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# 5-HT<sub>4</sub> receptors, a place in the sun: Act two

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#### Abstract

5-HT<sub>4</sub> receptors control brain physiological functions such as learning and memory, feeding and mood behaviour as well as gastro-intestinal transit. 5-HT<sub>4</sub> receptors are one of the 5-HT receptors for which the available drugs and signalling knowledge are the most advanced. Several therapeutic 5-HT<sub>4</sub> receptor drugs have been commercialized. Therefore, the hope that 5-HT<sub>4</sub> receptors could also be the target for brain diseases is reasonable. Several major devastating illnesses could benefit from 5-HT<sub>4</sub> receptors-directed therapy such as Alzheimer's disease, feeding-associated diseases such as anorexia and major depressive disorders.

#### Introduction

G protein-coupled receptors (GPCRs) are conserved molecules which have been central, during evolution to recognize and transduce external (e.g. odours, gustative molecules, light) as well as cell-cell communication signals (hormones, neurotransmitters, some growth factors) [1]. They comprise 2% of the human genome and remain central for the understanding of human physiology and human diseases. 40-50% of all marketed drugs act directly to modulate GPCRs even if less than 20% of GPCRs (non-odorant receptors) are currently targeted. 5-HT<sub>4</sub> receptors reached their "place in the sun" of GPCR pharmacology almost 20 years ago [2\*] and remain the matter of intense research especially in brain and gastro-intestinal systems [3\*]. Indeed, the hope that 5-HT<sub>4</sub> receptor agonists could be successful drugs in gastro-intestinal pathologies and in particular for chronic idiopathic constipation is high. Several compounds have already been tested [3\*]. Some have been withdrawn for adverse but non 5-HT<sub>4</sub> receptormediated side effects. For example, cisapride is known to inhibit hERG channels [4]. However, prucalopride, has just been commercialized [5]. In brain, none 5-HT<sub>4</sub> receptor ligand has yet reached such a development level, even if a clinical trial for Alzheimer's disease reached phase IIb [6,7]. In addition to this pathology, important discoveries indicate that two others mental diseases, such as depression and feeding disorders, could benefit from modulation of 5-HT<sub>4</sub> receptor signalling [8\*-11\*]. Recently, it has been proposed that GPCR interacting proteins (GIPs) may be used for modulating GPCR-signalling in pathologies [12\*]. For example, p11, a GIP that modulates the trafficking of 5-HT<sub>1B</sub> and 5-HT<sub>4</sub> receptors to the plasma membrane, has been expressed, in nucleus accumbens (NAc) to reverse depression like behaviours in mice [13\*\*]. In this short review, we will only discuss recent studies on new aspects of 5-HT<sub>4</sub> receptor signalling including non G protein-mediated events [14\*], the nature and functions of the 5-HT<sub>4</sub> receptor-associated GIPs [12\*] as well as new evidences for a role of those receptors in important aforementioned brain diseases. Is a "place in the sun" for 5-HT<sub>4</sub> receptors, in brain diseases treatments close to be reached?

#### New vistas in 5-HT<sub>4</sub> receptors signalling

The capacity of 5-HT<sub>4</sub> receptors to couple and initiate signalling pathways through multiple G proteins, especially  $G_s$ ,  $G_{13}$  and in some cell lines  $G_q$  and  $G_i$ , has already been documented in precedent reviews [3\*,15]. Here, we are highlighting recent studies which provide evidence that 5-HT<sub>4</sub> receptor stimulation mediates G protein-independent pathways, the first one being ERK activation through phosphorylation and activation of the 5-HT<sub>4</sub> receptor-associated non-receptor tyrosine kinase Src (Figure 1). 5-HT<sub>4</sub> receptor-mediated ERK activation is the first example that involves neither a classical G protein signalling ( $G_s/G_q/G_i$ ) and associated messengers, nor  $\beta$ -arrestins [14\*]. This result does not seem to be cell specific, because 5-HT<sub>4</sub> receptors stimulation activates Src in HEK293, neurons [14\*] and also in epithelial cell lines. Indeed, in the enterocyte cell line Caco 2, 5-HT4 receptor-induced Src activation was required to activate the PLC $\gamma_1$  pathway and to inhibit Na<sup>+</sup>/H<sup>+</sup> exchanger [16] (Figure 1).

