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DISJOINTNESS OF THE MÖBIUS TRANSFORMATION AND MÖBIUS FUNCTION

EL HOUCEIN EL ABDALAOUI AND IGOR E. SHPARLINSKI

ABSTRACT. We study the distribution of the sequence of elements of the discrete dynamical system generated by the Möbius transformation $x \mapsto (ax+b)/(cx+d)$ over a finite field of p elements at the moments of time that correspond to prime numbers. Motivated by a recent conjecture of P. Sarnak, we obtain nontrivial estimates of exponential sums with such sequences that imply that trajectories of this dynamical system are disjoint with the Möbius function. We also obtain an equidistribution result for such trajectories at prime moments of time.

1. INTRODUCTION

1.1. Motivation and background. Let, as usual $\mu(n)$ denote the *Möbius function*, that is, $\mu(n) = 0$ if n is not squarefree and $\mu(n) = (-1)^s$ if n is a product of s distinct primes. Furthermore, given a compact topological space X and a homeomorphism $T : X \rightarrow X$, we consider the *flow* $\mathcal{X} = (T, X)$. The *Möbius disjointness conjecture* of Sarnak [34] asserts that for any flow $\mathcal{X} = (T, X)$ of topological entropy zero, we have

$$(1.1) \quad \sum_{n \leq N} \mu(n) f(T^n x) = o(N), \quad N \rightarrow \infty,$$

for any $x \in X$ and a continuous complex-valued function f on X . This conjecture has recently attracted very active interest and has actually been established for several classes of flows, see [2, 10, 11, 13, 16, 19, 20, 23, 26, 28, 33] and references therein. Moreover, for the connection between the Sarnak and Chowla conjectures, we refer to very recent works of el Abdalaoui [1], Gomiłko, Kwietniak and Lemańczyk [22], Tao [36] and Tao and Teräväinen [37].

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Here we consider a discrete analogue of this conjecture for the flow $\mathcal{M} = (A, \mathbb{F}_p)$ formed by the Möbius map

$$(1.2) \quad A : x \mapsto \frac{ax + b}{cx + d}$$

over a finite field \mathbb{F}_p of p elements, where p is a sufficiently large prime. For $c \neq 0$ also extend the definition (1.2) by setting

$$(1.3) \quad A(-d/c) = a/c.$$

It is now easy to check that this extended map $x \mapsto A(x)$ induces a permutation of \mathbb{F}_p .

In fact, we always identify the map (1.2) with a nonsingular matrix

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(\mathbb{F}_p),$$

and we also always assume that $c \neq 0$ (so A is not a linear map).

Moreover, after an appropriate scaling of the coefficient of A we can always assume that

$$(1.4) \quad A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{F}_p).$$

Furthermore, for $\xi_0 \in \mathbb{F}_p$ we consider the trajectory

$$(1.5) \quad \xi_n = A(\xi_{n-1}) = A^n(\xi_0), \quad n = 1, 2, \dots,$$

generated by iterations of A .

It is easy to see that each sequence of the form (1.5) either terminates after finitely many steps (if $c\xi_{n-1} + d = 0$) or is eventually periodic, and then, as A is a permutation it is purely periodic.

It is known that showing (1.1) can be reduced to estimating exponential sums along trajectories of \mathcal{X} twisted by the Möbius function. In our case, we are interested in the sums

$$(1.6) \quad S_\psi(N) = \sum_{n \leq N} \mu(n) \psi(\xi_n)$$

twisted by the Möbius function along the trajectory (1.5) with a non-trivial additive character ψ of \mathbb{F}_p .

We remark, that similar sums, however associated with a linear map $x \mapsto gx$ over \mathbb{F}_p , that is, of the sequence $\xi_0 g^n$, have been estimated in [5, Theorem 5.1]. In fact, using the ideas of [7] it is possible to improve [5, Theorem 5.1], see also [9]. Furthermore, exponential sums over primes, associated with similar dynamical systems on elliptic curves over \mathbb{F}_p

have been estimated in [6] (see also [31, Section 4]), and can easily be extended to sums with the Möbius function.

Exponential sums with the Möbius function are closely related to sums over primes, which is associated with the behaviour of dynamical systems at “prime” times, see [35] for a general point of view and also specific results for dynamical systems on $\mathrm{SL}_2(\mathbb{R})$. We note that the study of ergodic dynamical system along the primes, initiated by Bourgain [8] and Wierdl [40] has been studied quite extensively; we refer also to the results of Nair [29, 30] and to the surveys by Rosenblatt and Wierdl [32] and by Thouvenot [38]. For several results on the Prime Ergodic Theorem and Ergodic Theorem with Arithmetical Weight, we refer to [3, 12, 15–17, 29, 30], see also the references therein and also to a very recent survey by Eisner and Lin [17].

