

How do multi-criteria assessments address landscape-level problems? A review of studies and practices

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- 1 How do multi-criteria assessments address landscape-level problems? A review of
- 2 studies and practices
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17 ABSTRACT:

18 Viewing the landscape as a spatialized social-ecological system allows identification of 19 specific management challenges: integration of multiple views, multiple levels of 20 organization, complex spatial-temporal patterns and uncertainties. Multi-criteria assessments 21 (MCAs), which allow the comparison of alternative actions when multiple interests collide, 22 are considered adequate to support landscape management. However, there is no consensus 23 about how they should be applied and can integrate both multiple views and spatial 24 dimension. We conducted an extensive quantitative and qualitative literature review targeting 25 MCAs with a participatory and spatial approach. Our results suggest that (1) for sustainability 26 assessments, participatory and spatial approaches endorse different rationales and hybrid 27 methods are not so common; (2) within those methods, only scenario-selection methods (as opposed to design methods) can integrate spatially-explicit, spatially-implicit, place-specific, 28 29 and overall values; and (3) current applications, which aggregate values ignoring their spatial 30 and social distribution, do not coincide with the nature of landscape-management challenges. 31 In addition, they give little importance to the structuration of information and to collective 32 deliberation. We conclude that, in the absence of a good match between spatiality and 33 participation, MCAs should, for now, be handled as insightful but distorted tools to explore 34 and structure landscape-level management problems.

35 36

37 KEYWORDS:

landscape management, integrated assessment, spatialization, participation, social-ecologicalsystem

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41 1. **INTRODUCTION**

Landscapes are complex social-ecological systems (SES) because many human and natural processes mutually interact (Bastian, 2001; Cumming et al., 2012; Naveh, 2000; Wu, 2006) and because they are shaped by a social history (Antrop, 2000; Pedroli et al., 2006), which promotes perceptions, values or expectations that differ spatially and among individuals. This makes it challenging to collectively define a desirable future for a given landscape. Many possible ways exist to address this issue; one of them is multi-criteria assessment (MCA). Nonetheless, there is no consensus on how to apply this method given the nature of landscape-level challenges.

49 A first challenge comes from the complexity of processes and multiple interactions. Because 50 landscapes are characterized by interdependencies between human societies and their environment that 51 originate from a coevolutionary history (Berkes et al., 2000; Costanza et al., 1998; Daily, 1997; Kallis 52 and Norgaard, 2010), they exhibit non-linear and cascading effects that make their trajectories of 53 change impossible to predict (Kinzig et al., 2006; Walker et al., 2004). It is generally acknowledged 54 that command-and-control approaches (Folke et al., 2002; Holling and Meffe, 1996) and risk 55 assessment (Linkov et al., 2014) are ill-suited in this context. Instead, scholars advocate exploring 56 possibilities for change (Berkes et al., 2002; Olsson et al., 2006) and adopting an adaptive 57 management approach (Linkov et al., 2006; Plummer, 2009).

58 Another challenge with landscape-level problems arises because the different groups concerned hold 59 different and sometimes irreconcilable values (Gómez-Sal et al., 2003; Hunziker et al., 2008; 60 Swedeen, 2006). This problem of multiple views, which is common to many decision-making 61 situations, has led to recommendations to include non-experts in evaluations of the quality of decisions 62 (Funtowicz and Ravetz, 1990) and explicitly consider incommensurabilities¹ (Martinez-Alier et al., 63 1998; Munda, 2004). Deliberative approaches and MCAs involving multiple stakeholders are 64 considered particularly well-suited to operationalize these principles (Frame and Brown, 2008; Munda, 65 2004).

^{1 1} Specific concepts are defined in the appendix.

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66 The landscape is a complex system that has another challenging characteristic: material resources and 67 populations are distributed in space. Human and natural systems can interact "through" the spatial 68 dimension: social and ecological processes increasingly overlap as perspective widens to a global scale 69 (Alessa et al., 2008), and spatial mismatches can have far-reaching consequences (Cumming et al., 70 2012; Wilson et al., 1999). Likewise, human and natural systems can interact "within" the spatial 71 dimension: processes such as species migration, farming dynamics or social exclusion are closely 72 related to spatial patterns, such as habitat heterogeneity and the spatial distribution of crops or 73 infrastructure networks (Benoît et al., 2012; Cumming, 2011). Accounting for complexity at the 74 landscape level therefore requires considering these different spatial interactions. This is one reason 75 why geographic information systems (GIS), given the wide possibilities they offer to investigate 76 spatial relationships, have become key tools to analyze and resolve landscape-level management 77 problems (Malczewski, 2006; Malczewski and Rinner, 2015).

78 Because social-ecological interactions have a spatial dimension, diverse and potentially conflicting 79 representations of space, i.e. new types of incommensurabilities, coexist within a landscape. Because 80 people relate to places in many different ways – not only through their actions, but also through their 81 perceptions and history (Antrop, 2005) – they do not have the same definition of boundaries, 82 meaningful zoning, significant places, features of identity, etc. The same occurs with expert 83 descriptions: relevant extents, resolutions and locations differ when describing water dynamics or 84 pollination. The ecological economics community does not formally address these types of 85 incommensurabilities specific to spatial problems, though it is aware of "scale biases" when 86 stakeholders express value judgments (Hein et al., 2006; McFadden, 1994; Zia et al., 2011). Incommensurabilities are not well integrated into spatial decision support systems either, because the 87 88 latter are designed as "expert systems" that rely on a uniform understanding of space (Ramsey, 2009).

Applying MCAs to landscape management problems raises the fundamental challenge of integrating spatiality with multiple views. In an initial step to meet this challenge, we investigate current practices of MCA reported in the scientific literature that combine a spatial approach with multi-stakeholder or participatory approaches. More specifically, we address the following issues: how, and how well, MCA practices reflect landscape-specific challenges.

- 94 These issues are addressed following three nested analyses that enable us to:
- 95 (i) Position spatial and participatory approaches within the broad scope of multi-criteria methods
- 96 (ii) Distinguish types of MCA methods that combine spatial and participatory approaches
- 97 (iii)Clarify how MCAs are applied to assess landscape-management scenarios
- 98 (iv)Generate suggestions for using MCAs at the landscape level.
- 99

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1. MATERIALS AND METHODS

102 This literature review follows three steps (the overall method is described in Fig. 1). First, we performed a lexicometric analysis of a large sample of studies to characterize the position of 103 104 sustainability assessments, participatory approaches and spatial approaches within the wide 105 spectrum of multi-criteria methods (Section 2.2). Second, we qualitatively classified 106 applications of multi-criteria approaches mixed with participatory and spatialization methods 107 in the field of natural resource management (Section 2.3). Our aim was to provide a typology 108 of existing methods with their general steps. Third, we focused on a specific type of methods arising from the typology, "scenario-selection support method", and undertook a detailed 109 qualitative analysis of the corresponding case-studies (Section 2.4). 110

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1.1. Bibliographical data.

