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# Hybrid High-Order methods for finite deformations of hyperelastic materials

Mickael Abbas<sup>1</sup>, Alexandre Ern<sup>2</sup>, Nicolas Pignet<sup>1,2</sup>

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#### Abstract

We devise and evaluate numerically Hybrid High-Order (HHO) methods for hyperelastic materials undergoing finite deformations. The HHO methods use as discrete unknowns piecewise polynomials of order  $k \geq 1$  on the mesh skeleton, together with cell-based polynomials that can be eliminated locally by static condensation. The discrete problem is written as the minimization of the broken nonlinear elastic energy where a local reconstruction of the displacement gradient is used. Two HHO methods are considered: a stabilized method where the gradient is reconstructed as a tensor-valued polynomial of order k and a stabilization is added to the discrete energy functional, and an unstabilized method which reconstructs a stable higher-order gradient and circumvents the need for stabilization. Both methods satisfy the principle of virtual work locally with equilibrated tractions. We present a numerical study of both HHO methods on test cases with known solution and on more challenging threedimensional test cases including finite deformations with strong shear layers and cavitating voids. We assess the computational efficiency of both methods, and we compare our results to those obtained with an industrial software using conforming finite elements and to results from the literature. Both methods exhibit robust behavior in the quasi-incompressible regime.

**Keywords:** Hyperelasticity – Finite deformations – Hybrid High-Order methods – Quasi-incompressible materials

# 1 1 Introduction

Hybrid-High Order (HHO) methods have been introduced a couple of years ago for linear elasticity 2 problems in [18] and for diffusion problems in [19]. A review on diffusion problems can be found 3 in [20], and a Péclet-robust analysis for advection-diffusion problems in [16]. Moreover, an open-4 source implementation of HHO methods using generic programming tools is available through the 5 Disk++ library described in [10]. Recent developments of HHO methods in computational me-6 chanics include the incompressible Stokes equations (with possibly large irrotational forces) [21], 7 the incompressible Navier–Stokes equations [22], Biot's consolidation problem [4], and nonlinear 8 elasticity with small deformations [6]. The goal of the present work is to devise and evaluate nu-9 merically HHO methods for hyperelastic materials undergoing finite deformations. Such problems 10

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are particularly challenging since finite deformations induce an additional geometric nonlinearity
on top of the one present in the stress-strain constitutive relation. Moreover, hyperelastic materials are often considered near the incompressible limit, so that robustness in this situation is
important.

The discrete unknowns in HHO methods are face-based unknowns that are piecewise polynomi-15 als of some order  $k \ge 1$  on the mesh skeleton ( $k \ge 0$  for diffusion equations). Cell-based unknowns 16 are also introduced in the discrete formulation. These additional unknowns are instrumental for 17 the stability and approximation properties of the method and can be locally eliminated by using 18 the well-known static condensation technique. In the present nonlinear context, this elimination is 19 performed at each step of the nonlinear iterative solver (typically Newton's method). Classically, 20 the devising of HHO methods hinges on two ideas: (i) a reconstruction operator that typically 21 reconstructs locally from the local cell- and face-based unknowns a displacement field or a tensor-22 valued field representing its gradient; (ii) a stabilization operator that enforces in a weak sense on 23 each mesh face the consistency between the local face unknowns and the trace of the unknowns 24 from the two cells sharing the face in question. A fairly subtle design of the stabilization operator 25 has been proposed in [18,19] leading to  $O(h^{k+1})$  energy-error estimates, where h is the mesh-size, 26 for linear diffusion and elasticity problems and smooth solutions. HHO methods offer several 27 advantages: (i) the construction is dimension-independent; (ii) general meshes (including fairly 28 general polytopal mesh cells and non-matching interfaces) are supported; (iii) a local formulation 29 using equilibrated fluxes is available, and (iv) HHO methods are computationally attractive owing 30 to the static condensation of the cell unknowns and the higher-order convergence rates. 31

HHO methods have been bridged to Hybridizable Discontinuous Galerkin (HDG) methods 32 in [11]. HDG methods, as originally devised in [12], are formulated in terms of a discrete triple 33 which approximates the flux, the primal unknown, and its trace on the mesh skeleton. The HDG 34 method is then specified by the discrete spaces for the above triple, and the stabilization operator 35 that enters the discrete equations through the so-called numerical flux trace. The difference 36 between HHO and HDG methods is twofold: (i) the HHO reconstruction operator replaces the 37 discrete HDG flux (a similar rewriting of an HDG method for nonlinear elasticity can be found 38 in [29]), and, more importantly, (ii) both HHO and HDG penalize in a least-squares sense the 39 difference between the discrete trace unknown and the trace of the discrete primal unknown (with a 40 possibly mesh-dependent weight), but HHO uses a nonloncal operator in each mesh cell boundary 41 that delivers one-order higher approximation than just penalizing pointwise the difference as in 42 HDG. 43

Discretization methods for linear and nonlinear elasticity have undergone a vigorous devel-44 opment over the last decade. For discontinuous Galerkin (dG) methods, we mention in particu-45 lar [14, 26, 32] for linear elasticity, and [35, 41] for nonlinear elasticity. HDG methods for linear 46 elasticity have been coined in [38] (see also [13] for incompressible Stokes flows), and extensions 47 to nonlinear elasticity can be found in [29,34,37]. Other recent developments in the last few years 48 include, among others, Gradient Schemes for nonlinear elasticity with small deformations [23], 49 the Virtual Element Method (VEM) for linear and nonlinear elasticity with small [3] and finite 50 deformations [8,43], the (low-order) hybrid dG method with conforming traces for nonlinear elas-51 ticity [44], the hybridizable weakly conforming Galerkin method with nonconforming traces for 52 linear elasticity [30], the Weak Galerkin method for linear elasticity [42], and the discontinuous 53 Petrov–Galerkin method for linear elasticity [7]. 54

In the present work, we devise and evaluate numerically two HHO methods to approximate hyperelastic materials undergoing finite deformations. Following the ideas of [29,41] developed in the context of dG and HDG methods, both HHO discrete solutions are formulated as stationary

points of a discrete energy functional that is defined from the exact energy functional by replacing 58 the displacement gradient in the Piola–Kirchoff tensor by its reconstructed counterpart. In the 59 first HHO method, called stabilized HHO (sHHO), a quadratic term associated with the HHO-60 stabilization operator is added to the discrete energy functional. For linear elasticity, one recovers 61 the original HHO method from [18] if the displacement gradient is reconstructed locally in the 62 tensor-valued polynomial space  $\underline{\underline{\nabla}}_{X} \mathbb{P}_{d}^{k}(T; \mathbb{R}^{d})$  where k is the degree of the polynomials attached to 63 the mesh skeleton and T is a generic mesh cell (and if the displacement divergence is reconstructed 64 specifically in  $\mathbb{P}_d^k(T;\mathbb{R})$ ; the notation is defined more precisely in the following sections. In the 65 present nonlinear context, the gradient is reconstructed in  $\mathbb{P}^k_d(T; \mathbb{R}^{d \times d})$  (which is a strict superspace 66 of  $\underline{\nabla}_{\mathbf{V}} \mathbb{P}^k_d(T; \mathbb{R}^d)$ ; the same reconstruction space is considered for HDG in [29] for nonlinear 67 elasticity with finite deformations (where the stabilization operator is, however, different), and a 68 similar choice with symmetric-valued reconstructions is considered for HHO in [6] for nonlinear 69 elasticity with small deformations. The main reason for reconstructing the gradient in a larger 70 space stems from the fact that the reconstructed gradient of a test function acts against a discrete 71 Piola–Kirchoff tensor which is not in gradient form. For an insightful discussion and a numerical 72 example in the context of the Leray-Lions problem, we refer the reader to [17, §4.1]. In nonlinear 73 elasticity, the use of stabilization can lead to numerical difficulties since it is not clear beforehand 74 how large the stabilization term ought to be; see [39, 40] for a related discussion on dG methods 75 and [3,8] for VEM. Moreover, [29, Section 4] presents an example where spurious solutions can 76 appear in an HDG discretization if the stabilization parameter is not large enough. 77

Motivated by these difficulties, we also consider a second method called unstabilized HHO 78 (uHHO). Inspired by the recent ideas in [28] on stable dG methods without penalty parameters, 79 we consider an HHO method where the gradient is reconstructed in a higher-order polynomial 80 space, and no stabilization is added to the discrete energy. Focusing for simplicity on matching 81 simplicial meshes, the reconstruction space can be either the Raviart–Thomas–Nédélec (RTN) 82 space  $\mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d}) = \mathbb{P}_d^k(T; \mathbb{R}^{d \times d}) \oplus \mathbb{P}_d^k(T; \mathbb{R}^d) \otimes \underline{X}$  or the polynomial space  $\mathbb{P}_d^{k+1}(T; \mathbb{R}^{d \times d})$ . 83 Reconstructing the gradient in the first space is preferable (since the discrete unknowns attached 84 to the mesh skeleton live in the space spanned by the normal component of fields from this space), 85 but considering the second space makes the implementation simpler since standard polynomial 86 bases can be considered. For both choices, we prove, using the ideas in [28], that the reconstructed 87 gradient is stable, thereby circumventing the need to introduce and tune any stabilization param-88 eter. The situation is, however, less favorable concerning the approximation properties since the 89 reconstruction in  $\mathbb{P}_d^{k+1}(T; \mathbb{R}^{d \times d})$  leads to convergence rates of order  $O(h^k)$  in the linear case for 90 smooth solutions, i.e., the method still converges but at a suboptimal rate in ideal situations. We 91 mention that, on general meshes, reconstructions in RTN spaces based on a simplicial submesh 92 of each mesh cell have been recently considered in [17]. Another recent approach to stable re-93 construction for dG methods avoiding RTN spaces but still requiring a simplicial submesh can be 94 found in [25]. 95

This paper is organized as follows. In Section 2, we present the nonlinear hyperelasticity model 96 problem and we introduce some basic notation. The two HHO methods are presented in Section 3, 97 where we also discuss some theoretical and implementation aspects. Section 4 then contains test 98 cases with analytical (or computable) solution. We first consider two- and three-dimensional 99 traction test cases with manufactured solution to assess the convergence rates delivered by sHHO 100 and uHHO in the nonlinear case. Then, we consider the dilatation of a quasi-incompressible 101 annulus; in this test case, proposed in [29, Section 5.2], the exact solution can be approximated 102 to a very high accuracy by solving a differential equation in the radial coordinate. We also 103 compare the computational efficiency of both methods, and we consider a continuous Galerkin (cG) 104

approximation based on  $H^1$ -conforming finite elements using the industrial software code\_aster [15]. Section 5 considers three application-driven, three-dimensional examples: the indentation of a compressible and quasi-incompressible rectangular block (where we also provide a comparison with the industrial software code\_aster), a hollow cylinder deforming under compression and shear, and a sphere expanding under traction with two cavitating voids. These last two examples are particularly challenging, and our results are compared to the HDG solutions reported in [29].

