

Orofacial electromyographic correlates of induced verbal rumination.

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1	Orofacial electromyographic correlates of induced verbal
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13	Rumination is predominantly experienced in the form of repetitive verbal thoughts. Verbal
14	rumination is a particular case of inner speech. According to the Motor Simulation view, inner
15	speech is a kind of motor action, recruiting the speech motor system. In this framework, we
16	predicted an increase in speech muscle activity during rumination as compared to rest. We also
17	predicted increased forehead activity, associated with anxiety during rumination. We measured
18	electromyographic activity over the orbicularis oris superior and inferior, frontalis and flexor
19	carpi radialis muscles. Results showed increased lip and forehead activity after rumination
20	induction compared to an initial relaxed state, together with increased self-reported levels of
21	rumination. Moreover, our data suggest that orofacial relaxation is more effective in reducing
22	rumination than non-orofacial relaxation. Altogether, these results support the hypothesis that
23	verbal rumination involves the speech motor system, and provide a promising
24	psychophysiological index to assess the presence of verbal rumination.

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27

1. Introduction

As humans, we spend a considerable amount of time reflecting upon ourselves, thinking about our own feelings, thoughts and behaviors. Self-reflection enables us to create and clarify the meaning of past and present experiences (Boyd & Fales, 1983; Nolen-Hoeksema, Wisco, & Lyubomirsky, 2008). However, this process can lead to unconstructive consequences when selfreferent thoughts become repetitive, abstract, evaluative, and self-critical (Watkins, 2008).

33 Indeed, rumination is most often defined as a repetitive and recursive mode of responding 34 to negative affect (Rippere, 1977) or life situations (Robinson & Alloy, 2003). Although 35 rumination is a common process that can be observed in the general population (Watkins, 2008), 36 it has been most extensively studied in depression and anxiety. Depressive rumination has been thoroughly studied by Susan Nolen-Hoeksema, who developed the Response Style Theory (RST; 37 38 Nolen-Hoeksema, 1991). According to the RST, depressive rumination is characterized by an 39 evaluative style of processing that involves recurrent thinking about the causes, meanings, and 40 implications of depressive symptoms. Even though rumination can involve several modalities 41 (i.e., visual, sensory), it is a predominantly verbal process (Goldwin & Behar, 2012; McLaughlin, 42 Borkovec, & Sibrava, 2007). In this study, we focus on verbal rumination, which can be 43 conceived of as a particularly significant form of inner speech.

44 Inner speech or covert speech can be defined as silent verbal production in one's mind or 45 the activity of silently talking to oneself (Zivin, 1979). The nature of inner speech is still a matter 46 of theoretical debate (see Perrone-Bertolotti, Rapin, Lachaux, Baciu, & Lœvenbruck, 2014 for a 47 review). Two opposing views have been proposed in the literature: the Abstraction view and the 48 Motor Simulation view. The Abstraction view describes inner speech as unconcerned with 49 articulatory or auditory simulations and as operating on an amodal level. It has been described as 50 "condensed, abbreviated, disconnected, fragmented, and incomprehensible to others" (Vygotsky, 51 1987). It has been argued that important words or grammatical affixes may be dropped in inner

52 speech (Vygotsky, 1987) or even that the phonological form or representation of inner words may 53 be incomplete (Sokolov, 1972; Dell & Repka, 1992). MacKay (1992) stated that inner speech is 54 nonarticulatory and nonauditory and that "Even the lowest level units for inner speech are highly 55 abstract" (p.122).

56 In contrast with this Abstraction view, the physicalist or embodied view considers inner 57 speech production as mental simulation of overt speech production. As such, it can be viewed as 58 similar to overt speech production, except that the motor execution process is blocked and no 59 sound is produced (Grèzes & Decety, 2001; Postma & Noordanus, 1996). Under this Motor 60 Simulation view, a continuum exists between overt and covert speech, in line with the continuum 61 drawn by Decety and Jeannerod (1996) between imagined and actual actions. This hypothesis has led certain authors to claim that inner speech by essence should share features with speech motor 62 63 actions (Feinberg, 1978; Jones & Fernyhough, 2007). The Motor Simulation view is supported by 64 several findings. Firstly, covert and overt speech have comparable physiological correlates: for 65 instance, measurements of speaking rate (Landauer, 1962; Netsell, Ashley, & Bakker, 2010) and 66 respiratory rate (Conrad & Schönle, 1979) are similar in both. A prediction of the Motor 67 Simulation view is that the speech motor system should be recruited during inner speech. Subtle 68 muscle activity has been detected in the speech musculature using electromyography (EMG) 69 during verbal mental imagery, silent reading, silent recitation (Jacobson, 1931; Sokolov, 1972; 70 Livesay, Liebke, Samaras, & Stanley, 1996; McGuigan & Dollins, 1989), and during auditory 71 verbal hallucination in patients with schizophrenia (Rapin, Dohen, Polosan, Perrier, & 72 Lœvenbruck, 2013). Secondly, it has been shown that covert speech production involves a similar 73 cerebral network as that of overt speech production. Covert and overt speech both recruit 74 essential language areas in the left hemisphere (for a review, see Perrone-Bertolotti et al., 2014). 75 However, there are differences. Consistent with the Motor Simulation view and the notion of a 76 continuum between covert and overt speech, overt speech is associated with more activity in

motor and premotor areas than inner speech (e.g., Palmer et al., 2001). This can be related to the
absence of articulatory movements during inner verbal production. In a reciprocal way, inner
speech involves cerebral areas that are not activated during overt speech (Basho, Palmer, Rubio,
Wulfeck, & Müller, 2007). Some of these activations (cingulate gyrus and superior rostral frontal
cortex) can be attributed to the inhibition of overt responses.

82 These findings suggest that the processes involved in overt speech include those required 83 for inner speech (except for inhibition). Several aphasia patient studies support this view: overt 84 speech loss can either be associated with an impairment in inner speech (e.g., Levine, Calvanio, 85 & Popovics, 1982; Martin & Caramazza, 1982) or with intact inner speech: only the later phases 86 of speech production (execution) being affected by the lesion (Baddeley & Wilson, 1985; 87 Marshall, Rappaport, & Garcia-Bunuel, 1985; Vallar & Cappa, 1987). Geva, Bennett, Warburton, 88 & Patterson (2011) have reported a dissociation that goes against this view, however. In three 89 patients with chronic post-stroke aphasia (out of 27 patients), poorer homophone and rhyme 90 judgement performance was in fact observed in covert mode compared with overt mode. A 91 limitation of this study, though, was that the task was to detect rhymes in written words, which 92 could have been too difficult for the patients. To overcome this limitation, Langland-Hassan, 93 Faries, Richardson, & Dietz (2015) have tested aphasia patients with a similar task, using images 94 rather than written words. They also found that most patients performed better in the overt than in 95 the covert mode. They inferred from these results that inner speech might be more demanding in 96 terms of cognitive and linguistic load, and that inner speech may be a distinct ability, with its own 97 neural substrates. We suggest an alternative interpretation to this dissociation. According to our 98 view, rhyme and homophone judgements rely on auditory representations of the stimuli (see e.g., 99 Paulesu, Frith, & Frackowiak, 1993). Overt speech provides a strong acoustic output that is fed 100 back to the auditory cortex and can create an auditory trace, which can be used to monitor speech. 101 In the covert mode, the auditory output is only mentally simulated, and its saliency in the

102 auditory system is lesser than in the overt mode. This is in accordance with the finding that inner 103 speech is associated with reduced sensory cortex activation compared with overt speech (Shuster 104 & Lemieux, 2005). In patients with aphasia, the weakened saliency of covert auditory signals 105 may be accentuated for two reasons: first, because of impairment in the motor-to-auditory 106 transformation that produces the auditory simulation, and second, because of associated auditory 107 deficits. Therefore, according to our view, the reduced performance observed in rhyme and 108 homophone judgment tasks in the covert compared with the overt mode in brain-injured patients, 109 simply indicates a lower saliency of the auditory sensations evoked during inner speech 110 compared with the actual auditory sensations fed back during overt speech production. In 111 summary, these findings suggest that overt and covert speech share common subjective, 112 physiological and neural correlates, supporting the claim that inner speech is a motor simulation 113 of overt speech.

