

# Satellite-based estimates of surface water dynamics in the Congo River Basin

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1	Satellite-based estimates of surface water dynamics in the
2	<b>Congo River Basin</b>
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15	Abstract
16	In the Congo River Basin (CRB), due to the lack of contemporary in situ observations,
17	there is a limited understanding of the large-scale variability of its present-day hydrologic
18	components and their link with climate. In this context, remote sensing observations
19	provide a unique opportunity to better characterize those dynamics. Analyzing the Global
20	Inundation Extent Multi-Satellite (GIEMS) time series, we first show that surface water
21	extent (SWE) exhibits marked seasonal patterns, well distributed along the major rivers
22	and their tributaries, and with two annual maxima located: i) in the lakes region of the
23	Lwalaba sub-basin and ii) in the "Cuvette Centrale", including Tumba and Mai-Ndombe
24	Lakes. At an interannual time scale, we show that SWE variability is influenced by ENSO
25	and the Indian Ocean dipole events. We then estimate water level maps and surface water
26	storage (SWS) in floodplains, lakes, rivers and wetlands of the CRB, over the period 2003-
27	2007, using a multi-satellite approach, which combines the GIEMS dataset with the water
28	level measurements derived from the ENVISAT altimeter heights. The mean annual

29	variation in SWS in the CRB is 81±24 km <sup>3</sup> and contributes to 19±5 % of the annual
30	variations of GRACE-derived terrestrial water storage (33±7 % in the Middle Congo). It
31	represents also $\sim 6\pm 2$ % of the annual water volume that flows from the Congo River into
32	the Atlantic Ocean.

33 Keywords: Surface water storage; Congo River Basin; Remote sensing.

34

### 35 1. Introduction

36 Despite its importance, the Congo River Basin (CRB), located in the central region of 37 Africa, has not attracted as much attention among the climate and hydrology communities 38 as has the Amazon Basin or other large rivers in the world [Alsdorf et al., 2016]. Up to 39 now, there is still an insufficient knowledge of the regional hydro-climatic characteristics 40 and changes in this region, even though the CRB plays a crucial role at global and regional 41 scales. Firstly, the CRB is remarkable as the second largest river system of the world in terms of both water discharge, with a mean annual flow of ~40,600 m<sup>3</sup>/s, and drainage 42 basin size ( $\sim 3.7 \times 10^6 \text{ km}^2$ ) [Laraque et al., 2001, 2009]. It also plays a key role in the 43 44 Earth system as one of the three main convective centers in the Tropics, with the Amazon 45 River basin and the 'maritime continent' of Eastern Indian and western tropical Pacific 46 Oceans [Hastenrath, 1985]. Secondly, more than 80% of people in the CRB live 47 exclusively on activities that are highly dependent on climate and water resource 48 availability: fisheries, agriculture and livestock [Bele et al., 2010]. In this region, the food 49 production depends heavily on rain-fed agriculture, leading the population particularly 50 vulnerable to food insecurity [Brown et al., 2014]. Moreover, a couple of studies have 51 shown that the CRB has already experienced changes in climate variability and in the 52 hydrological system [Mahé and Olivry, 1999; Camberlin et al., 2001; Laraque et al., 2001; Samba et al., 2008; Samba and Nganga, 2012]. Thirdly, about 50% of the CRB land area 53 is covered by tropical forest (~190  $10^6$  ha, Verhegghen et al., 2012), representing about 54

18% of the world's tropical forests (~1100 10<sup>6</sup> ha, Achard et al., 2002), and playing a 55 56 crucial role as a sink of CO<sub>2</sub>, storing about 50 billion tons of carbon [Verhegghen et al., 57 2012]. In a recent study, Dargie et al., [2017] highlighted that the "Cuvette Centrale" 58 peatland (Fig.1) stores about 30 billion tons of carbon. This total amount of carbon is 59 equivalent to  $\sim 80$  billion tons of CO<sub>2</sub> or about 2.3 years of current global anthropogenic 60 emissions (~ 35 billion tons in 2015, Olivier et al., 2016). This stock is particularly 61 vulnerable to land-use change and any future change in the water cycle. For all these 62 reasons, there is an obvious need to better understand the CRB dynamic and to characterize 63 its vulnerability to climate change and other crucial challenges. In particular, it is necessary 64 to gain solid knowledge about the past and current hydro-climate processes of the CRB, in 65 order to significantly reduce the uncertainties associated with future climate response 66 under global warming. The limited understanding of the CRB's hydro-climate processes 67 results mainly from the lack of *in situ* data availability: the network of stations, which data 68 are publicly released, is sparse and poorly maintained, and it is substantially difficult of 69 perform fieldwork, notably in the swamps. However, recent developments and 70 improvements in remote sensing technology provide more observations than ever before 71 [Alsdorf et al., 2007; Prigent et al., 2016] and allow us the unique opportunity to better 72 understand the spatial and temporal variability of the CRB's hydro-climatic patterns.

73

In this study, our primary focus is on the CRB surface water (SW) dynamics, a key component of the land water budget equation. The SW, corresponding to water stored in rivers, lakes, wetlands, floodplains and in man-made reservoirs, is crucial to the survival of all living organisms, including humans and is a precious resource in term of biodiversity, ecology, water management and economy. Moreover, SW storage (SWS) plays a major role at all scales in the terrestrial water balance and in the Earth's climate system variability, through its interactions with the atmosphere and ocean. Up until now, the

