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# ASICS: an automatic method for identification and quantification of metabolites in complex 1D <sup>1</sup>H NMR spectra

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## Abstract:

*Introduction* Experiments in metabolomics rely on the identification and quantification of metabolites in complex biological mixtures. This remains one of the major challenges in NMR/mass spectrometry analysis of metabolic profiles. These features are mandatory to make metabolomics asserting a general approach to test a priori formulated hypotheses on the basis of exhaustive metabolome characterization rather than an exploratory tool dealing with unknown metabolic features.

*Objectives* In this article we propose a method, named ASICS, based on a strong statistical theory that handles automatically the metabolites identification and quantification in proton NMR spectra.

*Methods* A statistical linear model is built to explain a complex spectrum using a library containing pure metabolite spectra. This model can handle local or global chemical shift variations due to experimental conditions using a warping function. A statistical lasso-type estimator identifies and quantifies the metabolites in the complex spectrum. This estimator shows good statistical properties and handles peak overlapping issues.

*Results* The performances of the method were investigated on known mixtures (such as synthetic urine) and on plasma datasets from duck and human. Results show noteworthy performances, outperforming current existing methods.

*Conclusion* ASICS is a completely automated procedure to identify and quantify metabolites in <sup>1</sup>H NMR spectra of biological mixtures. It will enable empowering NMR-based metabolomics by quickly and accurately helping experts to obtain metabolic profiles.

**Keywords:** Metabolomics – Nuclear magnetic resonance – Identification of metabolites – Quantification of metabolites – NIST Plasma.

## Electronic supplementary material

The online version of this article contains supplementary material, which is available to authorized users.

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## 1 Introduction

The development of new technologies has enabled the growth of the omics as a new science field. This refers to a field of biology focused basically on the study of the genome (genomics), the transcriptome (transcriptomics), the

proteome (proteomics) or the metabolome (metabolomics) and their modulation by various stimuli. As a common trait, these different approaches produce very large datasets. Consequently, metabolomics experiments are conducted without any hypotheses on the discriminant metabolites to assess the differences between trial groups. Indeed, the whole characterization of the data would lead to intractable computational problems. Nevertheless, efficiency of metabolomics experiments relies on the identification and quantification of metabolites in complex biological mixtures (Blow 2008; Nicholson and Lindon 2008). One of the major challenges in NMR/mass spectrometry analysis of metabolic profiles remains the automatic metabolite identification from spectral data (Pontoizeau et al. 2010). Concerning proton NMR spectroscopy, each generated spectrum is usually first divided into intervals called buckets (De Meyer et al. 2008; Alves et al. 2009). Then, the areas under the curve are computed for each bucket. These steps are repeated for each spectrum and multiple comparisons provide a list of buckets that are significantly different between the studied groups. Finally, NMR experts identify the metabolites involved in the significant buckets. By this approach, the identification of metabolites is restricted to significant ones. Another way to proceed would be to identify and quantify all the metabolites in each spectrum and to perform statistical analyses on these data. Today, this identification is mainly manually carried out by an expert, based on his knowledge and on direct comparisons with known metabolite spectra. This identification is tedious, time consuming and expert dependent (Tredwell et al. 2011). Furthermore, some problems, such as peak overlapping, warped spectra due to experimental variations or the high number of possible metabolites for a given chemical shift are very usual in complex mixtures and make identification very challenging. Recently, some automatic methods have been proposed for metabolite identification (see Ravanbakhsh et al. (2015) or Alonso et al. (2015) for a complete review) but none can be considered as an unanimous gold-standard. These methods could allow the use of metabolomics in a standard way using *a priori* formulated hypotheses on the metabolites by providing an automatic characterization of any complex 1D  $^1\text{H}$  NMR spectrum.

This article proposes a new method called ASICS (Automatic Statistical Identification in Complex Spectra). ASICS works relying on a library of pure metabolites spectra. The identification of metabolites is performed by comparing the spectrum of the mixture with spectra of the library. These comparisons are carried out using a statistical theory with established statistical properties (Tardivel et al. 2017). ASICS handles experimental problems such as the baseline correction or the variation of chemical shifts. This method is very fast, very competitive with the methods cited above and could help NMR experts in the analysis of complex mixtures. The R code is available as online resource and could be used with any Bruker NMR file of a complex mixture.

