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1 <u>Communication</u>

Hereditary Diffuse Gastric Cancer syndrome: improved performance of the 2015 testing criteria for the identification of probands with a germline *CDH1*mutation.

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2 The international consensus testing criteria for germline CDH1 mutations were recently revised in order to increase their performance, in particular their sensitivity. It is paramount to 3 identify a high proportion of actual mutation carriers, as finding a mutation in a proband and 4 5 subsequent testing of relatives allows for risk-reducing measures regarding diffuse gastric cancer (DGC) and lobular breast cancer (LBC). We collected data on all French probands 6 7 tested for CDH1 in a retrospective study on Hereditary DGC syndrome (HDGC). Out of 627 probands, 52 were mutation carriers. We compared the new 2015 version of testing criteria to 8 9 the 2010 version, and showed that both the sensitivity and the Youden index J, which estimates the discriminating power of the criteria, increased. 10

CDH1 is a tumour suppressor gene located on chromosome 16q22. It codes for the E-cadherin adhesion protein. Monoallelic germline mutations in *CDH1* cause HDGC, in which carriers have a high lifetime risk of DGC (also called signet ring cell gastric cancer), and LBC (reviewed in 1). In clinical practice, mutations are first identified in a proband with a personal history of DGC and/or LBC, and adult relatives are subsequently tested to see whether they also carry the mutation. Asymptomatic carriers are then advised to undergo risk-reducing gastrectomy, and for females annual breast cancer screening using MRI.

18 The International Gastric Cancer Linkage Consortium defined clinical criteria warranting CDH1 germline testing in a proband. The criteria were first published in 1999 and then 19 20 updated in 2010 (2,3). A new 2015 version is being published in this issue of the Journal of 21 Medical Genetics (4). Its aims are improvements in sensitivity and clarity through the removal of the 50 year-old age threshold for families with two cases of DGC, the merger of the first 22 two 2010 criteria into a single, simpler one, and the integration of recent developments in the 23 field, most notably the identification of mutations in cases with bilateral LBC at a young age 24 but no personal or family history of DGC (table 1) (5,6). The objective of our study was to 25 compare the performances of the 2010 and 2015 criteria, and more specifically to estimate the 26 changes in sensitivity between the two versions. 27

We collected retrospective clinical and molecular data on all unrelated probands tested for *CDH1* germline mutations in France as of 1 July 2014. The decision to test belonged to the prescribing physician and the laboratory to which DNA was sent, and consensual criteria in place at the time did not have to be met. All probands had to sign an informed consent form before genetic testing could be prescribed. Gene analysis was performed on circulating blood

leucocytes in six accredited laboratories using Sanger sequencing and in the majority of cases, 1 multiplex ligation probe-dependant amplification (MLPA). Some cases were not analyzed by 2 MLPA because the technique had not yet been implemented in the laboratory in charge of the 3 sample, and the clinical context (i.e. low probability of a genetic abnormality) did not justify 4 5 referral to another better-equipped laboratory. Frameshift, nonsense and splice-site mutations, missense variants reported as deleterious in the literature, and large gene rearrangements were 6 7 considered deleterious (7). Statistics were calculated using R software (http://www.rproject.org/, version 3.1.2). The two exploratory 2015 criteria addressing testing in patients 8 9 with a personal or family history of cleft lip/palate or with in situ/pagetoid spread signet ring cells were excluded from this work as data were unavailable. 10

The total number of tested probands was 627, 52 of whom (8%) carried a CDH1 mutation. 11 12 We then restricted our analyses to probands fulfilling the 2010 and 2015 testing criteria (table 1). One hundred and seventy-six probands met the 2010 criteria, of whom 35 were mutation 13 carriers. Sensitivity, specificity, positive predictive value (PPV, corresponding to the mutation 14 detection rate) and negative predictive value (NPV) were 0.69 (95% CI 0.56-0.81), 0.76 (95% 15 16 CI 0.72-0.79), 0.21 (95% CI 0.15-0.27), and 0.96 (95% CI 0.94-0.97) respectively (table 2). The 2015 criteria were met by 216 probands, 41 of whom carried a mutation, and the 17 sensitivity, specificity, PPV and NPV were respectively 0.81 (95% CI 0.71-0.91), 0.70 (95% 18 CI 0.66-0.74), 0.20 (95% CI 0.14-0.25) and 0.98 (95% CI 0.97-0.99) (tables 1 and 2). The 19 Youden index (J), a statistic that determines the optimal cut-off point for a test (in this 20 context, perform vs. do not perform CDH1 germline testing) whose outcome is binary 21 22 (mutation vs. no mutation), and therefore summarizes its discriminating power, increased from 0.45 to 0.51 between 2010 and 2015 (8). 23

There were 36 distinct point mutations (8 nonsense, 15 frameshift, 3 deleterious missense, 10
splice-site) and four distinct large rearrangements in the 52 carriers (supplementary table 1).
There was no apparent genotype-phenotype correlation (data not shown).

