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On the validity range of strain-gradient elasticity: a mixed static-dynamic identification procedure

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Abstract

Wave propagation in architectured materials, or materials with microstructure, is known to be dependent on the ratio between the wavelength and a characteristic size of the microstructure. Indeed, when this ratio decreases (i.e. when the wavelength approaches this characteristic size) important quantities, such as phase and group velocity, deviate considerably from their low frequency/long wavelength values. This well-known phenomenon is called dispersion of waves. Objective of this work is to show that strain-gradient elasticity can be used to quantitatively describe the behaviour of a microstructured solid, and that the validity domain (in terms of frequency and wavelength) of this model is sufficiently large to be useful in practical applications. To this end, the parameters of the overall continuum are identified for a periodic architectured material, and the results of a transient problem are compared to those obtained from a finite element full field computation on the real geometry. The quality of the overall description using a strain-gradient elastic continuum is compared to the classical homogenization procedure that uses Cauchy continuum. The extended model of elasticity is shown to provide a good approximation of the real solution over a wider frequency range.

1. Introduction

The description of the wave propagation in a medium having an inner architecture poses a methodological problem. One is facing the following alternatives: either the internal architecture is "infinitely" small with respect to the wavelengths of the solicitation, or it is not. In the first case, the effects linked to the internal structure are negligible and the architectured material can be replaced by an equivalent standard Cauchy elastic medium¹. In the second case, structural effects can not be neglected and all the geometrical details of the architecture must be taken into account for computing the wave propagation. The numerical cost of this last option can be prohibitively high. Structural effects related to heterogeneous wave propagation are well illustrated with a dispersion diagram. An example of such a diagram for a hexagonal lattice material is provided on Fig.1.

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¹By Cauchy continuum we simply mean the classical formulation of elasticity.

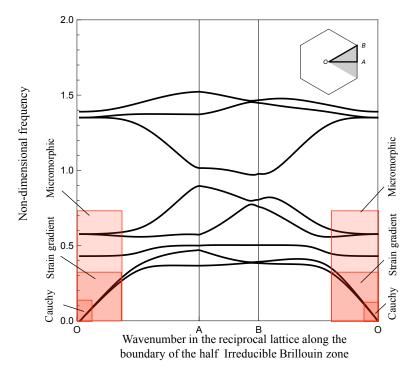


Figure 1: Characteristic dispersion curve of a periodic architectured material (Image extracted from Rosi and Auffray (2016)).

Characteristics of wave propagation in heterogeneous continuum are:

- optical branches: some modes that have cut-off frequencies;
- dispersivity: the phase velocity depends on frequency;
- directivity: depending on the frequency, energy can flow towards preferred directions.

For practical applications, an intermediate strategy would be valuable. Such a way would consist in defining an equivalent elastic medium retaining certain features of the heterogeneous propagation. But, as it is well-known, these features cannot be captured by the classical theory of Cauchy elasticity. This observation, among others, motivated during the 1960s the construction and study of enriched continuous media, also known as generalized continua (Mindlin, 1964; Mindlin and Eshel, 1968). See also dell'Isola and Steigmann (2015) for recent developments and dell'Isola et al. (2017) for a historical and theoretical overview of the subject.

As commented in dell'Isola et al. (2015), the research on generalized continua is not new and dates back to 1848 with Gabrio Piola, at the origin of continuum mechanics dell'Isola et al. (2014). There are many options for extending classical elasticity and picking a model among another depends on the nature of the architectural effects that are desired to be maintained at the continuous scale. This choice is application dependent.

In full generality, overall generalized media can either be local or non-local². Despite their interests related to Willis elastodynamics and cloaking theory, non-local aspects will not be discussed here (Willis, 1985, 1997; Norris and Shuvalov, 2011; Nassar et al., 2015c,b,a). Concerning local continua, there are two approaches to extend classical elasticity (Toupin, 1962; Mindlin, 1964, 1965; Eringen, 1967; Mindlin and Eshel, 1968):

2

²A material is said to be non-local if its constitutive law is integro-differential. At the opposite, a constitutive law that is only differential it is said to be local. Since for higher-grade materials the constitutive laws are still defined within an infinitesimal neighborhood of a material point, higher-grade materials are local. Even if, in the literature, the denomination of "non-local" can be encountered associated to higher-grade continua this qualification is, from a mathematical point of view, clearly abusive

Higher-order continua: the number of degrees of freedom is extended. The Cosserat model (also known as micropolar), in which local rotations are added as degrees of freedom, belongs to this family (Cosserat and Cosserat, 1909). This enhancement can be extended further to obtain the micromorphic elasticity (Green and Rivlin, 1964; Mindlin, 1964; Eringen, 1967; Germain, 1973). This approach allows optical branches to be described.

Higher-grade continua: the degrees of freedom are kept identical but higher-order gradients of the displacement field are involved into the elastic energy. Within this framework dispersivity and directivity can be described but not optical branches. Strain-gradient elasticity (Mindlin, 1964, 1965; Mindlin and Eshel, 1968; dell'Isola and Seppecher, 1995, 1997) belongs to this family. It is worth to note that strain-gradient elasticity can be retrieved as a Low Frequency (LF), Long Wave-length (LW) approximation of the micromorphic kinematic (Mindlin, 1964). As a consequence, the parameters needed to set up this model are limited compared to a complete micromorphic continuum.

The domains of validity of these extended theories are roughly estimated in Fig.1, where it can be observed that in higher-grade continua, due to the absence of internal degrees of freedom, all optical branches are lost³ Besides, in LW limit, the dispersion relation becomes linear, and hence dispersive effects vanish. It should be emphasized that the use of a local generalized continuum provides a good description of the local dynamics only for a short window of wavelengths. Formulated differently, there will always be a limit beyond which the substitution medium, as rich as it is, will fail to accurately describe the real dynamics⁴. But, and despite of its importance with respect to practical applications, the precise value of this limit is rather unclear.

In the present paper, and following some previous works (Auffray et al., 2015; Rosi and Auffray, 2016; Placidi et al., 2015, 2016), attention will be focused on Strain-Gradient Elasticity⁵ (SGE). Our goal is to propose a general procedure for the identification of the constitutive parameters of the SGE model, and to define criteria to assess its validity range. The procedure has been sketched in general, and then applied to a case study of a material having a squared mesostructure. Although a numerical approach is followed, the procedure can be applied experimentally.

Organization of the paper:

The paper is organized as follows. In section §.2, the basic equations of strain-gradient elasticity are recapped. In §.3 a general identification procedure is introduced. Then, in §.4 this procedure is conducted in the particular case of a tetragonal lattice. The strain-gradient elasticity model is evaluated for this specific situation. Finally, §.5 is devoted to some conclusions.

