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# CALCULUS OF VARIATIONS: A DIFFERENTIAL FORM APPROACH

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## Abstract

We study integrals of the form  $\int_{\Omega} f(d\omega_1, \dots, d\omega_m)$ , where  $m \geq 1$  is a given integer,  $1 \leq k_i \leq n$  are integers and  $\omega_i$  is a  $(k_i - 1)$ -form for all  $1 \leq i \leq m$  and  $f : \prod_{i=1}^m \Lambda^{k_i}(\mathbb{R}^n) \rightarrow \mathbb{R}$  is a continuous function. We introduce the appropriate notions of convexity, namely vectorial ext. one convexity, vectorial ext. quasiconvexity and vectorial ext. polyconvexity. We prove weak lower semicontinuity theorems and weak continuity theorems and conclude with applications to minimization problems. These results generalize the corresponding results in both classical vectorial calculus of variations and the calculus of variations for a single differential form.

*Keywords:* calculus of variations, quasiconvexity, polyconvexity, exterior convexity, differential form, wedge products, weak lower semicontinuity, weak continuity, minimization.

*2010 Mathematics Subject Classification:* 49-XX.

## 1. Introduction

In this article, we study integrals of the form

$$\int_{\Omega} f(d\omega_1, \dots, d\omega_m),$$

where  $\Omega \subset \mathbb{R}^n$  is open and bounded,  $m \geq 1$  is a given integer,  $1 \leq k_i \leq n$  are integers and  $\omega_i$  is a  $(k_i - 1)$ -form for all  $1 \leq i \leq m$  and  $f : \prod_{i=1}^m \Lambda^{k_i}(\mathbb{R}^n) \rightarrow \mathbb{R}$  is a continuous function. When  $m = 1$ , this problem reduces to the study of the integrals

$$\int_{\Omega} f(d\omega),$$

which was studied systematically in Bandyopadhyay-Dacorogna-Sil [4]. On the other hand, when  $k_i = 1$  for all  $1 \leq i \leq m$ , the problem can be identified with the study of the integrals

$$\int_{\Omega} f(\nabla u),$$

when  $u : \Omega \subset \mathbb{R}^n \rightarrow \mathbb{R}^m$  is an  $\mathbb{R}^m$ -valued function, which is the classical problem of the calculus of variations, where  $m = 1$  is called the scalar case and  $m > 1$  is called the vectorial case. Thus the study of the integrals  $\int_{\Omega} f(d\omega_1, \dots, d\omega_m)$  unifies the classical calculus of variations and the calculus of variations for a single differential form under a single framework.

The convexity properties of  $f$  plays a crucial role. Generalizing the notions introduced in Bandyopadhyay-Dacorogna-Sil [4], here we introduce the following terminology: *vectorial ext. one convexity*, *vectorial ext. quasiconvexity* and *vectorial ext. polyconvexity*. These notions play analogous roles of the classical notions of rank one convexity, quasiconvexity and polyconvexity (see, for example Dacorogna [8]) respectively and reduce to precisely those notions in the special case when  $k_i = 1$  for all  $1 \leq i \leq m$ . The characterization theorem for vectorially ext. quasilinear functions, obtained for the first time in Sil [25], is proved. As a corollary, this gives a new proof of the celebrated characterization theorem of Ball [2] for quasilinear functions in the classical case.

The necessity and sufficiency of vectorial ext. quasiconvexity of the map  $(\xi_1, \dots, \xi_m) \mapsto f(x, \xi_1, \dots, \xi_m)$ , with usual power-type growth condition on  $f$ , for the sequential weak lower semicontinuity of integrals of the form

$$\int_{\Omega} f(x, d\omega_1, \dots, d\omega_m),$$

in the larger space  $W^{d,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$  is shown, with an additional assumption on traces if  $p_i = 1$  but  $k_i \neq 1$  for some  $1 \leq i \leq m$ . Unlike the classical calculus of variations, in general,  $W^{d,\mathbf{p}}$ , instead of  $W^{1,\mathbf{p}}$ , is the relevant space from the point of view of coercivity. A counterexample shows the result to be optimal in the sense that the semicontinuity result is false if we allow explicit dependence on  $\omega_i$ s in general. This failure is essentially due to the lack of Sobolev inequality in  $W^{d,\mathbf{p}}$ .

Equivalence of vectorial ext. quasilinearity with sequential weak continuity of the integrals

$$\int_{\Omega} f(d\omega_1, \dots, d\omega_m),$$

on  $W^{d,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$  is proved. Sufficiency part of this result however has essentially been obtained in Robbin-Rogers-Temple [23]. In the spirit of the distributional Jacobian determinant in the classical case, two distinct notions of distributional wedge product of exact forms are introduced, one generalizing Brezis-Nguyen [5] and the other following Iwaniec [15]. Distributional weak convergence results for such products are proved.

Existence theorems for minimization problems for vectorially ext. quasi-convex and vectorially ext. polyconvex functions, with possible explicit  $x$ -dependence are obtained. A counterexample is given to show that minimizer might not exist in general if we allow the integrand to depend explicitly on  $\omega_i$ .

This achieved unification also both clarifies and raises a number of interesting points, which merit further study.

- The so-called ‘divergence structure’ and cancellations of the determinants, giving rise to improved integrability and weak continuity, is well-known in the classical calculus of variations. It has been exploited in various contexts, namely nonlinear elasticity (beginning with Ball[2]), theory of ‘compensated compactness’ (Coifman-Lions-Meyer-Semmes [6], DiPerna [10], Murat[22], Tartar[26]), theory of quasiconformal maps and the associated Beltrami fields (Iwaniec [14], Iwaniec-Sbordone [17]), very weak solutions of PDEs (Sbordone [24]) etc. The unified framework views these ideas as central to the calculus of variations as a whole and puts these ideas in their most general and natural setting - the exterior algebra. By isolating and clarifying the fundamental core of these ideas, which already proved to be immensely powerful in myriad contexts, the unification can potentially open doorways to new advances in nonlinear analysis, especially in a geometric setting.
- On the other hand, from the unified perspective, our ability to settle minimization problems when the integrand have quite general explicit dependence on the  $\omega_i$ s is a feature specific to the classical calculus of variations and does not extend beyond it. This failure, however, highlights another very fundamental issue, the so-called ‘gauge invariance’ of the minimization problem. Even when  $m = 1$  but  $k > 1$ , the integrand and thus the minimization problem for  $\int_{\Omega} f(x, d\omega)$  is invariant under translation by the infinite dimensional subspace of closed  $(k - 1)$ -forms with vanishing boundary values. The lack of coercivity on  $W^{1,p}$ , unavailability of Sobolev inequality in  $W^{d,p}$ , the space on which the functional is coercive and the counterexamples to both the semicontinuity and the existence results when general explicit dependence on  $\omega$  is allowed are all manifestations of this invariance. Also, the crucial fact which allows us to derive existence of minimizers in  $W^{1,p}$  is essentially a ‘gauge fixing procedure’ (see lemma 6.3). In the general setting of gauge field theories, Uhlenbeck [27] proved a gauge fixing result to study Yang-Mills fields, where the energy functional is convex. A better understanding of the interplay between gauge invariance issues and the introduced convexity notions will likely serve as a stepping stone to generalizations of gauge field theories with non-convex energies.

The rest of the article is organized as follows. Section 2 collects all the notations used throughout the article. Section 3 introduces the convexity notions, derives some basic properties and proves the characterization theorem

for vectorially quasilinear functions. Section 4 and Section 5 discuss sequential weak lower semicontinuity and sequential weak continuity results, respectively. Section 6 discusses existence theorems for vectorially ext. quasiconvex and vectorially ext. polyconvex integrands.

## 2. Notations

We gather here the notations which we use throughout this article. We reserve boldface english or greek letters to denote  $m$ -tuples of integers, real numbers, exterior forms etc as explained below.

1. Let  $m, n \geq 1$  be integers.

- $\wedge, \lrcorner, \langle \cdot, \cdot \rangle$  and  $*$  denote the exterior product, the interior product, the scalar product and the Hodge star operator, respectively.

- $\mathbf{k}$  stands for an  $m$ -tuple of integers,  $\mathbf{k} = (k_1, \dots, k_m)$ , where  $1 \leq k_i \leq n$  for all  $1 \leq i \leq m$ , where  $m \geq 1$  is a positive integer. We write

$\mathbf{\Lambda}^{\mathbf{k}}(\mathbb{R}^n)$  (or simply  $\mathbf{\Lambda}^{\mathbf{k}}$ ) to denote the Cartesian product  $\prod_{i=1}^m \Lambda^{k_i}(\mathbb{R}^n)$ ,

where  $\Lambda^{k_i}(\mathbb{R}^n)$  denotes the vector space of all alternating  $k_i$ -linear maps  $f : \underbrace{\mathbb{R}^n \times \dots \times \mathbb{R}^n}_{k_i\text{-times}} \rightarrow \mathbb{R}$ . For any integer  $r$ , we also employ the

shorthand  $\mathbf{\Lambda}^{\mathbf{k}+r}$  to stand for the product  $\prod_{i=1}^m \Lambda^{k_i+r}(\mathbb{R}^n)$ . We denote

elements of  $\mathbf{\Lambda}^{\mathbf{k}}$  by boldface greek letters, except  $\boldsymbol{\alpha}$ , which we reserve for multiindices (see below). For example, we write  $\boldsymbol{\xi} \in \mathbf{\Lambda}^{\mathbf{k}}$  to mean  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_m)$  is an  $m$ -tuple of exterior forms, with  $\xi_i \in \Lambda^{k_i}(\mathbb{R}^n)$  for

all  $1 \leq i \leq m$ . We also write  $|\boldsymbol{\xi}| = \left( \sum_{i=1}^m |\xi_i|^2 \right)^{\frac{1}{2}}$ . In general, boldface greek letters always mean an  $m$ -tuple of the concerned objects.

- If  $\mathbf{k}$  is an  $m$ -tuple as defined above, we reserve the boldface greek letter  $\boldsymbol{\alpha}$  for a multiindex, i.e an  $m$ -tuple of integers  $(\alpha_1, \dots, \alpha_m)$  with  $0 \leq \alpha_i \leq \left\lfloor \frac{n}{k_i} \right\rfloor$  for all  $1 \leq i \leq m$ . We write  $|\boldsymbol{\alpha}|$  and  $|\mathbf{k}\boldsymbol{\alpha}|$  for the sums  $\sum_{i=1}^m \alpha_i$  and  $\sum_{i=1}^m k_i \alpha_i$ , respectively.
- For any  $\mathbf{k}$  and  $\boldsymbol{\alpha}$ , as defined above, such that  $1 \leq |\mathbf{k}\boldsymbol{\alpha}| \leq n$ , we write  $\boldsymbol{\xi}^{\boldsymbol{\alpha}}$  for the wedge product

$$\xi_1^{\alpha_1} \wedge \dots \wedge \xi_m^{\alpha_m} = \underbrace{\xi_1 \wedge \dots \wedge \xi_1}_{\alpha_1\text{-times}} \wedge \dots \wedge \underbrace{\xi_m \wedge \dots \wedge \xi_m}_{\alpha_m\text{-times}} \in \Lambda^{|\mathbf{k}\boldsymbol{\alpha}|}(\mathbb{R}^n).$$

Clearly, if  $\alpha_i = 0$  for some  $1 \leq i \leq m$ ,  $\xi_i$  is absent from the product.

- Let  $\mathbf{k}$  and  $\alpha$  be as defined above. Then for any  $\xi \in \Lambda^{\mathbf{k}}$  and for any integer  $1 \leq s \leq n$ ,  $T_s(\xi)$  stands for the vector with components  $\xi^\alpha$ , where  $\alpha$  varies over all possible choices such that  $|\alpha| = s$ , as long as there is at least one such non-trivial wedge power. As an example, if  $m = 3$ , then we immediately see that

$$\begin{aligned} T_1(\xi) &= (\xi_1, \xi_2, \xi_3), \\ T_2(\xi) &= (\xi_1^2, \xi_1 \wedge \xi_2, \xi_1 \wedge \xi_3, \xi_2^2, \xi_2 \wedge \xi_3, \xi_3^2) \text{ etc.} \end{aligned}$$

$N(\mathbf{k})$  stands for the largest integer  $s$  for which there is at least one such non-trivial wedge power, i.e

$$N(\mathbf{k}) = \max \{s \in \mathbb{N} : \exists \alpha \text{ with } |\alpha| = s \text{ such that } \xi^\alpha \neq 0 \text{ for some } \xi \in \Lambda^{\mathbf{k}}\}.$$

$T(\xi)$  stands for the vector  $T(\xi) = (T_1(\xi), \dots, T_{N(\mathbf{k})}(\xi))$ , whose number of components is denoted by  $\tau(n, \mathbf{k})$ , i.e  $T(\xi) \in \mathbb{R}^{\tau(n, \mathbf{k})}$ .

- Let  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 \leq p_i \leq \infty$  for all  $1 \leq i \leq m$ . Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and smooth. Let  $\nu = (\nu_1, \dots, \nu_n)$  denote the outer normal on  $\partial\Omega$ , identified with the 1-form  $\nu = \sum_{i=1}^n \nu_i e^i$ . Note that  $\nu$  used as a subscript or superscript still denotes just an index and not the normal. There is little chance of confusion since the intended meaning is always clear from the context.

- Let  $0 \leq k \leq n - 1$  be an integer and  $1 \leq p \leq \infty$ . Then we define the following spaces.

$$\begin{aligned} W^{d,p}(\Omega; \Lambda^k) &= \{\omega \in L^p(\Omega; \Lambda^k), d\omega \in L^p(\Omega; \Lambda^{k+1})\}, \\ W_T^{d,p}(\Omega; \Lambda^k) &= \{\omega \in L^p(\Omega; \Lambda^k), d\omega \in L^p(\Omega; \Lambda^{k+1}), \nu \lrcorner \omega = 0 \text{ on } \partial\Omega\}, \\ W_N^{d,p}(\Omega; \Lambda^k) &= \{\omega \in L^p(\Omega; \Lambda^k), d\omega \in L^p(\Omega; \Lambda^{k+1}), \nu \lrcorner d\omega = 0 \text{ on } \partial\Omega\}, \end{aligned}$$

and similarly the spaces  $W_T^{1,p}(\Omega; \Lambda^k)$  and  $W_N^{1,p}(\Omega; \Lambda^k)$ . Also, we define,

$$W_{\delta, T}^{d,p}(\Omega; \Lambda^k) = \left\{ \omega \in W_T^{d,p}(\Omega; \Lambda^k) : \delta\omega = 0 \text{ in } \Omega \right\},$$

and similarly  $W_{\delta, T}^{1,p}(\Omega; \Lambda^k)$ . We also denote harmonic  $k$ -fields, harmonic  $k$ -fields with vanishing tangential component on the boundary and harmonic  $k$ -fields with vanishing normal component on the boundary by the symbols  $\mathcal{H}(\Omega, \Lambda^k)$ ,  $\mathcal{H}_T(\Omega, \Lambda^k)$  and  $\mathcal{H}_N(\Omega, \Lambda^k)$ , respectively.

- We define the spaces  $L^{\mathbf{p}}(\Omega, \Lambda^{\mathbf{k}})$ ,  $W^{1,\mathbf{p}}(\Omega, \Lambda^{\mathbf{k}})$ ,  $W^{d,\mathbf{p}}(\Omega, \Lambda^{\mathbf{k}})$ , and also the spaces  $W_0^{1,\mathbf{p}}(\Omega, \Lambda^{\mathbf{k}})$ ,  $W_T^{d,\mathbf{p}}(\Omega, \Lambda^{\mathbf{k}})$ ,  $W_{\delta, T}^{d,\mathbf{p}}(\Omega, \Lambda^{\mathbf{k}})$  etc, to be the corresponding product spaces. E.g.

$$W^{d,\mathbf{p}}(\Omega, \Lambda^{\mathbf{k}}) = \prod_{i=1}^m W^{d,p_i}(\Omega, \Lambda^{k_i}).$$

They are obviously also endowed with the corresponding product norms. When  $p_i = \infty$  for all  $1 \leq i \leq m$ , we denote the corresponding spaces by  $L^\infty$ ,  $W^{1,\infty}$  etc.

- In the same manner,  $\omega^\nu \rightarrow \omega$  in  $W^{d,p}(\Omega; \Lambda^{k-1})$  will stand for a shorthand of

$$\omega_i^\nu \rightarrow \omega_i \text{ in } W^{d,p_i}(\Omega; \Lambda^{k_i-1}) \quad (\overset{*}{\rightarrow} \text{ if } p_i = \infty),$$

for all  $1 \leq i \leq m$ , and  $f(d\omega^\nu) \rightarrow f(d\omega)$  in  $\mathcal{D}'(\Omega)$  will mean

$$f(d\omega_1^\nu, \dots, d\omega_m^\nu) \rightarrow f(d\omega_1, \dots, d\omega_m) \text{ in } \mathcal{D}'(\Omega).$$

### 3. Notions of Convexity

#### 3.1. Definitions

We start with the different notions of convexity and affinity. From here onwards, we are going to employ the boldface multiindex notations quite freely (Section 2 lists in detail all the notations that are employed).

**Definition 3.1** Let  $1 \leq k_i \leq n$  for all  $1 \leq i \leq m$  and  $f : \prod_{i=1}^m \Lambda^{k_i}(\mathbb{R}^n) \rightarrow \mathbb{R}$ .

- (i) We say that  $f$  is vectorially ext. one convex, if the function

$$g : t \mapsto g(t) = f(\xi_1 + t\alpha \wedge \beta_1, \xi_2 + t\alpha \wedge \beta_2, \dots, \xi_m + t\alpha \wedge \beta_m)$$

is convex for every collection of  $\xi_i \in \Lambda^{k_i}$ ,  $1 \leq i \leq m$ ,  $\alpha \in \Lambda^1$  and  $\beta_i \in \Lambda^{k_i-1}$  for all  $1 \leq i \leq m$ . If the function  $g$  is affine we say that  $f$  is vectorially ext. one affine.