112 We generated three datasets of studies of decreasing size using the Web of Science database,

113 corresponding to the three steps of our review. For better traceability, we summarized this

selection process in a PRISMA diagram² (Moher et al., 2009) (Fig. 1). A large dataset of

abstracts (10,691) was selected to analyze recent trends (2005-2015) in sustainability

116 assessments within multi-criteria methods. For the two subsequent steps, the time span was

117 extended to all available years (1975-2005) to embrace a wider diversity of research;

118 nonetheless, few records were published before 2005.

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^{2 2} The "PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) statement" includes a

³ flow chart that maps out the number of records identified, selected for analysis and excluded. The general aim is to

⁴ improve the reporting of systematic reviews and to help the reader identify bias in the selected materials.



119 Fig. 1 PRISMA flow diagram for each objective adressed in the review (database searched in June 2015).

120 The three steps reflected in the PRISMA diagram are detailed in the three following sub-121 sections.

122 1.2. Step 1. Quantitative analysis of multi-criteria methods.

Lexicometric analysis compresses complex information contained in large numbers of texts. Reorganizing and classifying segments of texts and providing textual statistics promotes understanding of the meaning and context of use of utterances (Lebart et al., 1998). We used this method to identify trends in the current use of multi-criteria methods throughout the observation of lexical similarities among abstracts.

We collected information that characterizes the heterogeneity of research referring to multicriteria methods. We compiled the 10,691 abstracts we selected into a single textual corpus and used IRaMuTeQ software (Ratinaud and Marchand, 2012) to implement Reinert's method (1993) of textual clustering. This clustering technique proceeds from a contingency table

showing the presence or absence of words (except pronouns, conjunctions, and some 132 133 adjectives) in a given abstract. IRaMuTeQ clusters abstracts by performing descending 134 hierarchical classification iteratively: abstracts are divided into two significantly different groups (p-value < 0.05) according to the presence or absence of words, and then the cluster 135 136 with the most abstracts is divided into two new significantly different groups, etc. Clustering stops when the number of clusters predefined by the analyst is reached or when the largest 137 138 cluster contains no significantly different vocabulary. A chi-square test is then calculated for 139 the classification of each word to assess the significance of the association between a word 140 and a semantic class. This enabled us to obtain a broad view of multi-criteria methods and 141 then identify subgroups relevant for analysis.

We also assessed the classification via correspondence analysis, in which variability in the vocabulary and its distribution among clusters (assessed as a chi-square value) is statistically explained by inertia. For each inertia factor, correspondence analysis provides a statistical basis for interpreting why certain clusters are similar to or different from others.

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147 1.3. Step 2. Qualitative classification of multi-criteria assessments that use 148 participatory and spatial approaches.

149 In the second step of our analysis, we emphasize the participatory and spatial approaches of150 MCA.

We collected research that links these three aspects (i.e., multi-criteria, spatialization, participation) through a database search and manual screening. "Spatialization" meant that alternatives and/or the assessment results had to be spatialized; "participation" meant that people other than the authors had to be involved at some stage of the research. We also removed all reviews, theoretical developments and studies that introduced a multi-criteria tool without applying it (even though they could provide interesting insights for interpretation). Among the 222 studies collected, 126 met those eligibility conditions, and among them 74 belonged to the field of natural resource management. The others referred to waste- or pollution-management issues, urban or infrastructure development and health.

We systematically read the abstracts of the 74 studies dealing with natural resource management, with a special focus on their objectives and methods; when necessary, we also read the studies' methods sections. We focused more on the stages in which the inclusion of a spatial or participatory component to MCA adds to or modifies the way the method is developed. We summarized the most frequent method patterns according to their objective.

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2.4. Step 3. Systematic qualitative analysis of case studies for scenario exploration.

To address our third objective, we analyzed a subset of the previous studies. We only investigated articles that developed a method for exploring landscape management alternatives and possibly selecting one of them. We ended up with 10 studies, some of them covered by more than one article. When necessary, we used additional articles referring to the same research project and contacted the corresponding author to clarify certain points or to obtain additional information.

174 Two types of characteristics were examined for each study. First, general characteristics of 175 MCA were analyzed: the problem structure (i.e. alternatives, criteria, stakeholders and their 176 judgments) and the decision analysis (the weighting system and the aggregation procedure 177 used to produce the final evaluation of alternatives) (Kiker et al., 2005; Malczewski, 1999). Second, we investigated characteristics related to the landscape viewed as a spatialized SES, 178 179 focusing on participation of multiple stakeholders (i), representation of multiple levels in the assessment(ii), consideration of spatial and temporal patterns and relationships (iii), and 180 181 management of uncertainties (iv).

182	We created an analytical table (provided in the appendix) with columns referring to the 10		
183	studies reviewed and rows featuring the characteristics of each case-study in terms of:		
184	- general characteristics (objective, type of issue addressed, area)		
185	- problem structure (definition of alternatives, criteria (and indicators), stakeholders,		
186	value judgments)		
187	- decision rules (weighting, aggregation method)		
188	- involvement of stakeholders (participants, stages with participation, differentiation		
189	between participant input, participatory settings)		
190	- multi-level system (levels of assessment, upscaling methods)		
191	- spatiotemporal patterns (knowledge sources for spatial data, accounting for spatial		
192	patterns (heterogeneity, distribution of values), use of visualization tools, accounting		
193	for temporal behavior)		
194	- integration of uncertainties (sensitivity analysis, uncertainties in outcomes,		
195	uncertainties/inconsistencies in judgments, flexibility of tools)		
196	This way of synthesizing information allowed us to identify trends and gaps in the ways		

197 landscape-management options are assessed with a multi-criteria approach.

198 3. RESULTS AND DISCUSSION

199 **3.1.** Results of lexicometric analysis.

200 3.1.1 Main clusters of multi-criteria methods: the vocabulary of sustainability

201 assessments

- 202 In the abstract corpus (10,691 records), we performed a three-cluster classification, which
- allowed 9,450 abstracts (88%) to be classified (Fig. 2). The most significant forms of words,
- 204 tool-words excluded, define three distinct "lexical worlds".

l Cluster 3			l Cluster 2			l Cluste		
	36.5%			29.8%			33.8%	
Lexicalforms	χ2	frequency (%)	Lexicalforms	χ2	frequency (%)	Lexicalforms	χ2	frequency (%)
fuzzy	1504	75	optimization	2281	79	environmental	1808	83
decision	850	48	algorithm	1879	76	economic	1533	83
linguistic	546	89	pareto	1249	93	social	1203	84
propose	437	48	optimal	747	61	sustainable	1013	90
alternative	434	55	genetic	600	86	stakeholder	945	87
weight	420	56	time	551	55	policy	882	82
rank	407	59	optimize	519	74	sustainability	832	91
example	404	59	solution	464	49	impact	811	73
selection	404	57	evolutionary	447	90	analysis	775	52
number	403	86	search	440	74	assessment	771	66
preference	397	59	schedule	432	86	development	762	65
mcdm	382	69	space	403	71	country	655	90
supplier	370	87	design	393	49	energy	589	70
intuitionistic	330	99	minimize	371	72	management	582	61
maker	312	46	experiment	369	70	indicator	576	77
topsis	299	73	function	350	50	area	562	69
aggregation	285	72	constraint	349	61	public	440	79
company	266	67	experimental	335	70	assessment	439	63
similarity	262	74	objective	324	46	water	438	76
ideal	258	72	computational	319	70	health	427	85
interval	257	78	parameter	302	55	ecological	408	91

