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# Non-contact Measurement of the Shrinkage and Calculation of Porosity During the Drying of Banana

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A novel non-intrusive technique (stereo-correlation) was used to determine the apparent volume of a banana in convective drying condition. The volume was calculated using the 3D Digital Image Correlation method (3D-DIC), which provides the 3D shape of the banana during drying. The combination of this technique and mass measurement allows the calculation of the porosity using the model of Katekawa and Silva<sup>[1]</sup> and the graphical interpretation presented by Madiouli et al.<sup>[2]</sup> The banana shows an ideal shrinkage at the beginning of drying but stops shrinking at low moisture content, thus increasing the porosity up to 30-35%. The comparison of the experimental shrinkage and the calculated porosity with the experiments deduced from the literature enables us to conclude the effectiveness of the 3D-DIC technique as well as the porosity calculation model.

Keywords 3D-DIC; Banana; Digital image correlation; Drying; Experimental shrinkage; Porosity; Stereovision

#### INTRODUCTION

Drying is an important process in industry. In the chemical and pharmaceutical industries, the final product quality is important for determining its commercial value. In the food industry domain, the decrease of water activity to reach the hygroscopic equilibrium allows us to store and prevent the product from microbial attack. The majority of foodstuffs, such as fruit and vegetables, exhibit an important change in structure during drying. Often, these modifications of structures have a consequence on the drying performances or on the product qualities. The experimental measurement of the physical properties during drying was the subject of several research works in the literature in order to analyze the various mechanisms governing the thermal process.

Lozano et al.,<sup>[3,4]</sup> Madamba et al.,<sup>[5]</sup> Ratti,<sup>[6]</sup> Zogzas et al.,<sup>[7]</sup> and Krokida and Maroulis<sup>[8]</sup> measured the apparent density variation, the true density, the shrinkage and the porosity of many food products (apple, banana, carrot, garlic, pear, potato, and sweet potato). Most of the works showed a difference between the particular apparent density and the apparent density because of porosity development during drying. Krokida and Maroulis<sup>[8]</sup> studied the influence of the drying mode (convective drying, osmotic dehydration, and freeze drying) on the physical properties of four products (apple, banana, potato, carrot). Ochoa et al.<sup>[9]</sup> were the first to study the shrinkage of whole rose hip fruits during convective drying. In a related paper,<sup>[10]</sup> they studied volume and surface shrinkage of whole sour cherry fruits during dehydration. They showed that the change of volume and surface is related only to the moisture content of the product and not to the drying con-ditions. Eventually,<sup>[11]</sup> for convective drying of whole sweet cherry fruits (prunus avium), they confirmed that the important shrinkage observed was independent of the operating conditions and related only to the moisture content of the fruit. Madamba et al.<sup>[12]</sup> studied the shrinkage, density, and porosity change of bamboo shoots during hot air drying and showed that internal porosity is non-linearly related to the moisture content. Guine et al.<sup>[13]</sup> studied shrinkage and porosity change of pears during drying. They observed that porosity increases from 2% (fresh product) to 12% at the end of drying. The particular and apparent density increased during drying and reached their maxima at the end of the drying process.

The shrinkage or the change of apparent volume during drying is described by fundamental models<sup>[1,2,4,14–18]</sup> and empirical correlations.<sup>[3,6,7,18,19]</sup> The model presented by Katekawa and Silva<sup>[1]</sup> gives a very general expression with a limited number of properties (the initial density of the moist product, the true density of the solid phase, and

the true density of the liquid phase). This model, used by Madiouli et al.,<sup>[2]</sup> has a graphical interpretation to visualize the changes of porosity by comparing the experimental shrinkage curve with an ideal one.