For the orbits of the dynamical system $x \mapsto gx$ over \mathbb{F}_p such results are given in [5, 7, 9, 21]. We have already mentioned bounds from [6, 31] on exponential sums over primes, associated with similar dynamical systems on elliptic curves over \mathbb{F}_p .

Thus, motivated by these results, together with the sums (1.6) we also obtain nontrivial bounds on the sums

$$(1.7) \quad T_\psi(N) = \sum_{\substack{\ell \leq N \\ \ell \text{ prime}}} \psi(\xi_\ell).$$

Now, due to the finite nature of our dynamical systems instead the asymptotic relations of the type (1.1), we are interested in obtaining upper bound on the sums (1.6) and (1.7) with an explicit saving depending on N and other parameters.

1.2. Main results. Throughout the paper, the implied constants in the symbols ‘ O ’, ‘ \ll ’ and ‘ \gg ’ may occasionally, where obvious, depend on the real positive parameter ε , and are absolute otherwise (we recall that $U \ll V$ and $V \gg U$ are both equivalent to $U = O(V)$).

In all our bounds we have to assume that

$$(1.8) \quad t \geq p^{1/2+\varepsilon},$$

which is not a severe restriction as it is satisfied by the majority of the sequences, see, for example, [14].

Our main result is the following bound:

Theorem 1.1. *Let $\varepsilon > 0$ be sufficiently small. If the period length t of the sequence (1.5) satisfies (1.8) then, for any real*

$$\alpha \geq p^{-\varepsilon/2} \log p$$

and integer

$$N \geq t \exp(5\alpha^{-1} (\log(1/\alpha))^6).$$

uniformly over all nontrivial additive character ψ of \mathbb{F}_p , we have

$$|S_\psi(N)| \ll \alpha N.$$

We remark that Theorem 1.1 yields a power saving, that is allows to take $\alpha = p^{-\eta}$ with some fixed positive $\eta < \varepsilon/2$, only to very large values of N , much larger than in the case of the linear transformation $x \mapsto gx$ and a similar map on elliptic curves, see [5, 7, 9, 21] and [6, 31] respectively. This is because in the case of the Möbius transformation we have not been able to use a canonical way via a version of the *Vaughan identity* for the Möbius function, see, for example, [5, Section 5]. Instead we use a much more robust approach due to Kátai [25] in the form given by Bourgain, Sarnak and Ziegler [11, Theorem 2].

To estimate the sums (1.7) we first estimate the sums

$$(1.9) \quad R_\psi(N) = \sum_{n \leq N} \Lambda(n) \psi(\xi_n)$$

with the von Mangoldt function, which is given by

$$\Lambda(n) = \begin{cases} \log \ell & \text{if } n \text{ is a power of a prime } \ell, \\ 0 & \text{if } n \text{ is not a prime power.} \end{cases}$$

We notice that the sums (1.9) are technically easier to work with.

As usual, we reduce the problem of estimating the sums $R_\psi(N)$ to bounding some single sums (Type I sums) and bilinear character sums (Type II sums). However, a direct application of the Vaughan identity, see [24, Section 13.4], does not seem to work. We circumvent this by applying a slightly different approach, which is based on the work of Bourgain, Sarnak and Ziegler [11, Theorem 2].

Theorem 1.2. *Let $\varepsilon > 0$ be sufficiently small. If the period length t of the sequence (1.5) satisfies (1.8) then, for any real α*

$$(1.10) \quad \alpha \geq p^{-\varepsilon/6} \log p$$

and integer

$$(1.11) \quad \exp(p^{\varepsilon/4}) \geq N \geq t p^\varepsilon \exp(5\alpha^{-1} (\log(1/\alpha))^6).$$

uniformly over all nontrivial additive character ψ of \mathbb{F}_p , we have

$$|R_\psi(N)| \ll \alpha N$$

As usual, we use $\pi(N)$ to denote the number of primes $\ell \leq N$. Then, by appealing to a classical argument, based on partial summation (see for example [4, Section 4.3]) we then derive:

Corollary 1.3. *Let $\varepsilon > 0$ be sufficiently small. If the period length t of the sequence (1.5) satisfies (1.8) then, for any real α and integer N satisfying (1.10) and (1.11) uniformly over all nontrivial additive character ψ of \mathbb{F}_p , we have*

$$|T_\psi(N)| \ll \alpha \pi(N).$$

1.3. Further perspectives. We also note that our results behind the estimates of Theorem 1.1 and 1.2, in particular Lemma 2.6 below can be applied to estimating exponential sum along sequences with other arithmetic constraints such as *square-freeness* (in which case one can expect stronger results) and *smoothness*.

