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# Multi-Modal Intention Prediction With Probabilistic Movement Primitives.

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**Abstract.** This paper proposes a method for multi-modal prediction of intention based on a probabilistic description of movement primitives and goals. We target dyadic interaction between a human and a robot in a collaborative scenario. The robot acquires multi-modal models of collaborative action primitives containing gaze cues from the human partner and kinetic information about the manipulation primitives of its arm. We show that if the partner guides the robot with the gaze cue, the robot recognizes the intended action primitive even in the case of ambiguous actions. Furthermore, this prior knowledge acquired by gaze greatly improves the prediction of the future intended trajectory during a physical interaction. Results with the humanoid iCub are presented and discussed.

**Keywords:** multi-modality, probabilistic movement primitive, human robot interaction, collaboration

## 1 Introduction

Humans are very good at mutually predicting and adapting their actions when collaborating with each other. In part due to the face that they use multi-modal cues (acoustic, visual, etc) to predict the intention of their partner in a robust way [25].

To collaborate proficiently with humans exhibiting anticipatory skills, robots also need to be able to predict the intention of human partners. Predicting the intention from a motion implies legibility and predictability, i.e., the robot must be able to quickly infer its goal and the future trajectory. Here, we advocate that the robot’s prediction abilities can be improved by using multi-modal information ([8, 27]).

In our previous work [7], we addressed the problem of predicting the future intended trajectory during a physical human-robot interaction when the human partner moves the robot’s arm to start a movement. We proposed to use Probabilistic Movement Primitives (ProMPs [21]) to learn the movement primitives from a set of demonstrations and to compute the intended trajectory given early observations of the action, guided by the human partner.

In this paper, both visual and kinetics cues are used to predict the human intent. The intention is modeled as a goal location and a trajectory that the robot has to perform with its arm. Both the robot’s arm manipulations and the partner’s gaze motions are learned as a multi-modal ProMP, that captures the distributions over the demonstrated trajectories.

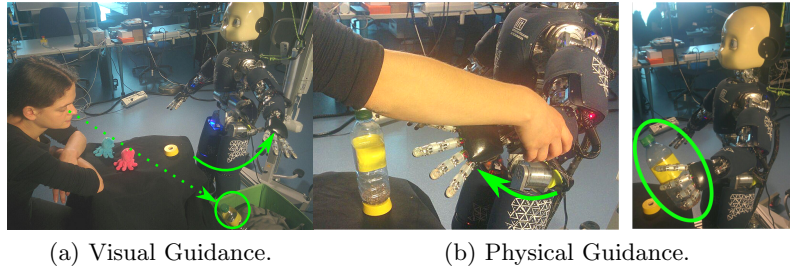


Fig. 1: The humanoid robot iCub a) recognizes the intended movement primitive using the partner’s directional gaze; b) predicts the movement to perform using the partner’s physical guidance at the beginning of the movement.

From the physical inference, the robot is able to repeat movements and to continue movements initiated by the partner, even with few early-observations. From the visual inference the robot can predict and perform tasks that do not require the partner’s guidance to refine the expected trajectory, but most importantly it can disambiguate easily among similar primitives.

The paper is organized as follows. We briefly report on the literature about intention prediction and gaze as a conveyor of intention information in Section 2. Section 3 formulates the problem settled in this paper. Section 4.1 summarizes the theoretical basis of the ProMP method to learn movement primitives, applied to learning multi-modal information. Section 5 presents a multi-modal intention recognition application, where results about the action recognition improve the prediction of the future trajectory. Finally, section 6 discusses the proposed approach, its limitations and outlines our future developments.

## 2 Related Works

In order for the robot to predict the trajectory to be performed, it has to infer the user intention. Here, we focus on the inference from physical and visual cues. The paragraphs below provide a brief review of research literature on *intention* and *gaze* prediction. For the state of the art on *movement primitives* and *inference during pHRI*, we refer to [7].

*Intention* Predicting the intention of a human essentially means predicting the goal of his/her current or upcoming action as well as the movement performed to reach this goal. Intention prediction is not only relevant to understand the prediction of intent between humans [19, 5], but also to allow robots to be understood by humans [16, 10], or to allow robots to understand humans in diverse applications, like human-robot collaboration [11, 26], and robot navigation [20]. Here, the gaze is used as a major cue to determine the user intention, coupling the directed gaze of the human with their associated actions.

*Gaze as a conveyor of intention information* Directional gaze is the most fundamental cue for social interaction, as it enables mutual and joint attention. Hence many studies consider the human face or gaze direction to interact with him. Some use this direction to estimate the user engagement with a robot companion [6, 1, 15]; or the user emotions to correct the robot’s behavior [4]. Others to improve the robot behavior by ensuring the safety of the interaction [24]; by anticipating the action of their partner [13]; or by adapting robot actions according to the intention of their partner [17]. This last case corresponds to our current objective.