In the recent literature, many works for monitoring characteristics of fruits, such as volume, shrinkage, and porosity, during different modes of drying were presented. Witrowa-Rajchert and Rzaca<sup>[20]</sup> studied the effect the internal structure of apples dried under different modes (i.e., convection, microwave-convection, and infraredconvection) on the volume, density, porosity, and shrinkage. They measured volume of dried apple slices by the displacement method using toluene. The apparent density was calculated by the measurement of the mass of the samples. They concluded that apples exhibit the smallest volume and porosity, the highest density, and the greatest shrinkage when dried by convection. Shulyak and Izotova<sup>[21]</sup> studied the shrinkage kinetics during convective drying of selected berries. They calculated the volume and the surface areas using photos taken during drying and digitalization. At the end of the drying process, they found that blackcurrants shrink more than redcurrants and gooseberries. Mayor et al.<sup>[22]</sup> have studied shrinkage, density, and porosity during different drying modes (osmotic dehydradation, air drying) of pumpkin (cucurbita pepo L.) fruits. They calculated the apparent volume from the resultant buoyant force of the sample when immersed in n-heptane and they obtained the particle volume with a gas pycnometer. Yan et al.<sup>[23]</sup> proposed seven methods (liquid pycnometry, liquid displacement and Archimedes principle, with two organic solvents (toluene and n-heptane), and displacement with glass beads) to measure apparent volume, shrinkage, and porosity of banana, pineapple, and mango during drying.

For the measurement of apparent volume, non-intrusive techniques were developed recently. Among these, the method of Laser Scanning<sup>[24]</sup> was used to monitor the drying of food products (apple, avocado, potato, and carrot). The X-ray microtomography method combined with image processing<sup>[25]</sup> was applied for the measurement of a volume of sludge during drying under atmospheric conditions. The ESEM (Environmental Scanning Electron Microscope) used with image processing<sup>[26]</sup> reveals local information on the material behavior (cracks, for example). Martynenko<sup>[27]</sup> used one single camera to determine the surface shrinkage and the color change of the product. In a related work, he used two cameras to estimate volumetric shrinkage of apple slices in convective drying.<sup>[28]</sup> One camera was placed for the visualization of the base and the other one for visualizing the cylinder height in order to determine the volume. These techniques allow the continuous measurement of volume change but they are based on the assumption of the conservation of the sample regular shape (cylindrical) during the drying process.

The behavior of banana during drying has been extensively studied in the literature.<sup>[29–34]</sup> In the present work, the stereo-correlation method (3D-DIC),<sup>[35–38]</sup> used in the literature to determine the shape or the 3D displacement/ strain fields on any 3D object, has been used to determine the variation of the banana apparent volume, thus an object having an irregular form, during drying. This method, combined with the measurement of the mass, determines the variation of several properties (volume, apparent density, water content, etc.) during drying. Using the method presented by Madiouli et al.<sup>[2]</sup> and the model of Katekawa and Silva,<sup>[1]</sup> the simultaneous measurement of the apparent volume and the mass allows one to calculate the porosity of a food product (banana) during drying, using only measured properties at the beginning and the end of the drying process.

#### THEORY

The porosity calculation was developed based on the Katekawa and Silva<sup>[1]</sup> model and the graphical method presented by Madiouli et al.,<sup>[2]</sup> written in the form:

$$\frac{(1-\varepsilon)}{(1-\varepsilon_0)}\frac{V}{V_0} = \frac{X_0\beta}{1+\beta X_0}\frac{X}{X_0} + \frac{1}{1+\beta X_0} \tag{1}$$

where  $\beta = \rho_S / \rho_L$ .

This equation shows the variation of the apparent volume V with the moisture content X. Four properties measured at the beginning and at the end of the experiment (solid density  $\rho_S$ , liquid density  $\rho_L$ , initial porosity  $\varepsilon_0$ , and initial water content  $X_0$ ) are necessary for the full description of the model. The simultaneous measurement of the volume and the mass allows indirect determination of the bulk porosity. In the general case, when shrinkage is not ideal and initial porosity is not equal to zero, porosity is

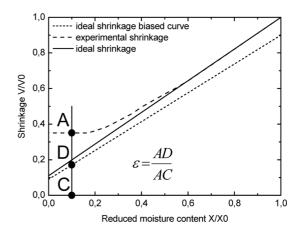


FIG. 1. Determination of porosity using visual interpretation of the shrinkage curve.

obtained by the following expression:

$$\varepsilon = \frac{\frac{V}{V_0} - \left\lfloor (1 - \varepsilon_0) \left( \frac{X_0 \beta}{1 + \beta X_0} \frac{X}{X_0} + \frac{1}{1 + \beta X_0} \right) \right\rfloor}{\frac{V}{V_0}} \tag{2}$$

