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# Mapping species of submerged aquatic vegetation with multi-seasonal satellite images considering life history information

# JuhuaLuo<sup>1</sup>, Hongtao Duan<sup>1</sup>, Ronghua Ma<sup>1,\*</sup>, Xiuliang Jin<sup>2</sup>, Fei Li<sup>1</sup>, Weiping Hu<sup>1</sup>, Kun Shi<sup>1</sup>, Wenjiang Huang<sup>3</sup>

<sup>1</sup> Key Laboratory of Watershed Geographic Sciences, Nanjing Institute of Geography and Limnology, Chinese Academy of Sciences, Nanjing 210008, China.
<sup>2</sup> a UMR EMMAH, INRA, UAPV, 84914, Avignon, France.
<sup>3</sup> Key Laboratory of Digital Earth Sciences, Institute of Remote Sensing and Digital Earth, Chinese Academy of Sciences, Beijing 100094, China.
\* Correspondence: rhma@niglas.ac.cn

Abstract: Spatial information of the dominant species of submerged aquatic 14 vegetation (SAV) is essential for restoration projects in eutrophic lakes, 15 especially eutrophic Taihu Lake, China. Mapping the distribution of SAV 16 species is very challenging and difficult using only multispectral satellite 17 18 remote sensing. In this study, we proposed an approach to map the distribution of seven dominant species of SAV in Taihu Lake. Our approach involved 19 20 information on the life histories of the seven SAV species and eight distribution maps of SAV from February to October. The life history information of the 21 dominant SAV species was summarized from the literature and field surveys. 22 Eight distribution maps of the SAV were extracted from eight 30 m HJ-CCD 23 images from February to October in 2013 based on the classification tree 24 models, and the overall classification accuracies for the SAV were greater than 25 80%. Finally, the spatial distribution of the SAV species in Taihu in 2013 was 26 mapped using multilayer erasing approach. Based on validation, the overall 27 classification accuracy for the seven species was 68.4%, and kappa was 0.6306, 28 which suggests that larger differences in life histories between species can 29 produce higher identification accuracies. The classification results show that 30 Potamogeton malaianus was the most widely distributed species in Taihu Lake, 31 followed by Myriophyllum spicatum, Potamogeton maackianus, Potamogeton 32 33 crispus, Elodea nuttallii, Ceratophyllum demersum and Vallisneria spiralis. The information is useful for planning shallow-water habitat restoration 34 projects. 35

36 **Keywords:** Submerged aquatic vegetation (SAV); Mapping; Dominant

37 species; Remote sensing; Life history

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#### 40 **1. Introduction**

Submerged aquatic vegetation (SAV) has important impacts on the 41 physical, chemical and biological structure and function of aquatic 42 ecosystems, particularly in shallow lakes (Barko et al., 1991; Gumbricht, 43 1993; Hu et al., 2010). Studies indicated that shallow aquatic systems that 44 are dominated by SAV often have better water quality (clarity, total 45 suspended solid, pH, chlorophyll a (Chl-a), total phosphorus (TP) and yotal 46 nitrogen (TN) than other systems (Luo et al., 2014), and SAV can cause 47 aquatic ecosystems to shift from a turbid algae-dominated state to a clear-48 water plant-dominated state (Folke et al., 2004; Soana et al., 2012), because 49 it can inhibit the growth of algae, absorb the excessive nutrients, reduce 50 water currents, accelerate the sedimentation of suspended materials, 51 stabilize sediments and prevent them from re-suspending (Depew et al., 52 2011; Hilt et al., 2006; Luo et al., 2014; Shuchman et al., 2013), In addition, 53 it can provide food and shelter for wildlife, and habitat for spawning 54 aquatic animals. 55

In recent decades, as a consequence of rapid urbanization and human 56 activities, most of the urban and suburban shallow lakes and rivers in China 57 have experienced accelerating eutrophication followed by the loss or 58 degradation of SAV due to high total suspended matter (TSM) 59 concentration and low water transparency (Duan et al., 2012; Shi et al., 60 2015). The restoration of SAV in phytoplankton-dominated lakes is crucial 61 for transforming the turbid states of these shallow lakes (Dong et al., 2014; 62 Hilt et al., 2006). In addition, studies have indicated that SAV can help 63 inhibit the growth of phytoplankton by competing for nutrients and light 64

(Dong et al., 2014; Lombardo and Cooke, 2003). The re-establishment of 65 SAV has been recognized as a valuable ecological engineering technique g 66 for improving aquatic systems in China. Efficient SAV restoration planning 67 requires reliable information about the physical habitat requirements of the 68 species (Angradi et al., 2013). For SAV restoration projects, mapping the 69 spatial distribution of the SAV species is important for acquiring the most 70 suitable ecology and environment conditions for the growth of the 71 dominant SAV species. Additionally, an accurate knowledge of the spatial 72 distribution of dominant species of SAV is highly valuable to many 73 scientific and management goals, including the improved parameterization 74 of shallow lake ecosystem processes and models (Zhang et al., 2013). 75

Surveying the distribution of SAV and species at a large scale is very 76 labour intensive and time-consuming due to the restriction of working in 77 the water environment. Satellite remote sensing techniques have become 78 powerful and effective tools for mapping aquatic vegetation (Liu et al., 79 2015; Ma et al., 2008; Zhao et al., 2013). For example, Zhao et al. (2013) 80 and Luo et al. (2014) proposed methods for identifying of emergent, 81 floating-leaved and submerged vegetation and mapping their distribution 82 in Taihu Lake using Landsat TM and HJ-1A/1B CCD images, respectively. 83 Robert et al. (2015) developed a satellite-based algorithm to map SAV and 84 then successfully mapped the distribution of SAV in the Laurentian Great 85 Lakes, Lakes Michigan and Ontario. Therefore, multispectral satellite 86 remote sensing can be used to accurately map and identify emergent, 87 floating-leaved and submerged vegetation in shallow coastal waters or 88 lakes due to the large spectral difference among them. 89

For identifying SAV species, a limited number of exploratory research
programs have been conducted using hyperspectral remote sensing data.
For example, Han and Rundquist (2003) studied the spectral responses of *Ceratophyllum demersum* at varying depths in both clear and algae-laden

water using a hyperspectral hand-held spectroradiometer. Pinnel et al. 94 (2004) gathered airborne hyperspectral remote sensing data for the spectral 95 discrimination of submerged vegetation in Southern Germany. Yuan and 96 Zhang (2006) investigated the spectral characteristics of the SAV plant 97 species Potamogeton crispus, Myriophyllum spicatum and Potamogeton 98 malaianus with the same coverage and found that their red edge peaks and 99 valleys are different. These studies suggested that there are tiny spectral 100 differences among SAV species, and it is only possible to recognize them 101 using hyperspectral remote sensing data with abundant spectral 102 information. 103

