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**IMAGING MEMBRANE POTENTIAL CHANGES FROM  
DENDRITIC SPINES USING COMPUTER-GENERATED HOLOGRAPHY**

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## **ABSTRACT**

Electrical properties of neuronal processes are extraordinarily complex, dynamic, and, in the general case, impossible to predict in the absence of detailed measurements. To obtain such a measurement one would, ideally, like to be able to monitor electrical subthreshold events as they travel from synapses on distal dendrites and summate at particular locations to initiate action potentials. It is now possible to carry out these measurements at the scale of individual dendritic spines using voltage imaging. In these measurements, the voltage-sensitive probes can be thought of as transmembrane voltmeters with a linear scale, which directly monitor electrical signals. Amiram Grinvald and his colleagues were important early contributors to the methodology of voltage imaging, and they pioneered some of its significant results. In this study, we combined voltage imaging and glutamate uncaging using computer-generated holography (CGH). The results demonstrated that patterned illumination, by reducing the surface area of illuminated membrane, reduces photodynamic damage. Additionally, region-specific illumination practically eliminated the contamination of optical signals from individual spines by the scattered light from the parent dendrite. Finally, patterned illumination allowed one-photon uncaging of glutamate on multiple spines to be carried out in parallel with voltage imaging from the parent dendrite and neighboring spines.

**Key words:** Voltage imaging; Holography; Dendritic spines; Glutamate uncaging

## INTRODUCTION

Understanding the biophysical properties and functional organization of single neurons is fundamental to understanding how the brain works. Because the primary function of any nerve cell is to process electrical signals (i. e. membrane potential ( $V_m$ ) transients), there is a need for detailed spatiotemporal analysis of electrical events in thin axonal and dendritic processes. This requirement resulted in the development of measurement techniques that allow monitoring of the electrical activity of different parts of the same cell simultaneously. A major experimental advance in this field, which also underscored the importance of such measurements, was achieved by the development of the multiple patch-electrode recording method that made possible simultaneous monitoring of  $V_m$  transients from two or more dendritic locations on a single neuron<sup>1-3</sup>. The patch-electrode technique, however, is still limited in its capacity for assessing spatiotemporal patterns of signal initiation and propagation in complex dendritic and axonal processes. Moreover, many subcellular structures including small diameter terminal dendritic branches as well as dendritic spines and most axon terminals and axon collaterals are not accessible to electrodes. To overcome these limitations, it was highly desirable to complement the patch-electrode approach with technologies that permit extensive parallel recordings from all parts of a neuron with adequate spatial and temporal resolution. An adequate temporal resolution in recording neuronal action potential (AP) and synaptic potential signals is in the sub-millisecond range, as determined by the duration of different phases of the AP and SP waveforms. An adequate spatial resolution is on the order of 1  $\mu\text{m}$ , as determined by the dimensions of neuronal terminal processes and dendritic spines. This spatio-temporal resolution can now be realized using optical recording of  $V_m$  changes with organic voltage-sensitive dyes (voltage imaging). The sensitivity of this measurement technique has recently reached a level that permits single trial optical recordings of AP transients from all parts of a neuron, including axon terminals and collaterals, terminal dendritic branches, and individual dendritic spines. In a large part, these developments are based on pioneering work of Amiram Grinvald and his colleagues. We report here an advance in the capabilities of voltage-sensitive dye recording technology by employing patterned illumination based on computer-generated holography (CGH) with spatial light modulators (SLM)<sup>4</sup>.

### **Foundation of optical recording of membrane potential transients from individual nerve cells**

The feasibility of multiple site optical recording from subregions of individual nerve cells was initially demonstrated using monolayer neuronal culture and extracellular application of the voltage-sensitive dye. In 1981 Grinvald, Ross, and Farber<sup>5</sup> showed that voltage-sensitive dyes and multi-site optical measurements can be employed successfully to determine conduction velocity, space constants and regional variations in the electrical properties of neuronal processes in measurements from dissociated neurons in monolayer culture. Both absorption and fluorescence measurements were used in these

experiments and the individual dissociated neurons in a culture dish were stained from the extracellular side by bath application of the dye <sup>6</sup>. It has also been possible, using the same approach, to study synaptic interactions between several interconnected neurons in culture <sup>7,8</sup>, and the cell-to-cell propagation of the AP in patterned growth cardiac myocytes forming two-dimensional hearts in culture <sup>9</sup>. The monolayer neuronal culture is a low opacity system especially convenient for extracellular staining of the outside cellular membrane. However, primary cultures are networks of neurons that grow under artificial conditions. Therefore, a number of important questions in cellular neurophysiology can only be studied in intact or semi-intact preparations. However, extending the same approach to *in situ* conditions has proven difficult, and has been slow to develop. In *in situ* conditions, extracellular application of fluorescent dyes cannot provide sub-cellular resolution because of the large background fluorescence from the dye bound indiscriminately to all membranes in the preparation <sup>10</sup>.

Based on these arguments, Amiram Grinvald and colleagues designed an approach to optical analysis of electrical events in processes of individual nerve cells. The key conceptual advance was the idea to stain particular neurons *in situ* selectively by intracellular application of an impermeant fluorescent voltage-sensitive dye. This approach was based on pioneering measurements in Larry Cohen's and Brian Salzberg's laboratories at the Marine Biological Laboratory in Woods Hole, carried out on the giant axon of the squid, which demonstrated that optical signals may be obtained when the dye is applied from the inside <sup>11-14</sup>. In these experiments, optical signals were obtained from the large membrane surface area of the giant axon. Substantial additional efforts were required to demonstrate that the same approach is feasible at the spatial scale of average size neurons and their processes. The initial experiments in this direction, using intracellular labeling with voltage-sensitive dyes, were carried out by Obaid, Shimizu and Salzberg <sup>15</sup> and by Grinvald, Salzberg, Lev-Ram and Hildesheim <sup>16</sup> on leech neurons. The results demonstrated the essential advantages of using intracellular application of fluorescent potentiometric probes. Fluorescence measurements are more effective than absorption measurements when measuring from a small membrane area <sup>17</sup>, particularly in situations where the image of the object (e.g., thin process) is much smaller than the size of the photodetector picture element (pixel) <sup>18,19</sup>. When transmitted light is used, only a small fraction of the total light captured by individual pixels will be modulated by the signal from the neuronal process (dendrite or axon) projected onto that pixel ( $\Delta I$ ). Most of the light will be projected directly and will only contribute to the resting light intensity ( $I$ ). Thus, the fractional signal ( $\Delta I/I$ ) will be very small. On the other hand, in fluorescence measurements, practically all of the light captured by individual pixels will come from the object (if auto fluorescence is negligible) regardless of the fraction of the pixel surface area covered by the image of the object. In now classical experiments on leech neurons <sup>16</sup>, fluorescence measurements were used to record APs and synaptic potential signals from