Notations:

In this work tensors of order ranking from 0 to 6 are denoted, respectively, by a, \underline{a} , \underline

$$\underline{\mathbf{a}} \cdot \underline{\mathbf{b}} = a_i b_i, \quad \underset{\sim}{\mathbf{a}} : \underset{\sim}{\mathbf{b}} = a_{ij} b_{ij}, \quad \underset{\approx}{\mathbf{a}} : : \underset{\approx}{\mathbf{b}} = a_{ijkl} b_{ijkl}, \qquad 1 \leq i, j, k, l \leq d$$

where repeated indices are summed up. Spatial gradient will classically be denoted, in index form, by a comma:

Grad
$$\underline{\mathbf{a}} = (\underline{\mathbf{a}} \otimes \underline{\nabla})_{ij} = a_{i,j}$$

When needed index symmetries are expressed as follows: (..) indicates invariance under permutations of the indices in parentheses, while <u>..</u> <u>..</u> denotes invariance with respect to permutations of the underlined blocks. Finally, a superimposed dot will denote a partial time derivative.

³As shown in (Metrikine, 2006), adding a fourth order derivatives with respect to time allows for the description of optic branches. However, since this form is obtained rewriting the coupled system of equation of a micromorphic continuum into a single vector equation for the macroscopic displacement, these models have to be considered as micromorphic continua.

⁴Formulated in a third way, local continua can not describe accurately the entire first Brillouin zone, to achieve such a goal non-local continua should be used.

⁵For other modeling options devoted to the description of band-gaps, the reader can refer, among others, to Liu et al. (2012); Chen et al. (2014); Neff et al. (2014).

2. Strain-gradient elasticity in a nutshell

In this section equations of strain-gradient elasticity are recalled. To that aim the setting introduced by Mindlin (type II formulation) (Mindlin and Eshel, 1968) is used.

2.1. Energy

As usual in field theory of conservative system, the Lagrangian density \mathcal{L} is defined as the difference between the kinetic and potential energy densities, respectively, \mathcal{K} and \mathcal{P} .

$$\mathcal{L} = \mathcal{K} - \mathcal{P}$$

In the case of Mindlin's strain gradient theory those quantities are function of the displacement and its gradients as follows:

$$\mathcal{K} = \frac{1}{2}p_i v_i + \frac{1}{2}q_{ij}v_{i,j}, \qquad \mathcal{P} = \frac{1}{2}\sigma_{ij}\varepsilon_{ij} + \frac{1}{2}\tau_{ijk}\eta_{ijk}. \tag{1}$$

The following quantities are involved in these definitions:

- p_i and q_{ij} , the momentum and the hypermomentum tensors;
- v_i and $v_{i,j}$, the velocity $(v_i = \dot{u}_i)$ and its gradient;
- σ_{ij} and τ_{ijk} , the Cauchy stress and the hyperstress tensors;
- ε_{ij} and $\eta_{ijk} = \varepsilon_{ij,k}$, the infinitesimal strain tensor $(\varepsilon_{ij} = (u_{i,j} + u_{j,i})/2)$ and its gradient.

From the static quantities we can define the following total quantities:

• the total stress

$$s_{ij} = \sigma_{ij} - \tau_{ijk,k} \tag{2}$$

• the total momentum

$$\pi_i = p_i - q_{ik,k} \tag{3}$$

This form is postulated here on phenomenological basis following Mindlin (1964). It can be noted that the enrichment in the definition of the kinetic energy he introduced in this work has been discarded in its following papers (Mindlin and Eshel, 1968). Higher inertia terms were indeed proved to be necessary in more recent publications (Askes and Aifantis, 2006; Berezovski et al., 2011), and can be justified by direct asymptotic homogenization approaches (Bacigalupo and Gambarotta, 2014), or by localizing Willis equation (Nassar et al., 2015b). It can be observed that this Lagrangian is of order one in time and two in space, hence introducing space-time asymmetry (Metrikine, 2006). Despite of its interest, the consequence of this observation will not be discussed hereafter.

By application of the least action principle on the action functional (Mindlin, 1964; Mindlin and Eshel, 1968), and using the total static quantities previously defined, the following bulk equations are obtained

$$s_{ij,j} + f_i = \dot{\pi}_i \tag{4}$$

Bulk equations are supplemented with the boundary conditions on edges:

$$\begin{cases} t_i = (s_{ij} + \dot{q}_{ij})n_j - P_{ml}(P_{mj}\tau_{ijk}n_k)_{,l} \\ R_i = \tau_{ijk}n_jn_k \end{cases}$$
 (5)

and on vertexes

$$\nu_i = [[\tau_{ijk} n_j m_k]] \tag{6}$$

where the quantities $\underline{t}, \underline{R}, \underline{\nu}, \underline{n}$ and \underline{m} are, respectively, the traction (i.e. a force per unit length), the double-force per unit length, the vertex-force, the outward normal and the outward tangent. It is a matter of fact that on each vertex, we have two edges and therefore two outward normals and two outward tangents; the

symbol [[·]] means that the quantity \cdot is evaluated first on one edge, then on the other edge and then the sum of the two quantities is calculated. The quantity $\stackrel{\sim}{P}$, which is the projector onto the tangent plane, is defined as follows:

$$\underset{\sim}{\mathrm{P}} = \underset{\sim}{\mathrm{I}} - \underline{\mathrm{n}} \otimes \underline{\mathrm{n}}$$

The boundary conditions $(5)_1$ and (6) are the dual of the displacement $\underline{\underline{u}}$ and the boundary condition $(5)_2$ is the dual of the normal displacement gradient $\nabla \underline{\underline{u}} \cdot \underline{\underline{n}}$. Thus, a well-posed boundary value problem is given once displacement and normal displacement gradient (or their duals) are imposed at the boundary.

2.2. Constitutive equations

For the mechanical model to be closed, constitutive equations relating primal and dual quantities are mandatory. In the present situation, those relations will assumed to have the following structure:

$$\begin{pmatrix}
\frac{\mathbf{p}}{\mathbf{q}} \\
\stackrel{\sim}{\sim} \\
\stackrel{\sim}{\sim} \\
\frac{\tau}{\simeq}
\end{pmatrix} = \begin{pmatrix}
\rho \mathbf{I} & \mathbf{K} & 0 & 0 \\
\mathbf{K}^T & \mathbf{J} & 0 & 0 \\
0 & 0 & \mathbf{C} & \mathbf{M} \\
0 & 0 & \mathbf{M}^T & \mathbf{A} \\
\stackrel{\simeq}{\simeq} & \stackrel{\simeq}{\approx} \\
0 & 0 & \stackrel{\simeq}{\simeq} & \stackrel{\simeq}{\approx} \\
0 & 0 & \stackrel{\simeq}{\simeq} & \stackrel{\simeq}{\approx} \\
0 & 0 & \stackrel{\simeq}{\simeq} & \stackrel{\simeq}{\simeq} \\
0 & 0 & \stackrel{\simeq}{\simeq} & \stackrel{\simeq}{\simeq}
\end{pmatrix}
\begin{pmatrix}
\underline{\mathbf{v}} \\
\nabla \mathbf{v} \\
\stackrel{\sim}{\sim} \\
\stackrel{\sim}{\sim} \\
\stackrel{\sim}{\sim} \\
\stackrel{\sim}{\eta} \\
\stackrel{\simeq}{\simeq}
\end{pmatrix}$$
(7)

where

- $\rho I_{(ij)}$ is the macroscopic mass density;
- K_{ijk} is the coupling inertia tensor;
- J_{ijqr} is the second order inertia tensor.
- $C_{(ij)\ (lm)}$ is the classical elasticity tensor;
- $M_{(ij)(lm)n}$ is a fifth-order coupling elasticity tensor;
- $A_{(ij)k}$ (lm)n a six-order tensor.

