

Acoustic distribution of discriminated micronektonic organisms from a bi-frequency processing: the case study of eastern Kerguelen oceanic waters

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1	Acoustic distribution of discriminated micronektonic organisms from a bi-
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19 Abstract

Despite its ecological importance, micronekton remains one of the least investigated 20 21 components of the open-ocean ecosystems. Our main goal was to characterize micronektonic 22 organisms using bi-frequency acoustic data (38 and 120 kHz) by calibrating an algorithm tool 23 that discriminates groups of scatterers in the top 300 m of the productive oceanic zone east of 24 Kerguelen Islands (Indian sector of the Southern Ocean). The bi-frequency algorithm was calibrated from acoustic properties of mono-specific biological samples collected with trawls, 25 26 thus allowing to discriminate three acoustic groups of micronekton: (i) "gas-bearing" ($\Delta S_{v,120}$ -27 $_{38}$ < -1 dB), (*ii*) "fluid-like" ($\Delta S_{v,120-38}$ > 2 dB), and (*iii*) "undetermined" scatterers (-1 < $\Delta S_{v,120-38}$) $_{38}$ < 2 dB). The three groups likely correspond biologically to gas-filled swimbladder fish 28 29 (myctophids), crustaceans (euphausiids and hyperiid amphipods), and other marine organisms 30 potentially present in these waters and containing either lipid-filled or no inclusion (e.g. other 31 myctophids), respectively. The Nautical Area Scattering Coefficient (NASC) was used (echo-32 integration cells of 10m long and 1m deep) between 30 and 300m depth as a proxy of relative 33 biomass of acoustic targets. The distribution of NASC values showed a complex pattern 34 according to: (i) the three acoustically-defined groups, (ii) the type of structures (patch vs. 35 layers) and (iii) the timing of the day (day/night cycle). NASC values were higher at night than during the day. A large proportion of scatterers occurred in layers while patches, that 36 37 mainly encompass gas-bearing organisms, are especially observed during daytime. This 38 method provided an essential descriptive baseline of the spatial distribution of micronekton 39 and a relevant approach to (i) link micronektonic group to physical parameters to define their 40 habitats, (ii) investigate trophic interactions by combining active acoustic and top predator 41 satellite tracking, and (iii) study the functioning of the pelagic ecosystems at various spatio-42 temporal scales.

Keywords: Euphausiid, Kerguelen, Myctophid, Southern Ocean, Acoustics.

47 Micronektonic organisms (~1-20 cm in length; Kloser et al., 2009) constitute one of 48 the most noticeable and ecologically important components of the open ocean. They amount 49 to a substantial biomass (e.g. estimated at > 10000 million metric tons of mesopelagic fish in 50 oceanic waters worldwide and ~380 million metric tons of Antarctic krill in the Southern 51 Ocean; Atkinson et al., 2009; Irigoien et al., 2014) with high nutritional value (Shaviklo and 52 Rafipour, 2013; Koizumi et al., 2014) leading to increasing commercial interest (Pauly et al., 53 1998). In oceanic waters, micronekton contribute to the export of carbon from the surface to 54 deeper layers (the biological pump) through extensive daily vertical mesopelagic migrations 55 to feed on near-surface organisms at night (Bianchi et al., 2013). They play a prominent role 56 in oceanic food webs by linking primary consumers to higher predators, including 57 commercially targeted fish species and oceanic squids, together with charismatic species, such 58 as marine mammals and seabirds (Rodhouse and Nigmatullin, 1996; Robertson and Chivers, 59 1997; Potier et al. 2007; Spear et al. 2007). Despite their ecological importance, micronekton 60 remain one of the least investigated components of the marine ecosystems, with major gaps in 61 our knowledge of their biology, ecology, and major uncertainties about their global biomass 62 (Handegard et al., 2013; Irigoien et al., 2014).

Acoustic methods have been used in fishery operations and research since 1935 (Sund, 1935). Stock assessment drove a continuous improvement of the methods in order to better investigate the distribution and abundance of targeted marine organisms (Simmonds and MacLennan, 2005). Beyond stock assessment, acoustics now extends to whole marine ecosystems, being the best available tool allowing simultaneous collection of qualitative and quantitative data on their biotic and even abiotic components (Bertrand et al., 2013). A major limitation of acoustics is the lack of accurate taxonomic information about the ensonified 70 organisms. Hence, acoustic analytical tools to determine characteristics of biological 71 backscatters were developed by comparing and quantifying the difference of mean volume 72 backscattering strength between different frequencies. The rationale is that the acoustic 73 properties of individual species are known to vary with the operating frequencies of the echo 74 sounder. For example, both experimental and theoretical studies showed large variations in 75 the average echo energy per unit biomass due to animals from "fluid-like" to "elastic shelled" organisms (Stanton et al., 1994, 1998a, 1998b). This approach has been used since the late 76 77 1970s to identify and quantify zooplanktonic scatterers (Greenlaw, 1977; Holliday and Pieper, 78 1980; Madureira et al., 1993a,b). Less has been done to characterize micronektonic organisms 79 from the open sea, where micronekton are diverse and include small pelagic fishes, 80 cephalopods, large crustaceans and gelatinous animals. A recent biomass estimate of mid-81 water fish was based on the 38 kHz frequency alone (Irigoien et al., 2014). Furthermore, the 82 difference of mean volume backscattering strength between two frequencies (38 and 120 kHz) was used to differentiate "fish", "macrozooplankton" and "zooplankton" scatterers (Fielding 83 84 et al., 2012; Bedford et al., 2015).

85 In the Southern Ocean (water masses south of the Subtropical Front), the importance of micronekton is illustrated by the considerable populations of subantarctic seabirds and 86 pinnipeds that primarily prey on schooling myctophids, swarming euphausiids and hyperiid 87 88 amphipods (Cooper and Brown, 1990; Woehler and Green, 1992; Guinet et al., 1996; Bocher 89 et al., 2001). However, to our knowledge, acoustic investigation of mid-water organisms in 90 lower latitudes of the Southern Ocean is limited to a few surveys (Miller, 1982; Perissinotto 91 and McQuaid 1992; Pakhomov and Froneman, 1999), and only three recent studies 92 discriminated acoustic groups by their bi-frequency characteristics (Fielding et al., 2012; 93 Saunders et al., 2013; Bedford et al., 2015).