One can also apply our approach to other dynamical systems such as polynomial dynamical systems $x \mapsto f(x)$ for a polynomial $f \in \mathbb{F}_p[X]$ of a fixed degree $d \geq 2$ or to monomial dynamical systems $x \mapsto x^e$ for an integer $e \geq 1$ with $\gcd(e, p-1) = 1$, which however can be rather large in terms of p .

2. PRELIMINARIES

2.1. Möbius transformation and binary recurrences.

Lemma 2.1. *Let $f(Z) = Z^2 - eZ - 1 \in \mathbb{F}_p[Z]$, where $e = a + d$, be the characteristic polynomial of the matrix A of the form (1.4). Then there are two binary recurrence sequences u_n and v_n satisfying*

$$u_{n+2} = eu_{n+1} + u_n \quad \text{and} \quad v_{n+2} = ev_{n+1} + v_n$$

with the initial values

$$(u_0, u_1) = (\xi_0, a\xi_0 + b) \quad \text{and} \quad (v_0, v_1) = (1, c\xi_0 + d)$$

such that

$$\xi_n = u_n/v_n$$

for $n = 0, 1, \dots$

Proof. It is easy to check that the recursive definition of u_n and v_n can be rewritten as

$$\begin{pmatrix} u_{n+1} \\ v_{n+1} \end{pmatrix} = A \begin{pmatrix} u_n \\ v_n \end{pmatrix}, \quad n = 0, 1, \dots$$

with the initial values

$$(u_0, u_1) = (\xi_0, a\xi_0 + b) \quad \text{and} \quad (v_0, v_1) = (1, c\xi_0 + d)$$

Then one verifies that the desired statement by induction on n . \square

Using the well known expression of linear recurrence sequences via the roots of characteristic polynomials, see, for example, [18], we immediately derive from Lemma 2.1, in a straightforward fashion, the following explicit formula:

Lemma 2.2. *Let $f(Z) = Z^2 - eZ - 1 \in \mathbb{F}_p[Z]$, where $e = a + d$, be the characteristic polynomial of the matrix A of the form (1.4), which has two distinct roots ϑ and ϑ^{-1} in \mathbb{F}_p . Then there exist elements $\alpha, \beta, \gamma \in \mathbb{F}_p$ such that*

$$\xi_n = \alpha + \frac{\beta}{\vartheta^{2n} + \gamma}, \quad n = 0, 1, \dots$$

2.2. Bounds on single character sums. Let p be the characteristic of \mathbb{F}_p and let $\overline{\mathbb{F}_p}$ denote the algebraic closure of \mathbb{F}_p .

One of our main tools is the bound on hybrid sums of multiplicative and additive characters, which in its classical form is given by Weil [39, Example 12 of Appendix 5]; see also [27, Theorem 3 of Chapter 6].

Lemma 2.3. *For any polynomials $g(X), h(X) \in \mathbb{F}_p[X]$ such that the rational function $F(X) = h(X)/g(X)$ is not of the form $G(X)^p - G(X)$ with $G(X) \in \overline{\mathbb{F}_p}(X)$, and any nontrivial additive character ψ and arbitrary multiplicative character χ of \mathbb{F}_p we have*

$$\left| \sum_{\substack{x \in \mathbb{F}_p \\ g(x) \neq 0}} \psi(F(x)) \chi(x) \right| \ll \max\{\deg g, \deg h\} p^{1/2}.$$

We now need a bound on the character sums

$$Q_\psi(u, v; k, m, N) = \sum_{n \leq N} \psi(u\xi_{kn} + v\xi_{mn})$$

with $u, v \in \mathbb{F}_p$ and non-negative integers k and m , along consecutive values of the trajectory (1.5).