As nothing was known about desensitization of GPCRs acting in a G protein-independent manner, we investigated this question using 5-HT<sub>4</sub> receptors. We reported that the 5-HT<sub>4</sub> receptor-operated Src/ERK pathway, but not the  $G_s$  pathway, was negatively regulated by GPCR kinase 5 (GRK5) physically pre-associated with the proximal C-terminal region of the receptor in both human HEK293 cells and mouse colliculi neurons [17\*\*]. This inhibition required two sequences of events: the association of  $\beta$ -arrestin1 to a phosphorylated serine/threonine cluster located within the receptor C-terminal domain and the phosphorylation, by GRK5, of  $\beta$ -arrestin1 (at Ser412) bound to the receptor. Phosphorylated  $\beta$ -arrestin1, in turn, prevented activation of Src constitutively bound to 5-HT<sub>4</sub> receptors, a necessary step in receptor-stimulated ERK signalling [17\*\*] (Figure 1).

#### GPCR interacting proteins (GIPs) associated with 5-HT4 receptors

GPCR functions are controlled by numerous protein partners named GIPs [12\*]. These interactions create additional opportunities for G protein-dependent but also independent signalling. In a first study using a proteomic approach, we found ten proteins that have been identified to interact directly with the C-terminus of  $5\text{-HT}_{4(a)}$  receptor variant and three that associate with the C-terminus of  $5\text{-HT}_{4(e)}$  receptor variant, most through PDZ domains. Among the more interesting interacting proteins are the Na<sup>+</sup>/H<sup>+</sup> exchanger regulatory factor (NHERF) and sorting nexin 27 (SNX27) [18\*]. The group of P. Greengard recently documented the interaction of  $5\text{-HT}_4$  receptors with p11. p11 (also called S100A10 or calpactin-1 light chain or annexin-2 light chain) is an inducible 97-amino acid protein member of the S100 superfamily comprising 10-14 kDa, EF hand-containing Ca<sup>2+</sup>-binding proteins, which transmit Ca<sup>2+</sup>-dependent cell-regulatory signals. This protein is present in a large number of organisms, interact with trafficking proteins, several channels but also with the  $5\text{-HT}_{1B}$  and the  $5\text{-HT}_4$  receptors [19\*\*]. Interaction of both receptors with p11 increased their cell surface localization and, correspondingly, enhanced their associated signal transduction [20\*].

The majority of GIPs associated to 5-HT receptors have so far been isolated by *in vitro* binding assays using GPCR C-termini as baits [18\*,21,22]. Recently, we identified more than 150 new protein partners of the 5-HT<sub>4</sub> receptor by a shot gun proteomic approach associating immunoprecipitation of the N-terminally tagged receptor, expressed in an heterologous system (HEK293 cells), and systematic identification using tandem mass spectrometry. Several of the identified proteins are known to act on the trafficking and/or the metabolism of APP (amyloid precursor protein) (unpublished data), reinforcing the proposal that 5-HT<sub>4</sub> receptors could be a target for Alzheimer disease [23\*].

