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A Hierarchical Model to Manage Hardware Topology in MPI Applications

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ABSTRACT
The MPI standard is a major contribution in the landscape of parallel programming. Since its inception in the mid 90’s it has ensured portability and performance for parallel applications on a wide spectrum of machines and architectures. With the advent of multicore machines, understanding and taking into account the underlying physical topology and memory hierarchy have become of paramount importance. The MPI standard in its current state, however, and despite recent evolutions is still unable to offer mechanisms to achieve this. In this paper, we detail several additions to the standard that give the user tools to address the hardware topology and data locality issues while improving application performance.

KEYWORDS
Hierarchy, Hardware Topology, Message Passing

1 INTRODUCTION
Parallelizing or writing from scratch a parallel application is a very challenging task and this challenge has become even more bigger due to the current trend in processors design and supercomputers architecture. Indeed, the hardware that the programmer has to tackle becomes more and more hierarchically organized. For instance, CPUs now feature various levels of memories that have different properties in terms of size, performance and even nature. As a consequence, a parallel application performance is likely to be impacted by the communication occurring between processes and by the way they access data. Thus, if a process accesses some data located in a memory bank physically far from the core it currently executes on, a penalty shall occur (NUMA effect). Also, if two processes share a cache level, they will communicate one with the other more efficiently. This is known as the data locality issue.

In order to better exploit the underlying hardware, applications need to take this locality phenomena into account. They need to get a better grasp of the underlying physical architecture they are running on. The current success of hwloc [2] demonstrates the need for such a tool and the information it allows to gather. This knowledge may offer the possibility of optimize the code, to better exploit the memory hierarchy or the network topology as well as a global and comprehensive view of the hardware (a continuum of hierarchies between the network and the memory).

To this end, a relevant programming model (along with tools and libraries that implement it) can be of valuable help. The most obvious and natural choice would be to first look at what current parallel programming standards and libraries offer in this area. In the case of the Message Passing Interface (MPI) [15], some mechanisms are already available that could make it possible for an application to exploit the hardware hierarchy to improve interprocess communication and locality. For instance, in the case of virtual topology management routines, such as MPI_Dist_graph_create or MPI_Graph_map, the reorder argument can be used to create a topology where processes are reorganized according to the underlying physical topology of the target architecture.

However, there are several issues with this approach: first, this argument might be used in this fashion, but that is not necessarily the case, which means that the expected behaviour is totally implementation-dependent (and thus not standard) hence likely to change from one implementation to the other or even worse from one implementation version to the other. An application cannot rely on a particular MPI implementation version to be able to use the specific features it needs. Then, in absence of dedicated and relevant mechanisms directly within the standard, an application is forced to use some side-effects of already available features, which constitutes an issue in terms of both interface expressiveness and usability.

In this paper, we present abstraction mechanisms that can help programmers to structure their applications based on physical topology criteria. Such structure can then be used to improve data locality or communication performance by taking into account information that would be otherwise unavailable to the underlying MPI implementation. We also present the implementation of this abstraction and mechanisms in the context of the MPI standard.

This paper is organized as follows: the current status of the MPI interface with regards to hardware topology management is discussed in Section 2 and our proposal is detailed in Section 3. Section 4 describes the target applications of this work and experimental
results are analyzed in Section 5, while Section 6 concludes this paper and give potential future directions.

2 HARDWARE TOPOLOGY MANAGEMENT AND THE MPI STANDARD

In this section, we shall examine the current possibilities offered by the MPI standard to deal with the issue of hardware topology management in parallel applications. One key-characteristic of the MPI standard is its hardware-agnosticity. Indeed, it makes no assumptions about the hardware on which the application is going to be deployed and run. This behaviour ensures the portability of parallel programs using MPI. It is to be noted also that despite this independence from any hardware considerations in its programming model, the MPI standard and programming model does not prevent from accessing the hardware topology directly from an application.

2.1 Interactions with external tools

One way to tackle this issue is precisely to use an altogether different tool or interface, fully external to MPI. That is, one current practice is to deal with tools representing the hardware topology such as hwloc [2], LibNuma [12], or Pthread sched [16]. These libraries could give a relevant representation of the hardware structure and components. However, they are rather low-level tools and need a good knowledge of the underlying hardware to be used correctly and efficiently. In addition, this practice increases the complexity of developing and supporting codes. Last, as these tools or interfaces are not standard, portability is not guaranteed.

2.2 Current status in the MPI standard and its implementations

The MPI library even offers some means to better understand the nature of the physical architecture in order to exploit it to its full potential. For instance, the extension of Remote Memory Operations with Shared Memory operations in the standard has allowed programmers to structure their applications to take into account the fact that processes are located on the same machine. This can be seen as an alternative to the use of multithreading when memory consumption is at stake [20, Chapter 16]. In this particular case, the MPI standard acknowledges that some physical resource (e.g. memory) is shareable between processes and offers the tools to actually access this resource.