However, considering the cost and availability of hyperspectral 104 satellite data, it is infeasible to use them to continuously monitor and 105 identify SAV species. It appears to be impossible to map and identify SAV 106 species using only multispectral satellite image because the spectral 107 differences among the SAV species are tiny and therefore difficult to 108 capture using broadband remote sensing data. Fortunately, different SAV 109 species have different phonological characteristics and life histories, which 110 has made it possible to map and identify SAV species using multiseasonal 111 and multispectral satellite remote sensing data based on information on 112 their life histories, and it has been proven to be effective to identify 113 terrestrial vegetation types based on multi-temporal satellite remote 114 sensing data (Leite et al., 2011; Liu et al., 2006; Murthy et al., 2003) and 115 phenological information. However, the method has not been used and 116 tested for mapping aquatic vegetation species. 117

Therefore, in this study, using ArcGIS spatial analysis technology, we developed a multilayer erasing flow for mapping SAV species in Taihu Lake by combining their life history characteristics and multi-seasonal satellite remote sensing data. To our knowledge, it is the first study to map the dominant SAV species using satellite images.

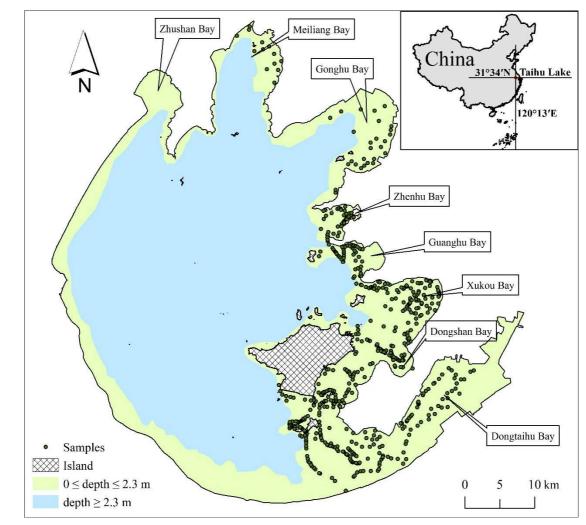
#### 123 **2. Materials and methods**

#### 124 *2.1. Study area*

Taihu Lake (30°55′40″- 31°32′58″N, 119°52′32″- 120°36′10″E) is 125 one of the five largest freshwater lakes in China and covers an area of 126 approximately 2,338 km<sup>2</sup>. It is located at the core of the Yangtze Delta in 127 the lower reaches of the Yangtze River in eastern China (Figure 1). Taihu 128 Lake is a typical shallow lake with a maximum depth of less than 3 m and 129 an average depth of 1.9 m. The western and central parts of Taihu Lake 130 belong to the algal-dominated zone, where the waters are consistently 131 extremely turbid with high total nitrogen (TN), total phosphorus (TP) 132 contents and suspended matter concentration. Algal blooms occur 133 frequently in the algal-dominated zone (Duan et al., 2015). The eastern of 134 Taihu Lake, including Meiliang, Gonghu, Zhenhu, Guhuanghu, Xukou, 135 Doangshan and Dongtaihu Bays, are covered with hydrophytes and 136 therefore belonged to a macrophyte-dominated zone with much lower TN 137 and TP content and higher water transparency than did those in the algal-138 dominated zone (Luo et al., 2016). According to previous studies (Carr et 139 al., 2010; Liu et al., 2015), no aquatic vegetation exists at water depth 140 greater than 2.3 m in the Taihu Lake. Therefore, we exacted the region with 141 water depths less than 2.3 m as the study area. Depth data was provided by 142 Taihu Laboratory for Lake Ecosystem Research (Figure 1). 143

There are four types of aquatic vegetation in the grass-type zone: emergent, free-floating, floating-leaving and submerged vegetation. Emergent and free-floating hydrophytes accounts for less than 5% of the total aquatic vegetation area and are mostly distributed in the littoral zone of Taihu Lake (Luo et al., 2014). In this study, we divided aquatic vegetation into floating-leaved and submerged vegetation. According to field survey and documentary records, there are approximately 17 SAV

species in Taihu Lake, but only seven species are dominant: Elodea 151 nuttallii, Potamogeton crispus, Myriophyllum spicatum, Potamogeton 152 maackianus, Ceratophyllum demersum and Vallisneria spiralis(Ma et al., 153 2008; Qin, 2008; Ye et al., 2009). 154



155

156 Figure 1. Location of Taihu Lake within China (depth data was provided by Taihu Laboratory for Lake Ecosystem Research)

#### 2.2. Field data collection 158

Field surveys were conducted on 10-14 March, 22-24 May, 10–13 July, 159 17-22 August and 23-26 September in 2013. A total of 604 ground-truth 160 samples were collected for open water and aquatic vegetation (100 samples 161 in March, 102 samples in May, 112 samples in July, 143 samples in August 162 and 179 samples in September) in macrophyte-dominated zone of Taihu 163

<sup>157</sup> 

Lake (Figure 1), including 405 submerged vegetation samples and 231 164 floating-leaved vegetation samples. The aquatic vegetation sampling plots 165 were limited to areas measuring at least  $60 \times 60$  m (*i.e.*, four pixels of an 166 HJ-CCD image) and that had a relatively uniform distribution of 167 vegetation. We used a portable GPS receiver with an accuracy of 3 m to 168 record the centre coordinates of each sample and recorded the type and 169 percent coverage of aquatic vegetation. We also used GPS to record the 170 boundary extent of the representative floating-leaved and submerged 171 aquatic vegetation sample regions to generate a polygon vector file. 172

#### 173 2.3. Remote sensing data collections and processing

HJ-CCD images recorded from the HJ-1A/1B CCD cameras were 174 acquired from the China Centre for Resources Satellite Data and 175 Application (CRESDA). These cameras were onboard the HJ-1A and HJ-176 1B satellites, which were launched by CRESDA on September 6, 2008. 177 Their spectral ranges and spatial resolutions are similar to those of the first 178 four bands of Landsat TM. The single CCD imagery width is 360 km, and 179 the two satellites constellation provides a wider swath width (700 km) and 180 a re-visit time of 48 h (two days). Its high re-visit cycle was of great 181 importance for mapping the dominant SAV species in this study. 182