To complete this objective, the facial orientation or the human’s gaze is first computed. Different methods are used to answer this question such as, Neural Networks [3], gradients computation [23], or probability. Gaze is often used as an a priori to perform an intended task (*e.g.*, our work with ProMPs) to detect the object of interest (*e.g.*, [12] with Neural Networks), or to predict the goal location (*e.g.*, [22] with dynamic models). The main differences between our study and [12, 22] is that these works are interested in the human motion prediction while we associate human gaze to the robot motions.

In some research studies, the human’s gaze direction is accurately measured using eye tracker [14, 20]. In our case, we rely on visual processing of the robot’s cameras, which is less invasive and it does not require to wear a device, even though it is less accurate than eye tracker.

### 3 Problem Formulation

This paper proposes a method for multi-modal prediction of intention based on a probabilistic description of movement primitives and goals. We target dyadic interaction between a human and a robot, equipped with eyes and arms, in a pick and place collaborative scenario, shown in Fig. 1. In this scenario, different objects must be sorted following different trajectories. The human partner chooses to use visual and/or physical guidance to communicate the intended movement to the robot, that should be able at some point to continue the movement on its own. During the visual guidance, the robot tracks the partner’s head orientation to predict his/her intention: the gaze trajectory is recognized as belonging to one of the known action primitives. The robot predicts then the current task and the future intended movement. It completes the intended task by placing the object in the expected place, following the trajectory intended by the partner. During physical guidance, the user starts to physically move the robot to perform the action; after early observations, the robot predicts the future movement to perform. If the human partner uses both modalities, the movement primitive can be recognized from the visual guidance (prior) and physical guidance can be used to refine the predicted trajectory (posterior). To realize this scenario, we make several hypotheses. Tracking the gaze using the eyes direction is difficult because of saccadic eye movement directed towards the goal, that could cause the gaze trajectory to be inconsistent. Therefore, the partner’s head orientation is used to determine his intent. We assume the user’s position with respect to the robot is almost fixed during the learning and the recognition task, because the robot learning is dependent on the partner’s head orientation. We assume that the partner’s head orientations when he/she looks at a same goal follow a normal distribution.

A conceptual representation of the problem is shown in Fig. 2. To learn the movement primitives (top), two partners run several demonstrations: one moves the robot’s

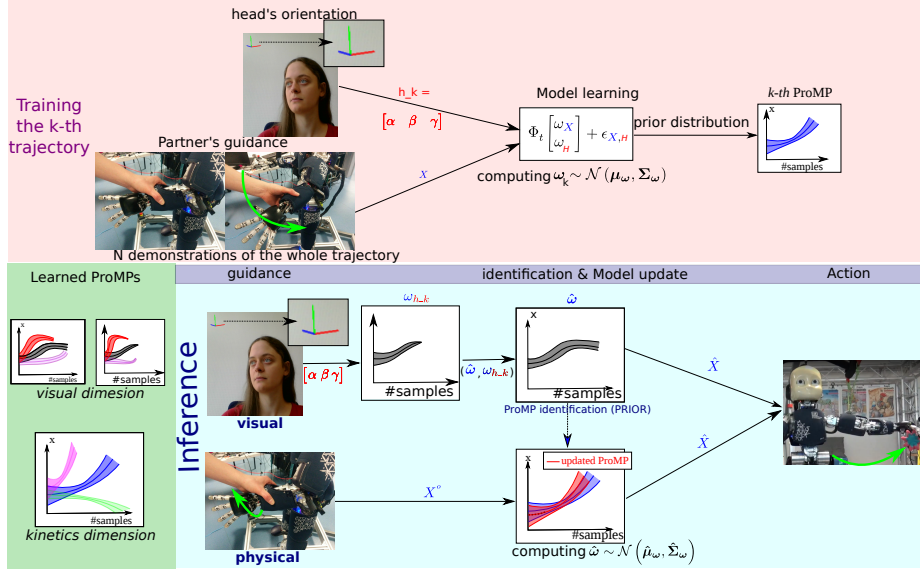


Fig. 2: Conceptual use of ProMP for predicting the desired trajectory to be performed by the robot. In the training phase (top), ProMPs are learned from several human demonstrations. In the inference phase (bottom), the robot recognizes the current ProMP using visual and/or physical information.

arm while another moves his head, following the trajectories to learn. From these demonstrations, the robot collects the Cartesian position of its arm and the partner’s gaze (head orientation). The trajectories make the base for learning the primitives (prior distribution). The bottom of the figure represents the inference step. The partner follows with his/her head the robot’s movement and/or he/she physically initiates the robot’s hand movement. When the prediction is done, the robot finishes autonomously the movement (i.e., drop the hand-held object). To show the improvement with respect to our previous work, the learned trajectories of the dropping phase have identical initial and final positions (making the prediction from early observations harder, and possible here only thanks to the multimodal primitive).

