New Framework for Optimal Current Sharing of non-identical Parallel Buck Converters

Extended version

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Abstract—In this paper, parallel interconnection of buck (step-down) converters is considered. Classical control of such a topology uniformly distributes load current over branches. Yet, whenever non-identical converters (having distinct characteristics) are considered, this paper demonstrates, both theoretically and experimentally, that minimizing overall losses requires non uniform and even load-depend current distribution. In this context, a novel highly modular hierarchical control framework is proposed for arbitrary number of buck converters. Solutions for the two related design steps are given, which leads to a control law minimizing total losses as well as correcting voltage deviation for any operating point and without knowledge about load magnitude. Several formal statements and experimental results support our discussions.

I. INTRODUCTION

Substituting a single high-capacity centralized electrical power converter by multiple distributed converters connected in parallel is a strategy that becomes more and more popular. Indeed, paralleling converters offers several advantages such as increased reliability due to redundancy and distribution of stresses of components [1], ease of maintenance and repair, improved thermal management [2] and reduced output ripple by interleaving phase of Pulse Width Modulation (PWM) [3].

An essential feature offered by parallel interconnection of converters is the possibility to distribute load current. Indeed, if regulation of output voltage imposes overall current, distribution of current among converters remains free. The most wide-spread strategy for dealing with this degree of freedom is the so-called balanced current sharing which uniformly distributes currents among converters [3], [1], [2]. Whenever identical converters (same class of converters sharing the same electrical components) are considered, this policy is fully justified by the fact that it equally distributes stresses among converters as well as minimizes overall losses. However, few papers in the literature consider interconnection of non-identical converters for which balanced current sharing is expected not to be the optimal.

To the best of the authors’ knowledge, existing solutions to achieve nonuniform current sharing relies on “virtual droop resistors” which can be interpreted as a low-frequency negative feedback (the feedback gain is the so-called “droop resistor”) on current which aims adjusting equilibrium current [4], [5]. Then a rule of thumb is to fix the droop resistor magnitude for bus voltage to remain into allowed bounds when the converter injects its maximum power [6], [4]. As noticed in [5], this strategy maintains constant current ratio between converters, whereas optimal current sharing requires load dependent current ratio, as formally demonstrated in this paper.

1) Our first contribution is to provide a rigorous statement of the control problem related to parallel interconnection of arbitrary number of non-identical (having distinct characteristics) buck DC/DC converters with the requirement of converging to the optimal steady-state with respect to power losses. Corresponding electrical circuit is depicted by Fig. 1. This treatment proves, both theoretically and experimentally, not only that balanced current sharing cannot be optimal for all load with respect to overall power losses but also that optimal current ratio is in general load-dependent (Section IV). 2) As a second contribution, a sequential design procedure leading
II. Problem statement

The electrical circuit represented by Fig. 1 is considered. It mimics the parallel interconnection of \( m \) buck converters that would share a single capacitor. Converters are controlled via PWM and \( d_k \) refers to duty cycle of \( k \)-th converter. The control aims regulating DC bus voltage \( v \) to desired level \( V_{\text{ref}} \), i.e.

\[
v^* = V_{\text{ref}},
\]

where \( v^* \) refers to the asymptotic value of \( v(t) \), for any positive and unknown load \( R \). Imposing (1) is equivalent to saying that the sum \( i_T^k \) of each \( i_k^* \) is equal to \( V_{\text{ref}}/R \), where \( i_k \) referd to current in \( k \)-th inductors \( L_k \). Thus, additional degrees of freedom remain in the way \( i_T^k \) is distributed among converters.

For this reason, this paper introduces minimization of the steady-state global losses as an additional requirement for the outer controller. Note that losses due to parasitic elements of capacitor \( C \) depends on \( i_T^k \) exclusively, which makes it completely independent from current sharing policy. Hence, the quantity to be minimized is \( p_T^k \) defined as

\[
p_T(i) := \sum_{k \in K} p_k(i_k) \quad \text{with} \quad K := \{1, \cdots, m\},
\]

where \( p_k \) refers to power losses due to MOSFET, diode and inductor of \( k \)-th converter.

III. Model description

Power losses are considered by taking parasitic elements of electrical components of Fig. 1 into account. Following the methodology proposed by [8, Sec. 2.2.11] for a single buck converter, the equivalent circuit depicted by Fig. 2 is derived from Fig. 1. For the \( k \)-th converter, \( R_{S,k} \) is the MOSFET on-resistance, \( R_{F,k} \) is the diode forward resistance, \( V_{F,k} \) is the diode threshold voltage and \( R_{L,k} \) is the equivalent series resistance (ESR) of the inductor \( L_k \). Resistance \( R_C \) refers to the ESR of the filter capacitor \( C \).

![Fig. 1. Electrical schematic.](image1)

![Fig. 2. Equivalent circuit of Fig. 1 with parasitic resistances and the diode offset voltage.](image2)
Throughout this paper, it is assumed that (i) frequency of the commutation \( f_s \) is sufficiently large for the dynamics to be approximated by an average (ripple-free) continuous-time model and (ii) converters operate in continuous conduction mode. In addition to that, the following hypothesis is made.

**Assumption 1.** For each converter, magnitudes of the MOSFET on-resistance and the diode forward resistance are equal, i.e. \( R_{F,k} = R_{S,k}, (k \in \mathcal{K}) \).

