

Identifying trends and associated uncertainties in potential rice production under climate change in Mediterranean areas

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- 1 Identifying trends and associated uncertainties in potential rice production under climate
- 2 change in Mediterranean areas
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18 Abstract

19 The future of global rice productions in top producing countries is undermined by the impact of climate change threatening food security in the near future. In those European Mediterranean areas 20 21 where rice is cultivated, this peculiar cropping system plays a crucial role in terms of sociocultural 22 and ecological issues, and the climate change impact is still scarcely investigated. In this study, we 23 explored the future trends of potential rice yields in the region considering the multiple sources of uncertainty associated with climate and yield predictions. Two rice crop models (STICS and 24 25 WARM) were calibrated using 20 field experiments carried out in two main European rice 26 producing areas – i.e., the Italian Lomellina and the French Camargue. These models were then 27 applied under a range of climate change scenarios in 2030 and 2070 time frames, considering projections from the combination of four General Circulation Models and two extreme 28 29 Representative CO₂ Concentration Pathways (RCP 2.6 and 8.5). We compared the simulated yield 30 levels with no adaptation, and designed adaptation strategies based on the anticipation of sowing 31 date and the adoption of varieties with longer crop cycle. Our results showed that with no 32 adaptation vields would decrease on average by 8% in 2030 and 12% in 2070 in Camargue and 33 Lomellina, respectively. Future simulated yields in the two areas were lower than in the baseline in 67% (Camargue) and 84% (Lomellina) of the cases. The implementation of both adaptation 34 35 strategies proved to be effective in reversing the situation, leading to an average yield increase of 28% and 25% in 2030 and 2070, respectively. The associated probability of lower yields than in 36 37 current conditions was 24% in the two sites. Despite the uncertainty in predictions, mainly related 38 to site, GCM and RCP, our findings indicate that the European rice sector has the potential to 39 enhance current production levels in a changing climate, if longer cycle varieties will be grown in 40 Mediterranean rice areas.

41 Keywords

42 Adaptation strategies, Camargue, Lomellina, rice yields, STICS, WARM

43 Introduction

The effects of climate change on the future rice (Orvza sativa L.) production is still under debate in 44 the three top producing countries – China (Tao and Zhang, 2013), India (Aggarwal and Mall, 2002) 45 46 and Indonesia (Navlor et al., 2007) – due to the counterbalance between the beneficial effect of rising atmospheric CO₂ concentration and the exacerbation of abiotic stresses on crop growth, such 47 48 as heat (Baker et al., 1992), drought (Wassmann et al., 2009) and salinity (Redman et al., 2011). The available estimates are discordant, and range between an increase of 10-15% in 2020 (Tao and 49 Zhang, 2013) to 7-10% yield losses per every 1 °C increase in air temperature (Peng et al., 2004; 50 Krishnan et al., 2007). Given the leading role of rice as a staple food for humans (Fitzgerald et al., 51 52 2008; Soora et al., 2013) and the need of doubling crop production by 2050 to meet the projected demand of the global population (Ray et al., 2013), this research question is in the spotlight, and 53 54 efforts are still needed to deepen current knowledge. In the meanwhile, rice growers and 55 stakeholders of the rice sector are already implementing adaptation strategies, ranging from 56 individual autonomous local reactions (Dharmarathna et al., 2014) to planned policy interventions 57 (Huang et al., 2015), in order to alleviate the negative impacts of climate change in the main 58 producing environments (Howden et al., 2007).

59 Nevertheless, less research has been devoted to analyse the consequences of climate change on rice production in Europe (EU), where rice is the 6th most produced cereal, with almost 4 million tons on 60 about 650,000 ha in 2013, for an average yield of 6 t ha⁻¹ (FAOSTAT, 2014). Although not being a 61 62 staple food crop in EU, rice plays a pivotal sociocultural (Picazo-Tadeo et al., 2009) and ecological 63 (Longoni, 2012) role in several Mediterranean countries, where the human consumption is steadily increasing (Ferrero and Tinarelli, 2007; Worldatlas, 2016). Italy, Spain, Greece, Portugal and 64 65 France are the five top European producing countries, and present a higher per capita annual rice consumption (6-18 kg year⁻¹) than in non-producing northern countries (3.5-5.5 kg year⁻¹, Maclean 66 67 et al., 2002). The typical European rice production system presents paddy cultivation under 68 continuous flooding during most part of the crop cycle (Hill et al., 1991), and water drainages to