## 4 Methods

This section presents the ProMP method used to learn the motion primitives and to predict the trajectory of the ProMP given one modality. See [7] for further information.

### 4.1 Learning Motion Primitives With ProMP

A ProMP is a Bayesian parametric model of demonstrated trajectories in the form:

$$\xi(t) = \Phi_t \omega + \epsilon_\xi \quad (1)$$

where  $\xi(t)$  is the vector containing all the multi-modal variables to be learned at time  $t$  (e.g.,  $A(t)$  for visual modality or  $X(t)$  for physical modality);  $\omega \in R^M$  is a time-independent parameter vector weighting the  $\Phi$  matrix;  $\epsilon_\xi \sim \mathcal{N}(0, \beta)$  is the trajectory noise; and  $\Phi_t$  is a matrix of  $M$  Radial Basis Functions (RBFs) evaluated at time  $t$ :  $\Phi_t = [\psi_1(t), \psi_2(t), \dots, \psi_M(t)]$ . Note that all the  $\psi$  functions are scattered across time. The robot first records a set of  $n_1$  trajectories  $\{\Xi_1, \dots, \Xi_{n_1}\}$ , where the  $i$ -th trajectory is  $\Xi_i = \{\xi(1), \dots, \xi(t_{f_i})\}$ . The duration  $t_{f_i}$  of each recorded trajectory varies, following the user demonstrations. To find a common representation (in terms of primitives), a time modulation is applied to all trajectories, such that they have the same number of samples  $\bar{s}$ . To do so, we consider “ $\Phi_{\alpha t}$ ” instead of “ $\Phi_t$ ”, to rescale the RBFs to each trajectory, with the time modulation parameter “ $\alpha = \frac{\bar{s}}{t_{f_i}}$ ”. Such modulated trajectories are then used to learn a ProMP.

For each  $\Xi_i$  trajectory, we compute the  $\omega_i$  parameter vector that minimizes the error between the observed  $\xi_i(t)$  trajectory and its model  $\Phi_{\alpha t}\omega_i + \epsilon_\xi$ . This is done using the Regularized Least Mean Square algorithm.

Thus, we obtain a set of parameters upon which a normal distribution is computed:

$$p(\omega) \sim \mathcal{N}(\mu_\omega, \Sigma_\omega) \quad (2)$$

$$\text{with } \mu_\omega = \frac{1}{n} \sum_{i=1}^n \omega_i \quad (3)$$

$$\text{and } \Sigma_\omega = \frac{1}{n-1} \sum_{i=1}^n (\omega_i - \mu_\omega)^\top (\omega_i - \mu_\omega) \quad (4)$$

## 4.2 Predicting the Trajectory of the ProMP

The learned ProMPs corresponds to several skills or action primitives. They are used as a prior knowledge by the robot to predict the current action and its future trajectory, so that it can continue the movement autonomously. Here, early observations of the trajectory are a subset of the variables to learn:

$$\Xi^o = [\Xi_1 \dots \Xi_{n_o}]^\top = \{X^o || A^o || \begin{bmatrix} X^o \\ A^o \end{bmatrix}\} \quad (5)$$

Where  $X^o$  is the haptic measurement and  $A^o$ , the visual measurement.

The first step of the recognition process is to recognize the current ProMP  $\hat{k} \in [1 : 2]$ , and the temporal modulation parameter  $\hat{\alpha}$  from this partial observation  $\Xi^o$ . This is done by computing the most likely couple of temporal modulation parameter and ProMP type  $(\hat{\alpha}_{\hat{k}}, \hat{k})$  corresponding to the early trajectory. We use two methods to perform this computation.

- The first called “*maximum likelihood*” (*ML*) is computed by:

$$(\hat{\alpha}_{\hat{k}}, \hat{k}) = \operatorname{argmax}_{(\alpha \in S_{\alpha_{\hat{k}}}, \hat{k} \in [1:2])} \{\log \text{likelihood}(\Xi^o, \mu_{\omega_{\hat{k}}}, \sigma_{\omega_{\hat{k}}}, \alpha_{\hat{k}})\}. \quad (6)$$

, where  $S_{\alpha_{\hat{k}}} = \{\alpha_{\hat{k}1}, \dots, \alpha_{\hat{k}n}\}$  is the set of all the  $\alpha$  parameters computed during the learning for each observation of the ProMP  $\hat{k}$ .