114 However, the *Motor Simulation view* has been challenged by several experimental results. 115 Examining the properties of errors during the production of tongue twisters, Oppenheim and Dell 116 (2010) showed that speech errors display a lexical bias in both overt and inner speech. According 117 to these researchers, errors also display a phonemic similarity effect (or articulatory bias), a 118 tendency to exchange phonemes with common articulatory features, but this second effect is only 119 observed with overt speech or with inner speech accompanied with mouthing. This has led 120 Oppenheim and Dell (2010) to claim that inner speech is fully specified at the lexical level, but 121 that it is impoverished at lower featural (articulatory) levels. This claim, related to the 122 Abstraction view, is still debated however, as a phonemic similarity effect has been found by 123 Corley, Brocklehurst and Moat (2011). Their findings suggest that inner speech is in fact 124 specified at the articulatory level, even when there is no intention to articulate words overtly. 125 Other findings however, may still challenge the Motor Simulation view. Netsell et al. (2010) have examined covert and overt speech in persons who stutter (PWS) and typical speakers. They have 126

found that PWS were faster in covert than in overt speech while typical speakers presented similar overt and covert speech rates. This can be interpreted in favour of the *Abstraction view*, in which inner representations are not fully specified at the articulatory level, which would explain why they are not disrupted in PWS speech. Altogether, these results suggest that full articulatory specification may not always be necessary for inner speech to be produced.

132 The aim of this study is to examine the physiological correlates of verbal rumination in an 133 attempt to provide new data in the debate between motor simulation and abstraction. A prediction 134 of the *Motor Simulation view* is that verbal rumination, as a kind of inner speech, should be 135 accompanied with activity in speech-related facial muscles, as well as in negative emotion or 136 anxiety-related facial muscles, but should not involve non-facial muscles (such as arm muscles). Alternatively, the Abstraction view predicts that verbal rumination should be associated with an 137 138 increase in emotion-related facial activity, without activity in speech-related muscles and non-139 facial muscles.

140 There is strong interest in the examination of physiological correlates of rumination as 141 traditional assessment of rumination essentially consists of self-reported measures. The 142 measurement of rumination as conceptualized by Nolen-Hoeksema (1991) was operationalized by the development of the Ruminative Response Scale (RRS), which is a subscale of the response 143 144 style questionnaire (Nolen-Hoeksema & Morrow, 1991). The RRS consists of 22 items that 145 describe responses to dysphoric mood that are self-focused, symptom-focused, and focused on 146 the causes and consequences of one's mood. Based on this scale, Treynor, Gonzalez & Nolen-147 Hoeksema (2003) have offered a detailed description of rumination styles and more recently; 148 Watkins (2004, 2008) have further characterized different modes of rumination. The validity of 149 these descriptions is nevertheless based on the hypothesis that individuals have direct and reliable 150 access to their internal states. However, self-reports increase reconstruction biases (e.g., Brewer,

151 1986; Conway, 1990) and it is well known that participants have a very low level of awareness of
152 the cognitive processes that underlie and modulate complex behaviors (Nisbett & Wilson, 1977).

153 In order to overcome these difficulties, some authors have attempted to quantify state 154 rumination and trait rumination more objectively, by recording physiological or neuroanatomical 155 correlates of rumination (for a review, see Siegle & Thayer, 2003). Peripheral physiological 156 manifestations (e.g., pupil dilation, blood pressure, cardiac rhythm, cardiac variability) have been 157 examined during induced or chronic rumination. Vickers and Vogeltanz-Holm (2003) have 158 observed an increase in systolic blood pressure after rumination induction, suggesting the 159 involvement of the autonomic nervous system in rumination. Moreover, galvanic skin response 160 has shown to be increased after a rumination induction, in highly anxious women (Sigmon, Dorhofer, Rohan, & Boulard, 2000). According to Siegle and Thayer (2003), disrupted 161 162 autonomic activity could provide a reliable physiological correlate of rumination. In this line, 163 Key, Campbell, Bacon, and Gerin (2008) have observed a diminution of the high-frequency 164 component of heart rate variability (HF-HRV) after rumination induction in people with a low tendency to ruminate (see also Woody, McGeary, & Gibb, 2014). A consistent link between 165 perseverative cognition and decreased HRV was also found in a meta-analysis conducted by 166 167 Ottaviani et al. (2015). Based on these positive results and on suggestions that labial EMG 168 activity may accompany inner speech and therefore rumination, our aim was to examine facial 169 EMG as a potential correlate of rumination and HRV as an index to examine concurrent validity.

In addition to labial muscular activity, we also recorded forehead muscular activity (i.e., *frontalis* muscle) because of its implication in prototypical expression of sadness (e.g., Ekman,
2003; Kohler et al., 2004), reactions to unpleasant stimuli (Jäncke, Vogt, Musial, Lutz, &
Kalveram, 1996), and anxiety or negative emotional state (Conrad & Roth, 2007)¹. Our

¹ The *corrugator supercilii* was another potential site, as it is sensitive to negative emotions. However, it has been claimed to be mostly activated for strong emotions such as fear/terror, anger/rage and sadness/grief (Ekman & Friesen, 1978; Sumitsuji, Matsumoto, Tanaka, Kashiwagi, & Kaneko, 1967). The

hypothesis was that *frontalis* activity could be an accurate electromyographic correlate of induced
rumination, as a negatively valenced mental process.

176 In this study, we were also interested in the effects of relaxation on induced rumination. Using a relaxation procedure targeted on muscles involved in speech production is a further way 177 to test the reciprocity of the link between inner speech (verbal rumination) and orofacial muscle 178 179 activity. If verbal rumination is a kind of action, then its production should be modulated in return by the effects of relaxation on speech effectors. This idea is supported by the results of 180 (among others) Cefidekhanie, Savariaux, Sato and Schwartz (2014), who have observed 181 substantial perturbations of inner speech production while participants had to realize forced 182 183 movements of the articulators.