However, despite the presence of some mechanisms in MPI to better understand and use the hardware, they address the issue only partially. Some MPI implementations offer mechanisms but since they are implementation-dependent they are typically non-standard. Portability is therefore not guaranteed. The bottom line is: an application should not (or cannot) rely on a specific implementation nor on a specific version of an implementation (which is even worse). On the other hand, improving performances and scalability of applications is more efficient when locality is exposed during their design steps rather than only relying on MPI implementation optimizations. Thus, the MPI programming model as specified by the standard needs to offer high-level abstractions to take into consideration architecture topology at an early stage and before calling implementations features. The following paragraphs describe some of these mechanisms and their shortcomings.

2.2.1 Shared Memory constructs. MPI_Comm_split_type can accept MPI_COMM_TYPE_SHARED as a value for its split_type argument. The outcome is a communicator that encompasses all processes in the original communicator that can create a shared memory region1 By using the communicators produced by this function, it is possible to structure an application in order take into account the fact that memory is shared: for instance direct memory accesses are possible instead of relying on message-passing exchanges handled by the MPI library. The overheads of the library in case of shared-memory are eliminated. However, the various levels of cache, a major part of the hardware hierarchy, are left unexploited. As explained previously, this memory hierarchy is becoming more and more complex and performance gains are expected from a relevant exploitation of it.

2.2.2 Process topologies and reordering. The various process topologies available in MPI can help to structure an application but they are virtual topologies and quoting the standard itself: “The virtual topology can be exploited by the system in the assignment of processes to physical processor, if this helps to improve the communication performance on a given machine. How this mapping is done, however, is outside the scope of MPI.” Some implementations use the reorder parameter of some functions (e.g. MPI_Graph_map, MPI_Dist_graph_create [8], etc.) and take the opportunity to retrieve hardware information and make use of it [9, 14, 19]. Some others tailor the topology routine of MPI to a specific hardware [5, 10]. This, of course, falls into the non-standard category as it is implementation-dependent and is a side-effect of the function. It is not the primary goal of it and the fact that the underlying hardware is efficiently exploited can be seen as a bonus.

2.2.3 Process Managers and process mapping. Process managers can also be of help when it comes to exploit the underlying hardware. Indeed, through their process mapping and binding options, they can allow the user to finely control the way the various MPI application processes are dispatched and executed [7]. Thanks to an adequate placement policy enforced by both these mapping and binding parameters, it is possible to take into account the physical topology and reduce the communication costs for instance [1, 13]. This is also used to improve collective communication performance [22]. Unfortunately, these options are totally non-standard and even change from one version of a process manager to the other. This point is outside the scope of this paper, but standardizing these mapping and binding options/policies would ease the user when it comes to launch their application. However, there are tight interactions between mapping/binding polices and the mechanisms proposed in this paper: in absence of a (relevant) mapping/binding of processes, the performance improvements of taking into account the hardware topology are not expected to be as high as if an efficient policy was to be enforced.

2.2.4 MPI Sessions. MPI sessions are a new concept that is currently being discussed by the MPI Forum. In its current state, the standard only allows to call MPI_Init and MPI_Finalize a single time in an application. This can raise some issues when multiple libraries that internally rely on MPI are used concurrently. Sessions can be seen a lightweight construct, even lighter than groups. A

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1 It is obviously the case when they are located on the same machine, but could also be the case if the processes are on different machines linked by a network à la SCI.
session encompasses MPI processes and some information can be attached to it, for instance about the application or the hardware. In this case, the sharing of hardware resources could be exploited by the application with several different MPI sessions.

3 PROPOSED EXTENSIONS TO THE MPI STANDARD

As exposed in the previous section, there are currently no means in the MPI standard to portably take into account the hardware topology at the application level. We think that it is important/necessary to offer high-level abstractions helping programmers to take care of locality and communication optimizations when they design their applications. This way we anticipate and facilitate an implementation work that optimizes communications according to the target architecture. Therefore, we propose to extend the MPI standard and detail in the rest of this section the relevant mechanisms and features needed to achieve these objectives.

3.1 Guidelines

Since its first version in 1994, the MPI standard has grown steadily in terms of number of available routines and functionalities. We therefore advocate for a minimal amount of changes and prefer to leverage existing mechanisms. We prefer not introducing new functions unless it is unavoidable and rather expand existing mechanisms. MPI is about communications and how they are managed. In this regard, exploiting the underlying topology boils down to be able to organize the various MPI application processes in a way that is both topologically-wise and performance-wise sensible. The same idea has already been used in the case of reordering: the processes that communicate a lot should be bound on two cores physically close to each other. Consequently, the sharing of caches is likely to decrease communication times and improve overall application performance.

The key idea is therefore to group processes into entities where a specific kind of resource is shared by all group members (i.e. processes). MPI features a concept/construct that perfectly matches our needs: the communicators. As a consequence, we propose to make hardware topology information and structure available at the application level through a hierarchy of communicators. We want to help an application developer and guide him/her to build the most relevant communicator (hardware) topologically-wise, without any deep knowledge of the underlying architecture and regardless of the way the application processes are mapped and/or bound on the machine.

In this hierarchy, each communicator corresponds to a specific resource that is shared by all the processes belonging to it. For instance, if a process shares a L2 cache and a L3 cache with other processes, it will be part of the communicator encompassing all processes sharing the same L2 and part of the communicator encompassing all the processes sharing this level 3 cache. Creating communicators also allows the use of collective communications among processes that share a resource. Collective communication operations are a major feature of MPI. It gives the programmer some ability to structure his/her application and encourages him/her to improve the locality factor of the communications.