## 2 Materials and Methods

### 2-1 Sample preparation and NMR spectroscopy

A known mixture containing 5 metabolites in close concentrations and displaying close proton NMR signals was first prepared in replicate ( $n=5$ ) to assess the performances of the method. Mean concentrations were 10.11 mM galactose, 4.86 mM GABA ( $\gamma$ -aminobutyric acid), 5.22 mM acetic acid, 20.10 mM L-lysine and 9.97 mM L-tryptophane. The samples were prepared in deuterated water phosphate buffer (pH 7.0).

Performances of ASICS were also assessed on the basis of a homemade synthetic urine sample (CDC 2010) prepared in ultrapure water (see Table S4 and Table S5 for details), duck plasma and a reference human plasma (NIST SRM1950). Details on the duck plasma analysis can be found in Theron et al. (2011) or in Bonnefont et al. (2014) and details on the plasma NIST SRM1950 are gathered as online resource 2.

For NMR analysis, 500  $\mu\text{l}$  of this synthetic urine sample was mixed with 200  $\mu\text{l}$  of phosphate buffer (pH 7.0) prepared in deuterated water and containing 1 mM TSP. The mixture was vortexed, centrifuged at 5000g for 10 min at 4°C and 600  $\mu\text{l}$  of supernatant were transferred into a 5 mm NMR tube.

The 1D  $^1\text{H}$  NMR spectra of 175 reference compounds were collected to build the spectral library (Table S2 in online resource 3). These compounds have been prepared at the concentration of 20 mM in phosphate buffer (0.2 M; pH 7.0) prepared in  $\text{D}_2\text{O}/\text{H}_2\text{O}$  in a 70:30 ratio (v/v).

All NMR spectra were recorded at 300 K using a Bruker Avance III HD spectrometer ( $^1\text{H}$  frequency: 600.13 MHz, Bruker, Germany) with a 5 mm CQPCI cryoprobe.

$^1\text{H}$  NMR spectra of synthetic urine sample and reference compounds were recorded using the Noesypr1d NMR sequence for the suppression of water resonance, with a mixing time of 100 ms. A total of 128 transients were

collected into 32k data points using a spectral width of 20 ppm, a relaxation delay of 2 s and an acquisition time of 1.36 s. Prior to Fourier Transformation, an exponential line broadening function of 0.3 Hz was applied to the FID.

All spectra were phase and baseline corrected using the Topspin v3.2 software (Bruker, Germany) and were calibrated to TSP signal ( $\delta$  0 ppm). We apply BaselineCorrector (Wang et al. 2013) for automatically estimating the baselines of the different spectra.

## 2-2 Modelling the spectrum of the complex mixture

A spectrum can be represented as a function over the range  $I$  of chemical shifts. All the spectra were normalized so that their area under the curve over  $I$  is 1.

To model the spectrum of the complex mixture  $g$ , possible slight variations of chemical shifts with the experimental conditions have to be taken into account. The warping function  $\phi: I \rightarrow I$  allows to model the variation of chemical shift, where  $\phi$  is an increasing function and  $I$  is an interval of the chemical shifts associated to a spectrum. If  $f$  denotes the spectrum of a metabolite of the library,  $f \circ \phi$  models the warped spectrum of the same metabolite observed in a different experimental condition.