Fig. 2 Dendrogram resulting from descending classification of the abstract corpus, showing the 20 most significant lexical forms of each cluster. Frequency is the number of abstracts classed in a given cluster that contains at least one time a given word divided by the total number of abstracts. Chi-squared values assess the significance of the association between a given word form and a cluster (a chi-squared value of 3.84 corresponds to a probability of 5% that the association of a word to a certain category occurred randomly)

205

206 We identified a first cluster of abstracts (3,189 records, 34% of the total) with the most

207 significant forms relating to:

- integrating economic, environmental, social and institutional issues ("environmental", 208 209 "economic", "social", "policy", "public") considering future consequences and uncertainties ("impact", "assessment", "options", 210 "management", "potential") 211 212 engaging the public ("stakeholder", "public") -213 Gasparatos et al. (2008) depicted these different aspects as shared properties of sustainability 214 assessments and added equity considerations (intra- and inter-generational) to this list. Equity 215 considerations, however, are not directly reflected by the most significant words in the "sustainability assessment" cluster. The first word in this semantic field, "equity", appears in 216 217 312th position (still significant for this cluster) because it occurs rarely. We conclude that 218 social justice issues are, in most cases, absent from sustainability assessments based on a multi-criteria method. Sustainability remains mainly understood as the fulfilment of 219 competing goals rather than a trajectory of change that reconfigures social interactions. 220 A second cluster of abstracts focuses on optimization problems ("optimization", "Pareto", 221 "solution", "design", etc.) aiming at designing Pareto-optimal³ solutions for a limited set of 222 223 objectives and constraints (Linkov et al., 2006). Decision-analysis procedures ("decision", 224 "rank", "selection", "aggregation") are grouped together in the last cluster of abstracts (cluster 225 3). Considering that no optimal solution can be found, a variety of procedures exist to 226 rank/sort alternatives (Guitouni and Martel, 1998), each of them corresponding to a specific 227 definition of what makes the best compromise (the closest from an ideal point, the one that is 228 not outranked by others, etc.). 229

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3.1.2. Rationales underlying MCA methods: the specificity of spatial approaches

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⁵ 3 Resource allocation is considered optimal (sensu Pareto) when an increase in one objective cannot be achieved

⁶ without worsening another objective. The total number of situations that satisfy the Pareto criterion forms a

⁷ boundary limit from which no improvement can be made given the available resources. The existence of such a

⁸ boundary assumes that objectives are perfectly substitutable.

231 We generated an additional classification to see how the sustainability assessment cluster 232 would split up, adding two more successive phases of clustering. The abstract corpus was 233 consequently divided into 5 classes (8,964 abstracts included in the new classification, ~84% 234 of the corpus). We were then able to distinguish two new subgroups of abstracts: strategic 235 business decision-making (within the "decision analysis" cluster, former cluster 3) and spatial 236 approaches (within the "sustainability assessment" class), the latter being of special interest 237 for our purpose. The "optimization problem" cluster, (former cluster 2) remained unaffected 238 by this new classification. Projection on the two first axes of the correspondence analysis 239 (Fig. 3), which summarize 69% of the total inertia, reveals that spatial assessments constitute 240 both a marginal (approx. 1/4 of the abstracts on sustainability assessments) and specific 241 branch of sustainability-assessment approaches.



Fig. 3: Distribution of active forms along the two first axes of the correspondence analysis (5-class clustering). These axes do not separate the classes of decision analysis theories and their application to business management.

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We interpret the two first factors as reflecting what is understood as a rational decision (axis
2, 29% of total variance) and what makes a scientifically-sound decision (axis 1, 40% of total
variance).

The first axis differentiates multi-criteria methods that rely on grounded, contextualized 246 247 information from those that mainly rely on mathematical equations to find a solution. By "grounded", we mean that theory proceeds from the accumulation and analysis of place-based 248 249 data. Since they seek to capture multiple indicators and multiple views, sustainability 250 assessments imply such bottom-up view of science, providing they not only try to formulate 251 ad-hoc solutions but also create new knowledge. The opposite pole of axis 1 represents 252 another view of how science interacts with decision-making, in which there are "rules of 253 nature" that can be formalized (by scientists) and then applied to any situation (e.g. to support decision-making). According to this view, generic models and algorithms are the elements 254 255 that validate the results obtained. Decision analysis, which focuses on finding the best 256 compromise when no optimum solution exists, and optimization methods, which focus on 257 finding solutions that maximize utility under a set of constraints, are two different methods that share the assumption that mathematical formalization is the best way to reach a valid 258 259 solution.

260 Axis 2 reflects the opposition between substantive rationality and procedural rationality 261 behind multi-criteria methods (Simon, 1976). Under a substantive rationality hypothesis, 262 rational behaviors allow the fulfillment of a set of goals under a set of constraints (from the 263 external environment, its perception, or internal characteristics of the decision maker). This realm assumes a "heroic" (Béjean et al., 1999) decision-maker who, according to Simon 264 265 (1990: 195): "contemplates, in one comprehensive view, everything that lies before him. [...] He has reconciled or balanced all his conflicting partial values and synthesized them into a 266 267 single utility function that orders, by his preference for them, all these future states of the

world". This idea is clearly embedded in optimization procedures. However, the substantive 268 269 rationality paradigm is also dominant in spatial assessments. The use of spatial data is thought 270 to "empower the decision-maker" (Densham, 1991) by providing both more precise and more 271 usable information. The environment (objective and subjective) is considered fixed and the 272 coexistence of different value schemes and conflicting preferences not allowed. 273 In the realm of procedural rationality, behaviors are rational because they come from an 274 appropriate individual deliberative process in the face of incomplete information, an unknown 275 future, or shifting beliefs and values (Simon, 1976). Studies related to decision analysis, since 276 they model uncertainty and the interaction of multiple views towards a compromise, typically 277 assume a procedural rationality (extended from the individual to the group) (Garmendia and 278 Gamboa, 2012; Munda, 2004). Sustainability assessments following a participatory approach (Fig. 3, upper-left corner) also fall in this category because deliberation is the pivotal process 279 280 for integrating heterogeneous information and values (Vatn, 2005). Nonetheless, these 281 approaches often fail to accommodate the evolutionary nature of preferences and the 282 existence of non-utilitarian values (values that cannot be reflected in competing individual interests). Rather, they assume that one's motivation can be captured in a unique order of 283 284 preferences (Béjean et al., 1999). They therefore only partially fall under procedural 285 rationality paradigm.