81 spatial and temporal dynamics of SW stored on the Earth's surface remains still largely 82 unknown [Alsdorf et al., 2007]. Since the last decades, progresses in satellite remote 83 sensing are improving substantially our understanding of SW dynamics in the major river 84 basins of the world. Among these derived-products, radar altimetry is providing since the 85 early 1990s a monitoring of water levels variations of lakes, rivers, floodplains and 86 reservoirs [Birkett, 1995; Crétaux and Birkett, 2006; Calmant et al., 2008]. Additionally, it 87 is possible to extract locally the extent of water bodies using satellite imagery, which, 88 combined with altimetry data, enable the SWS estimation of lakes and reservoirs [Baup et 89 al., 2014; Crétaux et al., 2016] and of floodplains [Frappart et al., 2005]. More recently, 90 merging information derived from active and passive microwave sensors and from optical 91 data, the Global Inundation Extent from Multi-Satellite (GIEMS) dataset [Prigent et al., 92 2007; Papa et al., 2010; Prigent et al., 2016] offers unprecedented information on the 93 variations of SW extent (SWE) at the global scale. The combination of GIEMS estimates 94 with radar altimetry observations has further allowed the provision of spatio-temporal 95 variations of SWS in large tropical river basins, such as the Amazon, Ganges-Brahmaputra 96 and Orinoco basins [Frappart et al., 2008, 2010, 2012, 2015b; Papa et al., 2015]. 97 Recently, a few studies tried to understand the SW dynamics in the CRB using remote 98 sensing and/or modeling [Rosenqvist and Birkett, 2002; Bwangoy et al., 2010; Jung et al., 99 2010; Beighley et al., 2011; Lee et al., 2011; Tshimanga et al., 2011; Tshimanga and 100 Hughes, 2012; O'Loughlin et al., 2013; Becker et al., 2014; Betbeder et al., 2014; Lee et 101 al., 2014, 2015]. For instance, Rosenqvist and Birkett, [2002] demonstrated that Synthetic 102 Aperture Radar (SAR) image mosaics can be used to appraise the maximum extents of 103 flooding in the CRB, but were not relevant to assess the SW dynamics and ranges of the 104 variations. Bwangoy et al., [2010] demonstrated the utility of optical and radar remotely 105 sensed data in characterizing the wetlands of the "Cuvette Centrale". They estimated that 106 the wetlands cover an area of 32% in the "Cuvette Centrale", equivalent to 360000 km2.

107 Crowley et al., [2006], using Gravity Recovery and Climate Experiment (GRACE) data, 108 estimated the terrestrial water storage (surface water storage plus groundwater storage and 109 soil moisture) within the CRB. Over 4 years (2002-2006), the estimate exhibited 110 significant seasonal variations (30±6 mm of equivalent water thickness) and long-term 111 negative trend (~ -70km<sup>3</sup>/year). Lee et al., [2011], using GRACE data and other satellite 112 measurements, estimated that the amount of water annually filling and draining the Congo wetlands is about 111 km<sup>3</sup>, i.e. one-third the magnitude of the water volumes found on the 113 114 mainstream Amazon floodplain. Lee et al., [2014], integrating terrestrial water storage 115 (TWS) changes from GRACE, water level changes from radar altimetry, and inundation 116 extents from SAR imagery, quantified TWS change and its surface and subsurface 117 components over the central CRB. They showed that annual variations of the TWS changes during the period of 2007–2010 from 21 to 31 km<sup>3</sup> and are mostly controlled by 118 119 surface storage changes. Lee et al., [2015] developed water depth maps over the "Cuvette Centrale" based on a linear regression model from altimetry and imagery data. They 120 reported in their study area water storage volumes of about 11 km<sup>3</sup> (Dec-2006). 10 km<sup>3</sup> 121 (Dec-2007), and 9 km<sup>3</sup> (Dec-2008). Finally, Becker et al., [2014] released an 122 123 unprecedented dataset of water level time series over the entire CRB for the period 2003 to 124 2009, obtained from the ENVISAT radar altimetry mission. From this unique data set, they 125 proposed an altimeter-based river level height regionalization scheme and thus identified 126 nine distinct hydrological regions in the CRB.

127

Hence, to supplement this work, we analyze the spatio-temporal variability of SW extent and storage in the CRB, at seasonal and interannual time-scales. For this, along with GIEMS data covering the period 1993-2007, we further develop the observation-based technique combining SWE and radar altimetry measurements [*Frappart et al.*, 2008] to estimate the CRB's SWS variations over the period 2003–2007. The results are evaluated 133 and analyzed along with other in situ and remote sensing measurements of three 134 hydrological parameters (discharge, rainfall and terrestrial water storage). The comparisons 135 with the latter will provide, for the first time, the time series of both SW and sub-surface 136 water (SSW) variations distributed throughout the CRB. The paper is structured as follows. 137 In Section 2 we briefly describe the CRB. Section 3 presents the datasets used in this study. 138 In Section 4, we analyze the SWE dynamics from the GIEMS dataset at both seasonal and 139 interannual time-scales for the period 1993 to 2007. Section 5 describes the methodology 140 for deriving SWS from a combination of multi-satellite observations and the results are 141 presented and discussed over the 2003–2007 period. Finally, conclusions and perspectives 142 are presented in Section 6.

143

#### 144 **2.** The study region: The Congo River Basin (CRB)

145 The CRB is a transboundary basin located in equatorial Africa (Fig. 1). In the heart of the 146 CRB, the shallow depression along the equator is named the "Cuvette Centrale" [Bernard, 147 1945] (Fig. 1). The Congo River begins its course at the Chambeshi River (Fig. 2), rising 148 south of the Lake Tanganyika and transferred by the Bangweulu Swamps. After flowing 149 through Lake Mweru, it joins the Lwalaba River [Balek, 1977]. The permanent surface area of Lake Bangweulu is about 3,000 km<sup>2</sup> and can expand to about 15,000 km<sup>2</sup> at the end 150 151 of the rainy season when its swamps and floodplains get flooded. Lake Mweru covers about 4,650 km<sup>2</sup>, and is surrounded by permanent swamps (~1,500 km<sup>2</sup>) and floodplains 152 (~900 km<sup>2</sup>). The Kasai River from the south, and the Ubangi River from the north are the 153 154 two principal tributaries of the Congo River. Right-bank tributaries of the Congo River 155 below its junction with the Ubangi include the Likouala aux Herbes, Sangha, Likouala and 156 Alima rivers. Swamp forests predominate on the floodplains in this section [Beadle, 1981; 157 Hughes et al., 1992; Betbeder et al., 2014]. The coupled ocean-atmosphere modes of El 158 Niño Southern Oscillation (ENSO) and the Indian Ocean Dipole (IOD) are the main

- drivers of the CRB hydro-climatic dynamics [Saji et al., 1999; Behera and Yamagata,
- 160 2001; Reason, 2002; Balas et al., 2007; Hastenrath et al., 2007]. In this study, following
- 161 the drainage patterns and physical characteristics, the CRB has been divided into six sub-
- 162 basins: Ubangi, Sangha, Middle-Congo, Lower-Congo, Kasai, and Lwalaba. These
- 163 locations are shown in Fig. 1 and characteristics of the sub-basins are presented in Table 1.

