

Region-specific impairment of the cervical spinal cord (SC) in amyotrophic lateral sclerosis: A preliminary study using SC templates and quantitative MRI (diffusion tensor imaging/inhomogeneous magnetization transfer)

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Henitsoa Rasoanandrianina, Aude-Marie Grapperon, Manuel Taso, Olivier M. Girard, Guillaume Duhamel, et al.. Region-specific impairment of the cervical spinal cord (SC) in amyotrophic lateral sclerosis: A preliminary study using SC templates and quantitative MRI (diffusion tensor imaging/inhomogeneous magnetization transfer). NMR in Biomedicine, 2017, 30 (12), pp.e3801. 10.1002/nbm.3801. hal-01657945

HAL Id: hal-01657945 https://hal.science/hal-01657945v1

Submitted on 20 Nov 2019

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- 1 Region-specific impairment of the cervical spinal cord (SC) in amyotrophic
- 2 lateral sclerosis: a preliminary study using SC templates and quantitative MRI
- 3 (DTI/ihMT).
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- 70
- 71 Abstract word count: 285
- 72 Main text word count: 7439
- 73
- 74

75 Region-specific impairment of the cervical spinal cord (SC) in amyotrophic

76 lateral sclerosis: a preliminary study using SC templates and quantitative MRI 77 (DTI/ihMT).

- In this preliminary study, our objective was to investigate the potentiality of high-resolution
 anatomical imaging, Diffusion Tensor Imaging and conventional/inhomogeneous Magnetization
 Transfer imaging (MT/ihMT) at 3T analyzed with template-extracted regions of interest to measure
 the atrophy and the structural changes of white and gray matter spinal cord (SC) occurring in ALS
 patients.
- 83 Ten ALS patients and 20 age-matched healthy controls were recruited. SC gray matter (GM) and 84 white matter (WM) areas were automatically segmented using dedicated templates. Atrophy indices 85 were evaluated from T2*-weighted images at each vertebral level from cervical C1 to C6. DTI and 86 ihMT metrics were quantified within the corticospinal tracts (CST), the posterior sensory tracts 87 (PST) and the anterior GM horns (aGM) at C2 and C5 levels. Clinical disabilities of ALS patients 88 were evaluated using Revised ALS Functional Rating Scale, Upper Motor Neuron (UMN) and 89 Medical Research Council scorings and correlated to MR metrics.
- 90Compared to healthy controls, GM and WM atrophies were observed in ALS patients, especially at91lower cervical levels, where a strong correlation was additionally observed between GM atrophy92and the UMN score (R=-0.75, p=0.05 at C6). Interestingly, significant decrease of ihMT ratios was93found in all the regions of interest (p-values<0.0008), FA and MT ratios significantly decreased in</td>94CST especially at C5 (p-values<0.005) and λ_{ll} significantly decreased in CST (p=0.004) and PST95(p=0.003) at C2. Strong correlations between MRI metrics and clinical scores were also found96(0.47 < |R| < 0.87, p-values<0.05).</td>
- 97 Altogether, these preliminary results suggest that high-resolution anatomical imaging and ihMT
 98 imaging, in addition to DTI, are valuable to characterize SC tissue impairment in ALS. In this study,
 99 in addition to an important SC WM demyelination, we also observed for the first time in ALS
 100 impairments of cervical anterior GM.
- 101
- 102 <u>Keywords:</u>
- ALS, spinal cord, diffusion tensor imaging, inhomogeneous magnetization transfer, motor neuron, GM
 atrophy, spinal cord templates
- 105

106 Abbreviations

(a)GM	(Anterior) Gray Matter
ADC	Apparent Diffusion Coefficient
ALS	Amyotrophic Lateral Sclerosis
ALSFRS-R	Revised Amyotrophic Lateral Sclerosis Functional Rating Scale
ANOVA	Analysis of Variance
CSA	Cross-sectional area
CSF	Cerebrospinal Fluid
CST	Corticospinal tract
DPR	Disease Progression Rate
DTI	Diffusion Tensor Imaging
ECG	Electrocardiogram
FA	Fractional anisotropy
FOV	Field of view
HASTE	Half-Fourier acquired single-shot turbo spin echo
ihMT(R)	Inhomogeneous Magnetization Transfer (Ratio)
LMN	Lower Motor Neuron

Multi-parametric
Magnetic Resonance (Imaging)
Medical Research Council
Magnetization Transfer (Ratio)
Posterior Sensitive tract
Radiofrequency
Region of Interest
Spinal Cord
Spin-echo Echo-Planar Imaging
Standard deviation
Upper Motor Neuron
White Matter
Axial diffusivity
Radial diffusivity

107

108 Introduction

109 Amyotrophic Lateral Sclerosis (ALS) is a fatal neurodegenerative disease affecting both upper and 110 lower motor neurons (UMN and LMN, respectively), which leads to progressive muscle weakness, 111 physical disability, and eventual death within 1 to 10 years from symptom onset with a mean survival of 3 years^{1,2}.11/20/2019 10:31:00 AM Given this marked heterogeneity of the disease, 112 there is an unmet need for diagnostic, prognostic and monitoring biomarkers that could improve our 113 114 understanding of ALS physiopathology. Such indicators have already been the subject of important 115 investigations in both animal and human studies using a wide variety of techniques including electrophysiology, serology, as well as *postmortem* and *in vivo* Magnetic Resonance Imaging 116 (MRI), and evidence of progressive damages of the corticospinal tracts (CST), involvement of 117 sensory pathways³⁻⁵, and presence of gray matter (GM) atrophy and degeneration^{6,7} have thereby 118 been reported. In vivo MRI bears particularly great potential to investigate the pathology. Numerous 119 studies have hence been conducted on the brain⁸, however only a few focused on the SC so far, 120 121 mostly due to technical challenges related to small diameter, physiological motions and susceptibility artifacts^{9,10}. 122

Thanks to recent improvements including data sampling strategies or triggering method^{11,12}, cord MRI can now indirectly help probing abnormalities in spinal GM motor neurons. Correlations between cord atrophy and hand muscle deficit have for instance been reported⁵. Cord MRI also helps characterizing impairment of white matter (WM) pathways. Degeneration of CST but also posterior sensory tracts (PST) have notably been detected using Diffusion Tensor Imaging (DTI) and Magnetization Transfer (MT)^{4,5,13,14}.