Testing criteria defined by experts are important, as they help clinical and laboratory-based cancer geneticists determine who should and should not be tested for germline mutations. They need to be sensitive enough to ensure that most mutation carriers are identified and then managed accordingly, but not at the expense of too much specificity as to avoid unnecessary and resource-consuming testing of individuals unlikely to carry mutations. In HDGC, high sensitivity is paramount. Indeed, *CDH1* mutation carriers have a lifetime risk of DGC and

LBC (in females) of 70% and 42% respectively (7), and the identification of a mutation in a 1 proband, and subsequently in his relatives, leads to risk-reducing and screening 2 recommendations such as prophylactic gastrectomy and annual breast MRI. In the revised 3 2015 criteria, the merger of the first two 2010 criteria into a single simpler one with no age 4 limit, and the addition of the multiple early-onset LBC with no DGC criterion led to the 5 testing of 40 additional probands, six of whom (15%) carried a mutation, and to increased 6 7 sensitivity (0.81 vs. 0.69). The 2010 and 2015 confidence intervals for sensitivity did overlap, but significance would be extremely difficult to achieve in a comparison of two sets of 8 9 closely-associated criteria. Specificity declined, as expected when test indications are expanded. PPV was unchanged, while NPV increased significantly. Overall the new criteria 10 11 have improved discriminating power, as illustrated by the increased Youden index (0.51 vs. 0.45). 12

In our large series of 627 probands, 411 did not meet any consensual testing criteria. 13 Detection rate was unexpectedly high (15%) in probands with sporadic DGC \geq 40 years (table 14 1), while only 3% of unselected probands with LBC were carriers. As for the 259 remaining 15 16 probands, the vast majority had gastric cancer of undetermined or intestinal histology or ductal breast cancer, and only two mutations were found: in a proband with a personal history 17 of signet ring cell cancer of the rectum, and in an unaffected proband with two relatives with 18 GC of unknown type. CDH1 germline mutations are specifically associated with DGC and 19 LBC, and our study is a welcome reminder that one should not offer CDH1 testing to patients 20 with other gastric and breast cancer histologies. 21

22 Conflicts of interest

23 The authors have no conflicts of interest to report.

24 Contributions

- 25 Design of the study: PRB, ER, OC, MB.
- 26 Genetic counselling and clinical management of patients: PRB, CC, JM, ADP, BB, DSL,
- 27 EMF, CN, MDM, MW, SG, DM, OC.
- 28 Gene analysis: CC, ER, NU, AR, QW, MB.
- 29 Data collection: PRB, CC, ER, NU, PR, AR, QW, ADP, CT, MW, MB.
- 30 Data analysis and interpretation: PRB, EMF.
- 31 Drafting of the manuscript: PRB.

- 1 Critical review and approval of the manuscript: all authors.
- 2 Guarantor:

PRB.

2010 testing criteria	Index cases	<i>CDH1</i> mutation	Detection rate
1. Three DGC in family, regardless of age, at least one confirmed DGC	30	8	27%
2. Two DGC in family, one of them < age 50, at least one confirmed DGC	31	12	39%
3. Sporadic DGC < 40	103	10	10%
4. Personal of family history of DGC and LBC, one diagnosis < 50	12	5	42%
Total (criteria met)	176	35	20%
Total (consensus criteria not met)	451	17	4%
Total (all probands)	627	52	8%
2015 testing criteria			
Established criteria	-		• • • • •
1. Two GC cases regardless of age, at least one confirmed DGC	79	22	28%
2. Sporadic DGC < 40	103	10	10%
3. Personal of family history of DGC and LBC, one diagnosis < 50	12	5	42%
Exploratory criteria			
4. Bilateral LBC or family history of \geq 2 LBC, all < 50	22	4	18%
Total (criteria met)	216	41	19%
Sporadic DGC \geq 40	39	6	15%
LBC outside criterion 4	113	3	3%
Other	259	2	1%
Total (consensus criteria not met)	411	11	3%
Total (all probands)	627	52	8%

2 Table 1. 2010 and 2015 consensus testing criteria and mutation detection rate in all French probands

3 tested for *CDH1* germline mutations.DGC, diffuse gastric cancer. LBC, lobular breast cancer. Family

4 history in 1^{st} and 2^{nd} degree relatives must be taken into account.

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	2010	2015
Sensitivity (95%CI)	0.69 (0.56-0.81)	0.81 (0.71-0.91)
Specificity (95%CI)	0.76 (0.72-0.79)	0.70 (0.66-0.74)
PPV (95%CI)	0.21 (0.15-0.27)	0.20 (0.14-0.25)
NPV(95%CI)	0.96 (0.94-0.97)	0.98 (0.97-0.99)
J	0.45	0.51

6 **Table 2.** Comparison of test statistics between the 2010 and 2015 consensus criteria for *CDH1*

germline mutation testing. *J*, Youden index. NPV, negative predictive value. PPV, positive predictive
value.

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