69 allow rooting, top-dressing fertilization, herbicide spraying and harvesting (Fusi et al., 2014). Cold 70 temperatures are the main environmental constraint to rice production in Mediterranean countries 71 (Jena and Hardy, 2012). Damages to rice crop due to low temperatures can occur at any growth stage, being particularly severe at sowing, when they can undermine the germination capacity and 72 73 the establishment of rice plants (Ferrero and Tabacchi, 2002), and during microsporogenesis stage 74 (Dingkuhn et al., 1995), when high diurnal temperature ranges can increase the risk of pollen 75 sterility (Russo and Callegarin, 1997). With increased temperatures due to climate change, heat 76 stress could be more frequent on temperate rice crop. Recent findings in rice physiology indicate 77 that heat stress causing sterility in the exerted part of the panicle is likely to occur even in 78 Mediterranean countries, especially in warm and humid years (Julia and Dingkuhn, 2013). 79 The complex effects of global warming and CO₂ increase on rice growth in Mediterranean countries 80 comprise both detrimental and beneficial effects. The meta-analysis carried out by Wang et al. 81 (2015) reports a percentage yield increase of 24.5% at 660-699 ppm, which is mainly associated to 82 an increase in the number of panicles and grains per plant. Likewise, available free air CO₂ 83 enrichment experiments allowed to quantify the atmospheric fertilization benefits on temperate rice vields in the range 7-15% at 586-645 umol mol⁻¹ for *japonica* type varieties (Kim et al., 2003), 84 85 which are the most widespread in EU (Confalonieri and Bocchi, 2005). The increase in air 86 temperature can lead to the decreased occurrence of cold sterility events, which currently are a 87 major constraint to European rice yield. Potential positive effects of higher temperature are also 88 related to the anticipation and the extension of the growing season length (Peng et al., 1995), which 89 can favour the cultivation of longer cycle varieties, and to the improvement of plant photosynthetic 90 rates. The latter will probably increase because of the thermal requirements of this tropical crop 91 (Borjigidai et al., 2006) and due to the higher CO₂ concentration in the atmosphere. The 92 temperature increase can also cause detrimental effects on future rice production, such as the 93 shortening of the crop cycle, especially of the grain filling period (Matthews and Wassmann, 2003) 94 and the increased risk of heat sterility during pollination (Hirabayashi et al., 2015), and the loss of

95 sink activity of the panicle (Kim et al., 2011). As for other driving variables of the biophysical 96 system (Dieleman et al., 2012), the predominance of the complex interactions on the simple 97 additive effects between temperature and CO₂ in affecting crop production, constitutes an additional 98 source of variability in the future trends of rice yield (Long et al., 2006). 99 The complex array of uncertainties connected with the study of the impact of climate change on 100 crop yield – including observed climate inputs, future CO₂ concentrations, climate model outputs 101 and projected impacts (Challinor et al., 2009) – makes current projections inherently highly 102 uncertain (Godfray et al., 2010; Asseng et al., 2013). An effective and standard methodological 103 framework to quantify these multiple sources of uncertainty is still lacking (Burke et al., 2015), 104 even if this became a topic by itself (Wesselink et al., 2015). Moreover, the ranking of their 105 importance is considered a required milestone to improve the understanding of the real impacts of 106 climate change, and to enhance the credibility of climate change studies for policy makers (Ruiz-107 Ramos and Minquez, 2010). 108 To date, the impacts of climate change on rice yield are scarcely investigated in European production areas, where the impacts of temperature changes in the 21st century are expected to be 109 110 huge in response to even slight changes in large-scale climatic factors (Gao and Giorgi, 2008), and 111 to substantially vary at fine spatial scale (Gao et al., 2006). In most studies, the EU rice 112 Mediterranean region is included in global scale simulations, but its results are never specifically 113 discussed. For instance, Ray et al. (2014) studied the relations between climate and yield 114 variabilities in 1979-2008, showing that climate variability is responsible for 13-43% of rice yield 115 variability in Mediterannean countries, with the average very close to the world one (0.29 and 0.31, 116 respectively; data retrieved from Supplementary Material). A recent assessment of future rice yields as affected by climate change was performed in Italy using a single crop model (Bocchiola, 2015). 117 118 Focusing on irrigation water use, it reports a general yield increase until mid-century and a decrease

119 later on. Mediterranean regions are indeed considered as one of the most prominent climate change