In the case of centrosymmetric continuum⁶, odd-order tensors vanish and Eq.(7) simplifies to

$$\begin{pmatrix}
\frac{\mathbf{p}}{\mathbf{q}} \\
\gamma \\
\tau \\
\frac{\mathbf{r}}{2}
\end{pmatrix} = \begin{pmatrix}
\rho \mathbf{I} & 0 & 0 & 0 \\
0 & \mathbf{J} & 0 & 0 \\
0 & 0 & \mathbf{C} & 0 \\
0 & 0 & 0 & \mathbf{A} \\
\approx \\
0 & 0 & \infty & \mathbf{A}
\end{pmatrix} \begin{pmatrix}
\underline{\mathbf{v}} \\
\nabla \mathbf{v} \\
\gamma \\
\varepsilon \\
\eta \\
\simeq
\end{pmatrix}$$
(8)

Centrosymmetry will be assumed for the rest of the paper. In 2D space, this assumption is not too restrictive since $\underset{\cong}{\text{M}}$ and $\underset{\cong}{\text{K}}$ are null in many common situations (Auffray et al., 2015, 2016). The substitution of the constitutive equations (8) into Eq.(2) and Eq.(3) gives:

$$\begin{array}{rcl} s_{ij} & = & C_{ijlm}\varepsilon_{lm} - A_{ijklmn}\varepsilon_{lm,kn}, \\ \pi_i & = & \rho v_i - J_{ipqr}v_{q,pr}. \end{array}$$

Hence, for null body force, the bulk equilibrium (4) expressed in terms of the displacement field yields

$$C_{ijlm}u_{l,jm} - A_{ijklmn}u_{l,jkmn} = \rho \ddot{u}_i - J_{ipqr}\ddot{u}_{q,pr}. \tag{9}$$

This expression will now be used to introduce a generalized acoustic tensor.

⁶A periodic lattice is said to be centrosymmetric if its unit cell is invariant under the inversion operation (-I ∈ O(2)). In 2D this is equivalent for the unit cell to be invariant with respect to a rotation of angle π (Olive and Auffray, 2014).

2.3. Plane wave solution and generalized acoustic tensor

To obtain the different velocities of a plane wave in the framework of strain-gradient elasticity, let us consider the following plane wave solution:

$$u_i = U_i \mathcal{A} \exp\left[i\left(\omega t - k_i x_i\right)\right] \tag{10}$$

where i denotes the imaginary unit, ω the angular frequency and $\underline{\mathbf{k}}$ the wave vector. Moreover, U_i is a real valued unitary vector representing the polarization (direction of motion) and \mathcal{A} is a complex amplitude. These quantities are both independent of x_i and t. The wave vector can be also expressed:

$$k_i = \frac{\omega}{V}\hat{\xi}_i. \tag{11}$$

where $V = \|\underline{\mathbf{v}}^p\| = \|\dot{u}\|$ is the norm of the phase velocity of the wave-front, $\hat{\underline{\xi}}$ the unit vector pointing toward the direction of propagation, i.e. the normal to the wave-front. The relation (10) can be rewritten in the following form

$$u_i = U_i \mathcal{A} \exp\left[i\omega \left(t - \frac{1}{V}\hat{\xi}_i x_i\right)\right]$$
(12)

The substitution of this ansatz (12) into the balance equation (9) yields

$$\left(\left(C_{ijlm} - \omega^2 J_{ijlm} \right) \hat{\xi}_j \hat{\xi}_m + \frac{\omega^2}{V^2} A_{ijklmn} \hat{\xi}_j \hat{\xi}_k \hat{\xi}_m \hat{\xi}_n \right) U_l = \rho V^2 U_i, \tag{13}$$

which can be conveniently rewritten as

$$\hat{Q}_{il}U_l = \rho V^2 U_i, \tag{14}$$

where the generalized acoustic tensor \hat{Q}_{il} is defined as follows:

$$\hat{Q}_{il} = \left(C_{ijlm} - \omega^2 J_{ijlm}\right) \hat{\xi}_j \hat{\xi}_m + \frac{\omega^2}{V^2} A_{ijklmn} \hat{\xi}_j \hat{\xi}_k \hat{\xi}_m \hat{\xi}_n.$$
(15)

As can be noticed, the classic definition of the acoustic tensor is retrieved (i. e. $Q_{il} = C_{ijlm}\hat{\xi}_j\hat{\xi}_m$) in the following situations:

- when the tensors A_{ijklmn} and J_{ijlm} vanish, that is for a classic continuum;
- when $\omega \to 0$, that is for low frequencies.

It can further be observed that, since the expression of the generalized acoustic tensor \hat{Q}_{il} is quadratic in ω , it admits a *horizontal* tangent at the origin ($\omega = 0$). This remark has two implications:

- 1. It allows the Cauchy elasticity model to be valid in a neighbourhood of $\omega = 0$. In case of a linear dependence, this domain would have been restricted to a single point;
- 2. It gives information on the initial tangent of derived quantities like the phase and the group velocity. Such information is important for curve fitting perspective.

From the solution of the eigenvalue problem associated to Eqn.(14), it is possible to obtain useful information concerning phase velocity and polarization of plane waves propagating with a wavefront perpendicular to a given direction $\hat{\xi}$. Another important quantity is the group velocity, which is defined as

$$\underline{\mathbf{v}}^g = \frac{\partial \omega}{\partial \mathbf{k}}.\tag{16}$$

From equation (15) it can be shown that

$$v_j^g = \frac{Q_{ijl}^{\sharp} U_l U_i}{V \rho_{ik}^{\sharp} U_k U_i} \tag{17}$$

where

$$Q_{ijl}^{\sharp} = \left(C_{ijlm} - \omega^2 J_{ijlm}\right)\hat{\xi}_m + \frac{\omega^2}{V^2} A_{ijklmn}^{\sharp} \hat{\xi}_k \hat{\xi}_m \hat{\xi}_n, \quad \text{with } A_{ijklmn}^{\sharp} = \left(A_{ikjlmn} + A_{ijklmn}\right)$$

and

$$\rho_{ik}^{\sharp} = \rho \delta_{ik} + \frac{\omega^2}{V^2} J_{ijkl} \hat{\xi}_j \hat{\xi}_l.$$

As it can be verified from (17), group velocity depends explicitly on the polarization vector.