- (ii) A Borel measurable and locally bounded function  $f$  is said to be vectorially ext. quasiconvex, if for every bounded open set  $\Omega$ ,

$$\frac{1}{|\Omega|} \int_{\Omega} f(\xi_1 + d\omega_1(x), \xi_2 + d\omega_2(x), \dots, \xi_m + d\omega_m(x)) \geq f(\xi_1, \xi_2, \dots, \xi_m)$$

for every collection of  $\xi_i \in \Lambda^{k_i}$  and  $\omega_i \in W_0^{1,\infty}(\Omega; \Lambda^{k_i-1})$  with  $1 \leq i \leq m$ . If equality holds, we say that  $f$  is vectorially ext. quasiaffine.

- (iii) We say that  $f$  is vectorially ext. polyconvex, if there exists a convex function  $F$  such that

$$f(\boldsymbol{\xi}) = F(T(\boldsymbol{\xi})),$$

where  $T(\boldsymbol{\xi})$  stands for the vector with components  $\boldsymbol{\xi}^\alpha$ , where  $\alpha$  varies over all possible choices such that  $1 \leq |\mathbf{k}\alpha| \leq n$ . (see section 2 for the notations). If  $F$  is affine, we say that  $f$  is vectorially ext. polyaffine.

**Remark 3.2** (i) The abbreviation *ext.* stands for exterior, which refers to the exterior product in the first and third definitions and for the exterior derivative for the second one.

(ii) When  $m = 1$ , the notions of vectorial *ext. polyconvexity*, vectorial *ext. quasiconvexity* and vectorial *ext. one convexity* reduce to the ones introduced in [4], namely, *ext. polyconvexity*, *ext. quasiconvexity* and *ext. one convexity* respectively.

**Remark 3.3** The definition of vectorial *ext. quasiconvexity* already appeared in Iwaniec-Lutoborski [16], which the authors simply called *quasiconvexity*. In the same article, the authors also introduce another convexity notion, which they called *polyconvexity*. But the definition of *polyconvexity* introduced in Iwaniec-Lutoborski [16] is not equivalent to vectorial *ext. polyconvexity*. See remark 3.8 for more on this.

**Remark 3.4** When  $k_i = 1$  for all  $1 \leq i \leq m$ , for each  $\xi \in \Lambda^k$ , by identifying  $\xi_i \in \Lambda^1$  as the  $i$ -th row,  $\xi$  can be written as a  $m \times n$  matrix. With this identification, the notions of vectorial *ext. polyconvexity*, vectorial *ext. quasiconvexity* and vectorial *ext. one convexity* are exactly the notions of *polyconvexity*, *quasiconvexity* and *rank one convexity*, respectively.

By requiring these properties to hold for each factor while the others are kept fixed, we can define the corresponding ‘separate convexity’ notions.

**Definition 3.5** Let  $1 \leq k_i \leq n$  for all  $1 \leq i \leq m$  and  $f : \prod_{i=1}^m \Lambda^{k_i}(\mathbb{R}^n) \rightarrow \mathbb{R}$ .

(i) We say that  $f$  is *separately ext. one convex* or *ext. one convex* with respect to each factor, if for every  $1 \leq i \leq m$ , the function  $g_i : \Lambda^{k_i} \rightarrow \mathbb{R}$ , given by,

$$g_i(\xi) = f(\eta_1, \dots, \eta_{i-1}, \xi, \eta_{i+1}, \dots, \eta_m)$$

is *ext. one convex* for every collection of  $\eta_j \in \Lambda^{k_j}$ ,  $1 \leq j \leq m$ ,  $j \neq i$ . We say  $f$  is *separately ext. one affine* if  $g_i$ s are *ext. one affine*.

(ii) A Borel measurable and locally bounded function  $f$  is said to be *separately ext. quasiconvex* or *ext. quasiconvex* with respect to each factor, if for every  $1 \leq i \leq m$ , the function  $g_i : \Lambda^{k_i} \rightarrow \mathbb{R}$ , given by,

$$g_i(\xi) = f(\eta_1, \dots, \eta_{i-1}, \xi, \eta_{i+1}, \dots, \eta_m)$$

is *ext. quasiconvex* for every collection of  $\eta_j \in \Lambda^{k_j}$ ,  $1 \leq j \leq m$ ,  $j \neq i$ . We say  $f$  is *separately ext. quasilinear* if  $g_i$ s are *ext. quasilinear*.

(iii) We say that  $f$  is *separately ext. polyconvex* or *ext. polyconvex* with respect to each factor, if for every  $1 \leq i \leq m$ , the function  $g_i : \Lambda^{k_i} \rightarrow \mathbb{R}$ , given by,

$$g_i(\xi) = f(\eta_1, \dots, \eta_{i-1}, \xi, \eta_{i+1}, \dots, \eta_m)$$

is *ext. polyconvex* for every collection of  $\eta_j \in \Lambda^{k_j}$ ,  $1 \leq j \leq m$ ,  $j \neq i$ . We say  $f$  is *separately ext. polyaffine* if  $g_i$ s are *ext. polyaffine*.

Note that the notions of *separately ext. one affine*, *separately ext. quas affine* and *separately ext. poly affine* are all equivalent. It is easy to see from the definitions, using the relations between ext. polyconvexity, ext. quasiconvexity and ext. one convexity (cf. Theorem 2.8(i) in [4]), that

- $f$  vectorially ext. one convex  $\Rightarrow f$  separately ext. one convex.
- $f$  vectorially ext. quasiconvex  $\Rightarrow f$  separately ext. quasiconvex  
 $\Rightarrow f$  separately ext. one convex.
- $f$  vectorially ext. polyconvex  $\Rightarrow f$  separately ext. polyconvex  
 $\Rightarrow f$  separately ext. quasiconvex  
 $\Rightarrow f$  separately ext. one convex.

Note that the notion of a separately convex function is very different. For  $f$  to be separately convex, we require convexity with respect to each *component*, not each *factor*. All the convexity notions above implies separate convexity of  $f$ , but none is implied by it. As an example, the function defined by the multiplication of all the components of all the factors, i.e  $f(\xi_1, \dots, \xi_m) = \prod_{i=1}^m \prod_{I \in \mathcal{T}^{k_i}} \xi_i^I$ , is clearly separately convex, but not separately ext. one convex and thus none of the others as well.

As in [4], we can use Hodge duality to extend these notions of convexity to the ones related to interior product and  $\delta$ -operator. We shall discuss vectorial ext. convexity properties only. Vectorial int. convexity notions can be handled analogously.

### 3.2. Basic Properties

The different notions of vectorial ext. convexity are related as follows.

**Theorem 3.6** *Let  $f : \Lambda^k \rightarrow \mathbb{R}$ . Then*

$$\begin{aligned} f \text{ convex} &\Rightarrow f \text{ vectorially ext. polyconvex} \Rightarrow f \text{ vectorially ext. quasiconvex} \\ &\Rightarrow f \text{ vectorially ext. one convex.} \end{aligned}$$

*Moreover if  $f : \Lambda^k(\mathbb{R}^n) \rightarrow \mathbb{R}$  is vectorially ext. one convex, then  $f$  is locally Lipschitz.*

**Proof** The proof is very similar to the proof of theorem 2.8 in [4] (see [25] for a more detailed proof). We only mention here the essential differences. The implication that  $f$  convex implies  $f$  vectorially ext. polyconvex is trivial.

To prove the implication,

$$f \text{ vectorially ext. polyconvex} \Rightarrow f \text{ vectorially ext. quasiconvex} ,$$

the argument using Jensen's inequality is exactly the same as in theorem 2.8 in [4], as soon as we show

$$\int_{\Omega} (\xi + d\omega)^{\alpha} = \xi^{\alpha} \text{ meas}(\Omega),$$

for any  $\xi \in \Lambda^k$ , for any  $\omega \in W_0^{1,\infty}(\Omega, \Lambda^k)$  and for any multiindex  $\alpha$ . We prove this using induction over  $|\alpha|$ . The case  $|\alpha| = 1$  easily follows from integration by parts. So we assume  $|\alpha| > 1$ . Thus, there exists  $i$  such that  $\alpha_i \geq 2$ . Now, we have,

$$\begin{aligned} (\xi + d\omega)^{\alpha} &= \xi_i \wedge (\xi + d\omega)^{\beta} + d\omega_i \wedge (\xi + d\omega)^{\beta} \\ &= \xi_i \wedge (\xi + d\omega)^{\beta} + d \left[ \omega_i \wedge (\xi + d\omega)^{\beta} \right], \end{aligned}$$

where  $\beta$  is a multiindex with  $\beta_i = \alpha_i - 1$  and  $\beta_j = \alpha_j$  for all  $1 \leq j \leq m, i \neq j$ . Since  $|\beta| = |\alpha| - 1$ , integrating the above and using induction for the first integral and integration by parts along with the fact that  $\omega_i = 0$  on  $\partial\Omega$  for the second, we obtain the result.

The implication

$$f \text{ vectorially ext. quasiconvex} \Rightarrow f \text{ vectorially ext. one convex},$$

is proved by the same arguments as in theorem 2.8 in [4], using lemma 2.7 in [4] for each factor.

The fact that  $f$  is locally Lipschitz follows once again from the observation that any separately ext. one convex function is separately convex. ■

We can have another formulation of vectorial ext. polyconvexity. The proof of which is similar to Proposition 2.14 in [4] and is omitted.

**Proposition 3.7** *Let  $f : \Lambda^k \rightarrow \mathbb{R}$ . Then, the function  $f$  is ext. polyconvex if and only if, for every  $\xi \in \Lambda^k$ , there exist  $c_{\alpha} = c_{\alpha}(\xi) \in \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)$ , for every  $\alpha$  with  $0 \leq |\mathbf{k}\alpha| \leq n$ , such that*

$$f(\eta) \geq f(\xi) + \sum_{\alpha} \langle c_{\alpha}(\xi); \eta^{\alpha} - \xi^{\alpha} \rangle, \quad \text{for every } \eta \in \Lambda^k.$$

**Remark 3.8** *Comparison with the definition of polyconvexity introduced in definition 10.1 in Iwaniec-Lutoborski [16], one easily sees that their definition allows only the case  $\alpha_i \in \{0, 1\}$  for all  $1 \leq i \leq m$ . We remark that unless  $k_i$ s are all odd integers, these two classes of polyconvex functions do not coincide and ours is strictly larger. For example, identifying  $\mathbb{R}$  with  $\Lambda^n$ , the function  $f_1 : \Lambda^{k_1} \times \Lambda^{k_2} \rightarrow \mathbb{R}$  given by,*

$$f_1(\xi_1, \xi_2) = \langle c; \xi_1 \wedge \xi_2 \rangle \quad \text{for every } \xi_1 \in \Lambda^{k_1}, \xi_2 \in \Lambda^{k_2}$$

where  $c \in \Lambda^{(k_1+k_2)}$  is a constant form, is polyaffine in the sense of Iwaniec-Lutoborski [16] and also vectorially ext. polyaffine. However, the function  $f_2 : \Lambda^{k_1} \times \Lambda^{k_2} \rightarrow \mathbb{R}$  given by,

$$f_2(\xi_1, \xi_2) = \langle c; \xi_1 \wedge \xi_1 \rangle \quad \text{for every } \xi_1 \in \Lambda^{k_1}, \xi_2 \in \Lambda^{k_2}$$

where  $c \in \Lambda^{2k_1}$  is a constant, is vectorially ext. polyaffine, but not polyaffine in the sense of Iwaniec-Lutoborski [16], unless  $k_1$  is odd or  $2k_1 > n$ . Note that the crucial point is the self-wedge product, not the fact that  $f_2$  is independent of  $\xi_2$ .  $f_1 + f_2$  is also vectorially ext. polyaffine, but not polyaffine in the sense of Iwaniec-Lutoborski [16]. Note also that it is easy to see, by integrating by parts that  $f_1, f_2$  and  $f_1 + f_2$  are all vectorially ext. quasilinear and hence are also quasilinear in the sense of Iwaniec-Lutoborski [16]. Also, when  $m = 1$ , i.e there is only one differential form, reducing the problem to the functionals having the form  $\int_{\Omega} f(d\omega)$ , their definition of polyconvexity coincide with usual convexity. On the other hand, when  $m = 1$ , vectorial ext. polyconvexity reduces to ext. polyconvexity, which is much weaker than convexity and has been discussed in detail in [4].

### 3.3. The quasilinear case

We now prove the basic characterization theorem for vectorially ext. quasilinear functions. In the special case when  $k_i = 1$  for all  $1 \leq i \leq m$ , this immediately implies classical theorem of Ball [2] with a new proof. In a sense, this theorem also ‘explains’ the appearance of determinants and adjugates in the classical theorem. Determinants and adjugates appear as they are precisely the ‘wedge products’ in the classical case.

**Theorem 3.9** *Let  $f : \Lambda^k \rightarrow \mathbb{R}$ . The following statements are then equivalent.*

- (i)  $f$  is vectorially ext. polyaffine.
- (ii)  $f$  is vectorially ext. quasilinear.
- (iii)  $f$  is vectorially ext. one affine.
- (iv) There exist  $c_{\alpha} \in \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)$ , for every  $\alpha = (\alpha_1, \dots, \alpha_m)$  such that  $0 \leq \alpha_i \leq \lfloor \frac{n}{k_i} \rfloor$  for all  $1 \leq i \leq m$  and  $0 \leq |\mathbf{k}\alpha| \leq n$ , such that for every  $\xi \in \Lambda^k$ ,

$$f(\xi) = \sum_{\substack{\alpha, \\ 0 \leq |\mathbf{k}\alpha| \leq n}} \langle c_{\alpha}; \xi^{\alpha} \rangle.$$

**Remark 3.10** *If  $k_i = 1$  for all  $1 \leq i \leq m$ , then this theorem recovers the characterization theorem for quasilinear functions in classical vectorial calculus of variation as a special case. Indeed, let  $X \in \mathbb{R}^{m \times n}$  be a matrix, then setting  $\xi_i = \sum_{j=1}^n X_{ij} e^j$  for all  $1 \leq i \leq m$ , we recover exactly the classical results (cf. Theorem 5.20 in [8]).*

**Proof** (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii) follows from Theorem 3.6. (iv)  $\Rightarrow$  (i) is immediate from the definition of vectorial ext. polyconvexity. So we only need to show (iii)  $\Rightarrow$  (iv).

We show this by induction on  $m$ . Clearly, for  $m = 1$ , this is just the characterization theorem for ext. one affine functions, given in theorem 3.3 in [4]. We

assume the result to be true for  $m \leq p - 1$  and show it for  $m = p$ . Now since  $f$  is vectorially ext. one affine, it is separately ext. one affine and using ext. one affinity with respect to  $\xi_p$ , keeping the other variables fixed, we obtain,

$$f(\boldsymbol{\xi}) = \sum_{s=1}^{\lfloor \frac{n}{k_p} \rfloor} \langle c_s(\xi_1, \dots, \xi_{p-1}); \xi_p^s \rangle,$$

where for each  $1 \leq s \leq \lfloor \frac{n}{k_p} \rfloor$ , the functions  $c_s : \prod_{i=1}^{p-1} \Lambda^{k_i} \rightarrow \Lambda^{sk_p}$  are such that the map  $(\xi_1, \dots, \xi_{p-1}) \mapsto f(\xi_1, \dots, \xi_{p-1}, \xi_p)$  is vectorially ext. one affine for any  $\xi_p \in \Lambda^{k_p}$ . Arguing by degree of homogeneity, this implies that for each  $1 \leq s \leq \lfloor \frac{n}{k_p} \rfloor$ , every component  $c_s^I$  is vectorially ext. one affine, i.e.  $(\xi_1, \dots, \xi_{p-1}) \mapsto c_s^I(\xi_1, \dots, \xi_{p-1})$  is vectorially ext. one affine for any  $I \in \mathcal{T}_{sk_p}$ . Applying the induction hypothesis to each of these components and multiplying out, we indeed obtain the desired result. ■

**Remark 3.11** *Note that since the proof of Theorem 3.3 in [4] does not use the classical result about quasilinear functions, this really yields a new proof even in the special case of  $k_i = 1$  for all  $1 \leq i \leq m$ .*

## 4. Weak lower semicontinuity

Now we investigate the relationship between vectorial ext. quasiconvexity of the integrand and sequential weak lower semicontinuity of the integral functionals.

### 4.1. Necessary condition

**Theorem 4.1 (Necessary condition)** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded. Let  $f : \Omega \times \Lambda^{k-1} \times \Lambda^k \rightarrow \mathbb{R}$  be a Carathéodory function satisfying, for almost all  $x \in \Omega$  and for all  $(\boldsymbol{\omega}, \boldsymbol{\xi}) \in \Lambda^{k-1} \times \Lambda^k$ ,*

$$|f(x, \boldsymbol{\omega}, \boldsymbol{\xi})| \leq a(x) + b(\boldsymbol{\omega}, \boldsymbol{\xi}), \quad (1)$$

where  $a \in L^1(\mathbb{R}^n)$ ,  $b \in C(\Lambda^{k-1} \times \Lambda^k)$  is non-negative. Let the functional  $I : W^{d,\infty}(\Omega; \Lambda^{k-1}) \rightarrow \mathbb{R}$ , defined by

$$I(\boldsymbol{\omega}) := \int_{\Omega} f(x, \boldsymbol{\omega}(x), d\boldsymbol{\omega}(x)) dx, \text{ for all } \boldsymbol{\omega} \in W^{d,\infty}(\Omega; \Lambda^{k-1}),$$

be weak \* lower semicontinuous in  $W^{d,\infty}(\Omega; \Lambda^{k-1})$ . Then, for almost all  $x_0 \in \Omega$  and for all  $\boldsymbol{\omega}_0 \in \Lambda^{k-1}$ ,  $\boldsymbol{\xi}_0 \in \Lambda^k$  and  $\phi \in W^{d,\infty}(D; \Lambda^k)$ ,

$$\int_D f(x_0, \boldsymbol{\omega}_0, \boldsymbol{\xi}_0 + d\phi(x)) dx \geq f(x_0, \boldsymbol{\omega}_0, \boldsymbol{\xi}_0),$$

where  $D = (0, 1)^n \subset \mathbb{R}^n$ . In particular,  $\boldsymbol{\xi} \mapsto f(x, \boldsymbol{\omega}, \boldsymbol{\xi})$  is vectorially ext. quasiconvex for a.e  $x \in \Omega$  and for every  $\boldsymbol{\omega} \in \Lambda^{k-1}$ .