- 120 hotspots (Giorgi, 2006), because they lay in the transition zone between the arid northern African
- 121 climate and the wet-temperate climate of central EU (Mariotti et al., 2015).
- 122 This paper aims at exploring the main trends of future Mediterranean rice yields, and to quantify
- 123 and rank the multiple sources of uncertainty related to climate and yield predictions. Two rice crop
- 124 models were applied in two main European rice producing areas the Italian Lomellina and the
- 125 French Camargue to simulate potential rice growth and development in current conditions and
- 126 under a wide range of climate change scenarios, considering projections of four General Circulation
- 127 Models (GCM) and two contrasting Representative CO₂ Concentration pathways (RCP; IPCC,
- 128 2014).
- 129

130 **1. Materials and methods**



- 131 simulated future yields
 132 Figure 1. Activities performed in this study, with associated synthetic input information.
 133 Stat: statistical analysis on the simulation outputs.
- 134

135 The workflow of the activities performed in this study is presented in Figure 1. *Step A* led to the

- 136 generation of the future weather scenarios (section 2.2) as input for the crop model simulations,
- 137 starting from baseline weather data and using RCP-based GCM projections as input for a weather
- 138 generator. In *Step B*, current weather data were used as input for the crop models, which were
- 139 calibrated against measurements from field experiments performed in the two study areas (section

140 2.3.2). Step C aimed at developing the adaptation strategies, which were implemented for crop 141 model simulations (section 2.3.3). Input data were the baseline and future weather scenarios to derive (1) the relationships between farmers' sowing dates and air temperature, and (2) new crop 142 143 model parameters, which were modified to reproduce alternative varieties to be tested in the future 144 scenarios. Step D concerned the simulation of potential rice yields (section 2.3.3) in current and future weather scenarios, considering no adaptation, and the implementation of adaptation 145 146 strategies. In *Step E*, an analysis of variance was performed to quantify the contribution of each 147 source of uncertainty to yield variability (section 2.4).

- 148
- 149 **2.1 Characterization of the study areas**

The Italian Lomellina and the French Camargue were selected as two representative areas to
evaluate the impact of climate change on European rice production (Figure 2). Rice crop was grown
in 2015 on around 80,000 ha in Lomellina and on around 20,000 ha in Camargue, representing
together 22% of the total EU rice harvested area (Ente Nazionale Risi, <u>www.enterisi.it</u>; FAOSTAT,
2014).

155 According to the updated Köppen-Geiger climate classification (Peel et al., 2007) and to the

156 environmental stratification of EU (Metzger et al., 2005), both areas fall into the

157 temperate/mesothermal climatic group, with Lomellina belonging to the North Mediterranean and

158 Camargue to the South Mediterranean environmental zone. The French and Italian rice areas

159 present hot summers and mild to cool winters, with differences in their rainfall patterns.

160 Precipitations are mainly distributed during autumn and winter months, being on average higher in

161 Lomellina (938 mm) than in Camargue (745 mm). Average air temperatures during the rice

162 growing season (from May to September) range from 19.9°C in Camargue to 20.5°C in Lomellina

163 in the period 1991-2010.

164 The typical agricultural management of rice fields presents direct seeding and continuous flooding

165 until milky ripening stage, with two-three water drainages during the growing season to promote

166 rooting and before the application of weed control products and top dress fertilizers (mainly urea,

167 average dose 150 kg ha⁻¹), which are usually split at sowing, tillering and panicle differentiation

168 stage. The most widespread rice varieties mainly belong to the *japonica* ecotype, with main

169 differences in the duration of the growing cycle.

170 Weed infestation and cold temperatures are among the main constraints to rice production during

171 the crop establishment phase in both French and Italian rice areas, whereas spikelet sterility due to

172 cold air irruptions around flowering (Biologische Bundesanstalt, Bundessortenamt und CHemische

173 Industrie, BBCH codes 61-69) often occurs leading to yield losses (Mariani et al., 2009).



Figure 2. Location of the two study areas (A : Lomellina, B : Camargue). Black dots represent the
locations of the meteorological stations

178 **2.2 Current and future weather scenarios**

179 For current weather scenarios, 20-years series of daily weather data for the period 1991-2010 were

180 collected from weather stations located inside the French and Italian rice areas. Castello d'Agogna

181 (45°14' N, 08°41' E) and Fourques (42°58'N, 2°78'E) were selected for the Lomellina and

182 Camargue case studies, respectively (Figure 2). The available weather variables were daily

183 maximum and minimum air temperature (°C), precipitation (mm d⁻¹), global solar radiation (MJ m⁻²

