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Aqueous Solubility of Some Natural Phenolic Compounds

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In this work, the aqueous solubilities of two hydroxybenzoic acids (gallic and salicylic acid) and three phenylpropenoic acids (trans-cinnamic, ferulic, and caffeic acids) are addressed. Measurements were performed, as a function of temperature, between 288.15 and 323.15 K, using the shake-flask method for generating the saturated aqueous solutions, followed by compositional analysis by spectrophotometric and gravimetric methods. The pH values of the saturated aqueous solutions were measured by potentiometry. Additional thermodynamic properties, which are fundamental for a better understanding of the solubilization process, as well as necessary for the modeling studies, such as melting temperatures and fusion enthalpies were determined by differential scanning calorimetry (DSC). Apparent acid dissociation constants (K_a) were obtained by potentiometry titration. The measured data were modeled with the cubic-plus-association (CPA) equation of state (EoS). This EoS is applied, for the first time, for multifunctional associating molecules, and the results indicate that it can adequately be used to represent the measured and other literature data with satisfactory accuracy.

1. Introduction

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Fruits, vegetables, spices, and aromatic herbs are a natural source of phenolic compounds. These can be raw materials for the synthesis of different molecules with industrial interest, such as some drugs, cosmetics, antioxidants, antiseptics, and flavors; they also can be used in the preparation of resins, plasticizers, dyes, inks, and pharmaceutical products.¹ Many of these phenolic compounds have potential chemical and biological properties, such as antioxidant, chelating, free-radical scavenging, anti-inflammatory, antialergic, antimicrobial, antiviral, anticarcinogenic, chemoprevention (interfering with a disease process), and ultraviolet (UV) filteriing properties. Most of them are phytochemical (they are not required for the normal functioning of the body, but they do have a beneficial effect on health, or an active role in the treatment of a disease).

Because of their hydrogen-bonding ability and aromaticity, phenolic compounds can frequently act as free-radical scavengers, forming aryloxyl radicals (ArO•). The stabilization of these radicals by other functional groups enhances the antioxidant activity.² They also have ability to scavenge the oxidative radicals that are caused by cumulative and prolonged exposure to UV radiation, which has been recognized to induce harmful reactions in human skin. There are several studies concerning topically applied antioxidant products for this purpose.³

The low incidence of coronary heart disease, atherosclerosis, and some types of cancer has been correlated by several authors with the phenolic content of olive oil.⁴ This leads to the recognition of the olive phenols (which are present in the fruit, leaves, and oil) as potential targets for the food and pharmaceutical industries. Recent studies have highlighted the potential recovery of some of these compounds from olive mill wastewaters. Because of their acidity and high organic content, these cannot be treated by the regular sewage systems, and their treatment is particularly difficult. The recovery of some of these high-value phenolic compounds has been discussed in the recent 51 literature as a means to promote the following biodegration of 52 the wastewaters, while obtaining economic benefits from the 53 separated high-valued phenolic components.^{5–7} 54

For the adequate design of processes and products that involve 55 these phenolic compounds, it is important to know some of their 56 physicochemical properties, particularly the solubility in different pure and mixed solvents, because these are solids under 58 ambient conditions. 59

In this work, the aqueous solubilities of some natural phenolic 60 compounds (such as the hydroxybenzoic acids gallic and 61 salicylic acids) and the phenylpropenoic acids (trans-cinnamic, 62 ferulic, and caffeic acids) are addressed. Their chemical 63 structures are presented in Figure 1. 64

Salicylic acid is the key additive in many skin care products; 65 in addition to being used as an analgesic and antipyretic, it is used as a plant hormone. In small quantities, it is a food 67 preservative and antiseptic in toothpaste. It is found in several 68 plants, notably in wintergreen leaves and the bark of sweet 69 birch.⁸ Gallic acid, because of its many significant biological 70

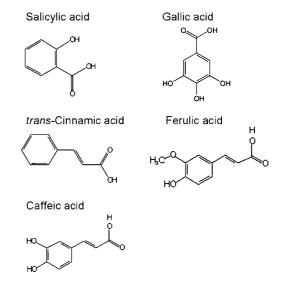


Figure 1. Structure of the five phenolic compounds in this study.

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Table 1. Shaking and Settling Times and Absorption Wavelengths for the Solubility Measurements

| | shaking time (h) | settling time (h) | λ (nm) |
|---------------------|------------------|-------------------|--------|
| salicylic acid | 64-156 | 7–26 | 295.6 |
| gallic acid | 64-117 | 7–26 | 270.0 |
| trans-cinnamic acid | 69-156 | 6-42 | 270.8 |
| ferulic acid | 64-84 | 24-75 | 290.0 |
| caffeic acid | 64-84 | 25-73 | 290.0 |

activities (such as its use as an antioxidant and antiviric),⁹ is 71 72 commonly used in the pharmaceutical, food, and pigment industries; however, it can also be used to synthesize the 73 hallucinogenic alkaloid, mescaline. It is found in gallnuts, sumac, 74 witch hazel, tea leaves, oak bark, and other plants.⁸ Trans-75 cinnamic acid is used in flavors, synthetic indigo, and certain 76 pharmaceuticals, but its primary use is in the manufacture of 77 the methyl, ethyl, and benzyl esters for the perfume industry. It 78 occurs naturally in essential oils (for example, those from cassia 79 and cinnamon) and can also be found in shea butter. In addition, 80 it can be obtained from some balsams such as storax.⁸ Caffeic 81 acid is found in many fruits, vegetables, seasonings, beverages 82 (wine, coffee) and olive oil.³ It is highly predominant in 83 sunflower seeds and greatly affects the solubility of plant 84 proteins.² Ferulic acid is a highly abundant phenolic phy-85 tochemical present in plant cell walls, and it is found in 86 vegetables and fruits, and in seeds of plants such as brown rice, 87 whole wheat, and oats, as well as in wine, olive oil, coffee, 88 apple, artichoke, peanut, orange, and pineapple. It is the most 89 abundant hydroxycinnamic acid in plants. It may have significant 90 health benefits, through its antioxidant and anticancer activity, 91 and it may also serve as a precursor in the manufacture of useful 92 aromatic compounds.10 93