#### Learning and memory and Alzheimer's disease

Pro-cognitive effects of 5-HT<sub>4</sub> receptor agonists have been described, both on short-term memory (such as social olfactory memory) or long-term olfactory memory (such as olfactory associative memory, for a review see [6]). 5-HT<sub>4</sub> receptor-induced increase in memory acquisition has been seen in autoshaping task, object recognition, Morris water-maze, task with long inter-trial intervals (2 h), delayed matching performance and impeded spontaneous alteration scores [6]. Such positive effects on learning and memory could rely on a 5-HT<sub>4</sub> receptor-mediated LTP (long term potentiation) induction that has been documented in the amygdala [24\*] and suggested in the hippocampus [25], where 5-HT<sub>4</sub> receptor activation cause a long-lasting excitability increase in CA1 pyramidal neurons [26]. The complexity of 5-HT<sub>4</sub> receptor effects on the synaptic plasticity of the Shaffer-collateral/CA1 hippocampal synapses in correlation with the type of learning has been highlighted [25]. The conclusion being that 5-HT<sub>4</sub> receptor activation may be beneficial for particular types of information acquisition that depend on LTP such as exploration of an empty novel environment and detrimental for other types of learning which required LTD (long term depression) such as exploration of a new environment containing unfamiliar objects. A recent report shows that an increase in cAMP phosphodiesterases (PDEs) activity occurs in the prefrontal cortex (PDE4) and hippocampus, following 5-HT<sub>4</sub> receptor stimulation. This may be part of a negative feed-back regulation mediated by cAMP [27]. Moreover, we contributed to show that the promnesic effect of 5-HT<sub>4</sub> receptor activation is also mediated by a potentiation of learning-induced spine growth in the hippocampus [28\*\*]. In 5-HT<sub>4</sub> receptor knock-out mice, the loss of function mutation on learning and memory appears to be circumvented by adaptative changes in cholinergic systems [29], supporting the fact that 5-HT<sub>4</sub> receptor can have a role to play in Alzheimer's disease (AD). Indeed, 5-HT<sub>4</sub> receptors have been reported to promote non-amyloidogenic processing of the amyloid precursor protein (APP) by stimulating the  $\alpha$ -secretase [23\*,30]. Along these lines, a selective 5-HT<sub>4</sub> receptor partial agonist, RS 67333 inhibits the generation of the  $\beta$ amyloid peptide (A $\beta$ ) in primary cortical neurons [30]. Interestingly, two 5-HT<sub>4</sub> receptor partial agonists, the SL 65.0155 from Sanofi-Aventis [7] and VRX-03011 (Epix Pharmaceuticals [31]) have reached clinical phase II for the treatment of Alzheimer's disease. Both compounds act synergically with cholinesterase inhibitors on rodent memory. All these studies correlate with reports documenting a significant decrease in the density of 5-HT<sub>4</sub> receptor binding sites in cortical and hippocampal regions in the Alzheimer brain [32-34].

#### 5-HT<sub>4</sub> receptors for treating feeding disorders

The first evidence that 5-HT<sub>4</sub> receptors could be implicated in feeding disorders was obtained using the 5-HT<sub>4</sub> receptor knock-out mice [11\*]. Indeed, the defective mutation of the mHtr4 gene encoding 5-HT<sub>4</sub> receptors reduced hypophagia following restraint stress, a possible animal model for anorexia nervosa [35]. It has been proposed that motivation disorders whereby patients self-impose food refusal despite an energy request (anorexia-like behaviour) could be seen as prominent disturbances in a reward structure (nucleus accumbens: NAc) rather than in the hypothalamus  $[10^{**}, 36]$ . We have recently described that the rewarding drug MDMA (3,4-N-methylenedioxymethamphetamine) reduces feeding via 5-HT<sub>4</sub> receptor activation an their downstream signalling cascade cAMP/PKA synthesis of CART, an anorectic and addiction peptide (CART: Cocaine- and amphetamine-regulated transcript) [10\*\*]. Intra-accumbal injection of a 5-HT<sub>4</sub> receptor antagonist (RS 39604) or siRNAmediated 5-HT<sub>4</sub> receptors knock-down decrease satiety [10\*\*,34] and indicate a 5-HT<sub>4</sub> receptors localization on intrinsic, likely GABAergic neurons of the NAc (Figure 2). The neuronal network of addiction may thus include the neuronal pathway related to anorexia [10\*\*]. Full 5-HT<sub>4</sub> receptor antagonists may thus be useful drugs to treat anorexia related disorders. It is interesting to note that oral administration of the 5-HT<sub>4</sub> receptor partial agonist, mosapride citrate, increased feeding in an animal model of obesity (ob/ob) mice [37] (mosapride being a partial agonist, can also partially inhibit 5-HT<sub>4</sub> receptors activation by endogenous 5-HT). Current studies of the involvement of 5-HT<sub>4</sub> receptors and related mechanisms in stress-induced hypophagia and in addiction to drugs of abuse (e. g. cocaine) are in progress. Studies conducted in mice have found a promising opening in human therapy. Indeed, recently, the density of 5-HT<sub>4</sub> receptors was found to be decreased in Alzheimer's disease patients also suffering from hyperphagia [34]. New development of PET radioligand such as [<sup>11</sup>C]SB207145 should facilitate in vivo brain imaging of 5-HT<sub>4</sub> receptors in human brain disorders [38].