184	d ⁻¹), average wind speed (m s ⁻¹) and evapotranspiration (mm d ⁻¹). These baseline data and four
185	GCM realizations of two contrasting RCP were used to generate the 20-years future weather series
186	to consider a range of plausible impacts of the changes in atmospheric composition due to the
187	effects of technology, economy, lifestyle, and policy development on climate (IPCC, 2014). Two
188	future time frames were considered, referring to the near (2030) and long-term (2070) futures.
189	The GCM were randomly selected among the ones included in the Coupled Model Intercomparison
190	Project (CMIP5, http://cmip-pcmdi.llnl.gov/cmip5/): the Norwegian Earth System Model
191	(NOResm, Tjiputra et al., 2013), the Model for Interdisciplinary Research on Climate (MIROC-
192	ESM, Watanabe et al., 2011), the Hadley Centre Global Environmental Model version 2
193	(HadGEM2-ES, Collins et al., 2011), and the GCM developed by the Goddard Institute for Space
194	Studies (GISS-ES, Schmidt et al., 2006).
195	The two extremes of the RCP of greenhouse gas (GHG) concentration proposed by IPCC were used
196	in this study: the RCP 2.6, which assumes a peak of global annual GHG emissions between 2010-
197	2020 and a radiative increase up to 2.6 W m ⁻² , with CO_2 concentration reaching 420 ppm in 2100,
198	and the RCP 8.5, projecting a continuous rise of GHG emissions throughout the 21 st century with a
199	radiative increase of 8.5 W m^{-2} , with CO ₂ concentration reaching 936 ppm in 2100 (IPCC, 2014).
200	The CO_2 concentration for baseline conditions was set to 382 ppm, and the future CO_2
201	concentrations used as input in the simulation experiment were 438 ppm (2030) and 444 ppm
202	(2070) for RCP 2.6, and 438 ppm (2030) and 660 ppm (2070) for RCP 8.5 (IPCC, 2013).
203	The average monthly absolute anomalies of temperature and precipitation in the period 2021-2040
204	for 2030 time frame, and 2061-2080 for 2070 time frame (difference or ratio between the future and
205	the present value of the variable; Déqué et al., 2007), corresponding to each GCM \times RCP
206	combination, were downloaded from the Program for Climate Model Diagnosis and
207	Intercomparison data portal (<u>https://pcmdi.llnl.gov/search/cmip5/</u>). They were then used to
208	modulate the parameters of the CLIMAK weather generator (Danuso, 2002), in order to generate
209	the 20-years of future weather series to be used in the simulation experiment, following the

210 procedure used by Confalonieri et al. (2013), Cappelli et al. (2015) and Paleari et al. (2015). In this

simulation experiment 34 weather series of 20-years were used, as the sum of the 2 baseline for

212 Lomellina and Camargue and of 32 future weather scenarios, as the product of 2 RCP \times 4 GCM \times 2

213 future time frames \times 2 study areas.

214

215 2.3. Simulation experiment design

216 **2.3.1. Crop simulation models**

217 The simulation of rice growth and development in baseline and future weather scenarios was 218 performed at potential production level, i.e., defined by the genotype of the rice variety and by solar 219 radiation, temperature and CO₂ concentration (De Wit and Penning de Vries, 1982; Rabbinge, 220 1993). We did not consider the limiting effects of water and nutrient availability on yield – the attainable production level - because rice-cropping systems are flooded and receive generally a 221 222 high fertilization rate in both areas. We used the generic crop model STICS (Brisson et al., 1998) 223 adapted for rice (Ruget et al., 2016) and the rice model WARM (Confalonieri et al., 2009) to perform the simulation experiment, as these two models were developed under temperate 224 conditions. Although they both simulate crop phenological development as a function of thermal 225 time accumulation and share the main concept of radiation use efficiency (RUE, g MJ⁻¹, Warren 226 Wilson, 1967) to reproduce biomass growth, they markedly differ in the formalization of the 227 228 algorithms used to mimic crop growth and respond to weather input data (Table 1). Main 229 differences between the two models are the time step to compute rice growth and development -230 hourly for WARM and daily for STICS - and the formalization of the plant processes leading to the 231 dynamic increase of leaf area index and to the partitioning of assimilates to leaves, stems and 232 panicles. Hourly data of air temperature and global radiation as input for the WARM model were 233 estimated according to Campbell (1985) and Chen et al. (1999) starting from daily data. The WARM model daily updates the leaf area index $(m^2 m^{-2})$ by multiplying the daily increase of 234 leaves biomass (kg m⁻²) by the specific leaf area ($m^2 kg^{-1}$), and computes leaves senescence as 235