Lemma 2.4. *Assume that the characteristic polynomial of the matrix A of the form (1.4) has two distinct roots in \mathbb{F}_p . If t is the period length of the sequence (1.5), then, for any $u, v \in \mathbb{F}_p$ with $(u, v) \neq (0, 0)$ and integers $0 \leq k < m$, for any $N \leq t$ we have*

$$Q_\psi(u, v; k, m, N) \ll mp^{1/2} \log p.$$

Proof. Let ϑ be as in Lemma 2.1. It is clear that t is the multiplicative order of ϑ^2 . For every integer h , We now define the sums

$$Q_{h,\psi}(u, v; k, m) = \sum_{n=1}^t \psi(u\xi_{kn} + v\xi_{mn}) \mathbf{e}(hn/t),$$

where

$$\mathbf{e}(z) = \exp(2\pi iz).$$

Since ϑ^2 is of order t it can be written as $\vartheta^2 = g^s$ for $s = (p-1)/t$ and some primitive root g of \mathbb{F}_p^* . For $x \in \mathbb{F}_p^*$, we define $\text{ind } x$ by the conditions

$$g^{\text{ind } x} = x \quad \text{and} \quad 0 \leq \text{ind } x \leq p-2.$$

Hence, using Lemma 2.2 and the additivity of ψ , we write

$$\begin{aligned} & Q_{h,\psi}(u, v; k, m) \\ &= \psi(\alpha(u+v)) \sum_{n=1}^t \psi\left(\frac{\beta u}{g^{kns} + \gamma} + \frac{\beta v}{g^{mns} + \gamma}\right) \mathbf{e}(hn/t) \\ &= \psi(\alpha(u+v)) \sum_{n=1}^t \psi\left(\frac{\beta u}{g^{kns} + \gamma} + \frac{\beta v}{g^{mns} + \gamma}\right) \mathbf{e}(hsn/(p-1)) \\ &= \frac{1}{s} \psi(\alpha(u+v)) \sum_{n=1}^{p-1} \psi\left(\frac{\beta u}{g^{kns} + \gamma} + \frac{\beta v}{g^{mns} + \gamma}\right) \mathbf{e}(hsn/(p-1)). \end{aligned}$$

Now, denote $x = g^n$ and using that g is a primitive root, we obtain

$$\begin{aligned} & Q_\psi(h, u, v; k, m) \\ &= \frac{1}{s} \psi(\alpha(u+v)) \sum_{x \in \mathbb{F}_p^*} \psi\left(\frac{\beta u}{x^{ks} + \gamma} + \frac{\beta v}{x^{ms} + \gamma}\right) \mathbf{e}(hs \text{ind } x/(p-1)). \end{aligned}$$

Since the function $x \mapsto \mathbf{e}(hs \text{ind } x/(p-1))$ is a multiplicative character of \mathbb{F}_p^* , recalling Lemma 2.3, we obtain

$$Q_{h,\psi}(u, v; k, m) \ll \frac{1}{s} smp^{1/2} = mp^{1/2}.$$

Using the standard reduction between complete and incomplete sums, see [24, Section 12.2], we conclude the proof. \square

For sums with one term

$$R_\psi(u; m, N) = \sum_{n \leq N} \psi(u\xi_{mn})$$

with $u \in \mathbb{F}_p$ and a non-negative integer m , we have a slightly more precise statement.

Lemma 2.5. *Assume that the characteristic polynomial of the matrix A of the form (1.4) has two distinct roots in \mathbb{F}_p . If t is the period length of the sequence (1.5), then, for any $u \in \mathbb{F}_p^*$ and an integers $m > 0$, for any $N \leq t$ we have*

$$R_\psi(u; m, N) \ll \gcd(m, t)p^{1/2} \log p.$$

Proof. Let $d = \gcd(m, t)$. We set

$$k = m/d \quad \text{and} \quad s = t/d.$$

Let $B = A^k$. We also consider the sequences $\zeta_n = \xi_{kn}$ then instead of (1.5), we can write

$$\zeta_n = B(\zeta_{n-1}) = B^n(\xi_0), \quad n = 1, 2, \dots$$

Hence, by the standard arguments as before, we see that the period of the sequence ζ_n $n = 1, 2, \dots$, is t . Using a special case (with only one term) applied to $\zeta_{dn} = \xi_{mn}$ instead of ξ_n , we obtain the result. \square

2.3. Double sums and correlations with multiplicative functions. Now, by applying the machinery in the proof of the criterion of Bourgain, Sarnak and Ziegler [11, Theorem 2] (which in turn improves the result of Kátai [25]), we obtain our main technical result.

We present it in a form which is more general and flexible than we need here, since we believe it may find other applications.