3. General identification procedure

Now that the strain gradient elasticity has been presented, we aim at introducing a procedure to estimate its quality as a substitution continuum. To provide a good overall description of true wave propagation, quantities of interest such as phase and group velocities should be correctly described⁷. Hence the quality of strain-gradient model will be evaluated by comparing these quantities with their exact values obtained by a Bloch analysis conducted on a periodic cell. Our procedure involves the following steps:

- 1. Computation of the Bloch solution over a periodic cell of the real structure:
 - (a) Plot of the dispersion diagram;
 - (b) Determination of the phase and group velocity curves.
- 2. Evaluation of the SGE parameters:
 - (a) Static identification of the elastic tensors $\mathop{\mathrm{C}}_{\approx}$ and $\mathop{\mathrm{A}}_{\approx}$ using numerical experiments;
 - (b) Dynamic identification of the micro inertia tensor $\stackrel{J}{\simeq}$ using results of Bloch analysis.
- 3. Evaluation of the discrepancy between the SGE model and the complete one with respect to the wave number k.

Let us detail the dynamic part of the identification processes, which is based on the computation of the dispersion curves for the unitary cell by using Bloch analysis (Dresselhaus et al., 2007; Farzbod and Leamy, 2011; Gazalet et al., 2013).

For the sake of simplicity, and without losing generality, attention will be restricted for the rest of the paper on unidirectional wave propagation. This case corresponds to a plane wave propagating towards a specific direction, that we suppose fixed. This is justified by the fact that we suppose the microinertia tensor J isotropic. Thus only two coefficients have to be determined, and one direction is sufficient. Depending on the number of coefficients of the microinertia tensor, multiple directions could be considered.

Let us denote by $\hat{\xi}$ this fixed direction, the wave vector is a vector field along this direction:

$$\underline{\mathbf{k}}_{(i)} = k_{(i)}\underline{\hat{\xi}}$$

with $k_{(i)}$, the wave number. For our need, this function will be sampled in the first Brillouin zone, hence provided a discrete set of wavenumbers:

$$k_{(i)} = \frac{i}{N_p - 1} \frac{\pi}{a}$$
 for $i = 0, ..., N_p - 1$

where a is the size of the unit cell and N_p the number of points used in the discretization of the first Brillouin zone (Brillouin, 2003).

The corresponding angular frequencies are denoted $\omega_n(k_{(i)})$. Since we are only interested in the acoustic branches, and we are in 2D, n=1,2. From this, values of the phase velocities for the first and the second mode can be computed. Referring to the low frequency identification, the first mode is denoted as S- while the second as P-8, so that:

$$\widehat{V}_S(k_{(i)}) = \frac{\omega_1(k_{(i)})}{k_{(i)}}, \qquad \widehat{V}_P(k_{(i)}) = \frac{\omega_2(k_{(i)})}{k_{(i)}}$$

where we used the notation $\widehat{\cdot}$ for quantities computed from Bloch analysis. Next, by using a finite difference approximation of the first derivative, we can compute the group velocities:

$$\widehat{v}_S^g(k_{(i)}) = \frac{\omega_1(k_{(i+1)}) - \omega_1(k_{(i)})}{k_{(i+1)} - k_{(i)}}, \qquad \widehat{v}_P^g(k_{(i)}) = \frac{\omega_2(k_{(i+1)}) - \omega_2(k_{(i)})}{k_{(i+1)} - k_{(i)}}.$$

⁷The comparison is not only be made on the dispersion curve, but also on the phase and group velocity. The reason is that the domain of validity could be smaller for group velocity rather than for the dispersion curve. This can be explained by the fact that a good description of the dispersion curve does not imply that the associated mode are well described. This point has been demonstrated in the context of Willis equation by Nassar et al. (2015c).

⁸For anisotropic continuum, in a generic direction, modes are neither pure S- nor pure P-. Hence, this notation is a bit abusive since, but consistent with the case study in section 4

These values will be compared with those obtained from the solution of the eigenvalue problem (14) and from (17). The following isotropic form is considered for the micro-inertia tensor:

$$J_{\approx} = \begin{pmatrix} J_P & J_P - J_S & 0 \\ & J_P & 0 \\ & & J_S \end{pmatrix}. \tag{18}$$

In the identification procedure, it is crucial to choose the correct quantity for performing the fitting. Indeed, three choices are possible: i) dispersion curves; ii) phase velocity; iii) group velocity. Since group velocity is obtained from the derivative of the dispersion curve, it is reasonable to consider that this will be the first quantity to deviate when increasing the wavenumber. Then, group velocity will be used in the fitting procedure, that involves the following minimization:

$$J_P^{Opt} = \arg\min_{J_P \in \mathbb{R}^+} \sum_{i=1}^{N_l} \left(v_P^g(k_{(i)}, J_P) - \hat{v}_P^g(k_{(i)}) \right)^2, \quad J_S^{Opt} = \arg\min_{J_P \in \mathbb{R}^+} \sum_{i=1}^{N_l} \left(v_S^g(k_{(i)}, J_S) - \hat{v}_S^g(k_{(i)}) \right)^2$$
(19)

where N_l corresponds to a given discrete limit value for the wavenumber and $v_P^g(k, J_P)$ and $v_S^g(k, J_P)$ are group velocities solution of the eigenvalue problem (14). The choice of N_l deserves particular attention, and its introduction is based on the following remarks:

- a dispersion curve computed for a generalized continuum cannot fit the dispersion curve on the whole
 first Brillouin zone
- more weight must be given to points corresponding to low wavenumbers, to ensure a good continuity with the static model.

In this paper, we fixed this limit to that N_l corresponding to the one that maximises the validity range. Such a validity range is defined as the region of the k-axis for which the error in the fitting is less than 1%.

This procedure will now be applied on a specific situation, that is the objective of the section 4.

4. A case study: square microstructure

Let us begin by fixing an orthonormal base $\mathcal{B} = (\mathbf{e}_1; \mathbf{e}_2)$ of \mathbb{R}^2 . In this section, a rectangular shape domain will be considered.

This domain contains a material having an inner square architecture, as depicted on Figure 2. In terms of group language, the unit cell of this lattice is said to have a $[D_4]$ symmetry⁹.

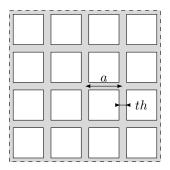


Figure 2: [D₄]-invariant inner geometry

This architectured plate will be homogenized as a strain-gradient elastic continuum. The matrices associated to the homogenized constitutive law are extracted from Auffray et al. (2015). For any orientation

 $^{{}^{9}[}D_{n}]$, refers to the dihedral group which is generated by a n-fold rotations and mirrors perpendicular to the rotation axis. $[D_{n}]$ -invariant objects are achiral.

for which \mathbf{e}_1 is collinear to a mirror lines of the architecture the matrix representations of the constitutive tensors have the following shape¹⁰:

$$C_{\mathrm{D_4}} = \begin{pmatrix} c_{11} & c_{12} & 0 \\ & c_{11} & 0 \\ & & c_{33} \end{pmatrix}_{\mathcal{B}} \quad ; \quad A_{\mathrm{D_4}} = \begin{pmatrix} a_{11} & a_{12} & a_{13} & 0 & 0 & 0 \\ & a_{22} & a_{23} & 0 & 0 & 0 \\ & & a_{33} & 0 & 0 & 0 \\ & & & a_{11} & a_{12} & a_{13} \\ & & & & a_{22} & a_{23} \\ & & & & & a_{33} \end{pmatrix}_{\mathcal{B}}$$
 (20)

