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Metathesis Activity Encoded in the Metallacyclobutane Carbon-13 NMR Chemical Shift Tensors

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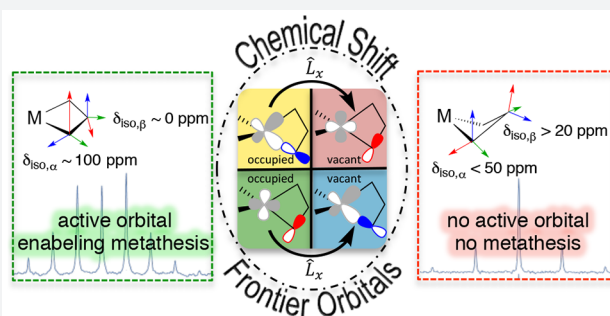
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S Supporting Information

ABSTRACT: Metallacyclobutanes are an important class of organometallic intermediates, due to their role in olefin metathesis. They can have either planar or puckered rings associated with characteristic chemical and physical properties. Metathesis active metallacyclobutanes have short M–C_{α/α'} and M···C_β distances, long C_{α/α'}–C_β bond length, and isotropic ¹³C chemical shifts for both early d⁰ and late d⁴ transition metal compounds for the α- and β-carbons appearing at ca. 100 and 0 ppm, respectively. Metallacyclobutanes that do not show metathesis activity have ¹³C chemical shifts of the α- and β-carbons at typically 40 and 30 ppm, respectively, for d⁰ systems, with upfield shifts to ca. –30 ppm for the α-carbon of metallacycles with higher dⁿ electron counts (n = 2 and 6). Measurements of the chemical shift tensor by solid-state NMR combined with an orbital (natural chemical shift, NCS) analysis of its principal components (δ₁₁ ≥ δ₂₂ ≥ δ₃₃) with two-component calculations show that the specific chemical shift of metathesis active metallacyclobutanes originates from a low-lying empty orbital lying in the plane of the metallacyclobutane with local π*(M–C_{α/α'}) character. Thus, in the metathesis active metallacyclobutanes, the α-carbons retain some residual alkylidene character, while their β-carbon is shielded, especially in the direction perpendicular to the ring. Overall, the chemical shift tensors directly provide information on the predictive value about the ability of metallacyclobutanes to be olefin metathesis intermediates.



INTRODUCTION

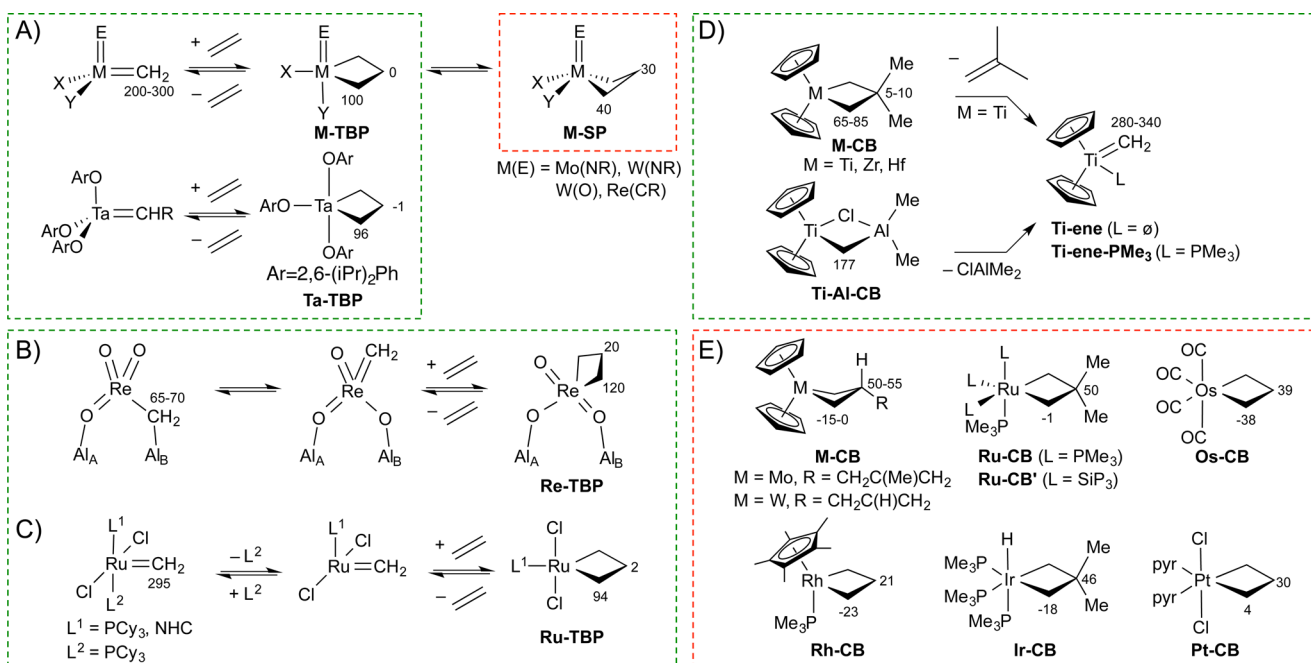
Olefin metathesis is an efficient process to make carbon–carbon bonds and has been increasingly used in academia and industry to build molecules, from simple chemicals like propene to polymers and complex building blocks for natural products like hepatitis C drugs.^{1–8} This reaction is catalyzed by transition-metal (M) complexes bearing an alkylidene ligand (M–ene), through a [2 + 2]-cycloaddition with an olefin to generate a metallacyclobutane (the Chauvin mechanism).^{9,10} Density functional theory (DFT) calculations have shown that metallacyclobutanes with a trigonal bipyramidal geometry (M–TBP) are the key intermediates in metathesis for d⁰ Schrock alkylidene catalysts^{11–16} — compounds with the general structure (X)(Y)M(E)(=CHR) with M(E) = Ta(OAr), Mo(=NR), W(=NR), W(=O), Re(≡CR) (Scheme 1A).^{17–20} The corresponding square-pyramidal (M–SP) isomeric structures are known, but they are not on the metathesis reaction pathway and correspond to off-cycle resting states. The general structural features of the M–TBP compounds (M = Ta, Mo, W, and Re) differ from the M–SP isomers as follows:^{11–16,21–30} (i) the M–C_α–C_β–C_{α'} torsion angles ξ are