By virtue of Kirchhoff’s circuit laws, under previous assumptions, dynamics of the circuit is governed by

\[
\forall k \in \mathcal{K}, \quad L_k \frac{di_k}{dt} = -v - (R_{L,k} + R_{F,k})i_k + (V_{in,k} + V_{F,k})d_k - V_{F,k},
\]

\[
C(R + R_C) \frac{dv}{dt} - (R_{L,k} + R_{F,k})i_k = Ri_T - v,
\]

where

\[
i_T := \sum_{k \in \mathcal{K}} i_k = \mathbf{1}_m^T i,
\]

refers to the total current. From [8, Eq. (2.134)] and [9], average power losses \( p_k \) is given by

\[
p_k = (R_{L,k} + R_{F,k})i_k^2 - V_{F,k}i_k d_k + (V_{F,k} + t_{SW,k} f_s V_{in,k})i_k,
\]

where inductor losses are described by \( R_{L,k} i_k^2 \), conduction losses by \( R_{F,k} i_k^2 + V_{F,k}(1 - d_k)i_k \) and commutation losses are approximated by \( t_{SW,k} f_s V_{in,k}i_k \), \( t_{SW,k} \) being related to switching shape and duration.

**Remark** (Hypothesis on \( R_{S,k} \) and \( R_{F,k} \)). Without Assumption 1, additional terms multiplied by \((R_{S,k} - R_{F,k})\) have to be introduced in both (3a) and (4). Nevertheless, such a hypothesis is fully justified by the fact that magnitude of \( R_{S,k} \) and \( R_{F,k} \) are of the same order and smaller than \( R_{L,k} \), allowing those terms to be neglected. Experimental results provided by Section VIII-A validate this statement. Note that fine stability analysis could be performed by means of standard robust analysis tools treating those terms as a small state-dependent perturbation (see e.g. [10]).

### IV. Optimal Current Distribution

Equating derivatives of \( v \) and \( i_k \) to zero in (3) gives

\[
\forall k \in \mathcal{K}, \quad i_k = \frac{(V_{in,k} + V_{F,k})d_k + V_{F,k} + v}{-R_{L,k} - R_{F,k}}, (5)
\]

\[
v = Ri_T.
\]

where

\[
i_T := \sum_{k \in \mathcal{K}} i_k = \mathbf{1}_m^T i,
\]

which characterizes the set of all possible equilibria, among which \( (i_{opt}, V_{ref}) \) is of particular interest as it minimizes \( p_T \) for \( v = V_{ref} \), i.e.

\[
i_{opt}(R) := \arg \min_{i^*} p_T(i^*) \quad \text{s.t.} \quad (1).
\]

In order to derive expression of \( i_{opt} \), let us rewrite \( d_k \) in terms of \( i_k \) and \( v \) via (5) and substitute this expression in (4) for \( v = V_{ref} \). This gives

\[
p_k = r_{1,k} i_k^2 + r_{2,k}i_k,
\]

with

\[
r_{1,k} = \frac{V_{in,k}(R_{F,k} + R_{L,k})}{(V_{in,k} + V_{F,k})},
\]

\[
r_{2,k} = -\frac{V_{F,k}V_{ref}}{(V_{in,k} + V_{F,k})} + \frac{V_{F,k} + f_s t_{SW,k} V_{in,k}}{V_{F,k}}.
\]

As a result, \( i_{opt} \) minimizes quadratic cost function (8) under linear constraint \( R_{m}^T i_{opt} = V_{ref} \) corresponding to (6) and, in turn, admits an analytical expression.

**Proposition IV.1.** Current vector \( i_{opt} \), defined by (7) reads

\[
i_{opt}(R) = (1_m - \Gamma \Psi r_1) V_{ref} / (mR) - \Gamma \Psi r_2 / 2,
\]

where \( \Psi \in \mathbb{R}^{(m-1)\times m} \) and \( \Gamma \in \mathbb{R}^{m\times(m-1)} \) refer to

\[
\Psi := (\Gamma^T \text{diag} \{ r_1 \}) (\Gamma^T)^{-1} \Gamma^T,
\]

\[
\Gamma := \begin{bmatrix} I_{m-1} & 0_{1\times m-1} \\ 0_{1\times m-1} & 1_m \end{bmatrix}.
\]

**Proof.** The set of solutions of (1) can be parametrized by \( q \in \mathbb{R}^{m-1} \) as follows

\[
i(q) = V_{ref} / (mR) I_m + \Gamma q,
\]

since columns of \( \Gamma \) form a basis of \( \text{Ker} \{ I_m \} \). Rewriting \( p_T(i) \) as

\[
p_T = i^T \text{diag} \{ r_1 \} i + r_2^T i,
\]

allows to compute the derivative \( \partial p_T(i(q)) / \partial q \) which is equal to zero if and only if

\[
q = -((\Gamma^T \text{diag} \{ r_1 \}) (\Gamma^T)^{-1} \Gamma^T V_{ref} / (mR)) r_1 + r_2 / 2,
\]

since \( \Gamma \) is full column rank. Substituting the previous expression of \( q \) in (12) gives (9).

Let us now illustrate this result for the experimental setup conditions described in Section VIII for two converters \((m = 2)\). Fig. 3 depicts power loss levels \( p_T(i_1, i_2) \) (2) with identified parameters \( r_{1,2} \) (colored elliptical sections), as well as the algebraic relation (6) for \( v = V_{ref} \) (black segment), reading \( i_1 + i_2 = V_{ref}/R \). The dashed blue line represents
uniform current distribution \( i_1 = i_2 \). The location of optimal losses in the converters as function of the load is represented by the dashed red line, issued from (9). Note that this line is straight as \( i_{opt} \) is affine with respect to \( 1/R \).

As these two lines do not overlap, uniform current distribution cannot be optimal for all \( R \). Nonetheless, this is the case for the specific load value for which the lines intersect. For lower output power this is the case for the specific load value for which the lines intersect. For higher output power. Such an ob-

\[ r_1,1 < r_{1,2} \text{ and } r_{2,1} > r_{2,2} \] hold in this case is the reason behind this optimal current inversion: At low load current, where \( r_{2,k}i_k \) dominates \( r_{1,k}i_k^2 \) in expression of \( p_k \) given by (8), the use of converter 2 is preferable whereas priority should be given to converter 1 at high load current, where ordering of the two terms of \( p_k \) is reversed. Section VIII relates this observation to the electrical characteristics of the converters.