184 In summary, the current study aimed at evaluating the Motor Simulation view and the 185 Abstraction view by using objective and subjective measures of verbal rumination. To test the 186 involvement of the orofacial motor system in verbal rumination, we used two basic approaches. 187 In the first approach, we induced verbal rumination and examined concurrent changes in facial 188 muscle activity (Experiment 1). In the second approach, we examined whether orofacial 189 relaxation would reduce verbal rumination levels (Experiment 2). More specifically, in Experiment 1, we aimed to provide an objective assessment of verbal rumination using 190 191 quantitative physiological measures. Thus, we used EMG recordings of muscle activity during 192 rumination, focusing on the comparison of speech-related (i.e., two lip muscles - orbicularis oris 193 superior and orbicularis oris inferior) and speech-unrelated (i.e., forehead -frontalis- and 194 forearm - flexor carpi radialis) muscles. Under the Motor Simulation view, an increase in lip and 195 forehead EMG activity should be observed after rumination induction, with no change in forearm

rumination induction used in this study was designed to have participants self-reflect and brood over their failure at the IQ-test. It was not meant to induce such strong emotions. Several studies have reported increased activity in the *frontalis* muscle at rest in anxious or generalized anxiety disorder patients (for a review see Conrad & Roth, 2007). We expected the type of emotional state induced by rumination to be closer to anxiety or worry than to strong emotions like fear, anger or grief. It was therefore more appropriate to record non-speech facial activity in the *frontalis* rather than in the *corrugator*.

EMG activity, associated with an increase in self-reported rumination. Alternatively, under the *Abstraction view*, an increase in forehead activity should be observed, associated with an increase in self-reported rumination, and no changes in either lip or forearm activity should be noted.

199 In Experiment 2, in order to assess the reciprocity of the rumination and orofacial motor 200 activity relationship, we evaluated the effects of orofacial relaxation on rumination. More 201 specifically, we compared three kinds of relaxation: i) Orofacial Relaxation (i.e., lip muscles), ii) 202 Arm Relaxation (i.e., to differentiate effects specific to speech-related muscle relaxation) and iii) 203 Story Relaxation (i.e., to differentiate effects specific to attentional distraction). If the *Motor* 204 simulation view is correct, we predicted a larger decrease of lip and forehead muscle activity after 205 an Orofacial Relaxation than after an Arm Relaxation (associated with a larger decrease in self-206 reported rumination), which should also be larger than after listening to a story. We also 207 predicted that forearm activity should remain stable across the three conditions (i.e., should not 208 decrease after relaxation). Alternatively, if the Abstraction view is correct, we predicted that none 209 of the relaxation conditions should have an effect on lip or arm activity, because none of these 210 should have increased after induction. However, we expected to observe a decrease in forehead 211 activity and self-reported rumination after Orofacial or Arm relaxation, this decrease being larger than after listening to a Story. Importantly, we predicted that, under the Abstraction View no 212 213 superiority of the Orofacial relaxation should be observed over the Arm relaxation.

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215 2. **Method**

216 *2.1.Participants*

Because of the higher prevalence of rumination in women than in men (see Johnson & Whisman, 2013; for a recent meta-analysis), we chose to include female participants only. Seventy-two female undergraduate students from Université Grenoble Alpes, native French speaking, participated in our study. One participant presenting aberrant data (probably due to 221 inadequate sensor sticking) was removed from analyses. Final sample consisted of seventy-one 222 undergraduate female students ($M_{age} = 20.58$, $SD_{age} = 4.99$). They were recruited by e-mail 223 diffusion lists and participated in the experiment for course credits. They did not know the goals 224 of the study. The cover story presented the research as aiming at validating a new I.Q. test, more 225 sensitive to personality profiles. Participants reported having no neurologic or psychiatric 226 medical history, no language disorder, no hearing deficit, and taking no medication. Each 227 participant gave written consent and this study has been approved by the local ethical committee (CERNI, N° 2015-03-03-61). 228

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230 *2.2.Material*

EMG signals were detected with TrignoTM Mini sensors (Delsys Inc.) at a sampling rate 231 of 1926 samples/s with a band pass of 20 Hz (12 dB/oct) to 450 Hz (24 dB/oct) and were 232 amplified by a TrignoTM 16-channel wireless EMG system (Delsys Inc.). The sensors consisted of 233 234 two 5 mm long, 1 mm wide parallel bars, spaced by 10 mm, which were attached to the skin using double-sided adhesive interfaces. The skin was cleaned by gently scrubbing it with 70% 235 isopropynol alcohol. EMG signals were then synchronized using the PowerLab 16/35 236 (ADInstrument, PL3516). Raw data from the EMG sensors were then resampled at a rate of 1 237 238 kHz and stored in digital format using Labchart 8 software (ADInstrument, MLU60/8). As shown 239 in Figure 1, bipolar surface EMG recordings were obtained from two speech-related labial 240 muscles: orbicularis oris superior (OOS) and orbicularis oris inferior (OOI), as well as from one 241 non speech-related but negative-affect-related facial muscle: frontalis (FRO) and from one non-242 facial and non speech-related muscle: *flexor carpi radialis* (FCR) on the non-dominant forearm. 243 The latter pair of electrodes was used to check whether the rumination induction would cause any 244 muscle contraction, outside of the facial muscles. The same sensor layout was used for all participants. Asymmetrical movements of the face have been shown in speech and emotional 245

246 expression. As reviewed in Everdell, Marsh, Yurick, Munhall, & Paré (2007), the dominant side 247 of the face displays larger movements than the left during speech production, whereas the non-248 dominant side is more emotionally expressive. To optimise the capture of speech-related activity, 249 the OOS and OOI sensors were therefore positioned on the dominant side of the body (i.e. the 250 right side for right-handed participants). To optimise the capture of emotion-related activity, the 251 FRO sensor was positioned on the non-dominant side. To minimise the presence of involuntary 252 manual gestures during the recording, the FCR sensor was positioned on the non-dominant side. 253 Each pair of electrodes was placed parallel with the direction of the muscle fibers, at a position 254 distant from the innervation zones and the muscle tendon interface, following the 255 recommendations of DeLuca (1997). The experiment was video-monitored using a Sony HDR-CX240E video camera to track any visible facial movements. A microphone was placed 20 to 30 256 257 cm away from the participant's lips to record any faint vocal production during rumination. 258 Stimuli were displayed with E-prime 2.0 (http://www.pstnet.com) on a 19-inch color monitor.

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Figure 1. (Single column)

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2.3.Procedure

264 This study consisted of two parts. The first part was carried out a week before the EMG 265 experiment and consisted in checking the inclusion criteria. We checked that participants did not 266 exceed a threshold on a depressive symptoms scale. This was assessed using the French version 267 of the Center for Epidemiologic Studies Depression scale (CES-D; Fuhrer & Rouillon, 1989), which evaluates the level of depressive symptom in subclinical population. We also collected 268 269 information about any potential speech, neurologic, neuromuscular or cardiac disorders and about academic curriculum. Finally, the tendency to ruminate (i.e., trait rumination) in daily life was 270 271 evaluated using the French version of the Mini-CERTS (Cambridge-Exeter Repetitive Thought Scale; Douilliez, Philippot, Heeren, Watkins, & Barnard, 2014). The second part included two 272 273 EMG interdependent experiments related to Rumination Induction and Rumination Reduction by 274 Muscle Relaxation. Specifically, Experiment 1 consisted of acquiring physiological EMG data 275 during rest and induced rumination and Experiment 2 consisted of acquiring physiological EMG 276 data after different kinds of relaxation (see below).