3.2 Communicators creation

There exists a couple of functions in the MPI standard which create communicators:

- MPI_Comm_create is able to create such an object from an MPI Group.
- MPI_Comm_dup duplicates a communicator taken as input argument of the function.
- MPI_Comm_split is able to create subcommunicators from the original one taken as an argument. This function yields k non-overlapping subcommunicators and the partition of the original communicator is determined by the color parameter (which can take MPI_UNDEFINED as a licit value). Processes that call this function with the same value for color will belong to the same output (sub-)communicator.

Since the idea behind our proposal is to create communicators based on the sharing of common resources, splitting some input communicator (MPI_COMM_WORLD being obviously a relevant but not mandatory candidate) is a natural fit for the goal we want to achieve: indeed the information about the sharing of the resource can be conveyed by the color argument.

However, the outcome is not likely to be the one expected: let us take for example the case of processes mapped onto different physical nodes (for the sake of simplicity, each core of each node executes its own process). Let us then assume that each node features several L3 caches. If we want to create as many communicators as the number of L3 caches in our configuration, we cannot provide a single color value, otherwise, all processes would end up belonging to the same communicator. Ideally, we would like to provide the same color value, but this value has to carry a different meaning in different processes.

3.2.1 MPI_Comm_split_type extension. Fortunately, this is exactly the behaviour of the MPI_Comm_split_type function. MPI_Comm_split_type is part of the MPI-3 shared memory programming functionalities and features the following prototype:

```c
int MPI_Comm_split_type(MPI_Comm oldcomm, int split_type, int key, MPI_Info info, MPI_Comm *newcomm)
```

With:

- IN oldcomm: communicator (handle)
- IN split_type: type of processes to be grouped together (integer)
- IN key: control of rank assignment (integer)
- IN info: info argument (handle)
- OUT newcomm: new communicator (handle)

This function partitions the group associated with comm into disjoint subgroups, based on the type specified by the value assigned to the split_type parameter. A single value is currently defined in MPI 3.1: MPI_COMM_TYPE_SHARED. When this value is used, the input communicator is split into communicators, where each new communicator represents a shared-memory domain. That is, two processes belonging to the same subcommunicator are able to create a mutually accessible shared-memory region. Obviously,
there is no overlap between these new communicators. This function, along with the particular MPI_COMM_TYPE_SHARED value for the split_type parameter, already allows the user to better understand the way the processes are mapped onto the underlying hardware. It also gives the opportunity to take advantage of it since a different programming model (MPI-3 Shared Memory style) can be used in each new communicator and classical Message Passing between them.

The MPI standard stipulates that implementations may define their own values for the split_type parameter, in order to enforce specific behaviours. The flexibility granted by this approach is counterbalanced by its sheer lack of portability.

As a consequence, we propose to enrich the set of possible values for the split_type argument by adding a new one (MPI_COMM_TYPE_PHYSICAL_TOPOLOGY for instance\(^4\)). The info argument can be used to pass hints to the implementation about the way the split should be done.

### 3.2.2 Properties of the hierarchical communicators.

A call to MPI_Comm_split_type with this new value shall yield a communicator corresponding to the highest possible level in the hierarchy tree of the hardware topology. This newly produced communicator can then be used as an input argument in subsequent calls to MPI_Comm_split_type to produce other children communicators that correspond to deeper levels (see the example below for a practical use). Also, the newly produced communicators should retain the following proprieties:

- The last valid communicator produced in this fashion may be identical to MPI_COMM_SELF, but not necessarily.
- Each recursively created new communicator should be a strict subset of the input communicator. That is, a call to MPI_Comm_compare(oldcomm, newcomm) should return MPI_UNEQUAL. This propriety ensures that we do not create unnecessary new communicators in case of redundancies of levels in the hardware topology. For instance, if a L3 cache and a L2 cache is shared between all processes, there is no need to create a communicator for both resources.
- If no valid communicator is to be created, MPI_COMM_NULL should be returned.

These communicators calls will form a kind of hierarchy, mimicking the hardware one, as all new communicators are encompassed (so to speak) in their parent communicator. That is, if a process belongs to the communicator corresponding to the \(n\)-th level of the hierarchy, it also belongs to all communicators corresponding to levels 0 to \(n-1\). It is to be noted that our abstraction does not make any distinction between the network and the nodes internal memory hierarchy. We give some means to organize an application according to the structure of the hardware, not its nature.

#### 3.2.3 Creation of Roots Communicators.

One other useful addition would be the ability to create at the same time at each level of the hierarchy another communicator which includes all root processes of a hierarchical communicator. This forms another kind of hierarchy of its own and could ease the communication between all the levels of the hierarchy. This function could have the following prototype:

\(\text{int } \text{MPI}\_\text{Comm}\_\text{hsplit}\_\text{with}\_\text{roots}(\text{MPI}\_\text{Comm } \text{oldcomm}, \text{MPI}\_\text{Info info}, \text{MPI}\_\text{Comm } *\text{newcomm}, \text{MPI}\_\text{Comm } *\text{rootscomm})\)

By returning:

- IN oldcomm: communicator (handle)
- IN info: info argument (handle)
- IN newcomm: communicator (handle)
- OUT rootscomm: communicator (handle)

newcomm is the same communicator created by a call to MPI_Comm_split_type with MPI_COMM_TYPE_PHYSICAL_TOPOLOGY as value for the split_type parameter. rootscomm is the communicator containing all processes that are roots in newcomm. A valid roots communicator can only be returned if the root process of oldcomm calls this function. MPI_COMM_NULL is otherwise returned by non-root processes.