# <sup>111</sup> 2 The nonlinear elasticity problem

We are interested in finding the static equilibrium configuration of an elastic continuum body 112 that occupies the domain  $\Omega_0$  in the reference configuration and that undergoes finite deformations 113 under the action of a body force f in  $\Omega_0$ , a traction force  $\underline{t}$  on the Neumann boundary  $\Gamma_n$ , and a 114 prescribed displacement  $\underline{u}_d$  on the Dirichlet boundary  $\Gamma_d$ . Here,  $\Omega_0 \subset \mathbb{R}^d$ ,  $d \in \{2, 3\}$ , is a bounded 115 connected polytopal domain with unit outward normal  $\underline{N}$  and with Lipschitz boundary  $\Gamma := \partial \Omega$ 116 decomposed in the two relatively open subsets  $\Gamma_n$  and  $\Gamma_d$  such that  $\overline{\Gamma_n} \cup \overline{\Gamma_d} = \Gamma$ ,  $\Gamma_n \cap \Gamma_d = \emptyset$ , 117 and  $\Gamma_d$  has positive Hausdorff-measure (so as to prevent rigid-body motions). In what follows, we 118 write v for scalar-valued fields,  $\underline{v}$  or  $\underline{V}$  for vector-valued fields,  $\underline{V}$  for second-order tensor-valued 119 fields, and  $\underline{\mathbb{V}}$  for fourth-order tensor-valued fields. 120

As is customary for elasticity problems with finite deformations, we adopt the Lagrangian description (cf, e.g, the textbooks [5,9]). Due to the deformation, a point  $\underline{X} \in \Omega_0$  is mapped to a point  $\underline{x} = \underline{X} + \underline{u}(\underline{X})$  in the equilibrium configuration, where  $\underline{u} : \Omega_0 \to \mathbb{R}^d$  is the displacement mapping. The continuum problem consists in finding a displacement mapping  $\underline{u} : \Omega_0 \to \mathbb{R}^d$  satisfying by the following equations:

$$-\underline{\operatorname{Div}}_X(\underline{P}) = \boldsymbol{f} \quad \text{in } \Omega_0, \tag{1a}$$

$$\underline{\boldsymbol{u}} = \underline{\boldsymbol{u}}_d \quad \text{on } \Gamma_d, \tag{1b}$$

$$\underline{\underline{P}} \underline{\underline{N}} = \underline{\underline{t}} \qquad \text{on } \Gamma_n, \tag{1c}$$

where  $\underline{\underline{P}} := \underline{\underline{P}}(\underline{X}, \underline{\underline{F}}(\underline{u}))$  is the first Piola–Kirchhoff stress tensor and  $\underline{\underline{F}}(\underline{u}) = \underline{\underline{I}} + \underline{\underline{\nabla}}_X \underline{u}$  is the deformation gradient. The deformation gradient takes values in  $\mathbb{R}^{d \times d}_+$  which is the set of  $d \times d$ matrices with positive determinant. The governing equations (1) are stated in Lagrangian form; in particular, the gradient and divergence operators are taken with respect to the coordinate  $\underline{X}$ of the reference configuration (we use the subscript X to indicate it).

We restrict ourselves to bodies consisting of homogeneous hyperelastic materials for which there exists a strain energy density  $\Psi(\underline{F})$  defined by a function  $\Psi : \mathbb{R}^{d \times d}_+ \to \mathbb{R}$ . We assume that the first Piola-Kirchhoff stress tensor is defined as  $\underline{P} = \partial_{\underline{F}} \Psi$  so that the associated elastic modulus is given by  $\underline{\mathbb{A}} = \partial_{\underline{F}}^2 \underline{F} \Psi$ . We denote by V the set of all kinematically admissible displacements which satisfy the Dirichlet condition (1c), and we define the energy functional  $\mathcal{E} : V \to \mathbb{R}$  such that

$$\mathcal{E}(\underline{\boldsymbol{v}}) = \int_{\Omega_0} \Psi(\underline{\underline{\boldsymbol{F}}}(\underline{\boldsymbol{v}})) \, d\Omega_0 - \int_{\Omega_0} \underline{\boldsymbol{f}} \cdot \underline{\boldsymbol{v}} \, d\Omega_0 - \int_{\Gamma_n} \underline{\boldsymbol{t}} \cdot \underline{\boldsymbol{v}} \, d\Gamma.$$
(2)

The static equilibrium problem (1) consists of seeking the stationary points of the energy functional which satisfy the following weak form of the Euler-Lagrange equations:

$$0 = D\mathcal{E}(\underline{\boldsymbol{u}})[\delta\underline{\boldsymbol{v}}] = \int_{\Omega_0} \underline{\underline{\boldsymbol{P}}}(\underline{\underline{\boldsymbol{F}}}(\underline{\boldsymbol{u}})) : \underline{\underline{\boldsymbol{\nabla}}}_X(\delta\underline{\boldsymbol{v}}) \, d\Omega_0 - \int_{\Omega_0} \underline{\boldsymbol{f}} \cdot \delta\underline{\boldsymbol{v}} \, d\Omega_0 - \int_{\Gamma_n} \underline{\boldsymbol{t}} \cdot \delta\underline{\boldsymbol{v}} \, d\Gamma. \tag{3}$$

for all virtual displacements  $\delta \underline{v}$  satisfying a zero boundary condition on  $\Gamma_d$ . We assume that the strain energy density function  $\Psi$  is polyconvex (cf e.g [1]) so that local minimizers of the energy functional exist. In the present work, we will mainly consider hyperelastic materials of Neohookean type extended to the compressible range such that

$$\Psi(\underline{\underline{F}}) = \frac{\mu}{2} \left(\underline{\underline{F}} : \underline{\underline{F}} - d\right) - \mu \ln J + \frac{\lambda}{2} \Theta(J)^2, \tag{4}$$

where  $J \in \mathbb{R}_{>0}$  is the determinant of  $\underline{F}$ ,  $\mu$  and  $\lambda$  are material constants, and  $\Theta : \mathbb{R}_{>0} \to \mathbb{R}$ is a smooth function such that  $\Theta(J) = 0 \Leftrightarrow J = 1$  and  $\Theta'(1) \neq 0$ . The function  $\Theta$  represents the volumetric deformation energy, and the potential  $\Psi$  defined by (4) satisfies the principle of material frame indifference [9]. For further insight into the physical meaning, we refer the reader to [36, Chap.7]. For later use, it is convenient to derive directly from (4) the first Piola–Kirchhoff stress tensor

$$\underline{\underline{P}}(\underline{\underline{F}}) = \mu(\underline{\underline{F}} - \underline{\underline{F}}^{-T}) + \lambda J \Theta(J) \Theta'(J) \underline{\underline{F}}^{-T},$$
(5)

where we have used that  $\partial_{\underline{F}}J = J\underline{\underline{F}}^{-T}$ , as well as the elastic modulus

$$\underline{\underline{\mathbb{A}}}(\underline{\underline{F}}) = \mu(\underline{\underline{I}} \otimes \underline{\underline{I}} + \underline{\underline{F}}^{-T} \otimes \underline{\underline{F}}^{-1}) - \lambda J \Theta(J) \Theta'(J) \underline{\underline{F}}^{-T} \otimes \underline{\underline{F}}^{-1} + \lambda \left[ J \Theta(J) (J \Theta''(J) + \Theta'(J)) + (J \Theta'(J))^2 \right] \underline{\underline{F}}^{-T} \otimes \underline{\underline{F}}^{-T}.$$
(6)

where  $\otimes, \underline{\otimes}$  and  $\overline{\otimes}$  are defined such that  $\{\circ \otimes \bullet\}_{ijkl} = \{\circ\}_{ij}\{\bullet\}_{kl}, \{\circ \underline{\otimes} \bullet\}_{ijkl} = \{\circ\}_{il}\{\bullet\}_{jk}$  and  $\{\circ \overline{\otimes} \bullet\}_{ijkl} = \{\circ\}_{ik}\{\bullet\}_{jl}$ , for all  $1 \leq i, j, k, l \leq d$ .

# <sup>146</sup> 3 The Hybrid High-Order method

In this section, we present the unstabilized and stabilized HHO methods to be considered in ournumerical tests.

#### <sup>149</sup> 3.1 Discrete setting

Let  $(\mathcal{T}^h)_{h>0}$  be a shape-regular sequence of affine simplicial meshes with no hanging nodes of the 150 domain  $\Omega_0$ . A generic mesh cell in  $\mathcal{T}^h$  is denoted  $T \in \mathcal{T}^h$ , its diameter  $h_T$ , and its unit outward 151 normal  $\underline{n}_T$ . It is customary to define the global mesh-size as  $h = \max_{T \in \mathcal{T}^h} h_T$ . The mesh faces 152 are collected in the set  $\mathcal{F}^h$ , and a generic mesh face is denoted  $F \in \mathcal{F}^h$ . The set  $\mathcal{F}^h$  is further 153 partitioned into the subset  $\mathcal{F}_i^h$  which is the collection of mesh interfaces and the subset  $\mathcal{F}_h^h$  which 154 is the collection of mesh faces located at the boundary  $\Gamma$ . We assume that the mesh is compatible 155 with the partition of the boundary  $\Gamma$  into  $\Gamma_d$  and  $\Gamma_n$ , and we further split the set  $\mathcal{F}_b^h$  into the disjoint subsets  $\mathcal{F}_{b,d}^h$  and  $\mathcal{F}_{b,n}^h$  with obvious notation. For all  $T \in \mathcal{T}^h$ ,  $\mathcal{F}_{\partial T}$  is the collection of the 156 157 mesh faces that are subsets of  $\partial T$ . 158

Let  $k \geq 1$  be a fixed polynomial degree. In each mesh cell  $T \in \mathcal{T}^h$ , the local HHO unknowns are a pair  $(\underline{\boldsymbol{v}}_T, \underline{\boldsymbol{v}}_{\partial T})$ , where the cell unknown  $\underline{\boldsymbol{v}}_T \in \mathbb{P}^k_d(T; \mathbb{R}^d)$  is a vector-valued *d*-variable polynomial of degree at most k in the mesh cell T, and  $\underline{\boldsymbol{v}}_{\partial T} \in \mathbb{P}^k_{d-1}(\mathcal{F}_{\partial T}; \mathbb{R}^d) = \times_{F \in \mathcal{F}_{\partial T}} \mathbb{P}^k_{d-1}(F; \mathbb{R}^d)$  is a piecewise, vector-valued polynomial of degree at most k on each face  $F \in \mathcal{F}_{\partial T}$ . We write more concisely that

$$(\underline{\boldsymbol{v}}_T, \underline{\boldsymbol{v}}_{\partial T}) \in \underline{\boldsymbol{U}}_T^k := \mathbb{P}_d^k(T; \mathbb{R}^d) \times \mathbb{P}_{d-1}^k(\mathcal{F}_{\partial T}; \mathbb{R}^d).$$
(7)

<sup>164</sup> The degrees of freedom are illustrated in Figure 1, where a dot indicates one degree of freedom <sup>165</sup> (and is not necessarily computed as a point evaluation). More generally, the polynomial degree



Figure 1: Face (black) and cell (gray) degrees of freedom in  $\underline{U}_T^k$  for k = 1 and k = 2 in the two dimensional case.

*k* of the face unknowns being fixed, HHO methods can be devised using cell unknowns that are polynomials of degree  $l \in \{k - 1, k, k + 1\}$ , see [11]; these variants are not further considered herein. We equip the space  $\underline{U}_T^k$  with the following local discrete strain semi-norm:

$$|(\underline{\boldsymbol{v}}_T, \underline{\boldsymbol{v}}_{\partial T})|_{1,T}^2 := \|\underline{\boldsymbol{\nabla}}_X \underline{\boldsymbol{v}}_T\|_{\underline{\boldsymbol{L}}^2(T)}^2 + \|\gamma_{\partial T}^{\frac{1}{2}}(\underline{\boldsymbol{v}}_T - \underline{\boldsymbol{v}}_{\partial T})\|_{\underline{\boldsymbol{L}}^2(\partial T)}^2, \tag{8}$$

with the piecewise constant function  $\gamma_{\partial T}$  such that  $\gamma_{\partial T|F} = h_F^{-1}$  for all  $F \in \mathcal{F}_{\partial T}$  where  $h_F$  is the diameter of F. We notice that  $|(\underline{v}_T, \underline{v}_{\partial T})|_{1,T} = 0$  implies that both functions  $\underline{v}_T$  and  $\underline{v}_{\partial T}$  are constant and take the same constant value.

### 172 3.2 Local gradient reconstruction

A crucial ingredient in the devising of the HHO method is a local gradient reconstruction in each mesh cell  $T \in \mathcal{T}^h$ . This reconstruction is materialized by an operator  $\underline{\underline{G}}_T : \underline{\underline{U}}_T^k \to \underline{\underline{R}}(T; \mathbb{R}^{d \times d})$ , where  $\underline{\underline{R}}(T; \mathbb{R}^{d \times d})$  is some linear space composed of  $\mathbb{R}^{d \times d}$ -valued polynomials in  $\overline{T}$ . For all  $(\underline{v}_T, \underline{v}_{\partial T}) \in \underline{\underline{U}}_T^k$ , the reconstructed gradient  $\underline{\underline{G}}_T(\underline{v}_T, \underline{v}_{\partial T}) \in \underline{\underline{R}}(T; \mathbb{R}^{d \times d})$  is obtained by solving the following local problem: For all  $\underline{\tau} \in \underline{\underline{R}}(T; \mathbb{R}^{d \times d})$ ,

$$(\underline{\underline{G}}_{T}(\underline{\underline{v}}_{T},\underline{\underline{v}}_{\partial T}),\underline{\underline{\tau}})\underline{\underline{\underline{\tau}}}^{2}(T) = (\underline{\underline{\nabla}}_{X}\underline{\underline{v}}_{T},\underline{\underline{\tau}})\underline{\underline{\underline{L}}}^{2}(T) + (\underline{\underline{v}}_{\partial T}-\underline{\underline{v}}_{T},\underline{\underline{\tau}}\,\underline{\underline{n}}_{T})\underline{\underline{L}}^{2}(\partial T).$$
(9)

Solving this problem entails inverting the mass matrix associated with a chosen basis of the polynomial space  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d})$ . In the present work, we consider three choices for the reconstruction space  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d})$ . The choice  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d}) := \mathbb{P}_d^k(T; \mathbb{R}^{d \times d})$  is considered in the context of the stabilized HHO method which is further described in Section 3.4. The other two choices are  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d})$  with the Raviart–Thomas–Nédélec (RTN) space  $\mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d}) =$  $\overline{\mathbb{P}_d^k}(T; \mathbb{R}^{d \times d}) \oplus \mathbb{P}_d^k(T; \mathbb{R}^d) \otimes \underline{\mathbf{X}}$ , or  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{P}_d^{k+1}(T; \mathbb{R}^{d \times d})$ . These choices are considered in the context of the unstabilized HHO method which is further described in Section 3.3.

