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New Electrophysiologic Mapping Combined with MRI in Parkinsonian's

subthalamic region

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Abstract

The subthalamic nucleus (STN) is the main target for deep brain stimulation in Parkinson's disease. We analyzed the relationships between MRI anatomy and spontaneous neuronal activity to confirm the potential of microelectrode recordings to assist in determining the optimal surgical target.

Ten bilateral surgeries were performed after 1.5-T (T2-weighted) anatomical MRI identification of the STN, zona incerta (ZI), Forel's field H2 and substantia nigra (SN). Spontaneous neuronal activity was recorded simultaneously along the distal 10 mm on a central track (optimally covering the STN) and a 2 mm anterior track. We calculated off line mean firing rate and burst frequency on 248 neurons clustered according to anatomic structure. Subjective visual analysis of signal was also realized on line, during surgery, to classify patterns of activity.

Mean firing rate and burst frequency increased from H2-ZI to SN. Mean firing rate was higher in STN only using paired comparison (STN versus its neighbours). Burst frequency was lower in H2 than in SN; using comparison with neighbours, it was lower in H2 and ZI. An irregular high activity (type 2C) was more often detected in STN and SN than in H2 and ZI.

Anatomical boundaries and unitary recordings appear to be linked, supporting the ability of MRI to provide a detailed anatomy. Electrophysiological mapping combined with MRI is a useful tool for precise targeting in the subthalamic region.

Introduction

Bilateral chronic high-frequency subthalamic nucleus (STN) stimulation, or STN deep brain stimulation (DBS), is widely accepted as a valuable treatment for alleviating motor complications in advanced Parkinson's disease (Pollak et al., 1993; Benabid et al., 1994; Krack et al., 1997; Kumar et al., 1998; Benabid et al., 2000; Lang, 2000; Rodriguez-Oroz et al., 2004; Liang et al., 2006). The STN is most often localized by classical indirect procedures based on probabilistic stereotactic atlases (Talairach et al., 1957; Schaltenbrand & Bailey, 1959) or graphs (Benabid et al., 2002), given a position relative to the anterior and posterior commissure (AC-PC) line, defined by contrast ventriculography, computerized tomography (CT) or magnetic resonance imaging (MRI). The STN can also be directly visualized on T2-weighted MRI sequences. New MRI sequences increasing the visibility of the STN region have made direct patient-by-patient anatomic mapping using 1.5-Tesla images the option of choice (Lemaire et al., 1999; Coubes et al., 2002; Plaha et al., 2006; Derost et al., 2007; Lemaire et al., 2007a; Lemaire et al., 2007b; Hemm et al., 2008). In our institution, the DBS electrodes are guided stereotactically (Lemaire et al., 2007b) by: 1) preoperative primary targeting of the anatomic region where the DBS electrode is to be placed, based on direct precise anatomic MRI mapping of relevant structures (nuclei and bundles) with true 3D planning of trajectories; 2) intraoperative secondary targeting of the optimal physiological position along the preoperatively-defined trajectories, based on microelectrode recordings and micro stimulation assessments. Intra operative secondary targeting classically employs neurophysiologic and clinical monitoring techniques to fine-tune the primary targeting (Hutchison et al., 1998; Limousin et al., 1998) in order to determine the location of the "target" along the planned (intended) trajectories or to indicate where new trajectories have to be followed. Different electrode systems are used to precisely characterize the STN and its boundaries before positioning the DBS electrode, as reviewed by Gross *et al.* The physiological characteristics of the STN, including neuron firing rate and patterns, and the neighbouring structures enables positive identification of the so-called electrophysiological target (Gross *et al.*, 2006). Thus, the classic electrophysiological approach in stereotactic surgery aims to pinpoint an electrophysiological signature (activity) that is, *a priori* pathognomonic for a specific nucleus, and this signature is often taken as representative of the best area to place the electrode contact(s) (Table1).

We aimed to study electrophysiological neuronal activity in a new way, according to different subthalamic structures precisely defined on anatomic MRI. We hypothesised that the combination of new systematic electrophysiological mapping with detailed MRI anatomy should allow to explore finely anatomo-electrophysiologic relationships and also to determine precisely functional surgical targets.