processes of selectively stained single neurons. As predicted, the S/N was substantially improved relative to prior absorption measurements, but the sensitivity was still too low to be of practical value – the available S/N was insufficient for multiple site optical recording of complex electrical interactions at the level of thin neuronal processes. The limits to the sensitivity of these measurements were determined primarily by: (a) the relatively low voltage sensitivity of the available dyes (amino-phenyl styryl dyes, e. g. RH437 and RH461; fractional change in fluorescence intensity per AP, in intracellular application, of the order of 0.01 - 0.1%); (b) the choice of the excitation light bandwidth, and (c) the relatively low intensity of the incident light that could be obtained from a 100 W mercury arc lamp. Despite low sensitivity, these experiments clearly showed the advantages of selective staining of individual neurons by intracellular application of the dye and paved the way for further improvements in sensitivity. At the time, the reported sensitivity was the result of a modest screening effort suggesting that better signals might be obtained by: (a) synthesizing and screening new molecules for higher sensitivity; (b) increasing the concentration of the dye to increase the fluorescence intensity; (c) using an excitation light source capable of providing higher light intensity and better stability and (d) using detector devices with lower dark-noise and adequate spatial and temporal resolution. Following this rationale, the first substantial improvement in the S/N was obtained by finding an intracellular voltage-sensitive dye with sensitivity in intracellular application two orders of magnitude higher than what was previously available. The amino-naphthalene styryl dye JPW1114 synthesized by J. P. Wuskell and L. M. Loew at the University of Connecticut Health Center had the sensitivity expressed as  $\Delta F/F$  per AP, in intracellular application, of the order of 10%<sup>20</sup>. The higher sensitivity of this dye translates directly into higher S/N.

The second significant improvement in sensitivity was the introduction of cooled, back-illuminated CCD cameras. The CCD camera, because it is cooled and can have about 1000 times smaller pixel surface area and proportionally smaller dark currents compared to available diode arrays, exhibits substantially lower dark noise. Following the introduction of CCD cameras, a number of studies demonstrated that the  $V_m$ -imaging is quite efficient at the spatial scale of dendritic branches<sup>20–22</sup>. The available S/N, however, was still not adequate to allow multisite recordings from thin axons and axon collaterals at high frame rates (5-10 kHz) without extensive averaging (>100 trials). In addition, it was still not possible to monitor  $V_m$  signals at the higher optical magnification and finer spatial scale necessary for resolving electrical events at the level of individual dendritic spines. Clearly, further improvements in sensitivity (expressed as the S/N) were needed.

One way to increase the S/N with a given voltage-sensitive dye is to increase the photon flux ( $\Phi$ ) by increasing the excitation light intensity. Another possibility is to increase the fractional fluorescence change per unit change in  $V_m$  (sensitivity of the dye) by choosing the optimal excitation wavelength.

Following this rationale, Holthoff, Zecevic and Konnerth (2010) used one of the most sensitive voltage-sensitive dyes in terms of S/N, (JPW3028<sup>21,23,24</sup>), and improved both the excitation (and, hence, emission) light intensity and the relative fluorescence change in response to  $V_m$  change by utilizing a laser as an illumination source in wide-field epi-fluorescence microscopy mode<sup>20</sup>. In measurements from layer 5 pyramidal neurons in rat visual cortex slices, the light from a frequency-doubled 200 mW diode-pumped Nd:YVO<sub>4</sub> continuous wave (CW) laser emitting at 532 nm was directed to a quartz optical fiber coupled to the microscope via a single-port epi-fluorescence condenser (TILL Photonics) designed to overfill the back aperture of the objective. In this way, approximately uniform illumination of the object plane was attained. In the initial series of experiments with this apparatus, the extent of propagation and the time course of back-propagating action potentials (bAP) signals were monitored from dendrites at relatively low magnification (the full CCD frame (80 x 80 pixels) corresponded to a 300 x 300  $\mu\text{m}$  area in the object plane). In this configuration, bAPs could be monitored with unprecedented sensitivity in single-trial measurements at all dendritic sites, including the terminal apical tuft as well as the oblique and the basal dendrites<sup>20</sup>. The combined effect of an increase in light intensity and the use of a near optimal excitation wavelength was a dramatic improvement in the sensitivity of voltage imaging by a factor of about 50 in recording from different sites on neuronal processes.

### ***Voltage imaging from dendritic spines***

The high sensitivity of recording from dendrites and axons at relatively low optical magnification indicated that it should be possible to increase the optical magnification by a factor of 10 and monitor  $V_m$ -transients from individual dendritic spines. Recording electrical events from individual dendritic spines is important for several reasons. Spines play a critical role in the input-output transform carried out by an individual neuron. They receive most of the excitatory synaptic inputs in many brain regions and serve as calcium compartments, which appear to be necessary for input-specific synaptic plasticity<sup>25-27</sup>. In the last decade, a number of investigators, (e.g. Winfried Denk; Rafael Yuste; Karel Svoboda; Bernardo Sabatini; Haruo Kasai) revisited previously well-articulated theoretical questions and ideas<sup>28,29</sup> and analyzed open problems in spine physiology with the aid of novel experimental technologies. The methodology has been developed to measure  $\text{Ca}^{2+}$  signals from individual spines with great precision using two-photon microscopy, and many aspects of spine physiology related to  $\text{Ca}^{2+}$  signaling have been illuminated<sup>30</sup>.

The electrical behavior of spines, however, is less well understood and controversial. For a long time, the electrical role of spines had to be considered on purely theoretical grounds because it was technically impossible to measure  $V_m$ -signals from individual spines. Theoretical work<sup>28,31,32</sup> and, more recently, several experimental studies<sup>27,33-39</sup> speculated or provided indirect evidence that the electrical characteristics of dendritic spines might have important implications for integrative function and for the

plastic properties of neurons. Other studies based on diffusion measurements<sup>40,41</sup> as well as on multi-compartmental modeling<sup>29,41</sup> indicated that spines may not play a significant electrical role. This question was unresolved because it has never been possible to directly document the electrical behavior of dendritic spines owing to the limited sensitivity of the available measurement techniques at the requisite spatial resolution. Thus, a critical challenge, both conceptually and technically, was to develop an approach for the direct analysis of  $V_m$  signals from individual dendritic spines. The improvement in sensitivity described above made this type of recording possible<sup>20</sup>. In these measurements, a magnified image of a spiny dendrite of a stained neuron was projected onto a CCD camera and fluorescence intensity changes were monitored from multiple locations. The bAP related signals from dendritic spines were clearly resolved in single trial measurements and the S/N was further improved by averaging a small number (4-9) of trials. In these wide-field fluorescence measurements, it was documented that the interference from scattered light was insignificant in the superficial layers of the slice<sup>20</sup>. This study showed by direct measurement that bAP signals from spines and dendrites did not differ significantly.