### 

## **Table 1. Water balance of Congo River Basin, based on** [Bultot, 1971; Edwards et al., 1983; Rodier, 1983; Olivry et al., 1988; Bricquet, 1995;

*Mahé and Olivry*, 1995; *Laraque et al.*, 2001] (---: Insufficient data to estimate SWS)

Basin name	Drainage area (km <sup>2</sup> )	Major rivers and lakes	Mean annual rainfall 1993-2007	Mean annual discharge		Mean annual SWE area over 1993-2007 (km <sup>2</sup> )		SWS mean annual amplitude	Total of SWS flows out the sub-basin
			(mm)	location	m <sup>3</sup> /s	min	max	(km <sup>3</sup> )	(%)
Ubangi	651,918	Uele, Bomu, Ubangi	1,924	Ubangi mouth	5,936	4,785	7,648	24±4	13±2
Sangha	191,953	Sangha, Likouala aux herbes	1,950	Sangha mouth	2,471	1,752	4,668	10±2	13±2
Middle congo	710,758	Congo, Ruki	2,164	Above Ubangi	15,484	17,128	24,590	43±7	9±1
Lower congo	144,123	From Kasai/Congo confluence to the CRB mouth	1,800	Brazzaville	40,600	464	1,486		
Kasai	884,370	Kasai, Lukenie, Kwango, Lake Mai- Ndombe	1,834	Lediba	11,320	10,158	13,003	28±4	8±1
Lwalaba	1,105,879	Lomani, Lwalaba, Lake Mweru, Lake Tanganyika, Lake Bangweulu	1,634	Confluence Lomani-Lwalaba	8,358	10,713ª	37,047ª	34±4	13±2
TOTAL	3,689,001	CRB	1,842	Brazzaville	40,600	<b>47,393</b> <sup>a</sup>	<b>78,932</b> <sup>a</sup>	81±24	6±2

<sup>a</sup>: The constant surface of the Tanganyika Lake, around 32,600 km<sup>2</sup>, is not included.

#### 171 **3. Dataset**

#### 172 **3.1.** ENVISAT radar altimeter observations

173 The ENVIronmental SATellite (ENVISAT) was launched in March 2002 by the European 174 Space Agency and its mission was ended in April 2012. The ENVISAT mission carried, 175 among others instruments, a nadir radar altimeter [Wehr and Attema, 2001]. Along its 176 ground tracks, repeated every 35 days, we can extract a water level time series, or "virtual 177 stations" (VS), at each intersection with wetlands, large rivers and smaller tributaries of the 178 CRB. The raw ENVISAT data are freely distributed by the Centre for Topographic studies 179 of the Oceans and Hydrosphere [CTOH] in along-track Geophysical Data Records (GDRs) 180 format. We used the ice-1 retracker [Wingham et al., 1986; Bamber, 1994] as previous 181 showed that it is the more suitable for hydrological studies in terms of accuracy of water 182 levels and availability of the data among the commonly available retracker present in the 183 GDRs [e.g., Frappart et al. 2006; Santos Da Silva et al. 2010]. Thus, over 2003-2009, we 184 estimated the water level time series at 350 VS (Fig. 2) using the Virtual ALtimetry Station 185 Tool [VALS Tool, 2009] and the Multi-mission Altimetry Processing Software (MAPS). 186 Details about VALS and MAPS procedures can be found in Santos Da Silva et al. [2010] 187 and in Frappart et al., [2015a]. All 350 VS passed an efficient and reliable quality control 188 (outlier, gap, shift). As shown in several studies [Frappart et al., 2005; Santos Da Silva et 189 al., 2010; Papa et al., 2012], the accuracy of altimetry derived water levels over inland 190 water bodies is estimated to range between 10 and 40-50 cm on rivers according to the 191 radiometric contrast between the water body and its environment within the radar footprint 192 (vegetation, sand banks, etc ...).

193

#### **3.2. GIEMS surface water extent dynamics**

A globally applicable remote-sensing technique has been developed to derive wetland
inundation extents: the Global Inundation Extent from Multi-Satellites (GIEMS) [*Prigent*

197 et al., 2001, 2007, 2012, 2016, Papa et al., 2006, 2010]. GIEMS merges: Microwave (19 198 and 37 GHz) observations from the Special Sensor Microwave/Imager (SSM/I), 199 backscatter at 5.25 GHz from the European Remote Sensing (ERS) scatterometer, and 200 visible  $(0.58-0.68 \text{ }\mu\text{m})$  and near-infrared  $(0.73-1.1 \text{ }\mu\text{m})$  reflectance from the Advanced 201 Very High Resolution Radiometer (AVHRR) to account for vegetation canopy effects 202 [Papa et al., 2010]. GIEMS, from 1993 to 2007, provides monthly inundation percentage at 0.25° resolution grid cells (each pixel equals 773 km<sup>2</sup>). GIEMS dataset has been 203 204 extensively (i) evaluated at global scale [Prigent et al., 2007; Papa et al., 2008, 2010] and 205 for a broad range of environments [Frappart et al., 2008; Papa et al., 2008, 2013; 206 Frappart et al., 2015b; Papa et al., 2015]; and (ii) used for climatic and hydrological 207 studies, such as the methane surface emissions evaluation [Bousquet et al., 2006; Ringeval 208 et al., 2010] and the river flooding scheme validation coupled with land surface models 209 [Decharme et al., 2008, 2011; Getirana et al., 2012; Pedinotti et al., 2012; Ringeval et al., 210 2012]. Uncertainties on the inundation estimate from GIEMS is about 10% [Prigent et al., 211 2007]. In rather densely forested regions, detection of the small areas of surface water can 212 be challenging [Prigent et al., 2007]. This is the case within the CRB, where some regions 213 have very dense vegetation with a network of narrow rivers, such as in the upper part of the 214 Uele River in the Ubangi sub-basin and along the Lukenie and Sankuru Rivers in the Kasai 215 sub-basin. In these regions, when accurate VS are obtained from ENVISAT, but where 216 GIEMS shows limitations in properly delineating the extents of rivers and probably 217 underestimates small wetlands [Prigent et al., 2007], we filled the missing data by 218 distributing 10% of water extent to each river pixel.