Lemma 2.6. *Let ν be a multiplicative function and F an arbitrary periodic arithmetic function with period t . Assume*

$$|\nu(n)| \leq 1 \quad \text{and} \quad |F(n)| \leq 1, \quad n \in \mathbb{N}.$$

We further assume that for any primes $r \neq s$, and for any positive integer $h \leq t$ we have

$$\left| \sum_{n \leq h} F(nr) \overline{F}(ns) \right| \leq \max\{r, s\} t \rho$$

for some real $\rho < 1$. Then for any real

$$(2.1) \quad \alpha \geq \sqrt{\rho \log(1/\rho)}$$

and integer

$$(2.2) \quad N \geq t \exp(4\alpha^{-1}(\log(1/\alpha))^6).$$

we have

$$\left| \sum_{n \leq N} \nu(n) F(n) \right| \ll \alpha N.$$

Proof. We follow the proof of [11, Theorem 2]. In particular, let $1 > \alpha > 0$ be some sufficiently small parameter, to be chosen later. As in [11, Equation (2.1)] we define

$$(2.3) \quad j_0 = \frac{(\log(1/\alpha))^3}{\alpha} \quad \text{and} \quad j_1 = j_0^2.$$

For every integer $j \in [j_0, j_1 + 1]$ we also define

$$R_j = (1 + \alpha)^j \quad \text{and} \quad M_j = N/R_{j+1}.$$

We note that we do not assume that these quantities are integer numbers.

Furthermore, for every integer $j \in [j_0, j_1]$ we define \mathcal{P}_j as the set of primes in the interval $[R_j, R_{j+1}]$ and then we also define the set

$$\mathcal{Q}_j = \left\{ m \in [1, M_j] : m \text{ has no prime factors in } \bigcup_{i \leq j} \mathcal{P}_i \right\}.$$

We note that, by the prime number theorem (with an explicit bound on the error term, we do not however need the full power of the current knowledge such as [24, Corollary 8.30]), we have the following bound on the cardinality of \mathcal{P}_j , for every $j \in [j_0, j_1]$:

$$(2.4) \quad \#\mathcal{P}_j \leq R_j \left(\frac{1}{j} + \frac{1}{\alpha j^2} + O\left(\exp(-\sqrt{\alpha j})\right) \right) \ll \frac{1}{j} R_j,$$

see [11, Equation (2.8)], where we have also used that $\alpha j \geq \alpha j_0 \gg 1$.

As in the proof of [11, Theorem 2] we notice that the products of the form mr with $r \in \mathcal{P}_j$, $m \in \mathcal{Q}_j$ for some $j \in [j_0, j_1]$ are pairwise distinct and obviously belong to the interval $[1, N]$, so we conclude

$$(2.5) \quad \sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j \#\mathcal{Q}_j \leq N$$

which is also used in the derivation of [11, Equations (2.20) and (2.21)].

Furthermore, using (2.4) and recalling choice of the parameters (2.3), we obtain

$$\begin{aligned} \sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j &\ll \sum_{j_0 \leq j \leq j_1} \frac{1}{j} (1+\alpha)^j \leq (1+\alpha)^{j_1} \sum_{j_0 \leq j \leq j_1} \frac{1}{j} \\ &\leq (1+\alpha)^{j_1} \log(j_1/j_0) \leq \exp(\alpha j_1) \log j_0. \end{aligned}$$

Hence

$$(2.6) \quad \sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j \ll \exp(1.5\alpha^{-1}(\log(1/\alpha))^6),$$

provided that α is sufficiently small.

Now to establish the desired result, we recall that by [11, Equation (2.16)]

$$(2.7) \quad \sum_{n \leq N} \nu(n)F(n) \ll \sum_{j_0 \leq j \leq j_1} W_j + \alpha N,$$

where

$$W_j = \sum_{m \in \mathcal{Q}_j} \left| \sum_{r \in \mathcal{P}_j} \nu(r)F(mr) \right|, \quad j_0 \leq j \leq j_1.$$

Using the Cauchy–Schwarz inequality, extending the range of summation over m to all positive integers up to M_j , changing the order of summation and recalling that $|\nu(n)| \leq 1$, we obtain

$$(2.8) \quad W_j^2 \leq \#\mathcal{Q}_j \sum_{r,s \in \mathcal{P}_j} \left| \sum_{m \leq M_j} F(mr)\overline{F(ms)} \right|, \quad j_0 \leq j \leq j_1,$$

see [11, Equation (2.17)].