#### 3.2.4 Interaction with process mapping/binding policies.

A special care should be taken regarding the current binding of process ranks. Indeed, the deepest level that shall be returned should correspond to the current process binding (e.g if a rank is bound to a L3 cache, no information below this level should be returned since it may use different L2 caches below when moving inside the binding). Any attempt to do so should return MPI_COMM_NULL. Moreover, unbound processes may move across an entire shared-memory machine and therefore cannot belong to a communicator split deeper than the “machine” level: in such a case, the returned communicator shall be the same as the one returned by a call to MPI_Comm_split_type with the MPI_COMM_TYPE_SHARED value for the split_type parameter.

3.2.5 A practical example. We now detail a practical example of use of this new split_type value. Let us suppose that an MPI application is launched on several machines featuring the memory hierarchy depicted by Figure 1: each node is composed of two NumNode with a single socket (package) and 4 cores per socket. Each socket features its dedicated L3 cache and a L2 is shared between a pair of cores. At some point, we assume that the various processes of the application execute the following code:

![Figure 1: A hierarchical node example.](image-url)
while P4, P5, P6 and P7 are bound to a NumaNode/Package/L3 cache.

In this code snippet, NLEVELS is chosen appropriately so that there are enough elements in the newcomm array, but as we shall discuss later (see Section 3.4), our proposal does not make any assumption on the total number of levels in the hardware hierarchy nor on the nature of the hardware resource the communicator is supposed to represent for the processes.

In this case, and according to the properties of the hierarchical

3.3 Communicators characteristics query

The main aspect of our proposal deals with hierarchical communicators creation. However, we need to introduce other functions in order to make the use of these communicators more practical to the application developer. So far, with the proposed abstraction, users are able to leverage the structure of their hardware. However, more information might be needed, for instance in case of data distribution between the various communicators created at a certain level in the hierarchy.

A simple case. In our first case, we suppose that each process is bound to its own core. More precisely, process rank $P_1$ (in MPI_COMM_WORLD) is bound to core number $i$. When the code shown previously is executed, the following communicators are created:

- newcomm[0] = \{ $P_0, P_1, P_2, P_3, P_4, P_5, P_6, P_7$ \}
- newcomm[1] = \{ $P_0, P_1, P_2, P_3$ \} (for NumaNode 0) and
- newcomm[1] = \{ $P_4, P_5, P_6, P_7$ \} (for NumaNode 1)
- newcomm[2] = \{ $P_{2i}, P_{2i+1}$ \} (for each L2 number $i$) : 4 communicators
- newcomm[3] = \{ $P_i$ \} (for each Core number $i$) : 8 communicators

As for the roots communicators we shall have the following situation (we suppose that rootscomm[1] corresponds to the hierarchy level newcomm[1]):

- rootscomm[0] = \{ $P_0$ \}
- rootscomm[1] = \{ $P_0, P_1$ \}
- rootscomm[2] = \{ $P_0, P_1, P_2, P_3$ \} and \{ $P_4, P_5$ \}
- rootscomm[3] = \{ $P_0, P_1, P_2, P_3, P_4, P_5$ \}

This demonstrates that our proposal is flexible enough to accommodate the case of non-uniform binding policies within the same MPI application.

A slightly more complicated case. Let us now suppose that the processes are not bound to a specific core: some processes are bound to cores, some others are bound on the L2 cache and some on a NumaNode. Figure 2 depicts such a case involving 8 processes. P0 and P1 are bound to their own cores, P2 and P3 are bound to a L2 cache, while P4, P5, P6 and P7 are bound to a NumaNode/Package/L3 cache. In this case, and according to the properties of the hierarchical communicators:

- newcomm[0] = \{ $P_0, P_1, P_2, P_3, P_4, P_5, P_6, P_7$ \}
- newcomm[1] = \{ $P_0, P_1, P_2, P_3$ \} (for NumaNode 0) and
- newcomm[1] = \{ $P_4, P_5, P_6, P_7$ \} (for NumaNode 1)
- newcomm[2] = \{ $P_{2i}, P_{2i+1}$ \} (for L2 number $i$) : 4 communicators
- newcomm[3] = \{ $P_i$ \} (for Core number $i$) : 8 communicators

The roots communicators would then be:

- rootscomm[0] = \{ $P_0$ \}
- rootscomm[1] = \{ $P_0, P_1$ \}
- rootscomm[2] = \{ $P_0, P_1$ \}

With:

- $P_0$ and $P_1$ are bound to their own cores,
- $P_2$ and $P_3$ are bound to a L2 cache,
- $P_4$, $P_5$, $P_6$, and $P_7$ are bound to a NumaNode/Package/L3 cache.