**Remark 4.2** Since  $I$  being weak  $*$  lower semicontinuous in  $W^{d,\infty}(\Omega; \mathbf{\Lambda}^{k-1})$  is a necessary condition for  $I$  to be weak lower semicontinuous in  $W^{d,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$  for any  $\mathbf{p}$ ,  $f$  being vectorially ext. quasiconvex is a necessary condition for weak lower semicontinuity in  $W^{d,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$  as well.

The proof of this result is a long but straightforward adaptation of the classical proof (due to Acerbi-Fusco [1]) for the gradient case (cf. Theorem 3.15 in [8]) and is omitted. See [25] for a detailed proof.

## 4.2. Lower semicontinuity for quasiconvex functions without lower order terms

We now turn to sufficient conditions for sequential weak lower semicontinuity. We begin by defining the appropriate growth conditions.

**Definition 4.3 (Growth condition I)** Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and let  $f : \mathbf{\Lambda}^k \rightarrow \mathbb{R}$ . Let  $\mathbf{p}$  be given.

$f$  is said to be of growth  $(\mathcal{C}_{\mathbf{p}})$ , if for every  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_m) \in \mathbf{\Lambda}^k$ ,  $f$  satisfies,

$$-\alpha \left( 1 + \sum_{i=1}^m G_i^l(\xi_i) \right) \leq f(\boldsymbol{\xi}) \leq \alpha \left( 1 + \sum_{i=1}^m G_i^u(\xi_i) \right), \quad (\mathcal{C}_{\mathbf{p}})$$

where  $\alpha > 0$  is a constant and the functions  $G_i^l$ s in the lower bound and the functions  $G_i^u$ s in the upper bound has the following form:

- If  $p_i = 1$ , then,

$$G_i^l(\xi_i) = G_i^u(\xi_i) = \alpha_i |\xi_i| \quad \text{for some constant } \alpha_i \geq 0.$$

- If  $1 < p_i < \infty$ , then,

$$G_i^l(\xi_i) = \alpha_i |\xi_i|^{q_i} \quad \text{and} \quad G_i^u(\xi_i) = \alpha_i |\xi_i|^{p_i},$$

for some  $1 \leq q_i < p_i$  and for some constant  $\alpha_i \geq 0$ .

- If  $p_i = \infty$ , then,

$$G_i^l(\xi_i) = G_i^u(\xi_i) = \eta_i (|\xi_i|).$$

for some nonnegative, continuous, increasing function  $\eta_i$ .

Now we need a lemma which is essentially an analogue of the result relating quasiconvexity with  $W^{1,p}$ -quasiconvexity in the classical case (see Ball-Murat [3]) and is proved in a similar manner.

**Lemma 4.4 ( $W^{d,\mathbf{p}}$ -quasiconvexity)** Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth. Let  $f : \mathbf{\Lambda}^k \rightarrow \mathbb{R}$  satisfy, for every  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_m) \in \mathbf{\Lambda}^k$ ,

$$f(\boldsymbol{\xi}) \leq \alpha \left( 1 + \sum_{i=1}^m G_i^u(\xi_i) \right),$$

where  $\alpha > 0$  is a constant and the functions  $G_i^u$ s are as defined above, with a given  $\mathbf{p}$ . Then the following are equivalent.

(i)  $f$  is vectorially ext. quasiconvex.

(ii) For every  $\mathbf{q}$  such that  $p_i \leq q_i \leq \infty$  for every  $i = 1, \dots, m$ , we have,

$$\frac{1}{\text{meas}(\Omega)} \int_{\Omega} f(\boldsymbol{\xi} + d\boldsymbol{\phi}) \geq f(\boldsymbol{\xi}),$$

for every  $\boldsymbol{\phi} \in W_T^{d,\mathbf{q}}(\Omega; \mathbf{\Lambda}^{k-1})$ .

**Proof** For any  $\boldsymbol{\phi} \in W_T^{d,\mathbf{q}}(\Omega; \mathbf{\Lambda}^{k-1})$ , we find  $\{\boldsymbol{\phi}^\nu\} \subset C_c^\infty(\Omega; \mathbf{\Lambda}^{k-1})$  such that  $\{\boldsymbol{\phi}^\nu\}$  is uniformly bounded in  $W^{d,p}(\Omega; \mathbf{\Lambda}^{k-1})$  and  $d\boldsymbol{\phi}^\nu \rightarrow d\boldsymbol{\phi}$  for a.e  $x \in \Omega$ . Since  $f$  is continuous, applying Fatou's lemma we obtain,

$$\begin{aligned} \liminf_{\nu \rightarrow \infty} \int_{\Omega} \left[ \alpha \left( 1 + \sum_{i=1}^m G_i^u(\phi_i^\nu) \right) - f(\boldsymbol{\xi} + d\boldsymbol{\phi}^\nu) \right] \\ \geq \int_{\Omega} \left[ \alpha \left( 1 + \sum_{i=1}^m G_i^u(\phi_i) \right) - f(\boldsymbol{\xi} + d\boldsymbol{\phi}) \right]. \end{aligned}$$

Since  $\lim_{\nu \rightarrow \infty} \int_{\Omega} \left( 1 + \sum_{i=1}^m G_i^u(\phi_i^\nu) \right) = \int_{\Omega} \left( 1 + \sum_{i=1}^m G_i^u(\phi_i) \right)$ , by dominated convergence theorem, vectorial ext. quasiconvexity of  $f$  yields the result. ■

We now generalize an elementary proposition from convex analysis in this setting. The proof is straightforward and is just a matter of iterating the argument in the proof of Proposition 2.32 in [8]. So we provide only a brief sketch.

**Proposition 4.5** Let  $\mathbf{p} = (p_1, \dots, p_m)$  with  $1 \leq p_i < \infty$  for all  $1 \leq i \leq m$  and let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth. Let  $f : \mathbf{\Lambda}^k \rightarrow \mathbb{R}$  be separately convex and satisfy, for every  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_m) \in \mathbf{\Lambda}^k$ ,

$$|f(\boldsymbol{\xi})| \leq \alpha \left( 1 + \sum_{i=1}^m |\xi_i|^{p_i} \right),$$

where  $\alpha > 0$  is a constant. Then there exist constants  $\beta_i > 0, i = 1, \dots, m$  such that

$$|f(\boldsymbol{\xi}) - f(\boldsymbol{\zeta})| \leq \sum_{i=1}^m \beta_i \left( 1 + \sum_{j=1}^m \left( |\xi_j|^{\frac{p_j}{p'_i}} + |\zeta_j|^{\frac{p_j}{p'_i}} \right) \right) |\xi_i - \zeta_i|,$$

for every  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_m), \boldsymbol{\zeta} = (\zeta_1, \dots, \zeta_m) \in \mathbf{\Lambda}^k$ , where  $p'_i$  is the Hölder conjugate of exponent of  $p_i$ .

**Proof** We know that for any convex function  $g : \mathbb{R} \rightarrow \mathbb{R}$ , we have, for every  $\lambda > \mu > 0$  and for every  $t \in \mathbb{R}$ ,

$$\frac{g(t \pm \mu) - g(t)}{\mu} \leq \frac{g(t \pm \lambda) - g(t)}{\lambda}.$$

Now let

$$g_i^I(t) := f(t, \tilde{\boldsymbol{\xi}}^{i,I}),$$

where  $\tilde{\boldsymbol{\xi}}^{i,I}$  is the vector whose components are precisely all the components of  $\boldsymbol{\xi}$  except  $\xi_i^I$ . Choosing  $\mu = \zeta_i^I - \xi_i^I$  and  $\lambda = 1 + |\xi_i| + |\zeta_i| + \sum_{j \neq i} |\xi_j|^{\frac{p_j}{p_i}}$ , we obtain,

$$g(\zeta_i^I) - g(\xi_i^I) = g(\xi_i^I + \mu) - g(\xi_i^I) \leq \mu \frac{g(\xi_i^I + \lambda) - g(\xi_i^I)}{\lambda}.$$

The same can be done for  $g(\xi_i^I) - g(\zeta_i^I)$  as well. Now, using the growth conditions and writing  $f(\boldsymbol{\xi}) - f(\boldsymbol{\zeta})$  as a sum of differences, the estimate follows. ■

**Remark 4.6** *A similar looking inequality was claimed in Iwaniec-Lutoborski ([16], (10.3)), which however is easily seen to be false. Take for example, the function  $W : \Lambda^k \times \Lambda^{n-k} \rightarrow \Lambda^n$ , defined by  $W(\xi, \eta) = \xi \wedge \eta$ . It is easy to see that  $|W(\xi, \eta)| \leq \tilde{C} (|\xi|^2 + |\eta|^2)$ , for some constant  $\tilde{C} > 0$ . Now, choose  $\xi_1, \xi_2 \in \Lambda^k$  and  $\eta \in \Lambda^{n-k}$  such that  $(\xi_1 - \xi_2) \wedge \eta \neq 0$ . Now, for any  $\lambda \in \mathbb{R}$ , applying the inequality for the points  $(\xi_1, \lambda\eta)$  and  $(\xi_2, \lambda\eta)$  gives*

$$|\lambda| |(\xi_1 - \xi_2) \wedge \eta| \leq C (|\xi_1| + |\xi_2|)^{(2-1)} |\xi_1 - \xi_2|.$$

Letting  $|\lambda| \rightarrow \infty$ , it is clear that no such constant  $C > 0$  can exist.

This proposition can be easily generalized to cover the case where some of the  $p_i$ s can be  $\infty$  as well.

**Proposition 4.7** *Let  $0 \leq r \leq m$  be an integer. Let  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 \leq p_i < \infty$  for all  $1 \leq i \leq r$  and  $p_{r+1} = \dots = p_m = \infty$ . Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth. Let  $f : \Lambda^{\mathbf{k}} \rightarrow \mathbb{R}$  be separately convex and satisfy, for every  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_m) \in \Lambda^{\mathbf{k}}$ ,*

$$|f(\boldsymbol{\xi})| \leq \alpha \left( 1 + \sum_{i=1}^r |\xi_i|^{p_i} + \sum_{i=r+1}^m \eta_i (|\xi_i|) \right),$$

where  $\alpha > 0$  is a constant and  $\eta_i$ s are some nonnegative, continuous, increasing functions. Let

$$Q := [-C, C]^{\sum_{i=r+1}^m \binom{n}{k_i}} \subset \prod_{i=r+1}^m \Lambda^{k_i}$$

be a cube and define

$$K := \Lambda^{k_1} \times \dots \times \Lambda^{k_r} \times Q.$$

Then there exist constants  $\beta_i = \beta_i(K) > 0, i = 1, \dots, m$  such that

$$\begin{aligned} |f(\boldsymbol{\xi}) - f(\boldsymbol{\zeta})| &\leq \sum_{i=1}^r \beta_i \left( 1 + \sum_{j=1}^r \left( |\xi_j|^{\frac{p_j}{p_i}} + |\zeta_j|^{\frac{p_j}{p_i}} \right) \right) |\xi_i - \zeta_i| \\ &\quad + \sum_{i=r+1}^m \beta_i \left( 1 + \sum_{j=1}^r (|\xi_j|^{p_j} + |\zeta_j|^{p_j}) \right) |\xi_i - \zeta_i|, \quad (2) \end{aligned}$$

for every  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_m), \boldsymbol{\zeta} = (\zeta_1, \dots, \zeta_m) \in K$ , where  $p'_i$  is the Hölder conjugate of exponent of  $p_i$ .

**Remark 4.8** Clearly, when  $r = m$ , the last term and when  $r = 0$ , the first term is not present in the inequality (2). Also the assumption on the naming of the variable is clearly not a restriction at all, since we can always relabel the variables.

**Proof** We split  $f(\boldsymbol{\xi}) - f(\boldsymbol{\zeta})$  as a sum of  $f(\boldsymbol{\xi}) - f(\zeta_1, \dots, \zeta_r, \xi_{r+1}, \dots, \xi_m)$  and  $f(\zeta_1, \dots, \zeta_r, \xi_{r+1}, \dots, \xi_m) - f(\boldsymbol{\zeta})$ . Now the first term is estimated using proposition 4.5, using the fact that  $\eta_i$ s are bounded on  $[-C, C]$  for  $r+1 \leq i \leq m$ . For the second term, we note that for any convex function  $g : \mathbb{R} \rightarrow \mathbb{R}$ , for any  $x, y \in [-C, C]$ , we have the estimate  $|g(x) - g(y)| \leq 2 \left( \max_{|t| \leq C+1} |g(t)| \right) |x - y|$ . Using separate convexity along with this estimate, we obtain the result. ■

Now we need a decomposition lemma, which lets us replace a uniformly bounded sequence of exterior derivatives in  $L^p$  by a sequence with equiintegrable one, upto sets of small measure.

**Lemma 4.9** Let  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 < p_i < \infty$  for all  $1 \leq i \leq m$ . Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth and

$$\boldsymbol{\omega}^r \rightharpoonup \boldsymbol{\omega} \quad \text{in } W^{d, \mathbf{p}}(\Omega; \boldsymbol{\Lambda}^{k-1}),$$

Then there exist a subsequence  $\{\boldsymbol{\omega}^s\}$  and a sequence  $\{\mathbf{v}^s\} \subset L^p(\Omega; \boldsymbol{\Lambda}^k)$  such that  $\{v_i^s\}^{p_i}$  is equiintegrable and

$$v_i^s \rightharpoonup d\omega_i \quad \text{in } L^{p_i}(\Omega, \Lambda^{k_i})$$

for all  $1 \leq i \leq m$  and

$$\lim_{s \rightarrow \infty} \text{meas } \Omega_s = 0,$$

where

$$\Omega_s := \{x \in \Omega : v_i^s(x) \neq d\omega_i^s(x) \text{ for some } i \in \{1, \dots, m\}\}.$$

**Proof** Since  $1 < p_i < \infty$  for all  $1 \leq i \leq m$ , for every  $r$ , we find  $\boldsymbol{\beta}^r \in W^{1, \mathbf{p}}(\Omega; \boldsymbol{\Lambda}^k)$ , such that,

$$\begin{cases} d\boldsymbol{\beta}^r = d\boldsymbol{\omega}^r & \text{and } \delta\boldsymbol{\beta}^r = 0 & \text{in } \Omega, \\ \nu \lrcorner \boldsymbol{\beta}^r = 0 & & \text{on } \partial\Omega, \end{cases}$$

and there exists  $c_1 > 0$  such that

$$\|\boldsymbol{\beta}^r\|_{W^{1, \mathbf{p}}} \leq c_1 \|d\boldsymbol{\omega}^r\|_{L^p}.$$

Therefore, up to the extraction of a subsequence which we do not relabel, there exists  $\boldsymbol{\beta} \in W^{1, \mathbf{p}}(\Omega; \boldsymbol{\Lambda}^{k-1})$  such that

$$\boldsymbol{\beta}^r \rightharpoonup \boldsymbol{\beta} \quad \text{in } W^{1, \mathbf{p}}(\Omega; \boldsymbol{\Lambda}^{k-1}).$$

Using a well-known decomposition lemma in calculus of variations (cf. Lemma 2.15 in [13]) to find a subsequence  $\{\beta^s\}$  and a sequence  $\{\mathbf{u}^s\} \subset W^{1,\mathbf{p}}(\Omega; \Lambda^{k-1})$  such that  $\{|\nabla u_i^s|^{p_i}\}$  is equiintegrable for all  $1 \leq i \leq m$  and

$$\mathbf{u}^s \rightharpoonup \beta \text{ in } W^{1,\mathbf{p}}(\Omega, \Lambda^{k-1})$$

and  $\lim_{\nu \rightarrow \infty} \text{meas} \Omega'_s = 0$  where  $\Omega'_s = \bigcup_{i=1}^m \Omega_s^i$  with  $\Omega_s^i := \{x \in \Omega : u_i^s(x) \neq \beta_i^s(x)\} \cup \{x \in \Omega : \nabla u_i^s(x) \neq \nabla \beta_i^s(x)\}$ , for all  $1 \leq i \leq r$ . Setting  $\mathbf{v}^s = d\mathbf{u}^s$  proves the lemma. ■

**Remark 4.10** (i) *In contrast to the classical case, when  $k_i > 1$  for some  $i$ , this lemma does not allow us to replace the sequence  $\{\omega^s\}$  up to a set of small measure.*

(ii) *The hypothesis of the lemma can be weakened a bit. The conclusion of the lemma still holds if we only require  $d\omega^r \rightharpoonup d\omega$  in  $L^{\mathbf{p}}(\Omega; \Lambda^k)$  with the same proof.*

With lemma 4.4 at hand, using De Giorgi's slicing technique [9] (see also [1],[20],[21]) as in the proof of its analogue in classical case (cf. Lemma 8.7 in [8]), we can deduce the following lemma.