Lemma 1 (Boundedness and stability) The gradient reconstruction operator defined by (9) enjoys the following properties: (i) Boundedness: There is  $\alpha_{\sharp}$ , uniform w.r.t. h, so that, for all  $T \in \mathcal{T}^h$ ,

$$\|\underline{\underline{G}}_{T}(\underline{\underline{v}}_{T},\underline{\underline{v}}_{\partial T})\|_{\underline{\underline{L}}^{2}(T)} \leq \alpha_{\sharp} |(\underline{\underline{v}}_{T},\underline{\underline{v}}_{\partial T})|_{1,T}, \qquad \forall (\underline{\underline{v}}_{T},\underline{\underline{v}}_{\partial T}) \in \underline{\underline{U}}_{T}^{k}.$$
(10)

(ii) Stability: Provided  $\mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d}) \subseteq \underline{\underline{R}}(T; \mathbb{R}^{d \times d})$ , there is  $\alpha_{\flat} > 0$ , uniform w.r.t. h, so that, for all  $T \in \mathcal{T}^h$ ,

$$\|\underline{\underline{G}}_{T}(\underline{v}_{T},\underline{v}_{\partial T})\|_{\underline{\underline{L}}^{2}(T)} \ge \alpha_{\flat}|(\underline{v}_{T},\underline{v}_{\partial T})|_{1,T}, \qquad \forall (\underline{v}_{T},\underline{v}_{\partial T}) \in \underline{\underline{U}}_{T}^{k}$$
(11)

**Proof.** The boundedness property (10) follows by applying the Cauchy–Schwarz inequality to the right-hand side of (9) and a discrete trace inequality so as to bound  $\|\underline{\underline{\tau}} \underline{n}_T\|_{\underline{L}^2(\partial T)}$  by  $h_T^{-\frac{1}{2}} \|\underline{\underline{\tau}}\|_{\underline{\underline{L}}^2(T)}$ . The proof of the stability property (11) is inspired from [28]; we sketch it for completeness. Let  $(\underline{v}_T, \underline{v}_{\partial T}) \in \underline{U}_T^k$ . We need to find a partner  $\underline{\underline{\tau}} \in \underline{\underline{R}}(T; \mathbb{R}^{d \times d})$  so that (i)  $|(\underline{v}_T, \underline{v}_{\partial T})|_{1,T}^2 \leq c(\underline{\underline{G}}_T(\underline{v}_T, \underline{v}_{\partial T}), \underline{\underline{\tau}})_{\underline{\underline{L}}^2(T)}$  and (ii)  $\|\underline{\underline{\tau}}\|_{\underline{\underline{L}}^2(T)} \leq c(|(\underline{v}_T, \underline{v}_{\partial T})|_{1,T}$  for some constant c uniform w.r.t. h. Owing to our assumption  $\mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d}) \subseteq \underline{\underline{R}}(T; \mathbb{R}^{d \times d})$ , we can build  $\underline{\underline{\tau}} \in \mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d})$ , and we do so by prescribing its canonical degrees of freedom in T as follows:

$$(\underline{\underline{\tau}}, \underline{\underline{\phi}})_{\underline{\underline{L}}^2(T)} = (\underline{\underline{\nabla}}_X \underline{\underline{v}}_T, \underline{\underline{\phi}})_{\underline{\underline{L}}^2(T)}, \qquad \forall \underline{\underline{\phi}} \in \mathbb{P}_d^{k-1}(T; \mathbb{R}^{d \times d}), \\ (\underline{\underline{\tau}}, \underline{\underline{n}}_T, \underline{\underline{\phi}})_{\underline{\underline{L}}^2(\partial T)} = (\gamma_{\partial T} (\underline{\underline{v}}_{\partial T} - \underline{\underline{v}}_T), \underline{\underline{\phi}})_{\underline{\underline{L}}^2(\partial T)}, \quad \forall \underline{\underline{\phi}} \in \mathbb{P}_{d-1}^k(\mathcal{F}_{\partial T}; \mathbb{R}^d).$$

With this choice, the above property (i) holds true since  $(\underline{\underline{G}}_T(\underline{v}_T, \underline{v}_{\partial T}), \underline{\underline{\tau}})_{\underline{\underline{L}}^2(T)} = |(\underline{v}_T, \underline{v}_{\partial T})|_{1,T}^2$ , whereas (ii) can be shown by using the classical stability of RTN functions in terms of their canonical degrees of freedom.  $\Box$ 

**Remark 2 (General meshes)** The above stability proof exploits the properties of the RTN functions on simplicial meshes. If the meshes contain hanging nodes or cells with more general shapes, one possibility considered in the recent work [17] is to reconstruct the gradient using piecewise RTN functions on a simplicial submesh of the mesh cell  $T \in \mathcal{T}^h$ . Another construction has been recently devised in [25] for dG methods using a high-order lifting of the jumps on a simplicial submesh.

### <sup>198</sup> 3.3 The unstabilized HHO method

Let us set  $\mathbb{P}^k_d(\mathcal{T}^h; \mathbb{R}^d) := \times_{T \in \mathcal{T}^h} \mathbb{P}^k_d(T; \mathbb{R}^d)$  and  $\mathbb{P}^k_{d-1}(\mathcal{F}^h; \mathbb{R}^d) := \times_{F \in \mathcal{F}^h} \mathbb{P}^k_{d-1}(F; \mathbb{R}^d)$ . The global space of discrete HHO unknowns is defined as

$$\underline{U}_{h}^{k} := \mathbb{P}_{d}^{k}(\mathcal{T}^{h}; \mathbb{R}^{d}) \times \mathbb{P}_{d-1}^{k}(\mathcal{F}^{h}; \mathbb{R}^{d}).$$
(12)

For an element  $\underline{\boldsymbol{v}}_h \in \underline{\boldsymbol{U}}_h^k$ , we use the notation  $\underline{\boldsymbol{v}}_h = (\underline{\boldsymbol{v}}_{\mathcal{T}^h}, \underline{\boldsymbol{v}}_{\mathcal{T}^h})$ . For any mesh cell  $T \in \mathcal{T}^h$ , we denote by  $(\underline{\boldsymbol{v}}_T, \underline{\boldsymbol{v}}_{\partial T}) \in \underline{\boldsymbol{U}}_T^k$  the local components of  $\underline{\boldsymbol{v}}_h$  attached to the mesh cell T and the faces composing its boundary, and for any mesh face  $F \in \mathcal{F}^h$ , we denote by  $\underline{\boldsymbol{v}}_F$  the component attached to the face F. The Dirichlet boundary condition on the displacement field can be enforced explicitly on the discrete unknowns attached to the boundary faces in  $\mathcal{F}_{b,d}^h$ . We set

$$\underline{\boldsymbol{U}}_{h,d}^{k} := \left\{ (\underline{\boldsymbol{v}}_{\mathcal{T}^{h}}, \underline{\boldsymbol{v}}_{\mathcal{F}^{h}}) \in \underline{\boldsymbol{U}}_{h}^{k} \mid \underline{\boldsymbol{v}}_{F} = \underline{\boldsymbol{\Pi}}_{F}^{k}(\underline{\boldsymbol{u}}_{d}), \ \forall F \in \mathcal{F}_{b,d}^{h} \right\},$$
(13a)

$$\underline{U}_{h,0}^{k} := \left\{ (\underline{v}_{\mathcal{T}^{h}}, \underline{v}_{\mathcal{F}^{h}}) \in \underline{U}_{h}^{k} \mid \underline{v}_{F} = \underline{0}, \ \forall F \in \mathcal{F}_{b,d}^{h} \right\},$$
(13b)

where  $\underline{\Pi}_{F}^{k}$  denotes the  $L^{2}$ -orthogonal projector onto  $\mathbb{P}_{d-1}^{k}(F; \mathbb{R}^{d})$ .

The discrete counterpart of the energy functional  $\mathcal{E}$  defined by (2) is the discrete energy functional  $\mathcal{E}_h^{\mathrm{u}} : \underline{U}_h^k \to \mathbb{R}$  is defined by

$$\mathcal{E}_{h}^{\mathbf{u}}(\underline{\boldsymbol{v}}_{\mathcal{T}^{h}},\underline{\boldsymbol{v}}_{\mathcal{F}^{h}}) = \sum_{T\in\mathcal{T}^{h}} \left\{ \int_{T} \Psi(\underline{\boldsymbol{F}}_{T}(\underline{\boldsymbol{v}}_{T},\underline{\boldsymbol{v}}_{\partial T})) \, dT - \int_{T} \underline{\boldsymbol{f}} \cdot \underline{\boldsymbol{v}}_{T} \, dT \right\} - \sum_{F\in\mathcal{F}_{b,n}^{h}} \int_{F} \underline{\boldsymbol{t}} \cdot \underline{\boldsymbol{v}}_{F} \, dF, \qquad (14)$$

for all  $(\underline{\boldsymbol{v}}_{\mathcal{T}^h}, \underline{\boldsymbol{v}}_{\mathcal{F}^h}) \in \underline{\boldsymbol{U}}_{h,d}^k$ , with the local deformation gradient operator  $\underline{\underline{\boldsymbol{F}}}_T : \underline{\boldsymbol{U}}_T^k \to \underline{\underline{\boldsymbol{R}}}(T; \mathbb{R}^{d \times d})$ such that  $\underline{\underline{\boldsymbol{F}}}_T(\underline{\boldsymbol{v}}_T, \underline{\boldsymbol{v}}_{\partial T}) := \underline{\underline{\boldsymbol{I}}} + \underline{\underline{\boldsymbol{G}}}_T(\underline{\boldsymbol{v}}_T, \underline{\boldsymbol{v}}_{\partial T})$  where the local gradient reconstruction space is  $\underline{\underline{\boldsymbol{R}}}(T; \mathbb{R}^{d \times d}) = \mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d})$  or  $\underline{\underline{\underline{R}}}(T; \mathbb{R}^{d \times d}) = \mathbb{P}_d^{k+1}(T; \mathbb{R}^{d \times d}).$  The discrete problem consists in seeking for the stationary points of the discrete energy functional  $\mathcal{E}_h^{\mathrm{u}}$ . This leads to the following discrete equations: Find  $(\underline{u}_{\mathcal{T}^h}, \underline{u}_{\mathcal{F}^h}) \in \underline{U}_{h,d}^k$  such that

$$\sum_{T\in\mathcal{T}^{h}} (\underline{\underline{P}}_{T}(\underline{\underline{u}}_{T},\underline{\underline{u}}_{\partial T})), \underline{\underline{G}}_{T}(\delta\underline{\underline{v}}_{T},\delta\underline{\underline{v}}_{\partial T})) \underline{\underline{L}}^{2}(T) = \sum_{T\in\mathcal{T}^{h}} (\underline{\underline{f}},\delta\underline{\underline{v}}_{T}) \underline{\underline{L}}^{2}(T) + \sum_{F\in\mathcal{F}^{h}_{b,n}} (\underline{\underline{t}},\delta\underline{\underline{v}}_{F}) \underline{\underline{L}}^{2}(F), \quad (15)$$

for any generic virtual displacement  $(\delta \underline{v}_{\mathcal{T}^h}, \delta \underline{v}_{\mathcal{F}^h}) \in \underline{U}_{h,0}^k$ . The discrete problem (15) expresses 209 the principle of virtual work at the global level. As is often the case with discrete formulations 210 based on face-based discrete unknowns, it is possible to devise a local principle of virtual work in 211 terms of face-based discrete tractions that comply with the law of action and reaction. This has 212 been shown in [11] for HHO methods applied to the diffusion equation, and the argument extends 213 immediately to the present context. Let  $T \in \mathcal{T}^h$  be a mesh cell and let  $F \in \mathcal{F}_{\partial T}$  be one of its 214 faces. Let  $\underline{n}_{TF}$  denote the restriction to F of the unit outward normal vector  $\underline{n}_{T}$ . Let us define 215 the discrete traction 216

$$\underline{T}_{T,F} = \underline{\Pi}_{F}^{k} (\underline{\underline{\Pi}}_{T}^{R} (\underline{\underline{P}}_{T} (\underline{\underline{P}}_{T} (\underline{\underline{u}}_{T}, \underline{\underline{u}}_{\partial T}))) \cdot \underline{\underline{n}}_{TF}),$$
(16)

where  $\underline{\Pi}_{T}^{R}$  denotes the  $L^{2}$ -orthogonal projector onto  $\underline{\underline{R}}(T; \mathbb{R}^{d \times d})$ . (Note that the projector  $\underline{\Pi}_{F}^{k}$  is not needed if  $\underline{\underline{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{RTN}_{d}^{k}(T; \mathbb{R}^{d \times d})$  since the normal component on  $\partial T$  of functions in  $\mathbb{RTN}_{d}^{k}(T; \mathbb{R}^{d \times d})$  is in  $\mathbb{P}_{d-1}^{k}(\partial T; \mathbb{R}^{d})$ .)