To go further, we now propose to specifically target GM atrophy and WM demyelination and see whether this could impact our knowledge on ALS disease. More precisely, given the relatively insufficient specificity of both DTI and conventional MT techniques to identify different pathophysiological mechanisms^{15–17}, our aim here is to combine them with high-resolution anatomical imaging and the emerging inhomogeneous MT technique (ihMT)^{18,19}.

134 Indeed, DTI has largely been used to quantitatively assess structural changes in both brain and SC 135 WM. DTI metrics, especially axial and radial diffusivities (λ_{\parallel} and λ_{\perp} respectively) are now 136 extensively used to evaluate axonal degeneration and demyelination in several pathologies 137 involving the SC¹². However, changes in DTI metrics could reflect various pathological 138 mechanisms such as inflammation, edema, demyelination or axonal degeneration, hence limiting 139 the specificity of the technique¹¹. In the same way, MT has also largely been used and the derived 140 MT metric (Magnetization transfer ratio or MTR) has proven to be highly sensitive to

- microstructural tissue changes, especially to myelin alterations^{5,20,21}. MT has however been reported 141 having specificity limitation towards myelin, being sensitive to all the macromolecular pool and not 142 only to myelinated structures. On the other hand, the recently proposed inhomogeneous MT 143 144 technique bears great potential for myelin specific imaging. This new contrast mechanism has been interpreted as a dipolar order effect within the macromolecular pool which is characterized by a 145 relaxation time constant T_{ID}^{22} . The ihMT technique provides a new mean to enhance the selectivity 146 of MRI by properly weighting the signal to specific T_{1D} range. Hence, thanks to its long $T_{1D}^{23,24}$ the 147 dipolar order contribution of the myelinated structures can be isolated from the broad MT signal. 148 giving rise to an enhanced myelinated tissue contrast as compared to conventional MT^{19,25}. 149 Although extensive work is still on-going for the full characterization of this technique^{24,26}, it has 150 already been used to assess brain and SC tissue demyelination in normal aging and multiple 151 sclerosis^{27,28}. Never applied in ALS so far, it could bring new insights into the pathophysiology of 152 153 ALS.
- 154 As for the resolution, most SC studies have so far focused on the characterization of the whole cord 155 itself rather than its substructures, mostly due to the low spatial resolution of conventional clinical 156 images with regards to the small SC cross-sectional dimensions. Over the last few years, highresolution anatomical imaging has been used to derive morphometric analyses in both WM and GM 157 separately, allowing for instance studying GM atrophy occurring with age^{28,29}. High-resolution 158 159 anatomical imaging hence also offers great potential to distinctively assess GM and WM 160 impairments in ALS.
- 161 In this context, by using a multi-parametric (mp-) MR protocol combining DTI, ihMT and high-162 resolution anatomical imaging along with SC templates and clinical evaluation, this preliminary and 163 pilot study aimed to separately evaluate GM and WM atrophy at the cervical spine level, to assess structural changes occurring within specific SC regions of interest (ROIs) and ultimately, to provide 164 new biomarkers and insights useful for the study of ALS disease mechanisms. 165
- 166

167 Material and methods

168 *Subjects*

Ten patients (8 men, 2 women, age: 55.6±9.0 years old, ranging from 40 to 70) diagnosed with 169 probable or definite ALS with spinal-onset according to the Awaji criteria³⁰ and 20 age-matched 170 171 healthy control (HC) subjects (10 men, 10 women, age: 53.8±10.0 years old, ranging from 39 to 74) 172 were included. The local institutional ethics review board approved the study and written informed 173 consent was obtained from all subjects before participation. Exclusion criteria were history of 174 cervical trauma and acute medical condition.

175 **Clinical Assessment**

- 176 All patients underwent clinical examination before MR scanning (Table 1). Motor disability was evaluated using the revised ALS Functional Rating Scale (ALSFRS-R) (normal value, 48; 177 minimum, 0)³¹, from which the disease progression rate (DPR) was derived such that DPR (in 178 months $^{-1}$) =(48-ALSFRS-R)/disease duration (in months). By removing bulbar-related sub-score 179 180 (normal value: 12; minimum 0) from total ALSFRS-R, Spinal ALSFRS-R sub-score (normal value: 181 36; minimum 0) was extracted. An adapted Upper Motor Neuron score (UMN)³², grading limb tendon and jaw jerk reflexes, as well as Hoffman and Babinski signs, was also evaluated (normal 182 183 value, 24 to 34; maximum: 55).
- Muscle strength in the upper limbs was measured in 14 muscles (7 on each side) using the Medical Research Council $(MRC)^{32,33}$ rating scale (normal value per muscle, 5; range, [0 5]). Hand Grip 184
- 185
- strength was measured to evaluate upper limb muscle deficits using the Martin Vigorimeter (Martin 186
- Medizintechnik, Tuttlingen, Germany)^{34,35}. 187
- MR Imaging and preprocessing 188

189 MR acquisitions were performed on a 3T-MRI (MAGNETOM Verio; Siemens Healthcare, 190 Erlangen, Germany) using the body coil for transmission, and the standard 12-channel head, 4-191 channel neck and 24-channel spine matrix array coils for reception. The MR protocol, including 192 anatomical, DTI and ihMT acquisitions (cf. *Table 2* for general sequence parameters), was 193 optimized to last about one hour to minimize patient discomfort.