**Lemma 4.11** *Let  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 \leq p_i \leq \infty$  for all  $1 \leq i \leq m$ . Let  $D \subset \mathbb{R}^n$  be a cube parallel to the axes. Let  $\xi = (\xi_1, \dots, \xi_m) \in \Lambda^k$ . Let  $f : \Lambda^k \rightarrow \mathbb{R}$  be vectorially ext. quasiconvex satisfying the growth condition  $(C_{\mathbf{p}})$ . Let*

$$\phi^\nu \rightharpoonup 0 \quad \text{in } W^{d,\mathbf{p}}(D; \Lambda^{k-1}) \quad (\overset{*}{\rightharpoonup} \text{ if } p_i = \infty),$$

together with

$$\phi_i^\nu \rightarrow 0 \quad \text{in } L^1(D; \Lambda^{k_i-1}) \text{ if } p_i = 1.$$

Then

$$\liminf_{\nu \rightarrow \infty} \int_D f(\xi + d\phi^\nu) \geq f(\xi) \text{meas}(D).$$

**Proof** Note that by solving a boundary value problem as in the previous lemma, we can assume  $\phi_i^\nu \rightharpoonup 0$  in  $W^{1,p_i}$  for all  $i$  with  $1 < p_i < \infty$ . By compactness of the embedding, this implies  $\phi_i^\nu \rightarrow 0$  in  $L^{p_i}(D; \Lambda^{k_i-1})$ . If  $p_i = \infty$ , then by solving the same boundary value problem for some  $n < q < \infty$ , we can assume  $\phi_i^\nu \rightharpoonup 0$  in  $W^{1,q}(D; \Lambda^{k_i-1})$ . Compact embedding result then implies  $\phi_i^\nu \rightarrow 0$  in  $L^\infty(D; \Lambda^{k_i-1})$ . Thus, we can assume that

$$d\phi^\nu \rightharpoonup 0 \quad \text{in } L^{\mathbf{p}}(D; \Lambda^k) \quad (\overset{*}{\rightharpoonup} \text{ if } p_i = \infty),$$

and

$$\phi^\nu \rightarrow 0 \quad \text{in } L^{\mathbf{p}}(D; \Lambda^{k-1}).$$

Now we choose a nested sequence of cubes, each having sides parallel to the axes and each being compactly contained in the next. More precisely, we write

$D^0 \subset D^1 \subset \dots \subset D^\mu \subset \dots \subset D^M \subset D$ , where  $M \geq 1$  is a positive integer,  $R := \frac{1}{2} \text{dist}(D^0, \partial D)$  and  $\text{dist}(D^0, \partial D^\mu) = \frac{\mu}{M} R$ , for all  $1 \leq \mu \leq M$ . Then we choose  $\theta_\mu \in C_c^\infty(D)$ ,  $1 \leq \mu \leq M$ , such that

$$0 \leq \theta_\mu \leq 1, \quad |\nabla \theta_\mu| \leq \frac{aM}{R}, \quad \theta_\mu = \begin{cases} 1 & \text{if } x \in D^{\mu-1} \\ 0 & \text{if } x \in D \setminus D^\mu, \end{cases}$$

where  $a > 0$  is a constant. We now set  $\omega_\mu^\nu = \theta_\mu \phi^\nu \in W_T^{d,p}(\Omega; \mathbf{\Lambda}^{k-1})$  and use lemma 4.4 to obtain,

$$\begin{aligned} \int_D f(\boldsymbol{\xi}) &\leq \int_D f(\boldsymbol{\xi} + d\omega_\mu^\nu(x)) \\ &= \int_{D \setminus D^\mu} f(\boldsymbol{\xi}) + \int_{D^\mu \setminus D^{\mu-1}} f(\boldsymbol{\xi} + d\omega_\mu^\nu(x)) + \int_{D^{\mu-1}} f(\boldsymbol{\xi} + d\phi^\nu(x)). \end{aligned}$$

This implies,

$$\int_{D^\mu} f(\boldsymbol{\xi}) \leq \int_D f(\boldsymbol{\xi} + d\phi^\nu(x)) - \int_{D \setminus D^{\mu-1}} f(\boldsymbol{\xi} + d\phi^\nu(x)) + \int_{D^\mu \setminus D^{\mu-1}} f(\boldsymbol{\xi} + d\omega_\mu^\nu(x))$$

Using the growth conditions and enlarging the domain of integration to  $D \setminus D^0$ , it is easy to see that the integral over  $D \setminus D^{\mu-1}$  can be made arbitrarily small by choosing  $R$  small enough. Growth conditions, bounds for  $\theta_\mu, \nabla \theta_\mu$  and uniform bounds for  $\phi_i^\nu$  in  $W^{d,\infty}$  if  $p_i = \infty$  gives,

$$\begin{aligned} &\left| \int_{D^\mu \setminus D^{\mu-1}} f(\boldsymbol{\xi} + d\omega_\mu^\nu(x)) \right| \\ &\leq \alpha' \int_{D^\mu \setminus D^{\mu-1}} \left( 1 + \sum_{\substack{i \\ p_i \neq \infty}} \left( \gamma_i |\xi_i|^{p_i} + \gamma'_i |d\phi_i^\nu|^{p_i} + \gamma''_i \left( \frac{aM}{R} \right)^{p_i} |\phi_i^\nu|^{p_i} \right) \right). \end{aligned}$$

Now we sum over  $1 \leq \mu \leq M$  and since the sum of the integrals over  $D^\mu \setminus D^{\mu-1}$  telescopes, we get, after dividing by  $M$ ,

$$\begin{aligned} &\int_D f(\boldsymbol{\xi} + d\phi^\nu(x)) - \left( \frac{1}{M} \sum_{\mu=1}^M \text{meas}(D^\mu) \right) f(\boldsymbol{\xi}) \\ &\geq -\varepsilon - \frac{\alpha''}{M} \int_{D^M \setminus D^0} \left( 1 + \sum_{\substack{i \\ p_i \neq \infty}} \left( \gamma'_i |d\phi_i^\nu|^{p_i} + \gamma''_i \left( \frac{aM}{R} \right)^{p_i} |\phi_i^\nu|^{p_i} \right) \right). \end{aligned}$$

We let  $\nu \rightarrow \infty$ . Using the fact that  $\phi_i^\nu \rightarrow 0$  in  $L^{p_i}$ , choosing  $R$  small enough, we get,

$$\int_D f(\boldsymbol{\xi} + d\phi^\nu(x)) - \left( \frac{1}{M} \sum_{\mu=1}^M \text{meas}(D^\mu) \right) f(\boldsymbol{\xi}) \geq -\varepsilon - \frac{\alpha'''}{M}.$$

Since  $\text{meas}(D_0) \leq \frac{1}{M} \sum_{\mu=1}^M \text{meas}(D^\mu) \leq \text{meas}(D)$ , letting  $M \rightarrow \infty$  proves the lemma. ■

**Remark 4.12** (i) Since the lemma is essentially about changing the boundary values of a sequence up to a set of small measure, we can replace the additional assumption of strong convergence  $\phi_i^\nu \rightarrow 0$  in  $L^1(D; \Lambda^{k_i-1})$  if  $p_i = 1$ , by the assumption that  $\phi_i^\nu \subset W_T^{d,1}(D; \Lambda^{k_i-1})$  for  $p_i = 1, k_i > 1$ . In that case, we set  $\omega_{\mu,i}^\nu = \phi_i^\nu$  if  $p_i = 1$  and  $k_i > 1$  and  $\omega_{\mu,i}^\nu = \theta_\mu \phi_i^\nu$  otherwise. Rest of the proof remains exactly the same as above.

(ii) If both  $k_i = p_i = 1$ , then the extra assumption of strong convergence is automatically satisfied, thanks to compactness of the embedding.

(iii) The strong convergence assumption in  $L^1$  or the assumption of the same boundary values, is quite common already in the classical calculus of variations if we weaken the assumption of weak convergence of the gradients, see for example [11], [12], also [18], [19].

**Theorem 4.13** Let  $0 \leq r \leq m$  be an integer.  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 \leq p_i < \infty$  for all  $1 \leq i \leq r$  and  $p_{r+1} = \dots = p_m = \infty$ . Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth. Let  $f : \Lambda^k \rightarrow \mathbb{R}$  be vectorially ext. quasiconvex, satisfying the growth condition  $(\mathcal{C}_{\mathbf{p}})$ . Let

$$\omega^\nu \rightharpoonup \omega \quad \text{in } W^{d,\mathbf{p}}(D; \Lambda^{k-1}) \quad (\overset{*}{\rightharpoonup} \text{ if } p_i = \infty),$$

together with,

$$\text{if } p_i = 1, \text{ but } k_i \neq 1, \quad \begin{cases} \text{either } \omega_i^\nu \rightarrow \omega_i & \text{in } L^1(D; \Lambda^{k_i-1}) \\ \text{or } \omega_i^\nu - \omega_i \in W_T^{d,1}(D; \Lambda^{k_i-1}). \end{cases}$$

Then

$$\liminf_{\nu \rightarrow \infty} \int_{\Omega} f(d\omega^\nu) \geq \int_{\Omega} f(d\omega).$$

**Remark 4.14** The theorem allows  $p_i = 1$  for some (or all)  $i$ , with the mentioned additional assumption if  $k_i > 1$  as well. However, even for  $m = 1$  and  $k = 1$ , this is not enough for minimization problems in  $W^{1,1}$ , as is well-known in the classical calculus of variations. Since  $W^{1,1}$  is non-reflexive, minimizing sequences, even if uniformly bounded in  $W^{1,1}$  norm, need not weakly converge to a weak limit in  $W^{1,1}$ .

**Proof** We need to show that

$$\liminf_{\nu \rightarrow \infty} I(\omega^\nu) \geq I(\omega),$$

for any sequence

$$\omega^\nu \rightharpoonup \omega \quad \text{in } W^{d,\mathbf{p}}(\Omega; \Lambda^{k-1}) \quad (\overset{*}{\rightharpoonup} \text{ if } p_i = \infty).$$

We divide the proof into several steps.

*Step 1* First we show that it is enough to prove the theorem under the additional hypotheses that  $|d\omega'_i|^{p_i}$  is equiintegrable for every  $1 \leq i \leq r$ . Suppose we have shown the theorem with this additional assumption. Then for any sequence

$$\omega^\nu \rightharpoonup \omega \quad \text{in } W^{d,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1}),$$

we first restrict our attention to a subsequence, still denoted by  $\{\omega^\nu\}$  such that the limit inferior is realized, i.e

$$L := \liminf_{\nu \rightarrow \infty} \int_{\Omega} f(\mathbf{d}\omega^\nu(x)) \, dx = \lim_{\nu \rightarrow \infty} \int_{\Omega} f(\mathbf{d}\omega^\nu(x)) \, dx.$$

Now we use lemma 4.9 to find, passing to a subsequence if necessary, a sequence  $\{v'_i\} \subset L^{p_i}$  such that  $\{|v'_i|^{p_i}\}$  is equiintegrable and

$$v'_i \rightharpoonup d\omega_i \text{ in } L^{p_i}(\Omega, \Lambda^{k_i})$$

and

$$\lim_{\nu \rightarrow \infty} \text{meas } \Omega_\nu = 0,$$

where

$$\Omega_\nu := \{x \in \Omega : v'_i(x) \neq d\omega'_i(x)\},$$

for all  $1 \leq i \leq r$  with  $p_i > 1$ . Note also that if  $p_i = 1$ , we can take  $v'_i = d\omega'_i$ , since equiintegrability follows from the weak convergence.

Now, we have, using  $(\mathcal{C}_\mathbf{p})$ ,

$$\begin{aligned} \int_{\Omega} f(\mathbf{d}\omega^\nu(x)) \, dx &\geq \int_{\Omega \setminus \Omega_\nu} f(v'_1(x), \dots, v'_r(x), d\omega'_{r+1}(x), \dots, d\omega'_{r+1}(x)) \, dx \\ &\quad - \alpha \int_{\Omega_\nu} \left( C + \sum_{i=1}^r |d\omega'_i|^{\tilde{q}_i} \right), \end{aligned}$$

where  $C$  is a positive constant, depending on the uniform  $L^\infty$  bounds of  $\{d\omega'_i\}$  and  $\eta_i$ s in  $(\mathcal{C}_\mathbf{p})$ , for all  $r+1 \leq i \leq m$  and  $\tilde{q}_i = q_i$ , as given in  $(\mathcal{C}_\mathbf{p})$ , if  $p_i > 1$  and  $\tilde{q}_i = 1$  if  $p_i = 1$  for any  $1 \leq i \leq m$ .

Using  $(\mathcal{C}_\mathbf{p})$  again, we obtain,

$$\begin{aligned} \int_{\Omega} f(\mathbf{d}\omega^\nu(x)) &\geq \int_{\Omega} f(v'_1, \dots, v'_r, d\omega'_{r+1}, \dots, d\omega'_{r+1}) \\ &\quad - \alpha \int_{\Omega_\nu} \left( C + \sum_{i=1}^r (|d\omega'_i|^{\tilde{q}_i} + |v'_i|^{p_i}) \right). \end{aligned}$$

Now we have  $\lim_{\nu \rightarrow \infty} \text{meas } \Omega_\nu = 0$ ,  $\{|v'_i|^{p_i}\}$  is equiintegrable by construction and  $\{|d\omega'_i|^{\tilde{q}_i}\}$  is equiintegrable since  $\tilde{q}_i = q_i < p_i$  if  $p_i > 1$  and  $\tilde{q}_i = 1$  if  $p_i = 1$ .

Using these facts, we obtain,

$$\begin{aligned} L &= \lim_{\nu \rightarrow \infty} \int_{\Omega} f(\mathbf{d}\omega^{\nu}(x)) \, dx \geq \liminf_{\nu \rightarrow \infty} \int_{\Omega} f(v_1^{\nu}, \dots, v_r^{\nu}, d\omega_{r+1}^{\nu}, \dots, d\omega_{r+1}^{\nu}) \\ &\geq \int_{\Omega} f(\mathbf{d}\omega(x)) \, dx, \end{aligned}$$

by hypotheses. This proves our claim.

*Step 2* Now by Step 1, we can assume, in addition that  $|d\omega_i^{\nu}|^{p_i}$  is equiintegrable for every  $1 \leq i \leq r$ . Now we approximate  $\Omega$  by a union of cubes  $D_s$  with sides parallel to the axes and whose edge length is  $\frac{1}{h}$ , where  $h$  is an integer. We denote this union by  $H_h$  and choose  $h$  large enough such that

$$\text{meas}(\Omega - H_h) \leq \delta \quad \text{where } H_h := \bigcup D_s.$$

Also, we define the average of  $d\omega_i$  over each of the cubes  $D_s$  to be,

$$\xi_s^i := \frac{1}{\text{meas}(D_s)} \int_{D_s} d\omega_i \in \Lambda^{k_i}.$$

Also, let  $\xi_s := (\xi_s^1, \dots, \xi_s^m)$  and  $\xi(x) := \xi_s \chi_{D_s}(x)$  for every  $x \in H_h$ . Since as the size of the cubes shrink to zero,  $d\omega_i$  converges to  $\xi_i$  in  $L^{p_i}(\Omega; \Lambda^{k_i})$  for each  $1 \leq i \leq r$ , we obtain, by choosing  $h$  large enough,

$$\left( \sum_s \int_{D_s} |d\omega_i - \xi_s^i|^{p_i} \right)^{\frac{1}{p_i}} \leq C_1 \epsilon, \quad (3)$$

for every  $1 \leq i \leq r$ . Also, by the same argument, we obtain, by choosing  $h$  large enough,

$$\sum_s \int_{D_s} |d\omega_i - \xi_s^i| \leq C_2 \epsilon, \quad (4)$$

for every  $r+1 \leq i \leq m$ .

Now consider

$$\begin{aligned} I(\omega^{\nu}) - I(\omega) &= \int_{\Omega} [f(\mathbf{d}\omega^{\nu}(x)) - f(\mathbf{d}\omega(x))] \, dx \\ &= I_1 + I_2 + I_3 + I_4, \end{aligned}$$

where

$$\begin{aligned} I_1 &:= \int_{\Omega - H_h} [f(\mathbf{d}\omega^{\nu}(x)) - f(\mathbf{d}\omega(x))] \, dx, \\ I_2 &:= \sum_s \int_{D_s} [f(\mathbf{d}\omega + (\mathbf{d}\omega^{\nu} - \mathbf{d}\omega)) - f(\xi_s + (\mathbf{d}\omega^{\nu} - \mathbf{d}\omega))] \, dx, \\ I_3 &:= \sum_s \int_{D_s} [f(\xi_s + (\mathbf{d}\omega^{\nu} - \mathbf{d}\omega)) - f(\xi_s)] \, dx, \\ I_4 &:= \sum_s \int_{D_s} [f(\xi_s) - f(\mathbf{d}\omega)] \, dx. \end{aligned}$$

Now we need to estimate  $I_1, I_2$  and  $I_4$ . The estimate of  $I_1$  is similar to the classical case using the growth condition  $(\mathcal{C}_p)$ . We only show the estimate on  $I_2$ , as the estimate of  $I_4$  can be proved similarly.