- The second called “*model*” is based on the assumption there is a correlation between the time modulation  $\alpha$  and the variation of the trajectory  $\delta_{n_o}$  from the beginning until the instant  $n_o$ . Indeed, we assume that the time modulation parameter  $\alpha$  is linked to the movement speed, which can be roughly approximated by “ $\dot{\Xi} = \frac{\delta\Xi}{\hat{t}_f}$ ”. For the physical inference, the “variation” of the hand position is computed by “ $\delta_{n_o} = X(n_o) - X(1)$ ”, whereas for the visual inference, the variation of the partner’s head orientation is computed by “ $\delta_{n_o} = A(n_o) - A(1)$ ”. We model the mapping between  $\delta_{n_o}$  and  $\alpha$  by:

$$\alpha = \Psi(\delta_{n_o})^\top \boldsymbol{\omega}_\alpha + \epsilon_\alpha, \quad (7)$$

where  $\Psi$  are RBFs, and  $\epsilon_\alpha$  is a zero-mean Gaussian noise. During learning, we compute the  $\boldsymbol{\omega}_\alpha$  parameter, using the same method as in Equation 1 and during the inference, we compute  $\hat{\alpha} = \Psi(\delta_{n_o})^\top \boldsymbol{\omega}_\alpha$ . Finally, we compute the maximum likelihood in the set of  $\{\hat{\alpha}_1, \hat{\alpha}_2\}$

Once identified the  $(\hat{\alpha}_{\hat{k}}, \hat{k})$  couple, the recognized distribution (called the “prior”) can be updated by:

$$\begin{cases} \hat{\mu}_{\boldsymbol{\omega}_{\hat{k}}} = \mu_{\boldsymbol{\omega}_{\hat{k}}} + K(\Xi^o - \Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]} \mu_{\boldsymbol{\omega}_{\hat{k}}}) \\ \hat{\Sigma}_{\boldsymbol{\omega}_{\hat{k}}} = \Sigma_{\boldsymbol{\omega}_{\hat{k}}} - K(\Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]} \Sigma_{\boldsymbol{\omega}_{\hat{k}}}) \\ K = \Sigma_{\boldsymbol{\omega}_{\hat{k}}} \Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]}^\top (\Sigma_{\xi^o} + \Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]} \Sigma_{\boldsymbol{\omega}_{\hat{k}}} \Phi_{\hat{\alpha}_{\hat{k}}[1:n_o]}^\top)^{-1} \end{cases} \quad (8)$$

with  $\hat{\alpha}_{\hat{k}}[1:n_o] = \hat{\alpha}_{\hat{k}} t$  (in matrix form), with  $t \in [1:n_o]$ .

Finally, the inferred trajectory is given by:

$$\forall t \in [1:\hat{t}_f], \hat{\xi}(t) = \Phi_t \hat{\mu}_{\boldsymbol{\omega}_{\hat{k}}}$$

with the expected duration of the trajectory  $\hat{t}_f = \frac{\bar{s}}{\hat{\alpha}_{\hat{k}}}$ . The robot is now able to finish the movement executing the most-likely “future” trajectory  $\hat{X} = [\hat{X}_{n_o+1} \dots \hat{X}_{\hat{t}_f}]^\top$ .

## 5 Experiments

### 5.1 Experimental Setup

We carried out experiments with the humanoid robot iCub. To retrieve the approximated gaze direction, we use the roll/pitch/yaw angles of the user’s head orientation, extracted from the camera image of the iCub’s eyes by Intraface [28]. To retrieve the Cartesian information, we use an iCub module that computes the Cartesian position and orientation (iKinCartesianSolver). The experimental procedure is outlined in Fig. 2. The training phase requires a robot operator (performing kinesthetic teaching) and a human partner (guiding the robot via gaze), for a total of two people. In the inference phase, only the partner interacts with the robot.

### 5.2 Teaching iCub the Action Primitives

We taught the robot two multi-modal movement primitives that make it drop an object inside a target bin (roughly at the same position) but following two different

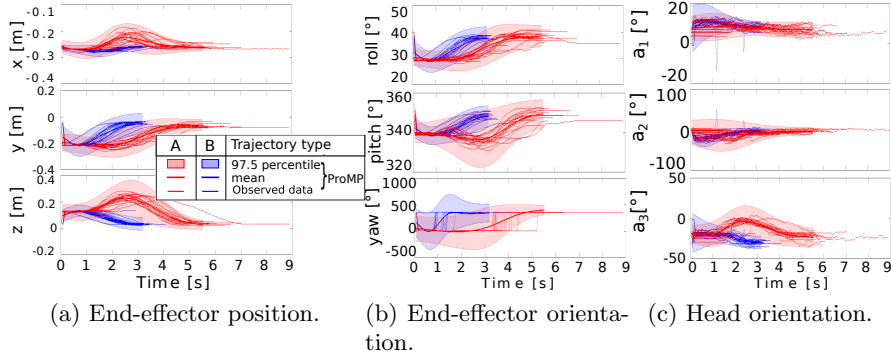


Fig. 3: Demonstrations (trajectories) and primitives. In red (ProMP A) the “curved” trajectory, and in blue (ProMP B) the “direct” trajectory.

type of trajectories coupled with the corresponding trajectories of the human partner. These primitives contain the Cartesian position and orientation of the robot’s left hand (guided by the robot operator), and the head orientation of the human partner that visually guides the robot:  $\xi(t) = [X(t), A(t)]^\top$ , with  $X(t) \in \mathbb{R}^6$  the Cartesian pose and  $A(t)$  the roll-pitch-yaw orientation angles of the partner’s head.

