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Lyapunov stabilization of discrete-time feedforward dynamics

Mattia Mattioni^{1,2}, Salvatore Monaco¹ and Dorothée Normand-Cyrot²

Abstract—The paper discusses stabilization of nonlinear discrete-time dynamics in feedforward form. First it is shown how to compute a Lyapunov function for the uncontrolled dynamics via the computation of a suitable cross-term. Then, stabilization is achieved in terms of u -average passivity. Several constructive cases are analyzed.

Index Terms—Lyapunov Methods; Stability of nonlinear systems; Algebraic/geometric methods

I. INTRODUCTION

Nonlinear discrete-time control theory has been attracting a growing interest in the control community for its impact into the sampled-data and, more in general, hybrid contexts. Although important works bridge the gap between the continuous-time and discrete-time domains through different methodologies (e. g., [1], [2], [3], [4], [5], [6], [7], [8],[9], [10]), hard difficulties represent an obstacle in extending results that are well-known and elegant in continuous time. Those issues are essentially concerned with the generic nonlinearity in the control variable of the dynamics and the difficulty to settle the geometric structure underlying its evolutions.

As a first attempt to characterize the accessibility properties of nonlinear discrete-time dynamics, the alternative differential-difference state-space representation (or (F_0, G) -form) was introduced in [11]. In this context, a discrete-time dynamics over \mathbb{R}^n is described by two coupled differential-difference equations

$$x^+ = F(x), \quad x^+ := x^+(0) \quad (1a)$$

$$\frac{\partial x^+(u)}{\partial u} = G(x^+(u), u) \quad (1b)$$

where (1a) models the free evolution described by a smooth mapping F while (1b) the variational effect of the control via a complete vector field $G(\cdot, u)$; $x^+(u)$ denotes a curve in \mathbb{R}^n parametrized by $u \in \mathbb{R}$. Further exploiting this differential geometry framework, structural properties (e.g., invariance and decoupling, [12]) have been characterized up to introducing the concept of u -average passivity [13]. This latter notions enables to relax the necessity of a direct throughput as the usually required by passivity of discrete-time systems. At present, u -average passivity based controllers [14] (or

control Lyapunov design at large) can be discussed along these lines as exploited in the present paper with reference to stabilization of cascade dynamics.

More precisely, asymptotic stabilization of cascade discrete-time dynamics exhibiting an upper-triangular (or feedforward) form is addressed. Discrete-time forwarding design was firstly addressed in [15] via the construction of a bounded solution to a suitable control-dependent inequality so overcoming the difficulty of solving the nonlinear algebraic equation implicitly defining the feedback. In [16], it was extended to discrete-time systems by exploiting Immersion and Invariance in a *quasi* Lyapunov-based design where the a-priori knowledge of a Lyapunov function for the first-step is not required. In the present paper, we propose a two steps procedure based on control Lyapunov design and feedback passivation underlying the continuous-time counterpart ([17], [18]). Considering a two block cascade dynamics with nonlinear coupling mapping, a Lyapunov function is firstly constructed for the uncontrolled system via the computation of a suitable cross-term. Then, stabilization is achieved in terms of u -average passivity. Constructive solutions are discussed based on several specifications of the interconnection term. As a particular case, one recovers the case of dynamics in strict-feedforward form studied in [19] where the construction of a cross term reduces to the one of a coordinate transformation rendering the overall dynamics driftless. Finally, it is shown how these cascade connected forms intervene when representing input-delayed dynamics through dynamical extension. In this sense, we extend control Lyapunov design to discrete-time input delayed dynamics. A similar approach has been developed for dynamics issued from sampling [20] taking advantage of the primitive continuous-time properties. Work is progressing regarding multi-block cascade dynamics and analyzing the variety of control problems involving these structures.

The paper is organized as follows: in Section II, the existence of a cross-term is proven for uncontrolled dynamics while in Section III it is employed for stabilizing feedforward dynamics by means of u -average passivity. In Section IV some cases of study related to the connection term structure are discussed. In Section V conclusions are set.

II. LYAPUNOV CROSS TERM FOR CASCADE DYNAMICS

Consider a two block cascade dynamics of the form

$$z_{k+1} = f(z_k) + \varphi(z_k, \xi_k), \quad \xi_{k+1} = a(\xi_k). \quad (2)$$

with $\xi \in \mathbb{R}^{n_\xi}$, $z \in \mathbb{R}^{n_z}$; f , φ and a are continuous functions in their arguments and $(z, \xi) = (0, 0)$ is an equilibrium state. Assuming that:

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A.1 $z_{k+1} = f(z_k)$ has a Globally Stable - GS - equilibrium at the origin with continuously differentiable, positive definite, radially unbounded Lyapunov function $W : \mathbb{R}^{n_z} \rightarrow \mathbb{R}_{\geq 0}$ such that $W(f(z)) - W(z) \leq 0$;