The spectrum of a complex mixture  $g$  can be written as a combination of the warped spectra of the metabolites belonging to the library

$$g = \sum_{1 \leq i \leq p} \alpha_i f_i \circ \phi_i + \varepsilon,$$

where  $p$  is the number of metabolites of the library,  $\alpha_i$  is a non-negative number depending on the proportion of the  $i^{\text{th}}$  metabolite in the complex mixture and on its number of hydrogen atoms,  $f_i$  is the spectrum of the  $i^{\text{th}}$  metabolite of the library and  $\phi_i$  represents the corresponding warping function. Although the experimental conditions of the complex mixture spectrum  $g$  are controlled, they are slightly different from those used to generate the spectra of the library. Finally, the term  $\varepsilon$  is a random error term. The structure of the noise  $\varepsilon$  is very important in the identification and quantification of metabolites in the mixture. Several observations of a spectrum obtained from the same metabolite allowed modeling the noise as

$$\varepsilon = \sqrt{\sum_{1 \leq i \leq p} \alpha_i f_i \circ \phi_i} \varepsilon_1 + \varepsilon_2,$$

where  $\varepsilon_1$  and  $\varepsilon_2$  are standard independent white noises with known deviations  $\sigma_1$  and  $\sigma_2$ .

In this model,  $g$  is observed, the spectra  $f_1, \dots, f_p$  are known, the  $\alpha_i$ 's are unknown parameters, the  $\phi_i$  are unknown warping functions, and the noise  $\varepsilon$  is unobserved.

## 2-3 Cleansing step

The first step of the method is to identify the metabolites of the library that cannot belong to the complex spectra.

The chemical shift between two spectra of the same metabolites obviously depends on the experimental conditions (pH ...). For a given metabolite, we assume that the maximum variation of the chemical shift is smaller than an upper bound  $M$ , which was fixed at 0.02 ppm. It is assumed that a metabolite belonging to a complex mixture must display its related signals in the complex spectra. Thus, a metabolite cannot belong to the complex mixture if at least one peak of its spectrum does not appear in the complex spectra. Consequently, a metabolite displaying a peak at a chemical shift  $d$  cannot belong to a complex spectrum which does not present any peak in the interval  $[d - M, d + M]$ . ASICS quickly detects these metabolites and reduces the number of metabolites of the library that need to be taken into account in the identification and quantification steps.

## 2-4 Identification of metabolites in a complex mixture

The  $i^{\text{th}}$  metabolite is considered as identified in the complex mixture when its coefficient  $\alpha_i$  is greater than zero. The identification of the metabolites belonging to a complex mixture relies on the estimation of the active set  $A$  defined as follows

$$A = \{i \in \{1, \dots, p\} \text{ such that } \alpha_i \neq 0\}.$$

If a sparse estimator (estimator whose some components are exactly zero)  $\hat{\alpha} = (\hat{\alpha}_1, \dots, \hat{\alpha}_p)$  of  $(\alpha_1, \dots, \alpha_p)$  is available, the active set could be estimated as

$$A(\hat{\alpha}) = \{i \in \{1, \dots, p\} \text{ such that } \hat{\alpha}_i \neq 0\}.$$

A sparse estimator is an estimator whose some components are exactly zero.

However, the warping functions  $\phi_1, \dots, \phi_p$  need to be known to obtain a sparse estimator of  $\alpha_1, \dots, \alpha_p$ . To solve this problem, ASICS proceeds in two stages.

During the first stage, the warping functions are successively estimated using non sparse estimates of  $(\alpha_1, \dots, \alpha_p)$ . At the beginning of the  $k^{\text{th}}$  step of this first stage, the estimates of the first  $k-1$  warping functions  $\phi_1^{(1)}, \dots, \phi_{k-1}^{(k-1)}$  and non-sparse estimates  $\alpha_1^{(k-1)}, \dots, \alpha_p^{(k-1)}$  of  $\alpha_1, \dots, \alpha_p$  are known. The superscript in  $\phi_i^{(i)}$  and  $\alpha_i^{(k-1)}$  indicates the step at which the estimate was obtained.

The  $k^{\text{th}}$  warping function is estimated by solving the following optimization problem

$$\arg \min_{\phi_k, \alpha_k} \left\| g - \alpha_k f_k \circ \phi_k - \sum_{1 \leq i \leq k-1} \alpha_i^{(k-1)} f_i \circ \phi_i^{(i)} - \sum_{k+1 \leq i \leq p} \alpha_i^{(k-1)} f_i \right\|^2.$$

The warping function  $\phi_k$  is estimated so that the maximum variation of the chemical shift is smaller than  $M$ .