Lemma 3 (Equilibrated tractions) The following local principle of virtual work holds for all  $T \in \mathcal{T}^h$ : For all  $\delta \underline{v}_T \in \mathbb{P}^k_d(T; \mathbb{R}^d)$ ,

$$(\underline{\underline{P}}(\underline{\underline{F}}_{T}(\underline{\underline{u}}_{T},\underline{\underline{u}}_{\partial T})), \underline{\underline{\nabla}}_{X}\delta\underline{\underline{v}}_{T})\underline{\underline{L}}^{2}(T) - \sum_{F\in\mathcal{F}_{\partial T}}(\underline{\underline{T}}_{T,F},\delta\underline{\underline{v}}_{T})\underline{\underline{L}}^{2}(F) = (\underline{\underline{f}},\delta\underline{\underline{v}}_{T})\underline{\underline{L}}^{2}(T),$$
(17)

where the discrete tractions  $\underline{T}_{T,F} \in \mathbb{P}_{d-1}^{k}(F;\mathbb{R}^{d})$  defined by (16) satisfy the following law of action and reaction for all  $F \in \mathcal{F}_{i}^{h} \cup \mathcal{F}_{b,n}^{h}$ :

$$\underline{T}_{T_{-},F} + \underline{T}_{T_{+},F} = \underline{0}, \quad if \ F \in \mathcal{F}_{i}^{h} \ with \ \partial T_{-} \cap \partial T_{+} = F, \tag{18a}$$

$$\underline{T}_{T,F} = \underline{\Pi}_{F}^{k}(\underline{t}), \qquad \text{if } F \in \mathcal{F}_{b,n}^{h} \text{ with } \partial T \cap \Gamma_{n} = F.$$
(18b)

**Proof.** We follow the ideas in [11]. The local principle of virtual work (17) follows by considering the virtual displacement  $((\delta \underline{v}_T \delta_{T,T'})_{T' \in \mathcal{T}^h}, (\underline{0})_{F \in \mathcal{F}^h}) \in \underline{U}_{h,0}^k$  in (15), with the Kronecker delta such that  $\delta_{T,T'} = 1$  if T = T' and  $\delta_{T,T'} = 0$  otherwise, and observing that, owing to (9), we have

$$\begin{split} (\underline{f}, \delta \underline{v}_T)_{\underline{L}^2(T)} &= (\underline{\underline{P}}(\underline{\underline{F}}_T(\underline{u}_T, \underline{u}_{\partial T})), \underline{\underline{G}}_T(\delta \underline{v}_T, \underline{0}))_{\underline{\underline{L}}^2(T)} \\ &= (\underline{\underline{\mathbf{I}}}_T^R \underline{\underline{P}}(\underline{\underline{F}}_T(\underline{u}_T, \underline{u}_{\partial T})), \underline{\underline{G}}_T(\delta \underline{v}_T, \underline{0}))_{\underline{\underline{L}}^2(T)} \\ &= (\underline{\underline{\mathbf{I}}}_T^R (\underline{\underline{P}}(\underline{\underline{F}}_T(\underline{u}_T, \underline{u}_{\partial T}))), \underline{\underline{\nabla}}_X \delta \underline{v}_T)_{\underline{\underline{L}}^2(T)} \\ &- \sum_{F \in \mathcal{F}_{\partial T}} (\underline{\underline{\mathbf{I}}}_T^R (\underline{\underline{P}}(\underline{\underline{F}}_T(\underline{u}_T, \underline{u}_{\partial T}))) \underline{n}_{TF}, \delta \underline{v}_T)_{\underline{L}^2(F)} \\ &= (\underline{\underline{P}}(\underline{\underline{F}}_T(\underline{u}_T, \underline{u}_{\partial T})), \underline{\underline{\nabla}}_X \delta \underline{v}_T)_{\underline{\underline{L}}^2(T)} - \sum_{F \in \mathcal{F}_{\partial T}} (\underline{\underline{T}}_{T,F}, \delta \underline{v}_T)_{\underline{L}^2(F)}. \end{split}$$

222 Similarly, the balance properties (18) follow by considering, for all  $F \in \mathcal{F}_i^h \cup \mathcal{F}_{b,n}^h$ , the virtual

- displacement  $((\underline{0})_{T \in \mathcal{T}^h}, (\delta \underline{v}_F \delta_{F,F'})_{F' \in \mathcal{F}^h}) \in \underline{U}_{h,0}^k$  in (15) (with obvious notation for the face-based
- Kronecker delta), and observing that both  $\delta \underline{v}_F$  and  $\underline{T}_{T_{\pm},F}$  are in  $\mathbb{P}^k_{d-1}(F;\mathbb{R}^d)$ .  $\Box$

Finally, we discuss the choice of the gradient reconstruction space where one can set either  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d})$  or  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{P}_d^{k+1}(T; \mathbb{R}^{d \times d})$ . The first choice rests on firmer theoretical foundations, but the price to pay is that one needs the RTN basis functions which are often not available in nonlinear elasticity codes. The key property with  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d}) =$  $\mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d})$  is that the normal component on  $\partial T$  of functions in  $\mathbb{RTN}_d^k(T; \mathbb{R}^{d \times d})$  is in the space  $\mathbb{P}_{d-1}^k(\partial T; \mathbb{R}^d)$  used for the face-based HHO unknowns (the normal components of such functions actually span  $\mathbb{P}_{d-1}^k(\partial T; \mathbb{R}^d)$ ). Proceeding as in [18] then leads to the following important commuting property:

$$\underline{\underline{G}}_{T}(\underline{I}_{T,\partial T}(\underline{v})) = \underline{\underline{\Pi}}_{T}^{R}(\underline{\underline{\nabla}}_{X}\underline{v}), \qquad \forall \underline{v} \in H^{1}(T; \mathbb{R}^{d}),$$
(19)

with the reduction operator  $\underline{I}_{T,\partial T}: H^1(T; \mathbb{R}^d) \to \underline{U}_T^k$  such that  $\underline{I}_{T,\partial T}(\underline{v}) = (\underline{\Pi}_T^k(\underline{v}), \underline{\Pi}_{\partial T}^k(\underline{v})),$ 233 where  $\underline{\mathbf{\Pi}}_{T}^{k}$  is the  $L^{2}$ -orthogonal projector onto  $\mathbb{P}_{d}^{k}(T; \mathbb{R}^{d})$  and  $\underline{\mathbf{\Pi}}_{\partial T}^{k}$  is the  $L^{2}$ -orthogonal projector onto  $\mathbb{P}_{d-1}^{k}(\mathcal{F}_{\partial T}; \mathbb{R}^{d})$ . Proceeding as in [18, Thm. 8] and using the approximation properties of the 234 235 RTN finite elements, one can show that for the linear elasticity problem and smooth solutions, the 236 energy error  $(\sum_{T \in \mathcal{T}^h} \|\underline{\underline{\nabla}}_X \underline{u} - \underline{\underline{G}}_T (\underline{u}_T, \underline{u}_{\partial T})\|_{\underline{\underline{L}}^2(T)}^2$  converges as  $h^{k+1} |\underline{u}|_{\underline{\underline{H}}^{k+2}(\Omega_0)}$ . Considering instead the choice  $\underline{\underline{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{P}_d^{k+1}(T; \mathbb{R}^{d \times d})$  leads to a larger space for the local gradient 237 238 reconstruction (for  $\overline{d} = 3$ , the local space is of dimension 45 (k = 1) and 108 (k = 2) for RTN 239 functions and of dimension 90 (k = 1) and 180 (k = 2) for  $\mathbb{R}^{d \times d}$ -valued polynomials of order 240 (k + 1)), which is the price to pay to exploit simple polynomial bases. Furthermore, the above 241 property on the normal component of functions in  $\underline{R}(T; \mathbb{R}^{d \times d})$  no longer holds. Therefore, one no 242 longer has (19); however, one can infer from (9) the weaker property 243

$$\underline{\underline{G}}_{T}(\underline{\tilde{I}}_{T,\partial T}(\underline{v})) = \underline{\underline{\nabla}}_{X}(\underline{\Pi}_{T}^{k}(\underline{v})), \qquad \forall \underline{v} \in H^{1}(T; \mathbb{R}^{d}),$$
(20)

with the reduction operator  $\underline{\tilde{I}}_{T,\partial T}: H^1(T; \mathbb{R}^d) \to \underline{U}_T^k$  such that  $\underline{\tilde{I}}_{T,\partial T}(\underline{v}) = (\underline{\Pi}_T^k(\underline{v}), \underline{\Pi}_T^k(\underline{v}), \underline{\Pi}_T^k(\underline{v})|_{\partial T}).$ Proceeding as in [18, Thm. 8], one can show that for the linear elasticity problem and smooth solutions, the energy error  $(\sum_{T \in \mathcal{T}^h} \|\underline{\nabla}_X \underline{u} - \underline{G}_T(\underline{u}_T, \underline{u}_{\partial T})\|_{\underline{L}^2(T)}^2)^{\frac{1}{2}}$  converges as  $h^k |\underline{u}|_{\underline{H}^{k+1}(\Omega_0)}.$ This convergence rate will be confirmed by the experiments reported in Section 4.1. Finally, regardless of the choice of  $\underline{R}(T; \mathbb{R}^{d \times d})$ , testing (9) with a function  $\underline{\tau} = q\underline{I} \in \mathbb{P}_d^k(T; \mathbb{R}^{d \times d})$  with qarbitrary in  $\mathbb{P}_d^k(T; \mathbb{R})$ , one can show that

$$\Pi_{T}^{k}(\operatorname{tr}(\underline{\underline{G}}_{T}(\underline{I}_{T,\partial T}(\underline{v}))) = \Pi_{T}^{k}(\nabla \cdot \underline{v}), \qquad \forall \underline{v} \in H^{1}(T; \mathbb{R}^{d}).$$

$$(21)$$

The presence of the projector  $\Pi_T^k$  on the left-hand side indicates that  $\operatorname{tr}(\underline{G}_T(\underline{I}_{T,\partial T}(\underline{v})))$  may be affected by a high-order perturbation hampering the argument of [18, Prop. 3] to prove robustness in the quasi-incompressible limit for linear elasticity. Nevertheless, we observe absence of locking in the numerical experiments performed in Sections 4.2 and 5.