A.2 $\xi_{k+1} = a(\xi_k)$ has a Globally Asymptotically Stable - GAS - and Locally Exponentially Stable -LES- equilibrium at the origin with continuously differentiable, positive definite, radially unbounded Lyapunov function $U : \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}_{\geq 0}$ such that $U(a(\xi)) - U(\xi) < 0$ for $\xi \neq 0$;

GS of the origin for the complete cascade cannot be a priori concluded. For this purpose, the further assumptions below are set so letting, in turn, to construct a Lyapunov function V_0 for the complete dynamics starting from the ones of each subsystem; namely, we assume the following:

A.3 the function $\varphi(z, \xi)$ satisfies the *linear growth assumption*; i.e. there exist \mathcal{H} -functions¹ $\gamma_1(\cdot), \gamma_2(\cdot)$ such that

$$\|\varphi(z, \xi)\| \leq \gamma_1(\|\xi\|)\|z\| + \gamma_2(\|\xi\|);$$

A.4 the function $W(z)$ verifies :

- given any $s(\cdot) : \mathbb{R}^{n_z} \rightarrow \mathbb{R}^{n_z}$ and $d(\cdot, \cdot) : \mathbb{R}^{n_z} \times \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}^{n_z}$

$$|W(s(z) + d(z, \xi)) - W(s(z))| \leq \left| \frac{\partial W}{\partial z} d(z, \xi) \right|;$$

- there exist $c, M \in \mathbb{R}_{>0}$ such that for $\|z\| > M$

$$\left\| \frac{\partial W}{\partial z} \right\| \|z\| \leq cW(z).$$

By setting

$$V_0(z, \xi) = W(z) + U(\xi) + \Psi(z, \xi) \quad (3)$$

we aim at defining an additional continuous cross term $\Psi(z, \xi) : \mathbb{R}^{n_z} \times \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}$ to dominate the part with not definite sign when computing the difference

$$\Delta_k V_0(z, \xi) = V_0(z_{k+1}, \xi_{k+1}) - V_0(z_k, \xi_k).$$

It is a matter of computations to verify that

$$\begin{aligned} \Delta_k V_0(z, \xi) &= \Delta_k U(\xi) + W(f(z_k)) - W(z_k) \\ &\quad + W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k)) + \Delta_k \Psi(z, \xi) \end{aligned}$$

with $\Delta_k U(\xi) < 0$ and $W(f(z_k)) - W(z_k) \leq 0$. It turns out that, for ensuring $\Delta_k V_0(z, \xi) \leq 0$, the cross term $\Psi(z, \xi)$ can be chosen to satisfy

$$\Delta_k \Psi(z, \xi) = -W(f(z_k) + \varphi(z_k, \xi_k)) + W(f(z_k)) \quad (4)$$

where the right hand side represents the part in $\Delta_k V_0$ whose sign is not definite. As a consequence, $\Psi(z, \xi)$ is defined as

$$\Psi(z, \xi) = \sum_{k=0}^{\infty} W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k)) \quad (5)$$

along the trajectories $(z_k, \xi_k) = (\tilde{z}(k, z, \xi), \tilde{\xi}(k, \xi))$ of (2) starting at $(z_0, \xi_0) = (z, \xi)$. The stability of the whole system follows from the existence of such function V_0 .

Theorem 2.1: Under assumptions **A.1** to **A.4**

¹A function ρ is said of class \mathcal{H} if its continuous, strictly increasing and $\rho(0) = 0$. It is said of class \mathcal{H}_∞ if it is \mathcal{H} and it is unbounded.

- (i) $\Psi : \mathbb{R}^{n_z} \times \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}$ exists and is continuous;
- (ii) $V_0 : \mathbb{R}^{n_z} \times \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}$ in (3) is positive-definite and radially unbounded.

As a consequence the origin is a GS equilibrium of (2).

Proof: The proof is reported in Appendix. ■

Some constructive cases are discussed below in relation with the connection term $\varphi(z, \xi)$.

A. *Some particular cases*

1) *Strict-feedforward dynamics:* Consider strict-feedforward dynamics described by

$$z_{k+1} = Fz_k + \varphi(\xi_k), \quad \xi_{k+1} = a(\xi_k) \quad (6)$$

with $\varphi(0) = 0$ and $F^\top F = I$. In this case, Assumption **A.1** is satisfied with $W(z) = z^\top z$ and **A.4** is obviated. Specifying (4) for (6), one gets that the cross-term must satisfy

$$\Delta_k \Psi(z, \xi) = -2z_k^\top F^\top \varphi(\xi_k) - \varphi^\top(\xi_k) \varphi(\xi_k). \quad (7)$$