#### ***Further improvements in sensitivity***

On the conceptual level, a key open question regarding the electrical role of dendritic spines is whether the hypothetical electrical isolation of synapses on spine heads caused by a narrow spine neck provides specific functions that are not available to synapses on dendrites. Several such functions with wide implications have been proposed based on computational modeling and indirect evidence: (1) Spines reduce location-dependent variability of local EPSPs and, thus, standardize and enhance synaptic activation of both NMDA channels and voltage-gated channels; (2) Changes in structure and electrical resistance of the spine neck under activity control mediate the induction of synaptic plasticity underlying learning and memory formation; (3) Electrical properties of spines promote either linear or nonlinear (depending on evidence) dendritic integration and associated forms of plasticity, thus fundamentally enhancing the computational capabilities of neurons; (4) Spines have the capacity to act as discrete electrogenic compartments that amplify synaptic potentials by activation of voltage-sensitive channels. These functions, if directly demonstrated, would define the electrical role of spines. However, a direct demonstration of the electrical behaviour of dendritic spines would require recording of unitary subthreshold synaptic responses at the spatial scale of individual spines with adequate sensitivity and temporal resolution to allow quantitative analysis. The best existing sensitivity which allowed optical monitoring of bAP from dendritic spines<sup>20,42</sup> was insufficient because EPSP signals would be 5-10 fold smaller in amplitude. Thus, a substantial improvement in the sensitivity of optical recording was required to allow measurements of unitary EPSP signals from individual spines. This was recently accomplished by: (a) further increase in the excitation intensity from a laser at the wavelength that has the best signal;



(b) minimizing photodynamic damage by restricting the excitation light to a small area (18  $\mu\text{m}$  x 18  $\mu\text{m}$ ) around the spine of interest and by briefly lowering oxygen concentration in the extracellular solution during optical recording<sup>43,44</sup>. This technology was utilized to monitor the electrical transients in the spine head and the parent dendrite at the base of the spine. The results showed that synapses on these spines are not electrically isolated by the spine neck to a significant extent<sup>43</sup>. From these measurements, we concluded that the success rate (limited by light scattering and by the extent of photodynamic damage) was too low to allow studies of more complex electrical phenomena in dendritic spines. We recently showed how one-photon (1P) [Computer Generated Holography](#) (CGH) illumination improved voltage imaging of APs on adjacent axons and dendrites<sup>45</sup>. Additionally, CGH can allow multisite uncaging under one<sup>46,47</sup> and two-photon<sup>48-50</sup> excitation. Here we apply CGH to generate both imaging and photostimulation patterns and improve several aspects of the voltage imaging investigation of dendritic spines.

## **METHODS**

### **Slices, patch-clamp recording and intracellular application of dyes**

All procedures were performed in accordance with Public Health Service Policy on Humane Care and Use of Laboratory Animals and approved by Yale University Institutional Animal Care as well as by Paris Descartes Ethics Committee for Animal Research (registered number CEEA34.EV.118.12) in accordance with European Union institutional guidelines. Experiments were carried out on somatosensory cortex slices from 15 to 21-day-old rats of either sex. The rats were decapitated following deep halothane anesthesia, the brain was quickly removed, and 300  $\mu\text{m}$  thick coronal cortical slices were cut in ice-cold solution. Slices were incubated at 37° C for about 30 min and then maintained at room temperature (23–25° C). The standard extracellular solution used during recording contained (in mM): 125 NaCl, 25 NaHCO<sub>3</sub>, 20 glucose, 2.5 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 2 CaCl<sub>2</sub> and 1 MgCl<sub>2</sub>, pH 7.4 when bubbled with a 5% CO<sub>2</sub> gas mixture balanced with 95% O<sub>2</sub>. Somatic whole-cell recordings in current clamp or voltage-clamp mode were made with 4–6 M $\Omega$  patch pipettes using a Multiclamp 700B amplifier (Axon Instruments Inc., Union City, CA, USA). Voltage-clamp recordings were made with series resistance compensation set at 70%. The pipette solution contained (in mM): 120 K-gluconate, 3 KCl, 7 NaCl, 4 Mg-ATP, 0.3 Na-GTP, 20 HEPES and 14 tris-phosphocreatin (pH 7.3, adjusted with KOH) and 0.8 mM of the voltage-sensitive dye JPW3028<sup>43</sup>. In all experiments, an attempt was made to identify layer 5 pyramidal cells with intact dendrites in one plane of focus close to the surface of the slice (to minimize light scattering) using infrared differential interference contrast (DIC) video microscopy. The recordings were carried out from spines on superficial basal dendrites at different distances from the soma (range 30–120  $\mu\text{m}$ ). Labeling of

pyramidal neurons with the voltage-sensitive dye was carried out by free diffusion from a somatic patch electrode in the whole-cell configuration. We used the most successful voltage probe for intracellular application, JPW3028, a close analogue of JPW1114<sup>20,45,51</sup> with similar voltage sensitivity available from Thermo Fisher Scientific, USA as D6923. The electrode tips were first filled with dye-free solution by applying negative pressure and then back filled with the solution containing the voltage probe (0.8 mM). The patch electrode was detached from the neuron by forming an outside-out patch after staining was accomplished, as determined by measuring resting fluorescence intensity from the soma. Following the staining period, the preparation was incubated for an additional 1.5–2 h at room temperature to allow the voltage-sensitive dye to spread into dendritic processes and spines. Before optical recording, the cell was re-patched to obtain electrical recording using an electrode filled with dye-free intracellular solution.

### **Optical setup**

The recording setup was built around a stationary upright microscope (Olympus BX51; Olympus Inc., USA) equipped with a high spatial resolution CCD camera for infrared DIC video microscopy and for detailed morphological reconstruction of dye loaded neurons (Photometrics, CoolSnap ES2) and a fast data acquisition camera with relatively low spatial resolution (80x80 pixels) and exceptionally low read noise (NeuroCCD-SM, RedShirtImaging LLC, Decatur, GA, USA) used for voltage imaging.

The microscope was coupled with two holographic patterned illumination paths, one for voltage imaging and one for uncaging. The simplified schematic of the setup is shown in Fig. 1A. The voltage imaging part was based on a 532 nm diode pumped laser source (MLL-FN-532-450-5-LAB-TTL, Changchun New Industries Optoelectronics Tech. Co. Ltd., Changchun, China). The laser beam was attenuated by neutral density filters, enlarged by a telescope and cleaned by a 50  $\mu\text{m}$  pinhole before it was directed to the sensitive area of a liquid crystal of a Spatial Light Modulator (SLM) (Hamamatsu X10468-01). The reflected beam was projected onto the back focal plane of 100X microscope objective (NA=1.0 water dipping, Olympus) by an afocal telescope formed by L1 ( $f=500\text{mm}$ ) and L2 ( $f=250\text{ mm}$ ) and a dichroic mirror D1 (FF560-FDi01-25x36, Semrock). The zero-order non-modulated components reflected from the SLM were suppressed by an intermediate point block. A defocus of the beam was explicitly introduced before the SLM such that the zero order focus was displaced by around 5 cm. For the diffracted first order component, the defocus was compensated with a spherical Fresnel lens at the SLM. In this way, the point block for the zero-order component did not significantly perturb the propagation of the holographic pattern<sup>45</sup>. For voltage imaging, the illumination power delivered to the slice depended on several factors, including the level of voltage-sensitive dye staining, the depth of the dendritic branch with spines, and the exact pattern of stimulation. Thus, the illumination power delivered to the preparation varied in the range of 0.05 mW to 0.6 mW.

