remarkable enantioselectivities in favor of the *P*-(*S,S,S*) enantiomer over *M*-(*R,R,R*) are displayed for both guests.

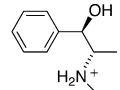
The large scale and easy synthesis of enantiopure hemicyptophanes *M*-**15a** and *P*-**15a** allowed the authors to investigate their stereoselective recognition properties toward norephedrine in CDCl₃/CD₃OD solution.⁵³ ¹H NMR titration experiments and binding stoichiometry similar to those for enantiopure **30** were observed for hosts *M*-**15a** and *P*-**15a**. Interestingly, compared with **30**, the two simplest hosts exhibited higher enantioselectivity toward norephedrine (91:9), indicating the important role of the inherently chiral CTV and highlighting the high potentiality of such simple and easy accessible enantiopure hemicyptophane hosts.

Makita et al. reported the formation of the 1:1 complex between acetylcholine and hemicyptophane **24** in a 20/1 CDCl₃/CD₃OD solution.⁵⁵ A binding constant of 1.3×10^4 M⁻¹ was obtained by fitting the curve obtained from the NMR titration experiment. Interestingly, the ¹H NMR signals of the NCH₂CH₂ and N(CH₃)₃ protons of acetylcholine are broadened in the presence of host **24**, whereas those of the acetyl CH₃ remain sharp, suggesting that the former are encapsulated inside the cavity of the hemicyptophane and the latter are outside the cavity, as observed in the solid state (Figure 11). Recognition of ammonium–chloride salts was also achieved using hemicyptophane **24**. In the ¹H NMR spectra, the protons of the guests display downfield shifts upon

Table 1. Association Constants of Enantiopure Receptors **30 with Ephedrine or Norephedrine^a**



Norephedrine



Ephedrine

	norephedrine		ephedrine	
	β_1 (M^{-1}) ^b 1:1 host-guest	β_2 (M^{-1}) ^b 1:2 host-guest	β_1 (M^{-1}) ^b 1:1 host-guest	β_2 (M^{-1}) ^b 1:2 host-guest
(<i>M</i> -(<i>R,R,R</i>))- 30	<i>c</i>	1.39×10^7	<i>c</i>	4.60×10^6
(<i>M</i> -(<i>S,S,S</i>))- 30	1.52×10^5	2.64×10^9	4.71×10^4	2.18×10^8
(<i>P</i> -(<i>S,S,S</i>))- 30	3.99×10^3	2.18×10^7	8.06×10^3	2.52×10^7
(<i>P</i> -(<i>R,R,R</i>))- 30	2.50×10^5	1.95×10^9	1.65×10^4	7.92×10^7

^a β_1 and β_2 values were determined by fitting the ¹H NMR titration curves of the methyl protons of the guests using *hypNMR2008* software¹⁶³ (CDCl₃/CD₃OD 95/5, 500 MHz, 298 K). ^bEstimated error: 10%. ^cNo 1:1 complex observed after fitting the titration curves.

progressive addition of the ammonium salts to the host solutions. The binding constants were measured for Me₄NCl ($6.5 \times 10^4 M^{-1}$), Et₂Me₂NCl ($9.8 \times 10^3 M^{-1}$), and Et₃MeNCl ($5.5 \times 10^2 M^{-1}$) and showed that the decrease of the affinity of **24** for the guests is related to the size of the cation, the highest the size having the lowest the affinity.⁵⁵

3.2.2. Recognition of Ion Pairs. In 2008, Jabin et al. reported a heteroditopic hemicryptophane cage that displays versatile host-guest properties toward organic associated ion-pair salts (Figure 15).⁷⁰ Upon addition of an excess of

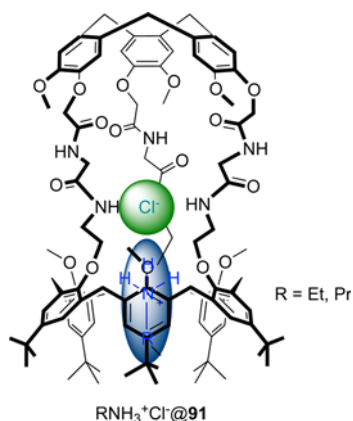


Figure 15. Proposed binding mode between hemicryptophane **91** and RNH₃⁺Cl⁻.

RNH₃⁺Cl⁻ (R = Et or Pr) to a solution of **91** in CDCl₃, high-field-shifted signals of the alkyl chain of the ammonium ions were observed, indicating that the ammonium ions are located inside the cavity of the calixarene. In addition, the amide protons close to the calixarene subunit also show a significant downfield shift, suggesting a strong hydrogen-bonding interaction with the Cl⁻ anion. Hence, hemicryptophane **91** behaves as a heteroditopic receptor because of the simultaneous endo complexation of cation and anion. Concerning the ammonium cation, in addition to the electrostatic interaction with its counterion, it is also probably stabilized through a combination of CH \cdots π interactions and hydrogen bonding to the ethereal oxygen atoms of the amide arms. Furthermore, by using *n*Bu₄N⁺Cl⁻ and RNH₃⁺Pic⁻ salts, the authors demonstrated that the complexation of the Cl⁻ anion might only occur when an ammonium cation is present in the calixarene cavity, and corollary efficient binding of ammonium needs the presence of the Cl⁻ anion. This remarkable positive

cooperativity benefits from a combination of polarization and induced-fit effects.

Hemicryptophane **14**, which bears a tripodal anion binding site (triamide moiety) and a cation recognition site (CTV unit), is an efficient ion-pair receptor in CDCl₃.¹⁵³ First, the anion binding properties of **14** were evaluated by ¹H NMR titration and showed binding constants in the order of $K_a(F^-) > K_a(Cl^-) > K_a(Br^-) > K_a(AcO^-)$, which is related with the hydrogen-bond-accepting ability and symmetry factors. Then the complexation of ammonium cations was investigated and showed that the affinity of hemicryptophane **14** decreases from Me₄N⁺ ($K = 380 M^{-1}$) to Et₄N⁺ ($K = 97 M^{-1}$) in accord with an increase of the steric hindrance. Finally, the ability of **14** to accommodate associated organic ion pairs was achieved by measuring the apparent anion binding constants K'_a of **14** in the presence of 1 equiv of Me₄N⁺. As shown in Figure 16a, host **14** binds anions more strongly in the presence of 1 equiv of Me₄N⁺, emphasizing the heteroditopic character of receptor **14**. In particular, a cooperativity factor, defined by the ratio $K'_a/K_a = 15$, was observed for the complexation of Cl⁻, which also exhibits the largest binding constant. DFT calculations demonstrated that efficient hydrogen bonding between Cl⁻ and triamide and CH \cdots π interactions between Me₄N⁺ and CTV together with maximized electrostatic interactions between the bound Me₄N⁺ and Cl⁻ account for this high positive cooperativity (Figure 16b).

Hemicryptophanes **16** and **17**, bearing fluorinated aromatic rings close to the anion binding site, were also investigated for the ion-pair complexation.⁴⁹ In this work the authors expected that the introduction of fluorine atoms increases the anion \cdots π attractive interactions, with valuable consequences on the binding cooperativity between anion \cdots π and cation \cdots π interactions. The recognition properties were found to largely depend on the position of the fluorine atoms in the aromatic linkages, and anion binding constants were significantly increased and decreased with **16** and **17** (Scheme 1), respectively, compared with host **14**. For ion-pair recognition, the cooperativity switched from positive to negative: host **14** displayed high positive cooperativity, whereas it was moderate for host **16**, and a strong negative cooperative effect was observed with host **17** (Figure 17). Thus, depending on the nature of the guest and the location of the fluorine atoms, the cooperativity can be modulated. These unexpected binding behaviors were rationalized by DFT calculations and complete active space self-consistent field (CASSCF) calculations.¹⁶⁴ These wave function calculations highlight how short- and long-range interactions interfere in this recognition process and suggest that a disruption of the anion \cdots π interactions occurs in

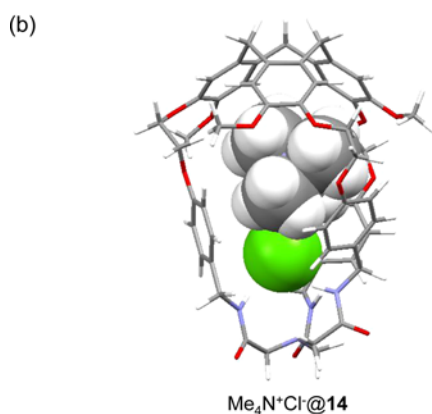
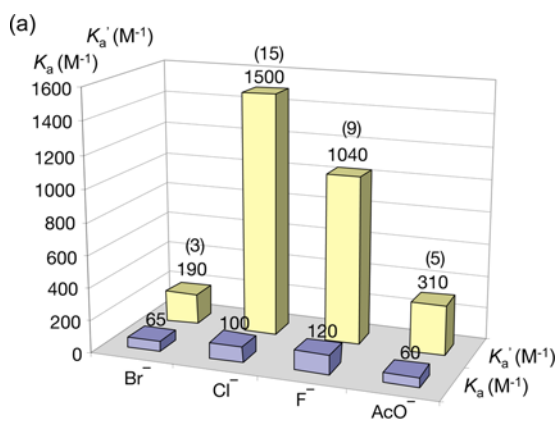


Figure 16. (a) Anion binding constants of hemicryptophane **14** in the absence or presence of 1 equiv of Me_4N^+ . Cooperativity factors are given in parentheses. (b) DFT-optimized structure of $\text{Me}_4\text{N}^+\text{Cl}^-@14$. Reproduced with permission from ref 153. Copyright 2011 Wiley-VCH.