This estimate is then used to update the non-sparse estimates of  $\alpha_1, \dots, \alpha_p$  as shown hereafter

$$(\alpha_1^{(k)}, \dots, \alpha_p^{(k)}) = \arg \min_{\alpha_1, \dots, \alpha_p} \left\| g - \sum_{1 \leq i \leq k} \alpha_i f_i \circ \phi_i^{(i)} - \sum_{k+1 \leq i \leq p} \alpha_i f_i \right\|^2.$$

Figure 1 provides an illustration of this warping stage.

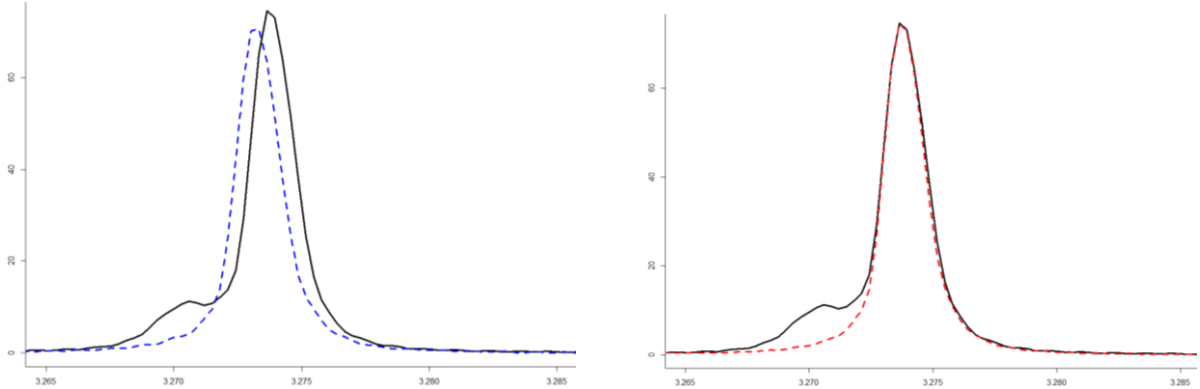


Figure 1. On the left in solid line, the main peak of the creatinine in the spectrum of the synthetic urine. In dotted line, the same peak observed on the spectrum of the creatinine before the warping stage. On the right in dotted line, the main peak of the creatine spectrum observed after the warping stage.

Note that, using this warping strategy, ASICS is able to take into account a chemical shift variation that is not only a unique translation on the whole spectrum. Local translations, dilations or tightenings would also be adjusted. However, this procedure is not able to create a new peak or to delete an existing one.

These estimated warping functions are used at the second stage to derive lasso-type sparse estimates of  $(\alpha_1, \dots, \alpha_p)$  (Tibshirani 1996, Bühlmann et al. 2011) by minimizing in  $\alpha_1, \dots, \alpha_p$  the following expression

$$\left\| U \left( g - \sum_{1 \leq i \leq p} \alpha_i f_i \circ \phi_i^{(i)} \right) \right\|^2 + \lambda \sum_{1 \leq i \leq p} |\alpha_i|,$$

where  $U$  is a linear transformation. This estimation gives a sparse estimation of the proportions  $\hat{\alpha}$  and thus an estimation of the active set  $A(\hat{\alpha})$ . When  $\lambda = 0$ , the so-called least squares estimator that is not sparse is obtained. Conversely, when the parameter  $\lambda$  is too large, all coefficients  $\alpha_1, \dots, \alpha_p$  become equal to zero. As a consequence the choice of  $\lambda$  plays an important role on the properties of the estimator  $A(\hat{\alpha})$ . This choice, as well as that of the linear transformation  $U$ , is documented in Tardivel et al. (2017) to obtain an estimator of the active set showing good statistical properties. These properties guarantee a reliable identification of metabolites by controlling the two possible sources of errors: identify a metabolite that is not present in the complex mixture (false positive) or not identify a metabolite that is present (false negative).