Material and methods

Patients

Ten patients, suffering from severe Parkinson's disease, were included on the condition that the electrophysiological recordings displayed a good signal-to-noise ratio: 60 ± 8 years old (mean \pm SD), 7 males and 3 females, with 10 ± 4 years of disease duration; the pre-operative UPDRS motor score was 35.4 ± 4.0 and 8.2 ± 5.7 , respectively without and with L-dopa. They all fulfilled criteria for a DBS procedure according to the guidelines of the French Health Agency. Clinical, MRI and electrophysiological data were analyzed prospectively included after Institutional Review Board (University Hospital of Clermont-Ferrand, Clermont-Ferrand, France) approval. Post operative controls (6 months) showed a dramatic improvement of motor control (part III of UPDRS) with a mean improvement of 63.6% (± 6.0 ; ranging from 25.6 to 83.9).

Surgical procedure

Stereotactic frame (Leksell G frame, Elekta, Sweden) was placed using the repositioning kit (Leksell repositioning kit, Elekta, Sweden) under local anaesthesia, without stopping antiparkinson drug therapy. Stereotactic MRI (Sonata 1.5 Tesla, Siemens, Germany) was performed with a voxel size of $0.52 \times 0.62 \times 2$ mm3 (FOV = 270 mm; matrix = 512×435 , slice thickness = 2 mm). T2-weighted sequences were run to visualize both the stereotactic markers and the subthalamic anatomy of the nuclei and bundles (Lemaire et al., 2007b). For the purposes of patient comfort, the frame was removed during the planning phase that used stereotactic software (Iplan, BrainLab, Germany). We identified (labelled) and manually outlined the main structures of the subthalamic region (Figure 1A): STN, zona incerta (ZI), substantia nigra (SN), red nucleus (RN), nucleus of the ansa lenticularis (nAL), the fields of Forel (H1 or thalamic

fasciculus, H2 or lenticular fasciculus). Labelling was performed based on surgical anatomic knowledge and in-house 3D 4.7-Tesla MRI anatomy software (Lemaire et al., 2004). The two trajectories (right and left) were planned as follows: 1) double obliquity (ring and arc angles), 2nd frontal gyrus entrance point, extra-ventricular trajectory, avoidance of vessels and caudate nucleus; 2) optimization in order to explore the STN from its superior and lateral border (Figure 1A). We planned an exploration (electrophysiological recordings and stimulation tests) from the ventral thalamus to the medial SN, along the distal 10 mm of the track. The following day, the frame was repositioned under local anaesthesia and in antiparkinson drug free conditions. Intraoperative X-rays controls were conducted throughout the procedure to check that the tracks followed the planning. The whole procedure was realized with extreme caution in order to minimize brain shift secondary to cerebro-spinal fluid leakage (patient in recumbent position plus "closed dura mater" approach with water/airtight guide tubes; Bengun, Immi, France). Two quadripolar electrodes (DBS 3389, Medtronic, USA) were placed during the same procedure. For each DBS electrode (right and left) we optimized the positioning of one contact (contact 1), as we usually use monopolar stimulation. For each side, the implantation was realized after electrophysiological mapping using an intraoperative electrophysiological system for functional neurosurgery (MicroGuide, Alpha Omega Eng., Israel; (Slavin & Burchiel, 2002)). For each hemisphere, the mapping was performed using two exploration electrodes (Alpha Omega Eng., Israel) steered by rigid guide tubes: one on the planned track (named the central track) and a second one on the parallel track located anteriorly at 2 mm (named the anterior track).

The characteristics of our electrophysiological approach were as follows: systematic recordings of the spontaneous neuronal activity every 0.5 mm during 30 seconds along the distal 10-mm course of the exploration electrodes, regardless of signal-to-noise ratio or pattern of activity. This

electrophysiological mapping step lasted 20 minutes per side and data were saved for off-line analysis.