During both Experiment 1 and Experiment 2, momentary rumination was assessed using four different Visual Analogue Scales (VAS, the first two being adapted and translated to French from Huffziger, Ebner-Priemer, Koudela, Reinhard, & Kuehner, 2012) rated from 0 to 100: i) "At this moment, I am thinking about my feelings" (referred to as VAS "*Feelings*"), ii) "At this moment, I am thinking about my problems" (referred to as VAS "*Problems*"), iii) "At this moment, I am brooding about negative things" (referred to as VAS "*Brooding*") and iv) "At this moment, I am focused on myself" (referred to as VAS "*Focused*").

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285 2.3.1. Experiment 1: Rumination Induction

Participants were seated in front of a computer screen in a comfortable and quiet room. EMG sensors were positioned as explained above (see Figure 1). Before the rumination induction, each participant underwent a non-specific relaxation session (i.e., without targeting specific muscles) in order to minimize inter-individual initial thymic variability (approximate duration ~ 330 seconds). Immediately after, participants were instructed to remain silent and not to move for one minute to carry out EMG "baseline" measurements. Then, participants' initial level of rumination was assessed using the four VASs.

293 Subsequently, participants were invited to perform a 15-minute I.Q. test, which was presented on the computer screen facing them. They were instructed to correctly respond to three 294 295 types of I.Q. questions (logical, mathematical and spatial-reasoning questions) in a very short 296 time (30 seconds). Most of the questions were very difficult, if not impossible, to correctly 297 answer in 30 seconds. We included ten different questions for each of the three types of IQ 298 question: ten logical questions (e.g., finding the next number of a Fibonacci sequence), ten 299 mathematical questions (e.g., "What is the result of the following calculus: (30 / 165) - (70 / 66)") 300 and ten spatial-reasoning questions (e.g., finding the next figure of a series). Forced-failure tasks 301 have extensively been employed in the literature to induce a slightly negative mood, ideal for 302 subsequent rumination induction (e.g., LeMoult & Joormann, 2014; Van Randenborgh, 303 Hüffmeier, LeMoult, & Joormann, 2010).

After the I.Q. test, participants were invited to reflect upon the causes and consequences of their feelings, during five minutes (rumination induction). This method is based on the induction paradigm developed by Nolen-Hoeksema and Morrow (1993). The classical paradigm uses a series of prompts. In order to avoid the potential confound in muscle activity induced by silent reading, we did not use the full paradigm. We simply summarised the series of prompts by one typical induction sentence. During this period, participants were asked to remain silent and not to move, while EMG recordings were carried out (i.e., EMG Post-induction measures). EMG

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311 signals of rumination were collected during the last minute of this period. Finally, participants312 were instructed to self-report momentary rumination on the four VASs.

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2.3.2. Experiment 2: Rumination Reduction by Relaxation

315 After Experiment 1, participants were randomly allocated to one of three groups. In the 316 first group, participants listened to a pre-recorded relaxation session that was focused on orofacial 317 speech-related muscles ("Orofacial Relaxation" condition). In the second group, relaxation was 318 focused on the arm muscles ("Arm Relaxation" condition). In the third group, participants simply listened to a story, read by the same person, for an equivalent duration ("Story" condition, 319 320 detailed content of the story can be found in the Supplementary Materials, in French). In summary, the first condition allowed us to evaluate the effects of targeted speech muscle 321 322 relaxation on rumination. The second condition allowed evaluating the effects of a non-orofacial 323 relaxation (i.e., speech-unrelated muscles) while the third condition allowed controlling for 324 effects of attentional distraction during relaxation listening.

The speeches associated with the three conditions, relaxation sessions and story listening session, were delivered to the participants through loudspeakers. They were recorded by a professional sophrology therapist in an anechoic room at GIPSA-lab (Grenoble, France) and were approximately of the same duration (around 330 seconds).

After the relaxation/distraction session, participants were asked to remain silent and not to move during one minute, during which EMG measurements were collected (EMG Postrelaxation measures). Finally, participants were instructed to self-report rumination on the four VASs.

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334 *2.4.Data processing and analysis*

335 2.4.1. EMG data processing

EMG signal pre-processing was carried out using Labchart 8. The EMG data were highpass filtered using a Finite Impulse Response (FIR) filter at a cut-off of 20 Hz, using the Kaiser window method with $\beta = 6$. Then, output of this first filter was to a low-pass filtered at a cut-off of 450 Hz (with the same parameters), in order to focus on the 20 – 450 Hz frequency band, following current recommendations for facial EMG studies (DeLuca, 1997; DeLuca, Gilmore, Kuznetsov, & Roy, 2010; Van Boxtel, 2001).

Although we specifically asked participants to remain silent and not to move during EMG 342 343 data collection, tiny facial movements (such as biting one's lips) or vocal productions sometimes 344 occurred. Periods with such facial movement or vocal production were excluded from the 345 analysis. To do this, visual inspection of audio, video, and EMG signal was performed. Specifically, for the EMG signals, we compared two methods of signal selection. The first one 346 347 consisted of setting a threshold on the absolute value of the EMG signal and portions of signals 348 above this threshold were removed. This threshold was empirically chosen using visual 349 inspection of a few samples and set to the mean EMG value plus 6 SDs. The second method 350 consisted of manually removing periods of time that included visually obvious bursts of EMG activity, corresponding to overt contraction (as in Rapin et al., 2013). Based on samples from a 351 few participants, the comparisons between these two methods showed that the automatic 352 353 threshold method was somewhat less sensitive to overt movements. Therefore, the second 354 method was used, as it was more conservative and less prone to leave data related to irrelevant 355 overt movements.

After pre-processing, EMG data were exported from Labchart software to Matlab r2014a (Version 8.3.0.532, www.mathworks.fr). For each EMG signal, mean values were computed under Matlab, using 200 ms sliding windows. The average of these mean values were calculated for each recording session (baseline, after induction and after relaxation/induction). This provided a score for each muscle of interest (OOS, OOI, FCR, FRO) in each Session (Baseline, Post Induction, Post-Relaxation) for each participant.²

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2.4.2. Statistical analyses

Absolute EMG values are not meaningful as muscle activation is never null, even in resting conditions, due in part to physiological noise (Tassinary, Cacioppo, & Vanman, 2007). In addition, there are inter-individual variations in the amount of EMG activity in the baseline. To normalise for baseline activity across participants, we used a differential measure and expressed EMG amplitude as a percentage of baseline level (Experiment 1) or of post-induction level (Experiment 2).

To model EMG amplitude variations in response to the rumination induction (Experiment 1) and relaxation (Experiment 2), we used a bayesian multivariate regression model with the natural logarithm of the EMG amplitude (expressed in % of baseline level) as an outcome, in an intercept-only model (in Experiment 1), and using Condition (Orofacial, Arm or Story) as a categorical predictor in Experiment 2. We used the same strategy (two multivariate models) to analyse VAS scores (expressed in relative changes) along the two experiments.