94 The main goal of the present work was to use bi-frequency acoustic data (38 and 120 kHz) combined with net sampling to calibrate an algorithm tool that discriminates groups of 95 96 scatterers in an acoustically poorly-explored area. The targeted region was the productive 97 oceanic zone off south-eastern Kerguelen Islands, because: (i) several significant populations 98 of predators are known to feed on micronektonic organisms in the area during the summer 99 months, namely Antarctic fur seals and king penguins on mesopelagic fishes (mainly 100 myctophids) and macaroni penguins on euphausiids and hyperiids (Bost et al., 2002; 101 Charrassin et al., 2004; Lea et al., 2002; C.A. Bost and Y. Cherel, unpublished data); and (ii) 102 myctophid fishes and euphausiids were already successfully collected in the area (Duhamel et 103 al., 2000, 2005; authors' unpublished data). The rationale was that different groups of 104 micronektonic organisms (large crustaceans and mid-water fish) should be abundant in the 105 targeted area and that the bi-frequency acoustic data should allow investigating their 106 horizontal and vertical abundance patterns according to the type of structures (patches and 107 layers).

110 The oceanographic cruise (MD197/MYCTO) was carried out during the austral 111 summer 2013-2014 on board the R/V *Marion Dufresne II*. The overall dataset was based on 112 1,320 km of acoustic data in oceanic waters off Kerguelen Islands during 14 consecutive days 113 of recording.

114

115 2.1. Acoustic sampling

116 In situ acoustic data were recorded day and night during the period 23 January-5 117 February 2014. Measurements were made when cruising at a speed of 8 knots, using a Simrad 118 EK60 split-beam echo sounder operating simultaneously at 38 and 120 kHz. The transducers 119 were hull-mounted at a depth of 6 m below the water surface. An offset of 30 m below the 120 surface was applied to account for: (i) the depth of the transducers, (ii) the acoustic Fresnel 121 zone, and (iii) the acoustic interference from surface turbulence. Acoustic data were thus 122 collected on a vertical range from 30 to 300 m according to the 120 kHz range (Fig. 1). The 123 limited depth of 300m is considered in the interpretation of mid-water organisms 124 distributions, especially for those which are known to perform vertical migration according to 125 the day/night cycle (diel vertical migration; Lebourges-Dhaussy et al., 2000; Benoit-Bird et 126 al., 2009) (see section 4.2 below). Indeed, most of these organisms were sampled at night but 127 only epipelagic and some mesopelagic organisms were observable during the day within this 128 depth range.



Fig. 1. 38 and 120 kHz echograms representing acoustic density (in color, S_v in dB) recorded on the 24th of January 2014 morning from 30 to 300m depth in east waters off Kerguelen.

133 Transducers were calibrated following the procedures recommended in Foote et al. 134 (1987). Settings that were used during data acquisition are summarized in Table 1. Movies+ 135 software (Ifremer development) was used for assessing visually the quality of the data prior 136 further analyses. Depending on this quality assessment, data were filtered using an in-house 137 tool (Béhagle et al., 2015) computed with Matlab (MATLAB 7.11.0.584, Release 2010b) and 138 Movies3D software (Ifremer development) to remove ADCP (Acoustic Doppler Current 139 Profiler) interference, background noise, and both attenuated- and elevated-signals. Then, an 140 echo-integration by layer, with a threshold set at -80 dB to exclude scatterers which are not 141 representative of micronektonic organisms, was applied on filtered acoustic data with an 142 echo-integration cell size fixed at 3 pings per 1 m depth in order to smooth variability while 143 keeping as much information as possible.

From echo-integration, volume backscattering strength (S_v , dB re 1 m⁻¹) was used to assess the mean echo level on both 38 and 120 kHz and thus to evaluate differences of relative frequency response of the organisms considered (*see section 2.3* below). Also, the acoustic

147	density of scatterers was estimated by calculating the Nautical Area Scattering Coefficient
148	(NASC, s _A , m ² ·nmi ⁻² ; MacLennan et al., 2002). NASC was used as a proxy of relative
149	biomass of acoustic targets, assuming that the composition of the scattering layers and the
150	resulting scattering properties of biological organisms are homogeneous (e.g. Simmonds and
151	MacLennan, 2005; Lawson et al., 2008).

- 152
- 153 **Table 1.** Simrad EK60 echo sounder parameter settings onboard the R/V Marion Dufresne II

	38 kHz	120 kHz
Max. power (W)	1000	250
Pulse duration (ms)	1.024	1.024
Ping interval (s)	1.5	1.5
Target Strength 'TS' gain (dB)	24.65	27.03
Area backscattering coefficient (Sa) correction	-0.75	-0.30
Sample length (m)	0.189	0.189

154 during the MD197/MYCTO cruise in January-February 2014.

155

156 2.2. Biological sampling

157 To determine the species and size composition of the dominant scatterers, trawling of 158 micronektonic animals was conducted using the Mesopelagos trawl that was designed by 159 Ifremer (fisheries biology and technology laboratory, LTBH, Lorient, France) (Meillat, 2012). 160 The non-closing trawl vertical and horizontal openings varied between 5 and 6 m and 10 and 161 12 m, respectively. The trawl has a mesh size of 4 cm in the wings, reducing to 5 mm at the 162 codend during sampling. A terminal rigid collector was fixed on the codend in order to collect 163 micronektonic organisms in good conditions. A Scanmar acoustic device (Åsgårdstrand, 164 Norway) was attached on the net to monitor in real time the depth of trawling simultaneously 165 to acoustic measurements (Williams and Koslow, 1997). The net was also equipped with an