We have just demonstrated how two branches of sustainability assessment distinguish
themselves. Though common in their place-based anchorage, sustainability assessments
following a spatial approach and those following a participatory approach endorse
fundamentally different philosophies about what constitutes a good decision (the process or
the availability of information) and the context under which a decision is to be taken
(irreducible uncertainties and conflicting values or a well-defined decision space).

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3.2. How MCA combines with participatory and spatial approaches. Synthesis of methods and objectives.

Nonetheless, bridges between these two branches exist and are crucial if one wants to address

multi-stakeholder landscape-level issues. We examine these bridges in the following section.

In this section, we present results of our qualitative analysis that classified studies using 297 298 participatory and spatial approaches (Fig. 4). We distinguish three types of objectives, hence 299 three types of methods: scenario-design support, scenario-selection support, and spatial 300 assessment of real situations. The categories of both scenario-design support and scenario-301 selection support methods are future-oriented, leading to management recommendations. 302 Method steps can be shared between real-world and future-oriented methods even when their objectives differ (prescriptive as opposed to descriptive). We found two studies (3 records) 303 304 combining scenario-design support and scenario-selection support objectives (detailed in 305 section 3.3.2).

Regarding the two families of future-oriented methods, their differences in objectives are 306 reflected in different method steps (Fig. 5). Scenario-design support methods deal with a 307 308 location or spatial allocation problem (van Herwijnen and Janssen, 2007): Which areas should 309 be managed or preserved first (prioritization)? How best to allocate land-uses in the landscape 310 (zoning/ mapping proposals)? Those questions refer respectively to multi-attribute or multi-311 objective problems. In the first case, every location is scored according to a set of attributes 312 (van Herwijnen and Janssen, 2007). Then, value maps are overlaid and aggregated, generally with a weighted linear combination procedure (Malczewski, 2000), to define the best area(s) 313 314 or rank sites for a given purpose. This method is also used for suitability assessments. In the second case, a set of goals are defined and multi-objective optimization algorithms produce 315 316 land-use plans or spatially-explicit management proposals.

Conversely, scenario-selection support methods differentiate and often rank management alternatives based on their effects (impact assessment) and sometimes their implementation (generally cost, but sometimes applicability or flexibility; e.g. Sahin and Mohamed, 2013). A scenario can be evaluated and possibly selected according to both spatially-explicit and non-spatial criteria. An aggregation procedure can be performed first on criteria (producing a landscape-level score for each criteria) or on alternatives (producing total performance maps) (see van Herwijnen,1999, cited by van Herwijnen and Janssen, 2007), for different possible pathways).



Fig. 4. Classification of sustainability assessment methods combining a participatory and spatial approach according to their general objective for decision-making (supporting scenario design, assessing a real situation and supporting scenario selection) and the type of results produced.

Double-headed arrows link categories that share similar method steps but differ in their objective. 317^* 4 records were assigned to 2 different categories.



Fig. 5. Classic steps in scenario design support (top) and scenario selection support (bottom). Participatory settings were used at different stages (underlined in the figure) varying between studies.

Spatial diagnosis methods follow the same steps though objectives differ. When the aim is to establish risk, vulnerability or suitability maps, method steps are similar to the ones of the "scenario-design support" method. For value assessment, the steps follow the "scenario-selection support" method except that the input landscape(s) is (are) real.

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The involvement of non-academic participants, including local experts, can occur at different 319 320 stages. In such cases, identified criteria and/or weighting are designed to reflect stakeholder 321 preferences. The issues of how to select participants, how to elicit their preferences and how to combine them are the same for non-spatial evaluation (see e.g. Garmendia and Gamboa, 322 323 2012; Hajkowicz and Collins, 2006; Reichert et al., 2015). Also, some criteria can be evaluated on a participatory basis when they integrate a sensible dimension (e.g. visual aspect 324 325 (Sheppard and Meitner, 2005)); risk perception (Raaijmakers et al., 2008)); place attachment 326 (Newton et al., 2012; Nordström et al., 2011) or when the evaluation of performances relies 327 on the statements of local experts (e.g. Arciniegas and Janssen, 2012). 328 Through this clarification exercise, we highlighted consistent patterns in scenario-design and 329 scenario-selection methods. The way stakeholders are involved does not appear to differentiate methods. Stages with participation – and the extent of that participation – reflect 330 331 the diversity of scientific postures in action-research, which is independent from the 332 operational objective pursued. In contrast, the position of the MCA within the decision-333 making process and the nature of criteria and values do distinguish scenario-design support from scenario-selection support. In the former, alternatives are generally not defined *a priori*, 334 335 and the decision-making process is in its exploratory stage; also, criteria and values are 336 necessarily spatially-explicit. In the latter, alternatives are established *a priori*. They can be 337 realistic plans identified by managers (when the decision process is in an advanced stage) or 338 contrasting images of the future created to support learning. With scenario-selection support 339 methods, alternatives can be spatially-explicit or not (e.g. a normative change) and the assessment of alternatives can accommodate both spatially-explicit and non-spatial values. 340 341 Assessment is therefore more comprehensive, which suits a holistic and integrative view of 342 the landscape. Because of these possibilities, we focus on the potential of scenario-selection 343 methods to compare landscape-management alternatives.

344 3.3. How MCA combining participatory and spatial approaches can help compare 345 landscape-management alternatives. Detailed analysis of current practices.

- 346
- 347 3.3.1 Overview of selected studies

348 In our corpus, we found 11 studies (12 records) that implement a multi-criteria scenario-

349 selection method at the landscape level, i.e. studies that use, at some stage, the input of non-

academics to compare a set of landscape-management scenarios to highlight those best-suited

351 (Table 1). The study of Raaijmakers et al. (2008) was excluded as there was no integration

352 between the stakeholder assessment of alternatives (not spatial) and the simulation of their

353 impacts using a GIS-based model. The 10 remaining studies deal with land-use planning (4),

ecosystem conservation (3), water management (2) and forest management (2).

Table 1. General description of selected studies (LU = land-use). Italics indicate studies with a "light"
 participatory approach. Additional articles used for the analysis are also mentioned.