Anatomical imaging included one sagittal 3D T₂-weighted turbo spin-echo (TSE) sequence covering the entire cervical spinal cord from cervical level C1 to C7 and two axial 2D T₂*-weighted multi-echo gradient echo sequences (Multi-Echo Data Image Combination, MEDIC) providing good WM and GM contrast. One slab (9 slices) was centered and optimized to be strictly perpendicular to the SC axis at mid-C2 (covering from C1 to C3) and the other one centered at mid-C5 (covering from C4 to C6-C7).

200

201 **Diffusion Tensor Imaging (DTI)** consisted in two axial slabs acquired using a monopolar single-202 shot spin-echo echo-planar imaging (SE-EPI) prototype sequence (ECG-gating³⁶, trigger delay 203 300ms, TR ~ 2500ms (3 heart beats), fat saturation, 4 averages), placed perpendicularly to the SC 204 axis: one was positioned mid-vertebrae at C2 level and the other one at C5 level. Local B₀ 205 shimming was performed prior to the acquisition. Diffusion parameters were 30 independent 206 diffusion-encoding directions, 2 b-values (0 and 800s/mm²), and δ/Δ 15.42/34.24 ms.

For each acquisition, in-line correction of eddy-current-induced distortions was performed using dynamic field correction³⁷. The DTI metrics (*Fractional Anisotropy (FA); Apparent Diffusion Coefficient (ADC); Radial diffusivity (\lambda_{\perp}); Axial diffusivity (\lambda_{//}))* were calculated online using Siemens Neuro 3D software.

Classic and inhomogeneous Magnetization transfer (MT/ihMT) imaging was similarly performed 212 213 at C2 and C5 levels with a customized pulsed saturation preparation optimized from¹⁹, (Hann-214 shaped pulses lasting 0.5ms each, repeated every 1ms for a total saturation duration of 500ms, 215 alternating single- and dual-frequency with a f=7kHz saturation offset, for a 4.9µT root-meansquare B_1 calculated over the whole saturation duration, hence delivering a total energy of 216 $12.1\mu T^2$.s) using a single-slice half-Fourier acquired single-shot turbo spin echo (HASTE) readout. 217 218 At each level, 4 unsaturated images (M_0) along with 4 repetitions of 30 interleaved images per 219 saturation scheme were acquired (124 images per time series in total). ECG data were recorded for retrospective correction of cerebrospinal fluid pulsatility-induced artifact as described in¹⁹. 220

MT/ihMT ratios (*MTR/ihMTR*) were subsequently reconstructed using Matlab scripts (MATLAB
 R2012a, Mathworks, Natick, MA, USA), according to:

(Eq. 1)

- 223 $MTR = 1 MT_{(+f)}/M_0$
- 224 $ihMTR = [MT_{(+f)} + MT_{(-f)} MT_{(+/-f)} MT_{(-/+f)}]/M_0$ (Eq. 2)

Where $MT_{(+f)}$ and $MT_{(-f)}$ correspond to a single offset saturation performed at positive and negative offset respectively; $MT_{(+/-f)}$ and $MT_{(-/+f)}$ correspond to dual offset saturations.

227 Post-processing

For each subject, individual anatomical T₂*-weighted and multi-parametric MR data were first co-228 registered. The sagittal 3D T_2 -w volume was then automatically segmented using PropSeg³⁸ and 229 non-linearly registered to the MNI-Poly-AMU template³⁹ so as to provide an automated vertebral 230 231 labeling of each subject scan. Then, for each level, automatic spinal cord segmentation and ROI delimitation based on the T_2^* -w images were performed using the probabilistic WM/GM AMU₄₀⁴⁰ 232 and WM tracts⁴¹ atlases, as summarized in *Figure 1* and previously described in ²⁸. The whole 233 processing was performed using a customized MATLAB (MATLAB R2012a, Mathworks, Natick, 234 235 MA, USA) script based on functionalities included in the SC Toolbox 42 .

After post-processing, the dataset for each subject consisted of 4 DTI metrics and 2 MT metrics extracted from the bilateral CST, PST, and anterior GM (aGM) horns at C2 and C5 levels. Total GM was not considered for mp-MRI quantification due to potential partial volume effect. AMU₄₀- based GM/WM segmentations were systematically visually checked, and when needed, manually
adjusted (19 % of the data). Then, Cross-Sectional Areas (CSA), in mm², were extracted from the
segmentations. Mean values for each vertebral level (identified by registration to the MNI-PolyAMU template) were obtained by averaging values from all corresponding slices. Finally, SC CSA
was obtained by computing the sum of GM and WM CSAs at each level (C7, which was not
systematically included within the slab, was not considered).

245 Statistical Analysis

246 Univariate statistical analyses were performed using JMP9 (SAS institute, Cary, NC, USA). CSA 247 from ALS patients and HC were compared in both GM and WM using two-way analyses of 248 variance (ANOVA) with age as covariate. Similarly, differences of DTI and MT/ihMT metrics 249 between the two groups (ALS and HC) were also assessed at each cervical level, within CST, PST 250 and aGM, as well as in the whole WM. P-values are reported without correction for multiple comparisons such that p<0.05, p<0.005 and p<0.005. Comparisons that survive 251 252 Bonferroni correction for multiple comparisons with a threshold of p<0.05 are marked with () in 253 the figures and tables.

- 254 Correlations between MR metrics and clinical disability scores (total ALSFRS-R, spinal ALSFRS-
- 255 R, DPR, disease duration, UMN score, MRC scale, Hand Grip Strength) were assessed using
- 256 Spearman rank test.