elephant seal tag (Sea Mammal Research Unit, UK) that was fixed on the trawl headline. The 166 167 tag was a multisensor data logger recording pressure (accuracy of 2 dbar) and hence depth, 168 temperature, salinity and fluorescence (Blain et al., 2013). Only depth data were analyzed in 169 the present work, thus providing an accurate time/depth profile for each tow. The trawl was 170 towed for 30 min at targeted depth at a speed of 1.5-2.5 knots. All catches were sorted by 171 species or lowest identifiable taxonomic groups, measured and weighed. While Antarctic krill 172 (Euphausia superba) does not occur in the area, collected taxa were representative of the 173 Polar Frontal Zone and Polar Front, including zooplankton-like organisms (i.e. euphausiids, 174 amphipods, large copepods and non-gaseous gelatinous organisms), fish-like organisms (i.e. 175 fish with a gas-filled swimbladder and gaseous gelatinous organisms), and other organisms 176 (*i.e.* fish without a gas-filled swimbladder and small squids). Most of the 39 pelagic hauls 177 conducted during this survey had mixed catches and were not further considered here. Indeed, 178 to be able to calibrate as correctly as possible a bi-frequency algorithm in this area, we chose 179 to use only mono-specific trawls. Two trawls were suitable for acoustic mark identification, 180 because almost all the catches consisted of one single species in large quantity (see section 2.3 181 below).

182

183 2.3. Bi-frequency method calibration

The acoustic properties of biological organisms vary with the operating frequency of the echo sounder. Therefore, comparing the echo levels of individual scatterers ensonified at different frequencies is likely to provide information on the types of targets that are present in the water column (Madureira et al., 1993a,b; Kang et al., 2002). According to the literature, zooplankton-like and non-gaseous gelatinous organisms have an increasing relative frequency response between 38 and 120 kHz (Stanton and Chu, 2000; David et al., 2001; Lavery et al., 2002; Korneliussen and Ona, 2003), whereas fish with a gas-filled swimbladder and gaseous 191 gelatinous organisms have a stable to decreasing relative frequency response between 38 and 192 120 kHz, depending on the size of the gaseous inclusion (Warren et al., 2001; Kloser et al., 193 2002; Korneliussen and Ona, 2003) (Fig. 2). Thus, using the difference of reflectance of well-194 characterized biological samples collected by trawls, we determined thresholds to obtain the 195 best compromise to separate three acoustic groups of organisms.

196



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Fig. 2. Schematic description of the relative frequency response, r(f). Horizontal lines indicate
typical range positions of selected acoustic categories when measured at frequencies 18-200
kHz. Source: Korneliussen and Ona (2003).

201

Firstly, "fluid-like" organisms were discriminated from "gas-bearing" organisms according to trawl sampling and to acoustic properties of scatterers at 120 and 38 kHz, respectively (Simmonds and MacLennan, 2005). Thresholds used in the bi-frequency algorithm to discriminate acoustic groups were fixed using acoustic data from two relevant 206 trawls, which were selected according to: (i) their depth (only trawls between the surface and 207 200 m depth were considered to minimize as much as possible interference from the saturated 208 outgoing signal at 120 kHz), and (ii) the quality of their acoustic data (mainly depending on 209 the weather; only trawls with more than 50% of clean pings were considered). Two night 210 trawls (T07, 50 m depth and T14, 70 m depth) were mono-specific in their composition, 211 containing almost exclusively adults of subantarctic krill Euphausia vallentini (15-24 mm 212 long) and juveniles of the demersal fish Muraenolepis marmoratus (31-40 mm long), 213 respectively. The latter corresponds to the pelagic stage of the species (Duhamel et al., 2005), 214 *i.e.* fish were 3-4 cm long and contained a well-developed gas-filled swimbladder, similar to 215 several species of myctophids (Electrona carlsbergi, *Krefftichthys* anderssoni. 216 Protomyctophum spp.; Saunders et al., 2013). The acoustic characteristics of samples from 217 these two trawl tows were considered as representative of "fluid-like" and "gas-bearing" 218 scatterers, respectively. Only the acoustic characteristics corresponding in time and depth to 219 the two trawls were taken into account to grade the bi-frequency algorithm in order to be sure 220 that they were related to the organisms effectively caught in the net. For doing this, we used 221 the time/depth data provided by the elephant seal tag for extracting the acoustic data from 2 m 222 above the headline up to 10 m below (or 2 m below the footrope) during a limited time period 223 that focused on the acoustically detected aggregations (Fig. 3). The acoustic response at 38 224 and 120 kHz, of each echo-integration cell belonging to the trawl's path, was represented 225 relative to the 38 kHz frequency (Fig. 4a) to assess the positive vs. negative slope of the 226 relative frequency response between discrete 38 and 120 kHz frequencies.



228

Fig. 3. Acoustic records and the corresponding cruise trawls (T07 and T14) that were used to 229 230 fix thresholds of difference in the bi-frequency algorithm. Upper panel: complete trawl 231 echograms with trawling depths (continuous black line) and limits of data extraction (dashed 232 black lines). Lower panel: extracted echogram samples focusing on the trawl targeted 233 aggregates that were selected from acoustic identification estimation. Left: T07 trawl 234 (euphausiids) sampling on the 120 kHz frequency to discriminate the "fluid-like" group. 235 Right: T14 trawl (gas-filled swimbladder fish) on the 38 kHz frequency to discriminate the 236 "gas-bearing" group.

238 Secondly, the difference in relative frequency response ($\Delta S_{v,120-38} = S_{v,120} - S_{v,38}$) was 239 evaluated per echo-integration cell using a varying threshold of difference, ranging from -15 240 to 25 dB (Fig. 4b). For each threshold considered one by one, each acoustic sample was classified either in a group "lower than the threshold considered" or in the opposite group 241 242 "higher than the threshold considered". The total acoustic density was calculated (on 120 kHz 243 samples for the "fluid-like" group and on 38 kHz samples for the "gas-bearing" group) for 244 each of the lower/higher groups formed and reported in percentage to total acoustic density of 245 the aggregate for each tested threshold (Fig. 4b).



246

Fig. 4. Left panel (a): frequency response of each sample considered relatively to the 38 kHz frequency, with "fluid-like" samples (from the trawl T07) represented in red and "gasbearing" samples (from the trawl T14) in blue. Right panel (b): bar chart of the percentage of "fluid-like" (in red) and "gas-bearing" (in blue) total NASC, according to a -15 to 25 dB range of threshold of difference, used to define the best thresholds (-1 and +2 dB) delimiting the "undetermined" group by transferring a maximum of 10% of their acoustic energy (total NASC).