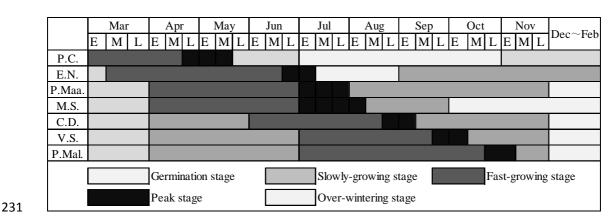
In this study, eight cloud-free and sun glint free HJ-CCD images 183 covering Taihu Lake and acquired on February 20, March 12, April 25, 184 May 22, July 11, August 16, September 26 and October 28, 2013 were 185 used, respectively. The ENVI software package was used to pre-process the 186 remote sensing images. Radiometric corrections were made using coefficients 187 from the metadata accompanying the images (e.g., gains and offsets). 188 FLAASH uses a robust procedure to correct for atmospheric attenuation and 189 adjacency effects (Module, 2009). Four key input parameters for the 190 FLAASH module included: the mid-latitude atmosphere model, urban 191

aerosol model, atmosphere water vapour and visibility. Based on the location
of the study area covered by the scenes and the satellite transit time, the first
two parameters were easily determined. However, water vapour and visibility
values may vary between the images, and these were determined by trial-anderror until a typical spectral pattern of plants was observed (Pu et al., 2012).
The HJ-CCD images were also geometrically corrected with a previously
corrected Landsat TM image with a geometric accuracy of < 0.5 pixels.</li>

#### 199 2.4. Life histories of dominant species of SAV in Taihu Lake

There are seven dominant SAV species in Taihu Lake: Potamogeton 200 Elodea nuttallii, Myriophyllum spicatum, Potamogeton 201 crispus, maackianus. Ceratophyllum demersum, Vallisneria spiralis and 202 Potamogeton malaianus. Using references and field surveys, the life 203 histories of the seven dominant species are summarized in Figure 1. 204 Detailed descriptions of the species are now discussed. 1) Potamogeton 205 crispus can tolerate temperatures below 0°C and can survive over winter. 206 It grows rapidly after March, reaches a maximum biomass in mid-May and 207 then soon dies and becomes dormancy (Nichols and Shaw, 1986; Rogers 208 and Breen, 1980). It regrows after November. 2) Elodea nuttallii tolerates 209 temperature below 0°C and can survive over winter, forming a dense mat 210 of vegetation just above the lake bottom (Oki, 1994). It grows rapidly after 211 May, reaches a maximum biomass in early July, and then soon died and 212 becomes dormancy. It regrows after September (Kunii, 1984). 3) 213 Potamogeton maackianus cannot survive over winter. It begins to rapidly 214 grow in early April and reaches a maximum biomass in July, grows slowly 215 and gradually withers (Ni, 2001). 4) Myriophyllum spicatum cannot 216 survive over winter. It grows rapidly from April to July and reaches its peak 217 stage from early July to early August. It begins its dormancy from 218 December to the following February (Nichols and Shaw, 1986). 5) 219

*Ceratophyllum demersum* cannot survive over winter and starts dormancy 220 between December to following February. It grows rapidly from early June 221 and reaches a maximum biomass from late August to early September, and 222 then grows slowly and gradually withers (Best, 1977). 6) Vallisneria 223 spiralis cannot survive over winter and is dormant from December to next 224 February. It begins growing slowly from April and grows rapidly during 225 July-September, after which is reaches maximum biomass during early 226 October to mid-October. 7) Potamogeton malaianus has a similar life 227 history, except for its peak stage. It reaches maximum biomass from late 228 October to early November (Liu et al., 2007; Wiegleb and Kadono, 1989; 229 Xiao et al., 2010). 230



232

Figure 2. Life histories of seven SAV species in Taihu Lake

Note: P.C.=Potamogeton crispus; E.N.=Elodea nuttallii; M.S.=Myriophyllum
spicatum; P.Maa.=Potamogeton maackianus; C.D.=Ceratophyllum demersum;
V.S.=Vallisneria spiralis; P. Mal.=Potamogeton malaianus; E=Early; M=Middle;
L=Late.

#### 237 **2.5 Methods**

#### 238 **2.5.1.** Classification tree model for the extraction of SAV

Classification tree (CT) analyses are based on the dichotomous partitioning of data at certain thresholds of the value of the explanatory variables, which determine the branch a particular sample will follow (Olshen and Stone, 1984). It is considered to be especially robust when

used with a small sample size of remotely-sensed data (Tadjudin and 243 Landgrebe, 1996). Luo et al., (2014) developed a classification tree for 244 mapping floating-leaved and submerged vegetation in Taihu Lake. As 245 shown in Fig. 3, in the classification tree, floating-leaved vegetation was 246 first extracted from other types using the floating-leaved vegetation 247 sensitive index (FVSI), and then the submerged vegetation sensitive index 248 (SVSI) was used to distinguish between the SAV and water. The FVSI and 249 SVSI were defined as: 250

251

 $FVSI = PC_2$ 

#### Eq. (1)

where  $PC_2$  is the second principal component of the principal component 252 transform. 253

254

258

 $SVSI=TC_1 \neg TC_2$ Eq. (2)

where  $TC_1$  and  $TC_2$  are, respectively, the first and second components of 255 the tasseled cap transform, which are also called the brightness and 256 greenness (Crist, 1985; Healey et al., 2005). 257

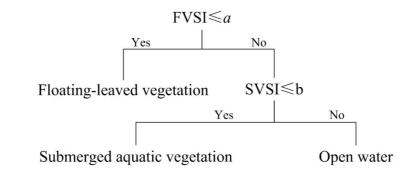


Figure 3. Classification tree of identifying floating-leaved vegetation and submerged 259 aquatic vegetation based on FVSI and SVSI, where a and b are the threshold of FVSI 260 and SVSI 261