The work presented here is part of a project concerning the solubility of natural phenolic compounds in water and organic solvents. Its final aim is to understand and predict the solubility of these molecules in different solvents, to adequately understand the fate and separation processes of these molecules. To accomplish this, experimental measurements of solubility, as a function of temperature, were performed and an associating equation of state (EoS) was used for their estimation.

2. Experimental Section

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2.1. Materials. In all experiments, bidistilled water ($\approx 2.5-3$ μ S/cm) was used. Gallic acid ($\geq 98\%$ purity; CAS No. 149-91-

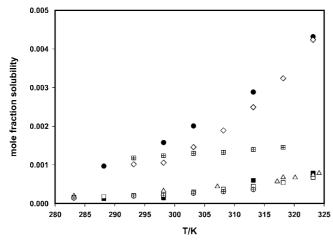


Figure 2. Solubility of hydroxybenzoic acids in water. Closed symbols denote data from this work ((\bullet) gallic acid and (\blacksquare) salicylic acid); open symbols denote data obtained from previous literature ((\triangle) Apelblat et al.,⁴⁰ (\Box) Nordstrom and Rasmuson,¹⁹ (\oplus) Pena et al.,⁴¹ (\diamond) Lu and Lu,⁹ and (\boxplus) Noubigh et al.¹⁸).

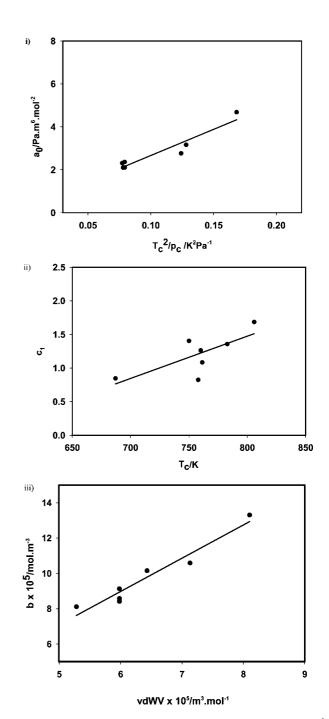


Figure 3. Regression of the CPA cubic term parameters: (i) a_0 vs T_c^2/p_c , (ii) c_1 vs T_c , and (iii) b vs vdWV.

7), salicylic acid (\geq 99% purity: CAS No. 69-72-7), *trans*cinnamic acid (\geq 99% purity; CAS No. 140-10-3), ferulic acid (99% purity; CAS No. 537-98-4) and caffeic acid (98% purity; CAS No. 331-39-5) were obtained from Sigma–Aldrich. All chemicals were used as received.

2.2. Experimental Procedure. 2.2.1. Solubility Measure-110 ments. Aqueous solubilities of the solid phenolic compounds 111 were determined, as a function of temperature, by the analytical 112 shake-flask method, using constant-temperature jacketed glass 113 cells. To prepare saturated solutions, excess solute and distilled 114 water were placed into the cell and stirred in a plate stirrer (IKA 115 RO 15 Power). Temperature was maintained with a constant-116 temperature (±0.10 K) circulating water bath (Grant LTC1) and 117 monitored with four-wire platinum resistance probes (Pt100) 118 placed in the thermostatic jackets and connected to an Agilent 119 34970A data acquisition unit (± 0.01 K). This temperature 120

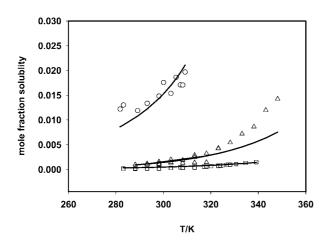


Figure 4. Solubility of phenol, gallic acid, and salicylic acid in water. Symbols represent experimental data: (\bigcirc) phenol, (\triangle) gallic acid, and (\square) salicylic acid. Modeling results: phenol, $k_{ij} = -0.02$; gallic acid, $k_{ij} = -0.13$; and salicylic acid, $k_{ij} = -0.06$.

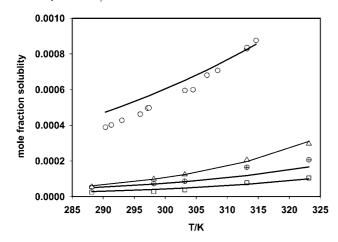


Figure 5. Solubility of benzoic, ferulic, caffeic, and *trans*-cinnamic acids in water. Symbols represent experimental data: (\bigcirc) benzoic acid, (\triangle) caffeic acid, (\square) *trans*-cinnamic acid, and (\oplus) ferulic acid. Modeling results: benzoic acid, $k_{ij} = -0.04$; ferulic acid, $k_{ij} = -0.13$; caffeic acid, $k_{ij} = -0.10$; and *trans*-cinnamic acid, $k_{ij} = -0.05$.

measuring system was certified, presenting a maximum deviation of 0.06 at 303.67 K.