## 2-5 Quantification of the metabolites

Lasso-type estimators of the parameters  $\alpha_1, \dots, \alpha_p$  are known to be biased (Hastie et al. 2009). For this reason, the quantification of metabolites is performed with a least squares method limited to the metabolites identified (i.e. with  $\hat{\alpha}_i$  greater than zero) at the previous step. The quantification of the metabolites is obtained through the relative concentrations that could be easily computed from the coefficients  $\alpha_1, \dots, \alpha_p$  and the numbers of hydrogen atoms of the metabolites. The concentrations obtained are given relatively to the largest one. Any additional reference compound with known concentration is sufficient to obtain the absolute quantities.

This whole procedure has been implemented on a R freeware code and all further results have been obtained using a classical personal computer and the R 3.2.2 version. All presented results could be computed using the code reported as online resource 1. The input parameters are the following: the complex mixture, the exclusion areas (by default [5.1;4.5] ppm to delete the water peak) and the maximum variation  $M$  allowed (by default 0.02 ppm). The output delivers the identified metabolites together with their estimated relative concentrations as well as both the estimated and real mixture spectra. The spectrum of the synthetic urine is gathered with an explanation on how to use the code. The interested user could also easily modify or replace our library by a personal / customized one. For a more user-friendly interface, ASICS is also implemented in Galaxy, a dedicated interface for metabolomic data treatment workflows (Guitton et al. 2017).

The accuracy measure reported on the following results has been defined in Ravanbaksh et al. (2015) by ratio of correct labels (true positives plus true negatives) to the library size.

## 3 Results and Discussion

### 3-1 Assessment on known mixtures

ASICS was firstly assessed on known mixtures. From the 5 metabolites mixture reported in table 1, ASICS always identified the 5 metabolites that are actually present. However, it provided some false positives (between 8 and 11 depending on the considered replicate) yielding an accuracy measure ranging from 96.6% to 98.2%. The quantification results of ASICS on the known mixtures are reported in Table 1.

|             | Mean of the 5 estimated proportions | Maximum of the 5 estimated proportions | Minimum of the 5 estimated proportions | Real proportion |
|-------------|-------------------------------------|--|--|-----------------|
| Lysine      | 1                                   | 1                                      | 1                                      | 1               |
| Galactose   | 0.409                               | 0.434                                  | 0.392                                  | 0.503           |
| Tryptophane | 0.446                               | 0.462                                  | 0.430                                  | 0.496           |
| Acetic Acid | 0.156                               | 0.125                                  | 0.180                                  | 0.259           |
| GABA        | 0.248                               | 0.278                                  | 0.237                                  | 0.242           |

Table 1. Results of ASICS on the known mixtures.

Due to the quantification of some non-present compounds, the mean estimated proportions were slightly below the real one. Indeed, the false positive compounds were all quantified below 3.3% with respect to the Lysine concentration. ASICS thus proved to be robust for the whole spectrum preparation and processing as the final results are not very sensitive to these bias.

### 3-2 Validation using comparisons with dosages

To validate ASICS quantifications, data available from previous works (Theron et al., 2011; Bonnefont et al., 2014) carried out on duck plasma were used. For two plasmatic metabolites, namely Glucose and Lactate,

concentration ratios provided by ASICS were compared to those obtained by Theron et al. (2011) using a validated enzymatic method. Results presented in Figure 2 show that the two determination methods are well correlated with a correlation of 0.81.

Indeed, a linear regression applied on this dataset leads to the equation  $y = -0.15 + 0.98x$ . The intercept and the slope are not statistically different from their expected value (resp. 0 and 1). This good correlation validates the order of magnitude of the quantitative information obtained using ASICS.