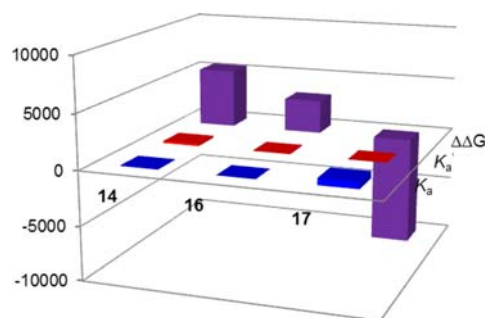


Figure 17. Binding constants for (a) Cl^- and (b) Br^- with hosts **14**, **16**, and **17** without Me_4N^+ inside the cavity (K_a , in blue) and with 1 equiv of Me_4N^+ (K_a' , in red). Cooperativity $\Delta\Delta G^\circ$ is also given (purple). Adapted with permission from ref 49. Copyright 2015 John Wiley & Sons.

the presence of a cobound cation. Such molecules can be viewed as prototypes for examining complex processes controlled by competitive weak interactions.

3.2.3. Recognition of Zwitterions. Zwitterions, such as those shown in Figure 18, play an important role in the transfer of neuronal information, which is the subject of numerous studies involving chemical, biochemical, and clinical approaches.^{165,166} As these guests are strongly solvated species in aqueous media, their biomimetic encapsulation through endohedral weak interactions in a hydrophobic neutral molecular pocket is still a challenge. The principle of

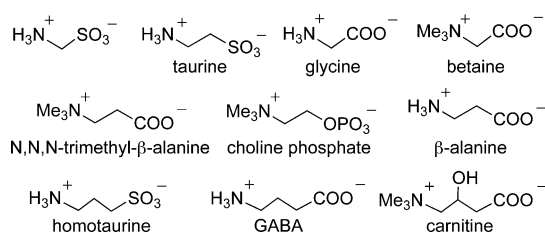


Figure 18. Structures of zwitterionic guests.

complexation of zwitterions using heteroditopic hemicryptophane hosts is almost similar to that of ion pairs, i.e., stabilizing the cation part of zwitterions with the CTV unit via $\text{CH}\cdots\pi$ /cation- π interactions and binding the anion part with the south moiety using other supramolecular interactions.

Hemicryptophane **14**, used for the recognition of ion pairs, is also an efficient heteroditopic host for the recognition of zwitterions since, as mentioned above, the CTV unit can stabilize the ammonium part and the triamide subunit can form hydrogen bonds with the anionic part.¹⁵⁴ The binding constants determined by NMR experiments in $\text{CD}_3\text{CN}/\text{D}_2\text{O}$ are shown in Table 2. These results indicate that the

Table 2. Association Constants K_a of Hemicryptophane **14** with Neurotransmitters and Related Guests ($\text{CD}_3\text{CN}/\text{D}_2\text{O}$ 9:1, $T = 298$ K)

guest ^a	K_a (M^{-1}) ^b	V_{vdw} (\AA^3)	p_c (%) ^c
$\text{H}_3\text{N}^+\text{CH}_2\text{SO}_3^-$		82	48
taurine	14 000	99	59
homotaurine	530	116	69
glycine		69	41
β -alanine	8500	86	51
GABA	510	104	61
betaine	80	121	78
$(\text{CH}_3)_3\text{N}^+\text{CH}_2\text{CH}_2\text{COO}^-$		138	82
choline phosphate		160	94

^aPicrate salts. ^b K_a values were determined by DOSY NMR experiments except for $(\text{CH}_3)_3\text{N}^+\text{CH}_2\text{CH}_2\text{COO}^-$ for which K_a was determined by ^1H NMR titration using WinEQNMR2 software¹⁶⁹ (estimated error 10%). ^cPacking coefficient based on a cavity volume of 169 \AA^3 .

encapsulation of guests by hemicryptophane **14** relies on three main criteria: (i) the zwitterionic character of the guest, (ii) its van der Waals volume since the packing coefficient has to be close to 55%, in agreement with Rebek's criteria,^{167,168} and (iii) its shape. Indeed, two CH_2 groups, between the anionic and the cationic parts, are necessary to have an optimal recognition process. Only the simultaneous existence of these three factors allows optimum complexation with this receptor. As a consequence, host **14** is highly selective toward taurine. DFT calculations emphasize that only weak intermolecular interactions stabilize the host-guest association (Figure 19a).

The authors noted that the structure of **14** revealed one major drawback as the three linkages are formed of electron-rich aromatic rings, which can induce electrostatic repulsions with the guest anion located inside the cavity. To tackle this problem, aromatic rings were replaced by an electron-deficient system in hemicryptophane **54**, which improved the stability of the host-guest complex by favorable anion- π interactions.⁶³ Adding three amide functions also increased the number of hydrogen-bond donors, and the binding abilities of host **54**

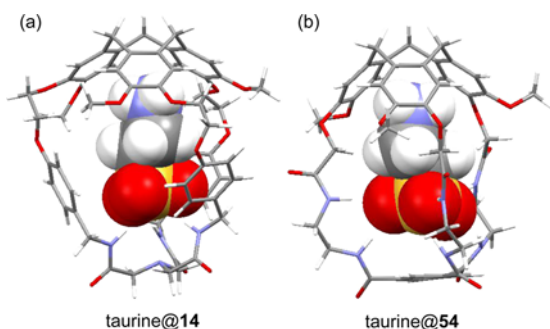


Figure 19. DFT-optimized structures of (a) taurine@14 and (b) taurine@54.

toward zwitterions have been significantly improved compared to host 14 (Table 3). For some guests, binding constants are up

Table 3. Association Constants K_a and Complexation-Induced Shifts (CIS) $\Delta\delta_{\max}$ Measured by ^1H NMR Titration of Guests with Host 54 ($\text{CD}_3\text{CN}/\text{D}_2\text{O}$ 8:2; 500 MHz; 298 K)

guest	K_a (M^{-1}) ^a	V_{vdw} (\AA^3) ^b	$\Delta\delta_{\max}$ NCH_2^+ (ppm)	$\Delta\delta_{\max}$ CH_2X^- (ppm)
β -alanine	$1.5 \pm 0.1 \times 10^4$	86	-0.41	-0.25
taurine	$5.0 \pm 0.4 \times 10^5$	99	-0.39	-0.20
GABA	$2.3 \pm 0.1 \times 10^5$	103	-0.41	-0.20
homotaurine	$1.1 \pm 0.2 \times 10^5$	116	-0.31	-0.07

^a K_a values were determined by fitting ^1H NMR titration curves using WinEQNMR2 software.¹⁶⁹ ^bvan der Waals volumes were calculated according to the method of Abraham et al.¹⁷⁰

to 3 orders of magnitude larger than those previously reported for host 14, whereas the solvent is even more competitive (20% of water in CD_3CN vs 10% initially). In particular, the binding constant for taurine reaches $5 \times 10^5 \text{ M}^{-1}$, which was attributed to the good complementarity in size and shape between the host and the guest, as demonstrated by DFT calculations (Figure 19b). In order to investigate whether this recognition process was driven by both hydrogen-bonding and ion- π interactions (cation- π in the north part and anion- π in the south part) or the contribution of the latter could be neglected in the formation of the complex, multireference wave function-based calculations¹⁶⁴ were carried out to have deeper insight on ion- π interactions. The results showed that the two ion- π

interactions (cation- π and anion- π interaction) play a crucial role in the recognition process and could be combined in a synergistic manner to build up a recognition framework.

The Zn(II)-based fluorescent hemicryptophane host (Zn(II)@15i; Scheme 31) was synthesized for the sensing of choline phosphate.¹⁴³ This heteroditopic Zn-hemicryptophane complex binds the ammonium head of choline phosphate into the CTV core and locates the phosphate part close to the Zn(II) center. Furthermore, the naphthalene units in the linkers provide fluorescent properties. As shown in Figure 20a, significant fluorescence quenching at 350 nm of Zn(II)@15i was observed upon addition of choline phosphate in DMSO/ H_2O solution (98:2 v/v) with a binding constant of $4.2 \times 10^5 \text{ M}^{-1}$. The authors then investigated the heteroditopicity of the host by performing the titrations of butylphosphate and butyltrimethylammonium, which bears only one of the two recognized moieties. Results show that the guest bearing both the phosphate and the ammonium moieties give rise to the most significant fluorescence quenching and the largest binding constant, highlighting the heteroditopic character of the Zn(II)@15i host. Furthermore, choline and taurine, which are the most efficient guests in the above cases, only gave rise to smaller or even no fluorescent response, emphasizing the remarkable selectivity of the Zn-hemicryptophane complex. ^1H NMR and ^{31}P NMR titrations, COSY experiments, and DFT calculations were also performed and demonstrated the encapsulation of choline phosphate inside the cavity (Figure 20b). In particular, ^1H NMR titration evidenced the four expected signals in the upfield region (-0.5–1.79 ppm), which correspond to the four diastereotopic protons of the methylene group of the engaged choline phosphate, meaning that a chiralization-like behavior occurred for the achiral molecules. The present work also provided a new approach toward guest recognition using fluorescent hemicryptophane hosts.¹⁶²

Benefiting from the heteroditopicity of the hemicryptophane hosts, stereoselective recognition of chiral zwitterions was also achieved. For instance, Hutton et al. synthesized the enantiopure hemicryptophanes *ML-67* and *PL-67* (section 2.1.4.2), which associate a CTV and a cyclic peptide (Scheme 15), for the enantioselective binding of (*R/S*)-carnitine in CD_3CN .⁶⁵ During ^1H NMR titration experiments, significant downfield shifts were observed for the glycol and tyrosyl NH protons of the cyclic peptide, indicating the encapsulation of the guest within the cavity to form 1:1 host-guest complex in accord with Job's plot and ESI-MS. Binding of carnitine with

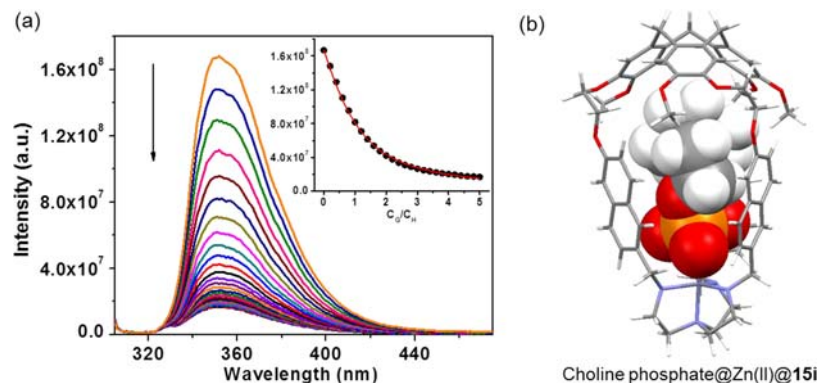


Figure 20. (a) Fluorescence titration curves of $5 \mu\text{M}$ Zn(II)@15i excited at 300 nm with choline phosphate in DMSO containing 2% water. (Inset) Fluorescence intensity at 350 nm as a function of the equivalents of added choline phosphate. Reprinted in part with permission from ref 143. Copyright 2015 The Royal Society of Chemistry. (b) DFT-optimized structure of choline phosphate@Zn(II)@15i.

