

# **Untangling Heteroplasmy, Structure, and Evolution of an Atypical Mitochondrial Genome by PacBio Sequencing.**

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#### Abstract

 The highly compact mitochondrial genome of terrestrial isopods (Oniscidae) presents two unusual features. First, several loci can individually encode two tRNAs, thanks to single-nucleotide polymorphisms at anticodon sites. Within-individual variation (heteroplasmy) at these loci is thought to have been maintained for millions of years because individuals that do not carry all tRNA genes die, resulting in strong balancing selection. Second, the oniscid mtDNA genome comes in two 35 conformations:  $a \sim 14kb$  linear monomer and  $a \sim 28kb$  circular dimer comprising two monomer units fused in palindrome. We hypothesized that heteroplasmy actually results from two genome units of the same dimeric molecule carrying different tRNA genes at mirrored loci. This hypothesis however contradicts the earlier proposition that dimeric molecules result from the replication of linear monomers, a process that should yield totally identical genome units within a dimer. To solve this contradiction, we used the SMRT (PacBio) technology to sequence mirrored tRNA loci in single dimeric molecules. We show that dimers do present different tRNA genes at mirrored loci, hence that covalent linkage, rather than balancing selection, maintains vital variation at anticodons. We also leveraged unique features of the SMRT technology to detect linear monomers closed by hairpins and carrying non-complementary bases at anticodons. These molecules contain the necessary information to encode two tRNAs at the same locus and suggest new mechanisms of transition between linear and circular mtDNA. Overall, our analyses clarify the evolution of an atypical mitochondrial genome, which relies on recombination and where dimerization counterintuitively enabled further mtDNA compaction.

## Introduction

- Mitochondria originated from an alphaproteobacterial ancestor which lost most of its genes during its
- eukaryotic evolution. As a result, animal mitochondrial (mt) DNA is classically considered as a
- remarkably compact and uniform molecule. Indeed, the typical bilaterian mt genome is a single
- circular molecule ranging from 15-20 kb in length, which contains 37 genes, including 13 protein-
- coding genes, two rRNA genes and 22 tRNA genes (Boore 1999). While the majority of bilaterian mt
- genomes conform to this description, several notable exceptions have been uncovered. Unusual
- bilaterian mt genomes include multipartite [e.g., Suga *et al.* (2008); Dickey *et al.* (2015)] and linear
- (Raimond *et al.* 1999) structures, atypical size [e.g., Helfenbein *et al.* (2004); Liu *et al.* (2013)],
- changes in gene content [e.g., Okimoto *et al.* (1992); Helfenbein *et al.* (2004)], plasticity in gene order
- [e.g., Singh *et al.* (2009); Gissi *et al.* (2010)] and additional genetic codes [e.g., Watanabe and
- Yokobori (2011); Abascal *et al.* (2012)]. Because they deviate from the standard model, these mt
- genomes may constitute ideal systems to further our understanding of mitochondrial biology and
- evolution in animals, as they can help to address questions of recombination, concerted evolution of
- mitochondrial loci and non-standard inheritance.

 The mt genome of terrestrial isopods (Isopoda: Oniscidea) is one of such atypical genomes. It is notable for its compaction. In particular, genes coding transfer RNAs (tRNAs) can partially or fully overlap with protein coding genes (Doublet *et al.* 2015). But one truly unique feature of this genome is the capacity of three tRNA loci to each encode two alternative tRNAs with distinct anticodons, thanks to single nucleotide polymorphisms (SNPs) occurring within the same individual (Marcade *et al.* 2007; Doublet *et al.* 2008; Chandler *et al.* 2015). At all three loci, mtDNA shows two different bases at one position of the anticodon, thus making individuals heteroplasmic at these nucleotide positions. This variation appears as a double peak on chromatograms generated by direct Sanger sequencing of PCR amplicons (Marcade *et al.* 2007; Doublet *et al.* 2008; Chandler *et al.* 2015), cut and uncut amplicons on electrophoresis gels after mtDNA digestion by appropriate enzymes (Doublet *et al.* 2008) or SNPs among sequences obtained from next-generation technologies (Chandler *et al.* 2015). The same three heteroplasmic anticodon sites have been detected in individuals of two oniscid species, *Trachelipus rathkei* and *Cylisticus convexus* (Chandler *et al.* 2015), each site allowing the encoding of two tRNAs per locus and saving one dedicated tRNA locus. One of these heteroplasmic sites is shared with *Armadillidium vulgare* (Marcadé et al 2007) and a diverse array of terrestrial isopod species (Doublet al 2008). The presence of these heteroplasmic sites in divergent oniscid lineages suggests that at least some of them have been maintained for millions of generations (Doublet *et al.* 2008). Bottlenecks resulting from the transmission of relatively few organelles to zygotes usually remove heteroplasmy in few generations (Breton and Stewart 2015; Stewart and Chinnery 2015). In these oniscids, however, it is believed that "constitutive" heteroplasmy is maintained by the requirement of all tRNA variants within an animal and possibly even within an individual mitochondrion. This represents the only suspected case of balancing selection acting on organelles (Doublet *et al.* 2008). The hypothesis of constitutive heteroplasmy maintained by balancing selection must however consider another unique feature of the mt genome of terrestrial isopods. This genome is remarkable for 88 presenting two conformations: one linear monomer of ~14kb that represents one unit of mt genome 89 containing the standard bilaterian mt genes, and a circular ~28-kb dimer that is a palindrome composed of two monomers, each representing one genome unit, arranged in a mirrored fashion (Raimond *et al.* 1999; Marcade *et al.* 2007). The presence of dimers, which constitute about half of the mtDNA molecules in *A. vulgare* (Raimond *et al.* 1999), leaves the possibility that both tRNAs of a heteroplasmic site can be encoded by the two genome units of a dimeric molecule, such that a single dimer may encode all tRNAs. The transmission of such dimers would allow faithful inheritance of all vital tRNAs genes to daughter mitochondria and to the progeny, and would ensure good balance of the tRNAs within organelles. This hypothesis implies that the two genome units within a dimer are not completely identical. It therefore conflicts with another formulated hypothesis: that dimers arise from the replication of linear monomers. The extremities of linear monomers contain inverted terminal repeats that are thought to be telomeric hairpins covalently linking the two DNA strands (Doublet *et al.* 2013). DNA polymerase would be able to navigate and then replicate the other strand, circularizing

- the linear monomer into a dimer in the process (Figure 1A). If so, this dimer would be expected to present totally identical genome units.
- Therefore, the nature of heteroplasmy, the utility of dimeric mtDNA molecules and the possible
- conversions between the unusual conformations of the oniscid mt genome are entangled issues that
- must be investigated together. To take on this task, we used long reads generated by the Single-
- Molecule Real Time (SMRT) sequencing technology from Pacific Biosciences. These reads allowed
- us to reconstruct the haplotypes, hence the combination of tRNAs encoded by individual dimeric
- molecules in four oniscid lineages. We specifically investigated whether mt molecules can encode all
- required tRNAs. In addition to long reads, we took advantage of unique features of the SMRT
- sequencing technology to identify the conformation of molecules and clarify the conversions between
- dimeric and monomeric forms of this atypical mitochondrial genome.