As a consequence $\Delta_k \Psi(z, \xi) = -\Delta_k W(z)$ and, according to (5), one computes

$$\begin{aligned} \Psi(z, \xi) &= \sum_{k=0}^{\infty} [z_{k+1}^\top(z, \xi) z_{k+1}(z, \xi) - z_k^\top(z, \xi) z_k(z, \xi)] \\ &= (z_k^\top(z, \xi) z_k(z, \xi))_\infty - z^\top z \end{aligned}$$

where $(z_k^\top(z, \xi) z_k(z, \xi))_\infty = \lim_{k \rightarrow \infty} z_k^\top(z, \xi) z_k(z, \xi)$ and $z_k(z, \xi)$ denotes the z -trajectory at time k starting at (z, ξ) . According to (3), a Lyapunov function for (6) is thus

$$V_0(z, \xi) = U(\xi) + (z_k^\top(z, \xi) z_k(z, \xi))_\infty. \quad (8)$$

More in detail, in this case (6) exhibits two invariant sets: a stable set where the evolutions are described by $\xi_{k+1} = a(\xi_k)$; a center set where the evolutions are described by $z_{k+1} = Fz_k$. It is a matter of computations to verify that the projection of the trajectories of (6) onto the center set are provided by the mapping

$$\phi(\xi) = - \sum_{\tau=k_0}^{\infty} F^{k_0-1-\tau} \varphi(\xi_\tau) \quad (9)$$

verifying

$$\phi(\xi_{k+1}) = F\phi(\xi_k) + \varphi(\xi_k). \quad (10)$$

Thus, under the coordinates change $\zeta = z - \phi(\xi)$, (6) is transformed into the decoupled dynamics

$$\zeta_{k+1} = F\zeta_k, \quad \xi_{k+1} = a(\xi_k). \quad (11)$$

Hence, a Lyapunov function for the cascade is given by $\tilde{V}_0(\zeta, \xi) = U(\xi) + \zeta^\top \zeta$. Exploiting the strict-feedforward form, one easily verifies that the two Lyapunov functions \tilde{V}_0 and \tilde{V} coincide up to a coordinates change.

Proposition 2.1: Let the strict-feedforward dynamics satisfy Assumptions **A.1**. Then, the Lyapunov function $V_0(z, \xi) = \tilde{V}_0(z + \phi(\xi), \xi)$ with $\phi(\xi) : \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}^{n_z}$ as in (9). As a consequence, the cross-term takes the form

$$\Psi(z, \xi) = (\zeta - \phi(\xi))^\top (\zeta - \phi(\xi)) - z^\top z. \quad (12)$$

Proof: First, rewrite $\zeta^\top \zeta$ for $k_0 = 0$ as

$$\begin{aligned} & (z + \sum_{\tau=0}^{\infty} F^{-1-\tau} \varphi(\xi_\tau))^\top (F^k)^\top F^k (z + \sum_{\tau=0}^{\infty} F^{-1-\tau} \varphi(\xi_\tau)) \\ &= \|z_k(z, \xi) + \sum_{\tau=0}^{\infty} F^{k-\tau-1} \varphi(\xi_\tau) - \sum_{\tau=0}^{k-1} F^{k-\tau-1} \varphi(\xi_\tau)\|^2. \end{aligned}$$

Because $(F^k)^\top F^k = I$ and

$$z_k(z, \xi) = F^{k-k_0} z + \sum_{\tau=k_0}^{k-1} F^{k-\tau-1} \varphi(\xi_\tau)$$

then, b letting $k \rightarrow \infty$, one gets

$$\zeta^\top \zeta = (z_k^\top(z, \xi))(z_k(z, \xi))_\infty.$$

Setting $\Psi(z, \xi) = (z - \phi(\xi))^\top (z - \phi(\xi)) - z^\top z$, the cross term verifies (7) because of the invariance equality (10). ■

Remark 2.1: The cross-term in (8) depends on $\|z_k(z, \xi)\|^2$ that admits a limit for $k \rightarrow \infty$. This is not so in general for the solution $z_k(z, \xi)$, except in the particular case of $n_z = 1$. $V_0(z, \xi)$ can be thus computed even if a decoupling change of coordinates does not exist.