PL-67 was found to be enantioselective with (*R*)-carnitine with a 1.5-fold greater K_a than with (*S*)-carnitine (Table 4). With

Table 4. Association Constants K_a of Host 67 with (*R*)- and (*S*)-Carnitine

host	guest	K_a (M^{-1}) ^a	$\Delta\delta_{\max}$ (ppm) ^b
<i>PL-67</i>	(<i>R</i>)-carnitine	$4.1 \pm 0.3 \times 10^3$	-0.8945
<i>PL-67</i>	(<i>S</i>)-carnitine	$2.7 \pm 0.2 \times 10^3$	-0.5937
<i>ML-67</i>	(<i>R</i>)-carnitine	$9.1 \pm 0.1 \times 10^2$	-0.7194
<i>ML-67</i>	(<i>S</i>)-carnitine	$6.9 \pm 0.5 \times 10^2$	-0.4791

^a K_a values were determined by fitting ¹H NMR titration curves using WinEQNMR2 software.¹⁶⁹ ^b δ from the Gly-NH proton of host 67.

ML-67, a 1.3-fold larger K_a was observed for (*R*)-carnitine compared to the (*S*)-enantiomer. In addition, considering that the association constant for the *D*-tyrosine-glycine hemicyptophane complex of (*R*)-carnitine (*PDR* complex) is identical to the known enantiomeric *L*-tyrosine-glycine hemicyptophane complex of (*S*)-carnitine (*MLS*-complex), the authors determined a 5.9-fold difference in association constants of (*R*)-carnitine with the *PL-67* and *PD-67* hosts. In contrast, switching from *PL-67* to *ML-67* results in a 4.5-fold drop in binding affinity for (*R*)-carnitine. These results suggest that the *PL-67* stereoisomer is the best matched to bind (*R*)-carnitine with a modest chiral discrimination. DFT calculations indicate that carnitine was bound to the host in a ditopic mode exploiting hydrogen-bond interactions between the cyclic peptide and the carnitine carboxylate group together with the cation- π /CH \cdots π interactions between the CTV and the carnitine ammonium moieties (Figure 21).

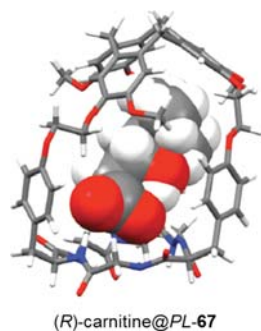


Figure 21. DFT-optimized structure of (*R*)-carnitine@*PL-67*.

3.2.4. Recognition of Carbohydrates. The recognition of carbohydrates, such as those in Figure 22, is involved in numerous biological processes such as protein folding, cell-cell recognition, infection by pathogens, or tumor metastasis.¹⁷¹ Thus, there is great interest in mimicking biological receptors of carbohydrates.¹⁷²⁻¹⁷⁷ However, these guests are challenging for supramolecular chemists as they possess complex three-dimensional structures, which often present subtle changes, so that a large selectivity is difficult to achieve. In this regard, hemicyptophane hosts appear as potential carbohydrate recognition receptors, since they can provide appropriate matches for both polar functionalities (through hydrogen bonding in the south part with the OH group of carbohydrates)

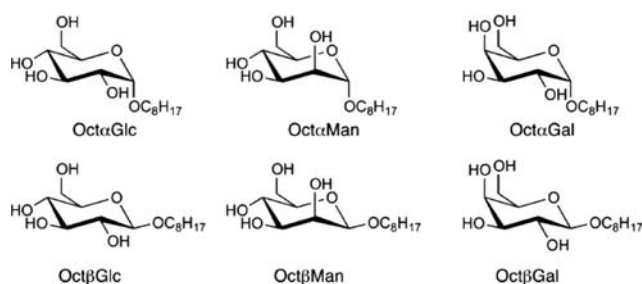


Figure 22. Structures of carbohydrate guests.

and apolar surfaces (through C-H \cdots π interactions with the aromatic rings of the host molecule)

In 2011, the first octyl- β -D-glucopyranoside (*OctβGlc*) and octyl- α -D-glucopyranoside (*OctαGlc*) recognition using enantiopure hemicyptophanes *M-14* and *P-14* (Scheme 1) was reported.¹⁵⁵ The binding constants, measured in CDCl₃ solution, reveal two main features (Table 5). First, a good diastereodiscrimination is observed for host *M-14*, with a factor of ca. 3 between the binding constants with *OctαGlc* and *OctβGlc*, and exclusive diastereoselective recognition of *OctαGlc* is achieved with *P-14*. Second, a 7:1 enantioselectivity for *OctαGlc* and exclusive enantioselective recognition for *OctβGlc* are, respectively, observed, indicating the perfect enantiodiscrimination induced by the inherent chirality of the *M* enantiomer. Next, the authors also tested the recognition of the same sugar derivatives with the four stereoisomers of hemicyptophane 41 (Scheme 7) to investigate more accurately the control of the inherent chirality of the CTV on the enantioselective recognition. In this case, modest to high diastereoselectivity in favor of *OctβGlc* over *OctαGlc* for the four isomers of 41 is reported. In addition, in most cases the exclusive binding of the *M* enantiomers is observed, in accord with the above results, demonstrating the crucial role of the inherent chirality of the CTV by comparison with the central chirality of the trialkanol amine moiety.

Recently, the enantiopure hemicyptophane hosts 46 (Scheme 8) have been designed for the recognition of carbohydrates in CDCl₃ (Table 5).⁶¹ Three main features can be drawn: (i) concerning the recognition of glucose derivatives, excellent diastereoselectivity is obtained, since only the stereoisomers *M*-(*S,S,S*)-(*R,R,R*)-46 and *P*-(*R,R,R*)-(*S,S,S*)-46 exhibit binding properties. Good enantioselectivity was also achieved in the recognition of the anomer *OctβGlc* ($K_{a(P-(R,R,R)-(S,S,S)-46)} / K_{a(M-(S,S,S)-(R,R,R)-46)} = 6.0$), associated with an improvement of the binding constant compared to those of the previous hosts 41 (531 vs 184 M^{-1}). (ii) In contrast with hosts 41, the new hosts 46 are also able to complex mannose derivatives, displaying improved binding affinities. Both exclusive enantio- and diastereodiscrimination have been observed.

The four stereoisomers of hemicyptophane 29, which bear four stereogenic centers (three asymmetric carbons and the CTV unit, Scheme 4), were also tested for the stereoselective recognition of glucopyranoside in CDCl₃.⁵⁶ As shown in Table 5, the binding abilities of 29 have been improved compared to the previous hosts, with K_a values up to 1 order of magnitude larger. For example, host *M*-(*S,S,S*)-29 shows the largest binding constants for *OctαGlc* (595 M^{-1}) and *OctβGlc* (1660 M^{-1}). The trend in diastereoselectivity is opposite to that of host 14, since for all isomers of 29 *OctβGlc* shows larger binding constants than its α -anomer with a diastereoselective

Table 5. Binding Constants K_a (M^{-1}) for the 1:1 Complexes Formed between Different Enantiopure Hemicyptophane Hosts and Carbohydrate Guests^{a,b}

host	Oct α Glc	Oct β Glc	Oct α Man	Oct β Man	Oct α Gal	Oct β Gal	ref
<i>M</i> -14	216	64					155
<i>P</i> -14	31	<i>c</i>					155
<i>M</i> -(<i>R,R,R</i>)-41	123	226	<i>c</i>	<i>c</i>			155
<i>P</i> -(<i>R,R,R</i>)-41	<i>c</i>	115	<i>c</i>	<i>c</i>			155
<i>M</i> -(<i>S,S,S</i>)-41	155	184	<i>c</i>	<i>c</i>			155
<i>P</i> -(<i>S,S,S</i>)-41	<i>c</i>	<i>c</i>	<i>c</i>	<i>c</i>			155
<i>M</i> -(<i>S,S,S</i>)-(R,R,R)-46	105	83	58	<i>c</i>			61
<i>P</i> -(R,R,R)-(S,S,S)-46	89	537	135	48			61
<i>M</i> -(R,R,R)-(S,S,S)-46	<i>c</i>	<i>c</i>	50	<i>c</i>			61
<i>P</i> -(S,S,S)-(R,R,R)-46	<i>c</i>	<i>c</i>	100	<i>c</i>			61
<i>M</i> -(R,R,R)-46	<i>c</i>	<i>c</i>	<i>c</i>	<i>c</i>			61
<i>P</i> -(S,S,S)-(S,S,S)-46	<i>c</i>	<i>c</i>	<i>c</i>	<i>c</i>			61
<i>M</i> -(S,S,S)-(S,S,S)-46	<i>c</i>	<i>c</i>	<i>c</i>	<i>c</i>			61
<i>P</i> -(R,R,R)-(R,R,R)-46	<i>c</i>	<i>c</i>	<i>c</i>	<i>c</i>			61
<i>M</i> -(S,S,S)-29	595	1660					56
<i>P</i> -(S,S,S)-29	<i>c</i>	183					56
<i>P</i> -(R,R,R)-29	34	384					56
<i>M</i> -(R,R,R)-29	56	192					56
<i>M</i> -(S,S,S)-30	213	378	1410	804	29	13	57
<i>P</i> -(S,S,S)-30	141	400	1648	387	112	68	57
<i>P</i> -(R,R,R)-30	95	722	1544	967	142	59	57
<i>M</i> -(R,R,R)-30	83	270	2511	641	22	<i>c</i>	57
<i>M</i> -(S,S,S)-56	41	<i>c</i>	<i>c</i>	61			64
<i>P</i> -(S,S,S)-56	174	<i>c</i>	<i>c</i>	118			64
<i>P</i> -(R,R,R)-56	29	72	182	458			64
<i>M</i> -(R,R,R)-56	223	287	55	40			64
<i>P</i> -(R,R,R)-57	177	359	616	204			64
<i>M</i> -(R,R,R)-57	122	457	1174	375			64
<i>M</i> -(S,S,S)-57	161	403	393	288			64
<i>P</i> -(S,S,S)-57	98	334	749	197			64

^a K_a was determined by fitting ¹H NMR titration curves (CDCl₃, 500 MHz, 298 K) with HypNMR2008; estimated error 10%. ^bBlank cells indicate no measurement for this host and guest. ^cNo complexation detected.

ratio of 2.8 for *M*-(S,S,S)-29 and exclusive binding for *P*-(S,S,S)-29. According to the authors, the binding sites are similar in hosts 14 and 29; conformational changes induced by the addition of the methyl groups account for both the improvement of the binding affinity and the change in selectivity. A marked, or even exclusive, enantioselectivity in favor of the *M*-configured hosts is also observed, such as the binding of Oct α Glc with *P*-(S,S,S)-29 and *M*-(R,R,R)-29, which is consistent with the results obtained with 14.