## Materials and Methods

We examined four terrestrial isopod matrilines (table 1): two from *A. vulgare* (named BF and WXf),

- one from *A. nasatum* and one from *Trachelipus rathkei*. For each matriline, short sequencing reads
- (Illumina) and long reads (SMRT) have been obtained from the genomic DNA of one or several
- related individuals (full siblings or first cousins) as part of full-genome assembly projects.
- Generation of mitochondrial genome sequences
- We aimed at building the dimeric mt genome sequence of each lineage with both units placed head to
- head. This configuration was chosen to facilitate the use of long reads spanning mirrored anticodon
- sites, which are much closer to the head-to-head junction than they are to the tail-to-tail junction
- (Figure 1).
- The mt genomes of *A. vulgare* BF and *A. nasatum* were reconstructed from contigs generated for other
- full-genome assembly projects. For each of these lineages, we first retrieved contigs comprising
- mitochondrial sequences by performing a blastn (Camacho *et al.* 2009) homology search against the
- nearly complete mitochondrial genome of *A. vulgare* (Genbank accession number EF643519.3). These
- searches returned several contigs having large portions of the mitochondrial genome sequence in a
- head-to-head configuration, as expected if these contigs comprised the dimeric form. The contig
- encompassing the longest homology in such configuration, and the lowest divergence with the
- reference genome, was selected and trimmed if needed.
- For consensus sequence polishing, Illumina reads were aligned to the retained contig using Bowtie2
- version 2.2.9 (Langmead and Salzberg 2012), which was set to the default low sensitivity ("fast"
- search strategy) and configured to retain only alignments including both reads of a pair. The alignment
- file was processed with Pilon version 1.18 (Walker *et al.* 2014) to correct potential errors in the
- mapped reference contig. These two steps were repeated, and the alignment file was inspected for
- remaining errors with Integrated Genome Viewer version 2.3.92 (Robinson *et al.* 2011). Any error in

the consensus sequence was manually corrected with Geneious Pro version 5.4 (Drummond *et al.*

2010).

- For *A. vulgare* WXf, we used the BF mt genome as a reference. We corrected differences with WXf
- using the same mapping strategy as described above. For *T. rathkei*, we used the reference genome
- available on Genbank (accession number KR013001.1). As this genome contains a complete unit
- flanked by short palindromic parts representing the ends of the other genome unit, we generated the
- expected dimeric form and used it as a reference. We corrected potential errors and differences with
- our studied lineage as described for the other genomes.
- From the mapping file generated above, alignments of reads originating from the same DNA fragment
- were removed with SAMtools rmdup version 1.3.1 (Li *et al.* 2009). Bases at each position were called
- by SAMtools mpileup. A custom C program was used to convert the pileup file into base counts,
- discarding bases with quality score lower than 25. Sites where the rarer base was carried by more than
- 20% of the reads, where considered possibly heteroplasmic. At such site, the reference sequence of
- each lineage was modified to show ambiguities following IUPAC conventions. This was necessary to
- avoid any bias in the alignment of long reads, which minimizes mismatches at the risk of creating
- spurious indels.