In the classification tree, the thresholds, i.e., a and b, of FVSI and 262 SVSI vary with images, because they can be influenced by aquatic 263 vegetation conditions, environmental and physical conditions. For the 264 image with the synchronously collected ground samples, the thresholds of 265 FVSI and SVSI were determined and modified slightly based on field 266

survey points until the maximum classification precision was achieved. For 267 the image without the synchronously collected ground samples, Luo et al. 268 (2014) developed an effective algorithm to calculate the thresholds. In this 269 study, the thresholds of FVSI and SVSI in the CT models for the image 270 acquired on July 11 were obtained using the synchronously collected 271 ground samples, whereas the thresholds for the images without 272 synchronously collected ground samples were calculated according to the 273 thresholds for the July 11 image using the algorithm developed by Luo et 274 al. (2014) The algorithms can be expressed as: 275

$$CT_m FVSI = k \times CT FVSI + h$$
 Eq. (3)

$$CT_m\_SVSI = p \times CT\_SVSI + q$$
 Eq. (4)

where  $CT_m$  FVSI and  $CT_m$  SVSI are the thresholds of FVSI and SVSI in 278 the classification model, respectively, for the image acquired at time m in 279 the absence of ground samples, which should be calculated, that is a and b 280 in the classification tree in Figure 3; and CT\_FVSI and CT\_SVSI are the 281 thresholds of FVSI and SVSI in the classification model, respectively, for 282 the image of July 11. The CT\_FVSI and CT\_SVSI were obtained using the 283 field survey data. For k and h, we first selected the same regions of interest 284 (ROIs) with floating-leaved vegetation from the images at time m and July 285 11, respectively. Secondly, two group FVSI values derived from the two 286 ROIs were placed in descending order. Finally, the line fitting model was 287 simulated using the two descending FVSI datasets, and the slope and 288 intercept of the linear model were k and h, respectively. In a similar way, 289 the line fitting model could be simulated by the two groups of SVSI in 290 descending order, and then we can acquire p and q. See the work by Luo 291 et al. (Luo et al., 2014) for the detailed test and validation of the algorithm. 292 The thresholds and classification accuracies of SAV were assessed by the 293 overall classification accuracy (OCA) (Luo et al., 2016; Luo et al., 2014). 294

#### 295 **2.5.2. Method for identifying dominant species of SAV**

Based on the life history information of the dominant SAV species, 296 the dominant species were identified from the time-series SAV distribution 297 298 maps using the erase tool in the analysis tool of ArcGIS. The erase tool is an important analysis tool in ArcGIS. As shown in Figure 4, erase creates 299 a new feature class by overlaying two sets of features. The erase features 300 polygons that define the erasing area. The input features or portions of 301 input features that overlap the erase features are not written to the output 302 feature class. The input features can be points, lines or polygons, but the 303 erase features must be polygons. The output features will be of the same 304 geometry type as the input Features. Input features or portions of input 305 features that do not overlap erase features are written to the output feature 306 class. 307



308 309

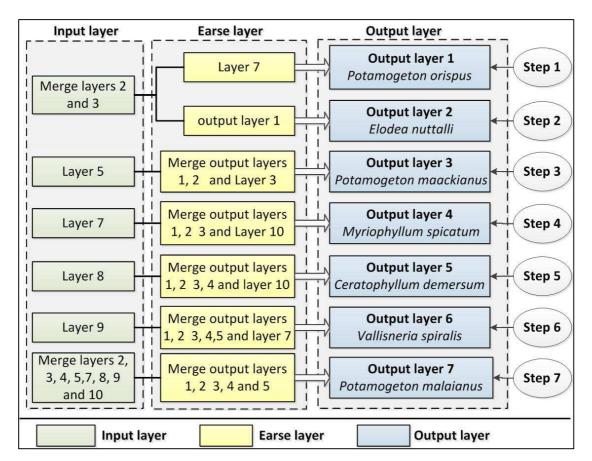
Figure 4. Schematic diagram of erase tool (from ArcGIS desktop help)

Figure 5 shows the flow chart and methods that were used to identify 310 the dominant SAV species. As shown in Figure 5, Layers 2, 3, 5, 7, 8, 9 and 311 10 are the SAV spatial distribution maps derived from the images of 312 February 20, March 12, May 22, July 11, August 16, September 26 and 313 October 28 based on the classification tree models. The methods were 314 developed according to the following general principles. 1) The dominant 315 species were extracted successively according to the time order of the 316 maximum biomass from January to December, and thus, Potamogeton 317 nuttallii, Myriophyllum spicatum, Potamogeton crispus. Elodea 318

demersum, Ceratophyllum Vallisneria spiralis and maackianus, 319 Potamogeton malaianuswere extracted in sequence. 2) the Erase tool in 320 ArcGIS was used to extract the species. The input layer and erase feature 321 are SAV layers extracted from the images using the corresponding 322 classification tree models. To obtain the distribution layer of a species layer, 323 the input layer was derived from the image during the fast-growing and 324 peak stages of the species, and the erase feature was derived from the image 325 between the germination and slow growth stages of the species. Because 326 the species has the highest coverage and was the closest to water surface in 327 their fast-growing and peak stages, during which they can be readily 328 captured by remote sensing. In the germination and slow growth stages, 329 the species' canopies are not close to the water surface and coverage are 330 low; in these stages, very little species information can be captured by 331 remote sensing, especially in high suspended shallow lakes. 332

Therefore, based on the life histories of the seven SAV species, the 333 detailed steps for extracting the seven species are as follows: 1) extraction 334 of *Potamogeton crispus*. From February to March, *Potamogeton crispus* 335 and *Elodea nuttallii* are in the fast-growing stage, and in the germination 336 stage in July, they are the main dominant species in Taihu. The SAV layers 337 derived from March and April were merged, and then the merged layer was 338 used as the input layer, the SAV layers from July were used as the erase 339 feature, and therefore the output layer was the distribution layer of 340 potamogeton crispus; (2) extraction of Elodea nuttallii. The SAV layers 341 derived from February and March were merged, the merged layer was used 342 as the input layer, *Potamogeton crispus* layer was used as the erase feature, 343 and therefore the output layer was the distribution layer of *Elodea nuttallii*; 344 (3) extraction of *Potamogeton maackianus*. *Potamogeton maackianus* is in 345 the fast-growing stage in May and in the slowly-growing stage in March. 346 Therefore the SAV layer in May was used as the input layer; the SAV layer 347