**Remark (Load dependent current ratio).** Let us illustrate load dependency of converter ratings in the case of two converters \((m = 2)\). In such a case, (9) reads

\[
i_{opt} = \begin{bmatrix} r_{1,2} \\ r_{1,1} + r_{1,2} \\ 2(r_{1,1} + r_{1,2}) \\ 2(r_{1,1} + r_{1,2}) \end{bmatrix} \begin{bmatrix} \frac{V_{ref}}{R} \\ 2r_1 - r_2 \\ 2r_2 - r_1 \\ 2(r_2 - r_1) \end{bmatrix},
\]

so that ratio \( i_{opt,1}/i_{opt,2} \) is a function of \( R \):

\[
i_{opt,1} = \frac{2V_{ref}r_{1,1} - R(r_{1,1} - r_{2,2})}{2V_{ref}r_{1,1} - R(r_{2,2} - r_{1,1})}.
\]

Note that independency with respect to \( R \) is recovered whenever \( \Gamma\Psi_{r_2}/2 = 0 \), so that \( i_{opt} \) admits a linear expression with respect to \( 1/R \). This happens if terms \( r_{2,k} \) are identical for all \( k \) which implies that \( r_2 = 1_m r_{2,1} \) and, in turn, leads to \( \Psi_{r_2} = 0 \) since \( \Gamma \Psi 1_m = 0 \).

**V. NEW HIERARCHICAL CONTROL FRAMEWORK**

In order to solve the aforementioned problem, a control framework relying on two nested control loops is proposed in this section.

On the first hand, local *inner controllers* ensure stability of the overall system as well as droop control\(^1\) for all resistive load by relying only on local information: \( v \) and \( i_k \). On the other hand, centralized *outer controller* communicates with every inner controllers by delivering a signal \( w_k \) in order to drive the overall system to the optimal steady-state by relying on measurement of \( v \) exclusively. Typically, \( w_k \) adjusts voltage reference viewed by individual \( k \)-th inner controller to \( V_{ref} + w_k \) instead of \( V_{ref} \).

**Step 1 (Inner loop design).** Find a load-independent controller \( d(i_k, v, w_k) \) such that induced dynamics of \( i \) and \( v \) asymptotically converge to some equilibrium \( i^*_v(w, R) \) and \( v^*_v(w, R) \) for all constant signal \( w \in \mathbb{R}^m \).

\(^1\)In this context, droop control refers to a proportional negative feedback on current (see [6, eq. (17)]).
and for all constant load $R \in \mathbb{R}_{\geq 0}$. Besides, voltage equilibrium $v_e$ depends on every $w_k$.

The last sentence of Step 1 can be interpreted as a controllability requirement for the design of the outer controller. Indeed, this control layer will be able to impose a particular current distribution only if $w_k$ impacts $i_k$ for all $k$. This is indeed the case if voltage equilibrium $v_e = R_{it}$ is a function of every $w_k$. This means that signals $w_k$ cannot be rejected by inner controller as a perturbation. Consequently, any integral action on voltage $v$ aiming at regulating asymptotic value of $v$ to $V_{ref}$ (regardless of $w_k$) is prohibited at the inner control layer.

**Remark (Inner control design).** The distributed control problem underlying the design of linear inner control can be formulated as follows: Find the stabilizing state feedback $d = d_0 + K(s)x$ where $x = (i, v)$ and $K(s)$ is a (possibly dynamic) sparse matrix of the form

$$K(s) = \begin{bmatrix} \ast & 0 & \cdots & 0 \\ 0 & \ast & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \cdots & 0 & \ast \end{bmatrix} \in \mathbb{R}^{m \times (m+1)},$$

where $\ast$ denotes non-zero entry.

**Step 2** (Outer loop design). Given an inner controller solving Step 1, find an outer control law $w(v)$ imposing the steady-state characterized by (1) and $i^* = i_{opt}(R)$ for all load $R \in \mathbb{R}_{>0}$.

It is emphasis that load is not measured so that neither inner nor outer controller can depend on $R$. In addition to that, particular care should be taken to prevent nested loops to badly interacts.

**Remark (Communications between control layer).** It is worth mentioning that communication from outer to inner controllers is unidirectional $i_k$ is not required for $w_k$ to be computed $-$ and low bandwidth $-$ dynamics induced by this outer control is slower than that of the inner control $-$ which has obvious implementation advantages in the case where converters are distant. In the same vein, observe that if $w_k$ is used by the inner controllers, this signal is not required to guarantee stability which is ensured even if $w_k \equiv 0$, resulting for instance when communication network brakes down.

VI. INNER CONTROLLER DESIGN

In this section, a class of satisfying local inner controller is first proposed. It can be regarded as sufficient conditions for Step 1 to be satisfied. This control law reads as follows

$$d_k = (\alpha_k(V_{ref} + w_k) - (\alpha_k - 1)v - \beta_k i_k)/V_{in,k},$$

and has two real parameters, $\alpha_k$ and $\beta_k$. Being added to $V_{ref}$, observe that the output $w_k$ of the outer controller can be interpreted as a voltage reference shift from the inner control view point.