The roots communicators would then be:

- rootscomm[0] = \{ $P_0$ \}
- rootscomm[1] = \{ $P_0, P_1$ \}
- rootscomm[2] = \{ $P_0, P_1$ \}
• type is a string giving information about the kind of resource that the communicator represents. It should be unambiguous, like L2_Cache, L3_Cache or NumANode.

All this information should be cached by the communicator in an info object attached to it containing a set of (key,value) properly defined. This would require the use of the MPI_Comm_set_info and MPI_Comm_get_info functions.

3.3.2 Getting the minimal level. Another helpful feature would be the ability for a programmer to know the name (type) of the lowest level in the hardware hierarchy that is shared by some processes. To this end, we propose to add the following function:

```c
int MPI_Comm_get_min_hlevel(MPI_Comm comm, int nranks, int *ranks, char **type)
```

With:

- **IN** comm: communicator (handle)
- **IN** nranks: number of MPI processes (integer)
- **IN** ranks: list of MPI process ranks (array)
- **OUT** type: type of the resource (string)

This function returns the name of the lowest level in the hierarchy shared by all the MPI processes which ranks in the communicator comm are listed in the rank array. If the calling process rank is not among the ranks listed in the array passed as an argument, the type returned should be "Unknown" or "Invalid".

3.4 Discussion

In this section, we discuss the design choices, advantages and potential drawbacks of our approach. We propose an abstraction based on existing MPI objects (the communicators), hierarchically modelling the hardware topology in order to improve performance and scalability. Communicators are often used in MPI applications as a well-understood concept to boot. A large majority of application developers are familiar with it (beyond MPI_COMM_WORLD). Therefore, using our proposal would require little effort, conceptually speaking. The communicators created do not feature a predetermined name, taken after the underlying resource it is supposed to represent. This ensures that there will be no need to make any change nor modifications in the future in case new levels in the hardware hierarchy should appear. This also justifies why we do not specify a maximal depth for the hierarchy. By creating "recursively" new communicators, the user is able to get all needed objects until the bottom is reached and no new communicator can be produced. The user can of course chose the desirable depth by querying the name/type of the communicator created and deciding to go further or not. This choice also explains why we do not rely on several predetermined values for the split_type argument\(^5\) (e.g. one value corresponding to a specific hierarchy level) because architectural changes in hardware would require modifications to the standard.

Currently, our design is based on a "recursive" call to MPI_Comm_split_type in order to create the hierarchy. However, an alternate solution would be to introduce a function that create all communicators at once, returning an array for instance as well as its size (i.e. the hierarchy depth). Such a function is more simple to use, but compells the user to create all the levels, even undesired ones. The chosen design allows for more control at the cost of some ease of use.

One drawback of our approach is the fact that it targets architectures which are hierarchically organized. It is the case for most machines, but there are some exceptions that our model does not currently address. And the same issue arises for network topologies that are not hierarchical, such as torus for instance. In such a case, should the split be made on just a particular dimension of the torus? The info argument could be used to pass this information. But if some form of hierarchy can be extracted from the network topology, we shall be able to exploit it with our mechanism.

Currently, the interface proposed features one new value for the split_type argument of the MPI_Comm_split_type function and three new routines. But one open question is whether or not we should offer more elements. Indeed, it could be interesting to create several hierarchies of communicators, based on different criteria with different split_type values. For instance, making a distinction between the network topology and the nodes internal topology could be a relevant idea. The info argument in MPI_Comm_split_type could also be used to split a communicator directly at a desired level, without creating the whole hierarchy.

As for the names (types) returned by the MPI_Comm_get_hlevel_info function, they could be given or derived from the names used by an external tools such as hwloc. By doing so, portability could be enforced to some degree, or at least across MPI implementations that feature hwloc (which is the case of OpenMPI, MPICH and MVAPICH for instance).

Last, its is possible to create the roots communicators with the current functions available in MPI. However, from a performance standpoint, an hwloc-based implementation (and thus a new function in the standard) is more efficient. It also removes the burden of implementing it by the user.

4 BENEFITS OF THE HIERARCHICAL MODEL FOR PARALLEL APPLICATIONS

In this section we propose to model the class of MPI applications that can leverage our hierarchical abstraction to enhance the performance of their communications. Let us suppose that an MPI application features \(p\) MPI processes. The application execution model we focus on is in the form of a repetitive phases of supersteps including communication operations \(C\) followed by execution operations \(E\) as shown by Figure 3. Each process needs to terminate its communication operation to start the processing of data and its pseudo code is:

![Figure 3: Superstep of MPI application family based on native collective communications](image-url)
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These models express the maximum time taken by a collective communication operation as a linear function depending on several variables such as:

- \( p \): The number of processes performing the collective communication operation.
- \( m \): The size of the data exchanged between processes.
- The collective algorithm used.

Applying a hierarchical model can improve the communication times and thus the total time of the application. As a matter of fact, by exploiting more parallelism in the hierarchy levels, it is possible to enhance the scalability and performance of collective operations.