We first recorded extracellular neuronal activity (mean duration per record \cong 30 sec) every 500 µm (with the tip of the electrode: diameter = 25 µm, length = 100 µm) followed by acute stimulation tests (up to 4 mA; after retraction of the recording tip, with the stimulation zone: diameter = 550 µm, length = 1.2 mm, surface \cong 2.1 mm²) every 1 mm. The centre of contact 1 of the DBS electrode was placed on the track where we found the best stimulation parameters. This optimization was based solely on stimulation effects. Mean duration of the whole bilateral implantation procedure was 7 hours. Postoperative radiographic controls were performed to check that the intended trajectories had been realized, by matching preoperative (MRI), intraoperative (X-rays) and postoperative (X-Rays and/or MRI) image sets matching (stereotactic matching and/or mutual information algorithm; Iplan, BrainLab, Germany).

Electrophysiologic data

Electrophysiological data were theoretically available for a total of 840 recordings corresponding to 40 tracks (10 patients \times 2 sides \times 2 tracks); this dataset exhibited a hierarchical structure with 21 checkpoints nested in each track and tracks nested in each hemisphere, with each patient obviously providing two sets of data. The recordings were first classified on-line, intraoperatively (electrophysiologist and neurosurgeon), blind to the anatomical data: (i) visual evaluation of 30-second consecutive and spontaneous cell activity replayed on a 5-second frame display (MicroGuide, Alpha Omega Eng., Israel); according to six patterns, regardless of signal amplitude (Figure 1B). We defined pattern rate as the number of recordings of one particular pattern in a specific structure divided by the total number of recordings in the structure and in its

neighbours; hence, one pattern rate was defined for each of the six types of patterns, and this for each structure. The signal was also analyzed off-line (Alpha Omega Studio, Alpha Omega Eng., Israel). Detected spikes were classified using principal component projection. Burst detection was run using the max-interval algorithm and the surprise method (Legendy & Salcman, 1985). Mean firing rate (Hz) and burst frequency (burst/min) were calculated.

Merging electrophysiological data with the anatomical MRI space

The electrophysiological data were merged with the anatomical structures as follows: each recording checkpoint was attributed to one structure according to its position along the track explored (from -10 mm to 0 mm).

Statistical analysis

We searched for links between anatomy and electrophysiology. Anatomy was accounted as categorical variable, each structure being one category. Electrophysiological data generated several continuous parameters: mean firing rate, burst frequency and pattern rates, this latter resulting from visual patterns of neuronal activity. For each parameter, we performed paired means comparison between the structure and the structure's neighbours: H2 *versus* ZI, STN plus H1; ZI *versus* H1, H2 plus STN; STN *versus* nAL, ZI, H2 plus SN; SN *versus* STN. This analysis was carried-out testing towards 0 the mean difference between these two measures (structure value minus neighbour value) through a signed-ranks test. This difference was computed to shorten display and interpretation, a positive result meaning the structure had a higher activity than its neighbours.

In addition, for each electrophysiological parameter, structure values were compared between structures through mixed linear regression and, when appropriate, all pairwise differences were tested with adjusted p-values (Kramer, 1956).

All statistical analyses were performed on SAS v9.1 (SAS Institute Inc., Cary, NC, USA) using two-tailed formulation with a type I error set to 0.05.

Results

Relationship between anatomy and spontaneous electrophysiological activity (mean firing rate and burst frequency)

Seven hundred ninety eight checkpoints were available (out of 840; data from one hemisphere in one patient were unreadable). A total of 248 neurons were clustered according to anatomic structure. The structures most frequently encountered along the tracks were STN (n=116), SN (n=45), ZI (n=43), H2 (n=37). The mean firing rate and burst frequency of structures, as well as the differences between structure and neighbours, are displayed Table 2.

Mean firing rates (mean±SD; Hz) were not significantly different: the null hypothesis of no difference between them was not rejected ($F_{3,24} = 2.13$, P = 0.1227; Figure 2A and Table 2). For the burst frequency (burst/min) we found that H2 was significantly different from SN (P = 0. 0403) (Figure 2B and Table 2): the null hypothesis of no difference between them was rejected ($F_{3,24} = 3.13$, P = 0.0443).

Using comparison between structure and neighbours, there was no significant difference regarding mean firing rate, but for SN where it was higher (Table 2); for the burst frequency, a significant difference was found for H2 (-0.32±0.14, P = 0.0078) and ZI (-0.31±0.45, P = 0.0195) (Table 2).