Note also that large freshwater bodies such as the Lake Baikal, the Great Lakes, Lake Victoria, and more importantly here for the present study, the Lake Tanganyika, have been masked in the GIEMS database [*Prigent et al.*, 2007]. However, its extent shows small variations on seasonal timescale and does play an important role in the SW dynamics of the

223 CRB. Nevertheless, its water storage variations will be taken into account when estimating

224 SWS variations (see Section 5).

225

226 **3.3. Ancillary data** 

#### 227 3.3.1. GRACE Regional Solution

228 The Gravity Recovery And Climate Experiment (GRACE) mission, placed in orbit in 229 March 2002, provides data over the continents and can be used to derive the monthly 230 changes of the terrestrial water storage (TWS) expressed in terms of equivalent water 231 height (EWH) [Tapley et al., 2004]. In this work, we used maps of monthly TWS from the 232 Jet Propulsion Laboratory GRACE land mascon solution (JPL RL05M, available at 233 http://grace.jpl.nasa.gov). This solution proposed some improvements to reduce leakage 234 errors i) across land/ocean boundaries using a Coastline Resolution Improvement filter and 235 ii) for continental hydrology applications providing a set of gain factors. The description of 236 the JPL RL05M solution in detail can be find in *Watkins et al.*, [2015] and *Wiese* [2015]. The dataset resolution is  $0.5^{\circ} \times 0.5^{\circ}$ , but it represents equal-area of  $3^{\circ} \times 3^{\circ}$  spherical caps. In 237 238 the CRB, the measurement error dominates the leakage error, i.e 5.3 mm vs 2.7 mm 239 respectively of EWH [Wiese et al., 2016]. Over land, the scaled uncertainty derived using 240 methods described in Wahr et al., [1998] are provided and are in the range [0.7 15.8] mm 241 of EWH over the CRB.

242

#### 243 3.3.2. Rainfall datasets

244 CRU TS4.00

245 We used the Climatic Research Unit (CRU) Time-series (TS) version 4.00 (CRU TS4.00)

246 [Harris et al., 2014; Harris and Jones, 2017]. These data are rainfall gridded fields based

on monthly observations at meteorological stations across the world's land areas and are

provided on high-resolution (0.5x0.5 degree) grids over the period 1901-2015.

11

249	GPCP
250	The Global Precipitation Climatology Project (GPCP, V2.3) monthly dataset is used in this
251	study. This dataset is computed by combining multi-satellite estimates with precipitation
252	gauge information on 2.5° resolution grids from 1979 to present [Adler et al., 2003].
253	TRMM
254	The precipitation estimates over the CRB are also obtained from the Tropical Rainfall
255	Measuring Mission (TRMM) 3B43-v7 product [Huffman et al., 2007]. This data set is
256	available since January 1998 at $0.25^{\circ} \times 0.25^{\circ}$ spatial resolution and at monthly time scale.
257	
258	3.3.3. In situ gauge stations
259	We use the daily discharge time series over 1993–2007 at Brazzaville (Fig. 2 15.3°E and
260	4.3°S, Station: 1070500105 from the Direction de la Gestion des Ressources Hydrauliques
261	(DGRH) - Brazzaville - Congo), Bangui (Fig. 2 18.6°E and 4.36°N Station: 1060700105
262	from the Direction Générale de L'Hydraulique Service de l'Hydrologie - Bangui - Central
263	African Republic), and Ouesso over 1993-1999 (Fig. 2 16.05°E and 1.61°N Station:
264	1070800120 from the DGRH).
265	
266	3.3.4. Altimeter-derived lake height
267	We use the time series of monthly water levels of Lake Mweru (Fig. 2, 28.5°E and 9°S)

and Lake Bangweulu (Fig. 2, 29.5°E and 11°S) provided by Hydroweb
(http://www.legos.obs-mip.fr/fr/soa/hydrologie/hydroweb/). Hydroweb is
developed by the Laboratoire d'Etudes en Géophysique Océanographie Spatiales (LEGOS)
in France and provides water level time series of selected water bodies (rivers, lakes,
reservoirs and wetlands) worldwide using the merged data from the Topex/Poseidon,
Jason-1, Jason-2, Jason-3, ERS2, ENVISAT, SARAL and Geosat Follow-On (GFO)

12

satellite missions. The processing procedure details of Hydroweb can be found in *Crétaux et al.*, [2011].