#### Alignment of long reads

- We aligned long reads on the corresponding reference genome of each lineage using BLASR version
- 1.3.1 (Chaisson and Tesler 2012) with default settings. Long reads consisted in reads of inserts (Figure
- 2A) and circular consensuses ("CCS"), both being generated by the sequencing centers. A CCS is the
- consensus among reads from the same polymerase read (DNA fragment), and may not be called if not
- enough reads are present.
- For subsequent analyses, it was crucial to ascertain the alignment orientation (sequenced DNA strand)
- of each read mapping across the palindromic genome units. This orientation could be determined as
- we found that genome units were separated by a short non-symmetrical junction (see Figure 1 and
- results). We thus retained up to two alignments per read, one per orientation, which we compared to
- determine the most likely sequenced strand of the junction (see below). We did not simply retain the
- alignment with best overall score, as sequencing errors may prevent reliable inference of the most
- likely mapping orientation.
- All following steps were executed in R 3.3 (R Core Team 2014), with the help of functions from
- packages GenomicAlignments (Lawrence *et al.* 2013) and Biostrings (Pages *et al.* 2015). Our script
- was based on the splitting of the BLASR alignment (.bam) file into a matrix of individual bases, in
- which columns correspond to successive positions of the reference sequence and rows to aligned
- reads. For all positions of the junction, we counted the frequency of mismatches (including deletions)
- between the sequence of the reference and that of a read, in each alignment orientation. We did not
- count insertions in the read as these could not be retained in the matrix. If mismatch frequencies
- between mapping orientations of a read differed by more than 10%, and if the lowest mismatch
- frequency did not exceed 25%, we considered the mapping orientation corresponding to that
- frequency as the correct one. Otherwise, the alignment orientation of a read on the junction was
- considered undetermined.
- For each read, we retained the alignment (row of the base matrix) corresponding to the inferred
- mapping orientation on the junction. If the more likely orientation could not be inferred, we retained
- the alignment with best mapping score, or selected alignments at random if scores were identical
- (which was the case for reads not covering the junction).
- Determination of mtDNA molecule conformations
- To establish the combinations of tRNAs encoded by single dimeric molecules, we used SMRT reads
- covering mirrored anticodon sites. Counterintuitively, these reads may not all come from mtDNA
- dimers, due to specificities of the SMRT technology (Figure 2A). Indeed, a linear monomer might be
- sequenced by the polymerase navigating its natural hairpin telomere just like it would navigate the
- SMRT bell adapter (Figure 2B). Each resulting read would unite sequences from both DNA strands
- and cover both genomic units, just like a read sequenced from a dimer across the junction (Figure 2C).
- We took this possibility as an opportunity to detect linear monomers. We reasoned that a fragment
- sequenced with two SMRT bells yields reads that alternatively map on opposite orientations, since
- they come from alternate strands (Figure 2A). This should apply to mtDNA dimers (Figure 2C), but
- not to linear monomers sequenced with just one SMRT bell, as each read would unite sequences from
- both strands. Reads from a linear monomer map on the same orientation on the reference (Figure 2B).
- We thus used the mapping orientation of reads on the junction between genome units to assign
- fragments as monomers or dimers. The read number (whether it was the first to be sequenced, the
- second, and so on) was inferred from its coordinates in the parent polymerase read (relative to the
- other reads) which are part of its name. If solely the first read of a polymerase read spanned the
- junction, we inferred the most likely mapping orientation of the second read, if present, based on the
- expectation that the start of a read should map closely to the edge of the region mapped by the
- previous read (Figure S1).
- For a fragment to be classified as linear monomer, we further required that the middle of the region
- mapped by at least one read was no further than 30 bp from the center of the junction, since the hairpin
- telomere is sequenced at the middle of the read (Figure 2B). The mapping position of the middle of
- reads can only be informative for "complete" reads, i.e., those starting and ending at a SMRT bell. We
- defined a complete read as one having its start and end coordinates in the original polymerase read
- fewer than 70 bp away from those of the previous and next reads, respectively. If the read was the first
- of the polymerase read, we imposed that its start coordinate in the polymerase read was at most 70 and
- that at least one of its first 50 bases aligned on the reference genome. CCS were considered as
- complete reads. The position of the middle of a complete read on the reference genome was
- designated as the midpoint between start and end positions of its alignment. These were adjusted by
- adding or subtracting, as appropriate, the lengths of the unaligned "clipped" read parts (which are
- 210 often zero). These were obtained from the CIGAR of the alignment file.
- We considered as dimeric fragments those yielding reads aligning to the junction in alternating
- orientation, regardless of the alignment coordinates. Some fragments spanning the junction but
- comprising only one reads that could be oriented with certainty were also classified as dimers based
- on mapping coordinates only. We reasoned that during the sequencing of a linear monomer, the
- polymerase, after going through the hairpin telomere, either returns to the SMRT bell or ends its
- polymerization. Either event terminates the read at a position that cannot be further from the center of
- the telomere than where the start of the read is, assuming that the read starts at the SMRT bell. To
- classify a fragment as dimer, we thus imposed that the end of the read mapped at a distance from the
- junction that is at least 100 bp longer than the distance between the junction and the mapping position
- of the read start. This requirement must be fulfilled by actual mapping positions and by those
- considering clipped read parts. To exclude first reads (of a polymerase read) that may not start at the
- 222 SMRT bell, we imposed that such read started at coordinate lower than 70 bp in its parent polymerase
- read and that its left clipped part was shorter than 50 bp.
- Establishment of haplotypes carried by mtDNA dimers
- We then establishing haplotypes, hence tRNA genes carried by mtDNA molecules assigned to dimers.
- Haplotypes were established by concatenating the bases at heteroplasmic sites in the matrix we
- generated. Prior to that, we slightly corrected alignments as we found frequent one-bp deletions in
- reads at these sites, associated with mismatches at the immediate flanking positions. Most mismatched
- bases corresponded to one of the two possible bases carried by short reads at a heteroplasmic position.
- We thus swapped the deletion and the mismatched base in the base matrix, which reduced mismatches
- without altering the original read sequence. We believe that BLASR did not generate the best
- alignment due to improper management of ambiguities in the reference sequence.
- All reads of a parent polymerase read may not support the same haplotype, due to sequencing errors
- that are frequent in SMRT data. In such cases, we selected the haplotype according to four successive
- criteria: (i) higher number of sites having the bases supported by Illumina data, (ii) presence in the
- CCS, (iii) higher frequency of the haplotype among reads of the polymerase read, and (iv) fewer
- mismatches with the most frequent haplotype found across all reads.
- Identification of fragments with non-complementary bases
- If a dimer resulted from the replication of a linear monomer, it should present identical genome units
- and encode a single tRNA type per pair of mirrored loci. As haplotypes clearly contradicted this
- prediction (see results), we reasoned that the bases forming the two DNA strands of a linear monomer
- converted into a dimer may not be complementary at the anticodon sites (Figure 1B).

To assess base complementarity within linear monomers, whose sequencing reads unite both strands

- of a molecule (Figure 2B), we compared bases between mirrored heteroplasmic sites covered by the
- same read. We also looked for base complementary in fragments that were sequenced with two SMRT
- bells, by comparing bases carried by reads mapping on different mtDNA strands. These fragments
- include those classified as dimers, and those that do not span the junction between genomes units,
- hence which could not be classified (hereafter called "unclassified" fragments). Unclassified
- fragments were defined as molecules whose reads all aligned at least 100bp away from the junction
- between genome units. Alignment positions considered clipped read parts.
- Rather than a binary value, we developed an index to quantify the complementarity of bases between
- DNA strands of a molecule (polymerase read) at a given position, as each strand may be sequenced
- several times. This index ignores all reads carrying rare bases or deletions at this position. We define
- *Bf* and *Br* as the most frequent bases among forward-aligned reads and reversed-aligned reads,
- respectively. If the two possible bases have equal counts among reads of a given orientation, the most
- frequent one is chosen at random. We let *f* be the fraction of forward-aligned reads carrying *Bf* and *r*
- be the fraction of reverse-aligned reads carrying *Br*. We define our index of complementarity as:

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i = \begin{cases} \frac{f+r}{2}, & \text{if } Br = Bf \\ -\frac{f+r}{2}, & \text{otherwise.} \end{cases}
$$

 Index *i* varies from -1, if all bases between reads mapped in opposite orientation are non- complementary, to 1 if all are complementary. Intermediate values represent conflicting results between reads mapping in the same orientation. We defined a per-fragment index *I* that averages *i* over sites covered by the fragment. To minimize the influence of sequencing errors, values of *I* that were not obtained from at least two bases per strand were ignored. These two bases may either be sequenced at the same site in two reads from the same strand, or sequenced at two sites in the same read. We considered that a fragment carried non-complementary bases or complementary bases if *I* was lower than -0.9 or higher than 0.9, respectively. Fragments whose indices fell between these values were not considered. We also compared base complementarity across sites of the same DNA fragment. This analysis relied on the per-site index *i* and ignored any site whose index differed from -1 or 1, or was calculated from less than two reads per strand.