These analyses were conducted using RStudio (RStudio Team, 2015) and the *brms* package (Bürkner, in press), an R implementation of Bayesian multilevel models that employs the probabilistic programming language, *Stan* (Carpenter et al., 2016). *Stan* implements gradientbased Markov Chain Monte Carlo (MCMC) algorithms (e.g., Hamiltonian Monte-Carlo), which allow yielding posterior distributions that are straightforward to use for interval estimation around all parameters. Two MCMC simulations (or "chains") were run for each model, including

² Because of constraints attributable to the design of our experiment, we were not able to perform conventional control measures (e.g., time of the day, food consumption, sport activity, smoking habits, etc.). Moreover, in our study, periods of signal recording had to be shorter than usual HRV analysis time periods (cf. methodology section). Although recent studies suggest that "ultrashort term" HRV analysis seems to correlate quite well with HRV analysis performed on longer periods of time (Brisinda et al., 2013; Salahuddin, Cho, Gi Jeong, & Kim, 2007), we cannot exclude that our measurements might be unreliable. For these reasons, we chose not to present HRV results in this report and to focus on EMG results as well as subjective reports of rumination.

382 100,000 iterations, a warmup of 10,000 iterations, and a thinning interval of 10. Posterior 383 convergence was assessed examining autocorrelation and trace plots, as well as the Gelman-384 Rubin statistic. Fixed effects were estimated via the posterior mean and 95% highest density 385 intervals (HDIs), where an HDI interval is the Bayesian analogue of a classical confidence 386 interval.³

This strategy allowed us to examine posterior probability distribution on each parameter of interest (i.e., effects of session and condition on each response variable). When applicable, we also report evidence ratios (ERs), computed using the *hypothesis* function of the *brms* package (Bürkner, in press). These evidence ratios are simply the posterior probability under a hypothesis against its alternative (Bürkner, in press). We also report summary statistics (mean and HDI) of Cohen's d effect sizes, computed from the posterior samples.

393

394 **3. Results**

395 *3.1. Experiment 1: Rumination Induction*

The evolution of VAS scores (for the four assessed scales: Feelings, Problems, Brooding, and Focused) and EMG (for the four muscles: OOS, OOI, FCR and FRO) activity from baseline to post-induction were examined.

399

400 3.1.1. Self-reported rumination measures: VAS scores

401

402 Results for VAS relative changes based on the multivariate models described earlier are 403 shown in the right panel of Figure 2. Thereafter, α represents the mean of the posterior

³ While not suffering from the misunderstandings associated with frequentist confidence intervals (for more details, see for instance Morey, Hoekstra, Rouder, Lee & Wagenmakers, 2016).

404 distribution of the intercept. Raw pre- and post-induction scores are provided in Supplementary405 Materials.

406 Mean VAS score on the Feelings scale was slightly lower after induction ($\alpha = -5.55, 95\%$ 407 HDI [-10.89, -0.24], d = -0.23, 95% HDI [-0.46, -0.01]), while Problems score was slightly 408 higher ($\alpha = 3.99, 95\%$ HDI [-2.04, 9.83], d = 0.15, 95% HDI [-0.08, 0.37]). We observed a strong 409 increase of the score on the Brooding scale ($\alpha = 14.45, 95\%$ HDI [8.07, 20.72], d = 0.50, 95%410 HDI [0.26, 0.74]), and a strong decrease on the Focused scale ($\alpha = -11.63, 95\%$ HDI [-17, -6.07], 411 d = -0.48, 95% HDI [-0.72, -0.24]). As we examined the fit of the intercept-only model, these 412 estimates represent the posterior mean for each muscle.

413 In the following, we report the mean (indicated by the Greek symbol p) and the 95% HDI of the posterior distribution on the correlation coefficient (p). Examination of the correlation 414 415 matrix estimated by the multivariate model revealed no apparent correlation neither between Feelings and Problems scales ($\rho = -.01$, 95% HDI [-.23, .22]), nor between Feelings and 416 417 Brooding ($\rho = .08, 95\%$ HDI [-.15, .30]). However, we observed a strong positive correlation between Problems and Brooding VASs ($\rho = .64, 95\%$ HDI [.49, .76]), a positive correlation 418 419 between Feelings and Focused ($\rho = .30, 95\%$ HDI [.08, .50]), and a negative correlation between Problems and Focused ($\rho = -.30$, 95% HDI [-.49, -.08]), as well as between Brooding and 420 421 Focused ($\rho = -.18, 95\%$ HDI [-.39, .05]).

422

423 **3.1.2. EMG**

424

Results for EMG data based on the multivariate model described earlier are shown in the
left panel of Figure 2. Summary statistics were computed on posterior samples transformed back
from log scale.

428 Mean EMG amplitude for OOS was higher after induction ($\alpha = 138.57$, 95% HDI 429 [124.43, 151.71], d = 0.66, 95% HDI [0.49, 0.84]) as well as for OOI ($\alpha = 163.89$, 95% HDI 430 [145.24, 184.14], d = 0.77, 95% HDI [0.61, 0.94]), and FRO ($\alpha = 197.55$, 95% HDI [166.59, 431 228.42], d = 0.74, 95% HDI [0.59, 0.89]). Effects on the FCR were approximately null ($\alpha =$ 432 100.10, 95% HDI [97.48, 102.76], d = 0.01, 95% HDI [-0.24, 0.23]).

Examination of the correlation matrix estimated by the bayesian multivariate model revealed a positive correlation between OOS and OOI EMG amplitudes ($\rho = .44$, 95% HDI [.24, .61]), while no apparent correlations neither between OOS and FCR ($\rho = .09$, 95% HDI [-.14, .31]), OOS and FRO ($\rho = .12$, 95% HDI [-.11, .35]), OOI and FCR ($\rho = .02$, 95% HDI [-.21, .25]), FRO and FCR ($\rho = -.06$, 95% HDI [-.28, .17]), nor OOI and FRO ($\rho = .07$, 95% HDI [-.16, .29]). Scatterplots, marginal posterior distributions and posterior distributions on correlation coefficients are available in Supplementary Materials.

440

In order to check whether the propensity to ruminate could predict the effects of the 441 442 rumination induction on EMG amplitude, we compared the multivariate model described above, with a similar model but with the score on the abstract dimension of the Mini-CERTS as an 443 additional predictor. We compared these models using the widely applicable information 444 445 criterion (WAIC; Watanabe, 2010), via the WAIC function of the brms package (Bürkner, in press). Results showed that the intercept-only model had a lower WAIC (WAIC = 177.39) than 446 the more complex model (WAIC = 182.01), indicating that there is no predictive benefit in 447 448 adding the Mini-CERTS score as a predictor.

449



VAS / Muscle	OOS	IOO	FCR	FRO	
Feelings	-0.07	0.01	-0.20	-0.05	
	[-0.32, 0.18]	[-0.24, 0.25]	[-0.43, 0.04]	[-0.29, 0.19]	
Problems	0.11	-0.01	-0.09	0.26	
	[-0.14, 0.34]	[-0.25, 0.23]	[-0.33, 0.15]	[0.02, 0.50]	
Brooding	-0.03	0.11	-0.26	0.11	
	[-0.27, 0.20]	[-0.12, 0.34]	[-0.47, -0.03]	[-0.13, 0.36]	
Focused	-0.18	-0.26	-0.07	0.01	
	[-0.41, 0.06]	[-0.47, -0.03]	[-0.31, 0.18]	[-0.24, 0.26]	
Table 1. (Single column)					

3.2. Experiment 2: Rumination Reduction by Relaxation

461

In the second experiment, we aimed at comparing the evolution in EMG activity and VAS

462 scores from post-induction to post-relaxation in three different conditions: Orofacial relaxation,

- 463 Arm relaxation, and listening to a Story.
- 464

465 *3.2.1. Self-reported rumination measures: VAS scores*

466 Posterior means and 95% HDIs of the VAS scores in each condition of experiment 2 are
467 represented in Figure 3 and Table 1.