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The time required to reach equilibrium was determined by repetitively measuring the solubilities until constant data were obtained, which was different for each compound, as presented in Table 1. When the equilibrium was attained, the agitation was stopped and then the solution was kept still, to allow undissolved solid to settle. Settling times are also presented in Table 1.

For the determination of the solubilities, samples were taken using plastic syringes coupled with syringe filters (0.45 μ m), previously thermostatized at the equilibrium temperature and analyzed by spectrophotometry (Thermo Electron Corporation UV1).

Calibration curves were generated using standards in the following concentration ranges (in mg/L): salicylic acid, 2.7-4.8; gallic acid, 0.5-2.5; *trans*-cinnamic acid, 0.3-1.5; caffeic acid, 1.3-6.7; and ferulic acid, 0.5-9.4. The analysis wavelengths are presented in Table 1. Linearity was evaluated by linear regression analysis.

All the weighing procedures were performed using an analytical balance (model AE aaa250L) (± 0.0001 g).

To verify the accuracy of the spectrophotometric method, some analyses were performed using the gravimetric method,

Ind. Eng. Chem. Res., Vol. xxx, No. xx, XXXX C

using the same solubilization and sampling procedure. A sample 145 of clear solution was transferred to a preweighed flask (with a 146 mass $m_{\rm y}$). The mass of the flask with saturated solution (with a 147 mass $m_{\rm vs}$) was registered and then maintained at 313.15 K for 148 8 days on a hot plate (Stuart Hotplate SB300) to allow the 149 solvent to evaporate. Afterward, the solid residue was placed 150 in a drying oven (Scientific Series 9000) and the mass of dry 151 residue was measured (m_{vdr}). Before each weighing, the flasks 152 were placed in a desiccator for 2 h to reach ambient temperature. 153 The solubility, when presented as a ratio of grams of solute per 154 grams of solvent, is calculated using the following equation: 155

$$S = \frac{m_{\rm vdr} - m_{\rm v}}{m_{\rm vs} - m_{\rm vdr}} \tag{1}$$

Some phenylpropenoic acids were reported to suffer photo-157 degradation with daylight.¹¹⁻¹⁶ To prevent that, the cells were 158 isolated with aluminum foil. In these cases, apart from the 159 normal analysis, the phenylpropenoic samples were also ana-160 lyzed via high-preformance liquid chromatography (HPLC) 161 (VWR-Hitachi Lachrom Elite HPLC) at the same wavelength 162 used in the spectrophotometric method to check if any photo-163 degradation had occurred, which was never found, with a single 164 peak being obtained in all chromatograms. 165

2.2.2. DSC Measurements. Thermograms of the studied 166 phenolics were obtained using differential scanning calorimetry 167 (DSC) (Netzsch, model DSC 200 F3 Maia). Samples of 4-6 168 mg were sealed hermetically into aluminum crucibles and heated 169 under a stream of nitrogen in the measuring cell, while using 170 an empty crucible as a reference. Initial estimates of the melting 171 temperatures were obtained from a 10 K/min temperature 172 program in a larger temperature range (from room temperature 173 to 623 K). Afterward, several runs were averaged with a heating 174 rate of 1 K/min around the expected melting temperature. All 175 DSC experiments were conducted at least in triplicate. An 176 indium standard was run on this equipment to ascertain its 177 precision for obtaining melting temperatures and heats of fusion. 178 The deviation in the melting temperature was -0.3%, and in 179 fusion enthalpy, it was 3.7%. 180

2.2.3. Potentiometric Measurements. Because the phenolic 181 compounds under study are organic acids, the pH of the 182 saturated aqueous solutions and their acid dissociation constants 183 were determined by potentiometry with a glass electrode in a 184 VWR model SympHony SB70P meter with automatic temper-185 ature compensation. The measuring system was calibrated with 186 buffers of pH 4.00 and 7.00 (293.15 K) from Merck, which 187 were traceable to standard reference materials from NIST. 188

Apparent acid dissociation constants were obtained by 189 potentiometric titration of aqueous solutions of the phenolic 190 acids with aqueous NaOH solutions, at the same temperatures 191 as in the solubilization experiments. 192

3. Model

Apart from empirical correlations, different thermodynamic 194 approaches can be found for modeling the solid solubility of 195 phenolic compounds in aqueous systems. 196

Jia et al.¹⁷ determined the water solubility of benzoic acid 197 and some of its derivatives, modeling the data with the Wilson 198 equation and the λ H correlation. Noubigh et al.¹⁸ employed 199 Van't Hoff plots to correlate the solubility of syringic, gallic, 200 ferulic vanillic, and protocatechuic acids, as well as vanillin in 201 water and aqueous salt solutions. Nordström and Rasmuson¹⁹⁻²¹ 202 studied the solubility of the three isomers of hydroxybenzoic 203 acid in water and different organic solvents and, as Noubigh et 204 al.¹⁸ correlated the corresponding Van't Hoff plots, this time 205

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D Ind. Eng. Chem. Res., Vol. xxx, No. xx, XXXX