The graphical interpretation is shown in Figure 1 where porosity is equal to the segment AC to segment AD ratio. Equation (2) shows that the porosity depends on the experimental values of shrinkage and a set of properties (initial moisture content, solid density, initial bulk density, and liquid density). The error on the porosity calculation is thus derived from the experimental errors made during the determination of the shrinkage curves and from the errors on the properties used in the model. Error calculations are presented in a recent work.<sup>[39]</sup>

#### THE STEREO-CORRELATION TECHNIQUE

The stereo-correlation technique<sup>[35–38]</sup> is a stereovision technique that uses two cameras to measure the shape of any 3D object observed from two different viewpoints. In the present work, this technique is used to determine the volume of a banana during convective drying. The banana is placed in the view field of both cameras. From a pair of images, it is possible to compute the 3D coordinates of a physical 3D point by triangulation assuming that: (1) the geometry of the stereo rig (i.e., the relative position and orientation of the two cameras) is known, a problem solved by an off-line camera calibration procedure; (2) the image points corresponding to the same physical 3D point are matched in the images. This is called the stereo-matching problem.

#### Calibration

Calibrating a stereovision sensor made up of two cameras involves determining the intrinsic parameters of each camera and the relative position and orientation between both cameras. These calibration data are required to compute, by triangulation, the 3D coordinates of a point

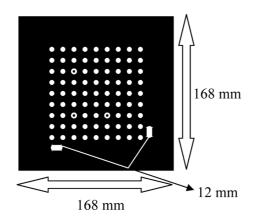


FIG. 2. Calibration target used to calibrate the stereovision rig with VIC-3D  $^{\rm I\!R}$ 

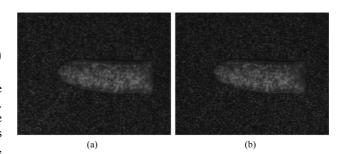


FIG. 3. Pair of images taken at the same time by the stereovision rig used in our experiment: (a) right camera; (b) left camera.

corresponding to matched pixels on the two images. From a practical point of view, the calibration procedure only requires the cameras to observe a (planar) calibration pattern (Figure 2) shown at a few different orientations (typically between ten and twenty).<sup>[40]</sup>

#### Stereo-matching

The main difficulty in stereovision is to establish correspondences between pairs of images (Figure 3). In the stereo-correlation technique, the stereo-matching problem is solved using the well-known Digital Image Correlation (DIC) technique.<sup>[37]</sup> The DIC algorithm attempts to correlate the grey levels of image patches in the views being considered, assuming that they present some similarity. The DIC technique requires that the object surface be relatively textured. When an object does not present a suitable random, contrasted texture, one may apply a thin coating of high-contrast particles such as from spray painting, toner powder, ink, lithography, etc., or may project a speckle-pattern onto the object using a video-projector, for instance.

#### **3D Reconstruction**

Using the calibration parameters, a classical triangulation method is used to compute the 3D position of a scene point corresponding to a stereo pair of image points. By repeating this operation for a large number of stereo matched pairs, the 3D shape of an object can be obtained. The accuracy of 3D reconstruction depends on many factors: (1) the quality of the cameras and their resolution; (2) the configuration of both cameras (distance between them, angle between their optical axis, etc.), which governs the triangulation accuracy; (3) the accuracy of the stereovision sensor calibration; and (4) the accuracy of the matched features in the images.

#### **EXPERIMENTS**

The experimental part consists of combining the 3D-DIC technique to a high sensitivity balance during the drying of the product. The banana is cut along its length in two halves, one of them being placed (approx. length 7 cm) on a high accuracy  $(10^{-4} \text{ g})$  weigh scale



FIG. 4. The experimental test bench.