#### **Treating depression**

Few years ago, polymorphisms of the Htr4 gene encoding the 5-HT<sub>4</sub> receptors have been highly correlated with major depression and/or bipolar disorders and *post-mortem* examination of brain of depressed suicide victims indicate an altered expression of 5-HT<sub>4</sub> receptors (for a review see [8\*]). However, the evidence that 5-HT<sub>4</sub> receptors may be a direct target for treating depression is more recent [8\*]. It is well known that inhibitors of 5-HT and nor-epinephrine reuptake are central in the therapeutic action of antidepressants. In particular, 5-HT reuptake inhibitors (SSRI) are commonly used. The final effect of these drugs seems to be an increase in the tonic 5-HT release and activation of post-synaptic 5-HT receptors, in particular 5-HT<sub>1A</sub> receptors in limbic structures such as hippocampus. However, this effect is delayed and obtained only after 3-8 weeks. One of the possible explanations may be that this delay is due to

the period needed to obtain desensitization of the self-inhibition of 5-HT neurons by somatodendritic 5-HT<sub>1A</sub> receptors. At the beginning of SSRI treatment, 5-HT neuron activities are quasi suppressed and symptoms may be increased, in particular anxiety. Thus, this period is problematic and drugs rapidly activating 5-HT neurons may facilitate SSRI treatments and even be antidepressant by themselves. 5-HT<sub>4</sub> receptors were first shown to increase 5-HT release in the hippocampus [39] and then to be a tonic activator of about half of dorsal raphe nucleus (DRN) 5-HT neurons called "responders" neurons. It appears that those neurons have a higher basal firing rate (1.9Hz) than non-responders ones (1.3 Hz) [40]. Thus, i.p. administration of the 5-HT<sub>4</sub> receptor antagonists (such as GR 125487) highly reduced the basal firing rate of "responders" but were inactive on "non-responders" [40]. Conversely, "responders" but not "non-responders" were activated by i.p. administration of 5-HT<sub>4</sub> receptor agonists such as cisapride, prucalopride or RS 67333 indicating that 5-HT<sub>4</sub> receptors have also a phasic control of firing [8\*,40]. More interestingly, the inhibitory effect of SSRI on 5-HT neuron firing was counteracted by prucalopride in neurons discharging at 2Hz or higher but not when the frequency was < 1Hz [8\*]. When an SSRI such as citalopram was co-administrated with prucalopride or RS 67333 for 3 days, the activity of DRN 5-HT neurons was stimulated instead of inhibited when citalopram was given alone [9\*\*]. These results are in accordance with the observation that the average firing rate of DRN 5-HT neurons was reduced by more than 50% in 5-HT<sub>4</sub> receptor knock-out mice compared to WT [41]. Since the effect of 5-HT<sub>4</sub> receptor agonists on firing rate was observed after i.p. delivery but not after a direct delivery at the DR level, indicates that the 5-HT<sub>4</sub> receptors involved were not localized in DRN [42\*] (Figure 2). Since 1) the electrical stimulation of medial prefrontal cortex (mPFC) stimulates the electrical activity of 40-45% of DRN 5-HT neurons, 2) the mPFC 5-HT<sub>1A</sub>Rs mediated a "longloop feedback" on about half of DRN 5-HT neurons and 3) the 5-HT<sub>4</sub> and 5-HT<sub>1A</sub> receptors are co-localized on pyramidal mPFC, it was tempting to hypothesized that the 5-HT<sub>4</sub> receptordependent control of DRN neuronal activity was originating from mPFC. Indeed, pyramidal cells express 5-HT<sub>4</sub> receptors (see [8\*,42\*,43\*] for details) (Figure 2). Viral-induced overexpression