255 Finally, the calculated "loss" of density for both "fluid-like" and "gas-bearing" groups 256 was used to define two thresholds of differences delimiting the "undetermined" group by 257 transferring a maximum of 10% of their acoustic energy (Fig. 4b) into the "undetermined" group. This group corresponds to an uncertainty zone where scatterers (i) have a close-to-flat 258 259 relative frequency response between discrete 38 and 120 frequencies, (ii) cannot be allocated 260 to "fluid-like" or "gas-bearing" organisms according to their S_v difference measured between 261 38 and 120 kHz but (iii) are potentially present in the water column and (iv) have no 262 biological validation in this work. Preserving such a group allows accounting for organisms 263 that could not be identified during this work from biological sampling but are present in the 264 water column, while being more demanding on well-defined groups. Following this method, 265 thresholds were defined at -1 and +2 dB. Scatterers with $\Delta S_{v,120-38}(i) > +2$ dB are classified in 266 the "fluid-like" group, (ii) < -1 dB are classified in the "gas-bearing" group and (iii) between -267 1 and +2 dB are classified in a third "undetermined" group (Fig. 5).





Fig. 5. Summary diagram of the bi-frequency algorithm method used in this study.

271 2.4. Testing the bi-frequency algorithm

The robustness of threshold values obtained by the bi-frequency algorithm developed in the present work was tested by calculating the theoretical frequency responses of *Muraenolepis marmoratus* and *Euphausia vallentini* using the mathematical models of Ye (1997) and Stanton et al. (1994), respectively. While the Ye model provides an analytic method for studying scattering of "gas-bearing" organisms at low frequencies, the Stanton model focuses on the "fluid-like" organisms' acoustic properties.

278 The Ye (1997) model highlights a $\Delta S_{y,120-38}$ value of -0.4 dB for fish of 3 to 4 cm 279 length (as sampled during the T14 trawl), and the Stanton et al. (1994) model for randomly-280 oriented fluid, bent cylinder highlights a $\Delta S_{v,120-38}$ value of +1.9 dB for euphausiids of 15-24 281 mm length (length range of organisms sampled during the T07 trawl). Using the bi-frequency 282 algorithm, the $\Delta S_{v,120-38}$ thresholds amounted to -1 and +2 dB, respectively, and are thus 283 consistent with the results of mathematical models. Our threshold values were even stronger 284 than those of the models (-1 < -0.4 and 2 > 1.9 dB), thus highlighting the selectivity of the 285 algorithm. According to biological samples (see section 2.2. above) and acoustic properties of 286 scatterers at 38 and 120 kHz (see section 2.3 above), three acoustic groups have been defined 287 for micronektonic organisms: (i) "gas-bearing" ($\Delta S_{v,120-38} < -1 \text{ dB}$), (ii) "fluid-like" ($\Delta S_{v,120-38}$ > 2 dB), and (*iii*) "undetermined" scatterers ($-1 < \Delta S_{v,120-38} < 2 \text{ dB}$). 288

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290 2.5. Data post-processing and statistical analyses

Each echo-integration cell was attributed to "fluid-like", "undetermined" or "gasbearing" group based on its relative frequency response. Moreover, as living organisms follow non-random and non-uniform distributions (Margalef, 1979; Legendre and Fortin, 2004), acoustic data were analyzed separately in terms of patches and layers. 295 First of all, in order to get homogenous horizontal sampling at high resolution, filtered data at 38 and 120 kHz have been echo integrated in cells of 10 m (horizontal) by 1 m 296 297 (vertical). Patches were here defined as isolated groups of echo-integrated cells limited in 298 space (between 10 and 3000 m long) and associated to a mean volume backscattering strength 299 $S_v \ge -63$ dB on the mean 38 and 120 kHz echogram. In contrast, layers were defined as 300 continuous and homogenous areas of acoustic detections with a mean $S_v < -63$ dB for each 301 echo-integrated cell on the mean 38 and 120 kHz echogram. The -63 dB threshold was 302 defined by the operator after a visual analysis of the number of patches detected along a 303 representative acoustic sample of five hours long and along increasing S_v values from -70 to -304 40 dB. The value of -63 dB corresponds to a threshold level over which the number of patches 305 did not further increased (Fig. 6).

306



Fig. 6. Representative diagram of the number of patches (in blue) and its derivative (in green) detected along increasing S_v values from -70 to -40 dB. The value of -63 dB corresponds to a threshold level over which the number of patches did not further increased.

Using the Matlab contouring tool, echo-integrated cells having a mean $S_v \ge -63$ dB were extracted from the echo integrated datasets and each contour considered as a detected patch (Fig. 7a). For each patch, mean depth, vertical size, mean S_v and mean NASC values at both frequencies were computed. NASC values were first summed on the vertical and then averaged on the horizontal. Cells that were not considered as patches were considered as layers (Fig. 7b).



Fig. 7. 38 and 120 kHz echograms representing acoustic density (in color, S_v in dB) recorded on the 24th of January 2014 morning from 30 to 300m depth in east waters off Kerguelen for (a) patches- and (b) layers structures.

Total-, patches- and layers- datasets were then post-processed following the same bifrequency algorithm (*see section 2.3* above). Thus, nine datasets were obtained: "fluid-like", "gas-bearing", and "undetermined" for layer structures, for patch structures, and for the whole (*i.e.* patches and layers together).

Acoustic data were analyzed from 30 to 300 m depth according to the applied offset (*see section 2.1.* above) and the 120 kHz emission range. Day and night data were analyzed separately because many mid-water organisms undergo diel vertical migration. The crepuscular period (45 minutes before and after sunrise and sunset) during which mid-water organisms ascend and descend (Lebourges-Dhaussy et al., 2000; Benoit-Bird et al., 2009) were excluded from the analyses.

331 Statistical analyses were performed within the R environment (R Core Team, 2014).
 332 Differences of distribution between groups were statistically assessed using student t tests.