 Table 2. Experimental Solubilities of Hydroxybenzoic Acids in Pure

 Water and Corresponding Saturation pH Values

| | Salicylic A | cid | Gallic Acid | |
|---------------------|------------------------|------|-----------------------------------|------|
| temperature, $T(K)$ | solubility, S (g/L) | pH | solubility, S (g/L) | pН |
| 323 | 5.97 ± 0.30 | 2.23 | 38.9 ± 2.1 | 2.56 |
| 313 | 4.55 ± 0.51 | 2.24 | $26.4 \pm 1.2, 22.5 \pm 0.62^{a}$ | 2.63 |
| 303 | 2.18 ± 0.46 | 2.30 | 18.6 ± 0.9 | 2.67 |
| 298 | 1.14 ± 0.44 | 2.50 | 14.7 ± 0.8 | 2.69 |
| 288 | 1.00 ± 0.05 | 2.61 | 9.1 ± 0.7 | 2.72 |

^a The second value listed was measured by gravimetry.

using a second-order polynomial. Lu and Lu⁹ measured the 206 water solubility of gallic acid and some of its esters and also 207 correlated the data from the Van't Hoff plots. They proposed 208 another empirical correlation to describe the S-type curve of 209 the solubility of gallic acid and octyl gallate as a function of 210 temperature. Panteli et al.²² modeled the solubility of (+)-211 catechin in ethyl acetate and acetonitrile with UNIQUAC, 212 NRTL, UNIFAC, and Regular Solution Theory and concluded 213 that, for these particular systems, NRTL was the better correla-214 tive tool, whereas UNIFAC and regular solution theory gave 215 216 unsatisfactory solubility predictions.

217 Besides the importance of aqueous systems and ambient 218 pressure conditions, most of the works concerning the estimation of the phase equilibria of phenolic compounds is concerned with 219 their CO₂ solubility, related with supercritical fluid extraction 220 processes.^{23–29} Among the models used, different classical 221 equations of state such as the Peng-Robinson equation of state 222 (PR-EoS),^{23,26} the Soave-Redlich-Kwong equation of state 223 (SRK-EoS),²⁵ the group contribution associating equation of 224 state (GCA-EoS)^{25,28} and the statistical associating fluid theory 225 equation of state (SAFT-EoS)²⁹ can be found. A recent and 226 broad revision on the different models used to predict solubility 227 of phenolic compounds in supercritical CO₂ is available in the 228 literature.24 229

As both the solutes and the solvent (water) can associate (selfand cross-association is present in mixtures), thermodynamic models explicitly dealing with these interactions seem appropriate for evaluation in this work. Among the different associating models, recent works on the mutual solubilities of water + organic systems with the cubic-plus-association equation of state (CPA-EoS)^{30,31} suggested the choice of this equation of state.

237The CPA-EoS, in terms of the compressibility factor (Z), can238be expressed as the sum of two contributions: one accounting239for physical interactions (that in the current work is taken as240the SRK-EoS), and another accounting for the association241contributions (the Wertheim association term):

$$Z = Z^{\text{phys}} + Z^{\text{assoc}} = \frac{1}{1 - b\rho} - \frac{a\rho}{RT(1 + b\rho)} - \frac{1}{2} \left(1 + \rho \frac{\partial \ln g}{\partial \rho}\right) \sum_{i} x_{i} \sum_{A_{i}} (1 - X_{A}) \quad (2)$$

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244 where *a* is the energy parameter, *b* the co-volume parameter, ρ 245 the density, *g* the simplified radial distribution function (see eq 246 7, presented later in this paper), X_{A_i} the mole fraction of pure 247 component *i* not bonded at site A, and x_i the mole fraction of 248 component *i*.

The pure component energy parameter is obtained from

$$a(T) = a_0 \left[1 + c_1 \left(1 - \sqrt{T_r} \right) \right]^2$$
(3)

and the mixture of a and b parameters are obtained through the classical mixing and combining rules.³¹ X_{A_i} is related to the association strength $\Delta^{A_i B_j}$ between two 253 sites belonging to two different molecules and is calculated by 254 solving the following set of equations: 255

$$X_{A_i} = \frac{1}{1 + \rho \sum_j x_j \sum_{B_j} X_{Bj} \Delta^{A_i B_j}}$$
(4)

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For self-associating molecules, eq 5 is used:

$$\Delta^{A_i B_i} = g(\rho) \left[\exp\left(\frac{\varepsilon^{A_i B_i}}{RT}\right) - 1 \right] b_{ii} \beta^{A_i B_i}$$
⁽⁵⁾

where $\varepsilon^{A^{i}B^{i}}$ and $\beta^{A^{i}B^{i}}$ are the association energy and the 259 association volume, respectively. For sites that belong to two 260 different associating molecules, the Elliot combining rule is 261 used: 262

$$\Delta^{A_i B_j} = \sqrt{\Delta^{A_i B_i} \times \Delta^{A_j B_j}} \tag{6} 263$$

The simplified radial distribution function, $g(\rho)$ is given by

$$g(\rho) = \frac{1}{1 - 1.9\eta} \tag{7}$$

where

 $\eta = \left(\frac{1}{4}\right) b\rho \tag{267}$

CPA has three pure component parameters $(a_0, c_1, \text{ and } b)$ 268 for nonassociating components, whereas, for associating components such as *n*-alcohols, it has five $(a_0, c_1, b, \varepsilon, \text{ and } \beta)$. In both cases, parameters are regressed simultaneously from vapor pressure and liquid density data. 272