#### Investigation of recent recombination between mtDNA haplotypes

Within-lineage variation between almost identical mtDNA molecules or genome units offered the rare

- opportunity to assess whether haplotypes could be produced by recombination. To do this, we
- established the frequencies of two-site haplotypes in reads of insert. We did so to take advantage of
- fragments from linear monomers, which may not show consistent haplotypes across reads due to non-
- complementary bases (see results). Estimates of haplotype frequencies considered that a pair of
- heteroplasmic sites within a unit had two dominant haplotypes corresponding to both genome units,
- for instance *AG* and *GC*. Recombination can produce haplotypes *AC* and *GG*. Each of these can also
- result from a sequencing error (or mutation) on a single site of either dominant haplotype. Such
- haplotype is therefore more likely to emerge by a single sequencing error than a haplotype like *AT*,
- which can only derive from *AG*. To take that difference into account, we estimated the frequency of haplotype *AT* as

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282\\
$$

$$
\frac{1}{2} \sum_{XX} N_{XX} + \sum_{YY} N_{YY}
$$

 $\overline{1}$ 

- where *NAT* is the number of reads carrying haplotype *AT*. *XX* represents any haplotype that can derive from either dominant haplotype with equal probability (hence which has a probability of 0.5 to derive from *AG*) and *YY* is any haplotype that shares at least one base with *AG* (including haplotypes *AT* and *AG*), hence which is considered to derive from it. The frequency of a haplotype that does not share exactly one base with just one of the dominant haplotypes was estimated by dividing the number of
- reads carrying this haplotype by the total number of reads supporting any haplotype.

#### Data availability

- Annotated mitochondrial genome sequences are available at Genbank under accession numbers xxx.
- Sequencing reads that mapped on mitochondrial genomes are available at the NCBI short read archive
- under accession numbers xxx. (Accession numbers will be provided at revision stage.)
- File S1 contains the supplementary text and figures S1-S3.

#### Results

#### Mitochondrial genome sequences and polymorphic sites

- Dimeric genome sequences of all four lineages were successfully reconstructed, including junctions
- between the heads of genome units. These junctions are 34 to 42-bp long in *Armadillidium* lineages
- (Figure 3) and their sequences correspond to the "inverted repeats" that have been located near the
- 12S rRNA gene of mtDNA monomers in *A. vulgare* (Doublet *et al.* 2013). These sequences are
- predicted to form secondary hairpin structures that were suspected to constitute the telomeres of linear
- monomers (Doublet *et al.* 2013). The location of these sequences at the junctions between genome
- units in dimers corroborate this hypothesis, under the model of monomer replication shown in Figure
- 1. In *T. rathkei*, only one base separates the heads of genome units (Figure 3). The opposite junction
- located between the cytochrome b genes of genome units is zero- to three-bp long (not shown),
- depending on the lineage.
- The high sequencing depth of Illumina reads aligned to their respective dimeric genomes clearly
- outlined heteroplasmic sites as SNPs (Figure 4). Three pairs of mirrored SNPs are shared by all
- lineages (table 2) and correspond to variation at the three tRNA sites previously identified in *T. rathkei*
- and *C. convexus* (Chandler *et al.* 2015). Only one of these sites (in tRNA Ala/Val, table 2) was
- previously known to be heteroplasmic in *A. vulgare* and *A. nasatum* (Marcade *et al.* 2007; Doublet *et*
- *al.* 2008). All lineages present the same two expected bases at very similar frequencies (~50%) at the
- shared SNPs. The corresponding tRNA genes hence present roughly equal frequencies among
- sequenced individuals of a lineage, or within the only sequenced animal in the case of *A. vulgare*
- lineages (table 1). Within-individual variation was systematically observed in previous studies
- (Doublet *et al.* 2008; Chandler *et al.* 2015) and can safely be extrapolated to all four lineages. Other
- bases may be found at the shared SNPs (table 2) but their insignificant frequencies, measured in
- thousandths, can be explained by sequencing errors. The *A. vulgare* WXf lineage shows three
- additional SNPs that present the same pattern of variation as shared heteroplasmic sites, but those are
- not located in anticodons of tRNA genes (table 2).
- Conformation of mtDNA molecules
- In the three *Armadillidium* lineages, the middle of successive reads that mapped on the same
- orientation clearly clustered around the center of the junction on the reference genome (Figure S2), as
- we predicted for reads sequenced from linear monomers (Figure 2B). This observation allowed
- classifying DNA fragments without ambiguity (table 3). Monomers could not be detected in *T.*
- *rathkei*, as the one-bp-long junction (Figure 3) was too short to determine the most likely mapping
- orientation of reads, given the high error rate of SMRT sequences. In this lineage, we could classify
- some molecules as dimers based on the sole mapping coordinates of reads. The fraction of linear
- monomers among DNA fragments spanning the junction varies across lineages from ~30% in *A.*
- *nasatum* to ~77% in *A. vulgare* WXf (table 3). This variability may simply reflect differences in
- fragment size selection to be sequenced during library preparations, as sequenced fragments classified
- as linear monomers are considerably shorter than dimers (Figure S3).