257 **Results**

258 Cross-sectional areas.

259 Figure 2 shows representative WM/GM segmentations obtained at C2 and C5 for a HC and an age-260 matched ALS patient. Figure 3 shows WM/GM CSA group analyses between controls and patients 261 at each cervical level from C1 to C6. No age-related variations were found when performing the 262 two-way ANOVA. As compared to HC, patients presented significantly lower GM CSA values (CSA≤28 mm², dropout rate up to 37%, ***) in lower cervical levels (C4-C6). Significantly 263 decreased WM CSA values (***) were also observed along the whole cervical SC, with higher 264 decrease percentage (-36% to -41%, CSA< 53mm²) at lower levels. As a consequence, whole SC 265 CSA values were also significantly decreased in ALS patients (data not shown), the highest 266 267 decrease being found at C4-C6 levels (-35% to -39%, CSA<63mm²).

268 Regional multi-parametric MRI

Characteristic mp-MRI maps collected in a HC subject and two age-matched ALS patients, one with moderate disease progression (ALS1, age=55, total ALSFRS-R=41, spinal ALSFRS-R=29, disease duration 41 months, DPR=0.17 months⁻¹) and one with severe disease progression (ALS2, age=56, total ALSFRS-R=29, spinal ALSFRS-R=18, disease duration 17 months, DPR=2.71 months⁻¹) are shown in *Figure 4*.

- Metrics obtained for both populations in the different ROIs are summarized in *Figure 5* (see also *Supplementary Table 1* for a complete report of the results) and detailed below. According to the ANOVA, age did not influence any of the metric variations.
- 277 <u>a)</u> <u>Bilateral CST (Figure 5.A):</u> in ALS patients, FA and ihMTR were found significantly lower 278 as compared to controls (more than 12% and 17% of decrease, respectively). λ_{\perp} was higher (up to 279 +23%) although non-significantly different after correction for multiple correction. A moderate but 280 significant decrease was also observed for MTR (-10% at C5) and λ_{\parallel} (-9% at C2).
- <u>Bilateral PST (Figure 5.B)</u>: Similar variations although less pronounced and less significant
 than in the CST were observed for all metrics. A significant ihMTR decrease down to -15% was
 notably observed at C2 and down to -10% at C5 level.
- 284 <u>c)</u> <u>Whole WM (Figure 5.C)</u>: FA showed a significant decrease (down to -18% at C5), λ_{\perp} a 285 large and significant increase (more than +25%) whilst ADC increase was moderate but significant

at C5 after Bonferroni correction. More importantly, MTR and ihMTR were significantly decreased
at both C2 and C5 levels (down to -25% and -15%, respectively).

288 <u>d)</u> <u>Anterior GM horns (Figure 5.D)</u>: In average, slightly but non-significantly increased ADC 289 values (between +9% and +12%) in association with increase of both λ_{\parallel} and λ_{\perp} were observed for 290 the patients as compared to HC. A moderate decrease tendency of MTR values along with a 291 significant decrease of ihMTR values (down to -12%) were also found at both C2 and C5 levels.

292 Correlations with clinical scores

293 Whole SC CSA was found significantly correlated to both total ALSFRS-R score ($\rho=0.63$, p=0.05) 294 and spinal ALSFRS-R sub-score (ρ =0.64, p=0.05) (cf. *Figure 6A*) at C5, and a correlation tendency was observed at C6. Altogether, DTI and MT/ihMT metrics impairments were largely correlated to 295 296 disease duration and MRC, especially at the C2 level in all regions. Complete correlation results are 297 summarized in Supplementary Table 2. CST metrics at C2 ($0.46 \le |\rho| \le 0.80$) were more correlated to 298 clinical scores than at C5 ($0.49 \le |\rho| \le 0.61$). MTR/ihMTR in aGM at both levels were more correlated 299 to clinical scores than DTI metrics. Representative significant correlations are illustrated in Figure 300 6B, C, and D.

301 Discussion

The main purpose of this study was to probe regional and microstructural changes of SC tissue occurring in ALS using a template-based analysis of multi-parametric MRI including highresolution anatomical imaging, DTI and conventional/inhomogeneous MT. Results showed evidences for: 1) WM and SC atrophy throughout the whole cervical segment and GM atrophy mainly at lower levels; 2) significant DTI and ihMT metrics variations compared to HC in the lateral CST, the PST and the aGM at both C2 and C5 cervical levels; 3) Correlations between MR metrics and clinical scores within the investigated ROIs.

309 Spinal cord, Gray Matter and White Matter atrophies

Most neuroimaging studies in ALS were conducted in the brain and showed evidence of GM and WM degeneration^{6,8,43-49}. The few studies conducted so far in the cervical SC reported a reduction of cord CSA^{5,21,43,50,51}, but they did not investigate GM/WM separately, probably due to insufficient GM/WM image contrast and low spatial resolution. In this study, thanks to the high GM/WM contrast and the higher resolution of our T₂*-weighted images, we were able, not only to confirm the atrophy of cervical SC but also to quantify atrophy occurring in both GM and WM.

GM atrophy has already been widely investigated in ALS at the brain level^{6,7,52,53} and reported to 316 reflect degeneration of UMN. Similarly, the GM atrophy we found here probably reflects 317 degeneration/destructuration of LMN as expected in ALS pathophysiology. Although no MR 318 319 studies comparing the different forms of ALS (bulbar and spinal onset³) has yet been performed, the 320 GM atrophy observed here is nonetheless highly consistent with the spinal-onset ALS form of our patients. As for WM atrophy, although WM loss have previously been reported by several studies 321 conducted in the brain^{54,55}, studies conducted in the spinal cord only reported WM tracts degeneration, particularly the CST^{5,21,56,57}, but did not directly evaluate WM atrophy. In this study, 322 323 based on automatic GM/WM segmentations of high-resolution anatomical T₂*-weighted images, 324 325 we were able to probe WM atrophy in ALS patients which could be explained by WM tracts degeneration as will be discussed in the next section. Further longitudinal assessment will provide 326 327 more insights to these GM and WM atrophies and their temporal evolution patterns.