276

#### 277 4. Spatio-temporal variations of surface water extent (SWE)

278 The mean and maximum of the SWE per grid cell over 1993 to 2007 are displayed in Figs. 279 3a and 3b. A very realistic spatial distribution of the major rivers (Congo, Kasai, Ubangi 280 and Lwalaba) and some tributaries is shown by these maps. Associated inundated areas, 281 wetlands and the region of the "Cuvette Centrale" are also well delineated. Maxima are 282 located in two regions: (i) in the Lwalaba basin, mainly along the major lakes; and (ii) in the "Cuvette Centrale" along the Congo main stream, between 15°-18°E and 0°-2.5°S, 283 284 including Lake Mai-Ndombe and Lake Tumba. The SWE and precipitation seasonal 285 variations are presented in Fig. 4 and in Table 1. In this analysis, we computed the monthly 286 rainfall averages over 1993-2007 from CRU TS4.00, GPCP and TRMM products. In 287 Ubangi, Sangha and Middle Congo sub-basins, the precipitation dynamics present a 288 bimodal distribution, which is not observed in the SWE seasonal variations. However, 289 SWE in Ubangi and Middle Congo follow slowly the overall increase trend of precipitation 290 from April to November. The SWE in Sangha presents a sharp increase from September to 291 November. The SWE in these three sub-basins drops a month after the beginning of the 292 precipitation decrease and reaches their minima 2-3 months after those of precipitation. 293 These three sub-basins see their flooded area increase two to three-fold on average over the year. For instance, in the Middle Congo, the flooded areas vary from 16,700 km<sup>2</sup> at low 294 waters to 26,000 km<sup>2</sup> at high waters. The SWE in Kasai shows very little variations over 295 296 the year, probably due to the low GIEMS data coverage in this specific area. In the Lower 297 Congo, between August and December, the SWE drops a month after the beginning of the 298 precipitation decrease and reach its minimum 3 months after the precipitation minimum.

The flood period generally occurs between October and November and is perfectly in phase with the precipitation dynamics.

301

302 The SWE dynamics over 1993-2007 is also compared to: (i) the *in situ* river discharge 303 measured at Brazzaville, Bangui and Ouesso (Fig. 5, see Fig. 2 for their locations) and (ii) 304 satellite altimeter-derived height at Mweru, Bangweulu and Mai-Ndombe lakes (Fig. 6, see 305 Fig. 2 for their locations). In Fig. 5, the river discharge time series of Brazzaville is 306 compared to the total area of SWE in the "Cuvette Centrale", i.e. [15-20°E 3-0°S] and the 307 Bangui and Ouesso discharge time series are compared to the total area of SWE in the 308 Ubangui and Sangha sub-basins respectively. Fig. 5 a, b, and c show that the annual 309 variability of each river discharge time series are closely connected to the SWE dynamics, 310 with high correlation coefficients ( $r \ge 0.8$ ). At Bangui and Ouesso, the discharge peak 311 occurs one month before the SWE maximum.

312

313 In the following, we removed seasonal variation from the time series by using a 12-month 314 moving average. The interannual deseasonalized anomalies of the discharge and SWE time 315 series are presented in Fig. 5 d, e, and f. We obtain a moderate agreement (r>0.5) in the 316 interannual temporal patterns between the two variables in the three locations. These SWE 317 interannual patterns probably result in a nonlinear relationship between the discharges and 318 other parameters of the water balance, such as groundwater levels. In addition surface 319 water residence time could also be influenced by the size and depth of the drainage 320 systems, and by the connection/disconnection regimes between main streams and 321 associated inundation zones. Further investigations are needed to clarify these dynamics.

322

323 The time series of 3 lakes height variations (Mai-Ndombe, Mweru, Bangweulu) are 324 compared in Fig. 6 with the cumulated SWE dynamics around the area. It shows that lake 325 height variations and the SWE are generally well correlated (r≥0.8, Fig. 6 a,b,c) at seasonal 326 timescales with a delay lag of 1 and 2 months for the Bangweulu and the Mweru, 327 respectively. At an interannual timescale, Fig 6 (d,e,f) also show high correlation ( $r \ge 0.7$ ) 328 between the anomalies of the two variables, especially over the Mweru Lake. Over Mweru, 329 three particular events are noticeable in 1997-1998, 2000-2001 and 2006-2007 (November 330 to May period). Because of shorter time series, only the 2006-2007 event is noticeable for 331 the Mai-Ndombe and Bangweulu lakes. The periods 1997-1998 and 2006-2007 are 332 characterized by positive Indian Ocean Dipole (pIOD) events in conjunction with an El 333 Niño event [Ummenhofer et al., 2009]. In general, pIOD events induce large rainfall deficit in the eastern Indian Ocean and Indonesia, and floods in western Indian Ocean, South 334 335 India, and East Africa [McPhaden, 2002]. During those events, the Mweru SWE reaches record high extent (2,700 km<sup>2</sup> and 1,900 km<sup>2</sup>) associated with large positive anomalies in 336 337 the water level of the lake (Fig. 6 d and e).

338

339 In order to better characterize SWE interannual variability and its possible relation with 340 large climate events, we further analyze the deseasonalized anomalies between 1993 and 341 2007 (Fig. 7). The SWE interannual variability over the Ubangi, Kasai, Middle Congo and 342 Lower Congo show the same dynamics (Fig 7a). A large negative anomaly in SWE is 343 observed from 1998 to 2000, which could be associated with the 1998-2000 persistent La 344 Niña event. This type of event is often linked to drier than normal conditions over 345 equatorial East Africa [McPhaden, 2002]. The SWE interannual variability over the 346 Lwalaba basin (Fig. 7c) presents a large positive event in 1997/1998, previously observed 347 in the Mweru Lake height variations (Fig. 6b/e), where a pIOD event (associated with an 348 excess of rain in western Indian Ocean, South India, and East Africa) occurs in conjunction 349 with an El Niño event [Ummenhofer et al., 2009]. For example, after the 1997 pIOD event, 350 the level of Lake Victoria rose by 1.7 m, Lake Tanganyika by 2.1 m and Lake Malawi by 1.8 m; the Sudd marshes levels also rose [*Birkett et al.*, 1999; *Becker et al.*, 2010] and very
high river flows were measured at Kinshasa [*Conway et al.*, 2005]. Moreover, these
devastating floods have resulted in several thousand deaths and hundreds of thousands
people have been displaced in Kenya, Somalia, Sudan, Uganda, and Ethiopia [*Cai et al.*,
2014]. In the Lwalaba basin, the SWE increased significantly by a factor of ~4 from late
1997 to the end of 1998.