#### Asymmetry at anticodon sites of dimeric mtDNA molecules

- In each *Armadillidium* lineage, reads that spanned all six sites of dimeric molecules indicated the
- presence of a dominant haplotype (Figure 5). This haplotype ("GCAGGA") is the same in *A. vulgare*
- BF and *A. nasatum*. In *A. vulgare* WXf, the dominant haplotype ("AGGACG") is the reverse of the
- aforementioned one. We double-checked that the head-to-head junction of the *A. vulgare* WXf
- reference genome was in the same orientation (strand) as that of the other two lineages. This 42-bp
- junction between genome units (Figure 3) allows unambiguous orientation of reads, hence of
- haplotypes. A different dominant haplotype ("ACAGGG" / "GGGACA") is found in *T. rathkei*. We
- cannot establish the orientation of this haplotype because reads have almost equal probability of
- mapping on either strand of the reference genome, as explained previously.
- Importantly, in each lineage, the prevalent haplotype carries different bases at each pair of mirrored
- anticodons, and thus represents molecules that encode all six possible tRNAs at these loci. While
- relatively few sequencing reads spanned all six sites without any apparent sequencing error,
- asymmetry between genome units of a dimer is confirmed by the more numerous sequenced
- molecules that covered at least one pair of mirrored anticodons: ~90% of them carry different bases at
- any pair of sites (Figure 5), a result that extends to the three private SNPs of *A. vulgare* WXf
- (haplotype counts not shown). Nevertheless, three six-base haplotypes (shown in bold in Figure 5) are
- symmetric, mirroring the bases found in one of the two genomic units in the dominant haplotype.
- These symmetric haplotypes are supported by a single sequenced DNA molecule each, all of which
- were classified as dimers by the mapping coordinates of reads, rather than orientations. As coordinate-
- based classification may be affected by incorrect *in silico* delineation of reads in raw polymerase reads
- (Figure 2A), it is not strictly excluded that these haplotypes are in fact carried by linear monomers.
- Most of the other minor six-site haplotypes differ from the dominant one by just one base (shown in
- red in Figure 5).
- Each pair of sites within genome units presents two dominant haplotypes (Figure 6) that are consistent
- with the ones carried by the two genome units of a dimer (Figure 5). Among minor haplotypes, those
- that could result from recombination between the dominant ones do not appear to be more frequent
- than haplotypes that could not (Figure 6). The latter represent reads carrying one base that is very rare
- or absent from short reads at a SNP (table 2) and most likely result from sequencing errors, which are
- known to be quite frequent in SMRT sequences. We therefore find no convincing evidence for
- recombinant haplotypes in the mtDNA molecules of sequenced individuals, as all minor haplotypes
- could result from sequencing errors.
- Linear monomers with non-complementary bases
- Estimates of the fraction of linear monomers carrying non-complementary bases varied across
- lineages (Figure 7), from ~28% in *A. vulgare* BF to ~82% in *A. vulgare* WXf. No dimeric molecule
- was found to carry non-complementary bases, and we had no reason to expect any. By contrast, 11 to
- 35% of unclassified mtDNA fragments (those of undetermined conformation, see Methods) did
- present non-complementary bases at heteroplasmic positions (Figure 7). Assuming that non-
- complementary bases are restricted to monomers, then dividing the fraction of unclassified fragments
- having non-complementary bases by the fraction of monomers having non-complementary bases
- provides an estimate of the proportion of linear monomers among unclassified molecules (comprising
- 373 both monomers and dimers). We thus estimated that ~47% [95% confidence interval (CI): 19-100%]
- of unclassified fragments are linear monomers in *A. vulgare* BF. These fractions are ~43% (95% CI:
- 36-51%) in *A. vulgare* WXf and ~14% (95% CI: 5-34%) in *A. nasatum*.
- Looking at base complementarity on a per-site basis, we found that certain DNA fragments spanning
- at least two sites had a mixture of sites with complementary and non-complementary bases (table 4).
- These represent 151 fragments in *A. vulgare* WXf, and much fewer fragments in the other two
- *Armadillidium* lineages. It should be noted that *A. vulgare* WXf comprises much more molecules
- assigned to monomers (table 3) and was analyzed at three additional SNPs (table 2). We excluded that
- molecules comprising a mixture of complementary and non-complementary bases resulted from
- sequencing errors (supplementary text).

## Discussion

#### Conformations of the atypical oniscid mtDNA

 SMRT reads, constituted by long sequences from alternative strands of a single DNA molecule, corroborate and extend previous findings on the atypical oniscid mitochondrial genome. The existence of linear monomers and dimers, first inferred from enzymatic digestions (Raimond *et al.* 1999; Doublet *et al.* 2012) and electron transmission microscopy (Raimond *et al.* 1999), is supported by SMRT data in an unanticipated manner. Long reads confirm the existence of linear molecules terminated by hairpins covalently linking their strands, since these hairpins could be navigated by the DNA polymerase during sequencing (Figure 2B). Interestingly, these reads had to be sequenced from ends of linear monomers that were linked to a single SMRT bell (Figure 2B). *A priori*, the technique used to load the SMRT cell with DNA should favor fragments ligated to two SMRT bells: one SMRT bell is attached to a magnetic bead (Magbead) rolling over the cell while the other would attach to the bottom of a Zero-Mode Waveguide (ZMW) where sequencing takes place. Fragments lacking one SMRT bell should not attach to the bottom of the ZMW and remain attached to the Magbead. However, many of the monomer fragments may not have been attached to Magbeads and their smaller size may have helped them populate ZMWs. Due to biases related to SMRT bell ligation and fragment sizes, the frequencies of mtDNA molecule types in living cells cannot be directly inferred from the assignment of sequenced fragments. However, estimates based on fragments with non-complementary bases in "unclassified" fragments, although less direct, should not suffer these biases. All unclassified fragments were linked to two SMRT bells and do not span the junction, nor do they include the hairpin terminating a monomer. We see no feature, aside from the complementarity of bases at heteroplasmic sites, which could differentiate those originating from linear monomers and dimers. Monomers and dimers among unclassified fragments should have the same probability of being sequenced. We hence consider that inferred frequencies of linear monomers reflect those in living individuals, assuming that the procedures of genomic DNA extraction and library preparation did not favor a particular type of molecule over the other. In *A. vulgare* lineages, these frequencies (~47% for BF and ~43% for WXf) 409 corroborate previous studies that estimated the fraction of monomers at  $\sim$ 50% of mtDNA molecules, based on the fluorescence levels of bands in electrophoresis gels (Raimond *et al.* 1999). In *A. nasatum*, 411 the estimated frequency of monomers is three times lower at ~14%. As we have argued, variation in monomer frequencies among species should be biological rather than technical. However, we cannot estimate the extent to which this variation is heritable.