328 ALS, a multi-system degenerative process

329 CST degeneration in ALS has already been shown by numerous MR studies using various 330 techniques including DTI and MT in both the brain^{47,58,59} and the SC^{4,5,14,21,43}. Focusing on the 331 spinal level, these studies reported reduced FA and increased λ_{\perp} in the CST along the cervical 332 SC^{4,5,14}, as well as reduced MTR^{5,21} and non-significant ADC increase and λ_{\parallel} decrease. Our 333 findings confirm these results and add further evidence of CST impairment from the ihMTR

- significant decrease observed at both C2 and C5 cervical levels. FA, λ_{\parallel} and ADC changes may 334 suggest axonal degeneration or destructuration, whereas the increase of λ_{\perp} combined with 335 336 decreased MTR and, more importantly with decreased ihMTR, the presumably myelin-specific 337 contrast, suggest demyelination of the CST. Interestingly, ihMTR demonstrated the most significant 338 variations as compared to the more conventional MTR and λ_{\perp} .
- 339 Although a moderate number of patients was included in this preliminary study, regression analyses
- 340 showed significant correlations between FA, λ_{\perp} and total ALSFRS-R, spinal ALSFRS-R, MRC and
- Hand Grip strength, at both C2 and C5 levels. Previous studies have reported correlations between disease severity and FA in the lateral CST^{5,21}, but did not find any other significant correlations. 341
- 342
- ALS neurodegeneration is however not restricted to the primary motor system. Although less 343 important and slower, it also extends to the sensory system as reported, not only in the brain^{4,44,60}, 344 but also in the SC by Cohen-Adad et al.⁵ and Iglesias et al.⁴. Both SC studies reported significant 345 decrease of FA and increase of λ_{\perp} while λ_{\parallel} showed no change in the dorsal columns. Moreover, 346 Cohen-Adad et al. observed a decrease tendency of MTR values. In the present study, similar 347 patterns of metric variations were observed in the PST with a trend for λ_{\perp} increase and MTR 348 349 decrease. Additionally, a significant ihMTR decrease was observed at both C2 and C5 levels, hence 350 suggesting similar, although less pronounced, alterations in PST than in CST, in agreement with the 351 two aforementioned studies reporting a less important impairment of sensory pathways than that of 352 motor systems. Again, interestingly, ihMT variations were found more important and more 353 significant than that of MTR and λ_1 . Results of preliminary correlation analyses between MR 354 metrics and clinical score suggested degeneration/demyelination of PST as functional and muscular 355 deficits get worse, also in line with the hypothesis of progressive sensory pathways degeneration 356 observed by Iglesias et al^4 .

357 Considering the whole cross-sectional WM, FA, MTR and ihMTR significantly decreased, λ_1 358 significantly increased, and ADC tended to increase. To our knowledge, the present study is the 359 first to investigate DTI and MT/ihMT metrics variations in the whole SC WM. The metric 360 variations observed along with the tendency of correlation to clinical scores are in line with those 361 measured in CST and PST taken separately, although the variation amplitude for all metrics in 362 whole WM tended to be higher. One hypothesis for this tendency would be the alteration of other 363 WM tracts such as anterior CST, rubrospinal or reticulospinal tracts. However, investigation of 364 these latter was beyond the scope of this pilot study due to their small size (less than 10 pixels). 365 Further studies with higher spatial resolution involving all WM tracts must thus be conducted to 366 identify all the altered tracts.

367 Even though ALS is primarily characterized by involvement of both UMN and LMN, assessing 368 reliably with MRI the spinal anterior GM that contains the motor neurons of interest remains a 369 challenge. Thanks to the highly contrasted and resolved T_2^* -w images and the automatic AMU₄₀ 370 WM/GM segmentation and ROI delimitation used in this study, we successfully investigated in vivo 371 spinal aGM degeneration with mp-MRI. Changes in ALS patients' metrics were found: FA tended 372 to decrease and ADC and λ_{\parallel} to increase, which could be explained by neuronal loss. MTR tended to 373 decrease whilst ihMTR significantly did. GM oligodendroglial involvement in the SC has already been reported in *in vitro* and *postmortem* ALS studies^{61,62}, and the ihMTR decrease observed here 374 375 might thus suggest GM demyelination. As for now, the ihMTR values obtained here in GM should 376 cautiously be interpreted as spatial blurring associated to the HASTE imaging readout might have 377 slightly contaminated GM voxel with WM signals. Results obtained in aGM are nonetheless of 378 particular interest for future explorations, and further studies using an alternative readout module 379 will be conducted to minimize the potential WM signal contamination effect. Finally, when 380 performing regression analyses, significant correlations between ihMTR and MTR and disease 381 duration at both C2 and C5 levels, between ihMTR and MTR and spinal ALSFRS-R, MRC and 382 Hand Grip strength at C2, and between λ_{\parallel} and MRC at C5 were found. Taken together with atrophy, 383 these results suggest a more important neurodegeneration in lower cervical levels. This process

could be explained by the anatomical topography of motor neurons in the cervical SC described by Routal and Pal⁶³, which suggest that spinal aGM horns at lower cervical levels contain numerous and thicker motor neuron groups as compared to upper cervical levels. These motor neurons could be involved in early stage of ALS neurodegeneration according to the four-stage model of ALS proposed by Braak et al.⁶⁴. Further longitudinal follow-ups and multi-level investigations will be conducted to answer this specific question.

390 *Limitations and perspectives*

As aforementioned, we chose to limit the protocol to a one-hour acquisition time for the sake of patient comfort, hence precluding mp-MRI investigation of all cervical levels. To address this issue, further methodological developments are under consideration to allow for multi-slice and multiangle capability for both DTI and ihMT sequences, hence providing multiple pure cross-sectional SC slices within a single acquisition.