Starting from the solid—liquid equilibrium conditions, ne-273glecting the heat capacity terms and assuming pure solid phases,274the water solubility of each of the phenolic compounds under275study can be obtained from the relation38276

$$x_i = \frac{\phi_i^{L_0}}{\phi_i^L} \exp\left[-\frac{\Delta H_{i_{\text{fus}}}}{RT} \left(1 - \frac{T}{T_{i_{\text{m}}}}\right)\right]$$
(8)

where x_i is the solubility (in mole fraction), φ the fugacity 278 coefficient, and $\Delta H_{i_{\text{fus}}}$ the fusion enthalpy; the subscript "0" 279 refers to the pure component and the subscript "m" refers to 280 melting. Fugacity coefficients were obtained from the CPA-281 EoS. Fusion enthalpies and melting temperatures were experi-282 mentally determined by DSC, whenever possible; otherwise, 283 they were obtained from the Marrero and Gani group contribu-284 tion approach.39 285

4. Results and Discussion

Aqueous solubility results are given in Tables 2 and 3. The pH of each saturated solution was also measured, and the mean values are presented on the same tables. As can be seen, the solubility increases with temperature for all compounds. 290

Among the hydroxybenzoic acids (Table 2), gallic acid has 291 the higher solubilities, because of the higher number of hydroxyl 292 groups, leading to increased association interactions with water. 293 For gallic acid, a comparison of our results with those of Lu 294 and Lu⁹ (Figure 2) allows one to observe a slight disagreement 295 at the lower temperatures (298.15 and 303.15 K); however, 296 generally, the results follow the expected trend in the entire 297 temperature range. Comparison with Noubigh et al.¹⁸ shows that 298 the results are not in good agreement, but the Noubigh et al.¹⁸ 299 data do not increase significantly with temperature, which is 300 contrary to what would be expected. To verify the reproduc-301 ibility of the spectrophotometric determination, a gallic acid 302

| ohio1/yie-yie/yie-yie/yie99907/yie3699d07z | xppws 23:ver.3 | 4/30/08 12:03 | Msc: ie-2007-014520 | TEID: jsc00 | BATID: ie83a20 | |
|--|----------------|---------------|---------------------|-------------|----------------|--|
|--|----------------|---------------|---------------------|-------------|----------------|--|

Ind. Eng. Chem. Res., Vol. xxx, No. xx, XXXX E

 Table 3. Experimental Solubilities of Phenilpropenoic Acids in Pure Water and Corresponding Saturation pH Values

| | Trans-Cinnamic Acid | | Ferulic Acid | Caffeic Acid | | |
|---------------------|------------------------------------|------|------------------------------------|--------------|---------------------|------|
| temperature, $T(K)$ | solubility, S (g/L) | pH | solubility, S (g/L) | pH | solubility, S (g/L) | pН |
| 323 | 0.85 ± 0.02 | 3.26 | 2.19 ± 0.03 | 3.18 | 2.92 ± 0.03 | 3.17 |
| 313 | 0.63 ± 0.02 | 3.37 | 1.76 ± 0.02 | 3.31 | 2.04 ± 0.02 | 3.25 |
| 303 | 0.31 ± 0.01 | 3.56 | $0.92 \pm 0.01, 0.95 \pm 0.01^{a}$ | 3.40 | 1.23 ± 0.02 | 3.34 |
| 298 | $0.23 \pm 0.01, 0.20 \pm 0.01^{a}$ | 3.60 | 0.78 ± 0.01 | 3.46 | 0.98 ± 0.02 | 3.37 |
| 288 | 0.21 ± 0.01 | 3.65 | 0.57 ± 0.01 | 3.54 | 0.55 ± 0.01 | 3.42 |

^a The second value listed was measured by gravimetry.

Table 4. Average Melting Point and Enthalpy of Fusion of the Studied Phenolic Acids and Comparison with the Selected Group Contribution Model

| | | DSC | | Marrero and Gani Grou | ap Contribution Method ³⁹ |
|---------------------|-----------------|-----------------|------------------------------------|---------------------------|--------------------------------------|
| compound | number of scans | $T_{\rm m}$ (K) | $\Delta H_{\rm fus}~({\rm J/mol})$ | <i>T</i> _m (K) | $\Delta H_{\rm fus}$ (J/mol) |
| salicylic acid | 7 | 431.3 | 24448 | 436.3 | 26590 |
| gallic acid | 4 | 535.4 | ND^a | 499.0 | 38770 |
| trans-cinnamic acid | 8 | 406.1 | 22214 | 382.1 | 23290 |
| ferulic acid | 7 | 444.6 | 33338 | 442.6 | 32960 |
| caffeic acid | ND^{a} | ND^{a} | ND^{a} | 464.1 | 39850 |

^a Not determined, because of decomposition upon melting.

 Table 5. Apparent Acid Dissociation Constants of the Studied

 Phenolic Acids at 298.2 K

| phenolic acid | $pK_{a_{app}}$ |
|-----------------------------|----------------|
| salicylic acid | 3.21 |
| gallic acid | 4.51 |
| <i>trans-</i> cinnamic acid | 4.55 |
| ferulic acid | 4.61 |
| caffeic acid | 4.77 |

solubility value was measured using the gravimetric method at 304 313.15 K. The value obtained (22.5 g/L) agrees with that 305 measured by the spectrophotometric technique (26.4 g/L) and 306 that reported by Lu and Lu⁹ (23.6 g/L), showing the adequacy 307 of the spectrophotometric analysis.

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For salicylic acid, our results are in good agreement with previous work, ^{19,40,41} as can be seen in Figure 2.