333 **3. Results**

334

335 *3.1. Horizontal distribution of acoustic groups of micronekton*

The horizontal distribution of NASC values (on 38 kHz for "gas-bearing" and 336 337 "undetermined" groups; on 120 kHz for "fluid-like" group) in the 30-300 m depth range 338 varied spatially (Northern and Southern tracks), and according to the daily cycle (day and 339 night), the type of structures (patches and layers) and the three acoustically-defined groups of 340 micronektonic organisms (Fig. 8, Tables 2 and 3). Several features were notable: (i) total 341 integrated NASC values were ~2-3 times higher in the Northern than in the Southern tracks; 342 (ii) with one exception (see below), NASC values of each group were higher at night than 343 during the day; (iii) a much larger proportion of scatterers of the three groups occurred in 344 layers than in patches; (iv) the layers/patches difference was more pronounced at day than 345 during the night, with patches almost disappearing at night (< 1% of the total NASC values); 346 and (v) during the day, a higher NASC proportion of "gas-bearing" (10-26%) and 347 "undetermined" (10-34%) than "fluid-like" (4-5%) scatterers occurred in patches.



Fig. 8. Total density (NASC, scaled in m²·nmi⁻², colored on ship track) observed during the cruise integrated from 30 to 300 m depth for each acoustic group ("gas-bearing", fluid-like" and "undetermined" groups) and for each type of structure (patches and layers).

Table 2. Acoustic density (NASC, in m²·nmi⁻²) per echo-integration cell of each acoustic group ("gas-bearing", "fluid-like" and "undetermined"

353 groups). Values are means \pm SD. The small size of the 10 m horizontal cells explains both their numbers and very large variances.

Time period	Tracks	Echo-integration cell per 10 m (n)	Total NASC values at 38 or 120 Hz (m ² ·nm ⁻²)	"Fluid-like" NASC values at 120 kHz (m ² ·nm ⁻²)	"Gas-bearing" NASC values at 38 kHz (m ² ·nm ⁻²)	"Undetermined" NASC values at 38 kHz (m ² ·nm ⁻²)
Day	Northern	69150	446 ± 3402	283 ± 2641	107 ± 1073	56 ± 756
	Southern	37724	273 ± 1642	209 ± 1507	42 ± 563	22 ± 136
	Both tracks	106874	385 ± 2907	257 ± 2305	84 ± 372	44 ± 614
Night	Northern	15252	649 ± 3091	351 ± 2432	225 ± 1487	73 ± 454
	Southern	5065	195 ± 579	73 ± 431	84 ± 372	38 ± 32
	Both tracks	20317	536 ± 2701	282 ± 2122	190 ± 1303	64 ± 394
Day and night	Northern	84402	483 ± 3349	295 ± 2604	129 ± 1160	59 ± 711
	Southern	42789	264 ± 1555	193 ± 1423	47 ± 544	24 ± 128
	Both tracks	127191	409 ± 2875	261 ± 2277	101 ± 998	47 ± 584

Table 3. Acoustic density (NASC, in m²·nmi⁻²) summed in the 30-300 m depth range and
percentage contributions (between brackets) of each acoustic group ("gas-bearing", "fluidlike" and "undetermined" groups) as patches and layers (see text for definitions). Daytime and
nighttime were considered separately, as the Northern and Southern tracks were.

Tracks	Groups	Day			Night		
	-	Total	Patches	Layers	Total	Patches	Layers
		$(10^6 \text{ m}^2 \text{ nmi}^{-2})$	(%)	(%)	$(10^6 \text{ m}^2 \text{ nmi}^{-2})$	(%)	(%)
Northern	Gas-bearing	11.68 (25.9)	10.3	89.7	5.64 (32.0)	0.8	99.2
	Fluid-like	29.30 (65.0)	4.7	95.3	10.41 (59.1)	0.8	99.2
	Undetermined	4.10 (9.1)	9.7	90.3	1.56 (8.9)	0.7	99.3
	Total	45.08 (100.0)	6.6	93.4	17.61 (100.0)	0.8	99.2
Southern	Gas-bearing	2.23 (12.9)	26.1	73.9	0.68 (44.4)	0.5	99.5
	Fluid-like	14.21 (82.2)	3.4	96.6	0.66 (43.2)	0.0	100.0
	Undetermined	0.85 (4.9)	34.0	66.0	0.19 (12.5)	0.2	99.8
	Total	17.30 (100.0)	7.9	92.1	1.53 (100.0)	0.2	99.8
Total	Gas-bearing	13.92 (22.3)	12.8	87.2	6.31 (33.0)	0.8	99.2
	Fluid-like	43.51 (69.8)	4.3	95.7	11.07 (57.8)	0.8	99.2
	Undetermined	4.95 (7.9)	13.9	86.1	1.75 (9.2)	0.6	99.4
	Total	62.38 (100.0)	6.9	93.1	19.14 (100.0)	0.8	99.2

368 The vertical distribution of NASC values of the three acoustically-defined groups of 369 micronektonic organisms varied spatially (Northern and Southern tracks), temporally (time of 370 the day), and according to the type of structures (patches and layers) (Fig. 9, Table 4). 371 Overall, "fluid-like" organisms were structured in layers and their NASC values showed: (i) a 372 peak at shallow depths (< 100 m) during the day with an intermediate inter-quartile range 373 revealing a rather unimodal vertical distribution; and (ii) a progressive increase with depth 374 from 150 to 300 m. The pattern was similar at night, but with significantly lower values (t =17.5, p < 0.001) and higher inter-quartile range, highlighting a consistent distribution in the 375 376 range 30-300 m. "Gas-bearing" scatterers showed a different vertical pattern with a well-377 defined change between day and night. While most scatterers were structured in layers, they 378 were more patchily distributed during the day with a main mode at ~150 and ~70 m in the 379 Northern and Southern tracks, respectively. Patches almost completely disappeared at night 380 during which "gas-bearing" organisms occurred in more diffuse layers with a unimodal 381 distribution in the north at ~30 m and a bimodal distribution in the south at ~65 and ~200 m. 382 The distribution of "undetermined" organisms showed no obvious patterns, with discrete 383 small patches during the day and more obvious layers at night, especially at shallow depths in 384 the Northern track (Fig. 9, Table 4).