close to 0° in M–TBP, but deviate significantly from 0° for the M–SP isomers, (ii) the M–C_{α/α'} and M···C_β distances are shortened, while the C_α(C_{α'})–C_β bond distances are elongated in the M–TBP relative to the M–SP isomers, and (iii) the ¹³C isotropic chemical shifts (δ_{iso}) for the α- and β-carbons are ca. 100 and 0 ppm, respectively in M–TBP, while they are at ca. 40 and 30 ppm, respectively, in M–SP (Scheme 1). Similar NMR signatures have been found in well-defined silica-supported metathesis catalysts,^{31–33} based on d⁰ tungsten imido and oxo metallacyclobutanes, (≡SiO)(X)W(E)(CH₂CH₂CH₂) (E = NAr or O, X = 2,5-dimethylpyrrolate,³⁴ alkoxides,^{35–39} or thiolates^{40,41}) or the alumina-supported CH₃ReO₃ catalysts (Scheme 1B)⁴² and have been used to distinguish TBP and SP structures. Molecular Ru-based metathesis catalysts also involve pentacoordinated TBP metallacyclobutanes as key reaction intermediates, albeit with a d⁴ configuration (Ru–TBP, Scheme 1C).^{43–49} They show similar structures and NMR features as the d⁰ TBP

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Scheme 1. (A–E) Active and Inactive Metallacyclobutanes Are Shown in Green and Red Boxes, Respectively, with Their ^{13}C NMR Chemical Shifts^a



^a(A) Metallacyclobutanes (Mo, W, Re, and Ta) derived from Schrock alkylidenes; (B) alumina-supported MeReO_3 ; (C) Ru(IV) systems; (D) Group IV metallacyclobutanes, and (E) metallacyclobutanes with no reported metathesis activity: **M-CB** for $\text{M} = \text{Mo, W, Ru}$ ($\text{L} = \text{PMe}_3$ or $\text{L}_3 = \text{SiP}_3$), **Ru-CB'** ($\text{L} = \text{SiP}_3$), **Os-CB** ($\text{L} = \text{PMe}_2\text{CH}_2\text{SiMe}$), **Rh-CB**, **Ir-CB**, and **Pt-CB**.

homologues outlined above. However, in these cases, the SP isomer is unknown and is calculated to be at high energy.⁵⁰ One of the first olefin metathesis catalysts, which demonstrated the validity of the Chauvin mechanism,^{9,10} is the d^0 bis-cyclopentadienyltitanacyclobutane, $\text{Cp}_2\text{Ti}(\text{CH}_2\text{CMe}_2\text{CH}_2)$ (**Ti-CB**, Scheme 1D) derived from the Tebbe reagent $\text{Cp}_2\text{Ti}(\text{CH}_2\text{AlMe}_2\text{Cl})$ (**Ti-Al-CB**).^{51–55} This metathesis active metallacyclobutane shows ^{13}C chemical shifts similar to those obtained for the **M-TBP** species mentioned above with α - and β -carbons at 81 and 6 ppm, respectively.^{56,57} Similar chemical shifts, albeit being significantly lower for the α -carbons, have also been observed for the Cp_2Zr and Cp_2Hf metallacyclobutane derivatives.⁵⁸ Several other metallacyclobutanes (**M-CB**) exist, for which no metathesis activity has been reported. The d^2 Mo/W systems $\text{Cp}_2\text{M}(\text{CH}_2\text{CHRCH}_2)$ (**Mo-CB**, $\text{R} = \text{methyl}$ and **W-CB**, $\text{R} = \text{allyl}$)^{59,60} and d^6 octahedral $\text{L}_4\text{M}(\text{CH}_2\text{CR}_2\text{CH}_2)$ systems (**Ru-CB** and **Ru-CB'**, $\text{R} = \text{Me}$,^{61,62} **Os-CB**, $\text{R} = \text{H}$,⁶³ **Rh-CB**, $\text{R} = \text{H}$,⁶⁴ **Ir-CB**, $\text{R} = \text{Me}$,⁶⁵ and **Pt-CB**, $\text{R} = \text{H}$ ^{66–68}) display β -carbons at ca. 30–40 ppm and strongly shielded α -carbons, typically <0 ppm (see red box in Scheme 1E).

The data summarized in Scheme 1 implicate an empirical correlation between chemical shift, structure, and activity of the metallacyclobutane in metathesis. The metathesis active metallacyclobutanes display particularly deshielded and shielded α - and β -carbon chemical shifts, respectively, and all have a planar structure (shown in green boxes in Scheme 1). In contrast, metallacyclobutanes with no known metathesis activity display more classical chemical shifts (red box in Scheme 1). The focus of this article is to develop a model that rationalizes the empirical correlation. The isotropic chemical shift (δ_{iso}) is an average of the three principal components of the chemical shift tensor ($\delta_{11} \geq \delta_{22} \geq \delta_{33}$), which individually provide a detailed understanding of the electronic structure of the probe nuclei.⁶⁹ They are readily

measured by solid-state NMR, calculated with reasonable precision by DFT calculations, and analyzed by a natural chemical shift (NCS) method.^{70–97} The corresponding shielding (σ_{ii} , eq 2) can be decomposed into diamagnetic (σ_{dia} , leading to shielding) and paramagnetic plus spin–orbit ($\sigma_{\text{para+SO}}$, leading in general to deshielding) terms (eq 3). The differences between spin–orbit and spin-free ZORA calculations are small for alkylidene complexes.⁷⁰ In the case of metallacyclobutanes, good results were obtained with scalar relativistic (spin-free) calculations.¹⁶ Consequently, while the calculations include the spin–orbit term, the results can be analyzed by considering only the paramagnetic contribution (eq 4). As a consequence, $\sigma_{\text{para+SO}}$ will be written as σ_{para} for convenience. The paramagnetic term along one of its three principal axes i , where $i = x, y$, and z , is associated with the coupling between occupied and empty orbitals of appropriate symmetry by way of the angular momentum operator \hat{L}_i (eq 4) with a determining role of the frontier orbitals that are closer in energy.⁶⁹

In this article, we investigate the origin of the ^{13}C NMR chemical shifts in a series of metallacyclobutanes by evaluation and analysis of their principal components and develop the correlation between ^{13}C chemical shifts and olefin metathesis reactivity by a combination of solid-state NMR experiments and two-component calculations.

$$\delta_{\text{iso}} = \frac{1}{3}(\delta_{11} + \delta_{22} + \delta_{33}) \quad (1)$$

$$\delta_{ii} = \sigma_{\text{iso}}^{\text{ref}} - \sigma_{ii} \quad (i = 1, 2, 3) \quad (2)$$

$$\sigma = \sigma_{\text{dia}} + \sigma_{\text{para+SO}} \quad (3)$$

$$\sigma_{ii,\text{para}} \propto \frac{\langle \Psi_{\text{vac}} | \hat{L}_i | \Psi_{\text{occ}} \rangle \langle \Psi_{\text{vac}} | \hat{L}_i / r^3 | \Psi_{\text{occ}} \rangle}{\Delta E_{\text{vac-occ}}} \quad (4)$$