The contribution $T_{1,j}$ to the right hand side of (2.8) from the diagonal terms can be estimated as in [11, Equation (2.20)] by

$$(2.9) \quad T_{1,j} \ll M_j \#\mathcal{P}_j.$$

To estimate the remaining contribution $T_{2,j}$ from the off-diagonal terms we recall our assumption on bilinear sums with the function F . More precisely, splitting the interval of summation into at most M_j/t intervals of length t and at most 1 interval of length $h \leq t$, we obtain

$$\left| \sum_{n \leq M_j} F(nr)\overline{F(ns)} \right| \leq \max\{r, s\}(M_j/t + 1)t\rho.$$

Hence, using (for simplicity) that $r, s \leq R_{j+1} \leq 2R_j$ and $M_j R_j \leq N$, we derive

$$(2.10) \quad T_{2,j} \leq 2(\#\mathcal{P}_j)^2 R_j (M_j + t) \rho \ll (\#\mathcal{P}_j)^2 (N + R_j t) \rho.$$

Substituting the bound (2.9) and (2.10) in (2.8), we obtain

$$\begin{aligned} W_j^2 &\ll M_j \#\mathcal{P}_j \#\mathcal{Q}_j + (\#\mathcal{P}_j)^2 (N/t + R_j) t \rho \#\mathcal{Q}_j \\ &\leq M_j \#\mathcal{P}_j \#\mathcal{Q}_j + N (\#\mathcal{P}_j)^2 \#\mathcal{Q}_j \rho + (\#\mathcal{P}_j)^2 \#\mathcal{Q}_j R_j t \rho, \end{aligned}$$

which after the substitution in (2.7) implies

$$(2.11) \quad \sum_{n \leq N} \nu(n) F(n) \ll S_1 + S_2 \sqrt{N\rho} + S_3 \sqrt{t\rho} + \alpha N,$$

where

$$\begin{aligned} S_1 &= \sum_{j_0 \leq j \leq j_1} (M_j \#\mathcal{P}_j \#\mathcal{Q}_j)^{1/2}, \\ S_2 &= \sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j (\#\mathcal{Q}_j)^{1/2}, \\ S_3 &= \sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j (\#\mathcal{Q}_j R_j)^{1/2}. \end{aligned}$$

To bound the sum S_1 , we use the Cauchy–Schwarz inequality and write

$$S_1 \ll \left(\sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j \#\mathcal{Q}_j \right)^{1/2} \left(\sum_{j_0 \leq j \leq j_1} M_j \right)^{1/2}.$$

We estimate the first sum using (2.5), while the second sum is easily estimated as

$$\begin{aligned} \sum_{j_0 \leq j \leq j_1} M_j &= N \sum_{j_0 \leq j \leq j_1} (1 + \alpha)^{-j-1} \leq N(1 + \alpha)^{-j_0-1} \sum_{j=0}^{\infty} (1 + \alpha)^{-j} \\ &= N \frac{1 + \alpha}{\alpha} (1 + \alpha)^{-j_0-1} \ll N \frac{1}{\alpha} \exp(-j_0 \log(1 + \alpha)). \end{aligned}$$

Therefore, by the definition of j_0 in (2.3) combined with the inequality $\log(1 + x) \geq x/2$ for $x \in [0, 1]$, we obtain

$$(2.12) \quad S_1 \ll N \exp(-0.25 (\log(1/\alpha))^3).$$

Note that (2.12) is stronger than the bound recorded in [11, Equation (2.20)], however this does not affect the final result as it is dominated by the term αN , which is already present in (2.11).

For the sum S_2 , writing

$$\#\mathcal{P}_j (\#\mathcal{Q}_j)^{1/2} = (\#\mathcal{P}_j \#\mathcal{Q}_j)^{1/2} (\#\mathcal{P}_j)^{1/2},$$

and applying again the Cauchy–Schwarz inequality, we obtain

$$S_2 \leq \left(\sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j \#\mathcal{Q}_j \right)^{1/2} \left(\sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j \right)^{1/2}.$$

Now, we see from (2.4) and (2.5) that

$$(2.13) \quad S_2 \ll N^{1/2} (\log(1/\alpha))^{1/2}.$$

Therefore, it remains to estimate the sum S_3 . We notice that the trivial inequality $\#\mathcal{Q}_j R_j \leq N$ yields

$$S_3 \leq N^{1/2} \sum_{j_0 \leq j \leq j_1} \#\mathcal{P}_j,$$

which together with (2.6) implies

$$(2.14) \quad S_3 \ll N^{1/2} \exp(1.5\alpha^{-1} (\log(1/\alpha))^6).$$

Substituting the bounds (2.12), (2.13) and (2.14) in (2.11), we derive

$$\begin{aligned} \sum_{n \leq N} \nu(n) F(n) &\ll N \left(\alpha + \sqrt{\rho \log(1/\alpha)} \right) \\ &\quad + N^{1/2} t^{1/2} \rho^{1/2} \exp(1.5\alpha^{-1} (\log(1/\alpha))^6). \end{aligned}$$