Fig. 9. Mean vertical NASC profiles from 30 to 300 m depth of each acoustic group ("gasbearing", fluid-like" and "undetermined" groups) for day (black lines) and night (grey lines) and for each type of structures (patches and layers). Dashed lines indicate the 95% confidence intervals.

Table 4. Maximum acoustic density (NASC) depth, median acoustic density and interquantile range proportion of acoustic groups (calculated on the 38 kHz for the "gas-bearing" and "undetermined" groups and on the 120 kHz for the "fluid-like" group) according to the tracks (Northern and Southern), time of the day (day and night) and type of structures (patches and layers).

Timing	Tracks	Groups	Maximum NASC depth (m)	Median NASC values (m ² nmi ⁻²)	Inter-quantile range (%)
Total					
Dav	Northern	Gas-bearing	148	0.16	24.6
,		Fluid-like	66	0.36	23.9
		Undetermined	147	0.09	50.0
	Southern	Gas-bearing	72	0.11	19.3
		Fluid-like	275	0.23	47.7
		Undetermined	270	0.05	54.5
Night	Northern	Gas-bearing	42	0.13	27.3
C		Fluid-like	295	0.20	50.0
		Undetermined	45	0.10	33.3
	Southern	Gas-bearing	65	0.23	34.7
		Fluid-like	294	0.13	54.3
		Undetermined	214	0.12	25.0
Patches					
Day	Northern	Gas-bearing	147	0.03	10.4
		Fluid-like	109	0.05	17.1
		Undetermined	143	0.02	30.0
	Southern	Gas-bearing	68	0.01	9.7
		Fluid-like	163	0.02	36.4
		Undetermined	194	0.01	27.8
Night	Northern	Gas-bearing	45	0.00	7.1
		Fluid-like	122	0.00	0.0
		Undetermined	41	0.00	0.0
	Southern	Gas-bearing	94	0.00	0.0
		Fluid-like	38	0.00	0.0
		Undetermined	58	0.00	0.0
Layers					
Day	Northern	Gas-bearing	230	0.13	59.3
		Fluid-like	65	0.31	32.9
		Undetermined	297	0.04	53.8
	Southern	Gas-bearing	263	0.07	54.2
		Fluid-like	292	0.21	39.7
		Undetermined	297	0.02	46.2
Night	Northern	Gas-bearing	42	0.14	27.7
		Fluid-like	294	0.22	45.3
		Undetermined	45	0.09	36.6
	Southern	Gas-bearing	65	0.28	33.3
		Fluid-like	294	0.19	53.7
		Undetermined	214	0.10	27.6

397 Historically, most of the acoustic investigations conducted in the Southern Ocean 398 since the 1960s focused on Antarctic krill (Demer and Conti, 2005; Fielding et al., 2014), due 399 to its high and variable biomass (Atkinson et al., 2009), key role in the high-latitude pelagic 400 ecosystem (Ainley and DeMaster, 1990) and developing commercial fisheries (Nicol et al., 401 2012). More recently, the concept of a distinct Antarctic open-ocean food chain where 402 Antarctic krill is absent pointed out the importance of other micronektonic organisms, 403 including mid-water fish (Rodhouse and White, 1995). Hence, different groups were 404 acoustically characterized in the Antarctic krill zone (Fielding et al., 2012; Saunders et al., 405 2013), but, to our knowledge, little acoustic information is available in Northern waters of the 406 Southern Ocean where Antarctic krill is ecologically replaced by other micronektonic 407 organisms, namely euphausiids, a few hyperiid amphipods and myctophid fishes.

The present study focused on productive waters off eastern Kerguelen Islands, where numerous top predators target micronektonic organisms different from Antarctic krill (Guinet et al. 1996). It provides a first depiction of horizontal and vertical (30-300 m) distribution and abundance of three different acoustic groups of micronektonic organisms from a bi-frequency processing of acoustic data (38 and 120 kHz).

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4.1. Methodological comments and biological interpretation of the acoustic groups

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Methodologically, the frequency-dependent technique based on estimated differences between mean volume-backscattering strength at 38 and 120 kHz has also previously been used to characterize acoustic groups (Madureira 1993a,b; Brierley et al., 1998). The most recent investigations defined two micronektonic groups in Antarctic waters, namely Antarctic 420 krill (macrozooplankton) that was identified using a 2-12 or 2-16 dB $\Delta S_{v,120-38}$ window 421 (Fielding et al., 2012, 2014), and myctophids (gas-filled swimbladder fish) that were 422 characterized by $\Delta S_{v,120-38} < 2$ or < 0 dB (Fielding et al., 2012; Saunders et al. 2013). 423 Elsewhere, a threshold at $\Delta S_{v,120-38} = 2$ dB was used to discriminate gas-filled swimbladder 424 fish (< 2 dB) from euphausiids (> 2 dB) (De Robertis et al., 2010; Ressler et al., 2015). Using 425 the same overall approach, our $\Delta S_{y,120-38}$ threshold values fit well with theoretical models (Ye, 426 1997; Stanton et al., 1994). The $\Delta S_{v,120-38}$ threshold value (-1 dB) to discriminate "gas-427 bearing" backscatters was even lower than the previously used values (0-2 dB). Hence, our 428 identification of "gas-bearing" backscatters is more conservative than in previous 429 investigations, and the method allowed discriminating a third intermediate group of 430 backscatters at $-1 \le \Delta S_{v,120-38} \le 2$ dB that cannot be classified as a given type of organism 431 without ground-truthing.