Remarkable diastereoselective recognition of α -mannoside in CDCl₃ was achieved using the four stereoisomers of hemicyptophane 30 (Scheme 4).⁵⁷ An overall trend of the selectivity, defined from the binding constants toward the different guests with the four hosts, was observed with the following order (Table 5): Oct α Man > Oct β Man > Oct β Glc > Oct α Glc > Oct α Gal > Oct β Gal, which is in good agreement with the different abilities of the guest to be involved in intermolecular hydrogen bonds.^{178,179} The more the saccharide can make hydrogen bonds with other molecules, the higher the binding constant. This also highlights that hydrogen-bonding interactions are crucial for the recognition process. For instance, receptor *M*-(R,R,R)-30 can complex almost exclusively Oct α Man facing to Oct β Gal with the higher binding constant $K_a = 2511 M^{-1}$. However, the authors report excellent diastereoselectivity for the four stereoisomers of 30 with the carbohydrate guests but a moderate enantioselectivity.

The binding properties of the stereoisomers of hemicyptophane 56 were compared to those of the model open-shell compounds 57 (Scheme 13).⁶⁴ The cage derivatives 56 exhibit lower binding constants than 57, probably because of the lack of OH groups able to induce hydrogen bonding with the alcohol functions of the carbohydrate guests. The affinity toward carbohydrates follows the same order with hemicyptophane 56 and model host 57: Oct α Man > Oct β Glc > Oct β Man \approx Oct α Glc. Nevertheless, a better stereoselectivity was achieved with the cage compounds, probably due to a better preorganization. For instance, excellent enantioselectivities were observed with *P*-(R,R,R)-56 and *M*-(R,R,R)-56 with binding constants of 182 and 287 M^{-1} toward Oct α Man and Oct β Glc, respectively, whereas no complexation occurred with the enantiomer hosts (Table 5). Furthermore, the role played by the inherent chirality of the CTV unit of the hemicyptophanes in the recognition process is highlighted by the fact that the affinity for Oct β Man guest is 10 times higher with the host *P*-(R,R,R)-2 than with its diastereomer *M*-(R,R,R)-2. A good 1:16 diastereoselectivity ratio was also reached in the recognition of Oct β Glc over Oct α Man using hemicyptophane *P*-(R,R,R)-1 as receptor.

3.2.5. Recognition of Fullerenes. Hemicyptophanes 85a and 85b (Scheme 18) are good receptors for C₆₀ and C₇₀ fullerenes.⁶⁹ The ¹H NMR NOESY experiments of 85b in CDCl₃/CS₂ revealed the existence of NOE cross-peaks

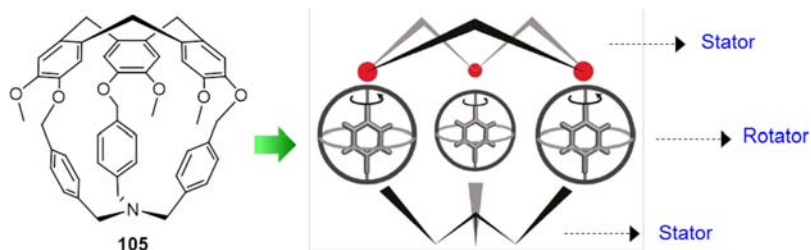


Figure 23. Gyroscope-inspired hemicryptophane **105** possessing two rigid stator and three rotator groups. Adapted from ref 52. Copyright 2011 American Chemical Society.

between some protons in CTV and cyclic triimine, indicating the close contact between the two cyclic units. Addition of 1 equiv of C_{60} or C_{70} caused the disappearance of these NOE signals, suggesting the encapsulation of the fullerenes in the molecular cavity of **85b**. DOSY experiments performed with **85b** showed a significant decrease of the diffusion coefficients of the host molecule in the presence of C_{60} or C_{70} in accord with the increase of the apparent size of **85b**, resulting in an extended conformation of the linkers after fullerene binding. Vapor pressure osmometry (VPO) experiments in toluene were also performed, and the number-average molecular weights of **85b**, $C_{60}@85b$, and $C_{70}@85b$ were determined to be 2500, 3200, and 3500 u, respectively, in agreement with the corresponding calculated values. Finally, UV-vis titrations were used for determining the binding constants of **85a** and **85b** toward C_{60} and C_{70} , giving values up to $10^5 M^{-1}$.

4. HEMICRYPTOPHANES IN MOTION: TOWARD MOLECULAR MACHINES

There is increased interest in the synthesis and development of complex systems capable of performing a well-defined function at the molecular level like brakes, gears, propellers, ratchets, turnstiles, rotors, scissors, gyroscopes, and molecular springs.^{180–189} Most supramolecular assemblies are particularly concerned with those novel approaches of functional intelligent molecules and materials. We may assume that changes in the molecular cage conformational space can affect not only the thermodynamic properties, such as binding affinity, but also the dynamic properties, such as molecular motions, on different time scales and rates due to conformational changes. In this section we report recent works involving hemicryptophane structures, which have been investigated for their reversible and controllable conformational changes, foreseeing molecular machines and switches.

4.1. Molecular Gyroscope

Molecular gyroscopes are chemical compounds or supramolecular complexes containing a rotor that moves freely relative to a stator.¹⁹⁰ Generally, the following three criteria are required for the design of molecular gyroscopic systems: (i) rotary elements (rotators) should be attached to a static framework (stator); (ii) steric contacts, internal rotation barriers, and interaction with solvent should be minimized to allow low-barrier rotary motion; and (iii) rotating groups should be isolated and/or well separated from each other.

Dmochowski et al. reported the gyroscope-inspired scaffold shown in Figure 23 in which the CTV and trisbenzylamine units of hemicryptophane **105** serve as the two-component stator bridged by three *p*-phenylenes, which are rotators.⁵² The X-ray molecular structure of **105** showed an empty tribenzylamine hemicryptophane cavity because of the compact size of

the cage. This absence of encapsulated solvent molecules that could hinder *p*-phenylene rotation suggests a high freedom degree of rotation for the three rotators. The 1H NMR experiments performed in the 180–300 K temperature range allowed determining the energy barrier of $9.2 \text{ kcal mol}^{-1}$ for the rotation of the *p*-phenylene groups at the coalescence temperature (203 K). To investigate the conformational fluctuations of the rotators and stator, molecular dynamic simulations were carried out, which reveal the existence of weak steric hindrance between neighboring *p*-phenylenes, accounting for the measured energy barrier for rotation. Hence, this work presents a rigid hemicryptophane framework bearing close multiple rotators, which constitutes a molecular gyroscopic system. It should be noted that in spite of the fact that the correlated rotation of the aromatic rings was not observed and the control of the direction of the motion was not achieved, this gyroscope-inspired hemicryptophane is an important step toward control of the direction and coupling of rotators within molecular systems.

4.2. Molecular Propeller

Atrane structures are an interesting class of compounds, which adopt a right- or left-handed propeller-like geometry defining a helical chirality with Δ or Λ configuration, respectively.^{138,191,192} For instance, the vanatrane derivatives obtained from the triethanolamine core and oxidovanadium compounds provide Δ/Λ enantiomers (Figure 24). If an additional chiral

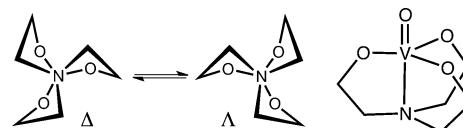


Figure 24. Vanatrane structure and its Δ and Λ forms viewed down the N–V bond.

element, for example with *S* configuration, is introduced to the framework, the two *S*- Δ and *S*- Λ diastereomers combining the new stereocenter with the helical chirality of the atrane structure are formed. If the two diastereomers are rigid so that the stereoconversion of the clockwise and anticlockwise orientations of the propeller-like arrangement is slow on the NMR time scale, the Δ or Λ forms corresponding to the two species could be observed on the 1H NMR spectra. More importantly, if the Δ and Λ configuration of the atrane structure can be controlled by external stimuli, such as chemical, electrochemical, photochemical, or thermal input, a real molecular propeller system with molecular switch function could be developed.