of 5-HT<sub>4</sub> receptors in the mPFC but not in others discrete brain areas induced a marked increase in DR 5-HT neuronal firing [42\*]. In conclusion, those convergent results demonstrated that mPFC 5-HT<sub>4</sub> receptors exert an excitatory influence of 5-HT neurons that compensates (at least after 3 days of activation with a 5-HT<sub>4</sub> receptor agonist) the initial suppression of DR 5-HT neurons activity by SSRI [9\*\*]. Thus, 5-HT<sub>4</sub> receptor agonists may be antidepressant by themselves or reduce the delay of action of SSRI. This has been clearly the case as shown by Lucas et al. [9\*\*] on a series of biological and behavioural parameters in rats. The biological parameters were including neurogenesis of hippocampal granular cells (GCs), which are also expressing 5-HT<sub>4</sub> receptors (Figure 2) [9\*\*]. Interestingly, Kobayashi et al. [44\*\*] described recently an other effect of fluoxetine, a classical SSRI, on GCs. Fluoxetine induced GC "dematuration" which developed along with increases in efficacy of 5-HT<sub>4</sub> receptor-dependent neuromodulation of the GCs to CA3 synapses and was almost suppressed in mice lacking those receptors [44\*\*]. The fact that chronic SSRI treatments led to 5-HT<sub>4</sub> receptor stimulation is also suggested by the observation that such treatments induced a downregulation of those receptors in hippocampus (particularly CA1 region), striatum, and substantia nigra but, interestingly, not in mPFC [45,46]. Finally, the p11 saga has highlighted the connection between 5-HT<sub>4</sub> receptors and depression. This protein has been identified as a new antidepressant target. p11 is down-regulated in depressive-like state in rodents, in the HelplessH/Rouen mice, and human brain, and is up-regulated following anti-depressant treatments including electroconvulsive treatments [19\*\*]. p11 knock-out mice display a depressive-like phenotype whereas mice over-expressing p11 behave as those treated with antidepressant [19\*\*]. p11 interacts with both 5-HT<sub>1B</sub> and 5-HT<sub>4</sub> receptors (but not with many others GPCRs), facilitates their cell surface localization as well as their signalling and neuronal functions [19\*\*]. Interestingly, 5-HT<sub>1B</sub> receptors are pre-synaptically localized and their effects on the inhibition of cortico-accumbal glutamatergic transmission require p11 [19\*\*]. This observation, as well as human functional imaging or results from therapeutic deep brain stimulation of depression corroborating animal studies suggesting that reduce activity in this region (see [13\*\*]) can promote depression, led Alexander *et al.* [13\*\*] to investigate the possibility that adeno-associated virus (AAV) p11 gene-transfer to NAc could reverse their depression like behaviours. This was indeed the case and was associated with an increase in 5-HT<sub>1B</sub> receptors in density [13\*\*]. The data did not indicate if this increase is taking place on NAc presynaptic (glutamatergic?) terminals or on the intrinsic NAc neurons or both. This will be important to know. Is the p11-mediated increase in 5-HT<sub>4</sub> receptor membrane targeting also involved in this gene therapy? The presence of 5-HT<sub>4</sub> receptors on intrinsic NAc neurons as well as their ability to increase cAMP and CREB phosphorylation may suggest such a role [10\*\*]. However note that p11 is also controlling the trafficking of several ionic channels see [19\*\*]. Is p11 gene-transfer in human treatment of severe depression, a science fiction story or a reasonable hope? The future will tell us.