The uncaging illumination was obtained using a commercial module for holographic light patterning (Phasor-3i Intelligent Imaging Innovation, Inc. Denver, CO USA), modulating a 405 nm laser source (Obis Coherent, 100 mW) controlled by LaserStack and SlideBook software (3i Intelligent Imaging Innovation). The illumination power delivered to ~~the preparation for each~~ uncaging spot varied between 0.1 mW to 0.5 mW. Imaging and uncaging beam were combined using a dichroic mirror D2 (Di03-R405/488/561/635-25x36, Semrock). The induced fluorescence from a voltage-sensitive probe was long-pass filtered LPF (FF01-593/LP-25, Semrock) and imaged using NeuroCCD-SM camera.

### **Computer-generated holography**

Multi-point CGH patterns for both imaging and uncaging were generated using a modified weighted Gerchberg and Saxton algorithm (GS) <sup>47,52</sup>. The relative intensity between spots was controlled by adjusting their weight in the input pattern of the algorithm <sup>53,54</sup>. The pattern and the corresponding wGS-generated phase profiles were defined, computed and addressed to the SLM using “Wavefront Designer IV,” in house software written in C++ with Qt 4.4.0 and fftw 3.1.2. Calibration and characterization of the generated patterns were performed by detecting induced fluorescence on a thin film of Rhodamine 6G spin-coated on a coverslip. The multipoint patterns shown on Fig. 1B acquired on the NeuroCCD camera were used to calibrate the exact positioning of the holographic spots. By introducing a correcting stretch, translation, and rotation transformation to the input patterns that was provided to the algorithm, we could achieve submicrometric precision in spot positioning. The experimentally recorded beam size (Fig.1B) results from the convolution of excitation (405 or 532 nm) and the detection point spread function (PSF) at the wavelength of the collected Rhodamine emission (filtered by the LPF filter). We estimated the effective excitation beam size based on the nominal value of the detection PSF and the measured experimental profile (acquired by high-resolution camera and shown in Fig. 3B), to be ~0.3  $\mu\text{m}$  (FWHM) for the 405 nm spots and of ~0.7  $\mu\text{m}$  for the 532 nm ones. A slight under-filling of the objective back aperture was used to increase the size of holographic spots of the 532 nm imaging pattern to better match the size of a typical spine. A similar GS algorithm <sup>54,55</sup> was also applied to generate a large 532 nm holographic spot (diameter ~25  $\mu\text{m}$ ) that was used to mimic wide-field illumination. The large illumination spot was used to obtain an image of dye-loaded dendrites and identify structures of interest on the NeuroCCD for voltage imaging. Because extended holographic shapes are characterized by randomly distributed intensity inhomogeneities (speckles), wide-field images (as shown in Fig. 3) were obtained by averaging 5 different speckle patterns. The obtained wide-field fluorescence images of selected dendritic segments were used to define the pattern of holographic illumination for both imaging and uncaging (Fig. 3). We adjusted different illumination intensities for different illumination spots to enhance signals from specific regions of interest. Typically, the spots covering spine heads were adjusted

to have 2 to 10 times stronger illumination intensities relative to those on the dendritic shaft. For voltage imaging, laser light was gated by a high-speed shutter (Uniblitz LS6, driver D880C) for 10 to 30 ms recording trials. Recordings were carried out at a frame rate of 2 kHz or 5 kHz. Acquisition and analysis of data were carried out with NeuroPlex software (RedShirtImaging). For glutamate uncaging we used bath applied DNI-glutamate (5 mM) provided by Femtonics KFT (Budapest, Hungary). Illumination pattern for uncaging consisted of single or multiple spots placed near individual spine heads, approximately at a distance of  $\sim 0.5 \mu\text{m}$ . The actual spatial relationship between the uncaging spots and spine heads was uncertain at the sub-micrometer spatial scale because of light scattering in the brain tissue. Short pulses (0.2-0.3 ms) of patterned illumination were applied to induce local glutamate release near spine heads.

### **Data analysis**

Subthreshold EPSP signals and bAP signals were recorded typically for 30 ms at a frame rate of 2 kHz or 5 kHz at room temperature kept at 25–27 °C or at near physiological temperature of 32–34 °C. Repetition of typically 9 trials were acquired and averaged. Analysis and display of data were carried out using the NeuroPlex software (RedShirtImaging) written in IDL (Exelis Visual Information Solutions, Boulder, CO) and custom Visual Basic routines. No correction for background fluorescence was carried out because it was found to be negligible under holographic illumination restricted to several spots on labeled dendrites. The signal alignment software was used to correct for temporal jitter in AP initiation as well as for possible small movements of the preparation during averaging<sup>43</sup>. In the temporal domain, AP signals were aligned by cross-correlation of the electrically recorded APs in each trial to the reference signal acquired at the start of averaging. In the spatial domain, camera images were aligned in two dimensions offline by image cross-correlation to compensate for possible small lateral movements of the preparation. Correct focus of the image in the z-dimension was verified frequently; small adjustments were often necessary. The spatially and temporally aligned signals were averaged and slow changes in light intensity due to bleaching of the dye were corrected by dividing the data by an appropriate dual exponential function derived from the recording trials with no stimulation. Dual exponential fitting requires an algorithm for least-squares estimation of nonlinear parameters. We used the Levenberg–Marquardt algorithm. Subthreshold optical signals were calibrated on an absolute scale (in mV) by normalizing to an optical signal from a bAP which has a known declining amplitude along basal dendrites, as it has been determined by patch-pipette recordings<sup>56,57</sup>. In an earlier study<sup>43</sup>, we experimentally confirmed the previously reported result<sup>58</sup> showing that this method of calibration produce the same results as normalizing signals to optical recordings corresponding to long hyperpolarizing pulses delivered to the soma which attenuate relatively little as they propagate along dendrites.

## RESULTS

We integrated a voltage-imaging setup with a system for two independent patterned illumination systems based on CGH, implemented with the use of two SLM systems (see Methods). Our goal was to improve several aspects of voltage imaging of subthreshold synaptic potentials from individual dendritic spines evoked by glutamate uncaging. We focused on the limitations in accuracy and sensitivity of optical recording of membrane potential signals imposed by light scattering and by photodynamic damage. We also carried out experiments to confirm the functionality of patterned illumination in voltage imaging combined with simultaneous illumination patterns for multisite glutamate uncaging.

Figure 2 illustrates the current sensitivity in voltage imaging under conventional wide field illumination. An expanded 532 nm laser beam was utilized to overfill the back opening of the objective and evenly illuminate the object plane. In this experiment, a L5 pyramidal neuron was labelled with a voltage sensitive dye. A basal dendrite with spines positioned close to the surface of the slice (to minimize light scattering) was selected under low fluorescent light level. The magnified image of the dendrite was projected to 80 x 80 pixels CCD camera for voltage imaging (Fig. 2A). The spine was positioned in the immediate vicinity ( $\sim 0.5\mu\text{m}$ ) of the centre of the field of view where a diffraction-limited uncaging spot from a femto-second laser could be delivered. During a 50 ms optical recording period (Fig. 2B), the subthreshold signal adjusted to mimic a unitary EPSP was evoked by 2-photon glutamate uncaging using 720 nm light from a fs pulsed laser (for experimental details see Popovic et al., 2015). A strong depolarizing current pulse delivered from the somatic patch pipette to evoke an action potential, which back propagated into the basal dendrite (bAP), followed the EPSP signal. Figure 2 clearly shows that the sensitivity of voltage imaging was adequate to monitor, quantify, and compare the subthreshold signals from spine heads and the dendritic region at the base of the spine. Previously reported measurements<sup>43</sup> were improved here by recording both signals in the same sweep at the frame rate of 5 kHz so that signals can be accurately reconstructed for calibration and comparison.