Selected studies	Goal	Issue addressed	Geographic area
Ahrens and Kantelhardt, 2009	Assessing the impact of farming	Agricultural landscape	Bayerisches Donauried
	production options on landscape	planning	(Germany)
	functions		
Arciniegas et al., 2011	Designing LU plans of increased	LU planning	Bodegraven Polder
Arciniegas and Janssen, 2012	quality		(Netherlands)
Chakroun et al., 2015	Assessing impacts of water-	Water management	Ichkeul Basin (Tunisia)
	allocation alternatives on watershed		
	sustainability		
Fürst et al., 2013	Assessing effects of forest LU	Regional LU planning	Saxony (Germany)
Fürst et al., 2010	management on ecosystem services		
Janssen et al., 2005	Assessing impacts of alternative	Wetland ecological	Wormer and Jisperveld fen
Goosen et al., 2007	water-management regimes on	functioning	meadow (Netherlands)
	ecological functions		
Linhoss et al., 2013	Selecting a management strategy for	Species conservation and	Florida Gulf Coast (USA)
	the Snowy Plover conservation	coastal conservation	
Manoli et al., 2005	Assessing possible water-	Water management	Paros Island (Greece)
	management interventions		
Newton et al., 2012 (+ supporting	Assessing costs and benefits of	Ecological restoration	River Frome watershed (United
information)	alternative ecological network plans		Kingdom)
Nordström et al., 2010	Designing and assessing alternative	Strategic forest planning	Lycksele forest (Sweden)
Nordström et al., 2011	forest-management plans		
Sheppard and Meitner, 2005	Reducing conflicts and assessing	Sustainable forest	Lemon Landscape Unit
Sheppard et al., 2006	alternative forest-management plans	management	(Canada)

358 Our sample covers the gradient of research postures that characterizes integrated assessments

359 (Barreteau et al., 2010; van Asselt and Rijkens-Klomp, 2002). Some of the studies we

360 analyzed hence implemented a "lighter" participatory approach, corresponding to a situation

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362 present at the very beginning of the process (associated with generating alternatives) or

363 merely exist as assumed recipients/users of assessment results. They can also be absent but

364 different values nonetheless considered through archetypical weighting schemes (in the case

365 of Linhoss et al., 2013).

366 We identified two studies that combine scenario-selection and scenario-design approaches,

and hence promote iteration for adaptive management. One (Nordström et al., 2011, 2010)

368 used a scenario-design approach to generate and select three realistic but contrasting scenarios

of forest management, which were consequently assessed. The other (Arciniegas et al., 2011;

370 Arciniegas and Janssen, 2012) followed the oppose approach: scenario assessment was used

371 as an exploratory phase prior to generating land-use plans. Although they are rarely used

372 jointly, scenario-design and scenario-selection methods are not mutually exclusive.

373 3.3.2. *Results of qualitative analysis*

Table 2 summarizes the options adopted in the case studies reviewed.

Table 2. Qualitative analysis of the multi-criteria structure and incorporation of landscape-management
 characteristics of the 10 selected case studies. Options in bold refer to the most frequently observed
 practice. def.: definition; AHP: analytic hierarchy process; MAUT: multi-attribute utility theory; CP:
 compromise programming; MCDA: multi-criteria decision analysis; SDSS: spatial decision support
 system.

MUL TI- CRI TERI A STR UCT		 Directly developed by researchers according to local issues (consultation of stakeholders, literature review, etc.) 			
	Alternative det.	 Developed by selected stakeholders or experts 			
		 Exploration-Selection process (computer-aided, matrix of scenarios) 			
		 Defined by researchers (according to local issues) 			
	Criteria def.	 Based on a scientific reference framework (more or less adapted to a specific context) 			
		 Defined by stakeholders in participatory settings (workshops, interviews) 			
	Indicator def	 Defined or specified (following consultation) by experts or researchers 			
	indicator dell	 Defined by reference framework 			
URE	Stakoholdor dof	Absence of a formalized method			
	Stakenoluer uei.	 Snowball sampling, Stakeholder analysis followed by grouping and selection of participants 			
	Volue judgment def	 Importance or perceived performance (scoring/allocation of 30 points) 			
	value juuginent uei.	 Preference (pairwise comparison) 			
DEC	Weighting systems	Average of scores (for all stakeholders or at the group level)			
N RUL ES		 No weights / Exploratory weights / Weighting schemes reflecting different focuses 			
		 Group average score x Group importance score 			
		 Market value (0 weight to non-marketable ecosystem services) 			

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		When value maps are produced: weighted summation or absence of aggregated results
INV OLME NT OFA KEH OLD ERS HER RAT	Aggregation method	 When assessment is not spatially-explicit : varied methods hypothesizing strong to weak compensation (AHP, MAUT, CP, Electre-II, Stochastic MCDA)
	Participating stakeholders	Managers , Users of different types, Experts of different types, Representatives of interest groups, Farmers, Landowners
		■ No
	Differentiation of stakeholder input	 Distinct role of different stakeholder groups
		 Evaluation at the group level (through averaging)
	Stages with participation	Criteria def. and/or Alternative def.
	Stages will participation	 Assessment, Feedback, Selection of participants
	Participatory methods	Workshops, Interviews, Surveys/Inquiry
	Levels of assessment	 When assessment of criteria is spatially-explicit : basic units/compartments + Landscape/Region
CAL		When assessment is not spatially-explicit : entire landscape/Region
ORG	Unscaling/downscaling	Area-weighted aggregation of basic units' normalized performance
ZATI	methods	 Temporal aggregation according to statistical metrics
ON		 Corrected spatial aggregation according to landscape metrics
	Knowledge sources of	 Generic (from database)
	spatial data	 Local (expert opinion, field survey, online stakeholder survey)
SPA		 Simulation outputs
&	Spatial distribution	■ No
TEM POR	Spallaruistribution	 Qualitative analysis of value maps, Use of landscape metrics, Use of spatially-explicit metapopulation models
		Value maps
TER	Visualization tools	■ No
NS		Landscape visualization
	Temporal dynamics	■ No
		 Statistical metrics describing temporal behavior
	Sensitivity analysis in MCA	■ No
		 Varying weights, Exploration of the whole weight space, Varying aggregation methods,
	Uncertainties in outcome	■ No
UNC ERT AIN TIES		 Assessment under varying futures
		■ No
	Uncertainties in iudoment	 Consistency ratio to eliminate inconsistent stakeholders
	jjj	 Use of different methods to reveal preferences
		 Stochastic MCDA allowing undefined weights
	Flexibility	■ No
		 Possible modification in the SDSS, Use of interactive devices

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The case studies highlight typical limitations of MCAs in general (i.e. not specifically applied to landscape problems) about problem structuring. It is however acknowledged that the way in which problems are structured, including how to define alternatives or criteria, how to determine who is relevant and what legitimacy they have, how to elicit judgments and which decision rules to choose, is central to any MCA (Choo et al., 1999; Garmendia and Gamboa, 2012; Guitouni and Martel, 1998; Munda, 2004; Vatn, 2009).

388 In the 10 studies we reviewed, alternatives and criteria are either developed by researchers or 389 by a set of stakeholders. Specific reference frameworks (for ecosystem services, ecological 390 functions or management standards) are sometimes used. In some cases, definition of 391 alternatives is a central part of the assessment method and results from a formalized 392 exploration-selection-specification process (in Fürst et al., 2013; Nordström et al., 2011). 393 Often, but not always, criteria are translated into indicators (in other cases, this hierarchical 394 structure is absent); definition of these indicators is managed by researchers and experts, 395 without feedback from other participants. 396 The process for selecting the stakeholders included in the assessment is often not formally

addressed. Two studies (Nordström et al., 2010; Sheppard and Meitner, 2005), which defined
their study area as conflict-ridden (both around the issue of forest management), used
stakeholder analysis to identify stakeholders and group them according to their assumed
interests.