## <sup>254</sup> 3.4 The stabilized HHO method

The discrete unknowns in the stabilized HHO method are exactly the same as those in the unstabilized HHO method. The only difference is in the form of the discrete elastic energy. In the stabilized HHO method, the gradient is reconstructed locally in the polynomial space  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{P}_{d}^{k}(T; \mathbb{R}^{d \times d})$  for all  $T \in \mathcal{T}^{h}$ . Since the norm  $\|\underline{\mathbf{G}}_{T}(\underline{\boldsymbol{v}}_{T}, \underline{\boldsymbol{v}}_{\partial T})\|_{\underline{\mathbf{L}}^{2}(T)}$  does not control the semi-norm  $|(\underline{\boldsymbol{v}}_{T}, \underline{\boldsymbol{v}}_{\partial T})|_{T}$  for all  $(\underline{\boldsymbol{v}}_{T}, \underline{\boldsymbol{v}}_{\partial T}) \in \underline{U}_{T}^{k}$  (as can be seen from a simple counting argument based on the dimension of the involved spaces), we need to augment the discrete elastic energy by a stabilization semi-norm. This semi-norm is based on the usual stabilization operator for HHO methods  $\underline{S}_{\partial T}^k : \underline{U}_T^k \to \mathbb{P}_{d-1}^k(\mathcal{F}_{\partial T}; \mathbb{R}^d)$  such that, for all  $(\underline{v}_T, \underline{v}_{\partial T}) \in \underline{U}_T^k$ ,

$$\underline{S}_{\partial T}^{k}(\underline{v}_{T},\underline{v}_{\partial T}) = \underline{\Pi}_{\partial T}^{k} \left( \underline{v}_{\partial T} - \underline{D}_{T}^{k+1}(\underline{v}_{T},\underline{v}_{\partial T})_{|\partial T} - (\underline{v}_{T} - \underline{\Pi}_{T}^{k}(\underline{D}_{T}^{k+1}(\underline{v}_{T},\underline{v}_{\partial T})))_{|\partial T} \right),$$
(22)

with the local displacement reconstruction operator  $\underline{D}_T^{k+1} : \underline{U}_T^k \to \mathbb{P}_d^{k+1}(T; \mathbb{R}^d)$  such that, for all  $(\underline{v}_T, \underline{v}_{\partial T}) \in \underline{U}_T^k$ ,  $\underline{D}_T^{k+1}(\underline{v}_T, \underline{v}_{\partial T}) \in \mathbb{P}_d^{k+1}(T; \mathbb{R}^d)$  is obtained by solving the following Neumann problem in T: For all  $\underline{w} \in \mathbb{P}_d^{k+1}(T; \mathbb{R}^d)$ ,

$$(\underline{\boldsymbol{\nabla}}_{X}\underline{\boldsymbol{D}}_{T}^{k+1}(\underline{\boldsymbol{v}}_{T},\underline{\boldsymbol{v}}_{\partial T}),\underline{\boldsymbol{\nabla}}_{X}\underline{\boldsymbol{w}})_{\underline{\boldsymbol{L}}^{2}(T)} = (\underline{\boldsymbol{\nabla}}_{X}\underline{\boldsymbol{v}}_{T},\underline{\boldsymbol{\nabla}}_{X}\underline{\boldsymbol{w}})_{\underline{\boldsymbol{L}}^{2}(T)} + (\underline{\boldsymbol{v}}_{\partial T}-\underline{\boldsymbol{v}}_{T},\underline{\boldsymbol{\nabla}}_{X}\underline{\boldsymbol{w}}\,\underline{\boldsymbol{n}}_{T})_{\underline{\boldsymbol{L}}^{2}(\partial T)},$$
(23)

and additionally enforcing that  $\int_T \underline{D}_T^{k+1}(\underline{v}_T, \underline{v}_{\partial T}) dT = \int \underline{v}_T dT$ . Comparing with (9), one readily sees that  $\underline{\nabla}_X \underline{D}_T^{k+1}(\underline{v}_T, \underline{v}_{\partial T})$  is the  $L^2$ -orthogonal projection of  $\underline{G}_T(\underline{v}_T, \underline{v}_{\partial T})$  onto the subspace  $\underline{\nabla}_X \mathbb{P}_d^{k+1}(T; \mathbb{R}^d) \subseteq \mathbb{P}_d^k(T; \mathbb{R}^{d \times d}) = \underline{R}(T; \mathbb{R}^{d \times d})$ . Following [18, Lemma 4], it is straightforward to establish the following stability and boundedness properties (the proof is omitted for brevity).

**Lemma 4 (Boundedness and stability)** Let the gradient reconstruction operator be defined by (9) with  $\underline{\mathbf{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{P}_d^k(T; \mathbb{R}^{d \times d})$ . Let the stabilization operator be defined by (22). Then, there exist real numbers  $0 < \alpha_{\flat} < \alpha_{\sharp}$ , uniform w.r.t. h, such that

$$\alpha_{\flat}|(\underline{\boldsymbol{v}}_{T},\underline{\boldsymbol{v}}_{\partial T})|_{1,T} \leq \left(\|\underline{\boldsymbol{G}}_{T}(\underline{\boldsymbol{v}}_{T},\underline{\boldsymbol{v}}_{\partial T})\|_{\underline{\boldsymbol{L}}^{2}(T)}^{2} + \|\gamma_{\partial T}^{\frac{1}{2}}\underline{\boldsymbol{S}}_{\partial T}^{k}(\underline{\boldsymbol{v}}_{T},\underline{\boldsymbol{v}}_{\partial T})\|_{\underline{\boldsymbol{L}}^{2}(\partial T)}\right)^{\frac{1}{2}} \leq \alpha_{\sharp}|(\underline{\boldsymbol{v}}_{T},\underline{\boldsymbol{v}}_{\partial T})|_{1,T},$$

$$(24)$$

for all  $T \in \mathcal{T}^h$  and all  $(\underline{v}_T, \underline{v}_{\partial T}) \in \underline{U}_T^k$ , with  $\gamma_{\partial T}$  defined below (8).

**Remark 5 (HDG-type stabilization)** In general, HDG methods are presented with the stabilization operator  $\underline{\tilde{S}}_{\partial T}^{k}(\underline{v}_{T}, \underline{v}_{\partial T}) = \underline{v}_{\partial T} - \underline{v}_{T}$  in the equal-order case, or  $\underline{\tilde{S}}_{\partial T}^{k}(\underline{v}_{T}, \underline{v}_{\partial T}) = \underline{\Pi}_{\partial T}^{k}(\underline{v}_{\partial T} - \underline{v}_{T})$  if the cell unknowns are taken to be polynomials of order (k+1) (see [31]). The definition (22), introduced in [18], enjoys, even in the equal-order case, the high-order approximation property  $\|\gamma_{\partial T}^{\frac{1}{2}}\underline{S}_{\partial T}^{k}(\underline{I}_{T,\partial T}(\underline{v}))\|_{\underline{L}^{2}(\partial T)} \leq ch_{T}^{k+1}|\underline{v}|_{\underline{H}^{k+2}(T)}$  with the reduction operator  $\underline{I}_{T,\partial T}: H^{1}(T; \mathbb{R}^{d}) \rightarrow$  $\underline{U}_{T}^{k}$  defined below (19) and c uniform w.r.t. h.

In the stabilized HHO method, the discrete energy functional  $\mathcal{E}_h^s : \underline{U}_h^k \to \mathbb{R}$  is defined as

$$\mathcal{E}_{h}^{s}(\underline{\boldsymbol{\upsilon}}_{\mathcal{T}^{h}},\underline{\boldsymbol{\upsilon}}_{\mathcal{F}^{h}}) = \sum_{T\in\mathcal{T}^{h}} \left\{ \int_{T} \Psi(\underline{\boldsymbol{F}}_{T}(\underline{\boldsymbol{\upsilon}}_{T},\underline{\boldsymbol{\upsilon}}_{\partial T})) - \int_{T} \underline{\boldsymbol{f}} \cdot \underline{\boldsymbol{\upsilon}}_{T} \, dT \right\} - \sum_{F\in\mathcal{F}_{b,n}^{h}} \int_{F} \underline{\boldsymbol{t}} \cdot \underline{\boldsymbol{\upsilon}}_{F} \, dF + \sum_{T\in\mathcal{T}^{h}} \beta(\gamma_{\partial T} \underline{\boldsymbol{S}}_{\partial T}^{k}(\underline{\boldsymbol{\upsilon}}_{T},\underline{\boldsymbol{\upsilon}}_{\partial T}), \underline{\boldsymbol{S}}_{\partial T}^{k}(\underline{\boldsymbol{\upsilon}}_{T},\underline{\boldsymbol{\upsilon}}_{\partial T})) \underline{\boldsymbol{L}}^{2}(\partial T),$$
(25)

with a user-dependent weight of the form  $\beta = \beta_0 \mu$  with typically  $\beta_0 \ge 1$  (in the original HHO method for linear elasticity [18], the choice  $\beta_0 = 2$  is considered). The discrete problem consists in seeking the stationary points of the discrete energy functional: Find  $(\underline{u}_{\mathcal{T}^h}, \underline{u}_{\mathcal{F}^h}) \in \underline{U}_{h,d}^k$  such

that

$$\sum_{T \in \mathcal{T}^{h}} (\underline{\underline{P}}(\underline{\underline{F}}_{T}(\underline{u}_{T}, \underline{u}_{\partial T})), \underline{\underline{G}}_{T}(\delta \underline{v}_{T}, \delta \underline{v}_{\partial T}))_{\underline{\underline{L}}^{2}(T)} + \sum_{T \in \mathcal{T}^{h}} \beta(\gamma_{\partial T} \underline{\underline{S}}_{\partial T}^{k}(\underline{u}_{T}, \underline{u}_{\partial T}), \underline{\underline{S}}_{\partial T}^{k}(\delta \underline{v}_{T}, \delta \underline{v}_{\partial T}))_{\underline{\underline{L}}^{2}(\partial T)} = \sum_{T \in \mathcal{T}^{h}} (\underline{\underline{f}}, \delta \underline{\underline{v}}_{T})_{\underline{\underline{L}}^{2}(T)} + \sum_{F \in \mathcal{F}_{b,n}^{h}} (\underline{\underline{t}}, \delta \underline{\underline{v}}_{F})_{\underline{\underline{L}}^{2}(F)},$$
(26)

for all  $(\delta \underline{v}_{\mathcal{T}^h}, \delta \underline{v}_{\mathcal{F}^h}) \in \underline{U}_{h,0}^k$ . As for the unstabilized HHO method, the discrete problem (26) expresses the principle of virtual work at the global level, and following [11], it is possible to devise a local principle of virtual work in terms of face-based discrete tractions that comply with the law of action and reaction. Let  $T \in \mathcal{T}^h$  be a mesh cell and let  $F \in \mathcal{F}_{\partial T}$  be one of its faces. Let  $\underline{n}_{TF}$  denote the restriction to F of the unit outward normal vector  $\underline{n}_T$ . Let  $\underline{\hat{S}}_{\partial T}^k: \mathbb{P}_{d-1}^k(\partial T; \mathbb{R}^d) \to \mathbb{P}_{d-1}^k(\partial T; \mathbb{R}^d)$  be defined such that

$$\underline{\hat{S}}_{\partial T}^{k}(\underline{\theta}) = \underline{\Pi}_{\partial T}^{k} \left( \underline{\theta} - (\underline{\underline{I}} - \underline{\Pi}_{T}^{k}) \underline{D}_{T}^{k+1}(\underline{0}, \underline{\theta}) \right).$$
(27)

Comparing (22) with (27), we observe that  $\underline{S}_{\partial T}^{k}(\underline{v}_{T}, \underline{v}_{\partial T}) = \underline{\hat{S}}_{\partial T}^{k}(\underline{v}_{\partial T} - \underline{v}_{T})$  for all  $(\underline{v}_{T}, \underline{v}_{\partial T}) \in \underline{U}_{T}^{k}$ . Let  $\underline{\hat{S}}_{\partial T}^{k*} : \mathbb{P}_{d-1}^{k}(\partial T; \mathbb{R}^{d}) \to \mathbb{P}_{d-1}^{k}(\partial T; \mathbb{R}^{d})$  be the adjoint operator of  $\underline{S}_{\partial T}^{k}$  with respect to the  $L^{2}(\partial T; \mathbb{R}^{d})$ -inner product. We observe that the stabilization-related term in (26) can be rewritten as

$$(\gamma_{\partial T}\underline{\boldsymbol{S}}_{\partial T}^{k}(\underline{\boldsymbol{u}}_{T},\underline{\boldsymbol{u}}_{\partial T}),\underline{\boldsymbol{S}}_{\partial T}^{k}(\delta\underline{\boldsymbol{v}}_{T},\delta\underline{\boldsymbol{v}}_{\partial T}))_{\underline{\boldsymbol{L}}^{2}(\partial T)} = (\underline{\boldsymbol{\hat{S}}}_{\partial T}^{k*}(\gamma_{\partial T}\underline{\boldsymbol{\hat{S}}}_{\partial T}^{k}(\underline{\boldsymbol{u}}_{\partial T}-\underline{\boldsymbol{u}}_{T})),\delta\underline{\boldsymbol{v}}_{\partial T}-\delta\underline{\boldsymbol{v}}_{T}))_{\underline{\boldsymbol{L}}^{2}(\partial T)}.$$
(28)