468

VAS	Condition	β [95% HDI]	<i>d</i> [95% HDI]			
Feelings	Orofacial	7.84 [-0.34, 16.05]	0.38 [-0.02, 0.80]			
	Arm	4.60 [-3.78, 13]	0.22 [-0.21, 0.62]			
	Story	-5.33 [-13.41, 2.89]	-0.26 [-0.68, 0.12]			
Problems	Orofacial	-15.24 [-23.89, -6.50]	-0.70 [-1.11, -0.28]			
	Arm	-4.23 [-13.15, 4.69]	-0.19 [-0.59, 0.22]			
	Story	-9.19 [-17.90, -0.39]	-0.42 [-0.83, -0.02]			
Brooding	Orofacial	-20.40 [-28.78, -11.97]	-0.97 [-1.41, -0.55]			
	Arm	-10.42 [-18.87, -1.93]	-0.50 [-0.90, -0.07]			
	Story	-15.16 [-23.48, -6.83]	-0.72 [-1.12, -0.30]			
Focused	Orofacial	17.03 [7.37, 20.67]	0.72 [0.29, 1.14]			
	Arm	11.19 [1.56, 20.89]	0.48 [0.05, 0.88]			
	Story	-14.94 [-24.64, -5.32]	-0.64 [-1.05, -0.22]			
Table 2. (Single column)						

469

470

In order to compare the effects of the two kind of relaxation on the VAS scores, we then used the *hypothesis* function of the *brms* package that allows deriving evidence ratios (ER). These evidence ratios are simply the posterior probability under a hypothesis (e.g., the hypothesis that the Orofacial relaxation session would be more effective in reducing self-reported rumination than the Arm relaxation session) against its alternative (Bürkner, in press). 476 Since the Problems and the Brooding scales seemed to be sensitive markers of rumination
477 (as their scores increased after induction in Experiment 1), our analyses were focused on these
478 two scales.

Concerning the Problems VAS, the decrease observed in the Orofacial condition was more pronounced than in the Arm condition (Est = -11.06, SE = 6.35, ER₁₀ = 22.65), and slightly more pronounced compared to the Story condition (Est = -6.05, SE = 6.31, ER₁₀ = 4.98). The observed on the Brooding VAS score in the Orofacial condition was larger than in the Arm condition (Est = -9.98, SE = 6.07, ER₁₀ = 18.85), and slightly more important compared to the Story condition (Est = -5.23, SE = 6.01, ER₁₀ = 4.27).

485



Figure 3. (Single column)

4884893.2.2. EMG

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Posterior means and 95% HDIs of the EMG amplitude in each condition of experiment 2 are represented in Figure 4 and reported in Table 3.

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492

Muscle	Condition	β [95% HDI]	d [95% HDI]
OOS	Orofacial	69.80 [56.96, 83.62]	-0.92 [-1.54, -0.32]
	Arm	98 [79.83, 117.71]	-0.07 [-0.48, 0.32]
	Story	109.54 [89.05, 130.74]	0.16 [-0.21, 0.49]
OOI	Orofacial	71.05 [52.67, 90.71]	-0.62 [-1.24, -0.08]
	Arm	100.43 [74.05, 128.68]	-0.03 [-0.42, 0.34]
	Story	89.94 [66.54, 114]	-0.19 [-0.63, 0.22]
FCR	Orofacial	97.01 [93.12, 100.89]	-0.32 [-0.75, 0.10]
	Arm	98.46 [94.51, 102.48]	-0.16 [-0.58, 0.25]
	Story	99.24 [95.26, 103.18]	-0.08 [-0.48, 0.32]
FRO	Orofacial	59.22 [48.18, 70.93]	-1.44 [-2.20, -0.70]
	Arm	61.31 [49.69, 73.82]	-1.32 [-2.08, -0.61]
	Story	98.31 [80.19, 117.29]	-0.06 [-0.46, 0.32]

494

Table 3. (Single column)

495

We used the same strategy as before to compare the effects of the two kinds of relaxationon the EMG amplitudes.

Concerning the OOS, the observed decrease in the Orofacial condition was more pronounced than in the Arm condition (Est = -0.34, SE = 0.14, ER₁₀ = 140.73), as well as concerning the OOI (Est = -0.35, SE = 0.19, ER₁₀ = 29.46), while we observed no noticeable differences between the two kinds of relaxation concerning the EMG amplitude of the FRO (Est = -0.04, SE = 0.14, ER₁₀ = 1.53).



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In the first experiment, we examined electromyographic correlates of induced rumination in healthy individuals. According to the *Motor Simulation view*, we predicted an increase in the activity of all facial muscles after the rumination induction, associated with an increase in selfreported rumination. Alternatively, the *Abstraction view* predicted an increase in self-reported rumination associated with an increase in forehead activity with no changes in either lip or forearm activity.

To test the predictions of these two theoretical views, we compared EMG measures and VAS scores after induction to their values before induction. EMG activity was examined in four muscles: OOS and OOI, two muscles involved in speech production, FRO, a facial negativeaffect-related but not speech-related muscle, and FCR, a non-facial control muscle on the nondominant forearm. 519 As predicted by the Motor Simulation view, we observed an increase in the activity of the 520 two speech-related muscles (OOS & OOI) as well as in the negative-affect-related muscle (FRO) 521 and no change in FCR activity. The increase in facial EMG together with the increase in the 522 subjective reports of rumination suggests that facial EMG increase is a correlate of verbal 523 rumination. As supported by several studies results, the forehead muscle activity has been 524 associated with unpleasant emotions (Jäncke et al., 1996) or anxiety (Conrad & Roth, 2007). The 525 increase in FRO activity observed here is consistent with the increase in negative emotions 526 induced by our negatively valenced induction procedure. Orbicularis oris lip muscles are 527 associated with speech production. The increase in lip activity observed here suggests that the 528 speech motor system was involved during the ruminative phase. The fact that the FCR remained 529 stable after rumination induction suggests that the observed facial activity increase was not due to 530 general body tension induced by a negative mental state. These facial EMG results therefore 531 support the hypothesis that rumination is an instance of articulatory-specified inner speech.

532 After the rumination induction, a larger increase in OOI activity was observed compared 533 to the increase in OOS activity. This finding is consistent with previous findings of higher EMG 534 amplitude in the lower lip during speech and inner speech (e.g., Barlow & Netsell, 1986; Regalo et al., 2005; Sokolov, 1972) or auditory verbal hallucinations (Rapin et al., 2013). Rapin et al. 535 536 (2013) have explained the difference between the activities of the two lip muscles by muscle 537 anatomy. The proximity of the OOI muscle with other speech muscles (such as the *depressor* 538 angular muscle or the *mentalis*) could increase the surface EMG signal captured on the lower lip 539 (OOI), as compared to the upper lip (OOS) during speech. An even larger increase in FRO 540 activity was observed compared to the increase in lip muscle activity. As EMG amplitude is 541 known to vary with muscle length (Babault, Pousson, Michaut, & Van Hoecke, 2003), the greater 542 increase in *frontalis* activity could be explained by its anatomical properties.