*Estimation of  $I_2$ :* Since  $f$  is vectorially ext. quasiconvex, it is separately convex and since both  $\{d\omega_i + (d\omega_i^\nu - d\omega_i)\}$  and  $\{\xi_s^i + (d\omega_i^\nu - d\omega_i)\}$  is uniformly bounded in  $L^\infty(\Omega; \Lambda^{k_i})$  for every  $r+1 \leq i \leq m$ , using proposition 4.7, we have,

$$\begin{aligned} |I_2| \leq & \sum_s \int_{D_s} \sum_{i=1}^r \beta_i \left( 1 + \sum_{j=1}^r \left( |d\omega_j^\nu|^{\frac{p_j}{p_i}} + |\xi_s^j + (d\omega_j^\nu - d\omega_j)|^{\frac{p_j}{p_i}} \right) \right) |d\omega_i - \xi_s^i| \\ & + \sum_s \int_{D_s} \sum_{i=r+1}^m \beta_i \left( 1 + \sum_{j=1}^r \left( |d\omega_j^\nu|^{p_j} + |\xi_s^j + (d\omega_j^\nu - d\omega_j)|^{p_j} \right) \right) |d\omega_i - \xi_s^i| \end{aligned}$$

The terms in the first sum can be easily estimated by using Hölder inequality and the estimate (3). Note also that the exponents  $\frac{p_j}{p_i}$  are the precise exponents for this to work. For the second sum, we have, for some positive constants  $\tilde{\beta}_i$ ,

$$\begin{aligned} & \sum_s \int_{D_s} \sum_{i=r+1}^m \beta_i \left( 1 + \sum_{j=1}^r \left( |d\omega_j^\nu|^{p_j} + |\xi_s^j + (d\omega_j^\nu - d\omega_j)|^{p_j} \right) \right) |d\omega_i - \xi_s^i| \\ & \leq \sum_s \int_{D_s} \sum_{i=r+1}^m \tilde{\beta}_i \left( 1 + \sum_{j=1}^r \left( |d\omega_j^\nu|^{p_j} + |d\omega_j - \xi_s^j|^{p_j} \right) \right) |d\omega_i - \xi_s^i|. \end{aligned}$$

Now the terms of the form

$$\sum_s \int_{D_s} \tilde{\beta}_i |d\omega_i - \xi_s^i|$$

can be easily estimated using estimate (4). For the other terms, for any  $i, j$ ,  $r+1 \leq i \leq m$  and  $1 \leq j \leq r$ , we have,

$$\sum_s \int_{D_s} \tilde{\beta}_i |d\omega_j - \xi_s^j|^{p_j} |d\omega_i - \xi_s^i| \leq 2\tilde{\beta}_i \|d\omega_i\|_{L^\infty(\Omega)} \sum_s \int_{D_s} |d\omega_j - \xi_s^j|^{p_j}. \quad (5)$$

Using the estimate (3), these terms can be made as small as we please by choosing  $h$  large enough. Now we estimate the terms of the type

$$\sum_s \int_{D_s} \tilde{\beta}_i |d\omega_j^\nu|^{p_j} |d\omega_i - \xi_s^i|.$$

Since  $\{|d\omega_j^\nu|^{p_j}\}$  is uniformly bounded in  $L^1$  and is equiintegrable, we know,

$$\lim_{M \rightarrow \infty} \sup_\nu \int_{\Omega \cap \{|d\omega_j^\nu|^{p_j} > M\}} |d\omega_j^\nu|^{p_j} = 0.$$

This implies, for any  $\epsilon > 0$ , there exists  $M = M(\epsilon)$  such that

$$\int_{\Omega \cap \{|d\omega_j^\nu|^{p_j} > M\}} |d\omega_j^\nu|^{p_j} < \frac{\epsilon}{2\tilde{\beta}_i \|d\omega_i\|_{L^\infty(\Omega)}} \text{ for all } \nu.$$

Thus, we have, for any  $i, j$ ,  $r+1 \leq i \leq m$  and  $1 \leq j \leq r$ ,

$$\begin{aligned} & \sum_s \int_{D_s} \tilde{\beta}_i |d\omega_j^\nu|^{p_j} |d\omega_i - \xi_s^i| \\ &= \int_{H_h \cap \{|d\omega_j^\nu|^{p_j} > M\}} \tilde{\beta}_i |d\omega_j^\nu|^{p_j} |d\omega_i - \xi_s^i| + \int_{H_h \cap \{|d\omega_j^\nu|^{p_j} \leq M\}} \tilde{\beta}_i |d\omega_j^\nu|^{p_j} |d\omega_i - \xi_s^i| \\ &\leq \epsilon + \tilde{\beta}_i M \sum_s \int_{D_s} |d\omega_i - \xi_s^i|. \end{aligned}$$

Estimate (4) concludes the argument.

Using all the estimates and taking the limit  $\nu \rightarrow \infty$ , we obtain,

$$\begin{aligned} \liminf_{\nu \rightarrow \infty} I(\omega^\nu) - I(\omega) &\geq -(C_{I_1} + C_{I_3} + C_{I_4})\epsilon \\ &\quad + \sum_s \liminf_{\nu \rightarrow \infty} \int_{D_s} [f(\xi_s + (d\omega^\nu - d\omega)) - f(\xi_s)] dx. \end{aligned}$$

Since

$$d\omega^\nu - d\omega \rightharpoonup 0 \quad \text{in } W^{d,p}(D_s; \Lambda^{k-1})$$

and either

$$\omega_i^\nu \rightarrow \omega_i \quad \text{in } L^1(D; \Lambda^{k_i-1}) \quad \text{or} \quad \omega_i^\nu - \omega_i \in W_T^{d,1}(D; \Lambda^{k_i-1}),$$

if  $p_i = 1$ , but  $k_i \neq 1$ , for every  $s$ , using lemma 4.11, remark 4.12(i) and the fact that  $\epsilon$  is arbitrary, we have finished the proof of the theorem. ■

### 4.3. Lower semicontinuity for general quasiconvex functions

We first show that the explicit dependence on  $x$ , but no explicit dependence on  $\omega$  for a vectorially ext. quasiconvex functions can be handled in the standard way. We start by defining the growth conditions that we need for this case.

**Definition 4.15 (Growth conditions II)** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded. Let  $f : \Omega \times \Lambda^k \rightarrow \mathbb{R}$  be a Carathéodory function.*

*$f$  is said to be of growth  $(\mathcal{C}_p^x)$ , if, for almost every  $x \in \Omega$  and for every  $\xi = (\xi_1, \dots, \xi_m) \in \Lambda^k$ ,  $f$  satisfies,*

$$-\beta(x) - \sum_{i=1}^m G_i^l(\xi_i) \leq f(x, \xi) \leq \beta(x) + \sum_{i=1}^m G_i^u(\xi_i), \quad (\mathcal{C}_p^x)$$

where  $\beta \in L^1(\Omega)$  is nonnegative and the functions  $G_i^l$ 's in the lower bound and the functions  $G_i^u$ 's in the upper bound has the following form:

- If  $p_i = 1$ , then,

$$G_i^l(\xi_i) = G_i^u(\xi_i) = \alpha_i |\xi_i| \quad \text{for some constant } \alpha_i \geq 0.$$

- If  $1 < p_i < \infty$ , then,

$$G_i^l(\xi_i) = \alpha_i |\xi_i|^{q_i} \quad \text{and} \quad G_i^u(\xi_i) = g_i(x) |\xi_i|^{p_i},$$

for some  $1 \leq q_i < p_i$  and for some constant  $\alpha_i \geq 0$  and some non-negative measurable function  $g_i$ .

- If  $p_i = \infty$ , then,

$$G_i^l(\xi_i) = G_i^u(\xi_i) = \eta_i(|\xi_i|).$$

for some nonnegative, continuous, increasing function  $\eta_i$ .

Under these growth conditions, we can prove the semicontinuity result for functionals with explicit dependence on  $x$ . With theorem 4.13 in hand, the proof is very similar to classical way to handle measurable dependence on  $x$  in semicontinuity theorems (cf. theorem 8.8 and theorem 8.11 in [8]).

**Theorem 4.16 (Sufficient condition)** *Let  $0 \leq r \leq m$  be an integer.  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 \leq p_i < \infty$  for all  $1 \leq i \leq r$  and  $p_{r+1} = \dots = p_m = \infty$ . Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth. Let  $f : \Omega \times \mathbf{\Lambda}^{\mathbf{k}} \rightarrow \mathbb{R}$  be a Carathéodory function, satisfying the growth condition  $(\mathcal{C}_{\mathbf{p}}^x)$  and  $\boldsymbol{\xi} \mapsto f(x, \boldsymbol{\xi})$  is vectorially ext. quasiconvex for a.e  $x \in \Omega$ . Let*

$$\omega^\nu \rightharpoonup \omega \quad \text{in } W^{d, \mathbf{p}}(D; \mathbf{\Lambda}^{\mathbf{k}-1}) \quad (\overset{*}{\rightharpoonup} \text{ if } p_i = \infty),$$

together with,

$$\text{if } p_i = 1, \text{ but } k_i \neq 1, \quad \begin{cases} \text{either } \omega_i^\nu \rightarrow \omega_i & \text{in } L^1(D; \mathbf{\Lambda}^{k_i-1}) \\ \text{or } \omega_i^\nu - \omega_i \in W_T^{d,1}(D; \mathbf{\Lambda}^{k_i-1}). \end{cases}$$

Then

$$\liminf_{\nu \rightarrow \infty} \int_{\Omega} f(x, d\omega^\nu) \geq \int_{\Omega} f(x, d\omega).$$

**Proof** The argument works in two stages. First we show that to prove the theorem,

- (A1) We can assume  $f$  satisfies a slightly more restrictive growth condition, namely, for almost every  $x \in \Omega$  and for every  $\boldsymbol{\xi} \in \mathbf{\Lambda}^{\mathbf{k}}$ ,

$$-\sum_{\substack{i \\ p_i=1}} \alpha_i |\xi_i| \leq f(x, \boldsymbol{\xi}) \leq \beta(x) + \sum_{i=1}^r \alpha_i |\xi_i|^{p_i} + \sum_{i=r+1}^m \eta_i(|\xi_i|), \quad (\mathcal{C}_{\mathbf{p}}^{x'})$$

for some nonnegative  $\beta \in L^1(\Omega)$ , where  $\alpha_i \geq 0$  for all  $1 \leq i \leq r$  are constants and  $\eta_i$ s are some nonnegative, continuous, increasing function for each  $r+1 \leq i \leq m$ .

(A2) We can restrict our attention to sequences  $\omega^\nu \rightharpoonup \omega$  in  $W^{d,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$  with the property that  $\{|d\omega_i^\nu|^{p_i}\}$  is equiintegrable for all  $1 \leq i \leq r$ .

(A3) We can assume  $\Omega \subset \mathbb{R}^n$  is an open cube with sides parallel to axes.

To show (A1), first note that for a sequence  $\omega^\nu \rightharpoonup \omega$  in  $W^{d,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$ , there exist constants  $\gamma_i > 0$  such that  $\|d\omega_i^\nu\|_{L^\infty} \leq \gamma_i$  for every  $r+1 \leq i \leq m$ . Also, if  $1 \leq q_i < p_i$ , then for every  $\varepsilon > 0$ , there exists a constant  $k_i = k_i(\varepsilon) > 0$  such that  $\varepsilon|\xi_i|^{p_i} + k_i \leq \alpha_i|\xi_i|^{q_i}$  for all  $\xi_i \in \mathbf{\Lambda}^{k_i}$ . Set  $k := \sum_{1 < p_i < \infty} k_i + \sum_{i=r+1}^m \eta_i(\gamma_i)$ .

and define

$$f_\varepsilon(x, \boldsymbol{\xi}) = f(x, \boldsymbol{\xi}) + \beta(x) + \varepsilon \sum_{1 < p_i < \infty} |\xi_i|^{p_i} + k.$$

It is easy to see that if  $f$  satisfies  $(\mathcal{C}_{\mathbf{p}}^x)$ , then  $f_\varepsilon$  satisfies,

$$- \sum_{\substack{i \\ p_i=1}} \alpha_i |\xi_i| \leq f(x, \boldsymbol{\xi}) \leq \beta(x) + \sum_{\substack{i \\ p_i=1}} \alpha_i |\xi_i| + \sum_{1 < p_i < \infty} g_i(x) |\xi_i| + \sum_{i=r+1}^m \eta_i(|\xi_i|). \quad (\mathcal{C}_{\mathbf{p}}^{x''})$$

$f_\varepsilon$  is clearly vectorially ext. quasiconvex and letting  $\varepsilon \rightarrow 0$ , we can deduce the semicontinuity result for  $f$ , along the sequence  $\omega^\nu$ , from the one for  $f_\varepsilon$ . This shows that we can replace the conditions  $(\mathcal{C}_{\mathbf{p}}^x)$  by  $(\mathcal{C}_{\mathbf{p}}^{x''})$ . To prove (A1), it only remains to show that we can replace the functions  $g_i(x)$  with constants. We define, for every natural number  $\mu$ ,

$$\phi^\mu(x) := \begin{cases} 1 & \text{if } \max_{1 < p_i < \infty} g_i(x) \leq \mu \\ \frac{\mu}{\max_{1 < p_i < \infty} [g_i(x)]} & \text{if otherwise.} \end{cases}$$

Setting  $f_\mu(x, \boldsymbol{\xi}) := \phi^\mu(x) f(x, \boldsymbol{\xi})$ , we see that  $f_\mu$  satisfies  $\mathcal{C}_{\mathbf{p}}^{x'}$  for every  $\mu$  and  $f(x, \boldsymbol{\xi}) = \sup_\mu f_\mu(x, \boldsymbol{\xi}) = \lim_{\mu \rightarrow \infty} f_\mu(x, \boldsymbol{\xi})$ . Thus, semicontinuity result for  $f$  follows from that of  $f_\mu$ . This proves (A1). Proceeding as in Step 1 of the proof of Theorem 4.13 above, we prove (A2). (A3) is shown by approximating  $\Omega$  from the inside by a finite union of disjoint open cubes with sides parallel to axes, up to a set of small measure and using equiintegrability.

Next we show the theorem under the additional assumptions (A1),(A2),(A3). The strategy is standard. We freeze the points and then use Theorem 4.13.

For any given  $\varepsilon > 0$ , for every  $1 \leq i \leq r$ , there exist constants  $M_\varepsilon^i \geq 1$ , independent of  $\nu$ , such that the sets  $K_{\varepsilon,\nu}^i := \{x \in \Omega : |d\omega_i^\nu|^{p_i} \text{ or } |d\omega_i|^{p_i} > M_\varepsilon^i\}$ , satisfy  $\text{meas}(K_{\varepsilon,\nu}^i) < \frac{\varepsilon}{r}$ , for every  $\nu$ . We set  $\Omega_\varepsilon := \Omega \setminus \bigcup_{i=1}^r K_{\varepsilon,\nu}^i$ . Also, for every

$r + 1 \leq i \leq m$ , i.e there exist constants  $\gamma_i > 0$  such that  $\|d\omega_i^\nu\|_{L^\infty} \leq \gamma_i$  for all  $\nu$ . We define  $k := \sum_{i=r+1}^m \eta_i(\gamma_i)$  and since  $\beta \in L^1(\Omega)$  and nonnegative, given any  $\varepsilon > 0$ , we can find  $M_\varepsilon^\beta \leq 1$  such that  $\text{meas}(\Omega \setminus E_\varepsilon) \leq \frac{\varepsilon}{k}$  and  $\int_{\Omega \setminus E_\varepsilon} \beta(x) dx < \varepsilon$ , where  $E_\varepsilon := \{x \in \Omega : \beta(x) \leq M_\varepsilon^\beta\}$ . Now by the Scorza-Dragoni theorem (cf. theorem 3.8 in [8]), we find a compact set  $K_\varepsilon \subset \Omega_\varepsilon$  with  $\text{meas}(\Omega_\varepsilon \setminus K_\varepsilon) < \varepsilon$  such that  $f : K_\varepsilon \times S_\varepsilon \rightarrow \mathbb{R}$  is continuous, where

$$S_\varepsilon := \{\boldsymbol{\xi} \in \mathbf{\Lambda}^k : |\xi|^{p_i} \leq M_\varepsilon^i \text{ for all } 1 \leq i \leq r, |\xi| \leq \gamma_i \text{ for all } r + 1 \leq i \leq m\}.$$

Now we subdivide  $\Omega$  into a finite union of cubes  $D_s$  of side length  $\frac{1}{h}$  such that  $\text{meas}\left(\Omega \setminus \bigcup_s D_s\right) = 0$ . Fix  $x_s \in D_s$  for all  $s$ . Now using the uniform continuity of  $f$  on the sets  $E_\varepsilon \cap K_\varepsilon \cap D_s$ , the lower bound and the upper bound, respectively, in (A1) and choosing  $h$  large enough, we can find the estimates

$$\begin{aligned} \int_{\Omega} f(x, d\boldsymbol{\omega}^\nu) &\geq \sum_s \int_{D_s} f(x_s, d\boldsymbol{\omega}^\nu) - R_1(\varepsilon), \\ \sum_s \int_{D_s} f(x_s, d\boldsymbol{\omega}) &\geq \int_{\Omega} f(x, d\boldsymbol{\omega}) - R_2(\varepsilon), \end{aligned}$$

where  $R_1(\varepsilon), R_2(\varepsilon) \rightarrow 0$  as  $\varepsilon \rightarrow 0$ . In view of theorem 4.13, this concludes the proof. ■

As was pointed out to the author by Kristensen (private communication), it is also possible to give a different proof of both theorem 4.13 and theorem 4.16, utilizing the blow-up argument of Fonseca-Müller [13].

#### 4.4. Failure of semicontinuity in $W^{d,p}$ for general functional

Vectorial ext. quasiconvexity of the map  $\boldsymbol{\xi} \mapsto f(x, \boldsymbol{\omega}, \boldsymbol{\xi})$ , along with usual growth conditions, is not sufficient for weak lower semicontinuity in  $W^{d,p}$  of functionals with explicit dependence on  $\boldsymbol{\omega}$ , i.e for functionals of the form,

$$\int_{\Omega} f(x, \boldsymbol{\omega}, d\boldsymbol{\omega}) dx.$$

For example, even when  $m = 1$ , for  $k \geq 2$ , we have the following.