432 Micronektonic organisms that constituted the three acoustic groups of backcatters can 433 be tentatively defined using a combination of bi-frequency threshold values, acoustic 434 sampling depth (30-300 m), net sampling (Hunt et al., 2011) and predators' diet (Guinet et al., 435 1996) within the studied area. (i) The "fluid-like" group ($\Delta S_{v,120-38} > 2$ dB) is likely to 436 correspond primarily to crustaceans, including euphausiids (e.g. Euphausia vallentini, E. 437 triacantha, Thysanoessa spp.) and hyperiids (Themisto gaudichaudii). Non-gas-bearing 438 gelatinous organisms (e.g. salps) also occur in the area (Hunt et al., 2011) and they were 439 collected during the cruise, it is here assumed that their acoustic signature was similar to "fluid-like" signature (Wiebe et al., 2010). (*ii*) The "gas-bearing" group ($\Delta S_{v,120-38} < -1$ dB) 440 441 includes gas-bearing gelatinous organisms and gas-filled swimbladder fish. Siphonophores 442 occur in the Southern Ocean, but their abundance is relatively low in Kerguelen waters (Hunt 443 et al., 2011). On the other hand, mesopelagic fish were abundant, with most of them belonging to the Family Myctophidae in terms of species, number and biomass (Duhamel et 444

445 al., 2005). Not all myctophid species contain a gas-filled swimbladder, however, and it is likely that the acoustically detected myctophids were primarily *Electrona carlsbergi*, 446 447 Krefftichthys and erssoni and Protomyctophum spp. although it was not possible to 448 differentiate between species (Marshall, 1960; Saunders et al., 2013). Noticeably, all those 449 species are targeted by the myctophid-eater king penguin (Bost et al., 2002; Cherel et al., 450 2002) and they are known to form school structures (Saunders et al., 2013). Krefftichthys 451 anderssoni was the commonest net-caught myctophid during the cruise and Protomyctophum 452 bolini and P. tenisoni also occurred in significant numbers in trawls (authors' unpublished data). (iii) The "undetermined" group of scatterers (-1 < $\Delta S_{v,120-38}$ < 2 dB) most likely 453 454 corresponds to other fish, meaning lipid-filled swimbladder species and fish with no 455 swimbladder (Simmonds and MacLennan, 2005). Again these characteristics point out 456 myctophid fish in the area, including Gymnoscopelus braueri that ranked third amongst the 457 net-caught myctophids during the cruise (authors' unpublished data) together with other 458 Gymnoscopelus species that constitute the main prey species of fur seals Arctocephalus spp. 459 (Marshall, 1960; Lea et al., 2002; Saunders et al., 2013). Theoretically also, the 460 "undetermined" group can include a combination of "fluid-like" and "gas-bearing" scatterers 461 living in mixed and homogenous layers or patches, thus overall resulting in intermediate 462 $\Delta S_{\rm v,120-38}$ values.

463

464 *4.2. Horizontal and vertical distribution of the acoustic groups*

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The acoustic density (NASC) of micronektonic scatterers varied both in time and space, thus showing a complex pattern depending on acoustically-defined groups, time of the day (day/night), depth (30-300 m), the type of structures (patches and layers) and geography (Northern and Southern tracks). Firstly, depth-integrated NASC values of the three acoustic 470 groups were higher in the Northern than the Southern tracks, which may correspond to the 471 Polar Front and Northern Antarctic waters, respectively. This would be consistent with the 472 high abundance of micronekton recorded in frontal areas of the Western Indian sector of the 473 Southern Ocean (Pakhomov et al., 1996; Pakhomov and Froneman, 2000) and deserves a 474 thorough study in combination with hydrographic data. Secondly, the finding of an overall 475 higher biomass at night than during the day is in accordance with a recent large-scale acoustic 476 investigation in the Western Indian Ocean (Béhagle et al. 2015) and the general trend of 477 upward migration of deep-dwelling zooplanktonic and micronektonic organisms at sunset in 478 oceanic waters (Domokos, 2009; Escobar-Flores et al., 2013; Béhagle et al., 2014). Finally, 479 other key features of micronektonic distribution were the much higher NASC values in layers 480 (> 92% of total NASC values) than in patches, and the almost disappearance of patches (< 481 1%) at night when compared to the daylight hours (Table 4). The latter feature is related to the 482 diel behaviour of mid-water organisms that disperse at night to feed in the epipelagic zone 483 (Hays, 2003). Moreover, in this work, the potential bias in patches detection linked to the 484 increasing acoustic beam with depth is not considered as well as the depth is not a hindrance 485 to our comparisons. Indeed, (i) in most cases, the absence of patches at night makes the 486 comparison between day and night NASC proportions meaningful and independent of depth 487 and (ii) for the only case of night occurrence of patches (along the Northern track for "fluid-488 like" organisms), the few detected patches were observed at the same depth as during the day 489 which makes comparison possible regardless of any difference in resolution of detecting 490 patches. The only bias could be an underestimation of deep patches detected during the day.

491

492 "Fluid-like" scatterers occurred predominantly within layers with a bimodal
493 distribution at shallow and deep depths (Fig. 9). A similar bimodal vertical distribution was
494 previously observed from acoustic-based records at the Polar Front area westward (Pakhomov

et al., 1994). A prominent feature of "fluid-like" scatter occurrence in Kerguelen waters was a
well-defined layer at ~60 m depth during the day, which likely corresponds to some key
crustacean species collected with nets (*E. vallentini, Thysanoessa* spp., *T. gaudichaudii*;
Pakhomov and Froneman, 1999; Hunt et al., 2011; this study). Noticeably, those crustacean
species form the bulk of the food of the most abundant diving air-breathing predator from the
area, the macaroni penguin (*Eudyptes chrysolophus*), which predominantly forages at 20-60
m depth during the day (Sato et al., 2004; Bost and Cherel, unpublished data).