(SARTORIUS). The slice is dried on a bench in a temperature-controlled chamber  $(26,7\pm0,4^{\circ}C)$ . A speckle-pattern printed on a slide is projected onto the banana, using a slide projector, in order to give to the surface of the banana a suitable random, contrasted texture (see Figure 3) required by the DIC technique. The stereovision rig is made up of two HAMAMATSU C4742-95 cooled CCD cameras, with a  $1280 \times 1024$  pixels resolution, equipped with 50 mm lenses. Both cameras are fixed on a frame and directed towards the banana during the whole drying (Figure 4). The distance between the cameras is about 338 mm (this distance is called the baseline) and the angle between them is about  $26^{\circ}$ . These data are

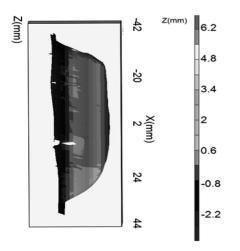


FIG. 5. 2D shape of the banana before drying (the bar gives the height).

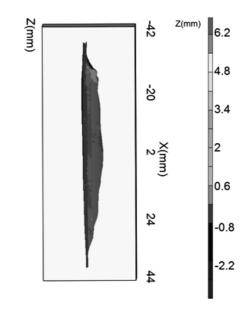


FIG. 6. 2D shape of the banana after complete convective drying (4647 min.).

provided by the off-line calibration step that is performed prior to performing the drying experiment. The calibration target, with approximately the same dimension of the banana, used for the calibration of the stereovision rig, is shown in Figure 2. The off-line preliminary calibration step and the processing of the image pairs taken at different time intervals are performed using VIC-3D<sup>®</sup> software.<sup>[41]</sup> The software provides the 3D shape of the banana at each acquisition time. Using the 3D shape of the banana, the volume is calculated using a homemade code.

The data processing allowed us to obtain the 3D shape (Figures 5 and 6) as well as transverse sections of banana with a good precision (Figure 7). Using these data, the calculation of the volume of banana and the determination of the shrinkage ratio  $\frac{V}{V_0}$  was made possible with simultaneous recording of the mass loss.

After drying, the banana was kept inside an oven with a temperature of  $105^{\circ}$ C for 24 hours to obtain the dry mass and thus derive the initial moisture content. Helium pycnometry (Accupyc 1330 Micromeritics, Particle & Surface Sciences Pty. Limited, Australia) allowed us to determine the solid (intrinsic) volume of banana. This was achieved by using Archimede's principle of fluid displacement and Boyle's law with the use of helium as displacement fluid. Knowing the mass, the measurement of the true banana density with an accuracy of 0,001 g/mL was obtained (1250 kg/m<sup>3</sup>).

#### **RESULTS AND DISCUSSION**

The convective drying of banana lasted five days for a low drying temperature and high air relative moisture.

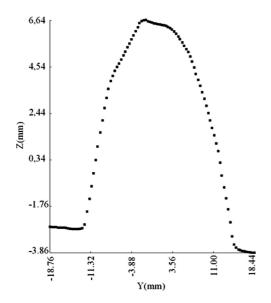


FIG. 7. Vertical section of banana at the beginning of drying.

For the measurement of apparent volume, several techniques are available in the literature.<sup>[20,28]</sup> The non-intrusive techniques<sup>[24,25,28]</sup> need the use of a regular form of samples. In the present work, the 3D reconstruction of the irregular form of the sample of banana (Figures 5 and 6) allows the measurement of the apparent volume change (shrinkage) (Figure 8). The sample had lost half of its mass during the first day and thus the images from both cameras were collected essentially in one day. The measurement of the mass loss of banana during drying (Figure 9) coupled with the stereo-correlation technique allows us to calculate porosity during drying (Figure 10). The banana exhibits an ideal shrinkage at the beginning of drying, then stops

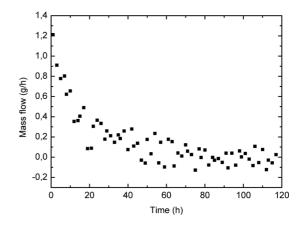


FIG. 9. Drying rate of banana from experimental mass determination.

contracting, which is attributed to case-hardening of the surface.<sup>[23,42]</sup> At the end of drying, the banana volume reaches approximately 30% of initial volume.