The collective communications are modeled with well-known works such as the Hockney \([6]\], the LogP \([3]\) or the PlogP \([11]\) model. These models express the maximum time taken by a collective communication operation as a linear function depending on several variables such as:

- \( \alpha \): The hardware latency that may be a function of \( m \) and \( p \).
- \( \beta \): The hardware bandwidth that may be a function of \( m \) and \( p \).

For example, the processing time of the simple Flat Tree broadcast is expressed with the Hockney \([6]\) model as equal to:

\[
(p - 1)(\alpha + m\beta)
\]

As shown by Algorithm 2, \( L \) levels of communications are present in the topology-aware hierarchical model according to the structure of the architecture. Thus, the time of collective operations will be represented as a sum of \( L \) functions depending on the previous cited features but where each level is characterized by a set of specific parameters: \( p_l, \alpha_l, \beta_l \) and \( m_l \). As a consequence, the Flat Tree broadcast example shall be expressed as:

\[
\sum_{l=0}^{L-1}(p_l - 1)(\alpha_l + m_l\beta_l)
\]

The hardware topology-aware hierarchical model could reduce the processing time of collective operations by exploiting the following points:

- Less number of processes per level: in most applications, it is enough to use a pyramidal structure in the hierarchical model of computation. In fact, as illustrated by Figure 4, the structure based only on the roots of hierarchical communicators in upper levels is enough to perform the communication. Such a structure implies to use fewer processes \( p_l \) by level, which reduces the processing time of the collective.
- Parallelism by level: this point regards the exploitation of parallelism at each level of the hierarchy. Indeed, and except for the top level of the hierarchy, the communications inside the communicators of the same level are carried out in parallel.
- Data locality and process affinity: This point highlights the advantage of using hardware-aware communicators. Indeed, taking into account the hardware affinity of processes placement in the machine, the communication between them is enhanced thanks to the cache optimizations.
- Improved latency and bandwidth per level: this point concerns the physical latency and bandwidth which could be improved when the number of processes is small. In fact, because of the contention phenomenon, the higher the number of processes involved in the communication at the same time through an interconnect, the higher the latency and the lower the bandwidth delivered to each process.

From all the above points, collective communication operations could be improved depending on the execution conditions. For instance, if we take the Flat Tree broadcast of a single message \((m = 1)\) and operated in the simple context of 8 processes and the three-level hierarchy as described by Figure 4 then the result is:

The simple case: \( Time = (8 - 1)(\alpha + \beta) \)

The hierarchical case:

\[
Time = (2 - 1)(\alpha_0 + \beta_0) + (2 - 1)(\alpha_1 + \beta_1) + (2 - 1)(\alpha_2 + \beta_2)
\]

If we compare both expressions, it is clear that the hierarchical approach enhances the simple collective with the factor of at least \((7/3)\) times when latencies and bandwidths are equal in both the

---

**Algorithm 1: MPI algorithm covered by our hierarchical model**

```plaintext
1. MPI_Init(); // Initialisation part
2. foreach \( S \rightarrow S - 1.0 \) do // Algorithm super-steps
3. MPI_Collective_Communication_Operations();
4. MPI_Processing_Operations();
```

**Algorithm 2: MPI algorithm based on a hierarchical communication model**

```plaintext
1. MPI_Init(); // Initialisation part
2. while \( L \neq \text{MPI Comm NULL} \)
3. \( L \leftarrow \text{MPI Comm hsplit with roots}(); \) // Topological Communicators creation
4. foreach \( s \rightarrow S - 1.0 \) do // Algorithm super-steps
5. foreach \( l \rightarrow L - 1.0 \) do // Hierarchy Levels
6. MPI_Collective_Communication_Operations();
7. MPI_Processing_Operations();
```

---

**Figure 4: Superstep of MPI application family based on hierarchical collective communications**
simple and the hierarchical cases. However, in the real situation, the simple execution could generate more contention on the interconnects of each level than the hierarchical execution. Thus, the factor of enhancement of collective time could be greater than (7/3).

5 EXPERIMENTAL RESULTS

In this section we present the experimentations we carried out their results to demonstrate the benefits of our proposal. We modified two benchmarks by introducing our hierarchical model of communicators and we compared their executions on three architectures. In all cases we used the roots communicators hierarchy generated by calling the primitive described in subsection 3.2.3. In addition, we used all cores of a targeted architecture and bound an MPI process on each of them.

5.1 Platforms and architectures

For our study, we used tree architectures: a network of 10 nodes (NTW10E5) and two SMP machines (SMP12E5, SMP20E5) from the Plafrim platform [17]. The characteristics of these architectures are given in Table 1.