543 However, although a functional distinction can be drawn between the forehead and the lip 544 muscles, one should acknowledge the fact that these two sets of muscles can be commonly 545 activated during some behaviours. For instance, Van Boxtel & Jessurun (1993) have shown that 546 orbicularis oris inferior and frontalis were both activated during a two-choice serial reaction task 547 in which nonverbal auditory or visual signals were presented. Moreover, there was a gradual 548 increase in EMG activity in these muscles during the task, either when the task was prolonged or 549 when the task was made more difficult. They interpreted this increase in EMG activity as 550 associated with a growing compensatory effort to keep performance at an adequate level. An 551 alternative interpretation is that the increase in task difficulty was dealt with by inner 552 verbalization. Covertly rehearsing the instructions or covertly qualifying the stimuli might have helped the participants to perform adequately. Therefore, the increase in orbicularis oris activity 553 554 might have been related to an increase in covert verbalization, whereas the increase in *frontalis* 555 activity might have been related to increased anxiety or tension. The fact that the EMG increase 556 was muscle specific, and that some facial muscles (orbicularis oculi, zygomaticus major, 557 *temporalis*) did not show an increase in activity unless the task became too difficult, supports this interpretation. It cannot be ruled out, however, that orbicularis oris activity may in some cases be 558 related to mental effort without mental verbalisation. Nevertheless, although the IQ test itself was 559 560 designed to induce mental effort, no cognitively demanding task was asked to the participant 561 during the period of EMG recording (i.e., approximately four minutes after the end of the test). 562 Although we cannot absolutely exclude that rumination in itself could require cognitive effort, it 563 seems unlikely that mental effort was the main factor of variation.

Scores on the VAS need to be discussed in further detail. We examined which VAS scales were most suitable to capture changes in state rumination to allow focused analyses. Due to the "pre-baseline" relaxation session, during which participants were asked to concentrate on their body and breathing cycles, participants reported a high level of attentional self-focus at baseline 568 ("Feelings" and "Focused" VAS). Because of the high level of self-focused attention at baseline, 569 it is likely that the scores on the "Feelings" and "Focused" VAS did not show the expected 570 increase after rumination induction (ceiling effect). The scores on the scales "Problems" and "Brooding", which are more representative of maladaptive rumination, did increase after our 571 572 rumination induction paradigm, however. Interestingly, the "Brooding" VAS corresponded to a 573 larger increase and seemed to be more sensitive to rumination induction than the "Problems" VAS. Given this greater sensibility and the strong positive correlation between the "Brooding" 574 575 and the "Problems" VAS, it thus make sense to consider the "Brooding" VAS as a better estimate 576 of ruminative state, at least within our paradigm. We will therefore only use this scale to assess 577 rumination in the following.

578 The fact that we did not observe any association between the propensity to ruminate (as 579 measured by the Mini-CERTS questionnaire) and the effects of the induction is consistent with 580 the results of Rood, Roelofs, Bögels, and Arntz (2012) who found that the level of trait 581 rumination did not moderate the effects of a rumination induction.

- 582
- 583 **4.2**

4.2.Experiment 2

In the second experiment, we studied the effects of two muscle-specific relaxation 584 585 sessions: Orofacial relaxation and Arm relaxation. We compared their effects to a third control 586 condition (Story), which did not involve the deliberate relaxation of any specific muscle. Our 587 predictions were that a decrease in facial EMG activity should be observed in each condition. If 588 the Motor Simulation view is correct, we expected a larger decrease in the activity of all facial 589 muscles in the "Orofacial relaxation" condition than in the "Arm relaxation" condition, 590 associated with a larger decrease in self-reported rumination. Additionally, we expected a more 591 pronounced decrease in the two relaxation conditions (orofacial and arm relaxation conditions)

than in the control ("Story") condition. We also expected no difference between relaxationconditions regarding the change in the forearm muscle activity.

594 The data indicated a decrease in self-reported rumination ("Brooding" VAS) in each 595 condition. The "Orofacial" relaxation condition elicited a slightly larger decrease than the "Arm 596 relaxation" or the "Story" condition. However, there was extensive individual variation in 597 response to these conditions. As concerns EMG results, we observed a decrease in OOS and OOI 598 activities in all three conditions but this decrease was more pronounced in the orofacial condition 599 than in the other two conditions. The *frontalis* activity did not show the same pattern. A similar 600 FRO activity decrease was observed in both the orofacial and the non-orofacial relaxation 601 conditions. Therefore, in Experiment 2, the lip muscles and the forehead muscle follow differential evolutions. A dissociation was observed: whereas both orofacial and arm relaxations 602 603 resulted in a decrease in forehead activity, only orofacial relaxation was successful at reducing lip 604 activity.

605 Considering both VAS results and the dissociation in EMG patterns, several 606 interpretations are possible. The first interpretation is that verbal production associated with 607 rumination was more reduced by orofacial muscular relaxation than by non-orofacial relaxation. This interpretation is consistent with the fact that the "Brooding" VAS was slightly more 608 609 decreased in this condition compared to the other two. The larger decrease in OOS and OOI 610 amplitude after orofacial relaxation would thus reflect this reduction in verbal production, as 611 hypothesised by the Motor Simulation view. The fact that FRO activity displayed a similar 612 decrease in both orofacial and non-orofacial relaxation conditions could suggest that any means 613 of body relaxation (be it orofacial or not) is appropriate to reduce negative affect and can 614 therefore reduce forehead contraction. This suggests that the FRO activity increase presumably 615 reflected negative affect and tension (such as observed in EMG studies on generalised anxiety 616 disorder patients, see Conrad & Roth, 2007 for a review).

617 Alternatively, one could also argue that the larger decrease in lip muscle activity after 618 orofacial relaxation finds a more trivial explanation in that it seems obvious to expect that 619 orofacial relaxation will be more efficient to reduce lip muscle contraction than non-orofacial 620 relaxation. Thus, the different impacts of the two relaxation sessions on the lip muscles would not 621 be related to reduced rumination per se but simply to a more anatomically targeted relaxation. 622 However, several observations argue against such an interpretation. The larger decrease in the "Brooding" VAS in the orofacial relaxation condition compared with the other conditions 623 624 suggests that the reduction in lip muscle activity is indeed related to the reduction in rumination. 625 Moreover, an interpretation solely based on anatomical links does not explain why FRO activity 626 displayed the same amount of reduction in both relaxation sessions. If reduction in muscle activity was merely related to the effect of facial muscle relaxation, then the decrease in FRO 627 628 activity should have also been higher in the orofacial relaxation condition than in the other 629 relaxation condition, which was not the case. Therefore the dissociation between forehead and lip 630 patterns of activity, together with the differential effects of the two types of relaxation on 631 subjective rumination reports strongly suggest that different processes underlie the activity of these two sets of muscles. We therefore consider that the first interpretation is more plausible: 632 frontalis activity seems related to overall facial tension due to negative affect whereas lip activity 633 634 seems to be related to the specific involvement of the speech musculature in rumination. These 635 results thus seem to confirm the interpretation of decreased OOS and OOI activities in the 636 orofacial relaxation condition as markers of rumination reduction.