Table 1. Calculated Isotropic Chemical Shifts (δ_{iso}), Principal Components (δ_{ii}) and Span (Ω) of the Chemical Shift Tensor for Selected Metallacyclobutanes (Experimental Values Are Given in Parentheses When Available)^a

| Entry | Compound | Site | δ_{iso} | δ_{11} | δ_{22} | δ_{33} | $\Omega = \delta_{11} - \delta_{33}$ |
|-------|-------------------------------|--------------------------|---------------------------|---------------|---------------|---------------|--------------------------------------|
| 1 | W-TBP^b | α -C | 102 (102) | 222 (222) | 59 (42) | 25 (41) | 197 (181) |
| | | β -C | -6 (-7) | 31 (30) | -7 (21) | -41 (-71) | 72 (101) |
| 2 | Ti-CB | α -C ^d | 81 (81) | 161 (161) | 76 (78) | 6 (5) | 155 (156) |
| | | β -C | 6 (6) | 39 (44) | 21 (19) | -43 (-46) | 82 (90) |
| 3 | Ti-Al-CB | TiCH ₂ Al | 187 (177) | 469 (419) | 94 (102) | -2 (11) | 471 (407) |
| 4 | Ti-ene-PMe₃ | α -C | 309 (286) | 754 (714) | 155 (82) | 17 (70) | 737 (644) |
| 5 | Ti-ene | α -C | 338 | 821 | 176 | 18 | 803 |
| 6 | Ru-TBP^c | α -C | 100 (94) ^{e, 43} | 218 | 96 | -13 | 231 |
| | | β -C | 1 (2) ^{e, 43} | 41 | -10 | -27 | 68 |
| 7 | W-SP^b | α -C | 44 | 66 | 46 | 20 | 46 |
| | | β -C | 26 | 33 | 29 | 16 | 17 |
| 8 | Mo-CB | α -C | -3 (-8) ^{e, 60} | 35 | -6 | -37 | 72 |
| | | β -C | 49 (50) ^{e, 60} | 90 | 39 | 19 | 71 |
| 9 | Ru-CB | α -C | 3 (-1) | 59 (40) | -19 (0) | -31 (-44) | 90 (84) |
| | | β -C | 48 (50) | 85 (91) | 32 (31) | 27 (27) | 58 (64) |

^aExperimental carbon chemical shift tensors are determined by fitting the observed spinning side bands. ^bLigands: X = OC(CF₃)₃, Y = OSi≡, E = NAr and ^cL¹ = H₂IMes (see Scheme 1 and Supporting Information Scheme S1). ^dA second signal with a similar chemical shift tensor is also observed (δ_{iso} = 87 ppm with δ_{11} = 172, δ_{22} = 83, and δ_{33} = 6 ppm). ^eIsotropic chemical shift obtained in solution.

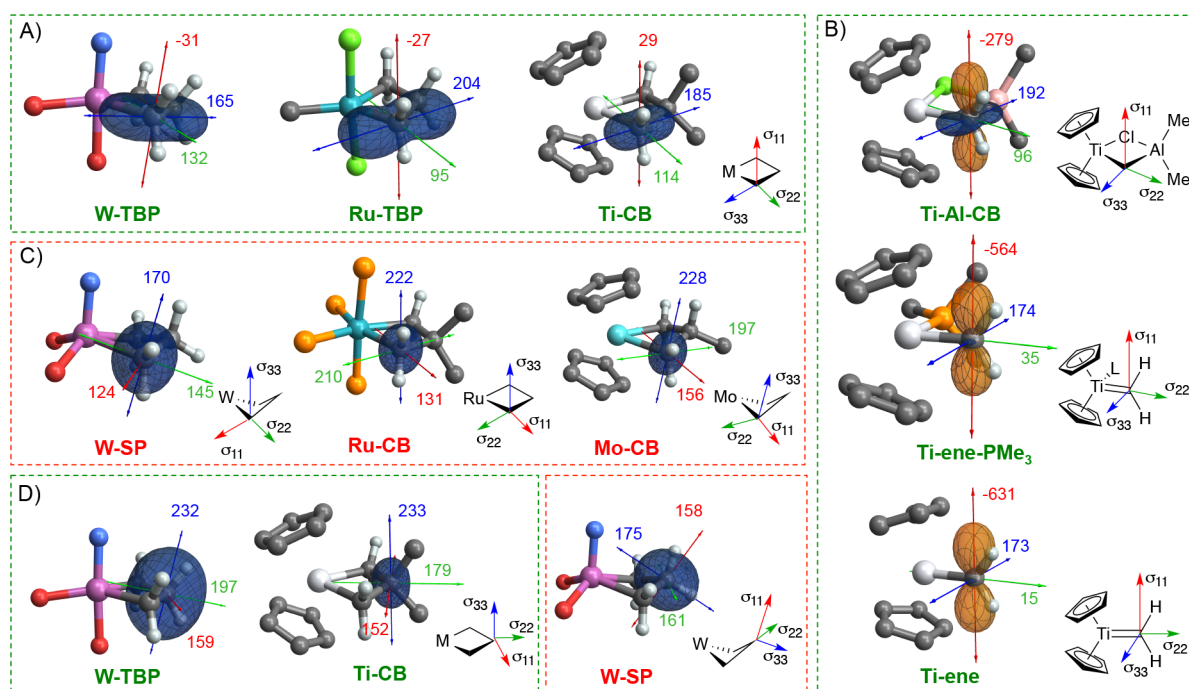


Figure 1. Shielding tensors for the α -carbon of metallacyclobutanes and related alkylidenes: (A) M-TBP and Ti-CB, (B) Ti-Al-CB, Ti-ene, and Ti-ene-PMe₃. (C) W-SP, Ru-CB, and Mo-CB. (D) Shielding tensors for the β -carbons in W-TBP, Ti-CB, and W-SP (for other systems see Figure S11). The corresponding chemical shift tensor ($\delta_{ii} = \sigma_{\text{ref}} - \sigma_{ip}$ eq 2) is oriented in the same way. Compounds for which metathesis activity has been reported are enclosed within green boxes.