Under the condition (2.1), we have

$$\sqrt{\rho \log(1/\alpha)} \ll \alpha,$$

while the condition (2.2) implies

$$\begin{aligned} N^{1/2} t^{1/2} \rho^{1/2} \exp(1.5\alpha^{-1} (\log(1/\alpha))^6) \\ \leq N \rho^{1/2} \exp(-0.5\alpha^{-1} (\log(1/\alpha))^6) \ll \alpha N, \end{aligned}$$

and the result now follows. \square

3. PROOFS OF MAIN RESULTS

3.1. Proof of Theorem 1.1. We see from Lemma 2.4 and since we can take some

$$\rho \ll t^{-1} p^{1/2} \log p$$

in Lemma 2.6 we have $\rho \ll p^{-\varepsilon} \log p$, by (1.8). We thus get

$$\sqrt{\rho \log(1/\rho)} \leq p^{-\varepsilon/2} \log p$$

provided that ε is sufficiently small. Now, after simple calculations, we conclude the proof.

3.2. Proof of Theorem 1.2. We recall the following classical identity, see [24, Section 13.4]

$$\Lambda(n) = \sum_{d|n} \mu(n/d) \log d.$$

from which we derive

$$R_\psi(N) = \sum_{\substack{d, m \geq 1 \\ dm \leq N}} \mu(m) \psi(\xi_{dm}) \log d.$$

We now set

$$(3.1) \quad D = \frac{t^{1/2} \tau(t)^{1/2}}{p^{1/4} (\log p)^{1/2}}$$

where $\tau(k)$ is the divisor function, and note that by the classical bound

$$(3.2) \quad \tau(k) = k^{o(1)},$$

(see, for example, [24, Equation (1.81)]) and the inequality (1.8), we have

$$(3.3) \quad D \geq p^{\varepsilon/2 + o(1)}.$$

We now write

$$(3.4) \quad R_\psi(N) = R_I + R_{II},$$

where

$$R_I = \sum_{d \leq t} \log d \left(\sum_{m \leq N/d} \mu(m) \psi(\xi_{dm}) \right),$$

$$R_{II} = \sum_{m \leq N/t} \mu(m) \left(\sum_{t < d \leq N/m} \psi(\xi_{dm}) \log d \right).$$

We thus need to estimate the sums R_I (a Type I sum) and R_{II} (a Type II sum).

To estimate R_I , similarly to the proof of Lemma 2.5, if we define $B = A^d$, then instead of (1.5), we can write

$$\xi_{dm} = B(\xi_{d(m-1)}) = B^m(\xi_0), \quad m = 1, 2, \dots$$

Hence for d with $\gcd(t, d) \leq D$, we see from (1.8) that the period of the sequence ξ_{dm} , $m = 1, 2, \dots$, is

$$\frac{t}{\gcd(t, d)} \geq t/D = \frac{t^{1/2} p^{1/4} (\log p)^{1/2}}{\tau(t)^{1/2}} \geq p^{1/2+\varepsilon/3}.$$

Thus, recalling (1.10), we see that we can apply the bound of Theorem 1.1 for every d with $\gcd(t, d) \leq D$.

For d with $\gcd(t, d) > D$, we estimate the inner sum trivially as N/d . Thus, we can write

$$(3.5) \quad R_I \ll S + T,$$

where

$$S = \alpha N \sum_{\substack{d \leq t \\ \gcd(d, t) \leq D}} \frac{\log d}{d} \quad \text{and} \quad T = N \sum_{\substack{d \leq t \\ \gcd(d, t) > D}} \frac{\log d}{d}.$$

To estimate S , we discard the condition $\gcd(d, t) \leq D$ and thus obtain

$$(3.6) \quad S \ll \alpha N (\log t)^2 \ll \alpha N (\log p)^2.$$

To estimate T , for each divisor $s \mid t$ collecting together the values of d with $s \mid d$ and writing then as es , with $e \leq t/s$, we obtain

$$(3.7) \quad T \leq N \sum_{\substack{s \mid t \\ s \geq D}} \sum_{e \leq t/s} \frac{\log(es)}{es} \ll \tau(t) N D^{-1} (\log p)^2.$$

Substituting (3.6) and (3.7) in (3.5), we obtain

$$(3.8) \quad R_I \leq \alpha N (\log p)^2 + \tau(t) N D^{-1} (\log p)^2 \ll \alpha N (\log p)^2$$

as by (3.2) and (3.3) the first term dominates for the above choice of parameters.