We performed 20 trajectory demonstrations per primitive action. Fig. 3 shows the demonstrations and the learned-distribution for the two ProMPs.

### 5.3 Activating Primitives With Gaze

The gaze cue is used to identify the current action. This procedure has two advantages. First, it does not require physical interaction, which could ease interacting with the robot for some people. Second, it enables to improve the prediction of intended trajectory, especially in case of ambiguous primitives that overlap and could make it difficult to obtain a good prediction with few early observations. An intuitive case is shown in Fig. 5.

From [7], we retain two methods to compute the time modulation: “maximum likelihood” (*ML*) and “*model*”, where the latter consists on estimating the trajectory duration according to the global partner’s head orientation variation: “ $\delta_{n_o} = A(n_o) - A(1)$ ”.

We tested off-line the gaze prediction of the trajectories on the acquired data set using cross-validation. Fig. 4 shows a prediction example after having observed 50% of the trajectory. The inferred trajectory is the mean trajectory of the red posterior distribution. Note that this posterior distribution is included in the prior distribution and pass by the observed data with

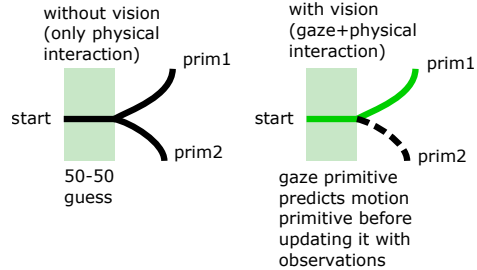


Fig. 5: Gaze helps disambiguate two overlapping primitives.



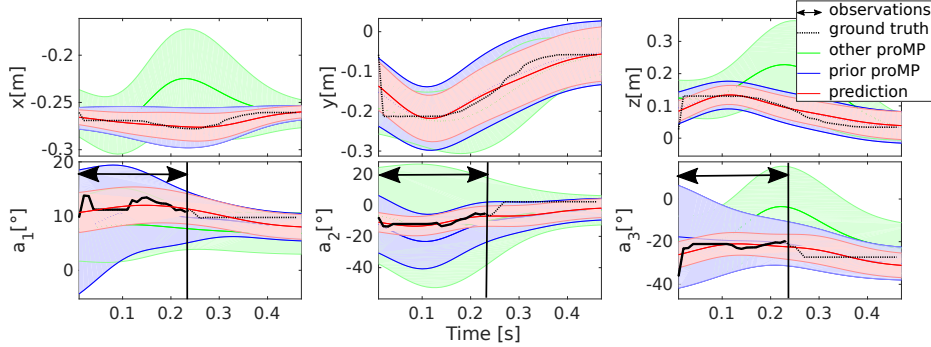


Fig. 4: Example of position inference from 50% of the head orientation trajectory. The dots represent the trajectory the robot has to perform (ground truth). The black curves represent the measurements done by the robot. The blue distribution represents the recognized ProMP and the green distribution the other ProMP. The red distribution represents the posterior of the blue distribution, computed from the measured data.

some *flexibility*, that correspond to the expected measurement noise fixed a-priori. Even though the partner’s head orientation observations are not accurate, the prediction is good enough to allow the robot to complete the task correctly.

Fig. 6a represents the error of ProMP recognition according to the percentage of observations of the test trajectory. The longer the head trajectory is observed, the smaller is the prediction error, for both methods for computing the time modulation. This figure also shows that the *model* is less accurate than the *ML* method when the robot observes less than 70% of the whole trajectory, while with more observation the *model* method is a slightly more accurate. Since head movements are fast, the robot can use the whole head movement trajectory and still react quickly. So, we can use the *model* method to allow the robot to recognize which ProMP to follow for the visual guidance. With 70% observation of a trajectory, there is no ProMP type recognition error, thus, the robot can roughly infer the trajectory to perform (which corresponds to 3 seconds).

We represent in Fig. 6b the average error of the Cartesian position of the inferred trajectory. It shows that the error of the predicted trajectory goes from 4cm (10% of the trajectory) to 2cm (from 80%). Thus, the more the robot observes its partner’s head trajectory, the more it is able to achieve its own movement intended by its partner.

However, we can wonder if the posterior distribution is more accurate than the prior. It would be the case if the partner’s head orientation was totally correlated to the robot’s hand position and the measurement accurate enough to infer exactly the end-trajectory. Fig. 6c represents the difference of the Normalized Root Mean Square Error (NRMSE) between the prior and the posterior distribution. From 40% of the trajectory observation, this difference is inferior to zero, meaning that by updating the distribution, the robot improves the trajectory inference. Thus, the visual guidance can be used to determine which ProMP the robot has to follow, but also to adapt the ProMP distribution from the user’s head guidance in an accurate way.