Although challenging due to SNR loss, further developments towards a reduction of DTI and ihMT 396 397 in-plane resolution (0.9x0.9 mm²) and slice thickness (10 mm) are also to be considered. Increasing 398 the spatial in-plane resolution would allow exploration of the posterior GM horns, so far unexplored 399 for mp-MRI due to GM-WM partial volume effect (PVE). Reducing the slice thickness may also 400 help limiting PVE, even though through-slice morphological variation in the SC is expected to be 401 small when the slice is positioned strictly perpendicular to the cord axis. It is worth noting that this 402 choice of slice thickness (10 mm) was driven by the important need of SNR of the ihMT acquisition 403 and was applied to DTI to ensure the same PVE-induced contamination. Current strategies developed to enhance the ihMT signal²⁶ will greatly help to handle these issues in future studies. 404

405 These sequence improvements along with longitudinal patients' follow-ups will allow assessing 406 both spatial and chronological progression of ALS. Additionally, further investigations on a larger 407 cohort using a multivariate approach could lead to a better stratification of patients and finer 408 characterization of SC degeneration. Furthermore, since some patients in this study showed 409 asymmetric deficits when considering clinical scores (MRC score, Hand Grip strength) from left 410 and right upper limbs, a careful investigation and correlation with mp-MRI metrics in lateralized 411 ROIs, currently unavailable given the small cohort of patients, has to be performed in order to 412 assess whether such asymmetry might also be observed in mp-MRI metrics variation.

Finally, when considering DTI and MT/ihMT metrics, ihMTR decreases were found systematically 413 414 more important and more statistically significant than DTI and conventional MT metrics variations within all ROIs, suggesting a marked sensitivity of the ihMT technique to tissue microstructural 415 changes. This technique will therefore be of great interest when performing longitudinal follow-ups 416 417 on ALS patients to monitor spatial and temporal tissue demyelination. Moreover, it would also offer 418 great perspectives for investigating other demyelinating pathologies such as Multiple Sclerosis. In 419 this context, it is worth noting that the ihMT contrast, which relies on dipolar mechanisms, is 420 magnetic-field independent and has been previously applied at both 1.5T and 3T. Translation to 421 higher field strengths (e.g. 7T) should be feasible in principle as long as the RF energy deposition is 422 maintained within regulatory constraints. In this perspective, recent strategies aiming at reducing the RF demand to optimize the ihMT ratio are of great utility 26 . 423

424 Despite some limitations, this pilot study combining high-resolution morphological and quantitative 425 MRI showed great potential to investigate WM/GM tissue degeneration and demyelination, two 426 major processes occurring in ALS. It more particularly suggests that: 1) spinal GM atrophy as 427 measured using T₂*-weighted-derived CSA may be a sensitive biomarker of anterior GM horn cell 428 degeneration in ALS; and 2) DTI and MT metrics, especially ihMT ratio, may be sensitive and 429 potentially early biomarkers of demyelination occurring in spinal cord WM; 3) multi-parametric 430 MRI using different but complementary MR techniques may be a great tool for a finer and more 431 exhaustive characterization of SC-involving pathologies.

432 Conclusion

- Thanks to dedicated template-based analysis, an efficient and reproducible way of extracting information from anatomical structures, and a mp-MRI protocol combining high-resolution anatomical imaging, DTI and the emerging myelin-specific inhomogeneous MT, we were able to probe evidences, for the first time, for both SC GM and WM atrophy in ALS. We also brought new elements clarifying the cervical GM horns degeneration, as well as corticospinal and posterior sensory tracts impairments.
- 439 In addition to CST and PST destructuration/degeneration shown by DTI metrics and preliminary 440 correlations with clinical scores, important demyelination has particularly been highlighted by 441 ihMT ratio decrease. Moreover, direct evidence of anterior GM horns involvement has also been 442 observed mostly through atrophy but also through ADC, λ_{\perp} and ihMTR variations.
- 443 Further longitudinal studies in a larger population and with refined MR sequences are to be 444 conducted to investigate the prognostic role of GM atrophy and WM demyelination as markers of 445 disease progression and to provide more insights to the pattern of degeneration and underlying 446 processes involved in ALS pathophysiology.
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448 Acknowledgments

This study was supported by the French CNRS (Centre National de la Recherche Scientifique) and the French MESR (Ministère de l'Enseignement Supérieur et de la Recherche). The authors are grateful for the time and effort that patients, healthy volunteers, caregivers and clinicians of La Timone Hospital invested in this study. We also would like to thank Guilherme Ribeiro for MATLAB code optimization, Veronique Gimenez, Lauriane Pini, Elisabeth Soulier and Sylviane Confort-Gouny for study logistics, volunteer recruitment and help with data acquisition.

455

456 **Declaration of interest:**

- 457 The authors report no conflicts of interest to disclose.
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684

685 Tables

	Median	Interquartile range	Min - Max
Disease duration (months)	15.5	[11-22]	[7-53]
Total ALSFRS-R (0-48)	41	[31-42]	[29-44]
Spinal ALSFRS-R sub-score	26.2	[20.2-31.9]	[18-32]
Disease progression rate	0.41	[0.28-1.06]	[0.17-2.71]
Total MRC Score (0-70)	58.5	[42-67]	[19-70]
Upper motor neuron score	40	[33-42]	[30-47]
Hand Grip strength (kPa)	27	[4-80]	[0-100];

686 **Table 1:** Clinical features of the 10 ALS patients included in this study.