#### Conclusion

Drug development is a long and a non-linear process with many go and no periods. Several 5-HT<sub>4</sub> receptor drugs have been on the market for gastro-intestinal health problems such as gastro-oesophageal reflux and irritable bowel syndrome associated with constipation and recently constipation in women in whom laxatives were inefficient. This experience should be beneficial for development of brain 5-HT<sub>4</sub> receptor acting drugs. Here again, the process is very slow. Some have been tested in clinic for Alzheimer's disease but the processes were stopped either for lack of significant efficacy in phase IIb (SL 65.0155 from Sanofi-Aventis) or for economical reasons (VRX-03011 from Epix Pharmaceuticals). However, the clinical trials for Alzheimer's disease are problematic due to heterogeneity of patients and lack of biomarkers. More work is needed on the role of 5-HT<sub>4</sub> receptors on cellular biology of APP. We have recently performed a deep proteomic analysis of 5-HT<sub>4</sub> receptors "receptosome" and found that it includes several important proteins known to be implicated in the trafficking and metabolism of APP (in preparation). The hope is also that 5-HT<sub>4</sub> receptors will reach clinical evaluation for feeding-associated diseases such as anorexia, the third chronic illness of adolescent in industrialized countries and major depressive disorders identified as the leading cause of disability in the Western World for those aged 15 to 44 years.

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(MDMA, the active principle of ectasy). Direct activation of 5-HT<sub>4</sub> receptors of the NAc reduced the physiological drive to eat in food deprived mice and increases the synthesis of the CART peptide (CART:cocaine- and amphetamine-regulated transcript) via a cAMP/PKA signalling pathway

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## **Figure legends**

### Figure 1: G<sub>s</sub>-independent signalling and desensitization of 5-HT<sub>4</sub> receptors.

In addition to G proteins (mainly  $G_s$ ) activation, 5-HT<sub>4</sub> receptors activated the tyrosine kinase Src in a G-protein independent manner [13\*]. Src is associated with the inactive 5-HT<sub>4</sub> receptors and phosphorylated, therefore activated, upon receptor agonist stimulation. Activation of Src led to a  $\beta$ -arrestin-independent ERK activation in neurons or PLC $\gamma_1$ activation and finaly downstream Na<sup>+</sup>/H<sup>+</sup> exchanger inhibition in intestinal cells [16]. Desensitization of the 5-HT<sub>4</sub> receptor-induced Src activation first involved GRK5 recruitment. GRK5 phosphorylated  $\beta$ -arrestin. Phosphorylated  $\beta$ -arrestin inhibited, via an unknown mechanism the 5-HT<sub>4</sub> receptor-induced Src phosphorylation [17\*\*].

## Figure 2: Cellular localization of 5-HT<sub>4</sub> receptors in the forebrain.

5-HT<sub>4</sub> receptors are mainly located on neurons containing  $\gamma$ -aminobutyric acid (GABA) in the nucleus accumbens and striatum [47], pyramidal cells expressing the glutamate (GLU) in the medial prefrontal cortex [43\*] and hippocampus (CA1 [48], CA3: [49] and granule cells [44\*\*,49]).

5-HT<sub>4</sub> receptors are unlikely located on dorsal raphe nucleus (DRN) [49] (Compan, unpublished). However, autoradiographic studies have shown moderated densities of 5-HT<sub>4</sub> receptors in the rat DRN, using both the radiolabeled antagonists [<sup>3</sup>H]GR 113808 [50] or [<sup>125</sup>I]SB207710 [49] suggesting that these receptors are possibly localized on DRN afferents neurons. 5-HT<sub>4</sub> receptors are heteroreceptors, located on the somatodendritic and axon terminals of the spiny efferent neurons of the striatum containing GABA to the substantia nigra and globus pallidus [47]. 5-HT<sub>4</sub> receptors are not located on the nigro-striatal dopaminergic neurons [47].

In brain areas of the reward system, 5-HT<sub>4</sub> receptors are located on the pyramidal cells of the medial prefrontal cortex [43\*] that also expressed 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors. More recently, it has been shown that 5-HT<sub>4</sub> receptors are likely expressed by efferent neurons of the nucleus accumbens to the lateral hypothalamus [10\*\*].