<sup>290</sup> Finally, let us define the discrete traction

$$\underline{\boldsymbol{T}}_{T,F} = \underline{\underline{\mathbf{\Pi}}}_{T}^{k} (\underline{\underline{\boldsymbol{P}}}_{T}(\underline{\boldsymbol{\mu}}_{T}, \underline{\boldsymbol{u}}_{\partial T}))) \cdot \underline{\boldsymbol{n}}_{TF} + \beta \underline{\hat{\boldsymbol{S}}}_{\partial T}^{k*} (\gamma_{\partial T} \underline{\hat{\boldsymbol{S}}}_{\partial T}^{k} (\underline{\boldsymbol{u}}_{\partial T} - \underline{\boldsymbol{u}}_{T})).$$
(29)

Lemma 6 (Equilibrated tractions) The following local principle of virtual work holds for all  $T \in \mathcal{T}^h$ : For all  $\delta \underline{v}_T \in \mathbb{P}^k_d(T; \mathbb{R}^d)$ ,

$$(\underline{\underline{P}}(\underline{\underline{F}}_{T}(\underline{\underline{u}}_{T},\underline{\underline{u}}_{\partial T})), \underline{\underline{\nabla}}_{X}\delta\underline{\underline{v}}_{T})\underline{\underline{L}}^{2}(T) - \sum_{F\in\mathcal{F}_{\partial T}}(\underline{\underline{T}}_{T,F},\delta\underline{\underline{v}}_{T})\underline{\underline{L}}^{2}(F) = (\underline{\underline{f}},\delta\underline{\underline{v}}_{T})\underline{\underline{L}}^{2}(T),$$
(30)

where the discrete tractions  $\underline{T}_{T,F} \in \mathbb{P}^k_{d-1}(F; \mathbb{R}^d)$  defined by (29) satisfy the following law of action and reaction for all  $F \in \mathcal{F}^h_i \cup \mathcal{F}^h_{b,n}$ :

$$\underline{T}_{T_{-},F} + \underline{T}_{T_{+},F} = \underline{\mathbf{0}}, \quad \text{if } F \in \mathcal{F}_{i}^{h} \text{ with } \partial T_{-} \cap \partial T_{+} = F, \tag{31a}$$

$$\underline{T}_{T,F} = \underline{\Pi}_{F}^{k}(\underline{t}), \qquad \text{if } F \in \mathcal{F}_{b,n}^{h} \text{ with } \partial T \cap \Gamma_{n} = F.$$
(31b)

**Proof.** Proceed as in the proof of Lemma 3; see also [11].  $\Box$ 

Finally, let us briefly comment on the commuting properties of the reconstructed gradient in  $\mathbb{P}^k_d(T; \mathbb{R}^{d \times d})$ . Proceeding as above, one obtains

$$\underline{\underline{G}}_{T}(\underline{\underline{I}}_{T,\partial T}(\underline{\underline{v}})) = \underline{\underline{\Pi}}_{T}^{k}(\underline{\underline{\nabla}}_{X}\underline{\underline{v}}), \qquad \forall \underline{\underline{v}} \in H^{1}(T; \mathbb{R}^{d}),$$
(32)

where the reduction operator  $\underline{I}_{T,\partial T} : H^1(T; \mathbb{R}^d) \to \underline{U}_T^k$  is defined below (19). Proceeding as in [18, Thm. 8], one can show that for the linear elasticity problem and smooth solutions, the energy error  $(\sum_{T \in \mathcal{T}^h} \|\underline{\nabla}_X \underline{u} - \underline{\underline{G}}_T(\underline{u}_T, \underline{u}_{\partial T})\|_{\underline{\underline{L}}^2(T)}^2)^{\frac{1}{2}}$  converges as  $h^{k+1} |\underline{u}|_{\underline{H}^{k+2}(\Omega_0)}$ . This convergence rate will be confirmed by the experiments reported in Section 4.1. Moreover, taking the trace in (32), we infer that (compare with (21))

$$\operatorname{tr}(\underline{\underline{G}}_{T}(\underline{\underline{I}}_{T,\partial T}(\underline{\underline{v}})) = \Pi_{T}^{k}(\nabla \cdot \underline{\underline{v}}), \qquad \forall \underline{\underline{v}} \in H^{1}(T; \mathbb{R}^{d}),$$
(33)

which is the key commuting property used in [18] to prove robustness for quasi-incompressible linear elasticity. This absence of locking is confirmed in the numerical experiments performed in Sections 4.2 and 5 in the nonlinear regime. We refer the reader to [6] for a detailed mathematical analysis of symmetric-valued gradients reconstructed in  $\mathbb{P}_d^k(T; \mathbb{R}_{sym}^{d \times d})$ .

**Remark 7** (Choice of  $\beta_0$ ) For the HHO method applied to linear elasticity, a natural choice 305 for the stabilization parameter is  $\beta_0 = 2$  [18]. To our knowledge, there is no general theory 306 on the choice of  $\beta_0$  in the case of finite deformations of hyperelastic materials. Following ideas 307 developed in [39, 40] for dG and in [3] for VEM, one can consider to take (possibly in an adaptive 308 fashion) the largest eigenvalue (in absolute value) of the elastic modulus  $\underline{\mathbb{A}}$ . This choice introduces 309 additional nonlinearities to be handled by Newton's method, and may require some relaxation. 310 Another possibility discussed in [8] for VEM methods is based on the trace of the Hessian of the 311 isochoric part of the strain-energy density  $\Psi$ . Such an approach bears similarities with the classic 312 selective integration for FEM, and for the Neohookean materials considered herein, this choice 313 implies to take  $\beta_0 = 1$ . Finally, let us mention that [29, Section 4] presents an example where 314 spurious solutions can appear if the stabilization parameter is not large enough; however, too large 315 values of the parameter can also deteriorate the conditioning number of the stiffness matrix and 316 can cause numerical instabilities in Newton's method. 317

#### 318 3.5 Nonlinear solver and static condensation

Both nonlinear problems (15) and (26) are solved using Newton's method. Let  $n \ge 0$  be the index of the Newton's step. Given an initial discrete displacement  $(\underline{\boldsymbol{u}}_{\mathcal{T}^h}, \underline{\boldsymbol{u}}_{\mathcal{F}^h})^0 \in \underline{\boldsymbol{U}}_{h,d}^k$ , one computes at each Newton's step the incremental displacement  $(\delta \underline{\boldsymbol{u}}_{\mathcal{T}^h}, \delta \underline{\boldsymbol{u}}_{\mathcal{F}^h})^n \in \underline{\boldsymbol{U}}_{h,0}^k$  and updates the discrete displacement as  $(\underline{\boldsymbol{u}}_{\mathcal{T}^h}, \underline{\boldsymbol{u}}_{\mathcal{F}^h})^{n+1} = (\underline{\boldsymbol{u}}_{\mathcal{T}^h}, \underline{\boldsymbol{u}}_{\mathcal{F}^h})^n + (\delta \underline{\boldsymbol{u}}_{\mathcal{T}^h}, \delta \underline{\boldsymbol{u}}_{\mathcal{F}^h})^n$ . The linear system of equations to be solved is

$$\sum_{T\in\mathcal{T}^{h}} (\underline{\underline{A}}_{T}(\underline{\boldsymbol{u}}_{T},\underline{\boldsymbol{u}}_{\partial T})^{n}) : \underline{\underline{G}}_{T}(\delta\underline{\boldsymbol{u}}_{T},\delta\underline{\boldsymbol{u}}_{\partial T})^{n}, \underline{\underline{G}}_{T}(\delta\underline{\boldsymbol{v}}_{T},\delta\underline{\boldsymbol{v}}_{\partial T}))_{\underline{\underline{L}}^{2}(T)} + \sum_{T\in\mathcal{T}^{h}} \beta(\gamma_{\partial T}\underline{\underline{S}}_{\partial T}^{k}(\delta\underline{\boldsymbol{u}}_{T},\delta\underline{\boldsymbol{u}}_{\partial T})^{n}, \underline{\underline{S}}_{\partial T}^{k}(\delta\underline{\boldsymbol{v}}_{T},\delta\underline{\boldsymbol{v}}_{\partial T}))_{\underline{\underline{L}}^{2}(\partial T)} = -R_{h}((\underline{\boldsymbol{u}}_{\mathcal{T}^{h}},\underline{\boldsymbol{u}}_{\mathcal{F}^{h}})^{n}, (\delta\underline{\boldsymbol{v}}_{\mathcal{T}^{h}},\delta\underline{\boldsymbol{v}}_{\mathcal{F}^{h}})),$$

$$(34)$$

for all  $(\delta \underline{v}_T, \delta \underline{v}_{\partial T}) \in \underline{U}_{h,0}^k$ , with the residual term

$$R_{h}((\underline{\boldsymbol{u}}_{\mathcal{T}^{h}}, \underline{\boldsymbol{u}}_{\mathcal{F}^{h}})^{n}, (\delta \underline{\boldsymbol{v}}_{\mathcal{T}^{h}}, \delta \underline{\boldsymbol{v}}_{\mathcal{F}^{h}}))$$

$$= \sum_{T \in \mathcal{T}^{h}} (\underline{\boldsymbol{P}}(\underline{\boldsymbol{F}}_{T}(\underline{\boldsymbol{u}}_{T}, \underline{\boldsymbol{u}}_{\partial T})^{n}), \underline{\boldsymbol{G}}_{T}(\delta \underline{\boldsymbol{v}}_{T}, \delta \underline{\boldsymbol{v}}_{\partial T}))_{\underline{\boldsymbol{L}}^{2}(T)}$$

$$- \sum_{T \in \mathcal{T}^{h}} (\underline{\boldsymbol{f}}, \delta \underline{\boldsymbol{v}}_{T})_{\underline{\boldsymbol{L}}^{2}(T)} - \sum_{F \in \mathcal{F}_{b,n}^{h}} (\underline{\boldsymbol{t}}, \delta \underline{\boldsymbol{v}}_{F})_{\underline{\boldsymbol{L}}^{2}(F)}$$

$$+ \sum_{T \in \mathcal{T}^{h}} \beta(\gamma_{\partial T} \underline{\boldsymbol{S}}_{\partial T}^{k} (\underline{\boldsymbol{u}}_{T}, \underline{\boldsymbol{u}}_{\partial T})^{n}, \underline{\boldsymbol{S}}_{\partial T}^{k} (\delta \underline{\boldsymbol{v}}_{T}, \delta \underline{\boldsymbol{v}}_{\partial T}))_{\underline{\boldsymbol{L}}^{2}(\partial T)}, \qquad (35)$$

where  $\beta = 0$  in the unstabilized case and  $\beta = \beta_0 \mu$  in the stabilized case, the gradient being reconstructed in the corresponding polynomial space. It can be seen from (34) that the assembling of the stiffness matrix on the left-hand side is local (and thus fully parallelizable).

As is classical with HHO methods [18], and more generally with hybrid approximation meth-322 ods, the cell unknowns  $\delta \underline{u}_{\mathcal{T}^h}^n$  in (34) can be eliminated locally using a static condensation (or Schur 323 complement) technique. Indeed, testing (34) against the function  $((\delta \underline{v}_T \delta_{T,T'})_{T' \in \mathcal{T}^h}, (\underline{0})_{F \in \mathcal{F}^h})$  with 324 Kronecker delta  $\delta_{T,T'}$  and  $\delta \underline{v}_T$  arbitrary in  $\mathbb{P}^k_d(T; \mathbb{R}^d)$ , one can express, for all  $T \in \mathcal{T}^h$ , the cell 325 unknown  $\delta \underline{u}_T^n$  in terms of the local face unknowns collected in  $\delta \underline{u}_{\partial T}^n$ . As a result, the static 326 condensation technique allows one to reduce (34) to a linear system in terms of the face-based 327 unknowns only. This reduced system is of size  $N_{\mathcal{F}^h} \times \dim(\mathbb{P}^k_{d-1}(T;\mathbb{R}^d))$  where  $N_{\mathcal{F}^h}$  denotes the 328 number of mesh faces (Dirichlet boundary faces can be eliminated by enforcing the boundary 329 condition explicitly), and its stencil is such that each mesh face is connected to its neighbouring 330 faces that share a mesh cell with the face in question. 331

The implementation of the HHO methods is realized using the open-source library DiSk++ [10] which provides generic programming tools for the implementation of HHO methods. The Dirichlet boundary conditions are enforced weakly by means of Lagrangian multipliers, and the linear systems are solved using the direct solver PardisoLU from the MKL library. Dunavant quadratures [24] are used with an order 2 for k = 1 and 4 for k = 2 for stabilized HHO methods, and with an order 4 for k = 1 and 6 for k = 2 for unstabilized HHO methods.