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	DTI	ihMT	2D T2*-w	3D T2-w
Orientation /	Axial / Single Shot	Axial / HASTE	Axial / MGE	Sagittal /
Readout ⁽¹⁾	SE-EPI			TSE
TR (s)	~2.5/79	$\sim 4/TE_{eff}=48$	0.523/5 echoes,	1.5/124
/ TE (ms)			$TE_{eff}=27$	
Field of View	117x128mm ²	$172 \text{x} 172 \text{mm}^2$	135x180mm ²	256x256mm ²
Voxel size	$0.9 \times 0.9 \times 10 \text{mm}^3$	$0.9 \times 0.9 \times 10 \text{ mm}^3$	$0.47 \times 10.47 \times 5 \text{mm}^3$	$1x1x1mm^3$
Location (spatial	C2 (5 slices)	C2 (1 slice)	C1-C3 (9 slices)	C1-C7
coverage)	C5 (5 slices)	C5 (1 slice)	C4-C7 (9 slices)	
Flip angle	90/180°	90/120°	28°	110°
Parallel	GRAPPA	-	GRAPPA	-
acquisition	reconstruction (R=2)		reconstruction (R=2)	
Phase-Encoding	Right-Left	Right-Left	Anterior–Posterior	Head-Feet
direction				
Acquisition time	2x(~7min)	2x(~8min)	2x(3:44min)	5:53min

- Table 2:
 Main MR parameters used in this study.

 (1)
 SE-EPI: Spin-Echo Echo-Planar Imaging; HASTE: Half-Fourier Acquired Single-shot Turbo spin-Echo; MGE: Multiecho Gradient Echo, TSE: Turbo Spin-Echo; GRAPPA: Generalized auto

 calibrating partially parallel acquisitions; CSF: Cerebrospinal Fluid.

		FA (no units)			ADC (x10 ⁻³ mm ² /s)		λ// (x10 ⁻³ mm ² /s)		λ_{\perp} (x10 ⁻³ mm ² /s)		ihMTR (%)			MTR (%)					
		НС	ALS		HC	ALS		HC	ALS		НС	ALS		HC	ALS		НС	ALS	
	CST	6.96 ± 0.57	5.91 ± 0.92	** †	1.02 ± 0.10	1.05 ± 0.15		1.98 ± 0.14	1.81 ± 0.11	*** +	0.54 ± 0.12	0.67 ± 0.20	*	$\boldsymbol{6.25\pm0.70}$	5.20 ± 0.54	*** †	32.03 ± 2.06	30.34 ± 2.03	*
G	PST	7.12 ± 0.63	6.67 ± 0.68		1.06 ± 0.09	1.04 ± 0.11		2.10 ± 0.14	1.96 ± 0.08	** †	0.54 ± 0.12	0.59 ± 0.15		6.09 ± 0.55	5.20 ± 0.64	** †	32.15 ± 2.02	30.23 ± 2.61	*
02	Whole WM	6.99 ± 0.68	6.01 ± 0.74	** †	1.02 ± 0.11	1.07 ± 0.12		1.99 ± 0.12	1.87 ± 0.05	** †	0.53 ± 0.14	0.67 ± 0.16	* †	6.29 ± 0.42	5.13 ± 0.52	*** †	33.01 ± 1.77	30.42 ± 1.94	** †
	aGM	5.26 ± 0.61	4.94 ± 0.63		0.95 ± 0.09	1.04 ± 0.15	*	1.57 ± 0.11	1.65 ± 0.13	*	0.64 ± 0.10	0.73 ± 0.16		6.24 ± 0.39	5.48 ± 0.35	*** †	34.66 ± 2.14	33.46 ± 2.10	
	CST	6.25 ± 0.58	5.51 ± 0.79	** †	1.06 ± 0.13	1.12 ± 0.16		1.88 ± 0.14	1.83 ± 0.12		0.64 ± 0.15	0.76 ± 0.19		5.60 ± 0.47	4.45 ± 0.56	*** †	30.03 ± 2.08	26.90 ± 2.10	*** †
C5	PST	6.50 ± 0.66	6.25 ± 0.84		1.08 ± 0.13	1.08 ± 0.15		1.97 ± 0.12	1.91 ± 0.09		0.64 ± 0.16	0.67 ± 0.20		5.23 ± 0.40	4.72 ± 0.49	* †	29.15 ± 1.93	27.40 ± 1.53	*
	Whole WM	6.57 ± 0.60	5.41 ± 0.71	** †	1.05 ± 0.13	1.19 ± 0.17	* †	1.94 ± 0.11	1.92 ± 0.10		0.60 ± 0.16	0.82 ± 0.20	** †	5.53 ± 0.45	4.14 ± 0.34	*** †	30.01 ± 2.08	25.52 ± 1.85	*** †
	aGM	4.57 ± 0.80	4.59 ± 0.61		0.93 ± 0.15	1.04 ± 0.19		1.44 ± 0.23	1.59 ± 0.20		0.68 ± 0.14	0.77 ± 0.19		5.47 ± 0.36	4.76 ± 0.39	*** †	31.01 ± 1.48	29.34 ± 1.45	*

Supplementary Table 1: DTI and MT/ihMT metrics obtained for ALS and HC subjects in CST, PST, whole WM and anterior GM at both C2 and C5 levels. Values are mean \pm standard deviation. P-values resulting from two-way analyses of variance are reported such as *p<0.05, **p<0.005, ***p<0.0005, uncorrected for multiple comparisons. (a) indicate statistical differences that survive Bonferroni correction for multiple comparisons.