Martinez et al. investigated the oxidovanadium hemicryptophane complex $V(V)@41$ including the vanatrane

structure (Scheme 30). Because of the atrane structure of the vanadyl moiety, they identified in the ^1H NMR spectra the two diastereomers $V(V)@M-(S,S,S,\Lambda)$ -**41** and $V(V)@M-(S,S,S,\Delta)$ -**41** in a 1:0.35 ratio in CDCl_3 , respectively.^{139,156} Similarly, the $V(V)@P-(S,S,S,\Lambda)$ -**41** and $V(V)@P-(S,S,S,\Delta)$ -**41** diastereomers were obtained in a 1:0.17 ratio. The molecular structures obtained from DFT calculations and from X-ray diffraction demonstrate that the major species in each complex adopts the Λ configuration, more stable than the Δ one. Interestingly, it was found that the nature of the solvent strongly affects the Δ/Λ ratio (solvent-driven process). For instance, when the solid of $V(V)@M-(S,S,S)$ -**41**, obtained by evaporation from a CHCl_3 solution, is dissolved in C_6D_6 , the Δ/Λ ratio gradually decreased from 1/0.35 to 1/1 as a result of interconversion between the two diastereomers. Afterward, evaporation and redissolution of the solid in CDCl_3 resulted in the slow increase of the Δ/Λ ratio from 1/1 to 1/0.35. Therefore, an alternating change of the solvent could give rise to a diastereoselective interconversion between the two stable states having Λ -**41**/ Δ -**41** ratios of 74/26 and 50/50, respectively (Figure 25a). At least six switching cycles in the

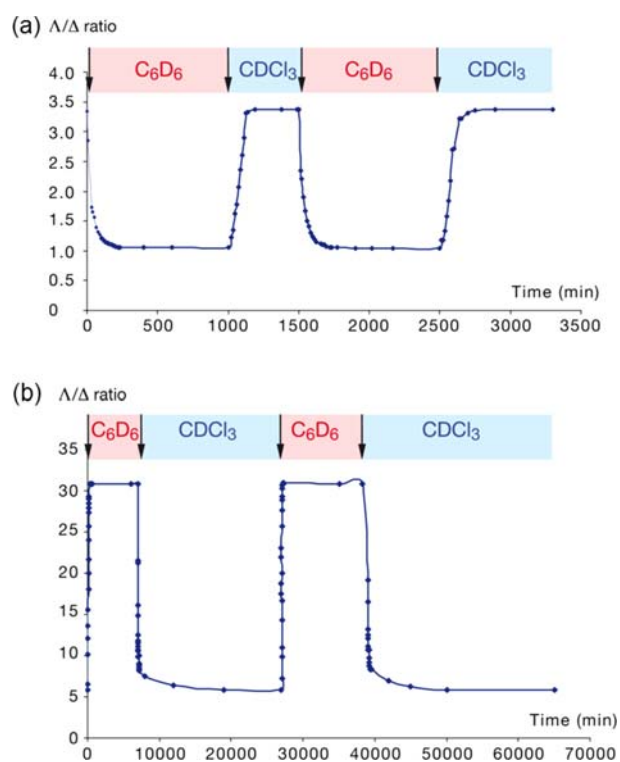


Figure 25. Plot of the Δ/Λ ratio (from ^1H NMR data) vs time for the $\Delta \rightleftharpoons \Lambda$ stereoconversion of (a) $V(V)@M-(S,S,S)$ -**41** and (b) $V(V)@P-(S,S,S)$ -**41** after alternating dissolution in C_6D_6 and CDCl_3 . Reproduced with permission from ref 139. Copyright 2010 American Chemical Society.

reversible bistable system were performed. Alternating change of the solvent for $V(V)@P-(S,S,S)$ -**41** also led to a diastereoselective interconversion (Figure 25b). These results show that the motion of the hemicyptophane molecular propellers not only can be controlled but also could be developed as molecular chiral switches by means of the solvent as an external stimulus. The energy barriers for the $\Delta \rightleftharpoons \Lambda$ equilibrium were determined by NMR experiments and gave high values (around 100 kJ mol^{-1} for both complexes). This

class of atrane propellers can be considered as a novel mode of chiral switching that is worthy of being explored for potential applications.

4.3. Molecular Breathing

Systems capable of performing a defined function at the molecular level have caused growing interest. Quasi-mechanical movements can be obtained from molecular machines upon the action of external stimuli. For instance, coordination/decoordination of metal ions has provided a remarkable tool to achieve molecular motions of molecular strands from folding to unfolding states.¹⁹³ Molecular cages have been also used to achieve nanomechanical processes, and recently, control of the shape and size of the molecular cavity by an external input has been reported in both covalent and self-assembled cages.^{194,195}

In this context it was demonstrated that dynamic motion can be induced with hemicyptophane **46** from fully folded to extended states by coordination/decoordination of the metal ion (Figure 26).⁶² Indeed, stereoisomers of hemicyptophane **46**

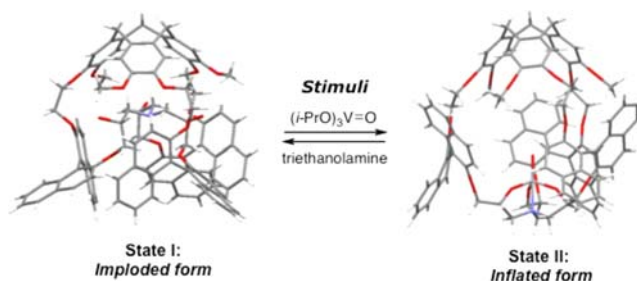


Figure 26. Graphical representation of the hemicyptophane cage switching between its bistable states, i.e., imploded and inflated conformations, with external inputs (State I, X-ray crystal structure of $M-(R,R,R)-(R,R,R)$ -**46**;⁶² State II, structure from MM3 calculation).

present “imploded” conformations in solution as evidenced by NMR spectroscopy and in the X-ray crystal structure of $M-(R,R,R)-(R,R,R)$ -**46**. The imploded form adopts a “cup-within-cup” structure where the triethanolamine moiety occupies the molecular cavity.¹⁹⁶ The collapsed molecular cavity can reinflate upon binding of an oxidovanadium unit, restoring a well-defined globular form. When the vanadium core is removed by an ancillary complexing ligand (triethanolamine), the expanded form collapses to give back the initial folded state. Thus, it appears that using metal binding–unbinding as an external stimulus, a reversible conformational change between the collapsed and the expanded form can be achieved, allowing one to mimic breathing motion at the molecular scale.

5. HEMICRYPTOPHANES: SUPRAMOLECULAR CATALYSTS

Because of their high activity and selectivity, enzymes have been a source of inspiration for supramolecular catalysis.^{111,116,117} The peculiar confined environment induced by the cavity around the substrate should provide a structural preorganization that can stabilize a transition state and ensure desolvation of the guest molecules. Thus, catalysts involving host–guest complexes have been synthesized to mimic such properties of enzymes. To avoid any misunderstanding, by supramolecular catalysis we mean here catalysis for “reactions that involve supramolecular interactions that do not form part of the basic catalytic reaction”.¹¹⁰ Therefore, hemicyptophanes, presenting a well-defined cavity just above a reactive center, constitute a

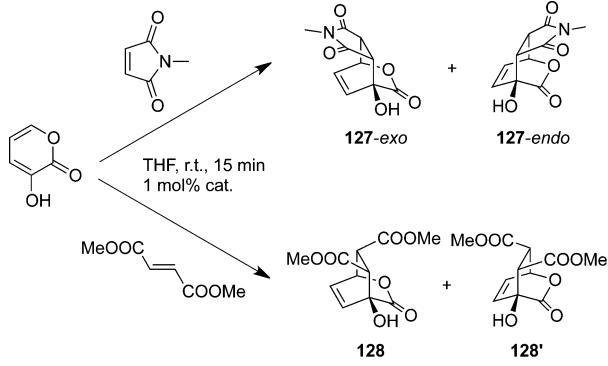
class of supramolecular catalysts. From the above sections it has been shown that hemicryptophane cages can encapsulate various guests, including both charged and neutral species. It is thus expected that endohedral location of highly reactive species in such artificially protected and confined space of hemicryptophanes will lead to new catalytic activities and selectivities because of the specific size, shape, and chemical environment that may impose specific orientation and conformation of the guest substrates. Moreover, the rigid framework of the host molecule may also protect the catalytic site inside the cavity from degradation during the reaction, improving the stability of the catalyst. In this section we report on the use of hemicryptophane complexes that possess endohedral functions acting as supramolecular catalysts. Peculiar attention is paid to the comparison with the corresponding model catalyst, which lacks cavity, in order to highlight the key role of the confinement on the catalytic activity. Two main topics have been investigated: organocatalysis with (pro)azaphosphatrane derivatives (section 5.1) and catalysis using metal–hemicryptophane complexes (section 5.2).

5.1. Hemicryptophanes as Organocatalysts

Pro-azaphosphatranes, often named Verkade's superbases ($pK_a \approx 32$), display remarkable properties as basic or nucleophilic catalysts in numerous reactions, such as transesterification, allylation, silylation, and elimination.^{118,122,197} In contrast, their conjugated acid azaphosphatranes, resulting from protonation of the phosphorus atom of the proazaphosphatrane, have received less attention. In this section, the use of encaged azaphosphatrane and Verkade's superbase hemicryptophane complexes as organocatalysts is described.