2) $W(f(z)) \equiv W(z)$: Let (2) verify **A.1** with Lyapunov function $W(z)$ such that $W(f(z)) \equiv W(z)$. Then, (4) specifies as

$$\Delta_k \Psi(z, \xi) = -W(f(z_k) + \varphi(z_k, \xi_k)) + W(z_k) = -\Delta_k W(z)$$

so that the cross-term takes the form

$$\Psi(z, \xi) = \sum_{k=0}^{\infty} [W(z_{k+1}) - W(z_k)] = W_\infty(z, \xi) - W(z)$$

with $W_\infty(z, \xi) := \lim_{k \rightarrow \infty} W(z_k(z, \xi))$. Consequently, one gets

$$V_0(z, \xi) = U(\xi) + W_\infty(z, \xi).$$

3) $f(z) = z$: When $f(z) = z$, one computes

$$z_\infty(z, \xi) = z + \lim_{N \rightarrow \infty} \sum_{k=0}^N \varphi(z_k, \xi_k)$$

and thus $W_\infty(z, \xi) = W(z_\infty(z, \xi))$. Accordingly, the mapping $(z, \xi) \mapsto (z_\infty, \xi)$ defines a *local* coordinates change since

$$\frac{\partial z_\infty}{\partial z} = I + \lim_{N \rightarrow \infty} \sum_{k=0}^N \frac{\partial \varphi}{\partial z}(z_k, \xi_k)$$

and the sum vanishes at $\xi = 0$. When the interconnection term $\varphi(\xi, z)$ does not depend on z , the above coordinates change is globally defined as one recovers a strict-feedforward form.

4) *Particular structures of $\varphi(\xi)$* : When the coupling function $\varphi(\xi)$ is a finite polynomial of degree p , the cross-term is quadratic of degree $2p$; the following example illustrates the case.

Example: Given

$$z_{k+1} = z_k + \frac{3}{4} \xi_k^2, \quad \xi_{k+1} = \frac{1}{2} \xi_k.$$

which verifies Assumptions **A.1** to **A.4** with $U(\xi) = \xi^2$ and $W(z) = z^2$, the coupling term $\varphi(\cdot)$ is assumed to be a finite

polynomial of degree 4. Hence, we set the cross-term in the form of a polynomial of degree 4

$$\Psi(z, \xi) = a_1 z \xi^2 + a_2 \xi^4.$$

Accordingly, one computes $a_1, a_2 \in \mathbb{R}$ to solve (7) that specialises as

$$\begin{aligned} & \frac{a_1}{2} (z + \frac{3}{4} \xi^2) \xi^2 + \frac{a_2}{16} \xi^4 - a_1 z \xi^2 - a_2 \xi^4 \\ &= \frac{1}{16} \xi^4 + \frac{1}{2} \xi^2 (z + \frac{3}{4} \xi^2) - \xi^4 - 2z \xi^2. \end{aligned}$$

III. STABILIZATION OF EXTENDED CASCADE DYNAMICS

In this section, the Lyapunov function $V_0(z, \xi)$ constructed above is exploited to show u -average passivity of the extended controlled cascade and compute the corresponding stabilizing feedback. Without loss of generality, the problem is set in the (F_0, G) formalism (1).

A. Feedforward dynamics

Consider the two block controlled feedforward dynamics

$$z^+ = f(z) + \varphi(z, \xi), \quad z^+ := z^+(0) \quad (13a)$$

$$\frac{\partial z^+(u)}{\partial u} = G_z(z^+(u), \xi^+(u), u) \quad (13b)$$

$$\xi^+ = a(\xi), \quad \xi^+ := \xi^+(0) \quad (13c)$$

$$\frac{\partial \xi^+(u)}{\partial u} = B_\xi(\xi^+(u), u) \quad (13d)$$

with uncontrolled part defined in (2) and controlled vector fields $G_z(\cdot, \cdot, u)$ and $B_\xi(\cdot, u)$. In a more compact way, one writes over $\mathbb{R}^{n_z} \times \mathbb{R}^{n_\xi}$

$$x^+ = F(x), \quad \frac{\partial x^+(u)}{\partial u} = G(x^+(u), u), \quad x^+ := x^+(0)$$

with $x = \text{col}(z, \xi)$, $F(x) = \text{col}(f(z) + \varphi(z, \xi), a(\xi))$ and $G(x^+(u), u) = \text{col}(G_z(z^+(u), B_\xi(\xi^+(u)), u), u)$.

For any triplet (z_k, ξ_k, u_k) , by integrating (13b)-(13d) over $[0, u_k[$ with initial condition (13a)-(13c), one recovers a feedforward dynamics in the form of a map

$$\begin{aligned} z_{k+1} &= f(z_k) + \varphi(z_k, \xi_k) + g(z_k, \xi_k, u_k) \\ \xi_{k+1} &= a(\xi_k) + b(\xi_k, u_k) \end{aligned}$$

where $(z_{k+1}, \xi_{k+1}) = (z^+(u_k), \xi^+(u_k))$ and

$$\frac{\partial g(z, \xi, u)}{\partial u} := G_z(z^+(u), \xi^+(u), u), \quad \frac{\partial b(\xi, u)}{\partial u} := B_\xi(\xi^+(u), u).$$