Introducing $\alpha'_k := (\alpha_k - 1)/\kappa_k + 1$, $\kappa_k := V_{in,k}/(V_{in,k} + V_{F,k})$ and $v_C := v - R_C(i^* - v)/R$, which can be physically interpreted as the voltage of capacitor $C$, asymptotic stability of $x_e := [i \ v_C]^{T}$ and, in turn, $v$ can be established via Lyapunov function $V(x_e) := x_e^{T}P x_e$, with

$$P := \text{diag}\{l_1/\alpha'_1, \ldots, l_m/\alpha'_m, C\}/2,$$

for any $R > 0$, and $\alpha_k$ and $\beta_k$ sufficiently large. In such a case, it happens that voltage equilibrium $v_e$ must depend on each $w_k$.

**Proposition VI.1 (Sufficient condition).** For any $\alpha_k > V_{F,k}/(V_{in,k} + V_{F,k})$ and $\beta_k > -(R_{L,k} + R_{F,k})V_{in,k}/(V_{in,k} + V_{F,k})$, $k \in K$, inner controller (15) solves Step 1.

**Proof.** First observe that law (15) modifies (3a) as follows

$$L_k \frac{di_k}{dt} = -\alpha'_k(v - V_{ref} - w_k) - \beta'_k i_k$$

$$+ (1/\kappa_k - 1)(V_{ref} + w_k) - V_{F,k},$$

(16)

where $\beta'_k := \beta_k/\kappa_k + R_{L,k} + R_{F,k}$. Then, from the definition of $v_C$, it comes out that

$$v = R_{eq}/R_C v_C + R_{eq} i^*$$

(17)

with $R_{eq} := RR_C/(R + R_C)$. Substitution of this expression into (3b) and (16) gives

$$L_k \frac{dv_C}{dt} = -\frac{\alpha'_k R_{eq}}{R_C} v_C + \alpha'_k(V_{ref} + w_k) - \beta'_k i_k$$

$$- \frac{\alpha'_k R_{eq} i^* + (1/\kappa_k - 1)(V_{ref} + w_k) - V_{F,k}}{R + R_C} v_C,$$

or, equivalently,

$$\frac{d}{dt} \begin{bmatrix} i \\ v_C \end{bmatrix} = A_C \begin{bmatrix} i \\ v_C \end{bmatrix} + B_C(V_{ref}1_m + w)$$

$$- \begin{bmatrix} \text{diag}\{L\}^{-1} V_F \\ 0 \end{bmatrix}$$

(18)

with

$$A_C := \begin{bmatrix} L & C \end{bmatrix}^{-1} \begin{bmatrix} M \\ R_{eq}/R_C 1_m \end{bmatrix} - \begin{bmatrix} R_{eq}/R_C \alpha' \end{bmatrix}$$

or,

$$A_C := \begin{bmatrix} L & C \end{bmatrix}^{-1} \begin{bmatrix} M \\ R_{eq}/R_C 1_m \end{bmatrix} - \begin{bmatrix} R_{eq}/R_C \alpha' \end{bmatrix}$$

with
\[ B_C := \begin{bmatrix} \text{diag} \{ L \}^{-1} \text{diag} \{ \nu \} \text{diag} \{ \beta' \} \\ 0_{1 \times m} \end{bmatrix} \]

\[ M := -\text{diag} \{ \beta' \} - R_{eq}\text{diag} \{ \alpha' \} 1_m 1_m^\top \]

and where \( \nu_k \) is given by

\[ \nu_k := (\alpha'_k + 1/\kappa_k - 1)/\beta'_k \in \mathbb{R}. \]  

To prove that \( A_C \) is Hurwitz, first observe that

\[ \alpha'_k > 0, \ \beta'_k > 0, \ (k \in \mathcal{K}) \]

hold for any \( \alpha \) and \( \beta \) selected as in the statement of Proposition VI.1. As a result, Lyapunov function \( V(x_c) \) is positive definite which ensures that \( A_C^r P + P A_C \) given by

\[ \begin{bmatrix} \text{diag} \{ \alpha' \}^{-1} M \\ 0_{1 \times m} \end{bmatrix} \begin{bmatrix} 0_{m \times 1} \\ -1/R + R_{eq} \end{bmatrix} \]

is negative definite since

\[ \text{diag} \{ \alpha' \}^{-1} M = -\text{diag} \{ \beta'_1, \ldots, \beta'_m \} - R_{eq} 1_m 1_m^\top \]

is negative definite for all \( R > 0 \). This proves that dynamics of \( i \) and \( v_C \) are asymptotically stable. As \( R > 0 \), asymptotically stability of \( v \) is also guaranteed.

Let us now prove that voltage equilibrium \( v_e \) depends on each \( w_k \). To this end, observe that

\[ v_e = R_{eq} \begin{bmatrix} 1_m & 1/R_C \end{bmatrix} \begin{bmatrix} i \\ v_c \end{bmatrix} = -R_{eq} \begin{bmatrix} 1_m & 1/R_C \end{bmatrix} \begin{bmatrix} \text{diag} \{ L \}^{-1} V_F \end{bmatrix} \]

can be derived from (17) and (18) (recall that \( A_C \) is Hurwitz and, hence, invertible). Assume, by contradiction, that there exists \( k_0 \in \mathcal{K} \) such that \( v_e \) does not depend on \( w_{k_0} \). As \( R_{eq} \neq 0 \) for all \( R > 0 \), this implies that

\[ \begin{bmatrix} 1_m & 1/R_C \end{bmatrix} A_C^{-1} B_C e_{k_0} = 0 \]

where \( e_k \) is the \( k \)-th unit vector. This induces that there exists a non-zero vector \( \eta \in \mathbb{R}^m \) satisfying

\[ A_C^{-1} B_C e_{k_0} = \begin{bmatrix} \Gamma & 1_m \\ 0 & -m R_C \end{bmatrix} \eta \]  

so that

\[ \begin{bmatrix} -\nu_{k_0} e_{k_0} \\ 0 \end{bmatrix} = \begin{bmatrix} \Gamma & 1_m \\ 0 & -m \end{bmatrix} \eta \]