We now proceed to estimate the sum R_{II} for which by the triangle inequality, we write

$$(3.9) \quad |R_{II}| \leq \sum_{m \leq N/t} \left| \sum_{t < d \leq N/m} \psi(\xi_{dm}) \log d \right|.$$

Furthermore, by Abel summation, we have

$$\begin{aligned} & \sum_{t < d \leq N/m} \psi(\xi_{dm}) \log d \\ &= \sum_{n \leq N/m} \psi(\xi_{dm}) \log(N/m) - \sum_{n \leq t} \psi(\xi_{dm}) \log D \\ & \quad - \int_t^{N/m} \frac{1}{z} \sum_{n \leq z} \psi(\xi_{mn}) dz. \end{aligned}$$

We thus obtain

$$\begin{aligned} \sum_{t < d \leq N/m} \psi(\xi_{dm}) \log d &\ll \sup_{z \leq N/m} \left| \sum_{n \leq z} \psi(\xi_{mn}) \right| \log(N/m) \\ &\leq \sup_{z \leq N/m} \left| \sum_{n \leq z} \psi(\xi_{mn}) \right| \log N. \end{aligned}$$

This, combined with Lemma 2.5, yields

$$\begin{aligned} \sum_{t < d \leq N/m} \psi(\xi_{dm}) &\ll \left(\frac{N}{mt} + 1 \right) \gcd(m, t) p^{1/2} (\log p) \\ &\ll \frac{N \gcd(m, t) p^{1/2} (\log p)}{mt} \end{aligned}$$

(as $m \leq N/t$). We use this bound for $\gcd(m, t) \leq D$ and $m \leq N/t$ and use the trivial bound N/m otherwise. Hence, splitting the sum over m in (3.9) accordingly, we obtain

$$(3.10) \quad R_{II} \ll U + V,$$

where

$$\begin{aligned} U &= \sum_{\substack{m \leq N/t \\ \gcd(m, t) \leq D}} \left(\frac{N}{mt} + 1 \right) \gcd(m, t) p^{1/2} \log p, \\ V &= N \sum_{\substack{m \leq N/t \\ \gcd(m, t) > D}} \frac{1}{m}. \end{aligned}$$

We first estimate U as

$$(3.11) \quad U \leq D p^{1/2} \log p \sum_{m \leq N/t} \left(\frac{N}{mt} + 1 \right) \leq \frac{2ND p^{1/2} (\log N) (\log p)}{t}.$$

To estimate V , for each divisor $s \mid t$ collecting together the values of m with $s \mid m$ and writing then as ks , with $k \leq N/(dt)$ we obtain

$$(3.12) \quad \begin{aligned} V &\leq N \sum_{\substack{s \mid t \\ s \geq D}} \sum_{k \leq N/(st)} \frac{1}{ks} \\ &\ll ND^{-1} \sum_{s \mid t} \sum_{k \leq N/(st)} \frac{1}{k} \ll \tau(t)ND^{-1} \log N. \end{aligned}$$

Substituting (3.11) and (3.12) in (3.10) and recalling (3.1), we obtain

$$(3.13) \quad \begin{aligned} R_{II} &\ll Nt^{-1}Dp^{1/2}(\log N)(\log p) + \tau(t)ND^{-1} \log N \\ &\ll N \frac{\tau(t)^{1/2}p^{1/4}(\log p)^{1/2} \log N}{t^{1/2}}, \end{aligned}$$

as by appealing to (3.1) and (3.2), we conclude that the first term dominates again for the above choice of parameters.

Substituting (3.8) and (3.13) in (3.4), we see that

$$R_\psi(N) \ll \alpha N(\log p)^2 + N \frac{\tau(t)^{1/2}p^{1/4}(\log p)^{1/2} \log N}{t^{1/2}}.$$

Using that (1.8) implies $p^{1/4}t^{-1/2} \leq p^{-\varepsilon/2}$, while (1.11) implies $\log N \leq p^{\varepsilon/4}$, and recalling (1.10), we conclude that the first term dominates and the result follows.

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