The success rate, however, of these measurements was relatively low – between 10% and 20%. This is because light scattering from the relatively large parent dendrites was found to contaminate the signal from the small spine head in experiments in which the dendrite is deeper than 15-20  $\mu\text{m}$  below the surface of the brain slice. Another constraint was the photodynamic damage which limits the intensity of the excitation light and, hence, the S/N that can be attained. With these technical limitations, it would be difficult or impossible to study directly more complex phenomena in electrical signalling in individual

spines, because these studies would require repeated measurements under different conditions. Thus, further improvement in voltage imaging was critical. We took advantage of a holographic illumination technique as recently developed and introduced to neurobiology<sup>45,47-49,55,59-63</sup>.

To reduce photodynamic damage and scattered light, we replaced the wide field illumination of the entire dendritic branch with a patterned illumination based on CGH that produced several diffraction-limited spots positioned on the regions of interest (Fig. 3). In a set of initial experiments, we used wide-field fluorescence images of dendritic branches with spines (Fig. 3A) to select the pattern of illumination (Fig. 3B) with excitation spots generated using a graphic interface for CGH<sup>55,64</sup>. In this way, only several diffraction-limited spots at selected regions excited the voltage sensitive dye. The result of patterned illumination is shown in Fig. 3C. In addition to spatial shaping of illumination, CGH also enables modulation of the relative intensity of individual excitation spots to achieve optimal recording conditions<sup>53</sup>. In the three examples illustrated in Figure 3, dendritic regions at the base of the spine were not illuminated while the locations away from dendritic spines were illuminated with lower intensities. In every experiment, this resulted in an increase in the resting fluorescence intensity ratio between spine and parent dendrite. Figure 3D shows that, in different experiments, the ratio increased by a factor of 2-14 depending on the applied pattern of illumination. In this way, contamination of spine signals by light scattered from parent dendrites is minimized or practically eliminated. Therefore, this unique illumination scheme based on CGH allows for recording from spines attached to thick and deeper dendrites. Previously, it was not possible to probe these spines for electrical signals by any method, because of high intensity of scattered light. We conclude that the control of the spatial distribution of illumination sites and the adjustments of relative intensities between excitation spots should achieve an optimal compromise between the S/N and photodynamic damage in recordings from spine heads and parent dendrites.

To test this prediction, in the next series of experiments, we determined the sensitivity of recording, and the extent of photodynamic damage under patterned illumination, by monitoring evoked subthreshold and bAP signals. In the experiment shown in Fig. 4 the patterned illumination was generated so that a spine head and six locations on the dendrite were selectively illuminated as indicated by the distribution of green circles on the wide field fluorescence image of the dendritic region in the recording position (Fig. 4A). Because of this selective illumination pattern, the dendritic area at the base of the spine was not illuminated. This minimizes the contamination of the spine signal by the scattered light from the parent dendrite. Additionally, the relative intensity of the illumination spots was adjusted so that the illuminated dendritic regions received only 50% of the illumination density delivered to the spine. In addition to reducing the light scattering effect, the graded illumination minimized the photodynamic damage. At the same time, the loss in the S/N due to lower fluorescence intensity from the dendrite was

compensated for by the larger dendritic membrane area from which the light was collected. The optical recording was carried out at the frame rate of 5 kHz during a subthreshold membrane potential transient and a subsequent AP. Both signals were evoked by transmembrane depolarizing current steps delivered from the somatic patch pipette. In this recording, the dendritic signal is the temporal average of nine trials and a spatial average of 125 individual pixels from the remote dendritic area indicated by the blue ellipse in Fig. 4A while the spine signal is the spatial average of only 22 pixels. It is clear that the sensitivity was adequate for monitoring both subthreshold and suprathreshold responses from the spine and dendrite. In basal dendrites of L5 pyramidal neurons, the optical signal can be calibrated in terms of membrane potential using the size of the spike as a calibration standard because bAP amplitude is known from electrical measurements<sup>57</sup>. In the experiment shown in Fig. 4 the bAP optical signal corresponds to a spike of ~70 mV. Thus, the data show that, with CGH, it was possible to resolve local subthreshold signals from individual spines that are of the order of 5 mV. The sensitivity can be further improved by additional temporal averaging and/or by increasing the excitation light intensity. We did not detect any photodynamic damage with holographic illumination at the light intensity that provided adequate sensitivity in terms of the S/N. Figure 4C, D illustrates the absence of photodynamic damage in measurements that included 18 trials. The spike waveform, which is a very sensitive indicator of the state of voltage sensitive channels and overall membrane excitability, remained practically identical during the first and the second series of nine recordings. In this study, we did not systematically explore the limits in excitation light intensity set by photodynamic damage because the relationship between these two variables is complex requiring extensive analysis.

In the next series of experiments, we combined the two patterned illumination systems at 532 and 405 nm to achieve a configuration allowing, for the first time, simultaneous multisite glutamate uncaging and voltage imaging. We carried out these initial experiments using a one-photon photolysis of DMI-glutamate to establish the feasibility of using two independently controlled SLM systems to realize simultaneous voltage imaging and scanless multiple site glutamate uncaging. In the experiment shown in Fig. 5A, illumination spots for voltage imaging (green) were generated by one SLM system. Additionally, two diffraction limited spots for glutamate uncaging generated by a separate SLM using 405 nm laser light (blue) were positioned in close proximity to two spine heads<sup>47</sup>. In this arrangement, it was possible to record subthreshold optical signals from a remote spine and parent dendrite in response to glutamate uncaging onto either one or two spines simultaneously (Fig. 5C-F). Uncaging and recording from the same spine was not possible because of the inadequate spatial resolution of one-photon photolysis (see Discussion). Following subthreshold responses, an AP was evoked by depolarizing current delivered from the somatic patch electrode. The bAP signal was used to normalize the sensitivity of recording from

different structures. The results demonstrated the functionality of the system for simultaneous uncaging and voltage imaging with single spine resolution using CGH.