To achieve a better accuracy, we assume the physical interaction will more indicated. To verify this assumption, the next session presents the physical guidance experiment.

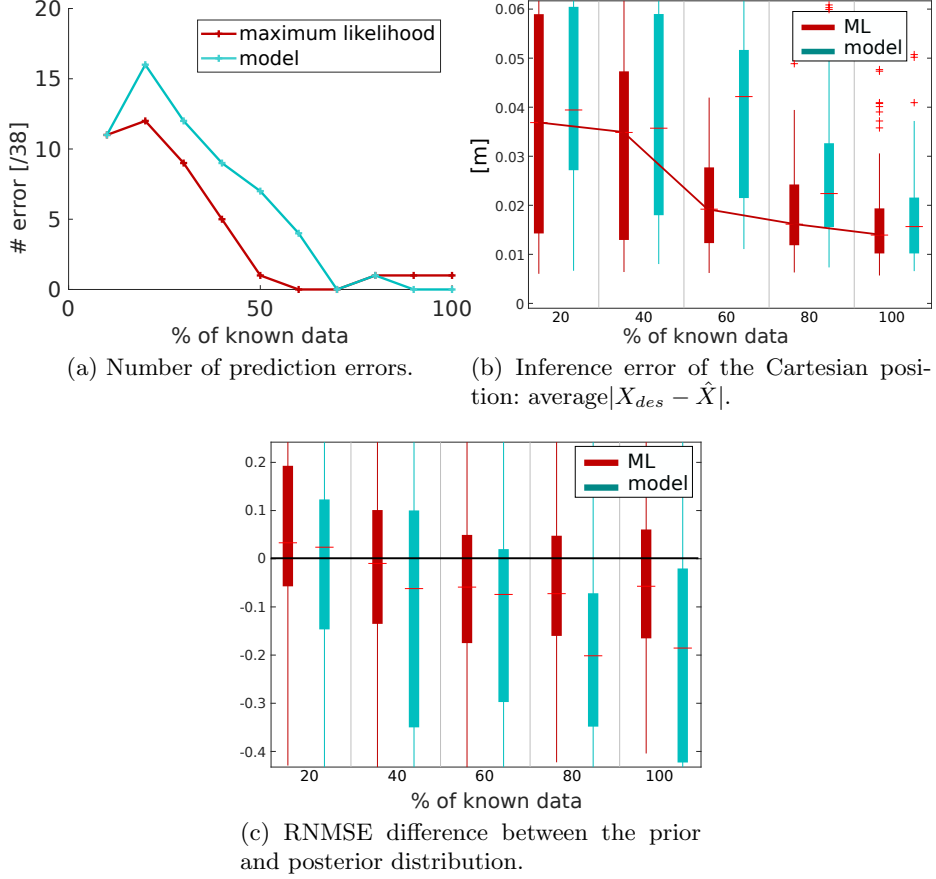


Fig. 6: Visual guidance analysis.

#### 5.4 Inference of Intended Trajectories With Physical Guidance

The same prediction experiment from early-demonstrations than the previous section is presented here with haptic signals. Fig. 7 presents an example of such prediction. If we compare to the visual experiment, we can note that the inferred trajectory (mean of the red posterior distribution) is closer to the ground truth. Fig. 8a verifies this idea. It represents the average distance between the inferred trajectory ( $\hat{X}$ ) and the ground truth ( $X_{des}$ ), and the results show that the trajectory prediction using physical

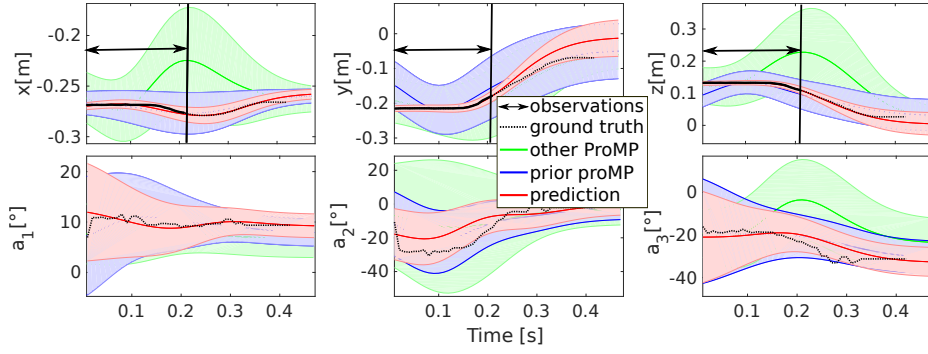
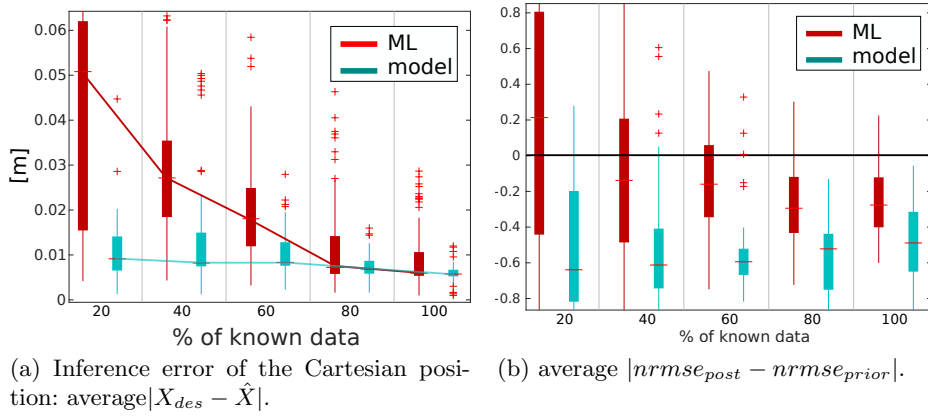