Property 3.1: Given any C^1 function $S: \mathbb{R}^{n_z} \times \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}$, one can rewrite

$$S(x_{k+1}) = S(F(x_k)) + \int_0^{u_k} \mathbf{L}_{G(\cdot, v)} S(x^+(v)) dv$$

where $\mathbf{L}_{G(\cdot, v)} S(x)$, denotes the usual Lie derivative of the function S along $G(\cdot, v)$; i.e., $\mathbf{L}_{G(\cdot, v)} S(x) := \frac{\partial S}{\partial x} G(x, v)$. Furthermore, one has

$$\int_0^{u_k} \mathbf{L}_{G(\cdot, v)} S(x^+(v)) dv = u_k \int_0^1 \mathbf{L}_{G(\cdot, \theta u_k)} S(x^+(\theta u_k)) d\theta.$$

B. u -average passivity and PBC design

GAS of the equilibrium can now be achieved through u -average passivity-based control as introduced in [14]. The following definitions are recalled.

Definition 3.1 (u -average passivity, [14]): The dynamics (13), with output $y = H(x, u)$ is u -average passive with positive definite storage function $S(\cdot)$ if the following inequality holds for any $u \in \mathbb{R}$

$$S(x^+(u)) - S(x) \leq \int_0^u H(x^+(v), v) dv. \quad (14)$$

Definition 3.2 (ZSD): Given (13) with output $H(x, u)$, let $Z \subset \mathbb{R}^{n_z} \times \mathbb{R}^{n_\xi}$ be the largest positively invariant set contained in $\{x \in \mathbb{R}^{n_z} \times \mathbb{R}^{n_\xi} \mid H(x, 0) = 0\}$. (13) is Zero-State-Detectable (ZSD) if $x = 0$ is asymptotically stable conditionally to Z .

Theorem 3.1: Consider (13) under **A.1** to **A.4**, then:

- (13) is u -average passive with respect to the output

$$H(z, \xi, u) = L_{G(\cdot, u)} V_0(z, \xi) \quad (15)$$

and storage function $V_0(z, \xi)$;

- if, furthermore, (13) with output $H(z, \xi, 0)$ is ZSD, the feedback u_d solution

$$u_d = -\frac{1}{u_d} \int_0^{u_d} L_{G(\cdot, v)} V_0(z^+(v), \xi^+(v), v) dv \quad (16)$$

achieves GAS of the equilibrium $(z, \xi) = (0, 0)$;

- if the linear approximation of (13) is stabilizable then (16) ensures LES of the closed-loop.

Proof: Computing $\Delta_k V_0(z, \xi) = V_0(z_{k+1}, \xi_{k+1}) - V_0(z_k, \xi_k)$ along the dynamics (13) one gets (dropping the k -index in the right hand side)

$$\begin{aligned} \Delta_k V_0(z, \xi) &= U(a(\xi)) - U(\xi) + \int_0^u L_{B_\xi(\cdot, v)} U(\xi^+(v)) dv \\ &+ W(f(z) + \varphi(z, \xi)) - W(z) + \int_0^u L_{G_z(\cdot, \xi^+(v), v)} W(z^+(v)) dv \\ &+ \Psi(F(z, \xi)) - \Psi(z, \xi) + \int_0^u L_{G(\cdot, v)} \Psi(z^+(v), \xi^+(v)) dv. \end{aligned}$$

By construction of $\Psi(\cdot)$ for $u = 0$, one concludes u -average passivity with respect to the dummy output $H(\cdot, u) = L_{G(\cdot, u)} V_0$ and storage function V_0 ; i.e.

$$\Delta_k V_0(z, \xi) \leq \int_0^u L_{G(\cdot, v)} V_0(z^+(v), \xi^+(v)) dv. \quad (17)$$

Accordingly, the control u solution to (16) achieves GAS of the closed-loop equilibrium whenever (13) is ZSD with respect to $H(\cdot, 0)$. LES follows from u -average passivity plus the stabilizability of the linear approximation of (13) at the origin. ■

Remark 3.1: The damping controller u_d solution of the equality (16) can equivalently be rewritten as the solution of

$$u_d = -\int_0^1 L_{G(\cdot, \theta u_d)} V_0(x^+(\theta u_d)) d\theta. \quad (18)$$

To avoid the difficult problem of solving implicit equalities, approximate solutions can be computed. In [16], the authors

provide an explicit and exactly computable expression of the feedback u which preserves u -average passivity and stability. The consequent solution is bounded by a positive constant $\mu \in \mathbb{R}$ and is defined as

$$u_{dap}(x) = -K(x) L_{G(\cdot, 0)} V_0(x^+(0))$$

for a suitable gain $K(\cdot) > 0$.