## DISCUSSION

In this study, we replaced the standard wide field illumination in voltage imaging with a two-wavelength patterned illumination system based on CGH, with the goal of improving investigation of individual dendritic spines by voltage imaging and glutamate uncaging. CGH is a technique based on phase modulation of coherent light initially developed for the generation of “optical tweezers”. This powerful technique has been considered a revolution in light manipulation (Grier, 2003). Lately, the technique acquired a major role in neurobiology, where it has been applied to carry out both single and two-photon uncaging<sup>46–49,65</sup>, optogenetic stimulation<sup>63,66–69</sup> and functional imaging<sup>45,60–62</sup>. Here we demonstrate a dual-color CGH illumination system especially designed to perform functional recording from dendritic spines, where a first optical path is used to generate a multi-site intensity graded patterns, allowing localization and intensities adjustment of the illumination of dendrites and spine heads, and the second path permits a simultaneous multisite uncaging. Voltage imaging has been systematically developed from the early days of pioneering studies<sup>5,11,14,18,70,71</sup> to the level where it is now possible to monitor electrical signals from individual dendritic spines in brain slices<sup>20,42,43,72</sup>. Nevertheless, the limitations in voltage imaging caused by photodynamic damage and by light scattering in the brain tissue still prevent the studies of electrical phenomena in dendritic spines that are too complex to be investigated by simple experimental protocols. We carried out experiments to demonstrate the possibility of using CGH illumination to perform voltage imaging from multiple spines and the parent dendrite. Intensity graded illumination patterns, defined based on fluorescence images, were shaped in a way to practically eliminate contamination of optical signals by the scattered light from the parent dendrite (Fig. 4A, B), increasing the effective spatial resolution of functional recording. The results also suggest that the localization of the illumination exclusively on the regions of interest (spine heads and small sections on parent dendrites) can significantly reduce photodynamic damage (Fig. 4C, D). Finally, we showed that voltage imaging with CGH at 532 nm excitation light could be combined with patterned illumination for a simultaneous multisite glutamate uncaging. Uncaging was implemented with an independently controlled holography system using 405 nm light for one-photon uncaging. Thus, uncaging and recording from the same spine was not possible because of the inadequate spatial resolution of one-photon photolysis. In most cases, the diameter of the illumination spots was enlarged (relative to the diffraction-limited spot) due to light scattering in the brain slice tissue. The large uncaging light spot positioned near the spine, would excite the voltage sensitive dye in the spine head membrane. This resulted in an artefact signal



which masks the real response (not shown). This problem is resolved by using photolysis based on two-photon absorption characterized by diffraction-limited spatial resolution as shown in Fig. 2. Thus, the use of CGH under two-photon excitation would permit better preservation of the pattern of illumination against the effect of tissue scattering<sup>73</sup> and investigation of deeper structures. Additionally, the demonstrated capability of CGH to generate patterns over multiple planes<sup>47,54</sup> coupled with an extended-depth-of-field detection<sup>61,74</sup>, could allow simultaneous volumetric photoactivation and detection of multiple spines. These developments will facilitate further imaging studies of how specific combinations of different transmitter receptors and voltage-sensitive ion channels in different classes of spines act in concert to shape the integration of chemical input signals at the site of origin<sup>75</sup>.

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## FIGURE LEGENDS

**Figure 1.** Two-colour CGH illumination: A) Diagram of the optical setup for holographic illumination patterns at 532 nm and 405nm. The 532 nm (green) laser beam from a solid-state laser is attenuated by neutral density filters (ND), enlarged by a telescope, and directed to the liquid crystal SLM. The plane of the SLM is projected with an afocal telescope (L1 and L2) to the back aperture of a microscope objective (OBJ). The 405 nm patterned beam (violet) is generated by a commercial module (Phasor) and coupled to the 532 nm beam path through a dichroic mirror D1. The patterned beams are reflected toward the objective by the dichroic mirror D2. The collected fluorescence is filtered by the long pass filter (LPF) and directed either to high resolution camera or to the high-speed NeuroCCD camera using a movable mirror. B) Upper panels: Fluorescence pattern generated by a 532 nm (right) and 405 nm (left) digital holography on a thin layer of Rhodamine 6G as detected with the NeuroCCD. These patterns were used to calibrate the sub-micrometric positioning of the holographic spots. Scale bar 1  $\mu\text{m}$ . Lower panels: Spatial profile of the Rhodamine emission generated by a 532 nm (green) or 405 nm (blue) CGH spot recorded with the high resolution camera.

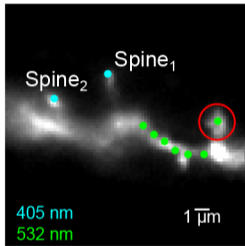
**Figure 2.** Voltage-sensitive dye recordings under wide field illumination in epi-fluorescence microscopy mode. A) Upper panel: fluorescence image of a basal dendrite branch with one spine in focus with 100X objective. The red dot indicates the position of a stationary spot for 2-photon uncaging. Lower panel: selection of pixels for spatial averaging of spine signal (red) and parent dendrite signals (blue). B) Optical signals related to uncaging evoked EPSP and a subsequent bAP initiated by a depolarizing current pulse and used as a calibration standard. In the right panel, AP signals are scaled to the same height to correct for the difference in sensitivity in recordings from different locations. This is necessary for calibration of optical signals in terms of membrane potential.

**Figure 3.** Contrast improvement with intensity gradient holographic illumination. A) Fluorescence images of small sections of basal dendrites from three L5 pyramidal neurons under wide-field illumination obtained by a large homogenous CGH pattern covering the whole field of view. Scale bar: 1  $\mu\text{m}$ . B) Scheme of the multi-spot CGH illumination patterns superimposed on the wide-field fluorescence image. C) VSD fluorescence under CGH patterned illumination. D) Increase in contrast expressed as the fluorescence intensity ratio between spines and parent dendrites as determined in 9 different preparations. The dramatic increase in the intensity ratio by a factor of 2-14 corresponds to an equivalent decrease in the contamination of the spine signal by scattered light from the parent dendrite.

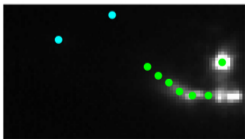
**Figure 4.** Sensitivity of optical recording using digital holography patterned illumination. A) Upper panel: fluorescence image of a section of basal dendrite under wide field illumination used to define illumination pattern. Lower panel corresponds to central subsection of the camera chip used for data acquisition at a frame rate of 5 kHz. It shows the same dendritic section under patterned illumination. B) Optical signals corresponding to a subthreshold and suprathreshold (bAP) response from a spine head (red) and from a remote dendritic region (blue). The green trace is recorded from a region without spine (green circle) at the same distance from the parent dendrite as the recorded spine head. The absence of signal indicates significant reduction of light scattering with holographic patterned illumination. C) Upper panel: fluorescence image of another section of basal dendrite under wide field illumination used to define illumination pattern. Lower panel shows the same dendritic section under patterned illumination. D) Optical signals from the entire structure under holographic illumination. The signal from the first 9 trials used for temporal averaging (blue) is very nearly identical to the average signal from the subsequent 9 trials. The result indicates absence of photodynamic damage under holographic illumination at the excitation light intensity that produces unprecedented sensitivity (S/N in recording bAP of ~30 determined as signal amplitude divided by the second largest peak-to-peak noise amplitude).