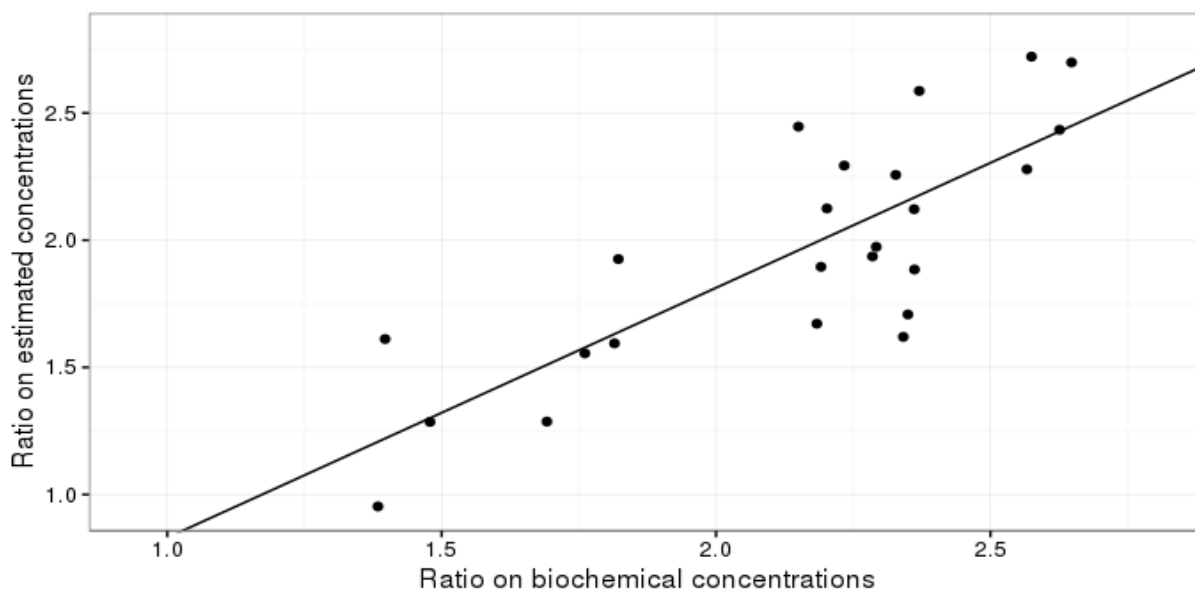


Figure 2. Glucose and Lactate concentration ratios obtained by dosage (x-axis) and by using ASICS in the NMR spectrum (y-axis) on 24 ducks. The linear regression is plotted in straight line.

### 3-3 Comparison with other methods

ASICS was compared to other current methods available for the analysis of complex mixtures NMR spectra (i.e. MetaboHunter, Batman, Bayesil and Chenomx). Metabohunter (Tulpan et al. 2011) computes a score for each metabolite individually. This score gives the probability of presence of each metabolite in the mixture and is related to the number of signals found in the mixture spectrum for a given metabolite. This simple method is very quick but does not provide quantification. BATMAN (Astle et al. 2012; Hao et al. 2012, 2014) is based on a Bayesian model selection and combines the representation of peaks by Lorentzian curves with a MCMC algorithm. The estimation of proportions of each metabolite using this method provides good results. However, it is time-consuming and requires a careful description of each peak of a metabolite. This step can be very tedious especially with metabolites displaying a large number of peaks. To date, BAYESIL features (Ravanbakhsh et al. 2015) seem to outperform BATMAN ones. BAYESIL handles spectral matching as an inference problem within a probabilistic graphical model that rapidly approximates the most likely metabolic profile. Actually, the most used tool appears to be the Chenomx software (Weljie et al. 2006). Computations performed by this software are rather fast but it is known to yield many false positive metabolites. Finally, it is a commercial tool that could be quite expensive. The comparisons were carried out using 2 different biofluids:

- a) Synthetic urine containing salts to simulate a typical urine sample with known concentrations of metabolites;
- b) Biological human plasma sample (NIST SRM1950 plasma): a reference plasma sample already annotated by NMR experts (Simón-Manso et al. 2014).

#### a) Synthetic Urine

For the synthetic urine sample, the 10 - 0.5 ppm spectral range was used, excluding the region between 6.5 and 4.5 ppm which include the very intense water and urea signals.