## <sup>338</sup> 4 Test cases with known solution

The goal of this section is to evaluate the stabilized and unstabilized HHO methods on some test 339 cases with known solution. This allows us to compute errors on the displacement and the gradient 340 as  $\|\underline{\boldsymbol{u}} - \underline{\boldsymbol{u}}_{\mathcal{T}^h}\|_{L^2(\Omega_0)}$  and  $\|\underline{\boldsymbol{\nabla}}_{\boldsymbol{X}}\underline{\boldsymbol{u}} - \underline{\underline{\boldsymbol{G}}}_h(\underline{\boldsymbol{u}}_{\mathcal{T}^h}, \underline{\boldsymbol{u}}_{\mathcal{F}^h})\|_{L^2(\mathcal{T}^h)}$  where  $\underline{\boldsymbol{u}}$  is the exact solution  $(\underline{\underline{\boldsymbol{L}}}(\mathcal{T}^h)$ 341 means that the Hilbertian sum of  $L^2(T; \mathbb{R}^{d \times d})$ -norms over the mesh cells is considered). We 342 assess the convergence rates to smooth solutions and we study the behavior of the HHO methods 343 in the quasi-incompressible regime. We consider two- and three-dimensional settings. We use 344 the abridged notation uHHO(k) for the unstabilized method with  $\underline{\underline{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{P}_d^{k+1}(T; \mathbb{R}^{d \times d})$ and sHHO(k) with  $\underline{\underline{R}}(T; \mathbb{R}^{d \times d}) = \mathbb{P}_d^k(T; \mathbb{R}^{d \times d})$  for the stabilized method; whenever the context 345 346 is unambiguous, we drop the polynomial degree k. All the considered meshes are matching, 347 simplicial affine meshes. 348

## 349 4.1 Manufactured solution

We first report convergence rates for a nonlinear problem with a manufactured solution in two and three space dimensions. We denote by  $\underline{X} = (X, Y, Z)$  the Cartesian coordinates in  $\mathbb{R}^3$ . We

Mesh		sHH	O(1)		uHHO(1)				
size	Displacement		Gradient		Displacement		Gradient		
h	Error	Order	Error	Order	Error	Order	Error	Order	
2.27e-1	1.27e-2	-	1.72e-1	-	1.95e-2	-	6.27 e-1	-	
1.13e-1	1.68e-3	2.91	4.15e-2	2.05	5.41e-3	1.85	3.55e-1	0.82	
5.68e-2	2.12e-4	2.99	1.03e-2	2.00	1.31e-3	2.04	1.79e-1	0.99	
2.84e-2	2.64e-5	2.99	2.61e-3	1.98	3.31e-4	1.98	8.94e-2	1.00	
1.42e-2	3.32e-6	2.99	6.55e-4	1.99	8.28e-5	2.00	4.46e-2	1.00	

Table 1: 2D manufactured solution: errors vs. h for k = 1.

Mesh		sHH	O(2)		uHHO(2)				
size	Displacement		Gradient		Displacement		Gradient		
h	Error	Order	Error	Order	Error	Order	Error	Order	
2.27e-1	2.08e-4	-	1.27e-2	-	1.58e-3	-	9.79e-2	-	
1.13e-1	1.25e-5	4.05	1.70e-3	2.90	1.96e-4	3.01	2.45e-2	1.99	
5.68e-2	7.61e-7	4.04	2.04e-4	3.06	2.42e-5	3.02	6.17e-3	1.99	
2.84e-2	4.68e-8	4.02	2.51e-5	3.01	3.05e-6	2.99	1.54e-3	1.99	
1.42e-2	2.91e-9	4.00	3.15e-6	2.99	3.82e-7	2.99	3.86e-4	2.00	

Table 2: 2D manufactured solution: errors vs. h for k = 2.

set  $\Gamma = \Gamma_d$  and the value of  $\underline{u}_d$  is determined from the exact solution on  $\Gamma_d$ . Concerning the constitutive relation, we take  $\mu = 1$ ,  $\lambda = 10$ , and  $\Theta(J) = \ln J$ .

#### 354 4.1.1 Two-dimensional manufactured solution

In the two-dimensional setting, we consider the unit square  $\Omega_0 = (0,1) \times (0,1)$  and the exact displacement solution is

$$u_X = \left(\frac{1}{\lambda} + \alpha\right) X, \qquad u_Y = \left(\frac{1}{\lambda} - \frac{\alpha}{1+\alpha}\right) Y + \vartheta(X).$$
 (36)

where  $\alpha$  is a real parameter and  $\vartheta : \mathbb{R} \to \mathbb{R}$  is a smooth function. The body forces corresponding to  $\vartheta(X) = 2\alpha(\cos(2\pi X) - 1)$  are given by

$$f_X = 0, \qquad f_Y = 2\mu\alpha\pi^2\cos(2\pi X).$$
 (37)

We set  $\alpha = 0.2$ . The stabilization parameter is taken as  $\beta_0 = 1$  for sHHO. The displacement and gradient errors are reported as a function of the average mesh size h for k = 1 in Tab. 1 and for k = 2 in Tab. 2. For both k = 1 and k = 2, the displacement and the gradient converge, respectively, with order k + 2 and k + 1 for sHHO and with order k + 1 and k for uHHO. These convergence rates are consistent with the discussion at the end of Sections 3.3 and 3.4 on the convergence rates to be expected for linear elasticity and smooth solutions.

Mesh		sHH	O(1)		uHHO(1)				
size	Displacement		Gradient		Displacement		Gradient		
h	Error	Order	Error	Order	Error	Order	Error	Order	
4.75e-1	1.14e-3	-	9.40e-3	-	1.85e-3	-	6.64e-2	-	
2.19e-1	1.22e-4	2.88	2.24e-3	1.84	3.49e-4	2.16	2.95e-2	1.05	
1.76e-1	6.36e-5	2.97	1.51e-3	1.79	2.19e-4	2.12	2.36e-2	1.01	
1.39e-1	3.10e-5	3.05	9.16e-4	2.14	1.36e-4	2.01	1.88e-2	0.96	
1.11e-1	1.56e-5	3.00	5.92e-4	1.91	8.79e-5	1.94	1.50e-2	1.00	

Table 3: 3D manufactured solution: errors vs. h for k = 1.

Mesh		sHH	O(2)		uHHO(2)				
size	Displacement		Gradient		Displacement		Gradient		
h	Error	Order	Error	Order	Error	Order	Error	Order	
4.75e-1	1.04e-4	-	9.89e-4	-	1.96e-4	-	7.68e-3	-	
2.19e-1	4.54e-6	4.04	9.57e-5	3.01	1.68e-5	3.17	1.60e-3	2.02	
1.76e-1	1.79e-6	4.23	4.78e-5	3.16	9.72e-6	2.49	1.10e-3	1.68	
1.39e-1	7.23e-7	3.85	2.35e-5	3.01	4.30e-6	3.36	6.53e-4	2.24	
1.11e-1	2.93e-7	3.96	1.21e-5	2.91	2.23e-6	2.88	4.20e-4	1.94	

Table 4: 3D manufactured solution: errors vs. h for k = 2.

#### 365 4.1.2 Three-dimensional manufactured solution

In the three-dimensional setting, we consider the unit cube  $\Omega_0 = (0,1) \times (0,1) \times (0,1)$  and the exact displacement solution is

$$u_X = \left(\frac{1}{\lambda} + \alpha\right) X + \vartheta(Y), \quad u_Y = -\left(\frac{1}{\lambda} + \frac{\alpha + \gamma + \alpha\gamma}{1 + \alpha + \gamma + \alpha\gamma}\right) Y, \quad (38a)$$

$$u_Z = \left(\frac{1}{\lambda} + \gamma\right) Z + g(X) + h(Y), \tag{38b}$$

where  $\alpha$  and  $\gamma$  are real constants, and  $\vartheta : \mathbb{R} \to \mathbb{R}, g : \mathbb{R} \to \mathbb{R}, h : \mathbb{R} \to \mathbb{R}$  are smooth functions. Choosing  $\vartheta(Y) = \alpha \sin(\pi Y), g(X) = \gamma \sin(\pi X)$ , and h(Y) = 0, the corresponding body forces are given by

$$f_X = \mu \alpha \pi^2 \sin(\pi X), \qquad f_Y = 0, \qquad f_Z = \mu \gamma \pi^2 \sin(\pi Y).$$
 (39)

We set  $\alpha = \gamma = 0.1$ . The stabilization parameter is taken as  $\beta_0 = 1$  for sHHO. The displacement and gradient errors are reported as a function of the average mesh size h for k = 1 in Tab. 3 and for k = 2 in Tab. 4. The same convergence orders are observed as in the two-dimensional case for both sHHO and uHHO.

### 373 4.2 Quasi-incompressible annulus

Our goal is now to evaluate the sHHO and uHHO methods in the quasi-incompressible case for finite deformations. We consider a test case from [29, Section 5.2] that consists of an annulus with inner radius  $R_0 = 1/2$  and outer radius  $R_1 = 1$  which is deformed by imposing a displacement  $\underline{u}_d(\underline{X}) = \underline{X}(r_0 - R_0)/R_0$  on  $\Gamma_d = S_{R_0}$  where  $r_0$  is a real positive parameter and  $\underline{t} = \underline{0}$  on  $\Gamma_n = S_{R_1}$  ( $S_R$  is the sphere of radius R centred at the origin). An accurate reference solution can be computed by solving differential equation along the radial coordinate, as detailed in [29]. We set  $r_0 = 1.5$  and  $\mu = 0.333$  (different values of  $\lambda$  are considered). Since we use affine meshes, we only consider k = 1.

The reference and deformed configuration for sHHO(1) are shown in the left panel of Fig. 2 for 382  $\lambda = 16664.4$  (which corresponds to a Poisson ratio of  $\nu \simeq 0.49999$ ). The stabilization parameter 383 has to be of the order of  $\beta_0 = 100$  to achieve convergence. In the right panel of Fig. 2, we 384 display the discrete Jacobian  $J^h$  on the reference configuration (computed using sHHO(1)), and 385 we observe that this quantity takes values very close to 1 everywhere in the annulus (as expected). 386 Convergence rates for the displacement and the gradient are reported in Tab. 5 for  $\lambda = 16664.4$ 387 (similar convergence rates, not reported herein, are observed for lower values of  $\lambda$ ). We observe 388 that for sHHO, the displacment and the gradient converge with order 2, whereas for uHHO, 389 the displacement converges with order 2 and the gradient with order 1. More importantly, the 390 errors are uniform with respect to  $\lambda$  as shown Fig. 3. This result confirms numerically that 391 in this case, sHHO and uHHO remain locking-free in quasi-incompressible finite deformations. 392 Incidentally, we notice that sHHO produces slightly lower errors than uHHO. Finally, let us 393 mention that the displacement on the boundary is imposed by uniform load increments. For 394  $\lambda = 16664.4$ , sHHO requires 30 loading steps with a total of 125 Newton's iterations, whereas 395 uHHO requires 33 loading steps with a total of 137 Newton's iterations, i.e., sHHO is about 10%396 more computationally-effective than uHHO in this example.



Figure 2: Quasi-incompressible annulus with  $\lambda = 16664.4$ : sHHO(1) solution on a mesh composed of 10161 triangles.