#### Non-complementary bases and conversion between linear and circular mtDNA

#### molecules

 All three *Armadillidium* lineages carried linear monomers with non-complementary bases. This surprising observation informs on the generation of monomeric and dimeric forms of oniscid mtDNA, and on transitions between these forms. To our knowledge, genomic DNA molecules with non- complementary bases between strands have not been previously reported at such high frequencies. Since they cannot replicate themselves (replication produces complementary strands), they must be formed by another mechanism. We propose that linear monomers with non-complementary bases derive from single-stranded dimers that have renatured with themselves. Palindromic genome units would become strands that are fully complementary, except at sites where the molecule is asymmetric. Self-annealing of palindromic molecules has previously been proposed to explain the fact that experimental denaturation reduces the length of certain digested mtDNA fragments from *A. vulgare* by half (Raimond *et al.* 1999). It may also explain the drop of sequencing depth of short reads near junctions between genome units (Figure 4). Self-annealing would have hindered PCR amplification of palindromic fragments during Illumina library preparation or sequencing. By contrast, our experimental procedure for SMRT sequencing should not have produced single-stranded DNA molecules, since the whole processing of DNA has been (and must be) performed at or below room temperature without denaturing agents. In living cells however, a single-stranded dimer may be produced by DNA replication, during which one strand serves as template while the other strand is lagging, as observed in *Drosophila* (Goddard and Wolstenholme 1980; Joers and Jacobs 2013). Assuming mtDNA replication in oniscids proceeds similarly, we suggest that monomers with non- complementary bases are formed by the annealing of lagging strands of asymmetric dimers before 436 these strands had a chance to serve as replication templates. Since dimers presenting symmetric haplotypes are very rare (Figure 5) if they exist at all, renaturation of single-stranded dimers alone cannot explain the higher fraction of monomers devoid of non- complementary bases. Two other processes have been proposed for monomer formation (Doublet *et al.* 2013). One is the replication of monomers into others. Due to its conformation ending with hairpins, a linear monomer should not be able to replicate into two and instead should become a dimer (Figure 1). It has been suggested that monomers may replicate via a rare circular form (Doublet *et al.* 2013) for which we found no evidence (supplementary text). The other proposed mechanism is cleavage of a dimer in two monomers, followed by the folding of telomeric hairpins (Doublet *et al.* 2013). Our data cannot tell whether this occurs or not. We propose that at least some monomers with

complementary bases are molecules in which non-complementary bases may have been corrected by

DNA mismatch repair enzymes (Li 2008). Correction of mismatches in some DNA fragments may

have been imperfect or stopped at the death of animals, explaining the existence of DNA fragments

combining sites with complementary and non-complementary bases (table 4). In that perspective,

monomers with fully complementary strands would simply be a by-product of the existing cell

machinery. Interestingly, the fraction of monomers with non-complementary bases is much lower in

*A. vulgare* BF than in other *Armadillidium* lineages. The relative rate of production of molecules with

fully-complementary strands must have been higher in sequenced BF individuals. We cannot yet

- estimate the extent to which between-lineage differences are heritable or subject to environmental
- variations.

 Remarkably, a monomer with non-complementary bases contains the information needed to produce two tRNAs at just one locus, using both DNA strands. To our knowledge, this way of compressing vital information has not been reported to date. The usefulness of these molecules however depends on their rate of conversion into dimers (Figure 1B), because a gene cannot be transcribed from both DNA strands. Complementarity of bases proves informative on this rate of conversion *versus* the origin of dimers from the replication of other dimers. If we assume that sequence variation (base complementarity or symmetry) at just three sites does not significantly alter replication rate, even the slightest amount of replication of monomers into dimers should eventually equalize the frequencies of asymmetric haplotypes among dimers and of molecules with non-complementary bases among monomers (supplementary text). Yet, these frequencies differ, especially in *A. vulgare* BF, whose dimeric haplotypes are almost all asymmetric (Figure 5) whereas less than 30% of monomers have

mismatched bases (Figure 7).

 If some dimers arise from monomers, symmetric dimers must suffer lower fitness to explain their virtual absence within individuals. Under the assumption formulated above, lower fitness of symmetric dimers can only involve the death of organelles lacking the tRNAs that these molecules do not encode. The fraction of dimers deriving from monomers cannot be high in *A. vulgare* BF, or the rate of organelle death explaining the almost complete absence of symmetric haplotypes would be unbearable. We can therefore reasonably conclude that most, if not all, dimers derive from the replication of other circular dimers rather than monomers in this lineage. In other *Armadillidium* lineages where more than 78% of monomers have non-complementary bases (Figure 7), a larger portion of dimers originating from monomers is compatible with the haplotype counts, under a more reasonable fitness cost. Variation in the rate of monomer replication into dimers across lineages is however not required to explain our results (this rate could be very low in all lineages), and is not a parsimonious hypothesis. While the replication of linear monomers into dimers seems to be biochemically plausible given the

successful SMRT sequencing of these molecules, this process may be maladaptive since it may

produce symmetrical dimers lacking vital tRNA genes. If monomers only rarely replicate, mechanisms

must be in place to ensure that organelles inherit dimeric molecules. Further studies assessing the

- frequencies and production rates of monomers may help to determine whether these molecules are
- vital or simply a byproduct of the replication of dimers.

#### Heteroplasmy and mitochondrial genome compaction

 Almost all dimers present asymmetric haplotypes at the three pairs of anticodon sites. Consequently, vital sequence variation between mt genome units is distributed within molecules. This observation constitutes a corner case for the definition of "heteroplasmy". If the oniscid mt genome is taken as the  $\sim$  14kb monomer, then within-individual variation at tRNA loci occurs between homologous genomes and could fit the accepted definition. However, if dimeric molecules must be inherited by organelles and cells, as our results suggest, the mt genome of these oniscids should instead be assimilated as the  $493 -28$ kb dimer, as only it carries all vital genes. Under this view, variation at tRNA loci occurs within the genome, and may not be defined as heteroplasmy *sensu stricto*. With that in mind, some of the variation between homologous tRNA loci is distributed between molecules: linear monomers may present different haplotypes within a lineage and most likely within an individual. However, our results provide little evidence for the replication of these molecules. Between-molecule variation in these oniscids thus likely results from the continuous generation of monomers with complementary bases within individuals rather than from the recurrent death of zygotes that do not inherit such variation. While our findings explain the long maintenance of nucleotide variation at anticodon sites in oniscids (Marcade *et al.* 2007; Doublet *et al.* 2008; Chandler *et al.* 2015), they contradict the hypothesis that this variation was preserved by balancing selection (Doublet *et al.* 2008). The cost of such selection – frequent death or maintenance of a system ensuring that all tRNA genes are transmitted to daughter cells or organelles – may largely outweigh the benefits of mt genome compaction by loss of tRNA loci. Asymmetric dimers that covalently link genomes units encoding different tRNAs avoid such costs. They ensure good balance among tRNA genes in an organelle and minimize the risk of transmitting molecules that do not encode certain tRNAs to organelles or cells. These considerations corroborate previous suspicions that apparent heteroplasmy was permitted by dimerization (Doublet *et al.* 2012; Chandler *et al.* 2015). The ancestral dimeric genome was probably totally palindromic and duplicated all tRNA genes, as supported by the absence of apparent heteroplasmy in certain oniscid species that show dimeric mtDNA, and from all investigated species that do not (Doublet *et al.* 2008; Doublet *et al.* 2012). Then, a tRNA gene must have diverged from its mirrored counterpart (asymmetry) and become identical to another tRNA gene, which gained a third copy in the molecule. This could be achieved by a single point mutation in the anticodon if the two tRNA genes in question were otherwise totally identical, as it is now the case for the three pairs of tRNA genes in the lineages we analyzed (table 2). As long as this asymmetry subsisted, the two mirrored copies among the three could be deleted without compromising viability. Three pairs of mirrored tRNA loci would thus have been lost by the shared ancestor of the species we studied. The evolution toward shorter, asymmetric dimers contributed to the extreme level of compaction of mt genome units in oniscids (Doublet *et al.* 2015) and may have been adaptive if it saved energy for mtDNA replication. Similar mtDNA compaction and tRNA production rates could have been achieved by simply deleting one of the mirrored tRNA genes at several pairs of loci. The evolutionary path