holds from (20) and the definition of \( B_C \). Remarking that

\[ \begin{bmatrix} \Gamma & 1_m \end{bmatrix}^{-1} = \begin{bmatrix} (\Gamma^{\top} \Gamma)^{-1} \Gamma^{\top} \\ 1/m 1_m^\top \end{bmatrix}, \]

the first \( m \) lines of (21) implies

\[ -\nu_{k_0} \begin{bmatrix} (\Gamma^{\top} \Gamma)^{-1} \Gamma^{\top} \\ 1/m 1_m^\top \end{bmatrix} e_{k_0} = \eta \]

so that \( \eta_m = -\nu_{k_0}/m 1_m^\top e_{k_0} = -\nu_{k_0}/m \), whereas the last \( m + 1 \) line of (21) requires that \( \eta_m = 0 \). This is a contradiction since \( G = \text{diag} \{ \nu \} \) is an invertible matrix by virtue of Lemma VI.2. This proves that \( v_e \) depends on each \( w_k \). \( \square \)

Remark (Comparison with [7]). In contrast with inner control law proposed in [7], controller (15) does not depend on system parameters (but \( V_{m,k} \), which is assumed to be measurable), which has obvious robustness advantages. Also, closed-loop stability conditions stated by Proposition VI.1 are easily met since \( V_{F,k} \), \( R_{L,k} \) and \( R_{F,k} \) are typically of small magnitudes so that lower bounds of \( \alpha_k \) and \( \beta_k \) are slightly positive and negative, respectively.

\[ \text{Fig. 5.} \text{ Equivalent circuit to dynamics of the closed-loop system with primary control.} \]

Remark (Selection of \( \alpha_k \) and \( \beta_k \)). Relationship (16) allows to interpret \( \alpha'_k \) and \( \beta'_k \) as virtual electrical stiffness and damping associated to the \( k \)-th branch, respectively. This gives a way to adjust \( \alpha_k \) and \( \beta_k \) within the range defined by Proposition VI.1. To this end, another approach relies on Kirchhoff's circuit...
laws which give a way to physically interpret closed-loop equations (3b), (3c) and (16) as the dynamics induced by virtual electrical circuit depicted by Fig. 5 by rewriting (16) as

$$\frac{L_k \dot{i}_k}{\alpha_k} + \frac{\beta_k}{\alpha_k} i_k = V_{\text{ref},k}(\alpha_k) + u'_k(\alpha_k) - v,$$

where $V_{\text{ref},k}(\alpha_k) := V_{\text{ref}} - (V_{F,k}/\alpha_k)(V_{\text{in},k} - V_{\text{ref}})/V_{\text{in},k}$ and $u'_k(\alpha_k) := w_k(V_{F,k}/(\alpha_k V_{\text{in},k}) + 1)$. Comparing to Fig. 2, for every converter $k$, the pair MOSFET and diode is replaced by constant voltage source $V'_{\text{ref},k}$ in series with a controllable voltage source $w'_k$.

Note that $V'_{\text{ref},k}(\alpha_k) \approx V_{\text{ref}}$ and $u'_k(\alpha_k) \approx w_k$ when $V_{F,k}/\alpha_k$ is of small magnitude. Also, the inductor value becomes $L_k/\alpha_k'$ with an ESR equal to $\beta_k'/\alpha_k'$. This observation can serve as guidelines for the selection of parameters $\alpha_k$ and $\beta_k$. Transient duration associated with each converter can be assigned via $\beta_k'$ (and, in turn, $\beta_k$) since time constant associated with $k$-th inductor corresponds to $(L_k/\alpha_k')(\beta_k'/\alpha_k') = L_k/\beta_k'$. Additionally, $\alpha_k$ can be used to control (via $\alpha_k'$) the steady-state where outer controller is disconnected since $i'_{k,w} = 0 = \alpha_k'(V_{\text{ref},k}(\alpha_k') - v^*)/\beta_k'$.}

**Remark (Comparison with [6])** The inner controller proposed by [6, Fig. 23] adopts a two-stage form where a current inner loop delivers the duty cycle via

$$d_k = \tau_{i,k}(s)(i_{\text{ref},k} - i_k),$$

from the output $i_{\text{ref},k}$ of a voltage outer loop reading

$$i_{\text{ref},k} = \tau_{\text{ref},k}(s)(V_{\text{ref}} + w_k - R_{D,k} i_k) + \tau_{v,k}(s)v,$$

so that the overall control law can be written as

$$d_k = \tau_{i,k}(s) \tau_{\text{ref},k}(s)(V_{\text{ref}} + w_k) + \tau_{v,k}(s)v - \tau_{i,k}(s)(1 + \tau_{\text{ref},k}(s)R_{D,k}) i_k,$$

which parameters are transfer functions $\tau_{i,k}$, $\tau_{\text{ref},k}$ and $\tau_{v,k}$. By selecting those transfer functions as static gains equals to $\beta/V_{\text{in},k}$, $\alpha_k/\beta_k$, and $(1 - \alpha_k)/\beta_k$, respectively, and setting $R_{D,k} = 0$, this formulation boils down to our control law (15). Consequently, as compared to [6], this paper provides formal closed-loop stability certificate for a particular version of control law considered in [6].

Let us now anticipate the outer loop design which aims driving inner closed-loop equilibrium to the optimal steady-state. Indeed, for this problem to be solved, some knowledge about how inner closed-loop behaves is required. Focusing on the steady-state, next lemma gives an answer to this question, which can be interpreted as a necessary condition for Step 1 to be solved.