The shrinkage curve for banana has the same form as for sweet potato, garlic, and pear.<sup>[8,35]</sup> Figure 10 shows the porosity change as a function of the reduced moisture content using the data from stereo-correlation measurement (Figure 8) and the model of calculation of porosity (Figure 1). For the major part of the drying process, porosity remains almost constant (5%), but when the value of the reduced moisture content  $\frac{X}{X_0}$  is smaller than 0.3, porosity increases. The banana calculated porosity curve shows the same form as banana experimental porosity of many works in the literature<sup>[1,8,23,39]</sup> (Figure 10). We observe that at the end of drying, the calculated porosity obtained from the proposed model is slightly different compared to the measured porosity from the literature.<sup>[1,8,23]</sup> This difference may be due to the microstructure

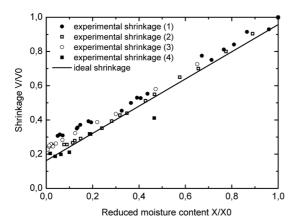


FIG. 8. Shrinkage of banana (1): stereo-correlation measurement ( $26.5^{\circ}$ C,  $45^{\circ}$ HR); (2) and (3): Katekawa and Silva ( $30^{\circ}$ C,  $45^{\circ}$ HR) and ( $50^{\circ}$ C,  $15^{\circ}$ HR); (4): Yan et al.

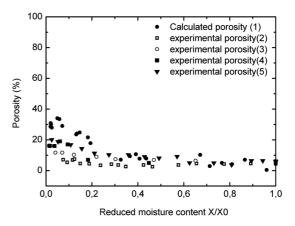


FIG. 10. Porosity of banana: (1) calculated porosity; (2) and (3): Katekawa and Silva  $(30^{\circ}C, 45^{\circ}HR)$  and  $(50^{\circ}C, 15^{\circ}HR)$ ; (4) Yan et al.; (5) Krokida and Maroulis.

of the sample and measurement errors. The comparison of the obtained results with the experiments of Katekawa and Silva,<sup>[1]</sup> Yan et al.,<sup>[23]</sup> and Krokida and Maroulis<sup>[8]</sup> allows us to draw conclusions on the effectiveness of the stereo-correlation and the model of calculation of porosity (Figures 8 and 10).

#### CONCLUSION

The main objective of this study was to measure the apparent volume of a banana during convective drying using the so-called stereo-correlation (3D-DIC) technique. The apparent volume of the irregular form banana was determined. The effectiveness of the stereo-correlation technique was demonstrated. The use of the model developed by Madiouli et al.<sup>[2]</sup> allowed calculating porosity with the mere knowledge of properties measured at the beginning and at the end of experiment (apparent density, mass, volume of the solid phase, and liquid). The banana shrinkage appears ideal at the beginning of drying and decreases with the reduced moisture content decreasing. The porosity increases to reach its maximum value at the end of the thermal process. Unlike intrusive methods, which need to stop and resume the experiments or use different samples, the proposed technique allows online measurement of the bulk porosity and may be applied to irregular-shaped solids.

In the present preliminary work, one half of the banana was placed on a flat surface. As both cameras can only measure the visible part of the banana, the proposed experiment cannot give any information on surface changes between the flat carrier and the banana slice (for instance, possible void spaces could appear). To obtain the full 3D shape of the banana, a multiple-camera device using more than two stereo-correlation techniques could be used. Such a technique is currently being developed in our laboratories.<sup>[43,44]</sup> The banana could be surrounded by a four-camera system, for instance, and this would provide the complete 3D shape of the banana and its evolution during the drying process. The only limitations would be when the product twists or bends over itself, creating void spaces and causing some regions to be invisible (inaccessible to a vision system).

#### NOMENCLATURE

- V Volume of material (m<sup>3</sup>)
- $V_0$  Initial volume of material (m<sup>3</sup>)
- *X* Moisture content (kg of water/kg of dry solid)
- $X_0$  Initial moisture content (kg of water/kg of dry solid)

#### **Greek Letters**

- ε Porosity
- $\varepsilon_0$  Initial porosity
- $\rho_0$  Initial apparent density (kg/m<sup>3</sup>)
- $\rho_L$  Density of solvent (kg/m<sup>3</sup>)
- $\rho_S$  Density of solid (kg/m<sup>3</sup>)

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