No literature data were found for caffeic acid to compare 310 the results. For ferulic acid, the results are very different from 311 those obtained by Noubigh et al.¹⁸ (negative deviations 312 between 40% and 63%, being larger at the higher tempera-313 314 tures), opposite to those found previously for our gallic acid 315 measurements. These authors also used the shake flask method but reported equilibrium times of 3 h of stirring, while 316 different equilibrium times for different temperatures were 317 achieved in this work, and they were always between 64 h 318 and 84 h, as reported in Table 1. Ferulic acid is reported to 319 have two polymorphic forms⁴² that were obtained by recrys-320 tallization from various solvents. Sohn and Oh⁴² noticed in DSC 321 thermograms that form I has one endothermic peak, correspond-322 ing to melting at 448.15 K, whereas form II has three 323 endothermic peaks, at 378.15, 421.15, and 455.15 K. The 324 equilibrium solubility of form I is approximately twice that of 325 form II, and the dissolution rate of form II is higher than that 326 of form I in an initial step.⁴² Thus, we may be comparing 327 328 different crystalline structures of the same compound, which 329 might explain the different results. As can be seen from Table 4, our solubility measurements correspond to form I, with a 330 331 single solid phase, melting at 444.65 K. Also for transcinnamic acid, no further temperature-dependent solubility 332 data were found, except for a single point at 293.15 K that 333 was available in the Merck Index (0.4 g/L).⁴³ 334

In the series of phenylpropenoic compounds, higher solubilities for caffeic acid, followed by ferulic acid and *trans*-cinnamic acid, were obtained. As observed previously in the studied hydroxybenzoic acids, this order follows the extent of hydrogen 338 bonding of each molecule with water, because caffeic acid has 339 two hydroxyl groups, ferulic one, and *trans*-cinnamic acid none. 340

Another important fact about the solubilization of solids 341 in liquids is related to fusion enthalpies and melting 342 temperatures. These data have been determined by DSC and 343 are presented in Table 4, where the number of repetitions 344 (scans) is reported. For all the phenolic acids, except gallic 345 and caffeic acids, a linear baseline and a single peak was 346 observed. The melting peaks of gallic and caffeic acids are 347 not symmetric, with other peaks continuously connected to 348 the melting peak, indicating that decomposition processes 349 may occur upon melting. For this reason, the fusion enthalpies 350 of these two acids could not be determined and, therefore, 351 they are not presented in Table 4. 352

Data regarding the melting temperature and fusion enthal-353 pies were found for salicylic acid^{19,44,45} and *trans*-cinnamic 354 acid.^{44,45} The measured melting temperatures deviate by less 355 than -0.2%, in comparison with all the collected data. Higher 356 deviations were found for the fusion enthalpies, deviations 357 smaller than 1.8% for trans-cinnamic acid, and a range from 358 -0.7% up to 24% for salicylic acid (respectively, versus the 359 data from the NIST Chemistry Webbook and the DIPPR 360 database). Our salicylic acid fusion enthalpy deviates -9.8%361 from that reported recently by Nordstrom and Rasmuson.¹⁹ 362

From the data presented in Table 4 and the measured 363 solubility data presented in Tables 2 and 3, it can thus be 364 concluded that the extent of hydrogen bonding with water is 365 certainly more important than the fusion process for determining 366 the degree of solubilization. 367

Because the studied phenolic compounds are organic acids that dissociate in water, the apparent dissociation constants ($K_{a_{app}}$) 369 were also obtained from potentiometric titration of solutions of ach phenolic acid with NaOH: 371

$$K_{a_{app}} = \frac{[A^{-}] (H^{+})}{[HA]}$$
(9) 372

where activities are represented by parentheses and concentrations are represented by square brackets. The H⁺ activity is 374 obtained from the pH meter, and $K_{a_{app}}$ is given by the measured 375 pH where the added volume of NaOH is equal to the equivalent 376 volume, divided by 2. Although this is not the true thermodynamic dissociation constant (that would require activity coef-378 F Ind. Eng. Chem. Res., Vol. xxx, No. xx, XXXX

| Table 6. Critical Properties (Marrero and Gani), ³⁹ vdWV (Bondi) ⁵⁰ CPA Associating Pure-Component Parameters (Cubic Parameter | ers |
|--|-----|
| Obtained from Eqs $10-12$) and Deviations in the Estimation of Vapor Pressure and Liquid Densities | |

| | | | | COO | СООН ОН | | % AAD | | |
|-----------------------|--|---|--|--|-----------------------------|--|-----------------------------|------|-----|
| compound | critical temperature, $T_{\rm c}$ (K) | critical pressure, $P_{\rm c}$ (MPa) | van der Waals volume, vdWV ($\times 10^{-5}$ m ³ /mol) | $\epsilon (\times 10^4 \text{ J/mol})$ | β (×10 ⁴) | $\epsilon (\times 10^4 \text{ J/mol})$ | β (×10 ²) | р | ρ |
| phenol | 687.0 | 5.96 | 5.28 | | | 1.837 | 1.185 | 0.89 | 6.9 |
| o-benzenediol | 757.9 | 7.43 | 5.98 | | | 1.134 | 6.225 | 4.8 | 2.2 |
| <i>m</i> -benzenediol | 760.1 | 7.29 | 5.98 | | | 1.848 | 2.212 | 0.62 | 4.1 |
| p-benzenediol | 761.4 | 7.44 | 5.98 | | | 1.867 | 2.655 | 1.7 | 20 |
| benzoic acid | 750.0 | 4.39 | 6.43 | 3.201 | 0.0982 | | | 10 | 4.8 |
| salicylic acid | 805.8 | 5.23 | 7.13 | 1.703 | 0.0100 | 1.643 | 1.629 | 48 | 2.0 |
| trans-cinnamic acid | 782.8 | 3.63 | 8.10 | 2.756 | 36.98 | | | 3.6 | 1.2 |
| | | | | From | n the Above | e Contributions | | | |
| gallic acid | 897.6 | 8.51 | 8.53 | 3.201 | 0.0982 | 1.134 | 6.225 | | |
| ferulic acid | 854.6 | 3.64 | 10.7 | 2.756 | 36.98 | 1.871 | 1.345 | | |
| caffeic acid | 876.2 | 5.11 | 9.50 | 2.756 | 36.98 | 1.134 | 6.255 | | |