Fig. 7: Example of trajectory inference from physical guidance.



(a) Inference error of the Cartesian position: average  $|X_{des} - \hat{X}|$ . (b) average  $|nrmse_{post} - nrmse_{prior}|$ .

Fig. 8: Physical guidance analysis.

estimation is more accurate than the visual estimation, whether with the *model* or the *ML* method, with an average of less than  $1\text{cm}$  of distance error for the *model* and from  $3\text{cm}$  (40% of known data) to  $1\text{cm}$  (80%) for the *ML*. Moreover, Fig. 8b shows that the posterior distribution of the ProMP improves the accuracy of the trajectory, mainly for the *model* method which explains why the distance error using this method is short in the previous figure.

Now, we can wonder if using the two modalities could improve the performance of this inference ability. Thus, the next section is the multi-modal experiment on the same data set.

### 5.5 Inference of Intended Trajectories With Multi-modal guidance

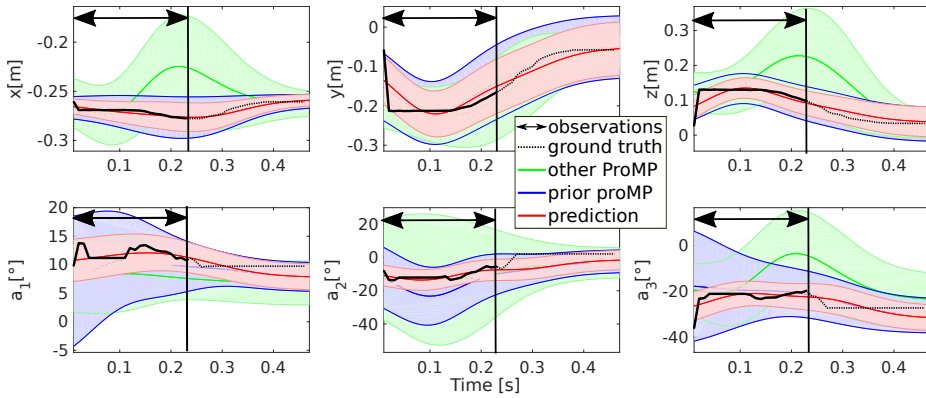


Fig. 9: Example of position inference from 50% of the head orientation and the Cartesian position trajectories.

Fig. 9 represents the inference of the Cartesian position trajectory when the robot knows 50% of the trajectory data to achieve and when it uses both visual and physical measurements (black curves). In this example, the inferred trajectory (mean of the red posterior distribution) is close to the trajectory expected by the partner (black dots). To compare this multi-modal prediction with visual or physical prediction only, Fig. 10 and 11 represent all the statistics for each prediction type. Fig. 10 represents the distance error between the Cartesian position of the expected and the inferred trajectory. Whether with the *model* (in Fig. 10a) or the *ML* method (in Fig 10b), the inference using the Cartesian position measurement only is more accurate than using the multi-modal or the visual-only measurement. The performance of this physical guidance is mainly visible with the *model* method, where the distance error is really short. Thus, the multi-modality guidance did not improve the inference ability of the robot.

From Fig. 11, we can see the number of ProMP recognition error according to the type of modality used to perform the inference. An interesting result is that by using the *model* method (in Fig. 11a), the robot is entirely able to recognize the initiated

movement from 70% of know data, and with the *ML* method, the robot has only done one error from the 38 trials (which corresponds to 2%). Thus, the multi-modal clearly improves the ProMP recognition step of the inference, even though it did not improve the final inferred trajectory precision.