RESULTS

Measured and Calculated Principal Components of a Series of Representative Metallacyclobutanes. While there is a large body of literature on isotropic chemical shift values (δ_{iso}), the measurement of the principal components — $\delta_{11} \geq \delta_{22} \geq \delta_{33}$ — of the chemical shifts in organometallic compounds are rare,^{78,83,86,89,95} even though these data are readily accessible by solid-state NMR. We have recently measured these values for well-defined silica-supported tungsten catalysts (Table 1, Entries 1 and 7),³⁹ which are isoelectronic with the corresponding molecular complexes and show similar δ_{iso} values, allowing one to distinguish between TBP and SP metallacyclobutane iso-

mers.^{34–39} Additionally, the principal components of selected known complexes — Ti-CB, Ti-Al-CB and the corresponding stable alkylidene Cp₂Ti(=CH₂)(PMe₃) (Ti-ene-PMe₃) as well as Ru-CB (Table 1, Entries 2–4 and 9; see also Scheme 1) — have been measured. The principal components of the shielding tensors have been calculated with two-component DFT calculations for all the aforementioned complexes as well as for the putative Ti-ene (Entry 5), Ru-TBP (Entry 6), which is only known in solution, and Mo-CB (Entry 8) (see Supporting Information for computational details). Additional structures with known isotropic chemical shifts have been calculated for Zr/Hf-CB, W-CB, Ta-TBP, Mo-TBP, Mo-SP, Os-CB, Rh-CB, Ir-CB, and Pt-CB (Scheme 1 and Table S1). In all cases,

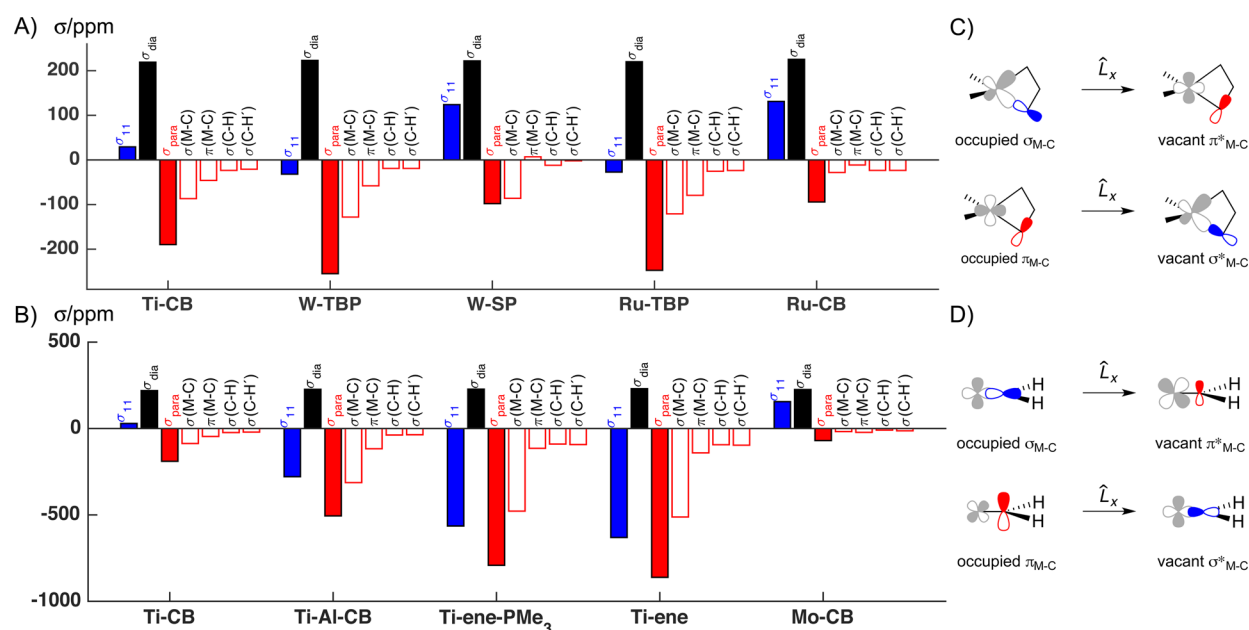


Figure 2. Calculated values of σ_{11} , σ_{dia} , and σ_{para} and decomposition of σ_{para} into its most relevant components by NCS analysis. (A) α -Carbons of various active (Ti-CB, W-TBP, Ru-TBP) and inactive (W-SP, Ru-CB) metallacyclobutanes. (B) Comparison of Ti-CB, Ti-Al-CB, related alkylidenes (Ti-ene-PMe₃ and Ti-ene) and inactive Mo-CB. Note the different scales used in graphs A and B. Orbital interactions determining the deshielding of δ_{11} in (C) metallacyclobutanes and (D) alkylidenes.

experimental and computed values agree reasonably well for δ_{iso} and the principal components (δ_{11} , δ_{22} , and δ_{33}), when available. Overall, the data show that, of the various metallacyclobutanes, the metathesis active catalysts — all M-TBP and Ti-CB — have deshielded α -carbons and shielded β -carbons at ca. 100 and 0 ppm, respectively. In inactive metallacyclobutanes (M-SP, Ru-CB, Mo-CB, and other metallacyclobutanes of Table S1), the chemical shifts are in the range of 30–40 ppm for α - and β -carbons in most cases, with a few cases having very shielded α -carbons around 0 ppm or below.

In all active metallacyclobutanes (M-TBP and Ti-CB), the α -carbon is highly anisotropic as evidenced by the significant differences between δ_{11} and δ_{33} (also called span, Ω).⁷² In particular, δ_{11} and δ_{22} are deshielded for the α -carbon in comparison with those of the β -carbon. These findings are in contrast with the observation that inactive metallacycles — M-SP and other M-CB (M = Mo, W, Os, Rh, Ir, and Pt) — show fairly isotropic principal components for both α - and β -carbons, i.e., small Ω , typical of sp³-carbons (Figure 1, Tables 1 and S2). The orientation of the chemical shift tensor for the α -carbon of all M-TBP and Ti-CB is similar, with δ_{11} oriented perpendicularly to the plane of the metallacyclobutane, δ_{33} oriented along the C $_{\alpha}$ –C $_{\beta}$ bond (and essentially perpendicularly to M–C $_{\alpha}$), and δ_{22} perpendicular to the other two components (Figure 1A). This orientation is the same as in metal carbenes and alkylidenes (illustrated for the Cp₂Ti species in Figure 1B).^{70,71,98} Similarly, the tensor in Ti-Al-CB is oriented as in Ti-CB, but with a more deshielded δ_{11} component. This component is further deshielded in Ti-ene-PMe₃ and Ti-ene, and oriented perpendicularly to the σ - and π -metal–carbon bonds as found for any alkylidene complex.^{70,71} The coordinated PMe₃ ligand does not alter the orientation of the shielding tensor (Figure 1B). In contrast, for M-SP, Ru-CB, and Mo-CB — compounds with no reported olefin metathesis activity — the shielded α -carbons have a small anisotropy and are associated with δ_{11} and δ_{22} in the plane of the metallacyclobutane (Figure 1C).