Since those matrices are symmetrical only half of each are defined. Solutions of strain-gradient elasticity for this anisotropic system have been studied in Placidi et al. (2016). For a general displacement field:

$$\underline{\mathbf{u}}(\underline{\mathbf{x}}) = u_1(x_1, x_2)\mathbf{e}_1 + u_2(x_1, x_2)\mathbf{e}_2$$

the PDE system associated to the bulk equation (9) is, in the static case,

$$\begin{cases} c_{11}u_{1,11} + \tilde{c}_{12}u_{2,12} + \overline{c}_{33}u_{1,22} + f_1 = a_{11}u_{1,1111} + \tilde{a}_1(u_{2,1112} + u_{2,1222}) + \tilde{a}_2u_{1,1122} + \overline{a}_{33}u_{1,2222} \\ c_{11}u_{2,22} + \tilde{c}_{12}u_{1,12} + \overline{c}_{33}u_{2,11} + f_2 = a_{11}u_{2,2222} + \tilde{a}_1(u_{1,1222} + u_{1,1112}) + \tilde{a}_2u_{2,1122} + \overline{a}_{33}u_{2,1111} \end{cases}$$

where the following simplifications have been used

- $\bullet \ \overline{c}_{33} = \frac{1}{2}c_{33};$
- $\overline{a}_{33} = \frac{1}{2}a_{33}$;
- $\tilde{c}_{12} = c_{12} + \frac{1}{2}c_{33};$
- $\tilde{a}_1 = a_{12} + \frac{\sqrt{2}}{2}(a_{13} + a_{23}) + \frac{1}{2}a_{33};$
- $\tilde{a}_2 = a_{22} + \sqrt{2}(a_{13} + a_{23}) + \frac{1}{2}a_{33}$.

Those equations have to be supplemented by appropriate boundary conditions and depend on some specific combinations of 9 constitutive parameters c_{11} , c_{12} , c_{33} , a_{11} , a_{13} , a_{23} , a_{22} , a_{12} , a_{33} . In the following situation, which corresponds to the investigated one, the PDE system is simplified:

- 1. the domain is finite along \mathbf{e}_1 and infinite along \mathbf{e}_2 . As a consequence the domain is constituted of a unique row of square lattice and periodic boundary conditions are considered along horizontal edges;
- 2. Boundary conditions along vertical edges are independent of x_2 .

Under these hypotheses, the displacement field can be looked under the following form:

$$u(x) = u_1(x_1)e_1 + u_2(x_1)e_2$$

and the PDE associate to bulk equilibrium reduce to:

$$\begin{cases} c_{11}u_{1,11} - a_{11}u_{1,1111} + f_1 = 0\\ \overline{c}_{33}u_{2,11} - \overline{a}_{33}u_{2,1111} + f_2 = 0 \end{cases}$$
(21)

Supplemented by the boundary conditions:

$$\underline{\mathbf{t}}(0) = \begin{pmatrix} -\sigma_{11}(0) + \tau_{111,1}(0) = -c_{11}u_{1,1}(0) + a_{11}u_{1,111}(0) \\ -\sigma_{12}(0) + \tau_{121,1}(0) = -c_{33}u_{2,1}(0) + a_{33}u_{2,111}(0) \end{pmatrix} \quad ; \quad \underline{\mathbf{R}}(0) = \begin{pmatrix} -\tau_{111}(0) = -a_{11}u_{1,111}(0) \\ -\tau_{121}(0) = -a_{33}u_{2,111}(0) \end{pmatrix}, \quad (22)$$

and

$$\underline{\mathbf{t}}(L) = \begin{pmatrix} \sigma_{11}(L) - \tau_{111,1}(L) = c_{11}u_{1,1}(L) - a_{11}u_{1,111}(L) \\ \sigma_{12}(L) - \tau_{121,1}(L) = c_{33}u_{2,1}(L) - a_{33}u_{2,111}(L) \end{pmatrix} ; \underline{\mathbf{R}}(L) = \begin{pmatrix} \tau_{111}(L) = a_{11}u_{1,111}(L) \\ \tau_{121}(L) = a_{33}u_{2,111}(L) \end{pmatrix}. \tag{23}$$

The displacement field solution to the boundary value problem now depends only on four material parameters: c_{11} , \bar{c}_{33} , a_{11} , \bar{a}_{33} . The aim of the static tests will be to identify those parameters.

 $^{^{10}}$ Due to the $[D_4]$ symmetry there are two inequivalent orientation associated with this requirement. Consider a square, the first orientation corresponds to align e_1 with the line connecting the middle of opposite edges, while the second is associated with the line connecting opposite vertices. In these two orientations the shape of the matrices will be identical, but not their values.

4.1. Static identification

To extract the strain-gradient elasticity parameters, 4 independent numerical experiments should be realized. In Section 4.1.1 the general setting of that 4 experiments and in Section 4.1.2 their explicit definitions will be given.

4.1.1. Settings of the experiments

The numerical experiments (Finite Element simulations) are conducted on a 2D architectured material with the assigned BCs indicated on Fig.4(a) and Fig.4(c), and whose properties are listed in Table.1). Analytical solutions on a 1D SG material with assigned BCs, as indicated on Fig.4(b) and Fig.4(d), are determined. Next, the SG material parameters are estimated by fitting the numerical solution following the micro macro identification described in Fig. 3.

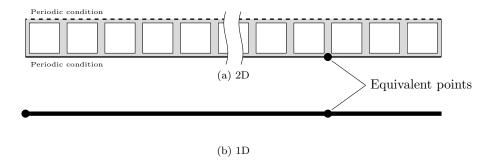


Figure 3: Micro-macro identification.

Notations associated to the geometry description of the 1D strain-gradient elastic rod are represented in Fig. 4b:

- $\Omega =]0; L[;$
- $\partial\Omega = \{0\} \cup \{L\};$
- $\overline{\Omega} = \Omega \cup \partial \Omega$.

\overline{a}	L	ρ_m	E_m	ν_m
(cm)	(cm)	$({\rm kg~m^{-3}})$	(GPa)	(-)
1	100	2000	200	0.3

Table 1: Parameters used in the 2D numerical simulations.

This identification procedure has been applied to different thicknesses th (cf Fig.2), and the results are summarized in Table.2.

4.1.2. Numerical experiments

The 4 experiments to be conducted are:

- 2 classical testings: Extension and Shear test;
- 2 generalized testings: Hyper-Extension and Hyper-Shear test.