357

#### 358 5. Spatio-temporal variations of surface water storage (SWS)

Here we present the spatio-temporal variability of SWS estimated by combining the SWE from GIEMS with the 350 altimeter-derived water level heights. The two-step methodology is described briefly in the following sections and we refer to *Frappart et al.*, [2008, 2011] for more details. The results are analyzed for 2003-2007, the common period of availability for both datasets.

#### 364 5.1. Monthly maps of surface water level anomalies

Monthly maps of water level in the CRB were obtained by combining GIEMS and ENVISAT derived water levels. Following *Frappart et al.* [2008, 2011], water levels for a given month were linearly interpolated over GIEMS inundation. Each SW level map had a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$ , and the elevation of each pixel is given with reference to a map of minimum SW levels estimated over 2003–2007 using a hypsometric approach (see Fig. S1 from *Frappart et al.*, [2012]). For each inundated pixel of coordinates ( $\lambda_j, \varphi_j$ ), the minimum elevation is given as :

372 
$$h_{min}\left(\lambda_{j},\varphi_{j},\alpha,\Delta T\right) = min\left(h\left(\lambda_{j},\varphi_{j},t\right)\right)_{P\left(\lambda_{j},\varphi_{j},t\right)\leq\alpha;t\in\Delta T}$$
(1)

373 where  $h_{min}$  is the minimum elevation (m) during the observation period  $\Delta T$  for a 374 percentage of inundation  $\alpha$  from GIEMS, which varies between 0 and 100 and *t* is a 375 monthly observation during  $\Delta T$ .

Fig. 8 shows the seasonal evolution of surface water heights (SWH) in the CRB sub-basins 376 377 for the period August 2006 to May 2007 covering a pIOD-El Niño event. In the Ubangi 378 and Sangha basins the SWH increase in August-October (up to 4 m) and reach their 379 maximum values in November (up to 6 meters). In the Middle Congo River Basin, SWH 380 increase from October to December and show an important spatial variability. The SWH 381 highest values (~3 m) are along the Congo River mainstream and in the south of the basin 382 along the Ruki River. From January, we observe increases in the southern part of the CRB 383 basin. In the upper Lwalaba basin we observe from January to March an important 384 inundation zone (up to 4 m). From April, the SWH start to decline. The monthly maps of 385 SWH are now used to estimate surface water storage expressed as volumes for 2003–2007.

386

#### 387 5.2. Monthly time series of surface water volume variations

Following *Frappart et al.* [2008, 2011], the time variations of SW volume, are computed
as :

390 
$$V_{SW}(t) = R_e^2 \sum_{j \in S} P(\lambda_j, \varphi_j, t) \left( h(\lambda_j, \varphi_j, t) - h_{min}(\lambda_j, \varphi_j) \right) \cos(\varphi_j) \Delta \lambda \Delta \varphi$$
(2)

where  $V_{SW}$  is the volume (km<sup>3</sup>) of SW,  $R_e$  the earth's radius (6378 km),  $P(\lambda_j, \varphi_j, t)$ ,  $h(\lambda_j, \varphi_j, t), h_{min}(\lambda_j, \varphi_j)$  are respectively the percentage of inundation, the water level at time *t*, and the minimum of water level at the pixel  $(\lambda_j, \varphi_j)$ ;  $\Delta \lambda$  and  $\Delta \varphi$  are respectively the grid steps in longitude and latitude. Monthly surface water storage (SWS) fluctuations are estimated for over 2003–2007.

396

For lakes Bangweulu, Mai-Ndombe and Mweru, surface water volume anomalies  $\Delta V_{SW}$ were computed following Baup et al. [2014]:

399 
$$\Delta V_{SW}(t) = S(t-1)|\Delta h(t)| + sgn(\Delta h(t))\frac{|\Delta S(t)||\Delta h(t)|}{2}$$
(3)

400 Where  $\Delta S(t) = S(t) - S(t-1)$  and  $\Delta h(t) = h(t) - h(t-1)$  are the variations of the surface and height

401 of the lake between instants *t* and *t*-*l* respectively.

402

For Lake Tanganiyka, anomalies of water volume were simply estimated multiplying the
surface of the lake (32,600 km<sup>2</sup> from *Spigel and Coulter*, 1996) by the anomaly of water
stage obtained using ENVISAT altimetry data.

406

407 The volume of SW is the sum of the contributions of the water volume contained in the

408 floodplains and the lakes of the CRB. Accordingly, the time variations of  $TWS(V_{TWS}(t))$ 

410 
$$\Delta V_{TWS}(t) = R_e^2 \sum_{j \in S} \Delta h_{TWS} \left( \lambda_j, \varphi_j, t \right) \cos\left(\varphi_j\right) \Delta \lambda \Delta \varphi \qquad (4)$$

411 where  $h_{TWS}(\lambda_j, \varphi_j, t)$  is the equivalent height anomaly of *TWS* (km<sup>3</sup>) at time *t* of the pixel of 412 coordinates  $(\lambda_j, \varphi_j)$ ,  $R_e$  the earth's radius (6378 km),  $\Delta\lambda$  and  $\Delta\varphi$  are respectively the grid 413 steps in longitude and latitude. The maximum error for the SW volume variation (km<sup>3</sup>), 414  $\Delta V$ , is estimated as :

415 
$$\max(\Delta V) = S_{max} \Delta \delta h_{max} + \Delta S_{max} \delta h_{max}$$
(5)

416 where  $S_{max}$  is the maximum flooded surface (km<sup>2</sup>),  $\delta h_{max}$  is the maximum SWH variation 417 (km) between two consecutive months,  $\Delta S_{max}$  is the maximum error for the flooded surface 418 (km<sup>2</sup>) and  $\Delta \delta h_{max}$  is the maximum dispersion (km) of the SWH between two consecutive 419 months.