Interestingly, we observed no changes of forearm EMG activity in any of the three conditions of experiment 2. The fact that the relaxation session focused on the forearm was not associated with a decrease in FCR activity has a simple explanation: FCR activity had not increased after rumination induction and had remained at floor level. The forearm was thus already relaxed and the Arm relaxation session did not modify FCR activity. Another interesting 642 conclusion related to this absence of modification of forearm activity is that relaxation does not 643 spuriously decrease muscle activity below its resting level. One possible interpretation of the 644 increase in lip EMG after rumination induction could have been that baseline relaxation 645 artificially decreased baseline activity under its resting level. The facts that forearm activity did 646 not decrease after arm-focused relaxation contradicts this interpretation.

Finally, the "Story" condition was also associated with a decrease in OOI and FRO 647 648 activities. This could mean that listening to a story reduced rumination to the same extent as 649 relaxation did. However, the discrepancy observed in "Focused" VAS between the two relaxation 650 conditions on the one hand and the control condition on the other hand, suggests that the EMG 651 decrease observed in the "Story" condition might be attributable to a different cause than that observed in the two relaxation conditions. Listening to a story could help reducing rumination by 652 653 shifting attention away from ruminative thoughts. Relaxation sessions could help reducing 654 rumination by shifting attention to the body in a beneficial way.

655

656 *4.3. General discussion*

We set out two experiments to examine whether rumination involves motor simulation or 657 is better described as linguistically abstract and articulatory impoverished. We used labial, facial, 658 659 and arm EMG measures to assess potential articulatory correlates of rumination. The patterns of 660 results of our study seem to be in favour of the motor nature of verbal rumination. In Experiment 661 1, rumination induction was associated with a higher score on the scale "I am brooding about 662 negative things" which is representative of abstract-analytical rumination, considered as verbal 663 rumination. This maladaptive rumination state was associated with an increase in the activity of two speech-related muscles, without modification of the arm muscle activity, which indicates that 664 665 rumination involves activity in speech articulatory muscles, specifically. The concurrent increase in forehead muscle activity could be explained by an increase in negative emotions induced by 666

667 our negatively valenced induction procedure. The results of Experiment 1 therefore show the 668 involvement of the speech musculature during rumination. This is in line with the *Motor* 669 *simulation view*, according to which inner speech is fully specified at the articulatory level, not 670 just the lexical level.

671 In Experiment 2, guided relaxation resulted in a decrease in speech muscle activity. In the 672 lip muscles, the activity decrease was stronger after orofacial relaxation than after arm-focused relaxation. In the forehead muscle, however the effect was the same for both types of relaxation. 673 674 This decrease in speech muscle activity was associated with a decrease in self-reports of 675 rumination and was most pronounced after orofacial relaxation. These findings suggest that a 676 reduction in speech muscle activity could hinder articulatory simulation and thus limit inner speech production and therefore reduce rumination. This interpretation is consistent with the 677 678 Motor Simulation view of inner speech. Brooding-type rumination was also diminished after the 679 arm-focused relaxation as well as after listening to a story, although less than in the orofacial 680 relaxation. This suggests that general relaxation or distraction are also likely to reduce negative 681 rumination. To summarize, experiments 1 and 2 are consistent with the Motor Simulation view of 682 inner speech, according to which speech muscle activity is inherent to inner speech production. Experiment 1 shows the involvement of the lip musculature during brooding-type rumination. 683 684 Experiment 2 suggests that brooding-type rumination could be reduced by blocking or relaxing 685 speech muscles.

These data support the utility of labial EMG as a tool to objectively assess inner speech in a variety of normal and pathological forms. We suggest that this method could be used as a complement to self-report measures, in order to overcome limitation of these measures.

689 Our results should be interpreted with some limitations in mind. Firstly, our sample 690 consisted exclusively of women. Although this methodological choice makes sense considering 691 the more frequent occurrence of rumination in women, further studies should be conducted to 692 ascertain that our results may generalize to men. Secondly, in Experiment 1, no between-subject 693 control condition was used to compare with the group of participants who underwent rumination 694 induction. Thus, we cannot rule out that other processes occurred between baseline and 695 rumination induction, influencing responding. Thirdly, substantial inter-individual differences 696 were observed concerning the size of the effect of rumination induction on facial EMG activity. 697 The results of Jäncke (Jäncke, 1996; Jäncke et al., 1996) can shed light on this last result. Jäncke 698 used a similar procedure (i.e., negative mood induction using a false I.Q. test and facial EMG 699 measurements to assess emotions), except that the experimenter was not in the room while 700 participants performed the test and acknowledged their results. The experimenter then came back 701 to the room and analysed participants' behaviours. Jäncke observed an increase in facial muscular 702 activity (assessed when participants were reading their results) only in participants who were 703 prone to express their distress when the experimenter came back, while more introverted 704 participants did not show any increased facial activity when reading their results. Jäncke 705 interpreted these results in the framework of an ecological theory of facial expression, suggesting 706 that facial expressions would not only be guided by underlying emotions, but also by their 707 communicative properties. Considering these results, it seems likely that the proneness of 708 participants to communicate their emotions could have mediated effects of the induction on their 709 facial EMG activity. This could partially explain the observed inter-individual variability in facial 710 EMG activity associated with rumination. Moreover, even though rumination is a predominantly 711 verbal process, one cannot exclude that some of our participants experienced rumination in 712 another modality (e.g., imagery-based rumination), which would explain their lower than average 713 lip activity.

Thus, a logical next step is to examine qualitative factors that mediate the link between rumination and facial muscular activity. These factors (among others) could be proneness to communicate emotion or proneness to verbalize affects. Additionally, recent studies suggest a 717 link between verbal aptitudes and propensity to ruminate. Uttl, Morin and Hamper (2011) have 718 observed a weak but consistent correlation between the tendency to ruminate and scores on a 719 verbal intelligence test. Penney, Miedema and Mazmanian (2015) have observed that verbal intelligence constitutes a unique predictor of rumination severity in chronic anxious patients. To 720 721 our knowledge, the link between verbal intelligence and induced rumination has never been studied. It would be interesting to examine whether the effects of a rumination induction could be 722 723 mediated by verbal intelligence, and to what extent this could influence related facial EMG activity. 724

In conclusion, this study provides new evidence for the facial embodiment of rumination, considered as a particular instance of inner speech. Even if more data are needed to confirm these preliminary conclusions, our results seem to support the *Motor Simulation view* of inner speech production, manifested as verbal rumination. In addition, facial EMG activity provides a useful means to objectively quantify the presence of verbal rumination.

730

731 Supplementary materials

Supplementary materials, data, reproducible code and figures are available at: <u>https://osf.io/882te/?view_only=c4c24a38bbbb43c0aa5c49ea4478786c</u>. This link is a "viewonly" link, heading toward a private project, provided at the reviewing stage. The final link will head toward a public project.

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