Relationship between anatomy and pattern rate

Analyses were restricted to the most encountered types of patterns (1, 2A, 2B, 2C and 4). Only the irregular high activity (2C) pattern rate (PR) was significantly different (Figure 2C and Table 2) and this for certain structures: (i) H2's PR was lower than STN's (P = 0. 0200) and SN's (P = 0. 0412), the null hypothesis of no difference was rejected ($F_{3,26} = 4.99$, P = 0.0073); (ii) H2's and ZI's were different from PRs of their neighbours, paired comparison testing towards 0 the difference, respectively, -0.15±0.14, *P* = 0.0273 and -0.11±0.15, *P* = 0.0371).

Discussion

We recorded and analyzed spontaneous neuronal activity in the subthalamic regions of Parkinsonian patients during DBS electrode implantation. Off-line analysis showed a progressive increase in neuronal activity from the ZI/H2 to SN regions.

The mean firing rate is higher in STN only when compared with neighbours (Table 2). The burst frequency is lower in H2 than in SN (Figure 2B); using comparison with neighbours, it was lower in H2 and ZI (Table 2).

It is noticeable that these results were obtained according to detailed anatomical analysis of stereotactic MRI, done preoperatively, hence blind to the electrophysiological signal; even the subjective on line visual analysis of recordings was blind to the anatomy. This consolidates the pertinence of MRI anatomic information already studied in our group (*Lemaire et al, 2007b*) and the anatomo-electrophysiological relationships observed in this study.

Mean firing rate measured in the STN was 20±14 Hz. This STN mean firing rate appears low compared to most of previously reported data (Table 1). However, all these studies employed

approaches that were basically different from our method: indeed, we did not isolate neurons or exclude low signal-to-noise ratio but we systematically moved the electrodes in at half-millimetre increment steps. Systematic recording is not the same approach as isolation of neurons, and since our approach did not optimize signal-to-noise ratio, we may have lost part of the signal.

Another difference lies in choice of trajectories. The distal end of our direct patient-by-patient anatomic trajectories is relatively more medial than when using the classic probabilistic atlas approach (Figure 3). Hence, mean firing rates reported by other studies likely refer to the lateral SN corresponding mainly to the *pars reticulata*, whereas medially we mostly reached the *pars compacta*, which in Parkinson's disease is characterized by loss of dopamine neurons. However, very little is known about this sub-part in humans, which may explain our low activity recorded for SN.

Nevertheless, our method was able to differentiate the STN from the neighbouring structures in terms of mean firing rate or burst frequency. These parameters are not easily accessible during the surgery due to the post-processing workload required. Consequently, we have developed a visual approach of pattern classification, regardless of signal amplitude (Figure 1B). These patterns could not be directly attributed to anatomical structures. Nevertheless, analysis of the visual evaluations of pattern of activities revealed that pattern with irregular high activity (2C) could be used to discriminate H2 from adjacent structures, particularly the STN and SN.

Prompted by the fact that little is known about the correlation between the position of the STN as seen on 1.5-T MRI (stereotactic axial T2; 2 mm slice thickness) and the position determined by microelectrode recording (MER) mapping, (Hamani *et al.*, 2005) compared MRI STN anatomy and MER in 10 Parkinsonian patients. Their electrophysiological characterization of the STN was based on increases in background activity and the presence of movement-related cells when entering the STN, and decreases in neuronal activity when leaving the STN and before entering

the SN. They concluded that there was a good fit between these two localization methods, with discrepancies mostly inferior to 1 mm.

Another team proposed an objective approach using spike frequency, cell burst index, signalrelative root mean square and spike-relative amplitude correlated with electrode depth to determine a quality index (QI) for each track (Pralong *et al.*, 2004). For each individual track, four regression lines were computed: frequency versus depth, cell burst index *versus* depth, signal-relative root mean square *versus* depth and spike-relative amplitude *versus* depth. The QI for any given track was computed as the average of the slope angle differences between regression lines using all the neurons. Their index was correlated with post-operative measurement of electrode length in the STN, thereby demonstrating the correlation of electrophysiology mapping with imaging mapping. They did not analyze clinical outcome.