- taken clearly minimized the asymmetry between genome units (at just one nucleotide per tRNA
- locus), possibly to sustain transition between mtDNA conformations and recombination (discussed in
- next section).
- Interestingly, dimerization appears to have permitted further genome compaction. While this evolution
- seems counterproductive in terms of space saving, the net increase in molecule size should not be seen
- as inefficient compaction. An asymmetric dimer may be slightly more efficient in storing genetic
- content than two standard mtDNA molecules carrying all tRNA genes, and should not require more
- energy for replication. Since returning to a standard monomeric genome without losing several tRNA
- genes now requires an improbable chain of mutational events, the maintenance of dimeric genomes
- tells little about the potential initial benefits of dimerization. These benefits may be revealed by
- investigating lineages with fully palindromic dimeric genomes (i.e., without apparent heteroplasmy), if
- any exists.

#### Recombination and concerted evolution in a dimeric genome

- We cannot exclude that rare haplotypes found in each lineage result from sequencing errors. Hence,
- each individual can be considered as carrying a single asymmetric haplotype at dimeric molecules.
- The haplotype that is shared by *A. vulgare* BF and *A. nasatum* (Figure 5) may represent an ancestral
- state that has been maintained since the last common ancestor of both species, ~20 Myr ago (Becking
- *et al.* 2017). Alternatively, this haplotype may have evolved independently in these two *Armadillidium*
- lineages. Evolutionary convergence is less parsimonious, considering that eight different haplotypes
- $(2^3, \text{considering their orientation with respect to the head-to-head junction})$  can encode all required
- tRNAs, and all should be equivalent with respect to fitness. Long-term maintenance of a given
- haplotype is expected, since mutation at one of the asymmetric anticodon sites produces a variant that
- does not encode all tRNAs and that should be counter-selected. This also applies for a crossing over
- between different genome units of two dimers. Crossing overs between genome units *within* a dimer
- would however lead to a new haplotype encoding all required tRNAs. Such event may have occurred
- in *A. vulgare* WXf, causing an inversion of the region encompassing the head-to-head junction
- between the two genome units and effectively reversing the haplotype found in the other
- *Armadillidium* lineages. Another crossing-over may have occurred between the tRNA Leu1/Leu2
- locus and the two other loci, explaining the haplotype found in *T. rathkei* (Figure 5), a species that
- diverged from *Armadillidium* ~40 Myr ago (Becking *et al.* 2017).
- The lack of clear evidence for recombinant haplotypes within lineages consisting of siblings (Figure 6)
- is not unexpected, since our survey could only have detected those produced very recently. At larger
- evolutionary scale, recombination between mtDNA molecules most likely occurs in oniscids, like in
- other lineages such as scorpions (Gantenbein *et al.* 2005), bivalves (Burzynski *et al.* 2003), teleost
- fishes (Hoarau *et al.* 2002; Tatarenkov and Avise 2007), lizards (Ujvari *et al.* 2007) and even humans
- (Slate and Gemmell 2004). Recombination in oniscid mtDNA can explain the haplotypes we

 established, but also the almost perfect identity of genome units within highly divergent species. This concerted evolution reflects two benefits that recombination certainly offers to the peculiar oniscid mt genome. First, adaptive evolution of dimeric mtDNA molecules would be severely constrained without recombination. In the absence of recombination, an adaptive mutation would indeed remain at a "heterozygous" state until the equivalent mutation occurs at the mirrored site of the other genome unit. Second, recombination restricts divergence of mirrored mitochondrial genes that are bound to fulfill the same fundamental function (cellular respiration or mt protein synthesis). Replication of monomers with complementary bases into dimers can also homogenize genome units, thereby offering an adaptive explanation for the existence of linear monomers. We however view this process as deleterious, since it should predominantly yield dimers lacking tRNAs genes, as previously argued. Recombination between dimers may also produce such molecules, but this depends on the specific sites of crossing overs. In this regard, it will be interesting to assess whether related isopods that are not *a priori* constrained by a particular haplotype structure show higher recombination rates. Regardless of the underlying mechanisms, homogenization of genome units of a dimer proceeds at a moderate pace. Indeed, the genome units of *A. vulgare* WXf differ at three private sites (table 3), and other similar sites have been reported in lineages from *C. convexus* and *T. rathkei* (Chandler *et al.* 2015). None of the three private WXf mutations are involved in the encoding of alternative tRNAs, and no evidence suggests that variation at these positions is selected. Variation at these sites is simply maintained through the inheritance of the asymmetric dimers carrying it. The accumulation of three asymmetric mutations in *A. vulgare* WXf must have taken thousands of generations, considering the mutation rate of mtDNA in isopods (Becking *et al.* 2017). Relatively long maintenance of asymmetric mutations may have left more time for the loss of tRNA loci, under the evolutionary scenario we described previously. Once these tRNA loci have been lost, variation at mirrored anticodons must have been maintained for millions of generations by the selection of asymmetric molecules in the face of homogenization of genome units.

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# <sup>593</sup> Tables

# 594

## Table 1. Summary information about the four oniscid lineages used in this study.





601 lineages.