Extension test The displacement field associated to the extension experiment (Fig.4b) is solution of the following ODE:

$$\begin{cases}
c_{11}u_{1,11} - a_{11}u_{1,1111} = 0, & \forall x \in \Omega, \\
u_1(0) = 0, & u_1(L) = \delta_x, \\
R_1(0) = 0, & R_1(L) = 0
\end{cases}$$
(24)

BCs correspond to prescribed horizontal displacement and free double force. By the constitutive law, the conditions of free double force, because of (22) and (23), on the boundaries are equivalent to:

$$u_{1,11}(0) = 0, \quad u_{1,11}(L) = 0$$

The analytic solution to this boundary value problem (BVP) is:

$$u_1(x) = \frac{\delta_x}{L}x. (25)$$

Thus, the traction t_1 , at the right-hand side $(t_1(L))$ of the boundary $\partial\Omega$, because of (22) and (23), is

$$t_1(L) = c_{11}u_1'(L) = c_{11}\frac{\delta_x}{L} \Rightarrow c_{11} = t_1(L)\frac{L}{\delta_x}$$
 (26)

The corresponding numerical test on the 2D structure, that is represented in Figure 4a, is realized by imposing the following boundary conditions:

$$u_1(0, x_2) = 0, \quad u_1(L, x_2) = \delta_x$$
 (27)

Partial differential equations are those for a standard Cauchy linearly elastic material with those material parameters exposed in Table 1. The reaction corresponding to the kinematic constraint $(27)_2$ is identified with $t_1(L)$ and the identification of the material coefficient c_{11} is done via eq. (26).

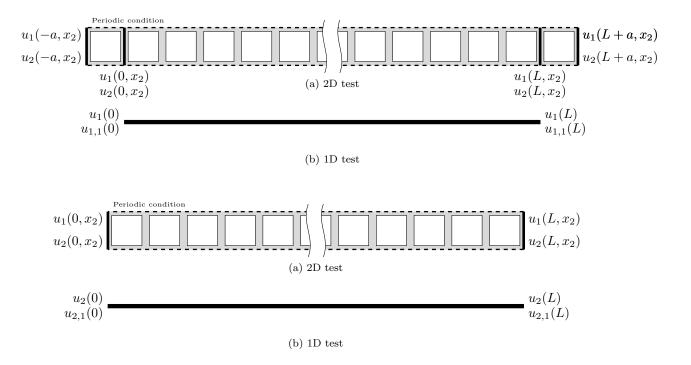


Figure 4: Schematic representation of static (a) 2D and (b) 1D extension tests, (c) 2D and (d) 1D shear test. The 2D problems are numerically solved, while the 1D ones are analytically solved.

Hyper-Extension test In this case the ordinary differential equation is the same as in the previous test, Eq. $(24)_1$, but the boundary conditions are:

$$u_1(0) = 0$$
, $u_1(L) = \delta_x$, $u_{1,1}(0) = 0$, $u_{1,1}(L) = 0$,

that correspond to both displacement and gradient of displacement prescriptions. Thus, the analytic solution, for the scheme represented in Fig.4b) is now more complicated:

$$u_1(x) = \frac{\left(\sinh\left[\frac{Lr_1}{2}\right] - \sinh\left[\frac{r_1(L-2x)}{2}\right] - xr_1\operatorname{Cosh}\left[\frac{Lr_1}{2}\right]\right)\delta_x}{2\operatorname{Sinh}\left[\frac{Lr_1}{2}\right] - Lr_1\operatorname{Cosh}\left(\frac{Lr_1}{2}\right)}$$
(28)

where the material parameter r_1 is defined as follows: $r_1 = \sqrt{\frac{c11}{a11}}$.

The corresponding test on the 2D structure, Figure 4a, is realized by imposing the following boundary conditions:

$$u_1(-a, x_2) = 0$$
, $u_1(0, x_2) = 0$, $u_1(L, x_2) = \delta_x$, $u_1(L + a, x_2) = \delta_x$

where the normal gradient of the displacement has been prescribed by enlarging the domain in the x_1 direction to guarantee that at $x_1 = 0$ and at $x_1 = L$ the normal gradient is zero as prescribed in the continuous model. The value of the constitutive parameter a_{11} is therefore determined by fitting the horizontal displacement field u_1 computed for the 2D model in the periodicity line with the analytic solution (28).

Shear test The displacement field associated to the extension experiment (Fig.4b) is solution of:

$$\begin{cases}
\overline{c}_{33}u_{2,11} - \overline{a}_{33}u_{2,1111} = 0 & \forall x \in \Omega, \\
u_2(0) = 0, & u_2(L) = \delta_x \\
R_2(0) = 0, & R_2(L) = 0
\end{cases}$$
(29)

BCs correspond to those obtained with the prescription of the displacement and of free double force. Using the constitutive law, because of (22) and (23), free double force on boundaries is equivalent to:

$$u_{2,11}(0) = 0, \quad u_{2,11}(L) = 0$$

The analytic solution is therefore

$$u_2(x) = \frac{\delta_y}{L} x. (30)$$

The corresponding test on the 2D structure, Figure 4a, is realized by imposing the following boundary conditions:

$$u_2(0, x_2) = 0, \quad u_2(L, x_2) = \delta_x.$$

As for the extension test, the constitutive coefficient (in this case \bar{c}_{33}) is computed using the traction t_2 at the right-hand side of the domain:

$$\overline{c}_{33} = t_2(L) \frac{L}{\delta_x}.$$

Hyper-Shear test Even in this case the ordinary differential equation is the same as in the previous test, Eq. (29), and the boundary conditions are

$$u_2(0) = 0$$
, $u_2(L) = \delta_x$, $u_{2,1}(0) = 0$, $u_{2,1}(L) = 0$

that corresponds to the prescriptions of both displacement and gradient of displacement. The solution is here given analytically,

$$u_2(x) = \frac{\left(\sinh\left[\frac{Lr_2}{2}\right] - \sinh\left[\frac{r_2(L-2x)}{2}\right] - xr_2\operatorname{Cosh}\left[\frac{Lr_2}{2}\right]\right)\delta_y}{2\operatorname{Sinh}\left[\frac{Lr_2}{2}\right] - Lr_2\operatorname{Cosh}\left(\frac{Lr_2}{2}\right)}$$
(31)

with the material parameter $r_2 = \sqrt{\overline{c}_{33}/\overline{a}_{33}}$. The corresponding test on the 2D structure, Figure 4c, is realized by imposing the following boundary conditions:

$$u_1(0, x_2) = 0$$
, $u_2(0, x_2) = 0$, $u_1(L, x_2) = 0$, $u_2(L, x_2) = \delta_y$.

The value of the constitutive parameter \bar{a}_{33} is determined by fitting the vertical displacement field u_2 computed for the 2D model in the periodicity line with the analytic solution (31).

4.2. Dynamic identification

The phase velocities computed from Equation (14) are:

$$v_P(k, c_{11}, \rho, a_{11}, J_P) = \sqrt{\frac{c_{11} + a_{11}k^2}{\rho + J_Pk^2}}, \quad v_S(k, c_{33}, \rho, a_{33}, J_S) = \sqrt{\frac{c_{33} + a_{33}k^2}{\rho + J_Sk^2}}$$

These expressions are used in the minimization procedure (Equations (19)), considering the values of ρ , c_{11} , c_{33} , a_{11} , a_{33} obtained from the static identification. The results of the procedure are resumed in Table 2. As can be seen, effect of the micro inertia is more important for P-modes, while it is vanishing for S-waves. Indeed, this effect can be related to the inertia of the vertical bars in the microstructure.