401 (ii) Weighting and aggregation

In 6 of the studies analyzed, criteria weights reflect stakeholder preferences. Individual
elicitation of weights is preferred over collective deliberation, so that group-level values are
actually the average of individual scores. The only case of using collective decision-making to

derive weights was found in an expert workshop in Arciniegas and Janssen (2012). Generally,
stakeholders are asked to score criteria according to their importance. In one study
(Nordström et al., 2010), stakeholders were asked to state preferences using a pairwise
comparison of criteria.

More originally, Newton et al. (2012) and Linhoss et al. (2013) used archetypical weighting
systems to reflect different ethical positions but not any particular stakeholder preferences.
Linhoss et al. (2013) produced weighting schemes for human-focused, bird-focused and
mixed values, while Newton et al. (2012) included a weighting scheme based on purely
monetary valuation.

414 In all the other cases reviewed, authors chose to use equal weights or exploratory weights415 (weights are modified to determine how they influence the final ranking).

When value maps are produced as intermediate or final results of the assessment, weightedsumming is the common aggregation method. Studies producing spatially-aggregated,

418 spatially-implicit or non-spatial values to assess each criterion use different decision analysis

419 possibilities. The majority of those procedures assume that values associated with criteria can

420 compensate each other (compensatory procedures). Nonetheless, Ahrenz and Kantelhardt

421 (2009) specifically address the question of compensability between criteria by comparing the

422 outcomes of an additive model (multi-attribute utility theory) with those of an outranking

423 model (Electre-II).

424 425

(iii) Interaction with stakeholders

426

In most of the studies we collected, stakeholders of different status and with different interests
in the problem are considered and consulted during workshops. Interviews and surveys are
other observed practices. Most commonly, participating stakeholders are managers (who are
involved in the decision-making process) and representatives of interest groups (representing

431 users – farmers, timber-harvesters, hunters, etc. – or a political view – environmentalists, 432 bankers, community leaders, etc.); they are involved in the definition of criteria and/or 433 alternatives. Though researchers generally assign them to a category of stakeholders, Ahrenz 434 and Kantelhardt (2009) instead let each participant choose the group to which he/she 435 belonged. A few studies introduce hierarchy among stakeholders, either by differentiating the stages when they intervene (e.g., in Arciniegas et al. (2011), "public" stakeholders explored 436 437 and designed alternatives, researchers introduced criteria, and experts defined indicators and 438 weights) or by determining importance coefficients (in Nordström et al. (2010)), a steering 439 group was established to define relevant stakeholder groups and their relative importance). 440 (iv)Multiple levels and spatio-temporal patterns

441 Consideration of the multi-level nature of the landscape system is generally simplified into a two-scale problem: elementary units and landscape/region. The study of Ahrenz and 442 443 Kantelhardt (2009) is an exception, as authors consider an intermediate level – the farm – to 444 derive production responses and calculate socio-economic indicators. Nonetheless, results are 445 produced at the landscape-level only.

In the spatially-explicit assessments we analyzed, basic units or compartments are defined 446 447 according to their homogeneous functioning (Chakroun et al., 2015; Janssen et al., 2005; 448 Manoli et al., 2005) or their use (Arciniegas and Janssen, 2012). Another option is to use a 449 cell grid (Fürst et al., 2009; Newton et al., 2012). Those units constitute the resolution of the 450 spatial assessment. Value maps are then generated, showing the distribution of performances 451 in the entire landscape. Subsequent spatial aggregation consists of adding the value of all units (with their size as a coefficient when it is not uniform). Some authors acknowledge the 452 453 limits of such additive aggregation. For instance, Janssen et al. (2005) insist on the need to 454 give disaggregated results to decision-makers, while Fürst et al. (2013) "corrected" the results

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of spatial aggregation for two ecosystem services using landscape metrics⁴. Limits of spatial
aggregation can also be overcome through an overall evaluation: Sheppard and Meitner
(2005) attempted to do so by asking stakeholders to directly assess semi-realistic landscape
visualizations of competing alternatives.

459 Temporal patterns are conspicuous by their absence, with the notable exception of Manoli et
460 al. (2005), who first spatially aggregated each criterion considered (e.g., cost, water
461 availability) and then considered second-level criteria describing temporal behavior.

462 (v) Uncertainties

Finally, uncertainties are generally not considered. When they are, the most common practice 463 464 is to perform a sensitivity analysis on the weighting systems, which reflect uncertainties in the 465 preferences for each criteria (Chakroun et al., 2015; Janssen et al., 2005; Newton et al., 2012). Linhoss et al. (2013) chose to use of a stochastic multi-criteria decision analysis in order to 466 467 test a case of uninformed weights (the whole weighting space is explored and a rank acceptability index is calculated, describing the percentage of times one alternative ranks as 468 "most-preferred"). Uncertainties about future changes are addressed in two studies (Linhoss et 469 al., 2013; Manoli et al., 2005), in which climate is considered the main driver of change. 470 471 Uncertainties in judgment are addressed in the two studies that attempted to structure 472 stakeholder participation in a conflict-ridden context (Nordström et al., 2010; Sheppard and 473 Meitner, 2005) and in the study of Linhoss et al. (2013) that uses a stochastic procedure. 474 Another way to handle uncertainties is to design a flexible decision-support tool that allows 475 modifications. Some tools are also user-friendly (e.g. the touchtable of Arciniegas and Janssen (2009); the "Pimp your landscape" software of Fürst et al. (2009)). It is not clear, however, 476 477 whether these tools can be modified by end-users.

12 connectivity).

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^{9 4} Landscape metrics refer to measures or indices that consider the distribution of patches (or groups of patches)

¹⁰ within a landscape mosaic. They can characterize either a landscape's composition (e.g., abundance of forested

patches, evenness of different patch types) or spatial configuration (e.g., measures of patch density, shape, or

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478 3.4. Research gaps to fill to effectively address landscape-level management problems.

479

Critical discussion of observed practices.

480 This systematic qualitative analysis enables us to identify and discuss several research gaps in the481 application of MCA methods to assess landscape management alternatives.