In our study, we found good relationships between MRI anatomy and spontaneous electrophysiological recordings in the subthalamic region during stereotactic surgery for severe idiopathic Parkinson's disease: mean firing rate measurements combined with patterns analysis showed significantly lower activity in ZI and H2, then a first increase of neuronal activity when entering the STN and a second increase when reaching the SN. Nevertheless, it is not easy to distinguish between STN and SN based solely on visual signal classification, except for the pattern with irregular high activity (2C) which was significantly lower in H2 and ZI than in neighbouring structures. Burst frequency analysis appeared to complement mean firing rate measurements in discriminating the different structures, particularly with an increase of both in the STN then another increase in the SN. In another study, it has been reported that mean firing rate and burst index analysis were able to precisely determine the vertical position of the STN (Pralong *et al.*, 2002).

We routinely used this systematic recording of spontaneous neuronal activity every 0.5 mm combined with on-line visual classification approach during DBS surgery to build an electrophysiological mapping consolidating MRI anatomy. These electrophysiological data coupled with MRI anatomy could be integrated in the future for the analysis of postoperative clinical effects by matching multiple data (Lemaire *et al.*, 2007a) identifying the pertinent parameters would make it possible to determine, intra operatively and on-line, the optimal electrophysiological site for electrode contact positioning.

Abbreviations

DBS: deep brain stimulation

H2: Forel's field H2

SN: substantia nigra

STN: subthalamic nucleus

ZI: zona incerta

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<u>Table 1</u>: Electrophysiological characteristics of ZI, STN and SN neurons, revealed during indirect, atlas-based, probabilistic targeting of the STN.

Authors	Year	n	Firing rate	Activity							
			Mean ±SD [min ; max] (Hz)	irregular	regular	tonic	rhythmic	mov. related	background		
ZI Merello M. <i>et al.</i>	2006	12	29.5±22	-	-	_			■ (low)		
STN											
Hutchison W. R. et al.	1998		37±17								
Magnin M. et al.	2000	29	41±21								
Magarinos-Ascone C. M. et al.	2000	12	[59;69]								
Bejjani BP. et al.	2000	12	39±24	-							
Levy R. et al.	2000	9	46								
Rodriguez-Oroz M. C. et al.	2001	14	33±17	•							
Sterio D. et al.	2002	26	47±12						■ (high)		
Benazzouz A. et al.	2002	153	42±22	•							
Theodosopoulos P. V. et al.	2003	34	34±14								
Montgomery E. B. Jr	2007	9	8±7								
SN											
Hutchison W. R. et al.	1998		71±23								
Rodriguez-Oroz M. C. et al.	2001	14	72±28								
Sterio D. et al.	2002	26	71±23								
Benazzouz A. et al.	2002	153	30±13								
Theodosopoulos P. V. et al.	2003	34	86±16								
Galati S. et al.	2006	10	65±23								
Maltete D. et al.	2007	8	35±14								

Mean firing rate, pattern of neuronal activity characterized by irregular, regular, tonic and rhythmic discharge, modulation of the cell discharge during passive movement, low or high amplitude of background activity (base noise), were resumed for ZI, STN and SN recorded in several studies (n, number of patients)

<u>Table 2</u>: Electrophysiological parameters (mean firing rate, burst frequency and pattern rates) in the most frequently explored structures ZI, field of Forel H2, STN and SN (difference structure minus neighbours and comparison between structures).