379 ficients of the other species), it is an appropriate quantity for the purposes of this work. Measured $K_{a_{app}}$ are presented in Table 380 5. Although measurements were performed at the highest 381 temperature (323.15 K), lowest temperature (288.15 K), and 382 room temperature (298.15 K), all the results indicate that no 383 significant temperature change is obtained in the studied 384 385 temperature range. Hydroxybenzoic acids are more acidic than 386 phenylpropenoic acids, with salicylic acid being the most acidic of all the studied compounds. Curiously, among the phenyl-387 propenoic acids, the opposite trend found in solubility is obtained 388 in terms of acidity with the less-soluble trans-cinnamic acid 389 being the most acidic of the three, which leads to the conclusion 390 that acid dissociation is not as important of an issue in the water 391 solubilization of these molecules. 392

CPA parameters are frequently obtained from pure-393 component vapor-pressure and liquid-density data. In the 394 particular case of the phenolics studied in this work, these 395 396 data are available only for salicylic and *trans*-cinnamic acids. Another major drawback is the presence of different as-397 sociating groups (-COOH, -OH) on the same molecule, 398 some of them repeated in different positions (such as -OH). 399 Thus, it seems appropriate to individually assign association 400 401 energies and volumes for each group and to consider multiple group substitution, either or not with the same association 402 parameters. Thus, in the remaining of this work, eq 5 will 403 404 be used to obtain the association strengths (Δ) of the associating sites tha belong to the same association group 405 (with the same ϵ and β), whereas eq 6 will be used in the 406 other cases, those either being self- or cross-association. 407

To address this condition, which is explicitly considered 408 in the association contribution for the Helmholtz energy, a 409 database of phenolic compounds was taken with single and 410 multiple substitution of associating groups, namely, phenol, 411 o-benzenediol, m-benzenediol, p-benzenediol, benzoic acid, 412 salicylic acid, and trans-cinnamic acid. All the required data 413 were collected from the DIPPR database.45 With this 414 database, it was possible to compare the different cubic and 415 associating CPA parameters and thus establish (1) correlations 416 417 for the cubic parameters and (2) values for the association 418 energies and volumes of carboxylic groups in benzoic and phenylpropenoic acid derivatives, and hydroxyl groups in 419 different positions of the aromatic ring. 420

421 The following procedure was established (water CPA 422 parameters were obtained from a previous work:³¹ $a_0 = 0.12$ 423 Pa m⁶/mol², $c_1 = 0.67$, $b = 1.45 \times 10^{-5}$ m³/mol, $\varepsilon = 16555$ 424 J/mol, $\beta = 0.069$, four-site model). First, critical temperatures 425 (and pressures) were obtained from a third-order group 426 contribution approach that was proposed by Marrero and 427 Gani³⁹ (see Table 6). This approach has the advantage of clearly distinguishing among isomers, and it was used 428 previously by Nikitin and co-workers to evaluate alkylbenzoates,⁴⁶ phenylalkanoic acids,⁴⁷ phthalates,⁴⁸ phenol, and 430 phenylalkanols.⁴⁹ 431

In a first approach, the previously mentioned database was 432 used with these critical temperatures and all parameters were 433 regressed simultaneously. In a following step, the obtained 434 cubic parameters were regressed with $T_c^2/p_c(a_0)$, $T_c(c_1)$, and 435 the van der Waals volume vdWV (b), obtained from Bondi's 436 group contribution approach.⁵⁰ The following equations were 437 determined to provide an adequate linear fit of the cubic 438 parameters (Figure 3): 439

$$a_0 = 0.2267 + 24.38 \left(\frac{T_c}{p_c} \right) \tag{10}$$

$$c_1 = -3.557 + (6.289 \times 10^{-3})T_c \tag{11}$$

$$b = -2.328 \times 10^{-5} + 1.884 (vdWV) \tag{12}$$

where T_c is given in Kelvin, p_c is given in pascals, and vdWV 443 is expressed in terms of m³/mol. 444