**Figure 5.** Simultaneous voltage imaging and scanless glutamate uncaging from individual spines using two independently controlled and synchronized CGH patterns of illumination. A) Fluorescence image of a section of basal dendrite under wide field illumination used to generate illumination pattern for voltage imaging (green) and glutamate uncaging (blue). B) The same dendritic section under patterned illumination. C) Optical signals corresponding to a subthreshold signal evoked by glutamate uncaging onto one spine and suprathreshold (bAP) response evoked by depolarizing current pulse. Signals from spine head (red) and from parent dendrite (black) are shown. D) Comparison of subthreshold signals from the dendritic region at the base of the spine evoked by uncaging glutamate onto one spine and two spines simultaneously. E) Signals from spine head (red) and parent dendrite (black) evoked by simultaneous uncaging of glutamate onto two spines. F) Patch electrode somatic recordings of the subthreshold responses related to glutamate uncaging onto one and two spines.

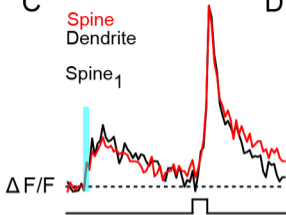
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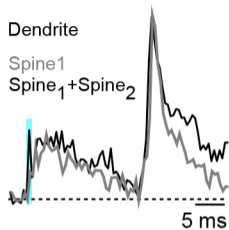
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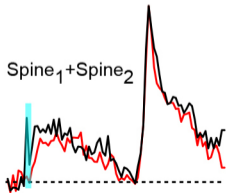
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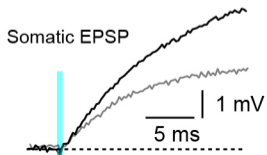
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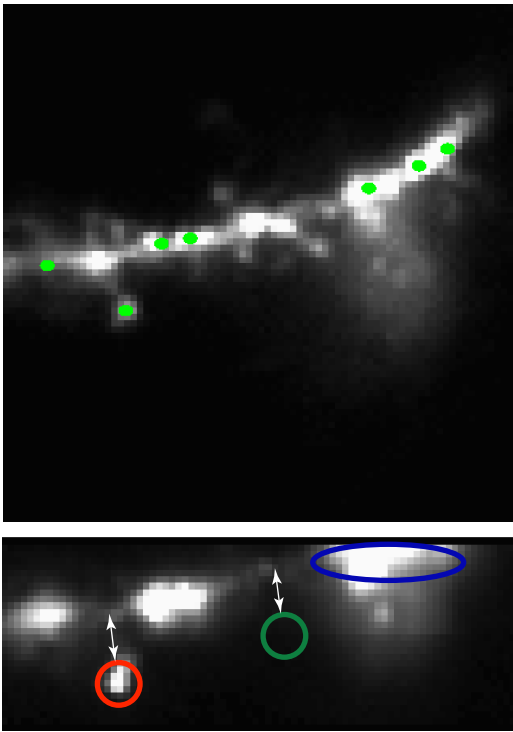
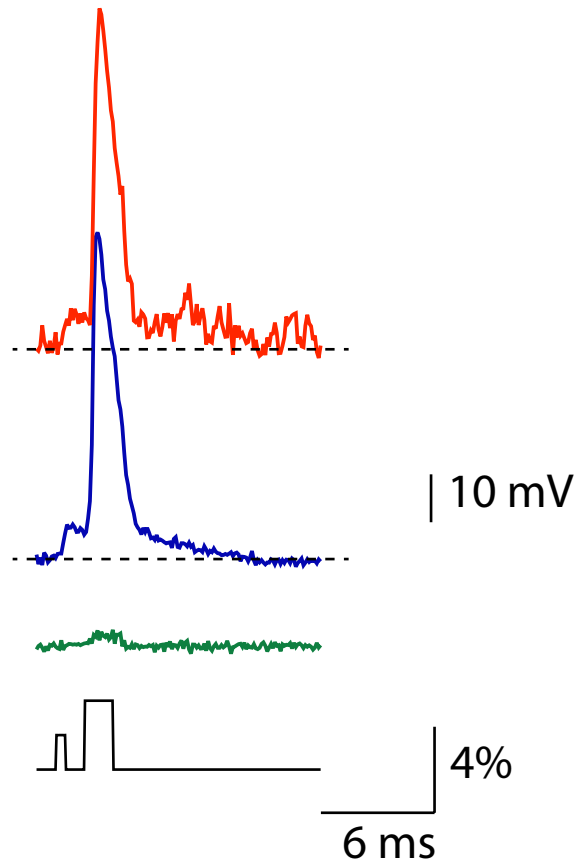
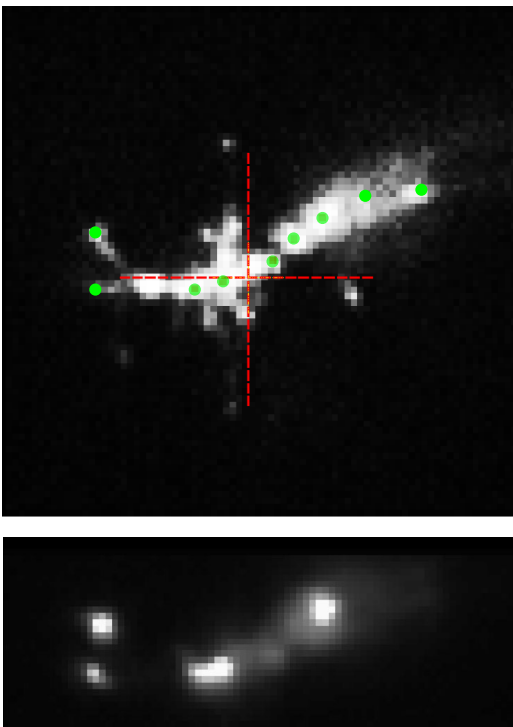
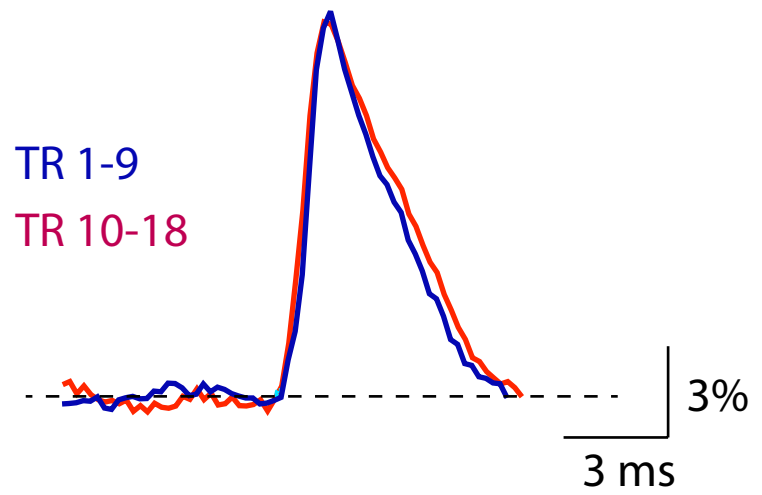
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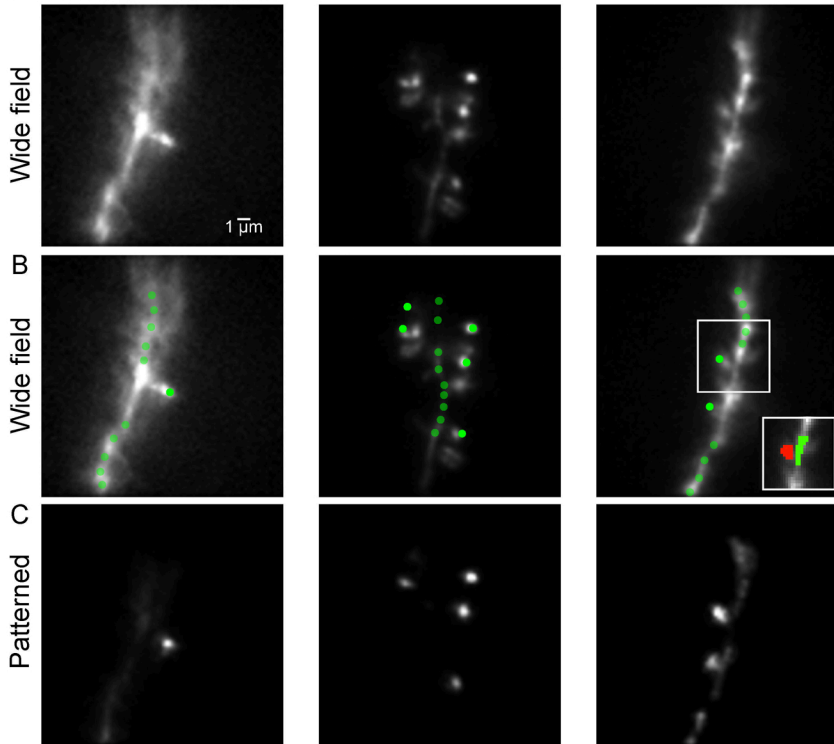
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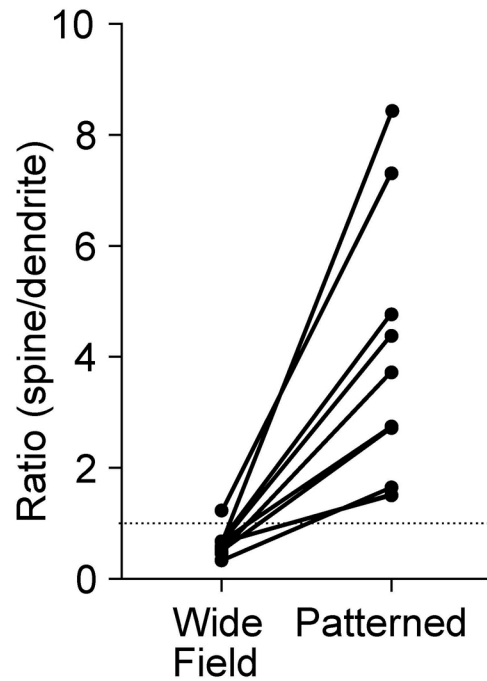