\overline{th}	ρ	c_{11}	\overline{c}_{33}	a_{11}	\overline{c}_{33}	J_P	J_S	ℓ_P	ℓ_S	h_P	h_S
(mm)	(kg/m^3)	(MPa)	(MPa)	$(Pa m^2)$	$(Pa m^2)$	(kg/m)	(kg/m)	(mm)	(mm)	(mm)	(mm)
1.	380.	22.37	0.12	0.11	1.06	0.0257	0	0.07	2.95	20.16	0
2.	720.	45.79	1.09	0.62	8.71	0.0107	0	0.12	2.83	9.44	0
3.	1020.	70.67	4.1	1.36	25.53	0.0075	0	0.14	2.49	6.63	0
4.	1280.	97.6	10.63	1.85	41.3	0.0057	0	0.14	1.97	5.17	0
5.	1500.	127.54	21.74	1.57	37.8	0.0042	0	0.11	1.32	4.1	0
6.	1680.	161.46	36.72	0.7	17.16	0.0027	0	0.07	0.68	3.12	0
7.	1820.	198.3	52.55	0.14	3.18	0.0013	0	0.03	0.25	2.09	0
8.	1920.	233.52	65.75	0.01	0.17	0.0002	0	0.01	0.05	0.84	0
9.	1980.	259.61	74.13	0	0	0	0	0	0	0	0
10.	2000.	269.23	76.92	0	0	0	0	0	0	0	0

Table 2: Table of the coefficients identified for different thicknesses. The size of the unit cell is a = 1cm

The characteristic lengths of the strain-gradient model are defined as follows:

$$\ell_P = \sqrt{\frac{a_{11}}{c_{11}}}, \quad \ell_S = \sqrt{\frac{\overline{c}_{33}}{\overline{c}_{33}}}, \quad h_P = \sqrt{\frac{J_P}{\rho}}, \quad h_S = \sqrt{\frac{J_S}{\rho}}$$

It is worth noting that these characteristic lengths depend on the geometry of the unit cell through the wall thickness. This dependence is depicted on Figure 5.

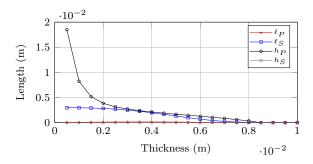


Figure 5: Characteristic lengths for P- and S- waves

In Figure 6 we can observe the result of the identification procedure by plotting the superposition of the dispersion curves, phase velocity and group velocity for the strain-gradient model (solid lines) and the FEM model (points). The result of the identification procedure for unit cell having wall thickness of for th = 4mm is plotted on Figure 6. On associated subfigures the dispersion curves, phase velocity and group velocity for the strain-gradient model (solid lines), Cauchy model (dashed gray lined) and the FEM model (black points) have been drawn. As can be observed, a good fit is achieved in the first third of the Brillouin zone, while the model clearly loses accuracy for high values of k. As previously discussed, it can be observed that

group velocity is diverging faster than the other quantities. This illustrate the fact that the quality of the approximation should not only be assessed on the accurate description of the dispersion curves.

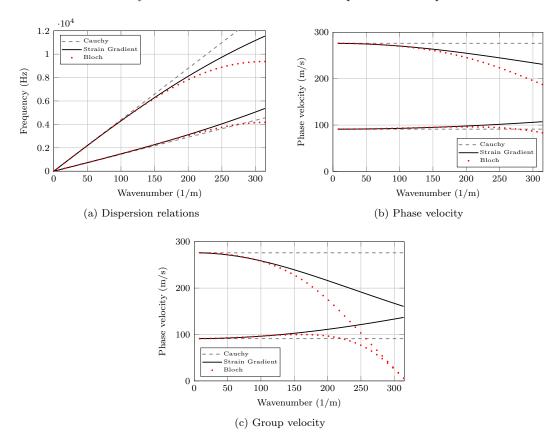


Figure 6: Dispersion curves, phase velocity and group velocity for the Strain gradient model (solid black lines), Cauchy model (dashed gray lines) compared with the results of FEM Bloch computation (black points) in the case of a = 1cm and th = 4mm.

4.3. Estimation of the error

In this section we estimate the error between the FEM computation (Bloch analysis) and the overall strain-gradient model. Results are then compared with a classical Cauchy overall continuum. This error computation is performed for different thicknesses th of the microstructure walls. The abscissas of each subplot represent the wavelength λ over the size of the unit cell a, while the ordinates represent the thickness of the walls th with respect to a. This means that in the upper part of each subplot, where th/a=1, the microstructure is completely homogeneous, while in the lower part the walls are very thin. In the case of th/a = 1, as the medium is not dispersive we do expect that both model perform correctly. In figures 7 and 8 the first row represent the P-waves, the second raw the S-waves. The left, the central and the right columns, represent the errors in terms of dispersion, phase velocity and group velocity, respectively. By comparing the errors shown in Figure 7 and 8 for classical and strain-gradient approximation, respectively, we can see that the strain-gradient model behaves better in the case of thin walls, down to values of wavelength around six times the size of the unit cell. In this zone, the SG model has less than 1% error for almost all configurations, while the first gradient model has, in the same zones up to 10-20% errors. The first gradient plots for S-waves have a slightly better behavior in a narrow zone for lower values of λ/a . But this is only due to the fact that the dispersion curves, as well as the phase and group velocity, change concavity and cross the first gradient curves, as it can be observed in Figure 6.

Another remark can be made about the decrease of performances of the strain-gradient model when the ratio th/a becomes very small.

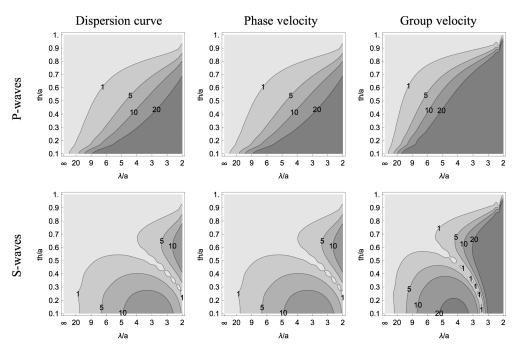


Figure 7: Contour plots of the error (in %) between the Cauchy overall solution and the Bloch analysis for the dispersion curves, phase velocity and group velocity.

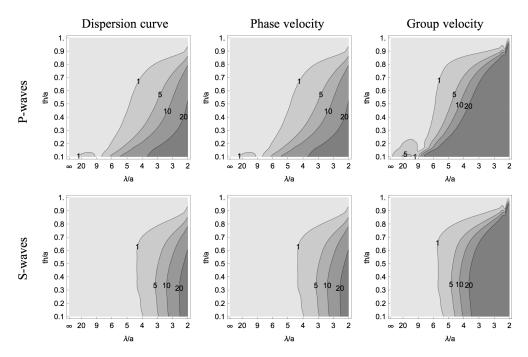


Figure 8: Error (in %) between the SGE overall solution and the Bloch analysis for the dispersion curves, phase velocity and group velocity.