in March and Potamogeton crispus and Elodea nuttallii layers were 348 merged, the merged layer was used as the erase feature, and the output layer 349 was the distribution layer of *Potamogeton maackianus*; (4) extraction of 350 *Myriophyllum spicatum.* This species is in its peak stage in July and in the 351 slowly-growing stage in October. The SAV layer in July was used as the 352 input layer; the SAV layer in October and the layers of Potamogeton 353 crispus and Elodea nuttallii and Potamogeton maackianus were merged, 354 the merged layer was used as the erase feature, and the output layer was 355 the distribution layer of Myriophyllum spicatum; (5) extraction of 356 Ceratophyllum demersum. This species is in its fast-growing stage in 357 August and in the slowly-growing stage in late-October. The SAV layer in 358 August was used as the input layer, the SAV layer in late-October and the 359 layers of Potamogeton crispus, Elodea nuttallii, Potamogeton maackianus 360 and Myriophyllum spicatum were merged, the merged layer was used as 361 the erase feature; and the output layer was the distribution layer of 362 363 Ceratophyllum demersum; (6) extraction of Vallisneria spiralis. This species is in its fast-growing stage in September and in the slowly-growing 364 stage in late-October. The SAV layer in August was used as the input layer, 365 the SAV layer in late-October and the layers of *Potamogeton crispus*, 366 Elodea nuttallii, Potamogeton maackianus, Myriophyllum spicatum and 367 *Ceratophyllum demersum* were merged, the merged layer was used as the 368 erase feature, and the output layer was the distribution layer of Vallisneria 369 spiralis; (7) extraction of *Potamogeton malaianus*. All of the SAV layers 370 from February, March, April, May, July, August, September and October 371 were merged, the merged layer was used as the input layer, the 372 classification layers of the other six species were merged, the merged layer 373 was used as the erase feature, and the output layer was the distribution layer 374 of Potamogeton malaianus. 375



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Figure 5. Flow chart for identifying seven SAV species

Note: Layer 2, 3, 4, 5, 7, 8, 9, 10 are the SAV distribution maps were derived from the
image of February 20, March 12, April 25, May 22, July 11, August 16, September 26
and October 28,2013 using classification tree models.

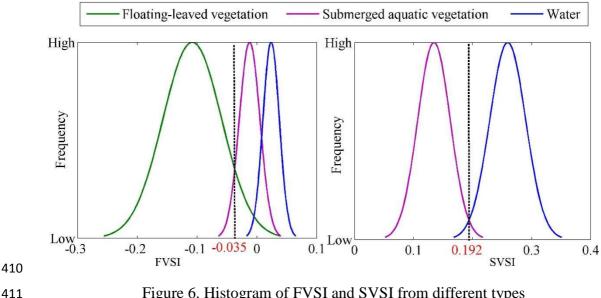
According to Figure 5, the spatial distribution of the seven SAV 382 species in 2013 can be mapped in shallow lakes. Classification accuracies 383 of dominant SAV species were assessed by producer's accuracy (PA), 384 user's accuracy (UA), overall accuracy (OA) and Kappa (Congalton et al., 385 1983). Meanwhile, to analyse the dominant species in different seasons, 386 we merged the SAV layers from 20 February, 12 March and 25 April 2013 387 as the SAV distribution layer in the spring. By combining the spatial 388 distribution map of the seven species in 2013 and the SAV distribution 389 layer in the spring, the spatial distribution map of the dominant species in 390 the spring can be obtained. The SAV layers from 22 May and 11 July 2013 391 were merged as the SAV distribution layer in the summer, and the SAV 392 layers from 16 August, 26 September and 28 October 2013 were merged 393

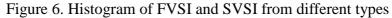
as the SAV distribution layer in the autumn. In the same way, the spatial 394 distribution maps of the dominant species in the summer and autumn were 395 built. 396

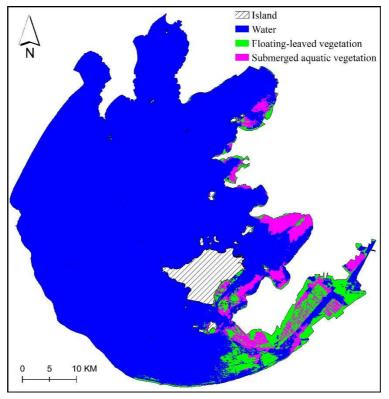
3. Results 397

#### 3.1. Identification of aquatic vegetation 398

Using Eqs. (1) and (2), FVSI and SVSI were derived from the image 399 of July 11, 2013. Then, the FVSI and SVSI values of the samples collected 400 from 11-13 July 2013 were obtained. Based on the FVSI and SVSI values 401 of the different types, the histograph was obtained, and then the optimal 402 thresholds of FVSI and SVSI were quantitatively determined. As shown in 403 Figure 6, the floating-leaved vegetation could be identified from the other 404 two types when  $FVSI \leq -0.035$ , and then the threshold (SVSI = 0.192) could 405 be used to distinguish the submerged aquatic vegetation from the water. 406 Using the optimal thresholds and classification tree, the floating-leaved 407 vegetation and submerged aquatic vegetation on 11 July 2013 were 408 mapped (Figure 7). 409







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Figure 7. Spatial distribution map of aquatic vegetation on July 11, 2013 413 Next, based on the threshold of FVSI and SVSI for July 11, 2013, we 414 calculated all of the thresholds of FVSI and SVSI for the other images 415 using the algorithms (Eqs. (3) and (4)) (Table 1). The classification results 416 for March 12, May 13, July 13, August 16, September 26 and October 28 417 were validated using the corresponding ground samples. The results show 418 that the overall classification accuracies were higher than 80%, and that 419 83% of the misclassified samples had a coverage < 20 %, and therefore 420 might be difficult to identify SAV with a coverage < 20% using satellite 421 images with resolutions of 30 m. 422

423 424

Table 1. Thresholds of FVSI and SVSI in classification trees. *a* and *b* are the thresholds of FVSI and SVSI, respectively. OA = Overall accuracy.

Date	а	b	OA (%)	Date	а	b	OA (%)
20-Feb-13	-0.055	0.337	_	11-Jul-13	-0.035	0.192	82.1
12-Mar-13	-0.055	0.318	88.7	16-Aug-13	-0.075	0.129	85.7
25-Apr-13	-0.025	0.194		26-Sep-13	-0.063	0.174	84.4
22-May-13	-0.035	-0.200	85.9	28-Oct-13	-0.038	0.160	—
<b>T</b> 1 1	1	•	C .1				

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Eight classification trees for the eight images were established, and therefore eight SAV distribution layers were obtained (Figure 8). As shown

in Figure 8, the SAV was distributed mainly in the eastern bays of Taihu
Lake. In February and March, there was a small amount of SAV in
Meiliang and Dongtaihu Bays. From April to May, SAV existed mainly in
Xukou, Dongshan and Dongtaihu Bays. The SAV distribution area
gradually increased in Xukou and Dongtaihu Bays from July to October.