5.1.1. Encaged Verkade's Superbases: Catalysts for Diels–Alder Reactions. A first example concerns the use of pro-azaphosphatranes in Diels–Alder reactions. Despite Verkade's superbases displaying remarkable properties as basic or nucleophilic catalysts in numerous reactions, their use in Diels–Alder reaction was only reported in 2014. The encaged Verkade's superbase P@15a and the model one P@126a, which lacks a cavity (Figure 7), were used as organocatalyst for the base-catalyzed Diels–Alder reactions (Table 6).¹⁹⁸ The model catalyst P@126a is able to perform this reaction efficiently for both substrates with high yields, while the supramolecular catalyst P@15a displays a catalytic activity that is substrate dependent. For instance, a quantitative yield is achieved with *N*-methylmaleimide as dienophile, whereas no reaction occurs with dimethylfumarate (Table 6). According to the authors, the confinement of the organocatalyst in a deep and narrow cavity combined with the steric hindrance of the *trans*-dimethylfumarate can account for this result. In addition, it could be noticed that both catalysts exhibit quantitative yields for the reaction between 3-hydroxy-2-pyrone and *N*-methylmaleimide, but the caged catalyst P@15a displays much higher diastereomeric excess than the model P@126a (77% and 43%, respectively, both in favor of the 127-*endo* product). It is suggested that large active sites allow various transition-state geometries, whereas a catalyst encaged in a tight space can limit them, thus favoring the most compact ones and leading to an overall improvement of the selectivity. These results indicate that not only are the yields and catalytic activities altered by the confinement of the active site but the encapsulation of the organocatalyst also improves the stereoselectivity of the Diels–Alder reaction. This case constitutes an

Table 6. Diels–Alder Reaction between 3-Hydroxy-2-pyrone and *N*-Methylmaleimide or Dimethylfumarate with Catalysts P@15a and P@126a



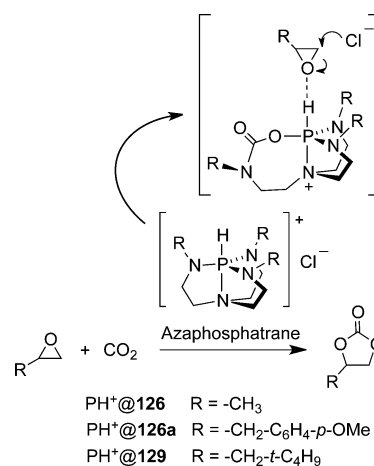
dienophile	catalyst	compound (%) ^a	de (%) ^b
<i>N</i> -methylmaleimide	P@126a	127 (99)	43 ^c
<i>N</i> -methylmaleimide	P@15a	127 (100)	77 ^c
dimethylfumarate	P@126a	128 (95)	20
dimethylfumarate	P@15a	128 (<1)	

^aYields were determined from NMR spectra using 2,4-dibromomesitylene as internal reference. ^bDiastereomeric excesses were determined by NMR. ^cThe major product is 127-*endo*.

important step in the development of stereoselective catalysis based on active sites confined in a hemicryptophane cavity.

5.1.2. Encaged Azaphosphatrane Catalysts for CO₂ Conversion. The use of azaphosphatranes as organocatalysts for CO₂ conversion was developed recently. The conversion of carbon dioxide (CO₂) into cyclic carbonate has emerged as a powerful tool to produce renewable chemical feedstocks for organic synthesis from this greenhouse effect gas, since this carbon source is abundant, cheap, and nontoxic.^{199–201} In 2013, Dufaud and Martinez et al. found that azaphosphatranes, such as those in Scheme 33, can be used as efficient catalysts for the synthesis of cyclic carbonates from CO₂ and epoxides.²⁰² They demonstrated that the catalytic reaction was first-order rate dependent on epoxide, catalyst, and CO₂ and proposed a mechanism where both the epoxide and the CO₂ were simultaneously activated at a single molecule of catalyst. They

Scheme 33. Synthesis of Cyclic Carbonates from Epoxide and Carbon Dioxide Catalyzed by Azaphosphatranes PH⁺@126, PH⁺@126a, and PH⁺@129



also found that the substitution pattern on the catalyst greatly affects activity and stability; for instance, catalysts $\text{PH}^+@126\text{a}$ and $\text{PH}^+@129$ are much more efficient and stable than $\text{PH}^+@126$ because of the steric protection of the P–H site in the former catalysts.

In order to further improve the efficiency and stability of the catalyst, azaphosphatrane@hemicryptophane catalysts, such as $\text{PH}^+@15\text{a}$, $\text{PH}^+@15\text{b}$, and $\text{PH}^+@15\text{i}$ (Scheme 29), were engaged in CO_2 conversion into cyclic carbonates.²⁰³ The caged catalyst $\text{PH}^+@15\text{a}$ was found to be much more efficient than the corresponding model catalyst $\text{PH}^+@126\text{a}$, as shown in Figure 27. First, regarding the turnover number (TON) after

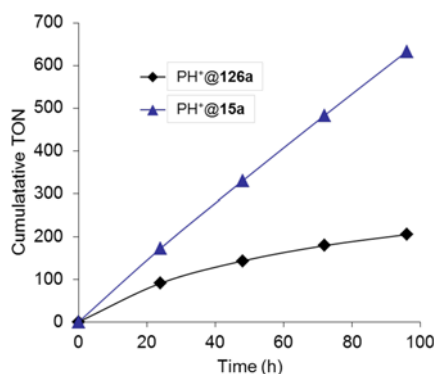


Figure 27. Changes of the cumulative TON for catalysts $\text{PH}^+@15\text{a}$ and $\text{PH}^+@126\text{a}$ as a function of time. Reaction conditions: styrene oxide (50.0 mmol), catalyst (0.05 mmol), CO_2 (1 bar), and 100 °C. Reproduced with permission from ref 203. Copyright 2014 Wiley VCH.

24 h, the caged $\text{PH}^+@15\text{a}$ was twice as active as its model $\text{PH}^+@126\text{a}$ when a ratio of 1000:1 was inputted between the epoxide (styrene oxide) and the catalyst (1 bar, 373 K). In addition, no noticeable alteration of activity for $\text{PH}^+@15\text{a}$ was observed, whereas a steady decline in activity occurred for model catalyst $\text{PH}^+@126\text{a}$. Hence, these results indicate that the P–H active site of $\text{PH}^+@15\text{a}$ is protected from degradation. The preorganization of the molecular cage likely reinforces the ability of the catalytic core to maintain its atane structure during the catalytic cycle, thus improving the stability. Interestingly, it was also found that the reactivity of the caged catalyst $\text{PH}^+@15\text{i}$, which has a narrower cavity, was much lower than $\text{PH}^+@15\text{a}$. These observations suggest that the size and shape of the nanospace for the caged catalysts significantly affect their reactivity in the conversion of CO_2 to cyclic carbonates.

5.2. Metal-Hemicryptophane Complexes as Catalysts

It is widely recognized that metal ion embedded in the proteic cavity has key roles not only in the recognition events but also in catalysis for generating transient species and directing their reactivity.^{111,112,116} Thus, the design of biomimetic cage complexes for the modeling of these metalloenzyme active sites is very meaningful. Although most of the supramolecular systems designed for catalysis are not so active either because they are too rigid or because they should be used in stoichiometric amounts since the reaction product remains in the cavity preventing the next catalytic cycle, the metal-hemicryptophane complexes have proved to be very efficient in catalysis achieving usually very high turnover number (TON). In this section, the organometallic hemicryptophane complexes bearing metal active sites, such as vanadium(V), zinc(II),

copper(II), and ruthenium(II), for supramolecular catalysis will be presented.

5.2.1. Engaged Vanadium(V) Catalysts for Sulfoxidation Reactions. In 2009, the first metal-hemicryptophane complex used for catalysis was reported.²⁰⁴ The diastereomeric hemicryptophane-oxidovanadium(V) complexes, $\text{V(V)}@M\text{-(S,S,S)-41}$ and $\text{V(V)}@P\text{-(S,S,S)-41}$, succeeded in efficient oxidation of sulfide to sulfoxide. With 10 mol % catalyst and cumene hydroperoxide (CHP) as oxygen source, oxidation of thioanisole is much faster with the engaged catalyst $\text{V(V)}@M\text{-(S,S,S)-41}$ than with the model catalyst $\text{V(V)}@(\text{S,S,S})\text{-126a}$, which lacks a cavity (Figure 28). Within 90 min, the yield

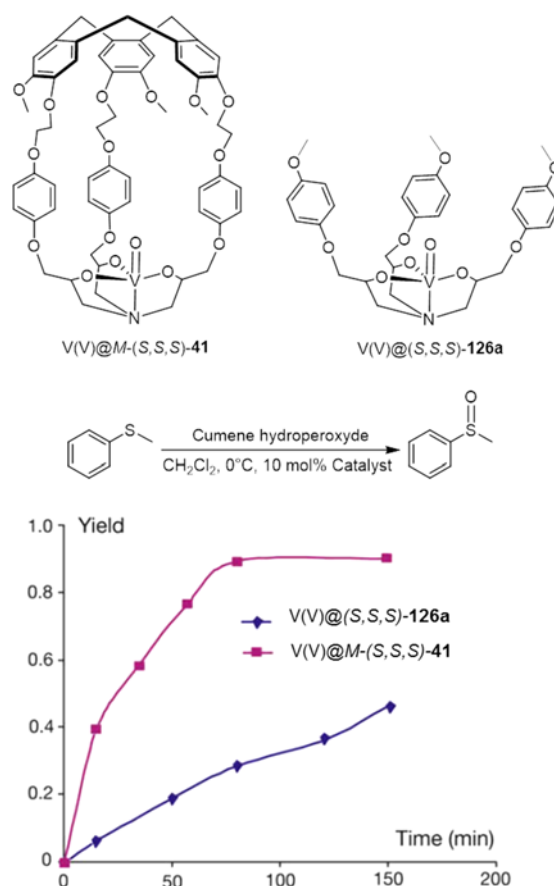


Figure 28. Time course of oxidation of thioanisole catalyzed by catalysts $\text{V(V)}@M\text{-(S,S,S)-41}$ and $\text{V(V)}@(\text{S,S,S})\text{-126a}$. Conditions: 10 mol % catalyst, 1.0 equiv of CHP, CH_2Cl_2 , 0 °C. Reproduced in part with permission from ref 204. Copyright 2009 Elsevier.

reaches 95% with high selectivity (98%). In addition, when decreasing the amount of catalyst to 0.5 mol % and performing catalysis at room temperature, a TON up to 180 was obtained, indicating the high efficiency of these supramolecular catalysts.

Catalysis is also very efficient when *tert*-butyl hydroperoxide (TBHP) is used as oxidant. Almost the same catalytic performance is achieved using catalyst $\text{V(V)}@P\text{-(S,S,S)-41}$. The kinetic constants for the reaction are estimated to be up to 6-fold higher with the hemicryptophane complex 41 than with the model complex $\text{V(V)}@126$. The improvement in the reaction rate by the cage was attributed to guest activation via molecular encapsulation and also by the relative flexibility of this cage that can allow fast responsiveness.