			Difference			Comparison between structures						
Electrophysiclesis			Structu	ire-Neig	hbours		. 1 . 1		7(1)			
Electrophysiologic			sion	ad ranks	tost	global F-test: F(n,d), followed by pairwise comparisons (pwc)						
parameters			signed-ranks test									
	Structure	n	Mean	\pm SD	р	Mean	\pm SD	F(n,d)	р	рм	/C	
Mean firing rate	ZI	10	-6.03	14.1	0.28	14.49	18.25					
	H2	8	-5.45	7.02	0.08	9.55	9.94	2.13	0.12			
	STN	10	2.33	8.08	0.32	20.20	16.01	(3,24)	0.12			
	SN	9	8.26	10.4	<u>0.05</u>	28.43	18.92					
Burst frequency	ZI	10	-0.31	0.45	0.02	23.16	39.60					
	H2	8	-0.32	0.14	0.01	9.84	10.20	3.13	0.04	+		
	STN	10	0.05	0.38	0.92	39.84	37 80	(3.24)	0.04			
	SN	9	0.44	0.52	0.07	65.16	55.20	(-,)		+		
	ZI	10	-0.06	0.16	0.30	0.27	0 19					
1	H2	9	-0.03	0.10	0.55	0.30	0.24	0.12				
	STN	10	-0.08	0.28	0.50	0.25	0.19	(3.26)	0.95			
	SN	10	-0.09	0.16	0.20	0.25	0.16	(0,20)				
2A	ZI	10	-0.03	0.20	0 49	0.25	0.20					
	H2	9	0.07	0.29	0.57	0.35	0.34	0.33				
	STN	10	0.00	0.10	1.00	0.28	0.14	(3.26)	0.80			
	SN	10	0.02	0.20	0.85	0.32	0.20	(-,)				
2B	ZI	10	0.05	0.28	0.82	0.29	0.28					
	H2	9	-0.03	0.22	0.82	0.19	0.25	0.38				
	STN	10	-0.03	0.12	0.49	0.23	0.14	(3.26)	0.77			
	SN	10	0.05	0.16	0.38	0.27	0.21	(0,20)				
2C	ZI	10	-0 11	0.15	0.04	0.11	0.15					
	H2	9	-0.15	0.14	$\frac{0.03}{0.03}$	0.06	0.08	4.99		#	+	
	STN	10	0.11	0.31	$\frac{0.00}{0.38}$	0.29	0.27	(3.26)	0.007		+ +	
	SN	10	0.09	0.15	0.08	0.32	0.15	(0,20)		#	<u> </u>	
4	ZI	10	-0.00	0.08	0.50	0.05	0.07					
	H2	9	-0.04	0.07	0.11	0.02	0.03	1 51	0.24			
	STN	10	0.01	0.12	0.65	0.02	0.10	(3.26)				
	SN	10	0.04	0.03	0.43	0.10	0.11	(2,20)				
	DIN .	10	0.04	0.05	0.45	0.10	0.11					

Left panel: paired means comparison between the structure and the structure's neighbours. Means, SD and p value were calculated from n patients. Analysis carried-out testing towards 0 the mean difference between these two measures (structure value minus neighbour value) through a signed-ranks test. Right panel: for each electrophysiological parameter (Mean, SD from n patients), structure values were compared between structures through mixed linear regression (F(numerator, denominator) and p-value) and, when appropriate, all pairwise differences were tested with adjusted p-values (pwc +: p=0.04; #: p=0.02; †: p=0.04).



Figure 1. A. Example of stereotactic MRI anatomic mapping and primary targeting (*r*, right hemisphere, white bar = 1 cm): (top) anatomic mapping (label + outline) on a coronal T2 weighted slice (raw image), thalamus (thal), substantia nigra (sn), subthalamic nucleus (stn), zona incerta (zi), fields of Forel (ff), nucleus of the ansa lenticularis (nal) and red nucleus (rn), inlet, close-up on the interpolated image; (bottom) primary anatomic targeting, reconstructed and interpolated pseudo-coronal slice along the right central track (blue line), inlet, 3D view of the right trajectory. B. Pattern types of neuronal activity (x-axis, 3-sec window), 0 = background noise, 1 = isolated activity, 2A = irregular low activity, 2B = irregular moderate activity, 2C = irregular high activity, 3 = low burst activity, 4 = high burst activity, 5 = rhythmic activity, and 6 = permanent tonic activity.



Figure 2. Relationship between MRI anatomic structures (most frequently explored) and electrophysiological parameters: A. mean firing rate, B. frequency of burst occurrences and C. irregular high activity pattern rate (*: significant pairwise comparison test, adjusted p<0.05).



Figure 3: Semi-schematic representation (on a real case) of intended trajectories planned based on our direct patient-by-patient anatomic mapping (black line) and based on a classic probabilistic atlas approach (white line; see example in Bejjani *et al.*, 2000 or Sterio *et al.*, 2002). Thalamus (thal) and subthalamic nuclei, i.e. zona incerta (zi), subthalamic nucleus (stn) and subtantia nigra (sn). The *pars compacta* (white) and *pars reticulata* (grey) of the subtantia nigra are represented (manual registration) according to Parent (1996) (left) and Schaltenbrand & Bailey (1959) (right). Example of neuronal activities recorded within ZI, STN and SN.