The β -carbon in Ti-CB and all M-TBP structures has δ_{11} in the plane of the metallacyclobutane and perpendicular to the M–C $_{\beta}$ axis. The most shielded component (δ_{33}) is now perpendicular to the plane of the metallacycle and δ_{22} is perpendicular to the other two components. This contrasts with the orientation of the chemical shift tensor in metathesis inactive metallacyclobutanes (M-SP, Ru-CB, and Mo-CB; Figures 1D and S11), in which δ_{11} at C $_{\beta}$ is roughly perpendicular to the average ring plane. For these structures, the tensors at the β -carbon are nearly isotropic (Figure 1D).

NCS Analysis of the Principal Components of the Shielding. To analyze the prior results, a localized orbital analysis, named natural chemical shift (NCS) analysis,^{73–76} of the shielding is developed (see eq 2 for relation between shielding σ and chemical shift δ). The σ_{dia} and σ_{para} terms are evaluated for the α - and β -carbons in the various systems discussed above (Figure 2 and Tables S3–S6 and Figures S5–S10). The σ_{dia} term is similar for all carbons of all metallacyclobutanes, Ti-Al-CB as well as Ti-ene complexes. In contrast, the σ_{para} term greatly differs between α - and β -carbons of M-TBP and Ti-CB. This effect is most pronounced for σ_{11} and also to a lesser extent for σ_{22} . For other structures (M-SP, Mo/Ru-CB, and Ru-CB), σ_{para} is rather small, showing again the special character of the α -carbon in M-TBP and Ti-CB. The determining role of $\sigma_{11,\text{para}}$ is particularly evident when considering Ti-Al-CB, Ti-ene-PMe₃, and Ti-ene.

The NCS analysis of σ_{11} for the α -carbon of M-TBP and Ti-CB shows that $\pi(\text{M}–\text{C})$ and $\sigma(\text{M}–\text{C})$ are involved, via the coupling with $\sigma^*(\text{M}–\text{C})$ and $\pi^*(\text{M}–\text{C})$, respectively, through \hat{L}_x (Figure 2 and Table S4; see Figure 2C for naming convention of orbitals). For σ_{22} , deshielding mainly arises from a coupling of $\sigma(\text{C}–\text{H})$ with $\sigma^*(\text{M}–\text{C})$ and $\pi^*(\text{M}–\text{C})$ through \hat{L}_y (Table S5), while the small deshielding in σ_{33} is mostly due to a coupling of $\sigma(\text{M}–\text{C})$ with $\sigma^*(\text{C}–\text{H})$ through \hat{L}_z (Table S5). For Ti-Al-CB, the larger deshielding of σ_{11} results from the more efficient coupling of $\sigma(\text{M}–\text{C})$ and $\pi(\text{M}–\text{C})$ with $\pi^*(\text{M}–\text{C})$ and $\sigma^*(\text{M}–\text{C})$.

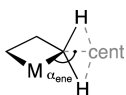
C), respectively, as expected by substituting a carbon by Al. The similarities in the NMR and NCS fingerprints of **Ti-CB**, **Ti-Al-CB**, **Ti-ene-PMe₃**, and **Ti-ene**,⁷¹ also pertain to all **M-TBP** compounds, emphasizing the alkylidene character of the α -carbons in metathesis active metallacyclobutanes (Figure 2A,B).

In all metathesis-active metallacyclobutanes (**M-TBP** and **Ti-CB**), the β -carbon is strongly shielded with $\delta_{\text{iso}} \sim 0$ ppm. The shielding mainly results from σ_{dia} that is not significantly compensated by paramagnetic contributions, which are small for σ_{11} and σ_{22} , and essentially null or even slightly positive for σ_{33} (Figure 1D and Tables S2–S3).

The NCS analysis also highlights the difference between active and inactive metallacyclobutanes: the contribution of σ_{para} at the α -carbon is significantly smaller in **W-SP** than in **W-TBP** and does not differ significantly between the α - and β -carbons. All bonds on the α - and β -carbons contribute rather weakly to deshielding, consistent with the absence of low-lying empty orbitals. Similar situations are found in **Ru-CB** and **Mo-CB**.

DISCUSSION

Inspection of the data in Tables 1 and S1 shows a correlation between chemical shift, structure of the $\text{M}-\text{C}_\alpha-\text{C}_\beta-\text{C}_{\alpha'}$ ring and metathesis activity. All compounds with deshielded α -carbons (~ 100 ppm) and shielded β -carbons (~ 0 ppm) feature $\text{M}-\text{C}_\alpha-\text{C}_\beta-\text{C}_{\alpha'}$ torsional angles ξ close to 0° , short $\text{M}-\text{C}_{\alpha/\alpha'}$ and $\text{M}\cdots\text{C}_\beta$ distances and elongated $\text{C}_{\alpha/\alpha'}-\text{C}_\beta$ bond distances and are active in metathesis. Inactive metallacyclobutanes have more shielded α -carbons (<50 ppm), more deshielded β -carbons (>20 ppm), and display normal $\text{M}-\text{C}_{\alpha/\alpha'}$ and $\text{C}_{\alpha/\alpha'}-\text{C}_\beta$ bond distances and long $\text{M}\cdots\text{C}_\beta$ distances. In the metallacyclobutanes with deshielded α -carbons (~ 100 ppm) and torsion angles (ξ) close to 0° , the α_{ene} angle (between the $\text{H}-\text{C}_\alpha-\text{H}$ plane and the $\text{M}-\text{C}_\alpha$ axis) is substantially larger (by $15\text{--}30^\circ$) than in those metallacyclobutanes with more shielded α -carbons and moving toward the values of alkylidene species (180°). The planarity of the $\text{M}-\text{C}_\alpha-\text{C}_\beta-\text{C}_{\alpha'}$ ring and the open α_{ene} angle indicates that C_α retains some alkylidene character since, for example, the α_{ene} angle in **Ti-Al-CB** is 154° and in **Ti-ene** is 180° . This correlation holds for the other metathesis-active metallacyclobutanes (Table S1).