**Proposition 4.17 (Counterexample to semicontinuity)** *Let  $n \geq 2$ . Also let  $2 \leq k \leq n$ ,  $1 \leq p < \infty$  and let  $\Omega \subset \mathbb{R}^n$ . Let*

$$I(\boldsymbol{\omega}) := \frac{1}{p} \int_{\Omega} |d\boldsymbol{\omega}|^p - \frac{1}{p} \int_{\Omega} |\boldsymbol{\omega}|^p, \text{ for all } \boldsymbol{\omega} \in W^{d,p}(\Omega; \Lambda^{k-1}).$$

*Then  $I$  is not weakly lower semicontinuous in  $W^{d,p}(\Omega; \Lambda^{k-1})$ .*

**Proof** Consider a sequence of exact forms  $\{d\theta_\nu\} \subset L^p(\Omega; \Lambda^{k-1})$  such that

$$d\theta_\nu \rightharpoonup d\theta \text{ in } L^p(\Omega; \Lambda^{k-1}) \text{ but } d\theta_\nu \not\rightarrow d\theta \text{ in } L^p(\Omega; \Lambda^{k-1}),$$

for some  $d\theta \in L^p(\Omega; \Lambda^{k-1})$ . Note that finding such a sequence is impossible if  $k = 1$  and always possible for  $2 \leq k \leq n$ . But, then we have,

$$\begin{aligned} \liminf_{\nu \rightarrow \infty} I(d\theta_\nu) &= \liminf_{\nu \rightarrow \infty} \left( -\frac{1}{p} \int_{\Omega} |d\theta_\nu|^p \right) = -\frac{1}{p} \limsup_{\nu \rightarrow \infty} \int_{\Omega} |d\theta_\nu|^p \\ &\leq -\frac{1}{p} \liminf_{\nu \rightarrow \infty} \int_{\Omega} |d\theta_\nu|^p \leq -\frac{1}{p} \int_{\Omega} |d\theta|^p = I(d\theta). \end{aligned}$$

But if  $I$  is weakly lower semicontinuous, this implies  $\liminf_{\nu \rightarrow \infty} I(d\theta_\nu) = I(d\theta)$ . But this is impossible since that would imply,

$$\limsup_{\nu \rightarrow \infty} \|d\theta_\nu\|_{L^p}^p = \liminf_{\nu \rightarrow \infty} \|d\theta_\nu\|_{L^p}^p = \lim_{\nu \rightarrow \infty} \|d\theta_\nu\|_{L^p}^p = \|d\theta\|_{L^p}^p.$$

Since  $d\theta_\nu \rightharpoonup d\theta$  in  $L^p$ , this implies the strong convergence in  $L^p$ , which contradicts the fact that  $d\theta_\nu \not\rightarrow d\theta$  in  $L^p(\Omega; \Lambda^{k-1})$ . ■

However, if  $k_i = 1$  for all  $1 \leq i \leq m$ , the functional  $\int_{\Omega} f(x, \boldsymbol{\omega}, d\boldsymbol{\omega}) dx$  is weakly lower semicontinuous in  $W^{d,p}$ , precisely because in this case  $W^{d,p}$  and  $W^{1,p}$  are the same space. Indeed, it is possible to show the more general result that the functional  $\int_{\Omega} f(x, \boldsymbol{\omega}, d\boldsymbol{\omega}(x)) dx$  is always weakly lower semicontinuous in  $W^{1,p}$  with appropriate growth conditions on  $f$ .

#### 4.5. Semicontinuity in $W^{1,p}$ for general functional

We first define the appropriate growth conditions in this setting.

**Definition 4.18 (Growth condition III)** Let  $\Omega \subset \mathbb{R}^n$  be open, bounded. Let  $f : \Omega \times \Lambda^{k-1} \times \Lambda^k \rightarrow \mathbb{R}$  be a Carathéodory function.

$f$  is said to be of growth  $(\mathcal{C}_p^{x,u})$ , if, for almost every  $x \in \Omega$  and for every  $(\mathbf{u}, \boldsymbol{\xi}) \in \Lambda^{k-1} \times \Lambda^k$ ,  $f$  satisfies,

$$-\beta(x) - \sum_{i=1}^m G_i^l(u_i, \xi_i) \leq f(x, \mathbf{u}, \boldsymbol{\xi}) \leq \beta(x) + \sum_{i=1}^m G_i^u(u_i, \xi_i), \quad (\mathcal{C}_p^{x,u})$$

where  $\beta \in L^1(\Omega)$  is nonnegative and the functions  $G_i^l$ 's in the lower bound and the functions  $G_i^u$ 's in the upper bound has the following form:

- If  $p_i = 1$ , then,

$$G_i^l(u_i, \xi_i) = G_i^u(u_i, \xi_i) = \alpha_i |\xi_i| \quad \text{for some constant } \alpha_i \geq 0.$$

- If  $1 < p_i < \infty$ , then,

$$G_i^l(u_i, \xi_i) = \alpha_i (|\xi_i|^{q_i} + |u_i|^{r_i}) \quad \text{and} \quad G_i^u(u_i, \xi_i) = g_i(x, u_i) |\xi_i|^{p_i},$$

for some  $1 \leq q_i < p_i$ ,  $1 \leq r_i < np_i/(n - p_i)$  if  $p_i < n$  and  $1 \leq r_i < \infty$  if  $p_i \geq n$ ,  $g_i$  is a nonnegative Carathéodory function and for some constant  $\alpha_i \geq 0$ .

- If  $p_i = \infty$ , then,

$$G_i^l(u_i, \xi_i) = G_i^u(u_i, \xi_i) = \eta_i(|u_i|, |\xi_i|).$$

for some nonnegative, continuous, increasing (in each argument) function  $\eta_i$ .

With these growth conditions on  $f$ , it is possible to show that the functional  $\int_{\Omega} f(x, \boldsymbol{\omega}, d\boldsymbol{\omega}(x)) dx$  is always weakly lower semicontinuous in  $W^{1,p}$ . The proof is very similar to the proof of Theorem 4.16. In this case too, it is possible to derive all the necessary estimates after freezing both  $x$  and  $\boldsymbol{\omega}$ . Some modifications are required to handle the explicit dependence on  $\boldsymbol{\omega}$ , but these modifications essentially use the Sobolev embedding and is quite standard (see theorem 8.8 and theorem 8.11 in [8] for the classical case). We state the theorem below and omit the proof.

**Theorem 4.19** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth. Let  $f : \Omega \times \mathbf{\Lambda}^{k-1} \times \mathbf{\Lambda}^k \rightarrow \mathbb{R}$  be a Carathéodory function, satisfying the growth condition  $(\mathcal{C}_p^{x,u})$  and  $\boldsymbol{\xi} \mapsto f(x, \mathbf{u}, \boldsymbol{\xi})$  is vectorially ext. quasiconvex for a.e  $x \in \Omega$  and for every  $\mathbf{u} \in \mathbf{\Lambda}^{k-1}$ . Let  $I : W^{1,p}(\Omega; \mathbf{\Lambda}^{k-1}) \rightarrow \mathbb{R}$  defined by*

$$I(\boldsymbol{\omega}) := \int_{\Omega} f(x, \boldsymbol{\omega}, d\boldsymbol{\omega}) dx, \text{ for all } \boldsymbol{\omega} \in W^{1,p}(\Omega; \mathbf{\Lambda}^{k-1}).$$

*Then  $I$  is weakly lower semicontinuous in  $W^{1,p}(\Omega; \mathbf{\Lambda}^{k-1})$  (weakly  $*$  in  $i$ -th factor if  $p_i = \infty$ ).*

**Remark 4.20** *In the special case when  $k_i = 1$  for all  $1 \leq i \leq m$ , this theorem recovers the classical result with the improvement that the  $p_i$ s are allowed to be different from one another. If we take,  $p_i = p$  for every  $1 \leq i \leq m$ , as well, then we obtain precisely the classical results, i.e theorem 8.8 or theorem 8.11 in [8], depending on whether  $p = \infty$  or  $1 \leq p < \infty$ .*

## 5. Weak Continuity

We now turn our attention to characterizing all sequentially weakly continuous functions in  $W^{d,p}(\Omega; \mathbf{\Lambda}^{k-1})$ .

**Definition 5.1 (Weak continuity)** *Let  $\Omega \subset \mathbb{R}^n$  be open and let  $f : \mathbf{\Lambda}^k \rightarrow \mathbb{R}$  be continuous. We say that  $f$  is weakly continuous on  $W^{d,p}(\Omega; \mathbf{\Lambda}^{k-1})$ , if for every sequence  $\{\boldsymbol{\omega}^\nu\}_{\nu=1}^\infty \subset W^{d,p}(\Omega; \mathbf{\Lambda}^{k-1})$  satisfying  $\boldsymbol{\omega}^\nu \rightharpoonup \boldsymbol{\omega}$  in  $W^{d,p}(\Omega; \mathbf{\Lambda}^{k-1})$  for some  $\boldsymbol{\omega} \in W^{d,p}(\Omega; \mathbf{\Lambda}^{k-1})$ , we have*

$$f(d\boldsymbol{\omega}^\nu) \rightharpoonup f(d\boldsymbol{\omega}) \text{ in } \mathcal{D}'(\Omega).$$

## 5.1. Necessary condition

**Theorem 5.2 (Necessary condition)** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and let  $f : \Lambda^{\mathbf{k}} \rightarrow \mathbb{R}$  be weakly continuous on  $W^{d,\infty}(\Omega; \Lambda^{\mathbf{k}})$ . Then,  $f$  is vectorially ext. one affine, and hence, is of the form*

$$f(\boldsymbol{\xi}) = \sum_{\substack{\boldsymbol{\alpha} \\ 0 \leq |\mathbf{k}\boldsymbol{\alpha}| \leq n}} \langle c_{\boldsymbol{\alpha}}; \boldsymbol{\xi}^{\boldsymbol{\alpha}} \rangle \text{ for all } \boldsymbol{\xi} \in \Lambda^{\mathbf{k}}, \quad (6)$$

where  $c_{\boldsymbol{\alpha}} \in \Lambda^{|\mathbf{k}\boldsymbol{\alpha}|}(\mathbb{R}^n)$ , for every  $\boldsymbol{\alpha}$  with  $0 \leq |\mathbf{k}\boldsymbol{\alpha}| \leq n$ .

**Remark 5.3** *As in remark 4.2,  $f$  being vectorially ext. one affine is a necessary condition for weak continuity in  $W^{d,p}(\Omega; \Lambda^{\mathbf{k}-1})$  as well.*

**Proof** Since  $f$  is weakly continuous on  $W^{d,\infty}(\Omega; \Lambda^{\mathbf{k}})$ , then for any  $\phi \in C_c^\infty(\Omega)$ , the integrals  $\int_{\Omega} \phi(x)f(d\boldsymbol{\omega})$  and  $-\int_{\Omega} \phi(x)f(d\boldsymbol{\omega})$  are both weakly lower semicontinuous in  $W^{d,\infty}(\Omega; \Lambda^{\mathbf{k}})$ . Using Theorem 4.1, we obtain that

$$\boldsymbol{\xi} \mapsto \phi(x)f(\boldsymbol{\xi})$$

must be vectorially ext. quasiaffine. Since  $\phi \in C_c^\infty(\Omega)$  is arbitrary, this implies  $\boldsymbol{\xi} \mapsto f(\boldsymbol{\xi})$  must be vectorially ext. quasiaffine. This finishes the proof. ■

## 5.2. Weak continuity of wedge products

### 5.2.1. Weak wedge products for exact forms

Before moving on to results concerning sufficient condition for weak continuity, we first develop the notion of weak or distributional wedge products in this subsection. We start with some terminology for the integrability exponents.

**Definition 5.4 (Admissible Sobolev and Hölder exponent)** *Given  $\mathbf{k}, \boldsymbol{\alpha}$ , we call  $\mathbf{p}$  an admissible Sobolev exponent (with respect to  $\boldsymbol{\alpha}$  and  $\mathbf{k}$ ), if  $\mathbf{p} = (p_1, \dots, p_m)$ , where  $1 < p_i < \infty$  for all  $1 \leq i \leq m$ , satisfies*

$$1 + \frac{1}{n} \geq \frac{1}{\theta} = \sum_{i=1}^m \frac{\alpha_i}{p_i}, \quad (7)$$

and

$$1 > \frac{1}{\theta} - \frac{1}{p_i} \quad (8)$$

for all  $1 \leq i \leq m$ . We call  $\mathbf{q}$  an admissible Hölder exponent with respect to  $\boldsymbol{\alpha}$  and  $\mathbf{k}$ , if  $\mathbf{q} = (q_1, \dots, q_m)$  where  $1 < q_i \leq \infty$  for all  $1 \leq i \leq m$ , satisfies

$$1 \geq \frac{1}{\rho} = \sum_{i=1}^m \frac{\alpha_i}{q_i}, \quad (9)$$

and

$$1 \geq \frac{1}{\rho} - \frac{1}{q_i} \quad (10)$$

for all  $1 \leq i \leq m$ .

**Remark 5.5** Note that the assumed upper bound on  $\frac{1}{\theta} - \frac{1}{p_i}$  is only a restriction if  $p_i \geq n$ . The last inequality just means that at most one of the  $q_i$ s can be  $\infty$  and  $\alpha_i = 1$  if  $q_i = \infty$  for some  $i$ .

**Definition 5.6 (Associated exponent pair)** Let  $\mathbf{p}$  be an admissible Sobolev exponent and  $\mathbf{q}$  be either an admissible Sobolev exponent or an admissible Hölder exponent with respect to given  $\boldsymbol{\alpha}$  and  $\mathbf{k}$ .

We call  $(\mathbf{p}, \mathbf{q})$  an associated exponent pair if for all  $i = 1, \dots, m$ , we have,

$$\begin{aligned} p_i &\geq \frac{nq_i}{n+q_i} && \text{if } q_i < \infty, \\ p_i &\geq n && \text{if } q_i = \infty. \end{aligned}$$

Furthermore, if the inequalities are strict for all  $1 \leq i \leq m$ , we call  $(\mathbf{p}, \mathbf{q})$  an associated compact exponent pair.

**Remark 5.7** Note that if  $\frac{nq_i}{n+q_i} \leq 1$  for some  $i$ , then the condition  $p_i \geq \frac{nq_i}{n+q_i}$  is not a restriction since  $p_i > 1$  anyway.

Now we need a lemma which shows how a bound of the exterior derivative implies improved regularity of the coexact part in the Hodge decomposition.

**Lemma 5.8** Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and smooth. Let  $1 \leq k \leq n$ . Let  $\omega \in L^q(\Omega; \Lambda^{k-1})$ ,  $d\omega \in L^p(\Omega; \Lambda^k)$  with  $1 < p < \infty$  and  $1 < q \leq \infty$ . Then there exists a decomposition of  $\omega$  such that

$$\omega = \omega_{exact} + \omega_{coexact} + \omega_{har} \quad \text{in } \Omega,$$

such that  $\omega_{exact}$  is exact,  $\omega_{har}$  is a harmonic field and  $\omega_{coexact} \in W^{1,p}(\Omega; \Lambda^{k-1})$ . In other words,  $\omega_{exact} = d\varphi$  with  $\varphi \in W^{1,r}(\Omega; \Lambda^{k-2})$  for all  $1 < r \leq q$  if  $q < \infty$  or  $1 < r < \infty$  if  $q = \infty$  and  $d\omega_{har} = \delta\omega_{har} = 0$  in  $\Omega$ . Moreover, we have the estimates

$$\|\varphi\|_{W^{1,r}} \leq c\|\omega\|_{L^q}, \quad \|\omega_{har}\|_{C_{loc}^\infty} \leq c\|\omega\|_{L^q} \quad \text{and} \quad \|\omega_{coexact}\|_{W^{1,p}} \leq c\|d\omega\|_{L^p}.$$

**Proof** Fix  $1 < r < \infty$  such that  $r \leq q$ . Then since  $\omega \in L^q(\Omega; \Lambda^{k-1})$  implies  $\omega \in L^r(\Omega; \Lambda^{k-1})$ , we use Theorem 6.9(iii) of [7] to obtain the decomposition

$$\begin{cases} \omega = da + \delta b + h & \text{and} & \delta a = db = dh = \delta h = 0 \text{ in } \Omega, \\ \nu \wedge a = \nu \lrcorner b = 0 & \text{on } \partial\Omega. \end{cases}$$

with  $a \in W_T^{1,r}(\Omega; \Lambda^{k-2})$ ,  $b \in W_N^{1,r}(\Omega; \Lambda^k)$  and  $h \in \mathcal{H}(\Omega; \Lambda^{k-1})$ . Moreover, we also have the estimates

$$\|a\|_{W^{1,r}} \leq c\|\omega\|_{L^r}, \quad \|h\|_{C_{loc}^\infty} \leq c\|\omega\|_{L^r}.$$

Now since  $d\omega \in L^p(\Omega, \Lambda^k)$ , we see that  $d(\delta b) = d\omega \in L^p(\Omega, \Lambda^k)$ ,  $\delta(\delta b) = 0$  in  $\Omega$  and  $\nu \lrcorner \delta b = 0$  in  $\partial\Omega$ , as  $\nu \lrcorner b = 0$  in  $\partial\Omega$ . Regularity result for this first order elliptic system implies  $\delta b \in W^{1,p}$  with the estimate. Setting  $\omega_{exact} = da$ ,  $\omega_{har} = h$  and  $\omega_{coexact} = \delta b$  concludes the proof. ■

**Remark 5.9** *If we assume  $\nu \wedge \omega = 0$  on  $\partial\Omega$ , it is possible to use Hodge decomposition with vanishing tangential components (see Theorem 6.9(i) of [7]) to prove the lemma, in which case we would also have  $\omega_{har} \in \mathcal{H}_T(\Omega; \Lambda^{k-1})$  and  $\nu \wedge \omega_{coexact} = 0$  on  $\partial\Omega$ .*

We call  $\omega_{exact}$ ,  $\omega_{har}$  and  $\omega_{coexact}$ , respectively, the *exact part*, *harmonic part* and the *coexact part* of  $\omega$ . Now we are ready to define weak wedge products. We start with the case of exact forms first.