These cubic parameters were then fixed and the associating 445 parameters regressed separately (Table 6). For each –OH group, 446 a two-site model was adopted, whereas for the -COOH groups, 447 a single site was considered. It can be seen, in the case of the 448 -OH groups, with the exception of the molecules where 449 intramolecular hydrogen bonding occurs (salicylic acid and 450 o-benzenediol), that the same association energy was obtained 451 $(1.8 \times 10^4 \text{ J/mol})$. Whenever intramolecular hydrogen bonding 452 occurs, these values are slightly lower, as expected. The values 453 of the association volume (β) are more dependent on the 454 substitution of the ring. In the case of the acid groups, both the 455 association energies and volumes are considerably dependent, 456 whether there is a ring substitution with or without intramo-457 lecular association (salicylic acid and benzoic acid) or a 458 phenylpropenoic substitution. From the aforementioned meth-459 odology, all the cubic and associating parameters of the other 460 molecules under study (gallic, ferulic and caffeic acids) can be 461 estimated, without requiring experimental pure-component data. 462

For the modeling of the water solubility, eq 8 was used 463 with the experimentally determined melting temperatures and 464 fusion enthalpies and the CPA-EoS for the calculation of the 465 fugacity coefficients. For gallic and caffeic acids, melting 466 temperatures and fusion enthalpies were obtained from the 467 same group contribution approach used previously for the 468 critical properties (see Table 4).39 These molecules are 469 organic acids; therefore, to effectively model their water 470 solubility, the acid dissociation must be considered. Because 471 these are weak acids, the adopted procedure was to determine 472

from the solubility of the acidic form, given by the model, 477 the $K_{a_{ann}}$ value, and the pH value (see Tables 2, 3, and 5). Solid-liquid equilibria results are presented in Figures 4 478 and 5. The solubilities of benzoic acid and phenol are also 479 plotted in these figures. As can be seen, a good description 480 can be achieved with the CPA-EoS, but a small k_{ij} value is 481 always required to obtain smaller deviations. Still, in all cases, 482 only a single, temperature-independent k_{ii} value was required, 483 and this was almost constant for the majority of the studied 484 systems, except the gallic, ferulic, and caffeic acids, which 485 required a slightly higher k_{ij} value (close to 0.1). These three 486 phenolics are those for which pure-component data were not 487 available to correlate the CPA parameters, and thus these 488 higher deviations certainly account for the predictive ap-489 proach proposed for the EoS parameters. Still, it can be 490 491 concluded that the CPA-EoS, together with the selected 492 methodology for the estimation of the pure-component 493 parameters, is an adequate tool for the estimation of the solid solubility of phenolic compounds. 494

495 5. Conclusions

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496 In this work, aqueous solubility data were measured for some phenolic compounds (salicylic, trans-cinnamic, gallic, 497 caffeic, and ferulic acids) in pure water in the temperature 498 range from 288.15 K to 323.15 K, using the shake-flask 499 method, coupled with spectrophotometric analysis. For all 500 compounds under study, the solubility follows the general 501 increasing trend with temperature. The studied compounds 502 can be divided in two groups: hydroxybenzoic acids (gallic 503 504 and salicylic acids), which have the higher solubilities, and 505 phenylpropenoic (trans-cinnamic, caffeic, and ferulic acids), which present the lower solubilities. 506

Besides solubility data, melting temperatures, enthalpies of 507 fusion, and apparent dissociation constants were also determined, 508 providing a broader knowledge about the solubilization pro-509 cesses of these molecules. From all the measurements, it was 510 511 concluded that the extent of solubilization is mostly governed 512 by the degree of hydrogen bonding formation with water.

The cubic-plus-association equation of state (CPA-EoS) was 513 used with different association energies and volumes for the 514 515 different associating groups for the first time. A methodology to obtain the pure-component parameters without requiring 516 experimental data was developed, and the results indicate that 517 CPA is an adequate tool to correlate the water solubility of these 518 molecules. 519

Nomenclature 520

- List of Symbols 521
- a_0 = parameter in the cubic term of CPA (Pa m⁶/mol²) 522
- b = co-volume parameter in CPA (m³/mol)523
- c_1 = parameter in the cubic term of CPA 524
- CPA = cubic plus association525
- EoS = equation of state526
- 527 g = simplified radial distribution function
- m = mass (g)528
- p = pressure (Pa)529
- $K_{a_{ann}}$ = apparent acid dissociation constant 530
- R = gas constant; R = 8.314 J/(mol K)531
- 532 S = solubility
- T = absolute temperature (K) 533

Ind. Eng. Chem. Res., Vol. xxx, No. xx, XXXX G

| vdWV = van der Waals volume (m3/mol) | 534 |
|---|-----|
| x = mole fraction | 535 |
| X_{A_i} = fraction of molecule <i>i</i> not bonded at site A | 536 |
| Z = compressibility factor | 537 |
| Greek Symbols | 538 |
| ϵ = association energy (J/mol) | 539 |
| β = association volume | 540 |
| $\Delta^{A_{i_{Bj}}}$ = association strength between site A on molecule <i>i</i> and site | 541 |
| B on molecule <i>j</i> | 542 |
| $\Delta H_{\rm fus}$ = fusion enthalpy (J/mol) | 543 |
| $\rho = \text{mole density (mol/m}^3)$ | 544 |
| η = reduced fluid density | 545 |
| $\varphi = $ fugacity coefficient | 546 |
| Subscripts | 547 |
| c = critical | 548 |
| i, j = component index | 549 |
| m = melting | 550 |
| $\mathbf{r} = \mathbf{reduced}$ | 551 |
| v = vial | 552 |
| vs = vial with saturated solution | 553 |
| vdr = vial with dry residue | 554 |
| Superscripts | 555 |
| $assoc = association \ contribution$ | 556 |
| phys = physical contribution | 557 |
| | |

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H Ind. Eng. Chem. Res., Vol. xxx, No. xx, XXXX

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