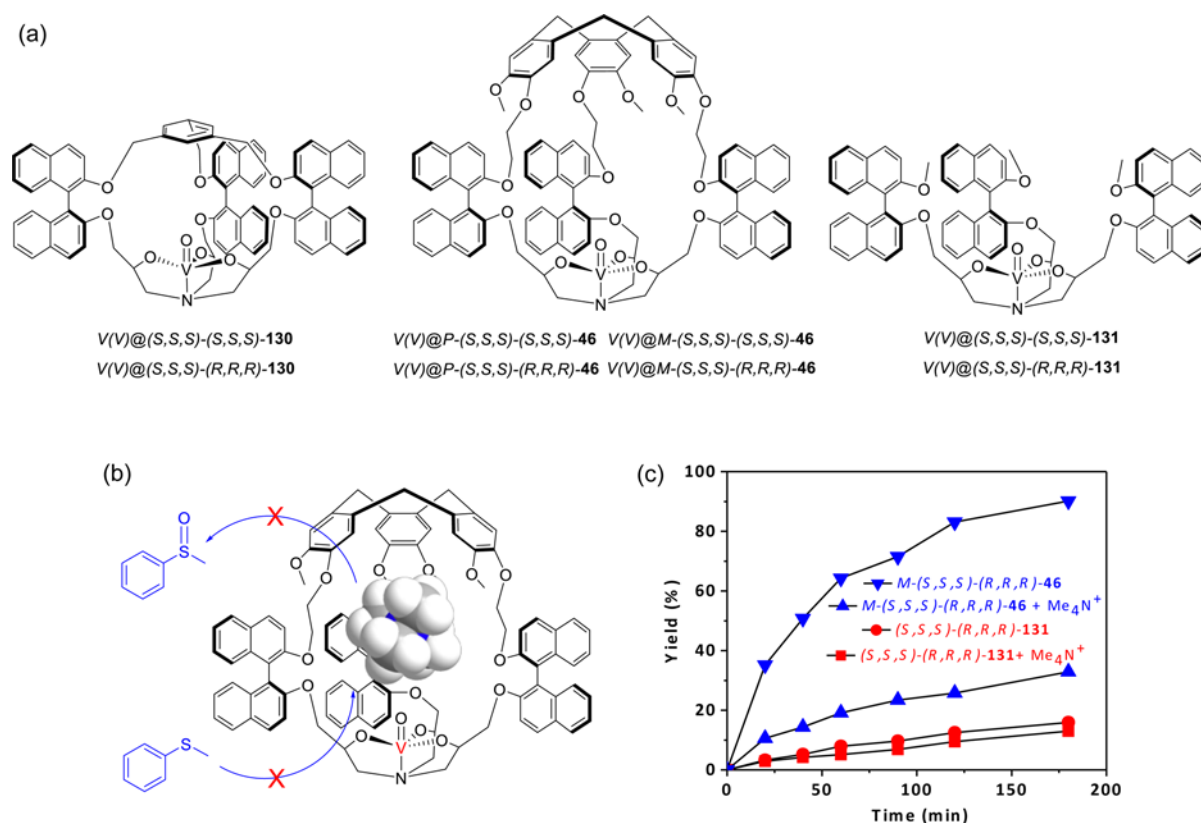


Figure 29. (a) Structures of the oxido–vanadium complexes; (b) principle for stopping the catalytic reaction with catalyst $V(V)@M-(S,S,S)-(R,R,R)$ -46 inhibited by Me_4N^+ ; (c) time course of oxidation of thioanisole in the absence and presence of Me_4N^+ catalyzed by $V(V)@M-(S,S,S)-(R,R,R)$ -46 or $V(V)@((S,S,S)-(R,R,R))$ -131 (1.5 mol % catalyst, 1.0 equiv of CHP, 0 °C, CH_2Cl_2). Adapted with permission from ref 140. Copyright 2017 The Royal Society of Chemistry.

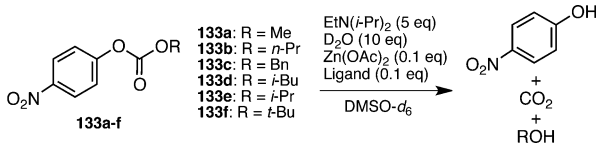
More recently, the same group used the different stereoisomers of the hemicryptophane complexes $V(V)@46$, $V(V)@130$, and model catalyst $V(V)@131$ (Figure 29a) to further improve the catalytic sulfoxidation performance. The binaphthol moieties were introduced into the hemicryptophane cages aiming at constructing more hydrophobic cavities compared to the previous oxidovanadium hemicryptophanes $V(V)@41$.¹⁴⁰ In addition, the location of the bulky binaphthol units in the linkages of the molecular cages can isolate more efficiently the heart of the cavity from the bulk solution; thus, the effect of the confinement could be more pronounced. Results show that the new binaphthol-based hemicryptophane complexes are the most efficient catalysts, which are suitable to accommodate and convert the thioanisole substrate. A reaction rate of 3-fold, 5-fold, and 33-fold faster was observed for oxidation of thioanisole with the hemicryptophane catalysts $V(V)@46$ than with catalysts $V(V)@130$, $V(V)@41$, and $V(V)@131$, respectively. The specific shape of the confined hydrophobic space above the metal center induced by the bulky binaphthol linkages leads to a strong improvement of the yield, selectivity, and catalytic activity. Moreover, when decreasing the catalyst amount to 0.01 mol %, the catalysts $V(V)@M-(S,S,S)-(R,R,R)$ -46 exhibit extremely high levels of efficiency with almost 10 000 TON. Competitive inhibition experiments using $Me_4N^+Pic^-$ and the test with more sterically hindered substrates indicate that the catalytic reaction occurs inside the cavity of the hemicryptophane cages. For instance, after adding $Me_4N^+Pic^-$ to the reaction mixture, a significant decrease in the reaction rate and yield was observed when using $V(V)@M-(S,S,S)-(R,R,R)$ -46, whereas no noticeable change was displayed with

model catalyst $V(V)@((S,S,S)-(R,R,R))$ -131 (Figure 29b and 29c). This enzyme-like behavior is also supported by the fact that when catalyzed by the cage complex the oxidation reaction followed Michaelis–Menten kinetics.

5.2.2. Encaged Zinc(II) Catalysts for Carbonate Hydrolysis. The Zn(II)-coordinated hemicryptophane complex $Zn(II)@22$ and the $Zn(II)@132$ model catalyst were used for hydrolysis of alkyl carbonates.^{54,205} The Zn catalysts were generated in situ by adding $Zn(OAc)_2$ to a solution of the corresponding ligand. The reaction conditions and kinetic data for hydrolysis of alkyl carbonate 133a–f are shown in Table 7. DMSO- d_6 was used as the solvent because it was found that the reaction rates are much faster in DMSO- d_6 than in other solvent, such as $CDCl_3$ or CD_3CN . From Table 7 it can be seen that for all substrates, except 133f, the reaction rates with hemicryptophane catalyst $Zn(II)@22$ are much higher than with the model catalyst $Zn(II)@132$ with a ratio ranging from 1.8 to 3.5. In addition, different reactivities are also observed for different substrates with the hemicryptophane catalyst.

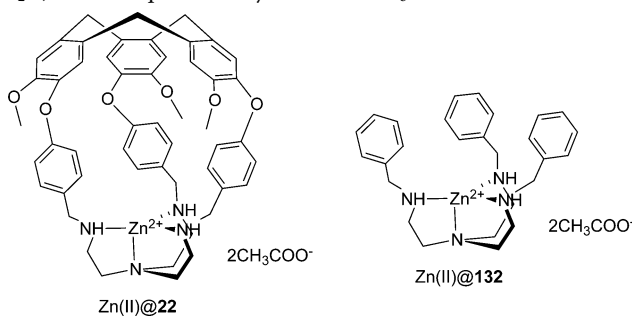
For example, hydrolysis of substrate 133a bearing a methyl group gives the highest rate constant and a 2.2 ratio for $k_{Zn(II)@22}/k_{Zn(II)@132}$. Despite the large size of benzyl and *p*-nitrophenoxy groups in 133c, the rate constant is still acceptable, suggesting that part of the substrate goes inside the cavity. The hydrolysis of 133d and 133e gives rise to the largest value of $k_{Zn(II)@22}/k_{Zn(II)@132}$ between hemicryptophane and model catalysts. Surprisingly, hydrolysis of 133f with the hemicryptophane catalyst is slower than the model compound, indicating that the *t*-Bu group has fully hindered access to the inner zinc site. Hence, all these results demonstrate that the

Table 7. Zinc-Catalyzed Hydrolysis of Alkyl Carbonates 133a–f with Zn(II)@22 Hemicryptophane Complex and Zn(II)@132 Model Complex^a



substrate	ligand	k_{obs} (10^{-3} h^{-1})	$k(22)/k(120)$
133a	22	35	2.2
133a	132	16	
133b	22	18	1.8
133b	132	10	
133c	22	27	1.8
133c	132	15	
133d	22	6.2	2.6
133d	132	2.4	
133e	22	9.2	3.5
133e	132	2.6	
133f	22	4.4	0.8
133f	132	5.4	

^aConditions: 85 μM substrate, 5.0 equiv of $\text{EtN}(i\text{-Pr})_2$, 10 equiv of D_2O , and 0.1 equiv of catalyst in $\text{DMSO-}d_6$ at 295 K.



higher catalytic reactivity of the hemicryptophane catalyst is due not only to the proximity, thanks to the substrate encapsulation within the cavity, but also to control of the solvent access, inhibiting DMSO coordination with the zinc site into the cavity.

5.2.3. Encaged Ruthenium(II) Catalysts for the Oxidation of Alcohols. $\text{RuCl}_2@22$ was tested as catalyst in the oxidation of alcohols into aldehyde and carboxylic acid using cerium(IV) ammonium nitrate ($\text{Ce}(\text{NH}_4)_2(\text{NO}_3)_6$) as stoichiometric oxidant (Table 8).¹⁴⁵ The cage catalyst was found to be much more selective than the model compound based on the tris(2-benzylaminoethyl)amine ligand 132 ($\text{RuCl}_2@132$). Indeed, a complex mixture of aldehyde and carboxylic acid was obtained when catalyst $\text{RuCl}_2@132$ was used for oxidation of primary alcohols, whereas the hemicryptophane complex $\text{RuCl}_2@22$ strongly favored formation of the aldehyde and suppressed overoxidation to the carboxylic acid. Moreover, using the electro-deficient 4-nitrobenzyl alcohol substrate, the cage complex was found to be both more efficient and more selective than the model one. However, with the 2-dodecanol secondary alcohol, the model catalyst $\text{RuCl}_2@132$ displayed a better activity: the higher steric hindrance of the secondary alcohol could prevent its access to the encaged catalytic site, accounting for this experimental result.