Example: Consider the discrete-time system described by

$$\begin{aligned} z^+ &= z + \xi, \quad \xi^+ = \xi \\ \frac{\partial z^+(u)}{\partial u} &= \frac{1}{2} - (\xi^+(u))^2, \quad \frac{\partial \xi^+(u)}{\partial u} = 1 \end{aligned}$$

or, equivalently,

$$z_{k+1} = z + \xi + u\left(\frac{1}{2} - \xi^2\right) - u^2 \xi - \frac{1}{3} u^3, \quad \xi_{k+1} = \xi + u$$

which verifies Assumption **A.1** with $W(z) = \frac{1}{2} z^2$ and Assumption **A.2** with preliminary feedback $u = -\frac{2}{3} \xi$ and $U(\xi) = \frac{1}{2} \xi^2$. The cross term $\Psi(z, \xi) = \frac{1}{2} (z + \xi + \frac{\xi^3}{3})^2 - \frac{1}{2} z^2$ verifies $\Delta_k V_0(z, \xi) = \Delta_k U(\xi) = -\frac{4}{9} \xi^2$. Finally, the u -average output and the consequent control are provided by

$$\begin{aligned} H(z, \xi, u) &= 4\xi + \frac{3}{2} z + \frac{13}{8} u + \frac{1}{2} \xi^3 \\ u &= -\frac{4}{7} z - \frac{32}{21} \xi - \frac{4}{21} \xi^3. \end{aligned}$$

IV. SOME CASES OF STUDY

A. The case of strict-feedforward dynamics

Consider the controlled strict-feedforward dynamics

$$z^+ = Fz + \varphi(\xi), \quad \frac{\partial z^+(u)}{\partial u} = G(\xi^+(u), u) \quad (19a)$$

$$\xi^+ = a(\xi), \quad \frac{\partial \xi^+(u)}{\partial u} = B(\xi^+(u), u) \quad (19b)$$

or equivalently

$$z_{k+1} = Fz_k + \varphi(\xi_k) + g(\xi_k, u_k), \quad \xi_{k+1} = a(\xi_k) + b(\xi_k, u_k)$$

with uncontrolled part (6) and by definition

$$g(\xi_k, u_k) := \int_0^{u_k} G(\xi^+(v), v) dv, \quad b(\xi_k, u_k) := \int_0^{u_k} B(\xi^+(v), v) dv$$

with $g(\cdot, 0) = 0$ and $b(\cdot, 0) = 0$. As already detailed, when $u \equiv 0$, the coordinates change $\zeta = z - \phi(\xi)$ in (9) transforms the system into the decoupled dynamics (11). Thus, by applying such coordinate change to (19), one gets

$$\zeta^+ = F\zeta_k, \quad \frac{\partial \zeta^+(u)}{\partial u} = G_\zeta(\xi^+(u), u) \quad (20a)$$

$$\xi^+ = a(\xi_k), \quad \frac{\partial \xi^+(u)}{\partial u} = B(\xi^+(v), v) dv \quad (20b)$$

where

$$G_\zeta(\xi^+(u), u) = G(\xi^+(u), u) - L_{B(\cdot, u)} \phi(\xi^+(u)).$$

As a consequence, Theorem 3.1 holds with average output

$$Y_1(\zeta, \xi, u) = L_{G_\zeta(\cdot, u)} \tilde{V}_0(\zeta, \xi). \quad (21)$$

Remark 4.1: When $F = I$ and $n_z = 1$, the coordinates change $\zeta = z - \phi(\xi)$ makes the ζ -dynamics driftless. Accordingly, one recovers the result in [19] proposed when assuming directly in (19), $\xi_{k+1} = u_k$ and $n_z = 1$.

Remark 4.2: In [16], the strict-feedforward stabilization is set in the Immersion and Invariance (I&I) framework, [21] when $n_z = 1$. Assuming **A.2**, a stable set over which the closed loop ξ -dynamics evolves is exhibited. The design aims at driving the off-stable set state components ζ to zero while ensuring boundedness of the full state trajectories. Moreover, I&I is less demanding since the knowledge of a Lyapunov function $U(\xi)$ for the ξ -system is not necessary. On the other hand, the presented cross term approach covers a wider range of cases.

B. Stabilization of input-delayed dynamics

The result presented in the previous section is now applied to design u -average passivity-based controllers for discrete-time systems affected by input delay. Consider the nonlinear input-delayed discrete-time dynamics

$$z_{k+1} = f(z_k) + \varphi(z_k, u_{k-1}). \quad (22)$$

Setting the usual extension $\xi_k = u_{k-1}$, (22) rewrites as

$$z_{k+1} = f(z_k) + \varphi(z_k, \xi_k), \quad \xi_{k+1} = u_k \quad (23)$$

that clearly takes the form of (13) with $g(z, \xi, u) = 0$ and $a(\xi) = 0$. Assuming GS the origin of the dynamics $z_{k+1} = f(z_k)$ with C^1 and radially unbounded Lyapunov function $W(z)$ and setting $U(\xi) = \xi^2$, the Lyapunov function $V_0(z, \xi)$ for (23) takes the form $V_0 = \xi^2 + W(z) + \Psi(z, \xi)$ with cross term solution of