**A****B****C****D**

A 532 nm illumination

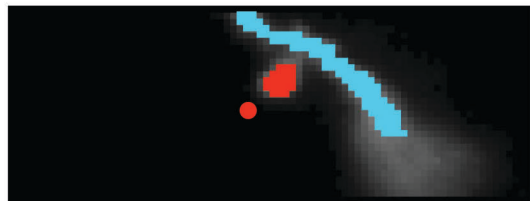
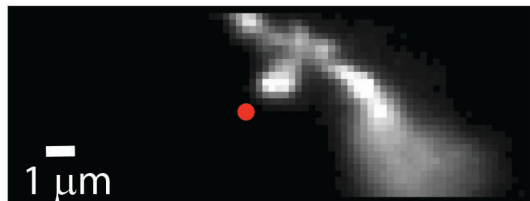


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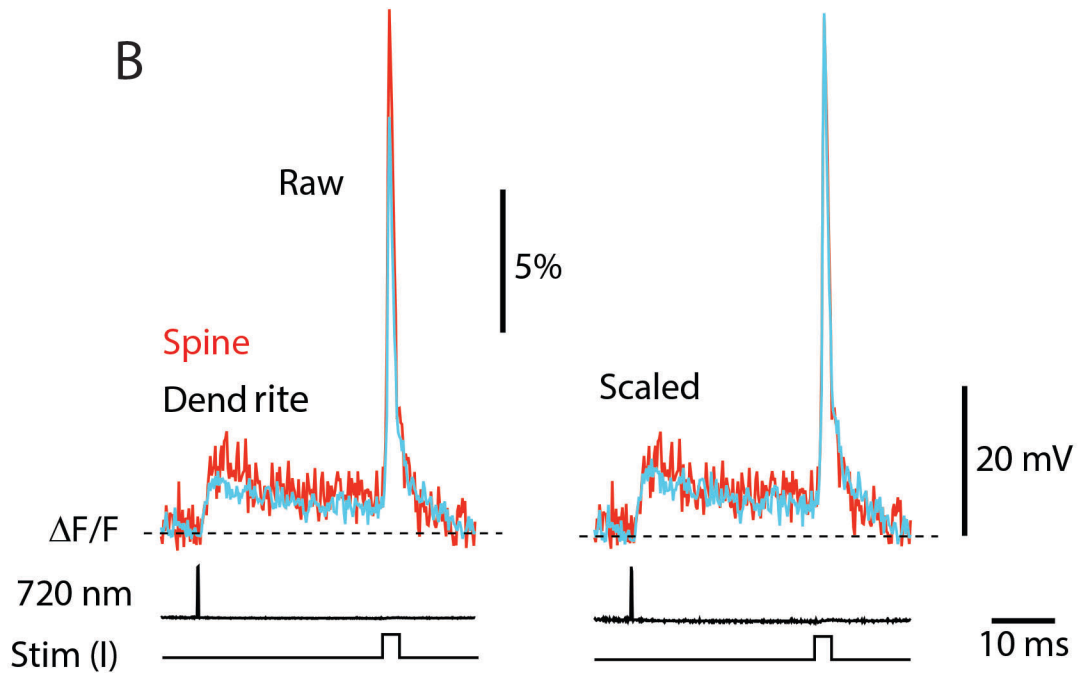


# A

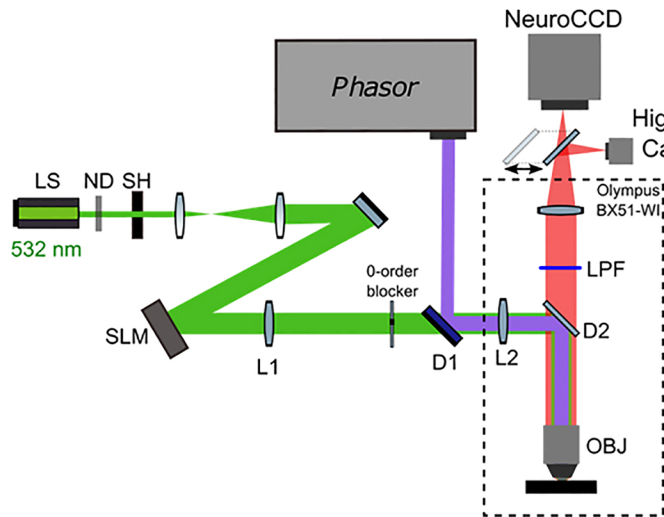
Layer 5 pyramidal neuron



# B



A



B