502 Most scatterers of the "gas-bearing" and "undetermined" groups were structured in 503 layers that were more pronounced at night than during the day. Especially obvious was a ~50 504 m-deep layer during the northern track that suggests a high abundance of mid-water fish in 505 the upper epipelagic at night. Indeed, surface layers are invaded at that time by myctophids in 506 Kerguelen waters and elsewhere, with the species including a pool of gas-filled swimbladder, 507 lipid-filled swimbladder- and swimbladderless myctophids (Duhamel et al., 2005; Collins et 508 al., 2012; Saunders et al., 2013). This pattern corresponds well with the night-time diving 509 behaviour of Antarctic fur seals (A. gazella) that prey primarily on mid-water fish at 40-60 m 510 depth in eastern Kerguelen waters (Lea et al., 2002, 2006). A major characteristic of the "gas-511 bearing" group was the significant amount of scatterers structured in patches during daytime. 512 It is likely that patches corresponded to schools of fish, as already depicted in the Atlantic 513 sector of the Southern Ocean (Fielding et al., 2012; Saunders et al., 2013), and that the species 514 were mainly myctophids with a gas-filled swimbladder (Collins et al. 2008). Patch depth 515 observed during the survey was < 180 m, thus suggesting that they were composed of 516 Krefftichthys and erssoni and Protomyctophum spp., and not of deeper-living species as E. 517 carlsbergi (Duhamel et al., 2005; Collins et al., 2008; Flynn and Williams, 2012). Indeed, the 518 survey overlapped the foraging area of the king penguin (Aptenodytes patagonicus) that is 519 known to target primarily K. anderssoni in the 100-150 m depth range during the day (Bost et

520 al., 2002; Charrassin et al., 2004; C.A. Bost and Y. Cherel, unpublished data). Interestingly, 521 patches occurred at different depths during the northern (~150 m) and southern (~70 m) 522 tracks, which can be related to different species within patches or to physical oceanography in 523 different water masses or to a combination of both. The limited information available shows 524 that myctophids are linked to the physical, chemical and biological characteristics of the water 525 column, with bottom depth, temperature and oxygen content of the water being key 526 environmental factors controlling their distributions (Hulley and Lutjeharms, 1995). 527 Moreover, despite patches were detected only during daylight, variations in light levels could 528 also affect the vertical distribution of mesopelagic organisms as it has been observed for deep 529 scattering layers (Klevjer et al., 2016).

530 In conclusion, the present study highlights the usefulness of combining acoustic 531 records with biological sampling to use reliable bi-frequency algorithms to discriminate 532 groups of backscatters. When validated, the method bypasses the problem of net avoidance by 533 micronekton, especially during the daylight hours (Kloser et al., 2009; Pakhomov and 534 Yamamura, 2010; Kaartvedt et al., 2012). Despite uncertainties with species identification 535 and depth limitation in acoustic data, it provides an essential descriptive baseline of the spatial 536 distribution and structure of micronektonic organisms. More at-sea investigations are needed 537 to better define the species-specific acoustic response of crustaceans (e.g. Madureira et al., 538 1993b), myctophids (e.g. Gautier et al., 2014) and gelatinous organisms (e.g. Wiebe et al., 539 2010). As it stands, however, the method can already help (i) to link micronektonic group 540 distribution to physical oceanography both horizontally and vertically to better define their 541 oceanic habitats (Koubbi et al., 2011), (ii) to investigate predator-prey interactions by 542 combining real time acoustic surveys and bio-logging (Benoit-Bird et al., 2011; Bedford et 543 al., 2015), and hence (*iii*) to gather useful information on the functioning of the still poorly 544 known oceanic ecosystem. Overall, the distribution of the acoustic groups fit well with the at545 sea behaviour of air-breathing diving predators from Kerguelen Islands (see above). More specifically, however, a thorough comparison between net trawling and predator foraging 546 547 ecology underlines some fundamental mismatches that can be investigated using active 548 acoustic surveys. For example, the subantarctic krill E. vallentini is traditionally considered to 549 live deeper than 100 m during the day (Perissinotto and MacQuaid, 1992; Hamame and 550 Antezana, 2010), while it is one of the most important prey items of various diurnal seabirds 551 (e.g. crested penguins) that feed primarily in the top 50 m of the water column (Ridoux, 1988; 552 Tremblay and Cherel, 2003; Sato et al., 2004).

553

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555

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Fig. 1. 38 and 120 kHz echograms representing acoustic density (in color, S_v in dB) recorded on the 24th of January 2014 morning from 30 to 300m depth in east waters off Kerguelen.

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Fig. 2. Schematic description of the relative frequency response, r(f). Horizontal lines indicate
typical range positions of selected acoustic categories when measured at frequencies 18-200
kHz. Source: Korneliussen and Ona (2003).

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783 Fig. 3. Acoustic records and the corresponding cruise trawls (T07 and T14) that were used to 784 define thresholds of difference in the bi-frequency algorithm. Upper panel: complete trawl 785 echograms with trawling depths (continuous black line) and limits of data extraction (dashed 786 black lines). Lower panel: extracted echogram samples focusing on the trawl targeted 787 aggregates that were selected from acoustic identification estimation. Left: T07 trawl (euphausiids) sampling on the 120 kHz frequency to discriminate the "fluid-like" group. 788 789 Right: T14 trawl (gas-filled swimbladder fish) on the 38 kHz frequency to discriminate the 790 "gas-bearing" group.

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Fig. 4. Left panel (a): frequency response of each sample considered relatively to the 38 kHz frequency, with "fluid-like" samples (from the trawl T07) represented in red and "gasbearing" samples (from the trawl T14) in blue. Right panel (b): bar chart of the percentage of "fluid-like" (in red) and "gas-bearing" (in blue) total NASC, according to a -15 to 25 dB range of threshold of difference, used to define the best thresholds (-1 and +2 dB) delimiting the "undetermined" group by transferring a maximum of 10% of their acoustic energy (total NASC).

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800 **Fig. 5.** Summary diagram of the bi-frequency algorithm method used in this study.

Fig. 6. Representative diagram of the number of patches (in blue) and its derivative (in green)
detected along increasing S_v values from -70 to -40 dB. The value of -63 dB corresponds to a
threshold level over which the number of patches did not further increased.

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Fig. 7. 38 and 120 kHz echograms representing acoustic density (in color, S_v in dB) recorded on the 24th of January 2014 morning from 30 to 300m depth in east waters off Kerguelen for (a) patches- and (b) layers structures.

Fig. 8. Total density (NASC, in m²·nmi⁻², colored on ship track) integrated from 30 to 300 m

depth for each acoustic group ("gas-bearing", fluid-like" and "undetermined" groups) and foreach type of structures (patches and layers).

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Fig. 9. Mean vertical NASC profiles from 30 to 300 m depth of each acoustic group ("gasbearing", fluid-like" and "undetermined" groups) for day (black lines) and night (grey lines) and for each type of structures (patches and layers). Dashed lines indicate the 95% confidence intervals.