$$\Delta_k \Psi(z, \xi) \Big|_{u=0} = -W(f(z) + \varphi(z, \xi)) + W(f(z)).$$

Under the assumptions in Theorem 3.1, one computes the output mapping $H_{del}(z, \xi) = \frac{\partial V_0}{\partial \xi}(z, \xi)$ with respect to which (23) is u -average passive so satisfying the inequality

$$V_0(f(z) + \varphi(z, \xi), u) - V_0(z, \xi) \leq \int_0^u \frac{\partial V_0}{\partial \xi}(f(z) + \varphi(z, \xi), v) dv.$$

Accordingly, the control u_{del} solution of the equality

$$u_{del} = -\frac{1}{u_{del}} \int_0^{u_{del}} \frac{\partial V_0}{\partial \xi}(f(z) + \varphi(z, \xi), v) dv$$

stabilizes in closed-loop provided the ZSD property holds.

This comment can be generalized to multiple input delays and to a z -dynamics explicitly depending on u as well. This is of peculiar interest when the problem of stabilizing a continuous-time time-delay system is set in the sampled-data context and reformulated as a discrete-time stabilizing one over an extended state space [22].

V. CONCLUSIONS

In this paper, we have addressed the problem of stabilizing discrete-time dynamics in feedforward form via Lyapunov-based and passivity-based methodologies. The study is detailed for the case of two interconnected dynamics by constructing a Lyapunov function via the definition of suitable

cross-term. Work is progressing for a deeper understanding of the more general control problems that can be reconduced to the one here presented for cascade structures.

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APPENDIX

We begin the proof by showing (i). To this purpose, since the equilibrium of $\xi_{k+1} = a(\xi_k)$ is LES, we can write that for a real constant $|\alpha| < 1$ and function $\gamma(\cdot) \in \mathcal{H}$, then $\xi(s, \xi) \leq \gamma(\|\xi\|)|\alpha|^s$ for any $s \geq 0$.

From Assumption A.4 and this latter property, we write

$$W(f(z_k) + \varphi(z_k, \xi_k)) - W(z_k) \leq \quad (24)$$

$$\begin{aligned} W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k)) &\leq \left| \frac{\partial W}{\partial z} \varphi(z_k, \xi_k) \right| \leq \\ &\left\| \frac{\partial W}{\partial z} \right\| (\gamma(\|\xi\|)|\alpha|^k + \gamma(\|\xi\|)|\alpha|^k \|z_k\|) \leq c\gamma(\|\xi\|)|\alpha|^k W(z_k) \end{aligned}$$

Accordingly, $W(z)$ is not decreasing along the trajectories of (2) and $\|z_k\|$ and $\|\frac{\partial W}{\partial z}(z_k)\|$ are bounded on $[0, \infty)$ (because $W(z)$ is radially unbounded). Consequently, one can write

$$W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k)) \leq \gamma_1(\|(z, \xi)\|)\alpha^k \quad (25)$$

so getting that $W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k))$ is summable over $[0, \infty)$ and (5) exists and is bounded for all (z, ξ) .

Continuity of (5) comes from the fact that it is the composition and the sum of continuous-function on $[0, \infty)$.

As far as (ii) is concerned, positive definiteness of V_0 is obtained by exploiting the radial unboundedness of $W(z)$.

$$\begin{aligned} W(z_k) &= W(z) + \sum_{t=0}^{k-1} [W(f(z_t) + \varphi(z_t, \xi_t)) - W(z_t)] = \\ &W(z) + \sum_{t=0}^{k-1} [W(f(z_t) + \varphi(z_t, \xi_t)) - W(f(z_t))] + \\ &\sum_{t=0}^{k-1} [W(f(z_t)) - W(z_t)] \end{aligned}$$

where the term $W(f(z_t)) - W(z_t)$ is non-increasing for any $t \geq 0$. By subtracting both sides of the last equality by $W(f(z_t)) - W(z_t)$ and taking the limit for $k \rightarrow \infty$ one gets

$$\begin{aligned} W_\infty(z) - \sum_{t=0}^{\infty} [W(f(z_t)) - W(z_t)] &= \\ W(z) + \sum_{t=0}^{\infty} [W(f(z_t) + \varphi(z_t, \xi_t)) - W(f(z_t))] \end{aligned}$$

where $W_\infty(z) = \lim_{k \rightarrow \infty} W(z_k)$ and $\Psi(z, \xi) = \sum_{t=0}^{\infty} [W(f(z_t) + \varphi(z_t, \xi_t)) - W(f(z_t))]$. Hence, one gets that $V_0(z, \xi)$ rewrites as

$$V_0(z, \xi) = W_\infty(z) - \sum_{t=0}^{\infty} [W(f(z_t)) - W(z_t)] + U(\xi) \geq 0. \quad (26)$$

From the radially unboundedness of W and U one has that if $V_0(z, \xi) = 0$ then $\xi = 0$. By construction, $V_0(z, 0) = W(z)$ so concluding that $V_0(z, \xi) = 0$ implies $(z, \xi) = (0, 0)$. According to the last inequality this proves that V_0 is positive-definite.