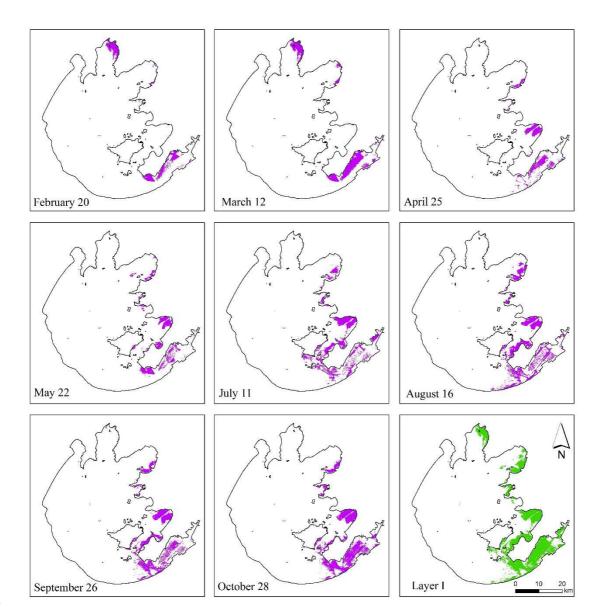
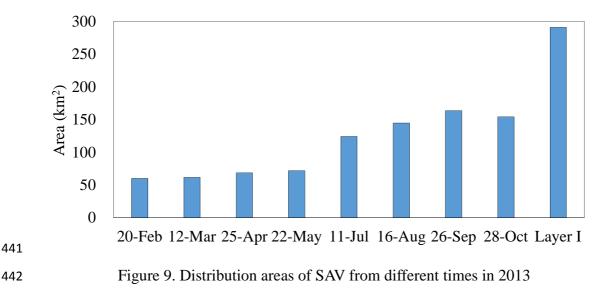




Figure 8. Spatial distribution maps of SAV with different times in 2013 in Taihu Lake
Note: Layer I is the distribution map of SAV in 2013 by merging the SAV layers of February
20, March 12, April 25, May 22, July 11, August 16, September 26, October 28.

Figure 9 shows that the area covered by SAV increased from 60.27
km<sup>2</sup> in February to 163.49 km<sup>2</sup> in September. From February 20 to October

28, the region covered by SAV in every bay changed with time because of
the different life histories of the different SAV species (Figure 9).
Altogether, the total area covered by SAV was 291.02 km<sup>2</sup> in 2013.



#### 443 3.2. Mapping dominant species of SAV

Based on the eight distribution maps of SAV in 2013 and the method for identifying the dominant species of SAV shown in Figure 8, the classification map with seven dominant SAV species in 2013 was obtained and is shown in Figure 10.

The accuracy of the classification map was assessed using an error 448 matrix (Table 2). The overall accuracy was 68.4%, and kappa was 0.6306. 449 *Potamogeton crispus* and *Elodea nuttallii* have distinct life histories with 450 other species, and they therefore had high classification accuracies with PA 451 of 75.5% and 70.2%, and UA of 78.4% and 74.1%, respectively. However, 452 there were large misclassifications between Potamogeton crispus and 453 Elodea nuttallii due to their similar life histories. Potamogeton malaianus 454 and Potamogeton maackianus exhibited classification accuracies greater 455 than 68%, followed by Myriophyllum spicatum and Vallisneria spirali. 456 Ceratophyllum demersum had the lowest classification accuracy with PA 457 of 62.7% and UA of 60.4%, respectively, due to its small proportion in 458

Taihu Lake and inconspicuous life history. Due to their similar life histories, there were large misclassification between *Potamogeton malaianus and Vallisneria spirali, between Myriophyllum spicatum and* 

462 *Potamogeton maackianus.* 

Table 2. Accuracy assessment of classification results for seven SAV species, PA = %
Producer's accuracy; UA = % User's accuracy.

		Predicted										
	Species	<i>P.C.</i>	<i>E.N.</i>	P.Maa.	<i>M.S.</i>	C.D.	<i>V.S.</i>	P.Mal.	Total	PA		
Measured	<i>P.C.</i>	40	5	2	1	2	1	2	53	75.5		
	<i>E.N</i> .	6	40	2	3	3	2	1	57	70.2		
	P.Maa.	2	1	42	6	4	2	4	61	68.9		
	<i>M.S.</i>	0	2	6	43	5	4	4	64	67.2		
	C.D.	0	3	3	6	32	4	3	51	62.7		
	<i>V.S.</i>	2	1	2	3	4	34	6	52	65.4		
	P.Mal.	1	2	4	4	3	7	46	67	68.7		
	Total	51	54	61	66	53	54	66	405			
	UA	78.4	74.1	68.9	65.2	60.4	63.0	69.7				
			Overa	11 accurac	v - 68.4	%· K	anna= (	) 6306				

Overall accuracy= 68.4%; Kappa= 0.6306

465 Note: *P.C.* = *Potamogeton crispus; E.N.* = *Elodea nuttallii; M.S.* = *Myriophyllum* 

466 *spicatum; P.Maa. = Potamogeton maackianus; C.D. = Ceratophyllum demersum;* 

467 *V.S.* = *Vallisneria spiralis; P. Mal.* = *Potamogeton malaianus.* 

As shown in Figures 10 and 11, *Potamogeton malaianus* was the most 468 widely distributed species in Taihu Lake and constituted 28.3% of the total 469 SAV. Myriophyllum spicatum was the second most widely distributed 470 species, with a percentage of 16.6% of the total SAV, and was distributed 471 in Gonghu, Xukou, Dongtaihu Bays and the east coast of Xishan island. 472 Potamogeton maackianus accounted for 15.1% of the total SAV and was 473 mainly distributed in Xukou and Dongshan Bays. Potamogeton crispus 474 was mainly distributed in Meiliang Bay in the form of single dominant 475 species and Dongtaihu Bay in the form of accompanying species, and it 476 constituted 15.8% of the total SAV. Elodea nuttallii was mainly distributed 477 in Dongtaihu Bay, and constituted 8.9% of the total SAV. Ceratophyllum 478 demersum and vallisneria spiralis accounted for 8.0% and 7.1% of the total 479 SAV, respectively. *Ceratophyllum demersum* was scattered in the bays 480

with the exception of Meiliang and Guanghu Bays and *Vallisneria spiralis*was mainly distributed in Dontaihu Bay.