**Assumption 2.** Inner controller is linear, time-invariant, proper and continuous. \(\triangle\)

**Lemma VI.2** (Necessary condition). For any inner controller satisfying Assumption 2 and solving Step 1, there exist load-independent $N \in \mathbb{R}^m$, $i_0 \in \mathbb{R}^m$ and $G \in \mathbb{R}^{m \times m}$ such that the stable equilibrium point $(i, v)$ satisfies

$$i = Gw - Nv + i_0.$$  

Furthermore, $N$ satisfies the following inequality

$$1^T_m N \geq 0,$$  

and $G$ is an invertible diagonal matrix.

**Proof.** Any inner control law completing Step 1 can be parametrized by load-independent proper transfer functions $d_{i,k}(s)$, $d_{v,k}(s)$, $d_{w,k}(s)$ and constant scalar $d_{0,k}$ as follows

$$d_k(s) = d_{i,k}(s)i_k(s) + d_{v,k}(s)v(s) + d_{w,k}(s)w_k(s)/s + d_{0,k}/s,$$

as $w$ is supposed to be constant. Substituting $d_k(s)$ by this expression in Laplace transform of (3a) gives

$$h_k(s)i_k(s) = ((V_{\text{in},k} + V_{F,k})d_{v,k}(s) - 1)v(s) - V_{F,k}/s + (V_{\text{in},k} + V_{F,k})d_{0,k}/s + (V_{\text{in},k} + V_{F,k})d_{w,k}(s)w_k(s)/s,$$

where $h_k(s) := R_{i,k} + R_{F,k} + L_{ik} - (V_{\text{in},k} + V_{F,k})d_{i,k}(s)$. Since $h_k(s)$ is not identically zero for all proper function $d_{i,k}(s)$, this leads to a relationship of the form

$$i(s) = G(s)w/s - N(s)v(s) + i_0(s)$$

where diagonal terms of $G$ are $(V_{\text{in},k} + V_{F,k})d_{w,k}(s)/h_k(s)$ and off-diagonal entries are zero.

Let us now prove that $G(s)$, $N(s)$ and $s i_0(s)$ converge to load-independent constant matrices $G^*$, $N^*$ and $i_0^*$ when $s \to 0^+$, so that asymptotic expression of (24), i.e.,

$$\lim_{t \to +\infty} i(t) = \lim_{s \to 0^+} s(G(s)w/s - N(s)v(s) + i_0(s))$$

$$= G^*w + i_0^* - N^* \lim_{s \to 0^+} sv(s)$$

$$= G^*w + i_0^* - N^* \lim_{t \to +\infty} v(t)$$  

(25)
is nothing but (22). To this end, let \( f_{w \to v}(s) \) and 
\( f_{w \to i}(s) \) be the matrix transfer functions from \( w \) to 
\( i \) and \( v \), respectively. Observe that
\[
\begin{align*}
  f_{w \to v}(s) &= p(s)1^T_m G(s) \quad (26) \\
  f_{w \to i}(s) &= (1_m - p(s)N(s))1^T_m G(s) \quad (27)
\end{align*}
\]
where
\[
p(s) := \frac{R + CRR_C s}{1 + C(R + R_C)s + 1_m N(s)(R + CRR_C s)}
\]
Indeed, (26) is derived by left multiplying (24) by \( 1^T_m \) and 
substituting the resulting expression of \( 1^T_m i = i_T \) into 
Laplace transform of (3b), which reads
\[
(1 + C(R + R_C)s)v = (R + CRR_C s)1^T_m i,
\]
so that
\[
v = p(s)1^T_m (G(s)w + i_0/s)
\]
holds. Then, the use of (24) and (29) gives (27) readily. Let us define \( f^*_w \), \( f^*_w \), \( p^* \) and \( h^*_k \) as 
the limits when \( s \to 0^+ \) of \( f_{w \to v}(s) \), \( f_{w \to i}(s) \), \( p(s) \) and 
\( h_k(s) \), respectively. We now use the facts that, for all 
\( R > 0 \), (i) \( f^*_w \) and \( f^*_w \) exist and have finite values 
since asymptotic stability is assumed and (ii) every 
entries of \( f^*_w \) is non-zero as location of the voltage 
equilibrium must depend on every \( w_k \). By (26), fact 
(ii) implies that \( p^* \neq 0 \) so that \( 1^T_m G^* \) must be finite, 
by virtue of fact (i). Since \( G(s) \) is diagonal, fact (ii) implies 
that \( G^* \) is finite. To prove that \( N^* \) is finite, 
it suffices to remark that \( f^*_w = G^* - N^* f^*_w \) and 
to invoke fact (i). Finally, the fact that \( i_0^* \) is finite 
follows from asymptotic stability and (25).

It remains to prove that (23) holds. Suppose, by 
contradiction, that (23) is not satisfied, i.e. \( 1^T_m N^* < 0 \). 
Observe that \( p^* \) reads
\[
p^* = \frac{R}{1 + R1^T_m N^*}
\]
so that the load \( R = -1/1^T_m N^* > 0 \) leads to infinite 
value of \( p^* \) which contradicts previous statement. 
This proves that (23) holds.

\[\square\]

Remark (Lemma VI.2 for (15)). As inner control 
law (15) verifies Assumption 2 and solves Step 1, 
Lemma VI.2 ensures that equilibrium induced by 
inner closed-loop (3) with (15) adopts the form (22). 
Indeed, by setting derivative of \( i_k \) to zero in (16), 
resulting equation takes the form (22) with \( G = \) 
\( \text{diag} \{ \nu \} \), \( N = \text{diag} \{ \beta^T \}^{-1} \alpha' \) and \( i_0 = vV_{\text{ref}} - V_F \), 
every terms being load-independent. Also observe 
that inequality (23) trivially holds.

VII. OUTER CONTROLLER DESIGN

In this section, the outer controller \( w(v) \) design 
which slowly drives the system toward \( i_{\text{opt}}(V_{\text{ref}}) \) is 
discussed.