The main contribution to the paramagnetic deshielding on the α -carbons arises from the $\sigma(\text{M}-\text{C})$ bond. The associated coupled empty orbital corresponds to $\pi^*(\text{M}-\text{C})$, shown in red in Figure 2C, which develops into a full $\pi^*(\text{M}=\text{C})$ in an alkylidene (Figure 2D).^{70,71,99–101} Consequently, the magnitude of the $\sigma(\text{M}-\text{C})/\pi^*(\text{M}-\text{C})$ coupling increases when these orbitals are closer in energy, e.g., upon going from **Ti-CB** to **Ti-ene**, via **Ti-Al-CB**, **Ti-ene-PMe₃**, illustrating the remaining alkylidene character in metathesis-active metallacyclobutanes. While the α -carbon retains some of its alkylidene character upon metallacyclobutane formation, the β -carbon dramatically changes in comparison with its original olefinic character (Figure 3A,B), and becomes more shielded, reaching an isotropic chemical shift close to 0 ppm and changing the orientation of the shielding tensor at C_β . In contrast, the metallacyclobutanes that are inactive display shielding tensors similar to those of sp^3 -carbons (Figure 3C).

The structures and electronic configurations that favor the occurrence of a low-lying empty orbital with π -character on the α -carbon in the plane of the metallacyclobutane perpendicular to the $\text{M}-\text{C}_\alpha$ direction are illustrated using **Ti-CB** as an example. The full qualitative MO diagram (in C_{2v} point group) is shown in Figure S12.^{102,103} The relevant orbitals for this study are the occupied b_2 (blue) and a_1 (red) orbitals (Figure 4A). These orbitals with local $\text{M}-\text{C}_\alpha$ σ and π characters, are labeled $\{\sigma(\text{M}-\text{C}_\alpha) - \sigma(\text{M}-\text{C}_{\alpha'})\}$ and $\{\pi(\text{M}-\text{C}_\alpha) + \pi(\text{M}-\text{C}_{\alpha'})\}$, respectively. The LUMO of a_1 symmetry (red) has local $\text{M}-\text{C}_\alpha$ π^* character ($\{\pi^*(\text{M}-\text{C}_\alpha) + \pi^*(\text{M}-\text{C}_{\alpha'})\}$) (Figure 4A).

The coupling of occupied $\{\sigma(\text{M}-\text{C}_\alpha) - \sigma(\text{M}-\text{C}_{\alpha'})\}$ and vacant $\{\pi^*(\text{M}-\text{C}_\alpha) + \pi^*(\text{M}-\text{C}_{\alpha'})\}$ through the angular momentum operator oriented perpendicularly to the ring (\hat{L}_x) represents the major contribution of what was described above in localized terms as the coupling of $\sigma(\text{M}-\text{C}_\alpha)$ and $\pi^*(\text{M}-\text{C}_\alpha)$. Thus, the magnitude of the coupling and hence the deshielding part of δ_{11} is qualitatively estimated by considering the coupling of these two orbitals, which depends on the difference in energy and the “overlap” between them (eq 4). The $\{\sigma(\text{M}-\text{C}_\alpha) - \sigma(\text{M}-\text{C}_{\alpha'})\}$ orbital has a large contribution on the carbons and can be considered to the first approximation as similar for all metallacyclobutanes. In contrast, $\{\pi^*(\text{M}-\text{C}_\alpha) + \pi^*(\text{M}-\text{C}_{\alpha'})\}$, which is the LUMO for **Ti-CB** (Figure 4A), is mainly located on the metal center and is influenced by the ligand field and the electron configuration at the metal center. Thus, the magnitude of the paramagnetic term at the α -carbon can be assessed qualitatively by focusing on the characteristics (occupied vs empty, low vs high in energy, and spatial characteristics) of this orbital. These characteristics can be derived from the orbital splitting and electron occupations associated with the compounds.

For **Ti-CB** (and related d^0 **M-CB** complexes), the $\{\pi^*(\text{M}-\text{C}_\alpha) + \pi^*(\text{M}-\text{C}_{\alpha'})\}$ orbital, colored green in Figure 4B, is the LUMO, the metallacyclobutane is planar and the coupling is large, which leads to a large paramagnetic deshielding at the α -carbon (Figure 2). When this orbital is occupied as in **Mo-CB** [$\text{Cp}_2\text{Mo}(\text{CH}_2\text{CHRCH}_2)$] (red orbital in Figure 4C), no low-lying empty orbital is available and consequently the paramagnetic contribution is quenched; the α -carbons are shielded. In d^0 or d^4 $\text{L}_3\text{M}(\text{CH}_2\text{CH}_2\text{CH}_2)$ complexes (**M-TBP**), the LUMO (green) has the same shape as in **Ti-CB**. It accounts for the large deshielding of the α -carbon in these complexes, whether they have d^0 or d^4 configurations as in **Mo-TBP**, **W-TBP** or **Ru-TBP** (Table S1). In the corresponding SP isomer, **M-SP**, there is also a low-lying empty orbital of similar shape (Figure 4C), but this orbital has poor “overlap” with the carbon backbone since the metal is out of the plane formed by the four equatorial ligands; it is thus represented in red. Consequently, the contribution of the paramagnetic term to δ_{11} originating from $\sigma(\text{M}-\text{C})$ is small and the α -carbon is not particularly deshielded. The d^6 octahedral complexes $\text{L}_4\text{M}(\text{CH}_2\text{CR}_2\text{CH}_2)$ (**Ru-CB**, **Os-CB**, **Rh-CB**, **Ir-CB**, and **Pt-CB**) have no low-lying empty orbital on the metal, which results in shielded α -carbons. Accordingly, the α -carbons in these species retain no alkylidene character, and the metallacyclobutanes do not undergo $[2+2]$ -cycloreversion and show no metathesis activity (Figure 4C). In **Ru-CB**, even upon loss of PMe_3 , the chemical shift values of the metallacyclobutane barely change; the LUMO is oriented toward the empty coordination site and cannot contribute to deshielding of the α -carbon (Scheme S2). This contrasts with the **Ru-TBP** complex where large deshielding at the α -carbons is observed and calculated. In **Ru-TBP**, the metal LUMO (green orbital in Figure