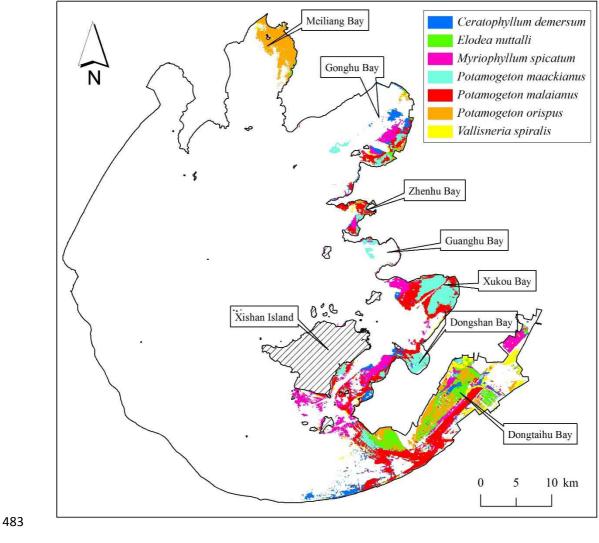




Figure 10. Distribution map of seven SAV species in 2013 in Taihu Lake

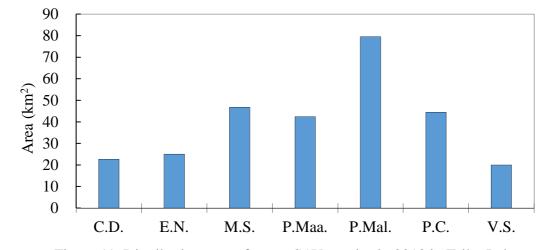
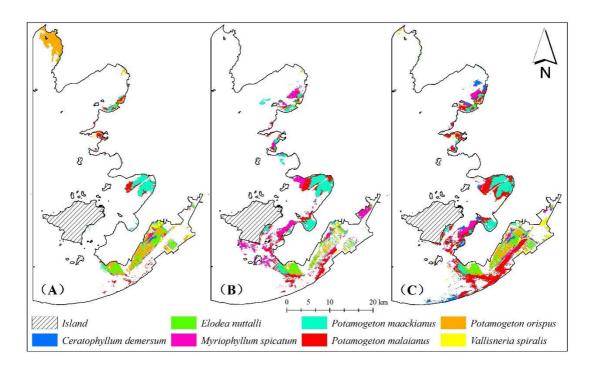




Figure 11. Distribution area of seven SAV species in 2013 in Taihu Lake

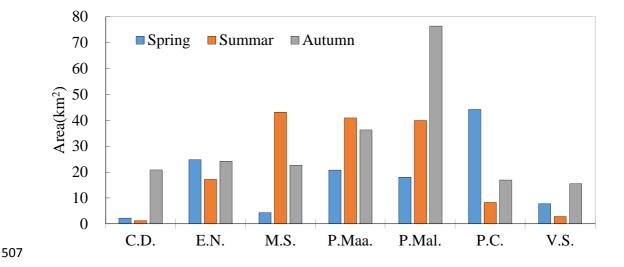
- 487 Note: P.C.=*Potamogeton crispus*; E.N.= elodea nuttallii; M.S. = Myriophyllum spicatum;
- 488 P.Maa.=Potamogeton maackianus; C.D.=Ceratophyllum demersum; V.S.=Vallisneria spiralis;
- 489 *P. Mal.=Potamogeton malaianus.*

Figure 12 shows the spatial distribution of the dominant SAV species 490 in spring, summer and autumn. The distribution area of seven species 491 changed with the seasons. In the spring, the dominant SAV species were 492 Potamogeton crispus, Elodea nuttallii and Potamogeton maackianus, and 493 they were mainly distributed in Meiliang, Xukou and Dongtaihu Bays. In 494 the summer, Myriophyllum spicatum, Potamogeton maackianus and 495 Potamogeton malaianus were primary dominant species. In the autumn, 496 Potamogeton malaianus was covered the largest area and and was the most 497 widely distributed species, followed by Potamogeton maackianus, Elodea 498 *nuttallii*, *Myriophyllum spicatum*, the remaining species. The distribution 499 rule of the species with seasons is consistent with their life histories, which 500 was further evidence that the method proposed was reliable. As shown in 501 Figure 13, the area covered by SAV was largest in the autumn ( $212.9 \text{ km}^2$ ), 502 followed by summer (153.5 km<sup>2</sup>) and spring (122.1km<sup>2</sup>) 503





505 Figure 12. Distribution map of seven SAV species in Spring (A), Summer (B) and



# Figure 13. Distribution area dynamics of seven SAV species with seasons in 2013 in Taihu Lake

510 Note: P.C.=Potamogeton crispus; E.N.=Elodea nuttallii; M.S.= Myriophyllum spicatum;

511 P.Maa.=Potamogeton maackianus; C.D. = Ceratophyllum demersum; V.S. = Vallisneria

512 *spiralis; P. Mal. = Potamogeton malaianus.* 

#### 513 **4. Discussion**

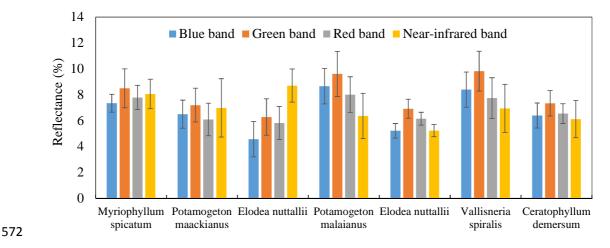
#### 514 *4.1. Uncertainties, errors and accuracies of classification*

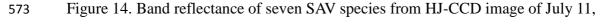
Mapping studies of aquatic vegetation have been conducted in 515 shallow lake. For example, Ma et al. (2008), Zhao et al (2013) and Luo et 516 al. (2014) proposed different classification methods to map the distribution 517 of emergent, floating-leaved and submerged vegetation in eutrophic Taihu 518 lakes based on moderate resolution images and achieved classification 519 accuracies greater than 80%. However, mapping SAV species is quite 520 challenging because of the limitations of remote sensing and the 521 complexity of the aquatic environment. 522

523 Fortunately, different SAV species have different phenological 524 characters and life histories. Therefore, based on multi-temporal remote 525 sensing images and the life histories of SAV species, we have proposed a 526 method for mapping and identifying SAV species, but the overall accuracy