420

Fig. 9 presents the spatial patterns of SWS changes, estimated as the year among the five years being the maximum range of SWS. It shows realistic spatial structures along the Congo River and the "Cuvette Centrale", as well as in the Tumba and Mai-Ndombe lakes, where annual maximum storage variations reach up to 1.3 km<sup>3</sup>/year per grid cell. Secondary maxima are observed in the Lwalaba basin around the lakes Kivu and Bangweulu and along the Lwalaba River upstream, with SWS varying between 0.3–0.6 km<sup>3</sup>/year per grid cell. Low SWS changes are observed in other locations of CRB (below 0.2 km<sup>3</sup>/year), in accordance with very low variations in water levels observed in the altimetry measurements.

430

431 To illustrate the interannual variability at the basin-scale, Fig. 10 shows the yearly 432 variations of SWS, as a percentage of the difference from median computed 2003-2007. It 433 reveals rather strong year-to-year and spatial variability. For instance, during the 2007 434 pIOD/El Niño year, a clear positive anomaly of SWS is observed all over the CRB basin. 435 During 2003, a pIOD year, increases in SWS are observed along the Ubangi river 436 mainstream and along the north part of the Lwalaba River whereas a deficit of SWS is 437 observed in the Kasai sub-basin. Conversely, in 2004, one notices positive anomalies of 438 SWS of about 80-100% in the Kasai sub-basin whereas a negative anomaly is observed in 439 the rest of CRB.

440

441 For the entire CRB over 2003-2007, on average the annual SWS amplitude is 81±24 km<sup>3</sup> (Fig. 11 and Table 1). A significant year-to-year variation is observed, with for instance an 442 annual amplitude of  $\sim$ 57 km<sup>3</sup> in 2003 whereas the amplitude reaches 114 km<sup>3</sup> in 2006. 443 444 That corresponds to  $6\pm 2$  % of the total fresh water volume that flows out annually from the CRB as river discharge into the Atlantic Ocean with a mean flow of  $\sim 40,600 \text{ m}^3/\text{s}$  (i.e. 445  $\sim$ 1,280 km<sup>3</sup>/year). As a comparison, the Amazon River SWS annual variation corresponds 446 447 to  $\sim 15\%$  of the total fresh water volume that flows out annually of its basin as river 448 discharge [Frappart et al., 2012]. In the Ubangi basin, the mean annual SWS amplitude  $24\pm4$  km<sup>3</sup>, with a minimum of ~20 km<sup>3</sup> observed in 2004 and a maximum of ~30 km<sup>3</sup> in 449 450 2007. We report an annual mean SWS amplitude of  $10\pm2$  km<sup>3</sup> for the Sangha and  $28\pm4$ 

km<sup>3</sup> for the Kasai. The Middle Congo presents a mean annual SWS amplitude of 43±7 451  $km^3$ , with a minimum of ~34  $km^3$  observed in 2003 and a maximum of ~52  $km^3$  in 2007. In 452 contrast, the Lwalaba basin shows an annual SWS minimum of ~30 km<sup>3</sup> observed in 2007 453 454 and a maximum of  $\sim$ 41 km<sup>3</sup> in 2005. On average this basin shows a mean annual SWS amplitude of 34±4 km<sup>3</sup>, where we have included the volume variations of Bangweulu, 455 456 Mweru and Tanganyika Lakes obtained from the combination of altimetry and GIEMS. 457 We estimated the maximum error for the SWS change in the CRB using Equation (5) and using the following values:  $S_{max} = 78 \ 932 \ \text{km}^2$  in February, 2007;  $\delta h_{max} = 0.6 \ \text{m}$ , mean 458 maximum SWH change between two consecutive months during 2003-2007;  $\Delta S_{max} = 10\%$ 459 from Prigent et al., [2007] of 78 932 km<sup>2</sup>; and  $\Delta \delta h_{max} = 0.2$  m, maximum average 460 dispersion of the SWH in 2007. We obtain a maximum error of  $\sim 20 \text{ km}^3$  for an annual 461 462 variation of 76 km<sup>3</sup> in 2007, i.e., an error of  $\sim$ 26%. This value is of the same order of 463 magnitude as that obtained over the Rio Negro (~23% Frappart et al., [2008]), over the 464 Orinoco Basin (~30%, Frappart et al., [2015b]) and over the Ganges-Brahmaputra Basin 465 (~24%, Papa et al., [2015]). In the same way, we obtained over the sub-basins the maximum errors of ~17% for the Ubangui, ~23% for the Sangha, ~17% for the Middle 466 467 Congo,  $\sim 19\%$  over the Kasai, and  $\sim 27\%$  over the Lwalaba. Respectively, the mean annual 468 variation in SWS represents 13±2 % of the total volume of water that flows out the Ubangi 469 basin and at the Sangha mouth, 8±1 % at the Kasai mouth, 9±1 % along the Congo River 470 above the Ubangi mouth and  $\sim 13\pm 2\%$  at the Lwalaba mouth.

471

In Fig 11, we compared the SWS and TWS from the GRACE solution. For the entire CRB, the correlation between the SWS and TWS is ~0.8 and the SWS preceded TWS by one month. The seasonal SWS variations, estimated as the ratio between the mean amplitude of SWS and TWS variations over the study period, represent  $10\pm1$  % of the TWS variations in the Ubangi basin,  $18\pm1$ % in the Sangha,  $33\pm7$  % in the Middle Congo, encompassing 477 extensive floodplains and Lake Tumba, and  $12\pm 2$  % in the Lwalaba sub-basins. For the 478 entire CRB, the seasonal SWS variations represent  $19\pm 5$  % of the TWS variations. As a 479 comparison, using the same approach, the seasonal contribution of SWS to TWS variations 480 were found to be of ~43% for the Amazon [*Frappart et al.*, 2012] and 45% in the Ganges-481 Brahmaputra basin [*Papa et al.*, 2015].