In order to accelerate spectra processing with BATMAN, its library was reduced to only 147 metabolites that were also present in our library (Table S3). The library of Bayesil does not contain Trimethylamine-N-Oxide and Trigonelline. All the methods have been ran using the default parameter settings as a new user would proceed. The results of identifications are presented in Table 2.

|              | True Positive | False Positive | False Negative | True Negative | Accuracy (%) | Compounds in library | Computing Time |
|--------------|---------------|----------------|----------------|---------------|--------------|----------------------|----------------|
| ASICS        | 17            | 10             | 4              | 145           | 92           | 176                  | 2 mn 38s       |
| MetaboHunter | 4             | 51             | 17             | 795           | 92           | 867                  | < 1 mn         |
| Batman       | 21            | 125            | 0              | 1             | 18           | 147                  | 74 hours       |
| Bayesil      | 12            | 17             | 7              | 53            | 73           | 89                   | 10 mn 48s      |
| Chenomx      | 15            | 48             | 6              | 269           | 54           | 338                  | < 1 mn         |

Table 2. Comparison of the identification of the 5 methods on the synthetic urine.

ASICS was able to identify 17 metabolites out of the 21 actually present, with only 10 false detections, thus giving an accuracy of 92%. MetaboHunter analysis led to the same accuracy but with very different results: a very poor detection of true positive but a very high exclusion of true negative related to its very large library. BATMAN identified nearly all the metabolites in the mixture as already described by Ravanbakhsh et al. (2015) but yielded a very high number of false positives. Bayesil and Chenomx tools share a good accuracy but also a high number of false positives. As indicated in Table 2, in terms of computational time, ASICS lasts 4 times less than Bayesil for a twice as large library. Spectral processing with BATMAN was very long whereas Chenomx and MetaboHunter were the quickest.

Performances of the various tested software were also compared in term of quantification. Results are summarized in Table 3.

| Compound               | Real proportion | ASICS's proportion | Bayesil's proportion | Chenomx's proportion (automatic fit) | BATMAN's proportion |
|------------------------|-----------------|--------------------|----------------------|--------------------------------------|---------------------|
| Creatinine             | 1               | 1                  | 1                    | 1                                    | 1                   |
| Citric acid            | 0.434           | 0.693              | 12.38                | Not identified                       | 0.089               |
| Hippuric acid          | 0.338           | 0.344              | Not identified       | 0.312                                | 0.072               |
| Trimethylamine-N-Oxide | 0.286           | 0.439              | Not in library       | 0.311                                | 1.311               |
| Ascorbic acid          | 0.156           | Not identified     | Not identified       | Not identified                       | 0.568               |
| Malonic acid           | 0.073           | Not identified     | Not identified       | 0.015                                | 0.058               |
| Ethanolamine           | 0.062           | 0.044              | Not identified       | Not identified                       | 0.259               |
| L-Lysine               | 0.044           | 0.076              | 0.512                | Not identified                       | 0.214               |
| Dimethylamine          | 0.047           | 0.054              | 0.079                | Not identified                       | 0.025               |
| Betaine                | 0.042           | 0.053              | 0.246                | 0.055                                | 0.754               |
| L-Alanine              | 0.042           | 0.056              | 0.219                | 0.045                                | 0.230               |
| D-Glucose              | 0.041           | 0.059              | 0.705                | 0.046                                | 0.023               |
| Guanidinoacetic acid   | 0.033           | 0.052              | Not identified       | 0.022                                | 0.226               |
| L-Carnitine            | 0.033           | 0.045              | 0.159                | 0.023                                | 0.029               |
| L-Glutamine            | 0.032           | Not identified     | 4.100                | Not identified                       | 0.342               |
| Acetic acid            | 0.032           | 0.031              | Not identified       | 0.035                                | 0.129               |
| Glycine                | 0.031           | 0.036              | 0.089                | 0.032                                | 0.304               |
| Lactic acid            | 0.028           | 0.027              | 0.307                | 0.018                                | 0.025               |
| Trigonelline           | 0.026           | 0.011              | Not in library       | 0.018                                | 0.044               |
| Formic acid            | 0.017           | Not identified     | 0.006                | 0.029                                | 0.007               |
| L-Tyrosine             | 0.012           | 0.024              | Not identified       | 0.014                                | 0.571               |

Table 3. Comparison of the relative quantification of the 4 methods on the synthetic urine.