482 (i) Lack of attention to problem structuring

483 Problems observed in MCA in general are also found in our analysis. Among them, the lack of 484 attention to problem structuring, which is crucial for establishing a shared platform for deliberation 485 and effectively linking assessment to decision making, is striking (Boroushaki and Malczewski, 2010; 486 Giampietro, 2003; Hajkowicz and Collins, 2006; Ramsey, 2009). This loophole is particularly 487 prevalent in the generation of alternatives, selection of stakeholders, and definition of indicators. 488 The selection of stakeholders, alternatives, criteria and indicators constitute a "compression" of the 489 information space (Giampietro, 2003) that conditions the quality of the MCA outcome. As shown by 490 this literature review, alternatives and criteria are often defined with relatively little involvement of 491 local stakeholders, although the conditions of their involvement are often not fully clarified or 492 formalized. Institutional analysis – at worst to identify the diversity of interests and "legitimate" 493 people to participate in the evaluation and at best to gain knowledge about their power relations – is a noteworthy method to justify the choices made about participants, scenarios and criteria (De Marchi et 494 495 al., 2000; Munda, 2004; Xenarios and Tziritis, 2007). Also, if alternatives are used to expand the 496 decision-space – i.e. alternatives represent contrasting images that stimulate stakeholders' creativity – 497 then formalized procedures (computer-assisted or not) that enable moving out of the classic set of 498 "sketched" alternatives can be useful (Groot and Rossing, 2011). 499 Defining indicators related to criteria is commonly considered the task of researchers and experts, 500 which is consistent with a positivist view of science. However, using MCA, especially in conjunction 501 with participatory methods, should force researchers to endorse a post-normal paradigm for evaluating 502 sustainability (Funtowicz and Ravetz, 1994). Following Le Bellec et al. (2012), we suggest that MCA 503 would gain in quality – under a post-normal assumption – if stakeholders were involved in the design

and validation of the indicator set. Also, if we acknowledge that a set of indicators reflects a specific

505 way of organizing information (i.e. a specific view of the problem), then it modifies the status of 506 indicators in MCA: indicators are not only "proxies" that provide a measurement of a criterion's 507 performance but are also objects for collective deliberation (Frame and O'Connor, 2011).

508 (ii) Mismatches in the use of aggregation procedures

509 Another recurrent problem with current practices is that common aggregation procedures (i.e. 510 compensatory methods) are not consistent with the way value judgments are made or with 511 sustainability issues characterized by incommensurabilities. The first problem that was repeatedly 512 observed lies in the meaning of weights, which relates to the type of question stakeholders are asked 513 (Choo et al., 1999). In most studies that integrate stakeholder preferences, stakeholders are asked to 514 allocate points between criteria and then to score or rank them. Compensability is generally not 515 addressed as such because scientists do not ask "By how many times is this more important?" or "How 516 many units of one criteria would compensate for losing one unit of another?", etc. (Choo et al., 1999; 517 Garmendia and Gamboa, 2012). Nonetheless, compensatory methods are usually used to aggregate 518 preferences. Participants could learn about the conceptual bases that distinguish, for instance, 519 compensatory aggregation from outranking methods through the questions they answer. Therefore, 520 defining a "good" question to elicit preferences could improve the general challenge of making the 521 assessment process more transparent for and controllable by those involved in decision-making (Bell 522 et al., 2001).

523 A second inconsistency lies in the idea that compensatory aggregation methods suit sustainability 524 assessments. If we consider the question of sustainability under a "non-substitutability" hypothesis 525 (strong sustainability, sensu Neumayer, 2003), then values of one criteria cannot compensate values of 526 another criteria, which excludes compensatory aggregation. Garmendia and Gamboa (2012) and 527 Munda (2004) suggest using outranking methods to avoid this bias. Ahrenz and Kantelhardt (2009) 528 tested one outranking method (Electre-II) and concluded that the transparency of the method is 529 limited, so that the choice between compensatory and non-compensatory methods for landscape 530 planning decisions should account for the context of the assessment. Likewise, the ordered weighted 531 averaging aggregation method allows partial compensability among criteria. In a GIS environment, it 532 has been used mainly for scenario-design support (e.g. prioritizing areas for forest conservation,

Averna Valente and Vettorazzi (2008) adjusting forest-management plans, Greene et al. (2010).
Nonetheless, its mathematical and computational sophistication (Aliyu and Ludin, 2015) seriously
limits its use in participatory settings. This trade-off between transparency and integration of
complexity should therefore be clearly addressed and discussed by scientists to justify their
aggregation choices.

538 (iii) Lack of consideration of the potential of GIS to enhance deliberation

539 In sustainability assessments, MCA methods often aim to produce group or societal solutions 540 (Boroushaki and Malczewski, 2010; Garmendia and Gamboa, 2012). In the studies selected, the 541 "group" solutions achieved are intermediate positions between the preferences of the various 542 stakeholders (e.g. a"compromise", sensu Vodoz, 1994). Preferences are considered immutable 543 throughout the evaluation process, which can, at best, enhance the mutual understanding of 544 participants. Only one of our 10 studies effectively links spatial evaluation to deliberation (Arciniegas 545 and Janssen, 2012). In this study, maps not only provide a spatialized representation of performances, 546 but are also intermediate objects for exchanging views (for analysis and negotiation). In the other 547 studies, social learning remains understood as a "by-product" and not as a core process fundamentally 548 attached to the evaluation activity.

549 We support the rehabilitation of deliberation in spatially-explicit evaluations, understood as an 550 opportunity to dialogue, understand the position of others and eventually produce novel solutions 551 underlying a change of view. Such a deliberative perspective reconnects spatial assessments with the 552 philosophy of collaborative GIS (Boroushaki and Malczewski, 2010). Conditions to foster collective 553 deliberation encompass: establishing inclusive platforms for exchanging views (considering the 554 dominated actors and the silent mass of future generations), tracing the history of changes in the 555 evaluation matrix, engaging reiterations, and analyzing the reasons that led to a group solution or 556 impeded its emergence. This methodological posture suggests that the coupling of GIS tools with 557 MCA should turn toward the former's potential to stimulate exploration, understanding and 558 redefinition of the decision problem by those who are involved in the evaluation (Malczewski, 2006; 559 Ramsey, 2009).

560 (iv) Forming stakeholder groups based on an *a priori* affiliation

561 Delineation of the stakeholder groups in the evaluation is crucial to allow for comparison of 562 contrasting views. This task differs from the identification of knowledge-holders (to define indicators) 563 and stakeholders (to choose diverse criteria and elicit judgments about them). The delineation of 564 groups for comparing alternatives comes later, as a way to organize the diverse opinions previously 565 explored and to identify possible alliances and conflicts. The common practice for forming groups that 566 we observed (when groups are considered) is reliance on social and/or professional affiliation (e.g., 567 farmers, environmentalists, water managers). However, it supposes that social and/or professional 568 affiliation serves as a good proxy for value systems, i.e. value systems are considered homogenous 569 within each social or professional group.

570 Leaning on other research works, we suggest alternative guidelines to form groups of participants and 571 reflect the diversity of competing value systems. The first option is strategic: it consists in analyzing 572 stakeholders' interests and relationships to identify "key" participants, e.g. participants who have a 573 legitimate influence on the problem (e.g. De Marchi et al., 2000). In a landscape context, this option 574 also supposes to make clear what scale of interest drives one's value judgments (Zia et al., 2011). A 575 second option is to construct preferences archetypes as done in Ravier et al. (2015) from the statistical 576 clustering of individual preference trees. The various preferences archetypes reflect the diversity of 577 value systems without *a priori* assuming that these archetypes would coincide with socially instituted 578 categorization. We believe this method is useful in conflict-prone situations, which are often 579 encountered at the landscape level. A third option is to let participants define the group to which they 580 belong, as done by Ahrenz and Kantelhardt (2009). This way, part of the structuring bias is transferred 581 from researchers to the participants, which is more consistent with bottom-up approaches to multi-582 actor problems.