482

We subtract SWS to TWS in order to obtain the "sub-surface water storage" variations 483 484 (Sub-SWS, Fig.11) defined as the sum of soil moisture and groundwater. We assume that 485 the variations in water storage compartments derived from canopy are negligible over the 486 2003-2007 in the CRB and hence are not considered here. The Sub-SWS contribution 487 (Fig.11) in the Ubangi, Sangha and Lwalaba basins is very important and suggests that the 488 hydrological compartments, such as soil moisture and groundwater, have a major influence 489 on TWS. On the contrary, in the Middle Congo, the SWS alone contributes over one-third 490 of the TWS variations over this sub-basin.

491

#### 492 6. Conclusions and perspectives

493 This work presents an unparalleled analysis of the dynamics of surface water extent (1993– 494 2007) and storage (2003-2007) in the CRB. First, we show that the SWE seasonal patterns 495 from GIEMS dataset exhibit very realistic distributions of major rivers (Congo, Kasai, 496 Ubangi and Lwalaba) and their tributaries, with two maxima located: i) in the lake region 497 of the Lwalaba sub-basin and ii) in the "Cuvette Centrale", including Tumba and Mai-498 Ndombe Lakes. For the period 1993-2007, we found a ENSO/IOD influence on the SWE 499 interannual variability. Following the approach developed by Frappart et al. [2008, 2011], 500 we combined GIEMS observations with inland water level variations from ENVISAT 501 radar altimetry (350 observations on the rivers) to analyze the variations of fresh water 502 stored in the CRB different hydrological compartments. Overall, during 2003-2007, the

annual mean variation in SWS was  $81\pm24$  km<sup>3</sup> and contributes to  $19\pm5$  % of the annual 503 504 variations of GRACE-derived TWS. It represents also 6±2 % of the annual fresh water 505 volume that flows from the CRB into the Atlantic Ocean. Finally, we can mention the very 506 different behavior in the Middle Congo River Basin, where SWS alone contributes more 507 than one-third of the TWS variations over this sub-basin. It is important to note that the 508 spatial-resolution sampling of the altimetry-based virtual station dataset could be increased 509 using ENVISAT and ERS archives, especially with the recent reprocessing devoted land 510 water studies made available by CTOH [Frappart et al., 2016] and temporal extended 511 using current altimetry satellites including Jason-1 (GDR E), Jason-2, Jason-3, SARAL, 512 and Sentinel-3A, as well as future missions such as Sentinel-3B (to be launched in 2017), 513 Sentinel-6/Jason-CS (Jason-CS A and B planned for 2020 and 2026, respectively). This 514 new surface water storage (and sub-surface water storage) dataset for the CRB over 5 years 515 is a further step towards improving our knowledge of climatic and hydrological processes 516 in this region. This new surface water storage (and sub-surface water storage) dataset for 517 the CRB over 5 years is a further step towards improving our knowledge of climatic and 518 hydrological processes in this region. It is an unprecedented source of information for 519 hydrological modeling of the CRB as well as a baseline in the definition and validation of 520 future hydrology-oriented satellite missions such as the NASA-CNES Surface Water 521 Ocean Topography (SWOT) to be launched in 2021, which will be dedicated to global 522 surface hydrology. More generally, these results on the large scale CRB's hydro-climate 523 processes, in addition to improving our fundamental knowledge about this major 524 hydrologic basin, offer an unique opportunity for conducting many new studies in Africa 525 by using even larger datasets, with a focus on a clear gain for the management of water 526 resources in this continent.

527

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#### 786 Figure captions:

Fig. 1. The Congo River Basin: topography and the major sub-catchment areas.

788 Fig. 2. Location of the 350 ENVISAT radar altimeter virtual stations (black dots). Location 789 of the in situ discharge stations (Brazzaville, Bangui and Ouesso) displayed with red 790 triangles. Lakes are: 1. Tumba, 2. Mai-Ndombe, 3. Kivu, 4. Tanganyika, 5. Mweru and 6. 791 Bangweulu. The locations of the four lakes using in the study are displayed with diamonds 792 (Mai-Ndombe, Mweru, Tangkanyika and Bangweulu). Countries are AO: Angola; BU: 793 Burundi; CT: Central African Republic; DC: Democratic Republic of the Congo; RC: 794 Republic of the Congo; RW: Rwanda; SS: South Sudan; TZ: Tanzania; UG: Uganda; ZA: 795 Zambia;

- Fig. 3. Inundation extent from GIEMS over the Congo basin. Spatial distribution of the (a)
  monthly mean and (b) monthly maximum surface water extent averaged over 1993–2007,
  for each 773 km<sup>2</sup> pixel.
- Fig. 4. Surface water extent seasonal variations (SWE, black) and rainfall average (blue)
  over 1993–2007 by sub-basins. The shaded areas depict the standard deviations around the
  SWE and rainfall average.
- Fig. 5. Comparison of annual and interannual monthly mean surface water extent
  anomalies (SWE, black) and in-situ monthly mean discharge anomalies (Discharge, blue)
  at Brazzaville, Bangui, and Ouesso locations.
- Fig. 6. Comparison of annual and interannual monthly mean surface water extent
  anomalies (SWE, black) and altimetry monthly mean water height anomalies (Height,
  blue) of Mweru, Bangweulu, and Mai-Ndombe lakes.
- Fig. 7. Interannual monthly mean surface water extent (SWE) anomalies by sub-basinsover 1993–2007.
- 810 Fig. 8. Seasonal evolution of the surface water heights (SWH) in meters.
- Fig. 9. Maximum annual surface water storage (SWS) amplitudes in  $\text{km}^3$  over 2003 to 2007.
- Fig. 10. Percentage of surface water storage (SWS) variations per year over 2003 to 2007.
- 814 Fig. 11. Anomalies of surface water storage (SWS, black line), the GRACE-derived
- 815 terrestrial water storage (TWS, blue line) and the resulting sub-surface water storage (Sub-
- 816 SWS, red line) for the entire Congo River Basin and for the sub-basins.



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#### Fig.4









Fig.7





Fig.9 Maximum annual amplitude of SWS over 2003-2007 (km<sup>3</sup>)





Fig.11