First observe that, together with (6), relationship 
(22) allows to write steady-state current and voltage 
as follows
\[
\begin{align*}
  i &= (1_m - \frac{N1^T_m}{1/R + 1^T_m N})(Gw + i_0), \quad (31a) \\
  v &= \frac{1^T_m Gw + 1^T_m i_0}{1/R + 1^T_m N}.
\end{align*}
\]
The goal is to ensure that \( (i, v) \) equals \( (i_{\text{opt}}(R), V_{\text{ref}}) \) 
for all load. To this end, we might look for inner 
controller leading to \( G, N \) and \( i_0 \) in (22) for 
which there exists a constant vector \( w \), to be 
determined, which guaranties that this optimal steady-state is 
always achieved. Finding solution of this problem (if 
any) might be intricate. Furthermore, some of the 
matrices \( G, N \) and \( i_0 \) will have to be load depend. 
Instead, we propose to let signal \( w \) be generated 
onlineouter via outer control law described by
\[
\begin{align*}
  \dot{z} &= \epsilon (V_{\text{ref}} - v), \quad (32a) \\
  w &= Fz + H.
\end{align*}
\]
and graphically represented Fig. 6.

By selecting \( \epsilon > 0 \) sufficiently small, dynamics 
of \( w \) can be made as slow as desired, which is 
equivalent to saying that \( \epsilon \) is a tuning parameter 
for selecting relative aggressiveness of the inner loop 
with the outer loop. Thus, from the time-scale of \( w \), 
closed-loop dynamics induced by inner controllers 
can be considered at the steady-state in accordance 
to the frequency separation principle, so that (22) 
and (31b) apply.\(^3\) As shown by the following theorem, 
by assigning particular expression of \( F \) and \( H \), it is 
possible not only to impose that \( v \) goes to \( V_{\text{ref}} \) but 
also to make \( i \) converge to \( i_{\text{opt}} \) for all \( R \).

Theorem VII.1. Given any inner controller verifying 
Assumption 2 and solving Step 1. Let \( G, N \) and 
\( i_0 \) be generated onlineouter via control law described by
\[
\begin{align*}
  \dot{z} &= \epsilon (V_{\text{ref}} - v), \quad (32a) \\
  w &= Fz + H.
\end{align*}
\]
\(^3\)See e.g. [10] for standard results on systems with two time 

scales.
\( i_0 \) be defined as in Lemma VI.2. If \( F \) and \( H \) are given by
\[
F = G^{-1}(1_m - \Gamma \Psi r_1), \quad (33a) \\
H = G^{-1}\Gamma(\Psi r_1 1_m^\top/m + (\Gamma^\top)^{-1}\Gamma^\top) (V_{ref} N - i_0) - G^{-1}\Gamma \Psi r_2/2, \quad (33b)
\]
then there exists \( e_0 > 0 \) such that for all \( 0 < \epsilon \leq e_0 \) outer controller (32) solves Step 2.

Proof. Using (33) with (32b) gives
\[
Gw = 1_m z + \Gamma J(z), \quad (34)
\]
where
\[
J(z) := -\Psi (r_1(z - 1_m^\top(V_{ref} N - i_0)/m) + r_2/2) + (\Gamma^\top)^{-1}\Gamma^\top (V_{ref} N - i_0). \quad (35)
\]

For \( \epsilon \) sufficiently small, inner controller can be considered at the steady-state, so that (22) and (31b) apply. Hence, substituting \( v \) given by (31b) into (32a) and making use of (34) leads to
\[
\dot{z} = \epsilon(V_{ref} - m z + 1_m^\top i_0) / (R + 1_m^\top N), \quad (36)
\]
as \( 1_m^\top \Gamma = 0 \). By virtue of Lemma VI.2, inequality \( em/(1/R + 1_m^\top N) > 0 \) holds for all \( R > 0 \) so that dynamics of \( z \) is stable. As a result, \( z^* = 0 \) which implies \( v^* = V_{ref} \) by (32a) and
\[
z^* = V_{ref}/(mR) + 1_m^\top (V_{ref} N - i_0)/m, \quad (37)
\]
by (36). Using asymptotic value of \( v \) together with (34) allows to rewrite (22) as follows
\[
i^* = 1_m z^* + \Gamma J(z^*) - NV_{ref} + i_0.
\]
Multiplying both side of this equality by the invertible matrix \( [1_m, \Gamma]^\top \) gives
\[
\begin{bmatrix} 1_m^\top \\ \Gamma^\top \end{bmatrix} i^* = \begin{bmatrix} m z^* - 1_m^\top (V_{ref} N - i_0) \\ \Gamma^\top J(z^*) - \Gamma^\top (V_{ref} N - i_0) \end{bmatrix}.
\]
as \( 1_m^\top \Gamma = 0 \). From (37) and (35), this expression reduces to
\[
\begin{bmatrix} 1_m^\top \\ \Gamma^\top \end{bmatrix} i^* = \begin{bmatrix} V_{ref}/R \\ - \Gamma^\top \Psi (r_1 \frac{V_{ref}}{mR} + \frac{r_2}{2}) \end{bmatrix} = \begin{bmatrix} 1_m^\top \\ \Gamma^\top \end{bmatrix} i_{\text{opt}},
\]
by recognizing the expression of \( \Gamma^\top i_{\text{opt}} \) and \( 1_m^\top i_{\text{opt}}, \) deduced from (9). As a result, \( i^* \) equals \( i_{\text{opt}} \).

Observe that \( G, N \) and \( i_0 \) are load-independent for any inner controller verifying Assumption 2 and solving Step 1. In such a case, it is emphasized that, in contrast with \( i_{\text{opt}}(R) \), matrices \( F \) and \( H \) does not depend on the unknown load \( R \). In fact, the role played by the integrator (32a) is twofold: First, it regulates voltage \( v \) and, second, it estimates the value of \( R \) which can be deduced from \( z^* \) via (37). The knowledge of \( R \) is then used to assign optimal current sharing \( i_{\text{opt}}(R) \) by inverting (31a).