**Definition 5.10 (Weak wedge product for exact forms)** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and smooth. Let  $\mathbf{p}$  be an admissible Sobolev exponent with respect to  $\boldsymbol{\alpha}$  and  $\mathbf{k}$ . Then for any componentwise exact  $\mathbf{k}$ -form  $d\boldsymbol{\omega} = (d\omega_1, \dots, d\omega_m) \in L^p(\Omega; \Lambda^{\mathbf{k}})$ , we define  $(d\boldsymbol{\omega}^\alpha)_{weak} \in \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\boldsymbol{\alpha}|}(\mathbb{R}^n))$ , by the actions*

$$\begin{aligned} & (d\boldsymbol{\omega}^\alpha)_{weak}(\psi) \\ & := -(-1)^{N_i^{j_i}} \int_{\Omega} \langle \delta\psi; d\omega_1^{\alpha_1} \wedge \dots \wedge d\omega_i^{j_i-1} \wedge \omega_{i,coexact} \wedge d\omega_i^{\alpha_i-j_i} \wedge \dots \wedge d\omega_m^{\alpha_m} \rangle, \end{aligned} \tag{11}$$

for all  $\psi \in C_c^\infty(\Omega; \Lambda^{|\mathbf{k}\boldsymbol{\alpha}|}(\mathbb{R}^n))$ , where  $\omega_{i,coexact}$  stands for the coexact part of  $\omega_i$  and  $N_i^{j_i} = k_i(j_i - 1) + \sum_{j=1}^{i-1} k_j \alpha_j$ , for any  $i = 1, \dots, m$ ,  $j_i = 1, \dots, \alpha_i$ .

**Remark 5.11** *Lemma 5.8, Sobolev embedding and the conditions (7) and (8) together ensure that the integrals on the right hand side of (11) are all finite. It is easy to see that they are also equal and if  $1 \geq \frac{1}{\theta}$ , then*

$$(d\boldsymbol{\omega}^\alpha)_{weak} = d\boldsymbol{\omega}^\alpha \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\boldsymbol{\alpha}|}(\mathbb{R}^n)).$$

This is not the only possible definition of weak wedge products for exact forms. We can require even less integrability on  $d\boldsymbol{\omega}$  if we assume some integrability of  $\boldsymbol{\omega}$ . The following definition is a generalization of the definition used by Brezis-Nguyen [5] for the Jacobian determinant in the classical case.

**Definition 5.12 (Very weak product)** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and smooth. Let  $\mathbf{p}, \mathbf{q}$  satisfy  $1 < p_i < \infty$ ,  $1 < q_i \leq \infty$  and*

$$1 \geq \frac{1}{q_i} + \frac{1}{\theta} - \frac{1}{p_i}, \quad \text{for all } 1 \leq i \leq m,$$

where  $\frac{1}{\theta} = \sum_{i=1}^m \frac{\alpha_i}{p_i}$ . Then for any  $\omega \in L^q(\Omega; \mathbf{\Lambda}^{k-1})$  with  $d\omega \in L^p(\Omega; \mathbf{\Lambda}^k)$ , we define  $(d\omega^\alpha)_{\text{very weak}} \in \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n))$ , by the actions

$$\begin{aligned} & (d\omega^\alpha)_{\text{very weak}}(\psi) \\ & := -(-1)^{N_i^{j_i}} \int_{\Omega} \langle \delta\psi; d\omega_1^{\alpha_1} \wedge \dots \wedge d\omega_i^{j_i-1} \wedge \omega_i \wedge d\omega_i^{\alpha_i-j_i} \wedge \dots \wedge d\omega_m^{\alpha_m} \rangle, \quad (12) \end{aligned}$$

for all  $\psi \in C_c^\infty(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n))$ , where  $N_i^{j_i} = k_i(j_i - 1) + \sum_{j=1}^{i-1} k_j \alpha_j$ , for any  $i = 1, \dots, m$ ,  $j_i = 1, \dots, \alpha_i$ .

Note that there are integrability exponents for which only one of them is well-defined. Even in the classical case, for the Jacobian determinant of a function  $u \in W^{1, \frac{n^2}{n+1}}(\Omega; \mathbb{R}^n)$ , only the first one is defined and for a function  $u \in W^{1, n-1}(\Omega; \mathbb{R}^n) \cap L^\infty(\Omega; \mathbb{R}^n)$ , only the second one is defined. However, it is not difficult to show that when both are well-defined, we have,

$$(d\omega^\alpha)_{\text{weak}} = (d\omega^\alpha)_{\text{very weak}} \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

We also have the following general telescopic estimate.

**Lemma 5.13** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and smooth. Let  $\mathbf{k}, \alpha, \mathbf{p}, \mathbf{q}$  be given. Let  $\mu$  be given by,*

$$1 = \frac{1}{\mu_i} + \frac{1}{\theta} - \frac{1}{p_i} \quad \text{for all } 1 \leq i \leq m.$$

(i) *If  $\mathbf{p}$  is an admissible Sobolev exponent, then for any two componentwise exact  $\mathbf{k}$ -form  $d\xi, d\zeta \in L^p(\Omega; \mathbf{\Lambda}^k)$ , there exists a constant  $C > 0$  such that*

$$\begin{aligned} & \left| [(d\xi^\alpha)_{\text{weak}} - (d\zeta^\alpha)_{\text{weak}}](\psi) \right| \\ & \leq C \sum_{i=1}^m \alpha_i \|\delta\psi\|_\infty \|\xi_{i, \text{coexact}} - \zeta_{i, \text{coexact}}\|_{\mu_i} \left( \|d\xi_i\|_{p_i} + \|d\zeta_i\|_{p_i} \right)^{\alpha_i-1} \\ & \quad \prod_{\substack{j=1 \\ j \neq i}}^m \left( \|d\xi_j\|_{p_j} + \|d\zeta_j\|_{p_j} \right)^{\alpha_j}, \end{aligned}$$

for all  $\psi \in C_c^\infty(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n))$ .

(ii) *If  $\mathbf{p}, \mathbf{q}$  are as in definition 5.12, then for any  $\xi, \zeta \in L^q(\Omega; \mathbf{\Lambda}^{k-1})$  with*

$d\xi, d\zeta \in L^p(\Omega; \Lambda^k)$ , there exists a constant  $C > 0$  such that

$$\begin{aligned} & \left| \left[ (d\xi^\alpha)_{\text{very weak}} - (d\zeta^\alpha)_{\text{very weak}} \right] (\psi) \right| \\ & \leq C \sum_{i=1}^m \alpha_i \|\delta\psi\|_\infty \|\xi_i - \zeta_i\|_{\mu_i} \left( \|d\xi_i\|_{p_i} + \|d\zeta_i\|_{p_i} \right)^{\alpha_i - 1} \\ & \quad \prod_{\substack{j=1 \\ j \neq i}}^m \left( \|d\xi_j\|_{p_j} + \|d\zeta_j\|_{p_j} \right)^{\alpha_j}, \end{aligned}$$

for all  $\psi \in C_c^\infty(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n))$ .

**Proof** It is just a matter of rewriting as a telescopic sum. We show only one, the other being similar. Note that we have,

$$\begin{aligned} & \left[ (d\xi^\alpha)_{\text{weak}} - (d\zeta^\alpha)_{\text{weak}} \right] (\psi) \\ & = \sum_{i=1}^m \sum_{j=1}^{\alpha_i} \left( d\zeta_1^{\alpha_1} \wedge \dots \wedge d\zeta_i^{j-1} \wedge d(\xi_i - \zeta_i) \wedge d\xi_i^{\alpha_i - j} \wedge \dots \wedge d\zeta_m^{\alpha_m} \right)_{\text{weak}} (\psi). \end{aligned}$$

Using the definition of weak wedge product, the estimate follows from Hölder inequality. ■

This immediately implies the weak continuity results for wedge product of exact forms.

**Theorem 5.14** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and smooth. Let  $\mathbf{k}, \alpha$  be given.*

- (i) *Let  $\mathbf{p}$  be an admissible Sobolev exponent such that  $1 + \frac{1}{n} > \frac{1}{\theta}$ , and  $d\xi_s \rightharpoonup d\xi$  in  $L^p(\Omega; \Lambda^k)$ , then*

$$(d\xi_s^\alpha)_{\text{weak}} \rightharpoonup (d\xi^\alpha)_{\text{weak}} \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

Moreover, if  $1 \geq \frac{1}{\theta}$ , then

$$d\xi_s^\alpha \rightharpoonup d\xi^\alpha \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

If  $1 > \frac{1}{\theta}$ , then we also have,

$$d\xi_s^\alpha \rightharpoonup d\xi^\alpha \quad \text{in } L^\theta(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

- (ii) *Let  $\mathbf{p}, \mathbf{q}$  be as in definition 5.12 and  $d\xi_s \rightharpoonup d\xi$  in  $L^p(\Omega; \Lambda^k)$  and  $\xi_s \rightarrow \xi$  in  $L^q(\Omega; \Lambda^{k-1})$ , then*

$$(d\xi_s^\alpha)_{\text{very weak}} \rightharpoonup (d\xi^\alpha)_{\text{very weak}} \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

**Proof** The second conclusion is immediate from the telescopic estimate. For the first one, note that the hypotheses on  $\mathbf{p}$  implies that the embeddings  $W^{1,p_i} \hookrightarrow L^{\mu_i}$  are compact for all  $1 \leq i \leq m$ . Thus  $d\omega_{s,i} \rightharpoonup d\omega_i$  in  $L^{p_i}$  implies

$$\|\omega_{s,i,coexact} - \omega_{i,coexact}\|_{\mu_i} \rightarrow 0$$

for all  $1 \leq i \leq m$ . The convergence in distribution follows. The weak convergence in  $L^\theta$  follows from the fact that in that case,  $\{\mathbf{d}\xi_s^\alpha\}$  is uniformly bounded in  $L^\theta$  and thus has a weak limit in  $L^\theta$ . Uniqueness of the weak limit concludes the proof. ■

### 5.2.2. Weak wedge product for general forms

The first definition, i.e the definition of weak wedge products for exact forms can be used, together with Hodge decomposition to define weak wedge products for general forms  $\omega$  with some integrability of  $d\omega$ . To fix ideas, we start with two forms  $v_1 \in W^{d,p_1}(\Omega; \Lambda^{k_1}(\mathbb{R}^n))$ ,  $v_2 \in W^{d,p_2}(\Omega; \Lambda^{k_2}(\mathbb{R}^n))$ , with  $1 + \frac{1}{n} \geq \frac{1}{p_1} + \frac{1}{p_2}$ ,  $1 < p_1, p_2 < \infty$ . Using Hodge decomposition, we have, formally,

$$\begin{aligned} v_1 \wedge v_2 &= (da_1 + \delta b_1 + h_1) \wedge (da_2 + \delta b_2 + h_2) \\ &= da_1 \wedge da_2 + da_1 \wedge (\delta b_2 + h_2) + (\delta b_1 + h_1) \wedge (da_2 + \delta b_2 + h_2). \end{aligned} \quad (13)$$

Note that by lemma 5.8, Sobolev embedding and Hölder inequality, every term except the first in the right hand side of (13) is indeed in  $L^1$ . But the first term  $da_1 \wedge da_2$  is a wedge product of exact forms and we can use the notion of weak wedge product in such cases. Using that definition, we can now define

$$(v_1 \wedge v_2)_{weak} := (da_1 \wedge da_2)_{weak} + da_1 \wedge (\delta b_2 + h_2) + (\delta b_1 + h_1) \wedge (da_2 + \delta b_2 + h_2).$$

Observe also that the regularity of  $da_i$  depends on the regularity of  $v_i$ , whereas the improved regularity of  $\delta b_i + h_i$  comes from the regularity of  $dv_i$ . Suppose  $v_1 \in L^{q_1}(\Omega; \Lambda^{k_1}(\mathbb{R}^n))$  with  $dv_1 \in L^{p_1}(\Omega; \Lambda^{k_1+1}(\mathbb{R}^n))$  and  $v_2 \in L^{q_2}(\Omega; \Lambda^{k_2}(\mathbb{R}^n))$  with  $dv_2 \in L^{p_2}(\Omega; \Lambda^{k_2+1}(\mathbb{R}^n))$ , where  $1 < q_1, q_2, p_1, p_2 < \infty$ ,  $\frac{1}{q_1} + \frac{1}{q_2} \leq 1$ ,  $\frac{1}{p_1} + \frac{1}{p_2} \leq 1 + \frac{1}{n}$ , and  $p_i \geq \frac{nq_i}{n+q_i}$  for  $i = 1, 2$ . Then we have  $da_1 \wedge da_2 \in L^1$  and we obtain

$$(da_1 \wedge da_2)_{weak} = da_1 \wedge da_2 \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{k_1+k_2}(\mathbb{R}^n)).$$

But since  $\frac{1}{p_1} + \frac{1}{p_2} \leq 1 + \frac{1}{n}$ , all other terms are in  $L^1$  as before. Thus, we obtain,

$$v_1 \wedge v_2 = (v_1 \wedge v_2)_{weak} \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{k_1+k_2}(\mathbb{R}^n)).$$

All of these can be done for the general case. If  $\mathbf{p}$  is an admissible Sobolev

exponent, then given  $\omega \in W^{d,p}(\Omega; \Lambda^k)$ , we can define the distribution

$$(\omega^\alpha)_{weak} = ((\omega_{exact})^\alpha)_{weak} + \text{all other terms in the formal expansion of } (\omega_{exact} + \omega_{coexact} + \omega_{har})^\alpha \text{ in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

Using this definition, we can prove the following result, due to Iwaniec [15], which is a generalization of the classical ‘div-curl’ lemma or ‘compensated compactness’ lemma of Murat [22] and Tartar [26].

**Theorem 5.15** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded and smooth. Let  $\mathbf{k}, \alpha$  be given. Let  $\mathbf{p}$  be an admissible Sobolev exponent such that  $1 + \frac{1}{n} > \frac{1}{\theta}$ .*

(i) *Let  $\xi_s \rightharpoonup \xi$  in  $W^{d,p}(\Omega; \Lambda^k)$ . Then*

$$(\xi_s^\alpha)_{weak} \rightharpoonup (\xi^\alpha)_{weak} \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

*Moreover, if  $1 \geq \frac{1}{\theta}$ , then*

$$\xi_s^\alpha \rightharpoonup \xi^\alpha \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

*If  $1 > \frac{1}{\theta}$ , then we also have,*

$$\xi_s^\alpha \rightharpoonup \xi^\alpha \quad \text{in } L^\theta(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

(ii) *Let  $\mathbf{q}$  be an admissible Hölder exponent such that  $(\mathbf{p}, \mathbf{q})$  is an associated compact exponent pair. Let  $\xi_s \rightharpoonup \xi$  in  $L^q(\Omega; \Lambda^k)$  and  $d\xi_s \rightharpoonup d\xi$  in  $L^p(\Omega; \Lambda^{k+1})$ . Then*

$$\xi_s^\alpha \rightharpoonup \xi^\alpha \quad \text{in } \mathcal{D}'(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

*If  $1 > \frac{1}{\rho}$ , then we also have,*

$$\xi_s^\alpha \rightharpoonup \xi^\alpha \quad \text{in } L^\rho(\Omega; \Lambda^{|\mathbf{k}\alpha|}(\mathbb{R}^n)).$$

## 6. Existence of minimizers

In this section, we discuss existence theorems for minimization problems. But first we begin by showing that unlike the classical calculus of variations, here in general we can not always expect a minimizer to exist if the integrand depends explicitly on  $\omega$ .

## 6.1. Nonexistence results

Even when the explicit dependence on  $\omega$  is a convex, additive term, we have the following counterexample already for  $m = 1$ , as soon as  $k \geq 2$ .