4.4. Time domain validation

In this last subsection we compare the transient time domain response (i.e. we solve the boundary value problem) of a 2D FEM simulations with the equivalent homogeneous 1D strain-gradient model (add figure) whose equations of motion in the $[D_4]$ case are the following:

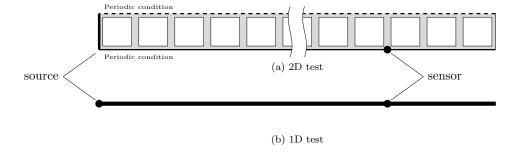


Figure 9: Schematic description of the time domain test.

$$\begin{cases} c_{11}u_{1,11} - a_{11}u_{1,1111} = \rho \ddot{u}_1 - J_P \ddot{u}_{1,11} \\ \overline{c}_{33}u_{2,11} - \overline{a}_{33}u_{2,1111} = \rho \ddot{u}_2 - J_S \ddot{u}_{1,22} \end{cases}$$

The numerical configuration is the following: a displacement source placed at x = 0 is exciting a transient wave with a given central frequency f_c . The central frequency for each test, as well as the corresponding unit cells per wavelength ratio, are resumed in Table 3.

P-wa	ves	S-waves		
f_c (kHz)	$\lambda/a(-)$	f_c (kHz)	λ/a (-)	
1	27.5	0.2	45.6	
4	6.8	1.5	6.2	

Table 3: Central frequencies and corresponding unit cells per wavelength ratio.

The results are presented in two forms:

- displacement field measured at x = 50 cm (Figures 10 and 11);
- displacement field on a longitudinal cut line (symmetry line) of the 2D model compared to the result for the 1D model (Figures 12 and 13.).

A schematic description of the tests is presented in Figure . The results show that a quantitative agreement is observed with the strain-gradient model and on the contrary a Cauchy model looses accuracy whenever the frequency is raised. In particular, Figure 10 shows that the strain-gradient model is able to account for back scattering effects. This effect is due to the fact that, as it can be seen in Figure 6 and 12, small wavelengths travel slower in the case of P-waves. The opposite effect can be remarked for S-waves, where the so called anomalous dispersion (*i.e.* group velocity higher than phase velocity) can be observed. In the case of normal dispersion (Figure 12) the Cauchy solution is aligned with the head of the traveling signal, while for anomalous dispersion it is aligned with the tail (Figure 13). This latter remark can be important when considering the velocity of the first arriving signal, used in quantitative ultrasonic characterization, as in Rosi et al. (2016).

5. Conclusions

In this paper we presented a quantitative estimation of the validity domain of the overall description of wave propagation by the strain gradient elasticity model. The results are obtained for a unidirectional wave propagation, but the extension to multidirectional situations is possible. The challenge for such an extension, is based on the estimation of the overall coefficients necessary to set up the model.

The main innovative results of the paper are the following:

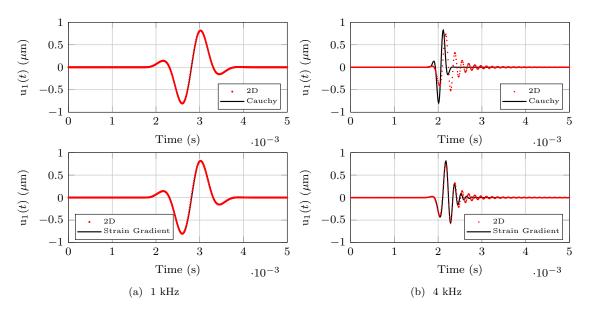


Figure 10: Time domain response P-waves evaluated at x = 50 cm.

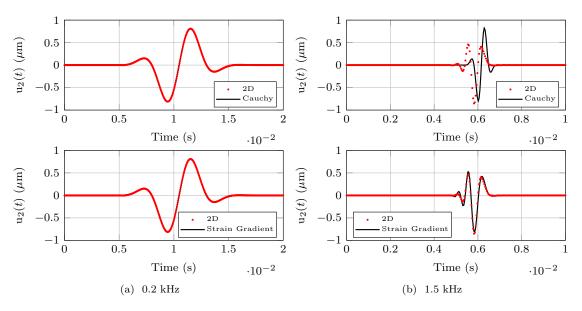


Figure 11: Time domain response S-waves evaluated at $x=50~\mathrm{cm}.$

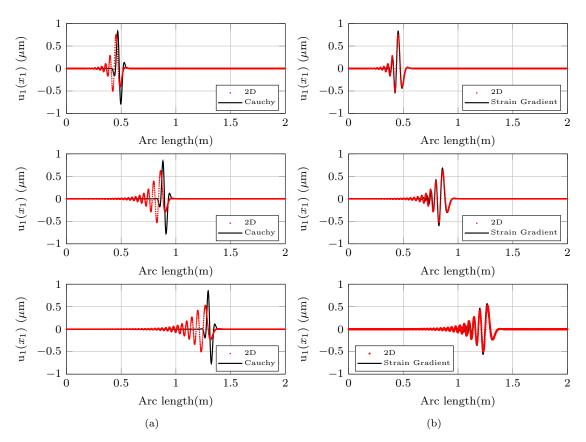


Figure 12: Longitudinal cut at different time instants for P-waves or $f_c=4~\mathrm{kHz}$ and for $th=4\mathrm{mm}$.

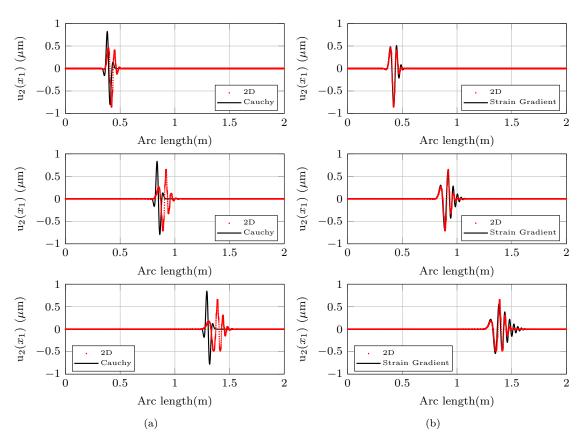


Figure 13: Longitudinal cut at different time instants for S-waves for $f_c=1.2~\mathrm{kHz}$ and for $th=4\mathrm{mm}$.

- A novel mixed static-dynamic identification procedure for the identification of the coefficients is introduced. The procedure involves a static analysis for the identification of the coefficients of the first and second order elastic tensors (i.e $\underset{\approx}{\mathbb{C}}$ and $\underset{\approx}{\mathbb{A}}$) based on structural type tests and a dynamic analysis based on Bloch theorem for the identification of the microinertia tensor J.
- the identification procedure is applied to a pseudo-1D case involving a microstructure with [D₄]-invariant inner geometry, with the wave-vector parallel to a main direction of symmetry.
- the domain of validity is evaluated in term of wavelength. For the present case, and considering a maximum error of 1%, the limit of the SGE model is evaluated at a ratio wavelength over size of the microstructure equal to six. It should be note that in the same situation the limit of the Cauchy elasticity is evaluated at a ratio wavelength over size of the microstructure equal to 20.
- the time domain transient response of the strain gradient elasticity model is compared with the response of the 2D plane strain finite element computation of the actual structure. Within the validity range of the model, the solution of strain gradient elasticity fits very well the 2D solution while a standard Cauchy description loses accuracy as the wavelength decreases.

Acknowledgments

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