602 Sites that are shared across lineages are designated after the tRNAs they encode depending on the 603 anticodon (shown in parenthesis in 5' to 3' orientation). Base counts refer to number of mapped 604 Illumina reads carrying a given base. Positions are given in coordinates of the first genome unit. \* 605 Variation at position 11784 involves a change in the nad3 protein sequence. 606 607 608 **Table 3.** Assignments of sequenced molecules from four oniscid lineages to different conformations

609 of mtDNA



610 Molecules could not be assigned as monomers due to the impossibility to reliably infer read

611 alignment orientation on the reference genome (see text).

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613

615 **Table 4.** Between-strand base complementarity at heteroplasmic sites within mtDNA fragments



616 sequenced in three *Armadillidium* lineages.

617 Only fragments covering at least two sites for which base complementarity could be assessed are

618 listed. The "Mixed" category groups fragments having at least one site with complementary bases and

619 at least another site with non-complementary bases.

## <sup>621</sup> Figures



622

 **Figure 1.** A) Hypothesized replication of a linear monomeric mtDNA molecule into a circular dimer in oniscids. A grey arrow represents a genome unit or a monomer. Its "head" is close to the 16S rRNA gene and its "tail" is close to the cytochrome b gene. Tick marks represent the locations of known heteroplasmic tRNA loci and indicate the two tRNAs that each can encode. Upon replication, the telomeric hairpin of a monomer (shown in red) becomes the junction between palindromic genome units of the circular dimer, each resulting from the replication of a monomer strand. B) Replication of a linear molecule carrying a pair of non-complementary bases leads to an asymmetric dimer carrying different bases at the mirrored positions. 631



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 **Figure 2.** A) Process of SMRT sequencing. A DNA fragment is bluntly ligated to two SMRT bell adapters (blue) forming hairpins and carrying a DNA polymerase. During sequencing, the newly formed fragment (striped with white arrow heads pointing towards the 3' end) leads to a polymerase read, which is composed of reads of insert (simply called "reads" for short) corresponding to alternative strands of the original fragment and separated by SMRT bell sequences. Reads are oriented as they would align to the green strand in the original fragment. B) SMRT sequencing of a molecule whose telomeric hairpin acts as a SMRT bell. All resulting reads align on the reference (which is the dimeric mitochondrial genome containing the junction between units [shown in red]) on the same orientation, and their middle (the convergence of equally-sized black arrows) corresponds to the center of the junction. C) Sequencing of a dimeric molecule covering the junction between genome units produces reads that align in alternate orientations. The middle of these reads is unlikely to correspond to the middle of the junction. Some drawings are inspired from Fichot and Norman (2013). 645



 **Figure 3.** Alignment between dimeric mtDNA sequences of three *Armadillidium* lineages at the region of the junction between "heads" of genome units (top), and homologous region in *T. rathkei* (bottom). Bases shown in bold font over a grey background constitute the junctions that separate the heads of genome units. The sequences flanking a junction are the reverse complement of each other. Sequences were aligned by the muscle algorithm (Edgar 2004). The *T. rathkei* region was not aligned

as its divergence with the other lineages would have reduced legibility.







 **Figure 4.** Sequencing depth of short reads on the mitochondrial dimeric genomes of four oniscid lineages. Colored segments indicate the presence of SNPs, each presenting two bases at very similar relative frequencies (green: adenine, blue: cytosine, orange: guanine, red: thymine). Only SNPs for which the rarer bases are carried by at least 20% of the mapped reads, and whose sequencing depth is higher than 20% of the mean depth are shown. Converging red triangles represent the location of the head-to-head junctions.





 **Figure 5.** Haplotypes found in dimeric mtDNA molecules at pairs of heteroplasmic anticodon sites in four oniscid lineages. Dimers are shown as converging curved grey arrows as in Figure 1A. Bases of the dominant haplotype are shown on the coding strand of tRNAs for each genome unit, and corresponding anticodons are indicated by the name of tRNAs in front of these bases. For each pair of mirrored site, ratios represent the number of sequenced molecules carrying the dominant two-base haplotype over the number of successfully sequenced molecules at these sites. Error bars represent 95% confidence intervals estimated by the Clopper-Pearson method of R package binom (Dorai-Raj 2014). Bar plots represent the fraction of sequenced molecules carrying a six-site haplotype, among dimeric molecules that could be successfully sequenced at all sites. To minimize the influence of sequencing errors, molecules that showed deletion or rare bases (table 2) at any of these sites were ignored. Bases in red represent differences from the dominant haplotype of the same lineage. Symmetrical haplotypes, which mirror the bases found in one genome unit, are shown in bold. Numbers in parentheses represent the number of sequenced molecules carrying a haplotype. For *T. rathkei*, we merged counts for each haplotype and its mirrored counterpart, as the mapping orientation of reads across the palindromic genome units could not be determined with certainty (see text). 679



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 F**igure 6.** Frequencies of minor haplotypes at any pair of varying anticodon sites within mitochondrial genome units (represented as in Figure 1A), among long sequencing reads obtained from four oniscid lineages. At any pair of sites, red dots represent the two haplotypes that can, in principle, be generated by recombination between the two dominant haplotypes carried by the two different genome units. Haplotype sequences and read counts are shown in boxes next to points, with potentially recombinant haplotypes appearing in red and dominant ones in black. Grey points represent haplotypes in which one base results from a sequencing error (it is not supported by Illumina data, table 2), and green dots represent haplotypes resulting from two errors. Reads with indel variations at these sites were ignored. 689





#### 690

 **Figure 7.** Top: frequencies of molecules having non-complementary bases among different types of mtDNA molecules (see text) in three *Armadillidium* lineages. Red parts of molecules represent hairpin telomeres or junctions between genome units, and blue parts represent ligated SMRT bell adapters (see Figure 2). Ratios above points indicate the numbers of molecules with non-complementary bases over molecules that could be characterized for base complementarity. Error bars represent 95% confidence intervals. Bottom: example of an "unclassified" mtDNA molecule from *A. vulgare* WXf having non-complementary bases at the four variable sites it covers. These sites are named after their genomic positions (table 2). Rows represent successive reads sequenced from complementary strands (see Figure 2A). Each strand has been sequenced six times, and reads form the reverse strand (in respect to the reference genome) have been reverse-complemented. Sequencing errors are shown in 701 grey. 702

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