			Total ALSFRS-R	Spinal ALSFRS-R	Disease duration	MRC	Hand Grip
	-	FA	0.50 *	0.56 *	-0.47*	0.79 ***	0.52 *
		ADC	-0.55*	-0.60**	0.56 *	-0.80***	-0.59*
	Сет	λ//	-0.50*	-0.54*	0.53 *	-0.49*	-0.45*
		λ_{\perp}	-0.54*	-0.58*	0.54 *	-0.80***	-0.54*
		ihMTR	0.46 *	0.47 *	-0.56*	0.26	-0.00
		MTR	0.29	0.26	-0.34	0.24	0.15
		FA	0.02	0.03	-0.36	0.61 **	0.17
		ADC	-0.17	-0.19	0.46 *	-0.62**	-0.10
	DST	λ//	-0.23	-0.27	0.35	-0.48*	0.01
	151	λ_{\perp}	-0.05	0.06	0.43	-0.60**	-0.05
		ihMTR	0.29	0.31	-0.73***	0.36	0.06
C 2		MTR	0.37	0.35	-0.61**	0.34	-0.05
C2		FA	0.21	0.19	-0.50	0.66 *	0.37
		ADC	-0.34	-0.33	0.69 *	-0.69*	-0.53
	w w m	λ//	-0.64*	-0.70*	0.87 **	-0.64*	-0.90**
		λ_{\perp}	-0.34	0.33	0.69 *	-0.69*	-0.53
		ihMTR	0.51	0.48	-0.85**	0.47	0.52
		MTR	0.37	0.33	-0.66*	0.16	0.23
		FA	0.33	0.36	-0.58*	0.64 **	0.51 *
		ADC	-0.03	0.00	0.46 *	-0.29	-0.19
	aGM	λ//	0.13	0.21	0.10	-0.05	-0.09
		λ_{\perp}	-0.16	-0.16	0.52 *	-0.44*	-0.34
		ihMTR	0.50 *	0.55 *	-0.70***	0.70 **	0.50 *
		MTR	0.45 *	0.48 *	-0.57*	0.52 *	0.35
	CST	FA	0.53 *	0.49 *	-0.14	0.57 *	0.61*
		ADC	-0.44	-0.38	0.04	-0.48*	-0.47
		λ//	-0.06	-0.04	-0.15	-0.20	-0.06
		λ_	-0.49*	-0.44	0.10	-0.54*	-0.52*
		ihMTR	0.01	0.02	-0.18	0.19	0.03
		MTR	0.01	0.00	-0.03	0.11	-0.20
		FA	0.58 *	0.49 *	-0.35	0.46 *	0.46
		ADC	-0.63**	-0.54*	0.49 *	-0.49*	-0.45
	PST	λ//	-0.10	-0.03	0.49 *	-0.38	-0.06
			-0.05**	-0.50*	0.42	-0.48*	-0.50*
			0.02	0.03	-0.30	0.39	0.21
C5			0.10	0.12	-0.35	-0.05	-0.26
		FA	0.39	0.32	-0.02	0.26	0.08
		ADC	-0.46	-0.36	0.06	-0.27	-0.10
	WM	<u></u>	-0.26	-0.17	0.05	-0.19	-0.2
	-	<u>λ</u> jhMTD	-0.47	-0.40	0.00	-0.29	-0.12
		мтр	-0.24	-0.20	-0.55	-0.11	-0.15
		FA	-0.03	-0.07	-0.21	-0.40	-0.38
		rA ADC	0.44	0.4/	-0.55	0.37	0.00
			-0.44	-0.38	0.38	-0.43	-0.28
	aGM	2	-0.24	-0.15	0.33	-0.51"	-0.07
			-0.50	0.00	-0.53*	0.33	-0.30
		мтр	-0.03	0.00	-0.55	0.12	0.33
		MIK	0.16	0.19	-0.55 *	0.23	0.20

Supplementary Table 2: Spearman's coefficient correlations (ρ) between MRI metrics and clinical scores in ALS patients. Significant correlations are highlighted in bold and marked with * p<0.05, ** p<0.005, *** p<0.0005.

Figures

<u>Figure 1:</u> Post-processing pipeline for MRI data, shown for C2 cervical level, and including spinal cord segmentation on T_2^* -w images, registration to the AMU₄₀ template, GM/WM segmentations in the subject space followed by cross-sectional area extraction, as well as multi-parametric data intra-subject normalization allowing metrics extraction in whole WM, corticospinal tracts, posterior sensitive tracts and anterior GM horns.

Figure 2: Gray and white matter atrophy in ALS patients.

 T_2^* -w images and representative GM/WM automatic segmentations (blue and yellow masks, respectively) extracted from a healthy control subject (top) and an age-matched ALS patient (bottom, ALSFRS-R=30, disease duration 16 months) at both C2 and C5 levels.

Figure 3: Cross-sectional areas in mm² in healthy controls and ALS patients.

Mean values extracted from GM/WM segmentations in the subject spaces and averaged for each cervical level from C1 to C6. The boxplot bars represent from bottom to top: the intra-group outermost value that falls within the distance computed as 1^{st} quartile $-1.5*(3^{rd}$ quartile -1^{st} quartile), the first quartile (25%), the median value, the third quartile (75%) and the outermost value computed as 3^{rd} quartile $+1.5*(3^{rd}$ quartile -1^{st} quartile), respectively for each cervical level; isolated markers are outliers.

CSA variation rate (%) between controls and patients for each level is calculated using the following formula: $\% = (CSA_{patients} - CSA_{controls})/CSA_{controls}$, negative percentage indicating a decrease in patients' values. P-values are reported as: *p<0.05 and ***p<0.0005, uncorrected for multiple comparisons. (**I**) indicate statistical differences that survive Bonferroni correction for multiple comparisons (adjusted Bonferroni p<0.0083).

Figure 4: Representative multi-parametric maps at C2-level extracted from an age-matched healthy control subject (top) and two representative ALS patients: ALS1 (middle, ALSFRS-R=41, disease duration 41 months) with moderately altered metrics and ALS2 (bottom, ALSFRS-R=29, disease duration 7 months) with severely altered metrics.

Figure 5: DTI and MT/ihMT metrics obtained for ALS and HC subjects in (A) CST, (B) PST, (C) whole WM and (D) anterior GM at both C2 and C5 levels. Metrics variation rates (%) between ALS (in blue) and HC (in black) are indicated along with p-values (*p<0.05, **p<0.005, ***p<0.0005, uncorrected for multiple comparisons) resulting from two-way analyses of variance. (**a**) indicate statistical differences that survive Bonferroni correction for multiple comparisons.

<u>Figure 6:</u> Representative correlations between MRI metrics and clinical scores in ALS patients. Correlation coefficients and p-values are derived from Spearman's correlation. When existing, statistical level of significance is indicated as: *p<0.05, **p<0.005, ***p<0.0005.