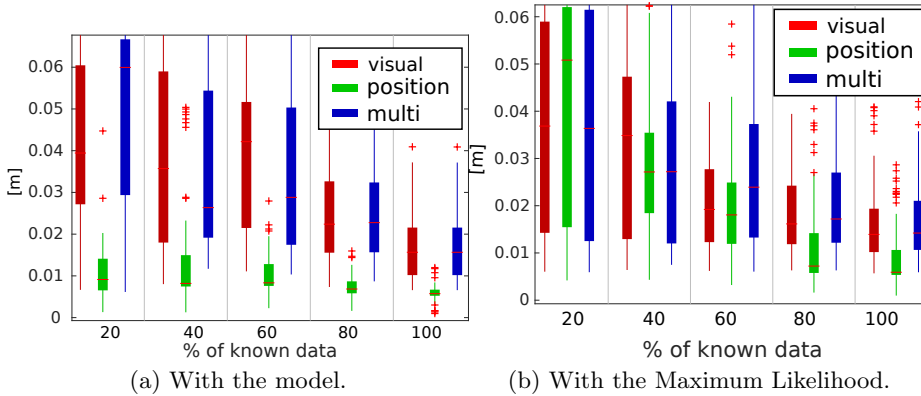


Fig. 10: Inference error of the Cartesian position: average  $|X_{des} - \hat{X}|$  according to modality used.

## 6 Conclusions

This paper presents a multi-modal method for robots to predict the partner’s intended trajectory during HRI using haptic and/or gaze cues. We tested our system with the humanoid iCub collaborating with a human partner in a task where the robot has to grasp an object using different trajectories. The human physically interacts with the robot’s arm to start an action and/or uses his directional gaze to guide the robot. We build on our previous work [7], where elementary actions are represented by Probabilistic Movement Primitives that enable prediction of goals from early observations. During physical guidance, the robot uses the haptic information to recognize the current action, then it is able to accurately predict the goal, the future intended trajectory and its duration. A limitation of previous inference method is that the robot is not able to determine which movement primitive to follow when the early-observations are ambiguous, *i.e.*, identical to more than one primitive. In that case, the visual guidance is used to identify the correct movement primitive. While during the visual guidance, the same prediction is done using the directional gaze, approximated here by the head orientation. The association between gaze cues and robot primitives is done by a multi-modal learning phase. The visual modality has two main advantages: first, it does not require the partner to physically touch the robot to start his intended movement; second, it provides a faster recognition of the action primitive if compared with physical signals. However, results show that by using the visual instead of the physical guidance, the performance of the inference decreases slightly (around  $1.5cm$ ). A limit of

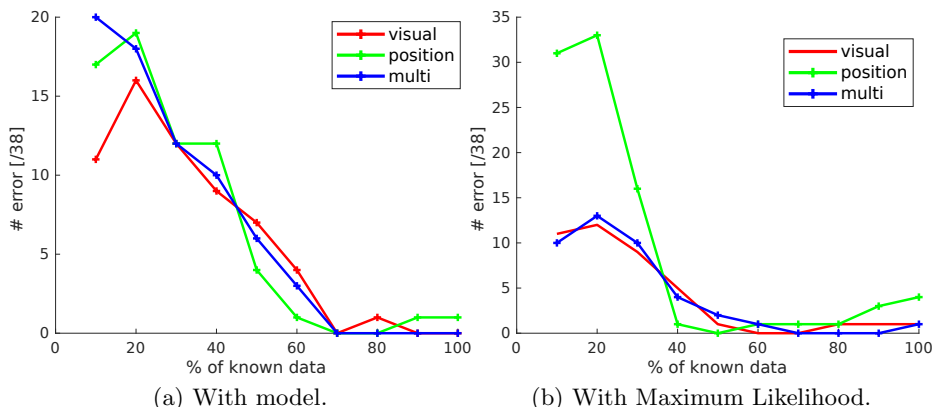


Fig. 11: Prediction error according to modality used.

this modality is the accuracy of the gaze estimation. To improve it, we have many possibilities: use the Kinect to have more relevant data; use another head recognition software instead of Intraface; or use the Xsens 3D tracking. It is also possible to add another “no-human” modality to even surpass human inference skills, by guiding the robot from a watch that contains sensors to detect the human partner’s arm pose and to use this pose to learn and recognize ProMPs.

Regarding the inference using multi-modal measurements, results show that by adding the visual recognition in addition to the physical recognition, it did not improve the accuracy of the inferred trajectory (*i.e.*, it did not improve the posterior distribution computation), but it improves the ProMP recognition (*i.e.*, it improves the first step of the inference that consists on recognizing which movement the robot has to execute among the one it has learned). Thus, to have the better inference skills, we should use the multi-modal guidance to allow robots to recognize the movement/action to perform, and then we should use the haptic guidance to improve the movement precision according to the early measurements. However, the multi-modal guidance currently requires to use two human partners (one in front of the robot to guide it with his/her head and the other one to guide it physically) or to perform the guidance type one after the other. The utilization of the Xsens is a good way to improve this study because one partner will be able to guide physically and visually the partner at the same time, hence in a more natural way.

In future work, we will also study the human preference for the use between the haptic and visual guidance modes.

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