397

#### 398 4.3 Efficiency

In this section, we compare the performance of sHHO, uHHO and that of a continuous Galerkin (cG) method in terms of efficiency when solving the three-dimensional manufactured solution already described in Section 4.1.2. The number of unknowns is the number of degrees of freedom attached to faces after static condensation for sHHO and uHHO and the number of degrees of freedom attached to nodes for cG. The cG formulation is based on a primal formulation realized



Figure 3: Quasi-incompressible annulus: errors vs.  $\lambda$  for h=2.52e-2

Mesh		$_{\mathrm{sHH}}$	O(1)		uHHO(1)				
size	Displacement		Gradient		Displacement		Gradient		
h	Error	Order	Error	Order	Error	Order	Error	Order	
1.15e-1	5.98e-2	-	3.22e-1	-	4.00e-2	-	1.23e-1	-	
5.77e-2	1.81e-2	1.72	8.23e-1	1.97	1.32e-2	1.62	1.01e-1	0.28	
3.45e-2	6.30e-3	2.05	3.15e-2	1.86	3.80e-3	2.42	6.60e-2	0.83	
2.52e-2	3.42e-3	1.95	1.83e-2	1.73	2.03e-3	2.05	5.11e-2	0.94	
1.64e-2	1.49e-3	1.93	7.98e-3	1.93	9.76e-4	1.72	3.09e-2	1.08	

Table 5: Quasi-incompressible annulus: errors vs. h for k = 1 and  $\lambda = 16664.4$ .

within the industrial open-source FEM software code\_aster [15] interfaced with the open-source front code generator [27] to generate Neohookean laws.

We present the displacement error versus the number of degrees of freedom in Fig. 4a and versus the number of non-zero entries in the stiffness matrix in Fig. 4b. Owing to the static condensation, we observe that, for the same approximation order and the same number degrees of freedom or non-zero entries in the stiffness matrix, the displacement error is smaller for sHHO than for cG and comparable between uHHO and cG.

> $\bullet$  cG(1)  $\bullet$  sHHO(1)  $\bullet$  uHHO(1)  $\bullet$  cG(1)  $\bullet$  sHHO(1)  $\bullet$  uHHO(1) cG(2) - ■- sHHO(2) - ▲- uHHO(2) - ■- sHHO(2) - ▲- uHHO(2) cG(2) $10^{-10}$  $10^{-1}$ 10- $10^{-2}$  $10^{-3}$  $10^{-3}$  $10^{-4}$  $10^{-1}$  $10^{-5}$  $10^{-5}$  $10^{-6}$  $10^{-6}$  $10^{-7}$  $10^{-1}$  $10^{4}$  $10^{5}$  $10^{3}$  $10^{4}$  $10^{5}$  $10^{6}$  $10^{6}$  $10^{7}$ (a) Displacement error vs. number of total (b) Displacement error vs non-zero entries degrees of freedom in the stiffness matrix

Figure 4: 3D manufactured solution: comparison of the displacement error obtained with sHHO, uHHO, and cG.

410

Let us now compare the time spent to solve the non-linear problem when using sHHO(k) and 411 uHHO(k) with  $k \in \{1, 2\}$ . For the present test case, the nonlinear problem is solved, for both 412 methods, in four Newton's iterations. The codes are instrumented to measure the assembly time 413  $\tau_{ass}$  to build the local contributions to the global stiffness matrix and the solver time  $\tau_{sol}$  which 414 corresponds to solving the global linear system ( $\tau_{ass}$  and  $\tau_{sol}$  are computed after summation over 415 all the Newton's steps). In DiSk++, the linear algebra operations are realised thanks to the Eigen 416 library and the global linear system (involving face unknowns only) is solved with PardisoLU. 417 The tests are run sequentially on a 3.4 Ghz Intel Xeon processor with 16 Gb of RAM. We plot in 418 Fig. 5a the ratio  $\tau_{ass}/\tau_{sol}$  versus the number of mesh faces  $\operatorname{card}(\mathcal{F}^h)$ . We can see that on the finer 419 meshes, the cost of local computations becomes negligible compared to that of the linear solver; 420 we notice that the situation is a bit less favorable than for the results reported on linear elasticity 421 in [18] since the space to reconstruct the gradient is now larger. Finally, in Fig. 5b, we compare 422 the cost of each local operation on a fixed mesh with 31621 faces. We observe that the difference 423 between sHHO(k) and uHHO(k) is not really important; in fact, the surplus time to reconstruct 424 the gradient for uHHO(k) in a larger space is compensated by the time to build the stabilization 425 for sHHO(k) (the stabilization time includes the time to build the displacement reconstruction). 426 If memory is not a premium, the gradient and the stabilization can be computed once and for all, 427 and re-used at each Newton's step. 428



(b) The for the different operations hormalized by the total time for sHHO(1) for a mesh with 31621 faces

Figure 5: Comparison of CPU times for the sHHO and uHHO methods.

# 429 5 Application-driven three-dimensional examples

The goal of this section is to show that sHHO and uHHO are capable of dealing with challenging three-dimensional examples with finite deformations. For the first test case, we compare our results with a cG method and the industrial software code\_aster. For the second and third test cases, we compare our results with the HDG solutions reported in [29]. In all cases, we choose  $\Theta(J) = \ln J$ .

## 435 5.1 Quasi-incompressible indented block

In this example, we model an indentation problem as a prototype for a contact problem. We 436 consider the unit cube  $(-1,1) \times (-1,1) \times (-1,1)$ . The bottom surface is clamped, a horizontal 437 displacement of -0.8 is imposed on a part of the top surface  $(-0.5, 0.5) \times (-0.5, 0.5) \times \{1\}$  to 438 model the rigid intendor, and the other parts of the boundary are traction-free. We set  $\mu = 1$ 439 and  $\lambda = 4999$  in the quasi-incompressible regime. The stabilization parameter needs to be taken 440 of the order of  $\beta_0 = 100$  for sHHO. Fig 6c and Fig 6d present the Euclidean displacement norm 441 on the deformed configuration obtained with sHHO(1) (right; the uHHO(1) solution is very close) 442 and cG(1) (left). We can observe the locking phenomenon affecting the cG solution. To better 443 appreciate the influence of the parameter  $\lambda$  on the discrete solutions, we plot in Figure 6a and 444 Figure 6b the Euclidean displacement norm in the compressible regime ( $\lambda = 1$ ). We observe that 445 in the compressible regime, the results produced by the various numerical methods are all very 446 close, whereas the cG solutions depart from the prediction of the sHHO and uHHO solutions in 447 the quasi-incompressible regime. 448

## <sup>449</sup> 5.2 Cylinder under compression and shear

This test case, proposed in [29], simulates a hollow cylinder under important compression and shear (it can be seen as a controlled buckling). The cylinder in its reference configuration has a inner and outer radius of 0.75 and 1, and a height of 4. The bottom face is clamped, whereas the top face has an horizontally and vertically imposed displacement of -1 in both directions and the lateral faces are traction-free. We set  $\mu = 0.1$ ,  $\lambda = 1$ . The displacement is imposed uniformly. For sHHO, the stabilization parameter has to be taken of the order of  $\beta_0 = 100$ . We notice that sHHO



(a) Euclidean displacement norm for (b)  $\mathbf{E}$   $\mathbf{cG}(1)$  in the compressible regime sHHG

(b) Euclidean displacement norm for sHHO(1) in the compressible regime



(c) Euclidean displacement norm for (d) Euclidean displacement norm for cG(1) in the quasi-incompressible sHHO(1) in the quasi-incompressible regime regime

Figure 6: Quasi-incompressible indented block: compressible (top) and quasi-incompressible regime (bottom) with Euclidean displacement norm shown in color on a mesh composed of 5526 tetrahedra.

and uHHO are robust and produce very close results, which compare very well with the results
reported in [29]. The loading is applied in 30 steps for uHHO and in 37 steps for sHHO, leading
respectively to a total of 152 and 187 Newton's iterations. This indicates that uHHO is up to
20% more effective for this test case. Some snapshots of the solution obtained with uHHO(1) on
a mesh composed of 44220 tetrahedra are shown in Fig. 7 where the color indicates the Euclidean
norm of the displacement. In Fig. 8, we display the Von Mises stress at different loading steps on
the reference configuration.



Figure 7: Sheared cylinder: snapshots of the Euclidean displacement norm on the deformed configuration at 0%, 40%, 80%, and 100% of loading, and a zoom where the deformations are the most important (uHHO(1) solution). The color scale goes from 0.0 (blue) to 1.8 (red).

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### <sup>463</sup> 5.3 Sphere with cavitating voids

The last example simulates the problem of cavitation encountered for instance in elastomers, that is, the growth of cavities under large tensile stresses [2]. Simulations of cavitation phenomena present difficulties because the growth induces significant deformations near the cavities. For a review, we refer the reader to [45]. Some conforming [33], non-conforming [45], and HDG [29] methods have already been studied for this problem. For cavitation to take place, the strain energy density has to be changed, and we consider here, as in [29], the following modified Neohookean law:

$$\Psi(\underline{\underline{F}}) = \frac{2\mu}{3^{5/4}} \left(\underline{\underline{F}} : \underline{\underline{F}}\right)^{3/4} - \mu \ln J + \frac{\lambda}{2} (\ln J)^2, \tag{40}$$



Figure 8: Sheared cylinder: Von Mises stress on the refrence configuration at 40%, 80% and 100% of loading (uHHO(1) solution). The color scales goes from 0.0 (blue) to 0.3 (red).

471 where  $\mu$  and  $\lambda$  are constant parameters. We set  $\mu = 1, \lambda = 1$ .

The reference configuration consists of a unit sphere of radius 1 with two spherical cavities. 472 The origin of the Cartesian coordinate system is the center of the sphere. The first cavity has 473 a radius of 0.15 and its center is the point of coordinates (-0.7, -0.7, 0), and the second cavity 474 has a radius of 0.2 and its center is the point of coordinates (0.25, 0.25, 0.25). A displacement 475  $\underline{u}(\underline{X}) = r\underline{X}$  with  $r \ge 0$  is imposed on the outer surface  $(|\underline{X}| = 1)$  of the sphere. The stabilization 476 parameter has to be taken of the order of  $\beta_0 = 100$  for sHHO. The mesh is composed of 32288 477 tetrahedra, and the value of r is increased progressively until the moment where the Newton's 478 method fails to converge. Some snapshots of the Euclidean displacement norm are shown in Fig. 9 479 on the deformed configuration. We also present a zoom near the region where the two cavities are 480 only separated by a thin thickness for uHHO(2). The reported solution compares very well with 481 the HDG solution from [29]. Interestingly, the maximum value of r is 1.88 for uHHO and 1.73 482 for sHHO, which indicates about 10% more robustness of uHHO than sHHO to handle extreme 483 loading situations in this case. 484

# 485 6 Conclusion

We have proposed and evaluated numerically two HHO methods to approximate hyperelastic ma-486 terials undergoing finite deformations. Both methods deliver solutions that compare well to the 487 existing literature on challenging three-dimensional test cases, such as a hollow cylinder under 488 compression and shear or a sphere under traction with two cavitating voids. In addition, both 489 methods remain well-behaved in the quasi-incompressible limit, as observed numerically on an an-490 nulus under traction and on the indentation of a rectangular block. The test cases with analytical 491 solution also show that both methods are competitive with respect to an industrial software using 492 conforming finite elements. The stabilized HHO method rests on a firmer theoretical basis than 493 the unstabilized method, but requires the introduction and tuning of a stabilization parameter 494 that can become fairly large in the quasi-incompressible limit. The unstabilized HHO method 495 avoids any stabilization by introducing a stable gradient reconstructed in a polynomial space with 496 higher degree, but on smooth solutions, the convergence rate is one order lower than with the 497 stabilized method, i.e., the unstabilized method still converges, but in a suboptimal way. For 498



Figure 9: Sphere with cavitating voids: snaphots of the Euclidean displacement norm at r = 0, r = 0.6, r = 1.2 and r = 1.88 of loading (the sphere is cut along the Equatorial plane). The bottom right plot shows a thin slice of the sphere (still along the Equatorial plane) for r = 1.88.

compressible materials, the unstabilized method appears to be somewhat more competitive than the stabilized method since it requires less Newton's iterations and, at the same time, supports stronger loads, as observed in particular in the case of cavitating voids in the sphere. Among possible perspectives, we mention the numerical evaluation of the unstabilized HHO method using Raviart–Thomas–Nédélec functions, devising a reconstruction based on the ideas introduced in [25] for dG methods, and the use of different reconstructions for the isochoric and volumic parts of the energy density.

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