The quantifications provided by ASICS or by Chenomx both fit quite well the order of magnitude of the real proportion of the different metabolites. Conversely, some quantification results are very far from the real proportion for Bayesil (citric acid, L-glutamine ...) and for BATMAN (citric acid, Trimethylamine-N-Oxide ...).

The same results were obtained using the synthetic urine without salts, highlighting the robustness of ASICS (data not shown). The Bruker file of the synthetic urine spectrum is included in online resource 1 and, thus, all the presented results can be easily recovered.

The above results suggest that ASICS represents the best trade-off between method accuracy (for both identification and quantification) and computational time. However, these results have to be analyzed with caution.



First, depending on the experimental conditions, it is likely that a given method cannot be always better than others. Second, each method runs with its own specific library, which produces a bias in the comparison. Then, each method has been computed with default parameters and it is likely that, spending time to set these parameters, the performances of the different methods may be improved.

## b) Plasma NIST

The NIST plasma sample is of particular interest since it represents a real biological sample and it has been extensively studied and characterized by several teams, making available several results on metabolites identification.

As the composition of the NIST plasma is still an open-question, it cannot be used to assess the superiority of any method. Nevertheless, it could be interesting to compare the different results to highlight the potential benefits of these automatic approaches. From the NIST plasma sample, 43 compounds could be identified by ASICS (see online resource 2). Among these compounds, 24 were identified by an NMR expert at level 1 using the 1D  $^1\text{H}$  and 2D NMR spectra of plasma NIST sample and reference compounds. All the main compounds identified by the experts have been identified by ASICS whereas it is not the case for the other methods. The quantification of these compounds by ASICS provides an accurate order of magnitude. BAYESIL identified 22 compounds (21 in common with the expert at level 1), Chenomx identified 23 compounds (20 in common with the expert at level 1) whereas Simón-Manso et al. (2013) identified 24 compounds (21 in common with the expert at level 1). For example, the L-Serine, TMAO, GPC or the N,N-dimethylglycine were identified by NMR experts as well as ASICS and at least one other method, whereas it was not by Simón-Manso et al (2013). This shows that these automatic approaches constitute an helpful tool for NMR experts, but have to be used with a careful control.

## 4 Conclusion

In this article we propose a method able to identify and quantify metabolites in a complex mixture of NMR 1D  $^1\text{H}$  spectra. The warping strategy implemented in ASICS could deal with local modifications (including translations or more complex geometrical transformations) of the complex mixture spectra. ASICS proved to be helpful to save time for NMR experts, providing a useful method for the use of metabolomics in a standard way using *a priori* hypotheses on metabolites. However, ASICS also obviously still has limitations. For example, the method for correcting the baseline is likely to provide poor results in spectrum areas displaying a high number of peaks. Theoretically, identification and quantification methods require the library to contain all spectra of metabolites contained in the mixture. In practice, it is not possible to make such an assumption and a library containing the main metabolites spectra in terms of concentration yields satisfactory results for our method. Then, the estimation of warping functions could depend on the order in which they are estimated. In theory, it would be better to estimate all of them simultaneously, but this computation cannot be carried out within a reasonable time. The quantification step seems to be sensitive to the variability of the NMR spectrum and only provides an order of magnitude for the metabolites concentrations. Additionally, like all other automatic methods, metabolites with overlapping single resonances (for example acetic acid and succinate) would potentially be difficult to identify and to quantify. The complex mixture spectrum also needs to be recorded at the same pH as the library spectra to reduce the potential variations of chemical shift.

Nevertheless, the study of examples of known compositions and comparisons with other methods show that this method provides better results than other existing methods. ASICS was also tested on two real matrices, providing good results on duck plasma and identifying 41 metabolites on the NIST plasma sample. Furthermore, ASICS is completely automated, freely available and the library of metabolites may easily be upgraded or replaced by an interested researcher. Finally, we hope that ASICS will enable the wealth of new applications of NMR by quickly and accurately help NMR experts for the study of metabolic profiles.

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