5.2.4. Encaged Copper(II) Catalysts for Cycloalkane Oxidation. Direct conversion of large available alkanes into

Table 8. Ruthenium-Catalyzed Oxidation Reaction^a

catalyst	substrate	aldehyde yield (%)	carboxylic acid yield (%)
$\text{RuCl}_2@132$	1-octanol	18	82
$\text{RuCl}_2@22$	1-octanol	90	0
$\text{RuCl}_2@132$	benzyl alcohol	52	48
$\text{RuCl}_2@22$	benzyl alcohol	91	9
$\text{RuCl}_2@132$	4-methoxybenzyl alcohol	55	41
$\text{RuCl}_2@22$	4-methoxybenzyl alcohol	94	6
$\text{RuCl}_2@132$	4-nitrobenzyl alcohol	27	10
$\text{RuCl}_2@22$	4-nitrobenzyl alcohol	88	12
$\text{RuCl}_2@132$	2-dodecanol	68 ^b	
$\text{RuCl}_2@22$	2-dodecanol	26 ^b	

^aConditions: alcohol (0.1 mmol), catalyst (5 mol %), and $\text{Ce}(\text{NH}_4)_2(\text{NO}_3)_6$ (4 equiv) in $\text{CH}_3\text{CN}/\text{H}_2\text{O}$ (1/0.3). ^bProduct is 2-dodecanone.

more valuable products is a subject of great interest.^{206–209} An example with industrial significance concerns the oxidation products of cyclohexane, cyclohexanol, and cyclohexanone since they are used for production of adipic acid and caprolactam involved in the manufacture of nylon-6,6' and nylon-6, respectively.^{210–213} The industrial process uses homogeneous cobalt(III)–naphthalenolate as catalyst and dioxygen as oxidant at high temperature (150 °C), forming cyclohexanone in low yield (4%) to achieve good selectivity (85%). Hence, alternative approaches to transform alkane efficiently and selectively under relatively mild conditions are necessary.

Efficient alkane C–H bond oxidation with H_2O_2 as a clean oxidant under mild conditions was achieved using the $\text{Cu}(\text{II})$ –hemicryptophane complex $\text{Cu}(\text{II})@15a$.²¹⁴ As shown in Figure 30, when using 1 mol % catalyst for oxidation of cyclohexane (CyH) by H_2O_2 in MeCN at room temperature, a much higher yield is obtained after 2 h with the hemicryptophane catalyst $\text{Cu}(\text{II})@15a$ than with the model catalyst $\text{Cu}(\text{II})@126$ or $\text{Cu}(\text{ClO}_4)(\text{H}_2\text{O})_6$, indicating the important role of the cage structure. The time course also shows similar initial reaction rates for $\text{Cu}(\text{II})@15a$ and $\text{Cu}(\text{II})@126$; however, the reaction stopped after 1 h with $\text{Cu}(\text{II})@126$ (14% yield), whereas it still proceeded using $\text{Cu}(\text{II})@15a$ until 2-fold higher yield was displayed. These observations suggest that the improved catalytic performance of the hemicryptophane catalyst is attributed to prevention of active site degradation protected by the cage rather than the direct increase of reactivity of the catalytic center. Self-oxidation reactions between two molecules of the hemicryptophane catalysts should be avoided because of the location of the active site in the inner cavity. When decreasing the catalyst amount to 0.1 mol %, a TON of about 110–130 was achieved, indicating the high efficiency of $\text{Cu}(\text{II})@15a$ for CyH oxidation. Furthermore, competition experiments between the closely related CyH and cyclooctane or adamantane were performed and show that $\text{Cu}(\text{II})@15a$ is able to discriminate more efficiently CyH from cyclooctane or adamantane than its model compound, emphasizing that the oxidation occurs inside the catalyst cavity similar to enzyme catalysis.

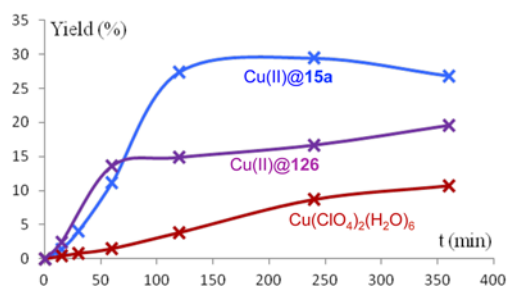
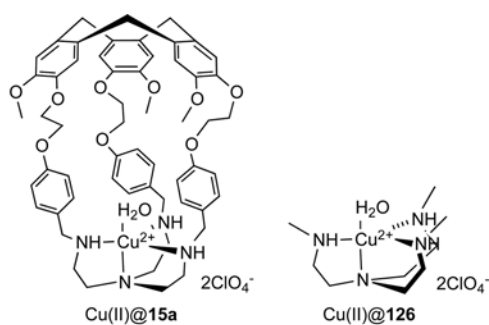


Figure 30. Time course of oxygenation of CyH catalyzed by Cu(II)@15a, Cu(II)@126, and Cu(ClO₄)₂(H₂O)₆. Conditions: MeCN (250 μL), CyH (3.2 μL, 30 μmol), H₂O₂ (300 μmol), catalyst (0.3 μmol), 35 °C. Adapted with permission from ref 214. Copyright 2013 The Royal Society of Chemistry.

6. CONCLUSIONS

In this review advances in hemicryptophane chemistry have been thoroughly reviewed. A brief history about its development is first given in the [Introduction](#). Then synthetic methods and applications in recognition, molecular machines, and supramolecular catalysis were described. When compared to other molecular containers such as cryptophanes, hemicryptophanes appear as a young family of molecular cages, which were only gradually recognized within the past decade. It cannot be neglected that they bear plenty of inborn advantages that have greatly promoted their development.

- (1) With respect to synthesis, their synthetic strategies are quite flexible. The cage closure could end up with the reaction at the north or south part or [1 + 1] coupling between the north and the south moieties. Sometimes a high overall yield could be obtained starting from commercially available materials. In addition, hemicryptophanes are chiral cages because of the inherent chirality of the CTV, and many enantiopure hemicryptophanes have been successfully obtained, including molecular cages that bear three stereogenic elements giving rise to eight stereoisomers. Furthermore, water-soluble compounds, capsules constructed by dynamic reversible bonds/interactions, and metal/nonmetal functionalized cages represent important developments in the synthesis of hemicryptophanes.
- (2) In terms of their application in recognition, the hemicryptophanes are a versatile class of host molecules that can encapsulate various substrates, including neutral or charged guests and chiral or achiral molecules. The rigid CTV scaffold provides a well-preorganized cavity, of which size and shape can be adjusted by changing the linkages between the CTV and the south units. The south moiety is also modulable and can lead to cage

molecules with ditopic recognition properties. Thus, elegant heteroditopic recognition of ion pairs and zwitterions has been successfully achieved. Other exciting progress includes the use of water-soluble cages for recognition of choline in pure water, fluorescent sensing of choline phosphate, and exclusive enantioselective complexation of carbohydrates.

- (3) Despite hemicryptophane entering the field of molecular machinery only recently, some appealing results have been achieved. For instance, hemicryptophane oxidovanadium complexes exhibit a reversible change in its propeller motion of the vanatrane structure uniquely controlled by the solvent, establishing an atrane-based molecular chiral switch displaying stimuli-responsive properties. The relatively rigid scaffold of the CTV and the multiple functionalizations of the linkages and south moieties imply that hemicryptophanes frequently display unexpected molecular mechanical properties.
- (4) With regard to catalysis, the endohedral location of highly reactive sites in this special class of hemicryptophane cages has offered a lifelike biomimetic modeling of enzymes. Benefiting from the easy functionalization of the south part with active species and their guest encapsulation properties, various efficient organo- and organometallic hemicryptophane catalysts have been prepared. A stimulating step is Verkade's engaged hemicryptophane catalysts, whose basicity and reactivity can be controlled by designing the size and shape of the cavity. Another one is that hemicryptophane complexes have proven to be superior catalysts usually exhibiting high TONs, because of their relatively flexible structure compared with other macrocycles. The high stability of the catalysts protected by the cage structure, for instance, observed from the case of CO₂ conversion, is also a key feature that can greatly improve their catalytic performance.

Albeit hemicryptophane chemistry has made great progress during the past decade, its potential in synthesis and applications is still waiting to be further explored. (i) More synthetic strategies can be involved for more efficient and greener cage constructions, such as combinatorial dynamic chemistry.^{215–217} (ii) Characterization of the guest recognition mainly relies on NMR spectroscopy, which has a high detection limit and slow analysis speed. More effective signaling groups can be incorporated into the cage, allowing their use in living systems,^{218–220} such as fluorescent bioimaging or magnetic resonance imaging (MRI). (iii) Exploration of their molecular mechanical properties is relatively poor. Indeed, these cages may be able to build various stimuli-responsive host–guest systems based on self-assembly, similar to what has been studied with rotaxanes, pseudorotaxanes, catenanes, or even supramolecular polymers.^{96,98,185–189,221} (iv) Although a few elegant enantiopure hemicryptophanes have been obtained, their application in enantioselective asymmetric catalysis has never been reported, underlining the interest of this challenging task. (v) The major challenge for chemists in supramolecular chemistry is always to run experiments in aqueous medium.^{103,104} In particular, the results can be very exciting if the water-soluble hemicryptophanes achieved the above-mentioned outlook of applications in biological media. (vi) The hemicryptophane cages may also be immobilized on various solid materials, such as zeolite, SiO₂, or polymer, via covalent bonds or noncovalent interactions, which will open

new research domains.²²² Therefore, we can confidently anticipate that the chemistry of hemicryptophanes will go far beyond from what has been reported herein and will be an increasingly active research field further contributing to the progress of supramolecular chemistry.

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