Remark (Balanced current sharing). Balanced current sharing refers to the case where each \( i_k^* \) has the same value. Such a situation can be imposed by simply selecting \( F \) and \( H \) as follows
\[
F = G^{-1}1_m, \quad (38a) \\
H = G^{-1}\Gamma(\Gamma^\top)^{-1}\Gamma^\top (V_{ref} N - i_0), \quad (38b)
\]
while sticking to the same control scheme (32). This fact can be proven by means of similar developments to the proof of Th. VII.1: Matrix \( J \), previously defined by (35), becomes \( J = (\Gamma^\top)^{-1}\Gamma^\top (V_{ref} N - i_0) \) so that \( [1_m, \Gamma]^\top i^* = [V_{ref}/R, 0]^\top \) which is equivalent to \( i^* = 1_m V_{ref} / (mR) \) where each component shares the same value \( V_{ref}/(mR) \). Note that such a steady-state coincides with the optimal one only when powerloss functions \( p_k(k) \) are identical for every converter.

Section VIII-B illustrates this point. \( \Box \)

VIII. EXPERIMENTAL IMPLEMENTATION

A. Experimental setup

The experimental setup, represented on Fig. 7, is composed of two buck converters (\( m = 2 \)), which are heterogeneous in the sense that inductors as well as transistors are different (\( L_1 = 1.3 \)mH and \( L_2 = 0.6 \)mH, transistors 1 and 2 references are STP31510F7 and STP30NF10, respectively). It happens that electrical components of converter 2 have lower quality but its diode threshold voltage is lower.

As a result, inequalities \( r_{1,1} < r_{1,2} \) and \( r_{2,1} > r_{2,2} \) hold and, in turn, induce inversion between converter having priority, depending on the load (see Section IV). This kind of configuration can appear when a fast synchronous buck converter with low power rating is used for converter 2 and a slower classical buck converter with higher power rating is used for converter 1.

Considering an input voltage \( V_{in,1} = V_{in,2} = 24V \), (i) regulating voltage stability at the reference \( V_{ref} = 12V \) and (ii) imposing optimal current \( i_{\text{opt}} \) through the two converters are control objectives to be achieved for any \( R > 0 \). The controller hardware is a dSpace MicroLabBox with switching frequency at 20kHz and sampling frequency at 10kHz.
TABLE I

<table>
<thead>
<tr>
<th>Solution</th>
<th>balanced (38)</th>
<th>optimal (33)</th>
</tr>
</thead>
<tbody>
<tr>
<td>R1 = 12Ω</td>
<td>(1)</td>
<td>(2)</td>
</tr>
<tr>
<td>R2 = 1Ω</td>
<td>(3)</td>
<td>(4)</td>
</tr>
</tbody>
</table>

B. Experimental results

Relying on inner control law (15), flexibility of the proposed control scheme is illustrated by comparing two outer controllers (32) that differ according to parameters F and H: Optimal solution (33) versus balanced solution (38). Along the experiments two different loads are used. Resulting four different cases are described by Table I and black crosses of Fig. 3 locates corresponding positions in the ($i_1$, $i_2$)-plan.

For initial conditions corresponding to steady-state of configuration (1), experiments are performed by switching from configurations (1) to (4), successively. Results are represented by Fig. 8 which depicts currents through inductors (subplot 1), voltage $v$ together with reference voltage $V_{ref}$ (subplot 2) and duty cycles (subplot 3). Fig. 9 represents the currents in the ($i_1$, $i_2$)-plan. It shows that after short transient, for each configuration (1) to (4) the crosses are correctly reached. During time interval (1), the desired voltage $V_{ref}$ and uniform distribution $i_1 = i_2$ is maintained. For the same load, the control in (2) achieves optimal losses by modifying current distribution and giving priority to converter 2. From (2) to (3), the load variation shows that after a short transient, $V_{ref}$ is reached and optimal distribution is achieved. Note that for this configuration $i_2$ is less than $i_1$ whereas the opposite was observed for (2) (see Section IV). This behavior is consistent with Fig. 3 since (3) is located below the line $i_1 = i_2$ in contrast with (2) which is above. For the last time interval (4), the currents are balanced, leading to higher losses as clearly shown by Fig. 3 since power level increases from (3) to (4).

Fig. 7. Experimental setup.

Fig. 8. Time experiments.

Fig. 9. Time experiments in the ($i_1$, $i_2$)-plan.

The efficiency of the optimal distribution compared with the balanced one is shown by measuring the losses in both cases. The losses are measured for different loads translated in terms of total current. Direct measurements of the input source power and
output dissipation power are performed to compute losses which are represented by Fig. 10. The upper subplot represents the measured total losses, for both optimal current \( i_{\text{opt}} \) and balanced current \( i_{\text{bal}} \). The lower subplot represents downgrading with respect to the optimal power losses, defined by the ratio

\[
\rho := \frac{p_T(i_{\text{bal}}) - p_T(i_{\text{opt}})}{p_T(i_{\text{opt}})}. \tag{39}
\]

Those pictures show that total losses are higher for the balanced strategy. The point where ratio \( \rho = 0 \) corresponds to the intersection of lines related to balanced and optimal currents on Fig. 3, for which optimal distribution is uniform.

IX. Perspectives

Among many others, possible extensions of this work are the followings: (i) Consider discontinuous conduction mode, both in the dynamical equations and in the power losses expression, and (ii) gives the ability to the outer controller to select an optimal steady-state taking power limitations of each converters into account.

References