To prove its radial unboundedness we first point out that from (26) it follows that $V_0(z, \xi) \rightarrow \infty$ as $\|\xi\| \rightarrow \infty$ for any z . Hence, one has to show that

$$\lim_{\|z\| \rightarrow +\infty} \left[W_\infty(z) - \sum_{t=0}^{\infty} (W(f(z_t)) - W(z_t)) \right] = +\infty. \quad (27)$$

This will be achieved by lowerbounding (27) by means of a radially unbounded function deduced from $W(z)$. For, fix ξ so that $\gamma(\|\xi\|)$ in (24) becomes a constant C . Accordingly, for any $k \geq 0$ we write

$$\begin{aligned} |W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k))| &\leq \\ &\left\| \frac{\partial W}{\partial z} \right\| (C|\alpha|^k + C|\alpha|^k \|z_k\|). \end{aligned}$$

It follows that

$$\begin{aligned} W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k)) &\geq \\ -|W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k))| &\geq \\ \geq -2 \left\| \frac{\partial W}{\partial z} \right\| C|\alpha|^k \|z_k\| - C(1 - \|z_k\|) \left\| \frac{\partial W}{\partial z} \right\| |\alpha|^k. \end{aligned}$$

When $1 - \|z_k\| > 0$ the term $-C(1 - \|z_k\|) \left\| \frac{\partial W}{\partial z} \right\| |\alpha|^k$ can be discarded without affecting the inequality. On the other hand, when $1 - \|z_k\| \leq 0$, it is bounded by $K_2|\alpha|^k$ so that

$$\begin{aligned} W(f(z_k) + \varphi(z_k, \xi_k)) - W(f(z_k)) &\geq \\ -2 \left\| \frac{\partial W}{\partial z} \right\| C|\alpha|^k \|z_k\| - K_2|\alpha|^k. \end{aligned}$$

Using A.4 we obtain

$$W(f(z_k) + \varphi(z_k, \xi_k)) - W(z_k) \geq \quad (28)$$

$$\begin{cases} -K|\alpha|^k W(z_k) - K_2|\alpha|^k + W(f(z_k)) - W(z_k), & \|z\| > r \\ -K_1|\alpha|^k W(z_k) - K_2|\alpha|^k + W(f(z_k)) - W(z_k), & \|z\| \leq r \end{cases}$$

with $r \geq 1$ and real K, K_1, K_2 .

$\|z\| > r$ and $k \in [0, t)$

$$\begin{aligned} W(z_k) &\geq \phi(k, 0)W(z) + \sum_{t=0}^{k-1} \phi(k-1, t) [-K_2|\alpha|^t + \\ &W(f(z_t)) - W(z_t)] \end{aligned}$$

$\|z\| \leq r$ and $k \in [0, t)$

$$W(z_k) \geq W(z) + \sum_{t=0}^{k-1} [-K_1|\alpha|^t - K_2|\alpha|^t + W(f(z_t)) - W(z_t)]$$

with $\phi(k, t) = \prod_{j=t}^{k-1} (1 - K|\alpha|^j)$. Accordingly, by mixing both the bounds, one gets

$$W(z_k) \geq \phi(k, 0)W(z) + \sum_{t=0}^{k-1} (-K_1|\alpha|^t - K_2|\alpha|^t + W(f(z_t)) - W(z_t))$$

so that for all $k \geq 0$, $\phi(k, 0)$ admits a lower bound K_3 and

$$W(z_k) \geq K_3W(z) + \sum_{t=0}^{k-1} [W(f(z_t)) - W(z_t)] + r_k$$

with $r_k := \sum_{t=0}^{k-1} [-K_1|\alpha|^t - K_2|\alpha|^t]$ which converges to a bounded solution r^* over $[0, \infty)$. So, taking the limit when $k \rightarrow \infty$ one obtains

$$W_\infty(z, \xi) - \sum_{t=0}^{k-1} [W(f(z_t)) - W(z_t)] \geq K_3W(z) + r^*.$$

It is clear that r^* and K_3 may depend on ξ but are independent of z so that (27) holds.

Accordingly, by construction $V_0(z_{k+1}, \xi_{k+1}) - V_0(z_k, \xi_k) = W(f(z_k)) - W(z_k) + U(a(\xi_k)) - U(\xi_k) \leq 0$ so concluding the proof.