Table 1: Characteristics of the used architectures

<table>
<thead>
<tr>
<th>Name</th>
<th>SMP12E5</th>
<th>SMP20E7</th>
<th>NTW10E5</th>
</tr>
</thead>
<tbody>
<tr>
<td>OS</td>
<td>Red Hat 4.8</td>
<td>SUSE Srv 11</td>
<td>Red Hat 4.8</td>
</tr>
<tr>
<td>Kernel</td>
<td>3.10.0</td>
<td>2.6.32.46</td>
<td>3.10.0</td>
</tr>
<tr>
<td>Nodes</td>
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<td>1</td>
<td>10</td>
</tr>
<tr>
<td>Cores per NUMA</td>
<td>8</td>
<td>8</td>
<td>6</td>
</tr>
<tr>
<td>NUMA nodes</td>
<td>12</td>
<td>20</td>
<td>4</td>
</tr>
<tr>
<td>Sockets</td>
<td>12</td>
<td>20</td>
<td>2</td>
</tr>
<tr>
<td>NUMA groups</td>
<td>12</td>
<td>20</td>
<td>1</td>
</tr>
<tr>
<td>Socket</td>
<td>E5-4620</td>
<td>E7-8837</td>
<td>E5-4620</td>
</tr>
<tr>
<td>Clock rate</td>
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<td>2660Mhz</td>
<td>2600Mhz</td>
</tr>
<tr>
<td>Hyper-Threading</td>
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<td>No</td>
<td>No</td>
</tr>
<tr>
<td>L1 cache</td>
<td>32K</td>
<td>32K</td>
<td>32K</td>
</tr>
<tr>
<td>L2 cache</td>
<td>256K</td>
<td>32K</td>
<td>256K</td>
</tr>
<tr>
<td>L3 cache</td>
<td>20480K</td>
<td>24576K</td>
<td>15360K</td>
</tr>
<tr>
<td>Mem Interconnect</td>
<td>NUMAlink6</td>
<td>NUMAlink5</td>
<td>QPI</td>
</tr>
<tr>
<td>Node Interconnect</td>
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<td>N/A</td>
<td>InfiniBand</td>
</tr>
<tr>
<td>Hierarchical levels</td>
<td>3</td>
<td>3</td>
<td>4</td>
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<td>GCC</td>
<td>5.1</td>
<td>5.1</td>
<td>5.1</td>
</tr>
<tr>
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<td>2.0.1</td>
<td>2.0.1</td>
</tr>
<tr>
<td>Hwloc</td>
<td>2.0-git</td>
<td>2.0-git</td>
<td>2.0-git</td>
</tr>
</tbody>
</table>

5.2 Collective Communications

The first benchmark is the broadcast and the reduce collective communication operations which we chose to test the proposed hierarchical communication model. There has been other works that aimed at exploiting hierarchy in the hardware in order to improve collective communications. In [23] a distinction is made between inter-node and intra-node communication, because shared-memory based communications are expected to be faster than their network-based counterparts. In this case, the hierarchy was limited to two levels (intra vs. inter-node) and sometimes three (intra vs inter-cluster). We generalized this approach and make no assumption about the number of levels. Moreover we are able to exploit the memory hierarchy inside the nodes of a cluster which is not addressed at all by these works. However, the aim here is not to rewrite collectives or to propose some new algorithms. Our goal is merely to experiment our abstraction in order to assess the potential gains achievable by optimizing communication and data locality.

We compared two implementations:

- Native: this is the Open MPI implementation of the considered collective.
- Hierarchical: this is a loop over the levels of the hierarchy calling the Open MPI version of the collective. Hence, we do not rewrite the collective but just call it through our hierarchy.

Figures 5, 6 and 7 show the enhancement factor of the hierarchical-based implementation of six broadcast algorithms: Linear, Chain, Pipeline, Split Binary, Binary and Binomial. This factor is obtained by comparing the maximum time of processing several data sizes on the architectures described in Table 1 with the hierarchical broadcast and the native version of Open MPI broadcast. It is possible to note that the hierarchical approach we propose enhances most all broadcast executions on the three architectures. In fact, the maxima achieved are roughly equal to 21x on NTW10E5, 11x on SMP20E5 and 22x on SMP12E5. This performance gain is due to two major factors: first, our hierarchical approach allows to better exploit the parallelism and to reduce the complexity of broadcast algorithms. Second, the hardware topology-based communicators enhance the data locality and the hardware affinity between processes performing communications. Third, the communications are better pipelined over the network and the interconnect which reduce their total time.

Figures 8, 9 and 10 present the same results for the Reduce collective with six algorithms: Linear, Chain, Pipeline, Binary, Binomial and In-order Binary. In this case also the enhancement factor is obtained by comparing the maximum time for executing the reduction collective of several data sizes on the architectures described in Table 1 with both hierarchical and native Open MPI reduce. Here, we note that the hierarchical approach we propose considerably enhances the first three algorithms: Linear, Chain, Pipeline. In fact, the maxima achieved are roughly equal to 39x on NTW10E5, 11x on SMP20E5 and 8x on SMP12E5. These performances are achieved by the hardware-aware and hierarchical decomposition of the communications. Indeed, the algorithms are better parallelized, the data-locality is enhanced and the communications are better pipelined. However, we note that the three last algorithms (e.g. Binary, Binomial and In-order Binary) are less improved than the others if not
at all. This phenomenon is due to the structure of the algorithms since they are already based on a hierarchical, tree-based structure. Therefore, the algorithm complexity is not enhanced by our approach. The small performances obtained for these algorithms are only due to the hardware optimisations and could be more significant with a larger number of processes.