**Proposition 6.1 (Counterexample to existence of minimizer)** *Let  $n \geq 2$ . Also let  $2 \leq k \leq n$  and let  $\Omega \subset \mathbb{R}^n$  be open, bounded and smooth and contractible. Then for any  $\omega_0 \in W^{1,2}(\Omega; \Lambda^{k-1})$  with  $\nu \wedge \omega_0 = 0$  but  $\omega_0 \neq 0$  on  $\partial\Omega$ , the problem*

$$\inf \left\{ I(\omega) = \frac{1}{2} \int_{\Omega} |d\omega|^2 + \frac{1}{2} \int_{\Omega} |\omega|^2 : \omega \in \omega_0 + W_0^{1,2}(\Omega; \Lambda^{k-1}) \right\} = m,$$

*does not admit a minimizer.*

**Proof** Suppose the problem admits a minimizer  $\alpha \in \omega_0 + W_0^{1,2}(\Omega; \Lambda^{k-1})$ . Then  $\alpha$  satisfies the weak form of the Euler-Lagrange equation, i.e

$$\int_{\Omega} \langle d\alpha, d\phi \rangle + \int_{\Omega} \langle \alpha, \phi \rangle = 0 \quad \text{for all } \phi \in W_0^{1,2}(\Omega; \Lambda^{k-1}).$$

Choosing  $\phi = d\theta$  for some  $\theta \in C_c^\infty(\Omega; \Lambda^{k-2})$ , we see immediately that this implies  $\delta\alpha = 0$  in distributions. Now for any  $\psi \in W_T^{d,2}(\Omega; \Lambda^{k-1})$ , there exist  $\phi \in W_0^{1,2}(\Omega; \Lambda^{k-1})$  and  $\eta \in W_0^{1,2}(\Omega; \Lambda^{k-2})$  such that

$$\psi = \phi + d\eta.$$

Indeed, since  $\Omega$  is contractible, we can solve the following two problems one after another (see e.g Theorem 8.16 in [7]).

$$\begin{cases} d\phi = d\psi & \text{in } \Omega, \\ \phi = 0 & \text{on } \partial\Omega. \end{cases} \quad \text{and} \quad \begin{cases} d\eta = \psi - \phi & \text{in } \Omega, \\ \eta = 0 & \text{on } \partial\Omega. \end{cases}$$

This gives the desired decomposition. Thus, we have,

$$\int_{\Omega} \langle d\alpha, d\psi \rangle + \int_{\Omega} \langle \alpha, \psi \rangle = 0 \quad \text{for all } \psi \in W_T^{d,2}(\Omega; \Lambda^{k-1}).$$

But this implies  $\alpha$  is also a minimizer of the problem

$$\inf \left\{ I(\omega) = \frac{1}{2} \int_{\Omega} |d\omega|^2 + \frac{1}{2} \int_{\Omega} |\omega|^2 : \omega \in W_{\delta,T}^{d,2}(\Omega; \Lambda^{k-1}) \right\} = m.$$

But it is easy to show that the minimizer of this problem is unique and 0 is a minimizer. Thus  $\alpha = 0$ , which is impossible since  $\omega_0 \neq 0$  on  $\partial\Omega$ . This concludes the proof. ■

**Remark 6.2** *This counterexample can easily be generalized for any  $1 < p < \infty$ . Also note that the term depending on  $d\omega$  is convex, thus ext. polyconvex and ext. quasiconvex as well.*

## 6.2. Existence theorems

In view of the previous subsection, we can expect general existence theorems to hold only when the explicit dependence on  $\omega$  is rather special, if any. We now show that an additive term which is linear in  $\omega$ , still allows fairly general existence results. We start with a lemma.

**Lemma 6.3** *Let  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 < p_i < \infty$  for all  $1 \leq i \leq m$ . Let  $\omega_0 \in W^{1,\mathbf{p}}(\Omega; \Lambda^{k-1})$  be given. Let  $\{\omega^s\} \subset \omega_0 + W_T^{d,\mathbf{p}}(\Omega; \Lambda^{k-1})$  be a sequence such that  $\|\mathbf{d}\omega^s\|_{L^{\mathbf{p}}(\Omega; \Lambda^k)}$  is uniformly bounded. Then there exist  $\omega \in \omega_0 + W_0^{1,\mathbf{p}}(\Omega; \Lambda^{k-1})$ ,  $\beta \in \omega_0 + W_{\delta,T}^{1,\mathbf{p}}(\Omega; \Lambda^{k-1})$  satisfying*

$$\mathbf{d}\beta = \mathbf{d}\omega \quad \text{in } \Omega,$$

and a sequence  $\{\beta^s\} \subset \omega_0 + W_{\delta,T}^{1,\mathbf{p}}(\Omega; \Lambda^{k-1})$  such that

$$\mathbf{d}\beta^s = \mathbf{d}\omega^s \quad \text{in } \Omega, \text{ for every } s$$

and

$$\beta^s \rightharpoonup \beta \text{ in } W^{d,\mathbf{p}}(\Omega; \Lambda^{k-1}).$$

**Proof** First for every  $s$ , we find  $\beta^s \in \omega_0 + W_{\delta,T}^{1,\mathbf{p}}(\Omega; \Lambda^k)$ , such that,

$$\begin{cases} \mathbf{d}\beta^s = \mathbf{d}\omega^s & \text{and } \delta\beta^s = 0 & \text{in } \Omega, \\ \nu \wedge \beta^s = \nu \wedge \omega^s = \nu \wedge \omega_0 & \text{on } \partial\Omega, \end{cases}$$

and there exist constants  $c_1, c_2 > 0$  such that

$$\|\beta^s\|_{W^{1,\mathbf{p}}} \leq c_1 \{\|\mathbf{d}\omega^s\|_{L^{\mathbf{p}}} + \|\omega_0\|_{W^{1,\mathbf{p}}}\} \leq c_2.$$

Therefore, up to the extraction of a subsequence which we do not relabel, there exists  $\beta \in \omega_0 + W_{\delta,T}^{1,\mathbf{p}}(\Omega; \Lambda^{k-1})$  such that

$$\beta^s \rightharpoonup \beta \quad \text{in } W^{1,\mathbf{p}}(\Omega; \Lambda^{k-1}).$$

Since  $\nu \wedge \beta = \nu \wedge \omega_0$  on  $\partial\Omega$ , we can find  $\omega \in \omega_0 + W_0^{1,\mathbf{p}}(\Omega; \Lambda^{k-1})$  such that

$$\begin{cases} \mathbf{d}\omega = \mathbf{d}\beta & \text{in } \Omega, \\ \omega = \alpha_0 & \text{on } \partial\Omega. \end{cases}$$

This concludes the proof. ■

### 6.2.1. Existence theorem for quasiconvex functions

**Theorem 6.4** *Let  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 < p_i < \infty$  for all  $1 \leq i \leq m$ . Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth. Let  $f : \Omega \times \Lambda^k \rightarrow \mathbb{R}$  be a Carathéodory function, satisfying for a.e  $x \in \Omega$ , for every  $\xi = (\xi_1, \dots, \xi_m) \in \Lambda^k$ ,*

$\xi \mapsto f(x, \xi)$  is vectorially ext. quasiconvex,

$$\gamma_1(x) + \sum_{i=1}^m \alpha_{1,i} |\xi_i|^{p_i} \leq f(x, \xi) \leq \gamma_2(x) + \sum_{i=1}^m \alpha_{2,i} |\xi_i|^{p_i}, \quad (14)$$

where  $\alpha_{2,i} \geq \alpha_{1,i} > 0$  for all  $1 \leq i \leq m$  and  $\gamma_1, \gamma_2 \in L^1(\Omega)$ . Let  $\mathbf{g} \in L^{\mathbf{p}'}(\Omega; \mathbf{\Lambda}^{k-1})$  be such that  $\delta \mathbf{g} = 0$  in the sense of distributions and  $\boldsymbol{\omega}_0 \in W^{1,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$ . Let

$$(\mathcal{P}_0) \quad \inf \left\{ I(\boldsymbol{\omega}) = \int_{\Omega} [f(x, \mathbf{d}\boldsymbol{\omega}) + \langle \mathbf{g}; \boldsymbol{\omega} \rangle] : \boldsymbol{\omega} \in \boldsymbol{\omega}_0 + W_0^{1,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1}) \right\} = m.$$

Then the problem  $(\mathcal{P}_0)$  has a minimizer.

**Remark 6.5** (i) If  $k_i = 1$  for some  $i \in \{1, \dots, m\}$ , the condition  $\delta g_i = 0$  in the sense of distributions, is automatically satisfied for all  $g_i \in L^{p_i'}(\Omega)$  and hence is not a restriction.

(ii) However, as soon as  $k_i \geq 2$  for some  $i \in \{1, \dots, m\}$ ,  $g_i$  being coclosed is a non-trivial restriction and the theorem does not hold without this assumption. In fact, we can show that if  $(\mathcal{P}_0)$  admits a minimizer and  $2 \leq k_i \leq n$  for some  $i \in \{1, \dots, m\}$ , then we must have  $\delta g_i = 0$  in the sense of distributions. Indeed, suppose  $\boldsymbol{\omega} \in \boldsymbol{\omega}_0 + W_0^{1,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$  is a minimizer for  $(\mathcal{P}_0)$ . Now if  $\delta g_i \neq 0$ , since  $k_i \geq 2$ , there exists a  $\theta \in C_c^\infty(\Omega; \mathbf{\Lambda}^{k-2})$  such that  $\int_{\Omega} \langle g_i; d\theta \rangle < 0$ . Define  $\boldsymbol{\theta} = (\theta_1, \dots, \theta_m)$  such that for all  $1 \leq j \leq m$ ,

$$\theta_j = \begin{cases} \theta & \text{if } i = j, \\ 0 & \text{otherwise.} \end{cases}$$

Then  $\boldsymbol{\omega} + \mathbf{d}\boldsymbol{\theta} \in \boldsymbol{\omega}_0 + W_0^{1,\mathbf{p}}(\Omega; \mathbf{\Lambda}^{k-1})$  and we have,

$$I(\boldsymbol{\omega} + \mathbf{d}\boldsymbol{\theta}) = \int_{\Omega} [f(x, \mathbf{d}\boldsymbol{\omega}) + \langle \mathbf{g}; \boldsymbol{\omega} \rangle] + \int_{\Omega} \langle g_i; d\theta \rangle < m,$$

which is impossible since  $\boldsymbol{\omega}$  is a minimizer.

(iii) Note that if  $f : \Omega \times \mathbf{\Lambda}^k \rightarrow \mathbb{R}$  satisfies the hypotheses of the theorem for some  $\mathbf{p}$ , then for any  $\mathbf{G} \in L^{\mathbf{p}'}(\Omega; \mathbf{\Lambda}^k)$ , the function  $F : \Omega \times \mathbf{\Lambda}^k \rightarrow \mathbb{R}$ , defined by,

$$F(x, \boldsymbol{\xi}) = f(x, \boldsymbol{\xi}) + \langle \mathbf{G}; \boldsymbol{\xi} \rangle \quad \text{for every } \boldsymbol{\xi} \in \mathbf{\Lambda}^k,$$

also satisfies all the hypotheses with the same  $\mathbf{p}$ .

**Proof Step 1** First we show that we can assume  $\mathbf{g} = 0$ . Since  $\mathbf{g} \in L^{\mathbf{p}'}(\Omega; \mathbf{\Lambda}^{k-1})$  satisfies  $\delta \mathbf{g} = 0$  in the sense of distributions, we can find  $\mathbf{G} \in W^{1,\mathbf{p}'}(\Omega; \mathbf{\Lambda}^k)$ , such that,

$$\begin{cases} \mathbf{d}\mathbf{G} = 0 & \text{and } \delta \mathbf{G} = \mathbf{g} & \text{in } \Omega, \\ \nu \wedge \mathbf{G} = 0 & & \text{on } \partial\Omega. \end{cases}$$

Thus, for any  $\omega \in \omega_0 + W_0^{1,p}(\Omega; \Lambda^{k-1})$ , we have,

$$\int_{\Omega} \langle \mathbf{g}; \omega \rangle = \int_{\Omega} \langle \delta \mathbf{G}; \omega \rangle = - \int_{\Omega} \langle \mathbf{G}; d\omega \rangle + \int_{\partial\Omega} \langle \nu \lrcorner \mathbf{G}; \omega_0 \rangle.$$

Given  $\omega_0 \in W^{1,p}(\Omega; \Lambda^{k-1})$  and  $\mathbf{g} \in L^{p'}(\Omega; \Lambda^{k-1})$ ,  $\int_{\partial\Omega} \langle \nu \lrcorner \mathbf{G}; \omega_0 \rangle$  is just a real number which does not matter for minimization. Now the claim follows from remark 6.5(iii).

*Step 2* By step 1, we assume from now on that  $\mathbf{g} = 0$ . Let  $\{\omega^s\}$  be a minimizing sequence of  $(\mathcal{P}_0)$ . By the growth condition (14), there exists a constant  $c > 0$  such that

$$\|d\omega^s\|_{L^p(\Omega; \Lambda^k)} \leq c.$$

Hence by lemma 6.3, there exist maps  $\omega \in \omega_0 + W_0^{1,p}(\Omega; \Lambda^{k-1})$  and  $\beta \in \omega_0 + W_T^{1,p}(\Omega; \Lambda^{k-1})$  satisfying

$$d\beta = d\omega \quad \text{in } \Omega,$$

and a sequence  $\{\beta^s\} \subset \omega_0 + W_T^{1,p}(\Omega; \Lambda^{k-1})$  such that

$$\begin{aligned} d\omega^s &= d\beta^s \quad \text{in } \Omega, \text{ for every } s \\ &\text{and} \\ \beta^s &\rightharpoonup \beta \text{ in } W^{d,p}(\Omega; \Lambda^{k-1}). \end{aligned}$$

Using theorem 4.16, we obtain,

$$\begin{aligned} m &= \liminf_{s \rightarrow \infty} \int_{\Omega} f(x, d\omega^s) = \liminf_{s \rightarrow \infty} \int_{\Omega} f(x, d\beta^s) \geq \int_{\Omega} f(x, d\beta) \\ &= \int_{\Omega} f(x, d\omega) \geq m. \end{aligned}$$

This concludes the proof of the theorem.  $\blacksquare$

**Remark 6.6** *It is easy to see that  $\beta$  in the proof of theorem 6.4 is a minimizer to the problem*

$$(\mathcal{P}_{\delta,T}) \quad \inf \left\{ \int_{\Omega} [f(x, d\omega) + \langle \mathbf{g}; \omega \rangle] : \omega \in \omega_0 + W_{\delta,T}^{d,p}(\Omega; \Lambda^{k-1}) \right\} = m_{\delta,T},$$

*under the hypotheses of the theorem 6.4 and thus  $m_{\delta,T} = m$ .*

### 6.2.2. Existence theorem for polyconvex functions

**Theorem 6.7** *Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, smooth and let  $\mathbf{k}$  be given. Let  $\mathbf{p} = (p_1, \dots, p_m)$  where  $1 < p_i < \infty$  for all  $1 \leq i \leq m$  be such that  $\sum_{i=1}^m \frac{\alpha_i}{p_i} < 1$  for any  $\alpha$  such that there exists  $\xi \in \Lambda^{\mathbf{k}}$  with  $\xi^{\alpha} \neq 0$ . Let  $F : \Omega \times \mathbb{R}^{\tau(n,\mathbf{k})} \rightarrow$*

$\mathbb{R} \cup \{+\infty\}$  be a Carathéodory function, satisfying for a.e  $x \in \Omega$ , for every  $\Xi \in \mathbb{R}^{\tau(n, \mathbf{k})}$ ,

$$\begin{aligned} \Xi &\mapsto F(x, \Xi) \text{ is convex,} \\ &\text{and} \\ F(x, \Xi) &\geq a(x) + b\|\Xi_1\|^p, \end{aligned} \tag{15}$$

where  $\Xi = (\Xi_1, \dots, \Xi_{N(\mathbf{k})}) \in \mathbb{R}^{\tau(n, \mathbf{k})}$ ,  $a \in L^1(\Omega)$ ,  $b > 0$  and

$$\|\Xi_1\|^p = \sum_{i=1}^m |\Xi_1^i|^{p_i}, \quad \text{where } \Xi_1 = (\Xi_1^1, \dots, \Xi_1^m) \in \Lambda^{\mathbf{k}}.$$

Let  $\mathbf{g} \in L^{\mathbf{p}'}(\Omega; \Lambda^{\mathbf{k}-1})$  be such that  $\delta \mathbf{g} = 0$  in the sense of distributions and  $\omega_0 \in W^{1, \mathbf{p}}(\Omega; \Lambda^{\mathbf{k}-1})$ . Let

$$(\mathcal{P}) \inf \left\{ I(\omega) = \int_{\Omega} [F(x, T(\mathbf{d}\omega)) + \langle \mathbf{g}; \omega \rangle] : \omega \in \omega_0 + W_0^{1, \mathbf{p}}(\Omega; \Lambda^{\mathbf{k}-1}) \right\} = m.$$

Then the problem  $(\mathcal{P})$  has a minimizer.

**Proof** By the same argument as in the proof of theorem 6.4, Step 1, we can assume that  $\mathbf{g} = 0$ . Let  $\{\omega^s\}$  be a minimizing sequence of  $(\mathcal{P})$ . By (15), there exists a constant  $c > 0$  such that

$$\|\mathbf{d}\omega^s\|_{L^{\mathbf{p}}(\Omega; \Lambda^{\mathbf{k}})} \leq c.$$

Thus we have

$$\mathbf{d}\omega^s \rightharpoonup \zeta \quad \text{in } L^{\mathbf{p}}(\Omega; \Lambda^{\mathbf{k}}).$$

By the weak convergence, it also follows that  $d\zeta = 0$  in the sense of distributions and  $\nu \wedge \zeta = \nu \wedge \mathbf{d}\omega_0$  on  $\partial\Omega$ . Thus, we can find  $\omega \in \omega_0 + W_0^{1, \mathbf{p}}(\Omega; \Lambda^{\mathbf{k}-1})$  such that

$$\begin{cases} \mathbf{d}\omega = \zeta & \text{in } \Omega, \\ \omega = \omega_0 & \text{on } \partial\Omega. \end{cases}$$

Thus, we have,

$$\mathbf{d}\omega^s \rightharpoonup \mathbf{d}\omega \quad \text{in } L^{\mathbf{p}}(\Omega; \Lambda^{\mathbf{k}}).$$

Then by the assumption on  $\mathbf{p}$ , theorem 5.15 implies,

$$T(\mathbf{d}\omega^s) \rightharpoonup T(\mathbf{d}\omega) \quad \text{in } L^1(\Omega; \mathbb{R}^{\tau(n, \mathbf{k})}). \tag{16}$$

Since  $\Xi \mapsto F(x, \Xi)$  is convex, we obtain  $I(\omega) = m$ . ■

**Remark 6.8** The pointwise coercivity condition (15) used here can be unnecessarily strong in practice for applications. Indeed, any condition that ensures the convergence (16) for all minimizing sequences is enough, as the proof shows. As an example, the ‘mean coercivity’ condition introduced in Iwaniec-Lutoborski ([16], definition 9.1) works as well.

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