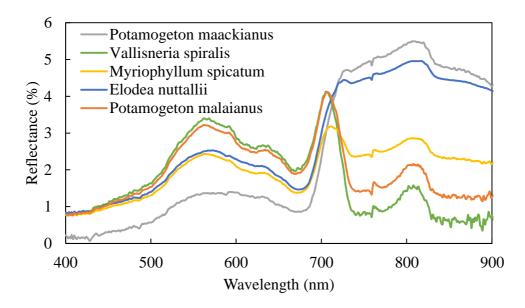
was only 68.4% (Table 2) due to many uncertainties. The uncertainties that 527 affect the classification accuracy can be summarized as follows: 1) the 528 limited of the resolution of remote sensing data. On the one hand, spatial 529 resolution can affect classification accuracy because of mixed pixels. 530 Lower spatial resolution can cause more serious mixed pixel phenomena 531 and thus result in larger deviations between the classification and the 532 measured results. On the other hand, the spectral resolution of remote 533 sensing data also directly affects the SAV species mapping accuracy. Figure 534 14 showed band reflectance of seven SAV species exacted from HJ-CCD 535 image of July 11, 2013. The result showed that it is difficult to classify 536 seven SAV species only using multispectral image. Meanwhile, we also 537 acquired their corresponding situ spectral measurements on July 13, 2013 538 (Figure 14). It is indicated that there are large differences between the SAV 539 species. Thus, it is possible to classify some species by hyperspectral data. 540 Meanwhile, the studies also suggested that there are tiny spectral 541 differences between SAV species (Han and Rundquist, 2003; Yuan and 542 Zhang, 2006), and only hyperspectral remote sensing data could capture 543 the differences and to then identify SAV species. Therefore, to reduce and 544 eliminate these uncertainties, the resolution of remote sensing data, 545 including spatial resolution and spectral resolutions, must be improved. In 546 future, with the constantly emerging of the hyperspectral sensors, 547 combining our approach, classification accuracies of SAV species would 548 be expected to be further improved. 2) Uncertainty in the aquatic 549 environment. Taihu Lake has experienced significant pollution with high 550 suspension, TN and TP contents, low water transparency, which have 551 caused serious eutrophication and frequent algal blooms. In such a 552 complex aquatic environment, the depth of SAV species from the surface 553 of the water has a significant influence on the classification accuracy. A 554 larger depth can lead to a lower spectral signal-noise ratio and therefore a 555

lower classification accuracy. For example, Ceratophyllum demersum 556 grows at a greater depth from the water surface than other species in even 557 its fast-growing and peak stages, and therefore had the lowest classification 558 accuracy( 62.7%). 3) Similar life histories of SAV species. Based on the 559 differences of their life histories, we developed the method for mapping 560 SAV species. Therefore, larger differences of life histories between them 561 can produce higher identification accuracies and vice versa. For example, 562 *Potamogeton crispus* had the highest classification accuracy because it has 563 a distinctly different phenology than the other species. Myriophyllum 564 spicatum and potamogeton maackianus tended to be misclassified because 565 of their similar life histories. Fortunately, as shown in figure 15, there are 566 significant differences in the red edge and near-infrared region between 567 these species. So it may be a feasible method for reducing the uncertainty 568 and improving their classification accuracies by using hyperspectral data 569 on the basis of our classification results, which would be carried out in our 570 571 future research.



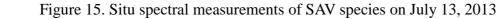


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#### 577 4.2. Management and application

Shallow lakes are among the most complex aquatic systems and are 578 known to switch between two stable states: a macrophyte-dominated clear-579 water state and a phytoplankton-dominated turbid state (Scheffer and van 580 Nes, 2007). Taihu is a typical large, shallow lake, both macrophyte-581 dominated and phytoplankton-dominated areas exist simultaneously (Liu 582 et al., 2015). However, in recent years, algal blooms have gradually 583 extended its coverage and persisted over longer durations in Taihu Lake. 584 The eutrophication of shallow lakes is characterized by the disappearance 585 of diverse SAV and the dominance of phytoplankton, because SAV and 586 phytoplankton compete for nutrients and light (Dong et al., 2014). Studies 587 have indicated that reasonable distribution of diverse SAV can cause 588 aquatic ecosystems to shift from a turbid algae-dominated state to a clear-589 water plant-dominated state (Depew et al., 2011; Dong et al., 2014; Hilt et 590 al., 2006). Therefore, the restoration of SAV is an effective method for 591 relieving eutrophication in shallow lakes. Knowing and extracting the 592 physical habitat requirements of the SAV species from their existing 593 habitats is quite crucial for efficient SAV restoration planning. The 594

interpretation of satellite remote sensing data is the most effective method 595 for mapping the existing habitats of SAV species across an entire lake. In 596 this study, SAV species in Taihu Lake were mapped by combining the 597 characteristics of their life histories and multi-temporal satellite remote 598 sensing data. Although the overall accuracy was only 68.4%, the most 599 suitable ecology and environment conditions and characteristics of the 600 SAV species can be derived from the mapping results. Meanwhile, future 601 work will focus on developing knowledge bases of different SAV species 602 that contains their most suitable ecologies and environment conditions 603 according to their distribution characteristics for guiding SAV restoration 604 work. It is also important determine the historical succession and assess 605 health status and the paludification process of Taihu Lake, based on the the 606 method proposed by this study. 607

#### 608 5. Conclusion

Mapping SAV species can capture their most suitable ecology and 609 environment characteristics, which is extremely useful in restoration and 610 management of eutrophic shallow lakes. In this study, the life histories of 611 seven SAV species in Taihu Lake were summarized based on field 612 observations and the literature, and then a multilayer erasing approach for 613 mapping the SAV species mapping was developed based on the life 614 histories of SAV species and multi-temporal satellite remote sensing 615 imagery. Using this approach, the SAV species were mapped in Taihu Lake 616 with an overall accuracy of 68.4% and a kappa coefficient of 0.6306. 617 Potamogeton crispus had the highest classification accuracy (PA =75.5% 618 and UA=78.4%), followed by *elodea nuttallii* (PA=70.2% and UA=74.1%), 619 potamogeton maackianus (PA =68.9% and UA=68.9%), potamogeton 620 malaianus (PA =68.7% and UA=65.2%), myriophyllum spicatum (PA 621 =62.7% and UA=60.4%), potamogeton maackianus (PA =65.4% and 622

UA=63%) and *ceratophyllum demersum* (PA =62.7% and UA=69.7%).

Potamogeton malaianus was the most widely distributed species, followed by Myriophyllum spicatum, Potamogeton maackianus, *Potamogeton crispus, Elodea nuttallii, Ceratophyllum demersum and Vallisneria spiralis.* The distribution area of the seven species changed with the seasons due to their phenological differences. The area covered by SAV was largest in the autumn (212.9 km<sup>2</sup>), followed by summer (153.5 km<sup>2</sup>) and spring (122.1km<sup>2</sup>).

The classification method presented, which is based on multitemporal satellite images and life histories, is a novel and effective means for identifying SAV species. The classification results should be very helpful for aquatic ecosystem recovery and lake management.

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