5.3 Hierarchical Matrix Multiplication

The second benchmark we carried out is a two-dimensional, hierarchical matrix multiplication [18]. This application is an hierarchical extension of the SUMMA [21] algorithm based on two levels of hierarchy. Its implementation is hardware oblivious and is based on exploring several hierarchy configurations i.e. different number of communicators on each two levels. Hence, the programmer need to manually specify the configuration at each execution by giving a configuration file as an input argument. With our approach, we abstract the manual specification of the hierarchy configuration. As a consequence we do not need to specify the configuration of the hierarchy in our implementation. It is based on the targeted architecture topology and automatically generated by using our proposed set of functions. The implementations we compare are:

- **Simple**: this is the implementation of the SUMMA algorithm based on broadcasting the blocks over rows and columns.
- **Hierarchical (xgroups)**: this is the hierarchical implementation of the SUMMA algorithm of matrix multiplication. The broadcasts of blocks are performed hierarchically over two levels. The hierarchy configuration is set by the user through a configuration file for each execution.
- **Hierarchical topological**: this is the same implementation but leveraging our communicators hierarchy. The hierarchy configuration is based on the underlying hardware topology and automatically build using our primitives.
with the topology. In fact, the communications inside hardware
various configurations and Hierarchical with a topological config-
tantly in parallel applications directly. Indeed, we introduced our
be achieved in MPI implementations themselves, but more impor-
tant strength of the MPI standard. We intend to keep MPI hardware-
gnostic but we also believe that giving the programmer more hints
about the same hardware can be very beneficial performance-wise.
The predicament is therefore to find the relevant level of abstraction
for such a new functionality. Indeed, if an MPI application should be
able to gather and use specific pieces of information about the hard-
ware, this information should nevertheless be abstract enough to
not be tailored for a particular class of hardware. This work is avail-
able as an external library that features all the functions presented
in this paper. The code is downloadable at the following URL: https://
gforge.inria.fr/frs/download.php/file/36832/hsplit-rc-0.1.tar.gz or
via a git clone from URL: https://scm.gforge.inria.fr/svn/anonscm/git/
mpi-topology/mpi-topology.git We plan to further discuss this pro-
posal at the MPI Forum. Our future works include the management
of networks in our implementation. Currently, we only address a
node internal topology (i.e. the memory hierarchy) and we do not
create communicators corresponding the the various switch levels
(for instance) that are present. This is only a matter of implemen-
tation as the concept we propose is ready yet for this aspect. As
we use hwloc for memory hierarchies, we would like to support
networks by integrating the Netloc [4] software in our implementa-
tion. We also plan to address non-hierarchical topologies, especially
regarding the network. Expressing the topology with a distance
function seems a promising idea and we would like to explore it.
Last, we believe that other objects in MPI implementations besides
communicators could benefit from a knowledge of the underlying
physical topology, for instance memory windows. Generalizing our
approach could be of interest.

6 CONCLUSION AND FUTURE WORK
In this paper, we have presented an abstraction that can help the
programmer to structure his/her application in order to take into
account the hardware topology while he is designing it. We also
presented how this model and abstraction could fit into an existing
programming model/standard: the widely-used Message Passing
Interface. At the expense of light changes and a few new features
introduction, we have shown that performance improvements can
be achieved in MPI implementations themselves, but more impor-
tantly in parallel applications directly. Indeed, we introduced our
topology-based communicators in two collective communication
operations (Broadcast and Reduce) and showed that in the cases
where hierarchy could improve performance, taking into account
the physical topology improves things even further. Tested on three
different architectures, our approach enhances the performance of
the tested collective communications by factors up to 21x, 11x and
22x for the broadcast and 39x, 11x and 8x for the reduce. It also
reduces the total time of hierarchical matrix multiplication with
the factors of 12x, 5x and 6x. Even if these gains of performance are
considerable, the additional effort to use our approach is negligible.
In fact, thanks to the proposed abstraction automatically generating
an hierarchy of communicators, the user does not have to deal with
the details of hardware characteristics or manipulating low-level
tools. He only deals with high-level MPI objects: communicators.

The hardware-agnostic nature of MPI might seem paradoxical
with our goal, as we precisely seek to offer the programmer means to
better understand and exploit the underlying hardware. We believe
that the independence from hardware considerations is an impor-
tant strength of the MPI standard. We intend to keep MPI hardware-
agnostic but we also believe that giving the programmer more hints
about this same hardware can be very beneficial performance-wise.

Figures 11, 12 and 13 present the total processing times of sev-
eral implementations: Simple (without hierarchy), Hierarchical with
various configurations and Hierarchical with a topological config-
uration. The total time represents the needed time to process one
block of 8 doubles per process and is composed of the average
computing time represented by the blue part of the bar and the
average communication time of processes by the red part. The used
broadcast algorithm is the Open MPI implementation of Binary
algorithm. We note that the Topological implementation (last bar)
represent the minimum total time and achieve the better speed-ups
of the simple implementation: 12x on NTW10E5, 5x on SMP20E7
and 6x on SMP12E5. Indeed, all implementations feature the same
processing time (the blue part) but the communication times (the
red part) is optimally reduced by the last implementation (topologi-
cal). This is due to the optimal hardware configuration matching
with the topology. In fact, the communications inside hardware
 topology-aware communicators is more efficient thanks to the data
locality and the MPI processes affinity. In addition, pipelining the
communication enhance processors occupation time and reduce
the global time.

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