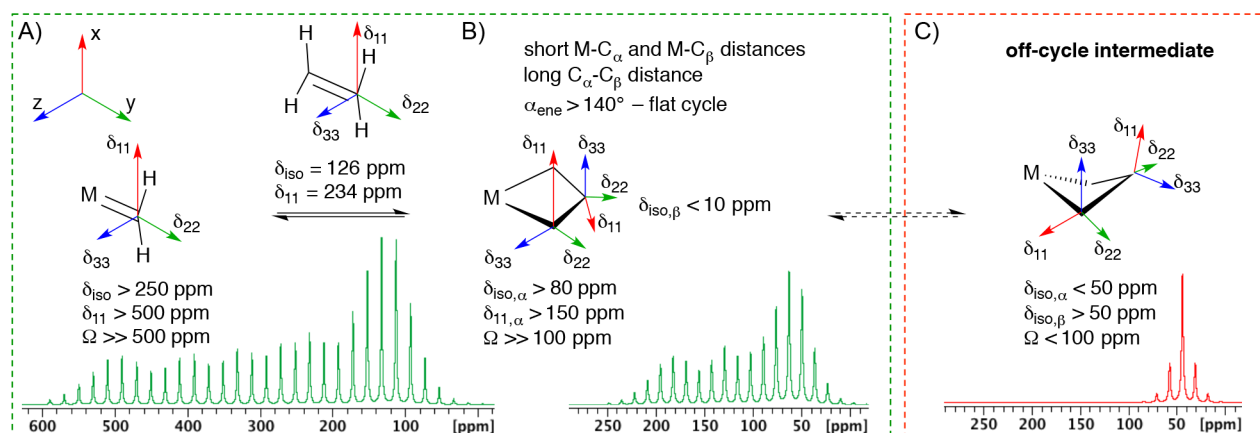


Figure 3. Orientation of the chemical shift tensors: in alkylidenes and ethylene (A), in active metallacyclobutanes (B), and in related inactive metallacyclobutanes M-SP (C); Figure S11 shows similar results for other inactive metallacyclobutanes. Simulated spectra at a spinning rate of 2 kHz of a W-alkylidene and α -carbons of W-TBP and W-SP are shown below the corresponding structures.

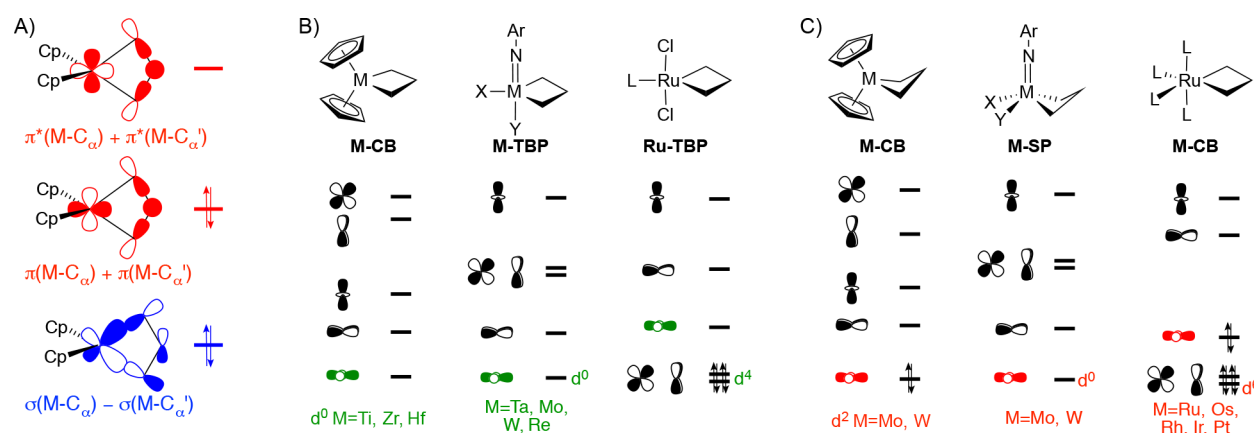


Figure 4. (A) Frontier orbitals of $\text{Cp}_2\text{M}(\text{CH}_2\text{CH}_2\text{CH}_2)$ determining the shielding (for full MO diagram and calculated plots of orbitals see Figure S12). Schematic d-orbital splitting for active (B) and inactive (C) metallacyclobutanes. The LUMO leading to the ^{13}C NMR chemical shifts characteristic of active metathesis catalysts is colored in green. The orbitals in red have the correct symmetry, but are either filled or not adequately directed to yield the characteristic ^{13}C NMR features of the active catalysts.

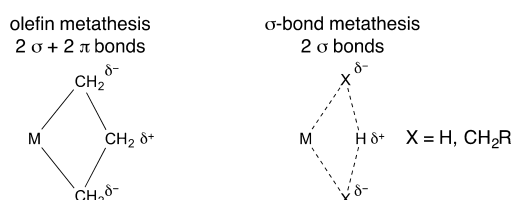
4B) is correctly positioned to induce a π -type overlap at the α -carbon. Consequently, Ru-TBP is a metallacyclobutane active in olefin metathesis whereas $(\text{L}_3)(\text{PMe}_3)\text{Ru}(\text{CH}_2\text{CMe}_2\text{CH}_2)$, an analogue of Ru-CB, has been shown to undergo β -methyl transfer⁶² after loss of PMe_3 (Scheme S2). This analysis shows how the d-orbital splitting associated with the ligand field and the electron count determine the main contributions to the paramagnetic term of the carbon chemical shift tensor and the reactivity of the metallacyclobutane.

The shielded β -carbon of the Ti-CB and M-TBP metallacyclobutanes is associated with a planar ring ($\xi = 0^\circ$) and with an open $\text{C}_\alpha-\text{C}_\beta-\text{C}_{\alpha'}$ ($110-120^\circ$) angle in comparison with the less shielded β -sp³ carbon in M-SP metallacycles (e.g. $\xi = 27^\circ$, $\text{C}_\alpha-\text{C}_\beta-\text{C}_{\alpha'} = 97^\circ$ in W-SP). The shielding of the β -carbon is due to a low paramagnetic contribution to σ_{11} and σ_{22} in combination with hardly any or even a slightly positive contribution to σ_{33} (Table S3), which is oriented perpendicularly to the plane of the metallacyclobutane in these compounds. This is best understood by analyzing the coupling between canonical orbitals: the orbital analysis of the paramagnetic term reveals that the negative contribution at the α -carbon and the positive contribution at the β -carbon, both in the direction perpendicular to the metallacyclobutane, are due to the coupling of the same occupied and empty canonical orbitals (Figure 4A and S12 for

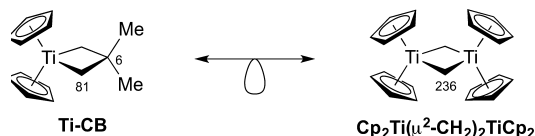
their calculated plots). This situation is similar to what is found for FCl, for which “paramagnetic contributions of opposite signs originate from the difference in relative phase of the p atomic orbitals at Cl and F in the highest occupied orbital” (Figure S13).¹⁰⁴ The presence of two p orbitals with opposite phases in the occupied MO leads to deshielding on the atom with dominant interaction, while the other atom is shielded. In the present case, the occupied orbital, described as $\{\sigma(\text{M}-\text{C}_\alpha) - \sigma(\text{M}-\text{C}_{\alpha'})\}$, has a similar change of phase between $\text{M}-\text{C}_{\alpha/\alpha'}$ and $\text{C}_{\alpha/\alpha'}-\text{C}_\beta$ (Figure S12C). Deshielding is obtained at $\text{C}_{\alpha/\alpha'}$ where the orbital interaction is larger while C_β is shielded (Figure S13).