583 (v) Difficulty integrating multiple levels in assessments

Given the complex nature of landscapes, one must account for multiple levels in the assessment. At the
landscape scale, two types of aggregation occur: vertical (among criteria, already well addressed by
the MCA literature) and horizontal (across space, a core component of spatial analysis). Landscape-

10.1017 level MCAs mainly address the latter issue via spatially aggregative methods, such as weighted linear 10.1017 combination, that are fully compensatory (i.e. increased performance at one location compensates for 10.1017 decreased performance at another location). However, the overall performance of a landscape 10.1017 management alternative cannot be reduced to the sum of performances of its spatial units at a given 10.1017 moment. Such additive aggregation to combine attribute maps ignores the existence of spatial 10.1017 relationships and patterns (Malczewski, 2000).

593 Two directions appear for reducing this gap. The first "corrects" the aggregation result by adding other 594 evaluation techniques. This option is illustrated by Fürst et al. (2013), who use landscape metrics to 595 improve assessment of esthetic and biological integrity services. The second acknowledges that "gaps" 596 between the multiple levels of assessment are irreducible; as a consequence, levels of assessment 597 should be explicitly distinguished. Though formal methods exist to identify gaps between 598 organizational levels (e.g. MuSIASEM, Giampietro et al., 2009), relying on management levels or 599 stakeholders' levels of interests can be more operational (see point iv). Using different criteria to 600 assess different levels of the system could however undermine the consistency and intelligibility of the 601 evaluation system. López-Ridaura et al. (2005) define disciplinary- and scale-independent criteria for 602 sustainability assessments: productivity, stability, reliability, resilience and adaptability. This idea gave 603 birth to the MESMIS framework (Astier et al., 2012), in which farm, community and regional 604 sustainability are evaluated with the same set of criteria but with different indicators. Similarly, Manoli 605 et al. (2005) use response properties (reliability, vulnerability, and resilience) to assess the temporal 606 variability of management performances. These options offer a generic multi-level framework but are 607 at odds with a bottom-up definition of criteria.

608 (vi) Uncertainties are under-considered

Finally, the question of uncertainties remains under-considered, or at best is reduced to a sensitivity analysis of the weighting systems and flexible support tools. We assert that sensitivity to scenarios of future change (as in Linhoss et al., 2013; Manoli et al., 2005), to resolution and spatial aggregation units (Malczewski, 2000), and to group delineation, among others, can enrich the deliberative process. Moreover, since judgments can change during the deliberative process, solutions to capture uncertainties may also lie in the participatory settings (Garmendia and Stagl, 2010) and the research

- 615 posture (e.g. "co-design", Barreteau et al., 2010). Specific mathematical procedures (e.g., fuzzy sets,
- 616 stochastic methods) can help address the vague or fuzzy nature of human judgments and information
- 617 (Ascough et al., 2008; Geneletti et al., 2003).

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619 CONCLUSIONS

620 We critically examined the place of sustainability assessments within multi-criteria methods, trends in 621 methods that combine a participatory and spatial approach, and current practices for assessing 622 landscape-management alternatives through a systematic review of the literature, combining 623 quantitative textual statistics from large datasets and qualitative analysis of specific research. 624 Drawing upon the results of textual statistics, we suggest that conceptual assumptions constitute the 625 primary discriminating element of multi-criteria methods and that methodological elements should be 626 both adapted to those conceptual bases (i.e. the nature of the problem tackled), as well as operational 627 questions (i.e. the decision context). We offer insight into multi-criteria methods that differ from the 628 classic multi-attribute/multi-objective typology or aggregation procedures. We consider the 629 dichotomies of substantive/procedural rationality and grounded/positivist scientific legitimacy to 630 explain the diversity of methods and approaches. In this respect, though both are grounded in real-life 631 problems, spatial sustainability assessments and participatory sustainability assessments differ in what 632 they consider a "rational" decision-process. Spatial assessments focus on accumulating as much 633 information as possible to provide manager(s) with "all the elements" to make a substantively rational 634 decision. In contrast, participatory approaches recognize deliberation as the principal justification of 635 results. Consequently, cross-approaches are not so common.

636 When attempting to classify participatory spatial MCA methods, we noted that to assess a real 637 situation, support design of a management alternative or accompany selection of an alternative, a wide 638 variety of research postures towards society can be adopted. Unlike treatment of the participatory 639 aspect, treatment of the spatial aspect depends to some extent on the MCA goal. For landscape-640 management issues, the method chosen should capture the diverse ways people relate to spaces and 641 locations. Scenario-selection methods have the greatest potential to accommodate spatially-explicit, 642 spatially-implicit, place-specific, overall visual and non-spatial values. However, putting this into 643 practice in a consistent and feasible way remains in an embryonic stage in the literature. The choice of 644 participants, criteria, indicators and competing alternatives can also support the integration of diverse 645 spatial values and multiple interest levels. Several initiatives for problem structuring could be

646 formalized to better address the spatiality of problems. As a result, current cases of landscape-level647 MCAs resemble "patchworks" of different methods with distinct underlying hypotheses.

648 The main unaddressed issue of landscape-level MCAs is strikingly the question of distribution and 649 heterogeneity. How are benefits distributed in space, time, and between social actors? How do spatial 650 patterns and temporal behavior influence the overall "performance" of a management alternative? 651 These questions remain under-considered. It is implicitly assumed that increased overall value is 652 desirable, irrespective of social or spatial justice issues. Similarly, most studies assume that the 653 performance and acceptability of a management alternative only depends on the total extent of each 654 land cover or land-use type, irrespective of its shape, location, or configuration. Assessments are 655 grounded in a weak conception of sustainability and a reductionist view of the landscape. While 656 MCAs provide formal methods to overcome problems of vertical aggregation (how to aggregate 657 results for different criteria), horizontal aggregation (how to aggregate results from different locations) 658 is rarely considered. In addition, although (or maybe because) more complex aggregation algorithms 659 exist, they are not accessible or transparent to the wider public. Other important aspects of MCA for 660 landscape-management issues remain under-investigated: how stakeholders are involved, the group 661 values produced, the maps and other spatial representations valued, and the uncertainties addressed. 662 To conclude, we emphasize that MCA at the landscape-level do not succeed in addressing the joint 663 issues of spatiality and multiple views, and thus has important scientific loopholes to close. MCA is 664 nonetheless a promising method to structure landscape problems, to explore management options and 665 to foster social learning. Even with these objectives, it is necessary to reveal biases and ethical 666 positions implicitly assumed in the assessment process.

667

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