It is informative to follow how the shielding tensors of the individual carbons change during the course of olefin metathesis, that is the reaction of the alkylidene and the olefin to yield the metallacyclobutane and the microscopic reverse (Figure 3A,B). The deshielding for σ_{11} on the α -carbon decreases upon metallacyclobutane formation, as the double bond of the alkylidene transforms into a metal–carbon single bond. However, the anisotropy remains large and the shielding tensor does not change its orientation illustrating the significant amount of alkylidene character preserved on the α -carbon in the active metallacyclobutane. A more dramatic change is observed for the β -carbon: upon metallacyclobutane formation the shielding tensor changes its orientation and magnitude, becoming much

less anisotropic and more shielded with now the most shielded component δ_{33} perpendicular to the ring (Figure 3B). While the α -carbons thus preserve their alkylidene character during the course of the reaction, it is quenched at the β -carbon. The change in orientation of the shielding tensor on the β -methylene carbon is similar to what is found on going from singlet CH_2^{105} to CH_2^{2+} (Figure S14). In addition, the structure of metathesis-active metallacyclobutanes, with a wide $\text{C}_\alpha\text{--C}_\beta\text{--C}_{\alpha'}$ angle, is reminiscent of the σ -bond metathesis transition state ($\text{M--X} + \text{H--X}$), which is associated with a wide $\text{X}_\alpha\text{--H}_\beta\text{--X}_{\alpha'}$ angle ($\text{X} = \text{H}$ or CH_2R).^{106,107} At this transition state, the β -H is migrating as a proton between two anionic X ligands. Analogously, in active metallacyclobutanes, the $\beta\text{--CH}_2$ group can thus be viewed as a migrating four-electron fragment — with two empty orbitals at carbon — between two eight-electron methylenes. As two σ bonds are broken and formed during σ -bond metathesis, two σ and two π bonds are involved during olefin metathesis.



In fact, CH_2^{2+} is isolobal with $\text{Cp}_2\text{Ti}^{2+}$,^{103,108} making $\text{Cp}_2\text{Ti}(\text{CH}_2\text{CH}_2\text{CH}_2)$ isolobal with $\text{Cp}_2\text{Ti}(\mu^2\text{--CH}_2)_2\text{TiCp}_2$. This known compound^{109,110} displays a shielding tensor for the α -carbon with the same orientation (Figure S15) and a δ_{iso} for the bridging methylene (observed value of 236 ppm) intermediate between the values calculated for the alkylidene **Ti-ene** (338 ppm) and the $\alpha\text{--CH}_2$ in **Ti-CB** (81 ppm), further supporting that the β -carbon is transferred as a formal CH_2^{2+} fragment with the α -carbon retaining the alkylidene character modulated by the metal center.



In all metathesis-active metallacyclobutanes, such as **Ti-CB** and **M-TBP**, the rearrangement of both the π - and the σ -framework is evidenced by a long $\text{C}_\alpha\text{--C}_\beta$ bond ($>1.56 \text{ \AA}$) and the change of orientation of the shielding tensor on the β -carbon, as a formally four-electron CR_2 fragment is transferred between two alkylidene-like α -carbons. In the corresponding **M-SP**, observed with some Schrock type metathesis catalysts,^{16,29} the orientation of the shielding tensor and the magnitude of the principal components of the α - and β -carbons are typical of sp^3 -carbons (Figure 3C); the α -carbon has lost its alkylidene character and the metal–carbon and carbon–carbon bonds are regular σ -bonds. Therefore, **M-SP** is not a reactive intermediate in olefin metathesis. It is an off-cycle resting state that needs to isomerize to **M-TBP** to re-enter the catalytic cycle.^{11–16}

CONCLUSIONS

The principal components of the ^{13}C chemical shift tensors in metallacyclobutanes and related structures, in particular σ_{11}/δ_{11} , provide important information about the electronic structure in general and the frontier orbitals in particular of these compounds. The experimental and computational studies allow a relation between isotropic chemical shift values and

olefin metathesis reactivity to be developed. In particular, the metallacyclobutanes with chemical shift for the α - and β -carbons of ca. 100–80 and 0 ppm, respectively, are active in metathesis and directly involved in the metathesis pathway, while those with isotropic chemical shifts of less than 50 ppm for the α -carbon and more than 20 ppm for the β -carbon are inactive. The high ^{13}C chemical shifts on the α -carbon in metathesis active metallacyclobutanes are a consequence of the presence of a low-lying empty orbital with alkylidene character (π^*) on this carbon. This orbital is present in d^0 and d^4 pentacoordinated TBP and in d^0 Cp_2M metallacyclobutanes. This character can only develop in a planar metallacyclobutane. A consequence of this geometry is that the saturated CR_2 fragment, which transfers into and out of the metallacyclobutane, is shielded perpendicularly to the ring. In the absence of a low-lying empty orbital such as in d^0 **M-SP**, d^2 **Mo-CB**, or d^6 octahedral complexes, the metallacyclobutane cannot participate in the metathesis reaction. Measuring and analyzing the principal components of the chemical shift tensor provide invaluable information on the topology and energy of the molecular orbitals and mechanistic insight into the olefin metathesis reaction and perhaps other reactions of importance in organometallic chemistry. We are currently exploring this field of research.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acscentsci.7b00174.

Experimental and computational details, NCS analysis, graphical representations of all calculated shielding tensors, molecular orbital diagram of $\text{Cp}_2\text{TiCH}_2\text{CR}_2\text{CH}_2$ and plot of molecular orbitals, experimental solid-state NMR spectra, X-ray structure of **Ru-CB**, coordinates of all presented species (PDF)

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Author Contributions

[#]C.P.G. and K.Y. contributed equally. The syntheses of all compounds were carried out by K.Y., C.P.G., and F.A. The NMR measurements were done by W.C.L., computations were performed by C.P.G. and C.R. Interpretation of NCS analysis was carried out by C.P.G., C.C., R.A.A., C.R., and O.E. All authors participated in the writing of the manuscript.

Notes

The authors declare no competing financial interest.

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