236 driven by a threshold of thermal time, whereas STICS calculates the leaf area index increase as 237 mediated by air temperature. The partitioning pattern in STICS is driven by a dynamic harvest index, which is upper limited by the product of grain numbers by maximum grain weight, whereas 238 in WARM it depends solely on crop development stage, with the weight of reproductive organs 239 240 starting to grow after panicle initiation stage. The maximum value of radiation use efficiency is 241 modulated in both models by temperature, radiation, development stage and CO₂ concentration, according to different modelling approaches. The two models differ in the simulation of the impacts 242 243 of cold and heat stress on rice yield. The WARM model simulates a reduction of spikelet fertility due to cold and heat stresses acting in a critical time window around flowering, whereas STICS 244 245 stops the grain filling process in post-anthesis while decreasing the number of grains during the 246 ripening period as a response to low and high temperatures. The beneficial effect of increasing atmospheric CO₂ in future climate scenarios is simulated by the two models with empirical 247 248 functions (Appendix B).

249

Table 1. Modelling approaches used by the WARM and STICS models to simulate plant processes,

Process	Sub process	WARM	STICS
	Emergence	Thermal time sum	Sowing depth and soil humidity
	Flowering and	Thermal time sum	Thermal time sum
	maturity dates		
	Thermal time	Nonlinear function between	Trapezoidal linear function
Phenology	accumulation	minimum, optimum and	between minimum, two
Thenology		maximum temperature	optimum and maximum
			temperature
	Input	Air temperature	Crop temperature
	temperature		
	Time step	Hourly	Daily
	Leaf area index	Driven by specific leaf area and	Driven by temperature
Leaf area	increase	leaves biomass	
dynamics	Leaf senescence	Thermal time, leaf life duration	Thermal time, leaf life duration
		Net photosynthesis, a single	Net photosynthesis, three values
Biomass	Photosynthesis	value of maximum radiation	of maximum radiation use
accumulation	1 notosynthesis	use efficiency	efficiency according to the
			phenological phase.

	Time step	Hourly	Daily
	Temperature	Nonlinear function between minimum, optimum and maximum temperature	Linear function between minimum, optimum and maximum temperature
Limiting factors on radiation use	Radiation	Linear decrease above a radiation threshold	Nonlinear decrease of the daily relation between biomass increase and intercepted radiation
efficiency	Development stage	Linear decrease after flowering	Variation of the value of maximum radiation use efficiency according to the phenological phase
	CO ₂ effect	Exponential function, Eq. 2	Exponential function, Eq. 1
Doutition in a of	Vegetative organs	Nonlinear, driven by development stage	Fixed parameter of the ratio between daily growth of leaves and stems
assimilates	Reproductive organs	Driven by development stage, starting from panicle initiation	Dynamic harvest index and maximum grain number fixed at flowering, maximum grain weight as genotypic parameter
Limiting factors to	Extreme temperatures	Around flowering	During ripening period
yield accumulation	Damage	Heat/cold sterility acting on panicle biomass	Stop of grain filling, reduction of grain numbers during early ripening

252

253 **2.3.2.** Models' calibration in current weather conditions

254 We calibrated the two crop models using phenological observations (dates of flowering and maturity) and dynamic field data of leaf area index (LAI, $m^2 m^{-2}$) and aboveground biomass (AGB, 255 256 t ha⁻¹) collected in 10 experimental trials in Lomellina (years 1989, 1990, 1995, 1996) and in surveys in 10 farmer fields in Camargue (years 1987, 1988 and 2009). Full information on these 257 258 datasets including the description of the site, the sowing date, the variety and synthetic data on crop 259 development and growth are provided in Appendix A. The agronomic management of the fields 260 aimed at growing rice crop at potential production level. Experiments were carried out under flood 261 irrigation and non-limiting nitrogen conditions, in order to avoid water and nitrogen stresses during the whole crop cycle. Weeds were controlled in pre-sowing and post-emergence in both sites, and a 262 263 chemical treatment against blast disease was applied around flowering in Lomellina experiments.

The field datasets refer only to *japonica*-type varieties, which were split in two groups according to the length of their crop cycle (*japonica* early and *japonica* medium).

AGB and LAI were measured several times during the crop cycle to characterize the crop growth 266 267 dynamics, whereas yield was sampled at harvest. The AGB samples were oven-dried until constant 268 weight, whereas LAI was sampled by destructive planimetric methods, according to Jonckheere et al. (2004). The BBCH scale (Lancashire et al., 1991) was used to assess the main rice phenological 269 stages: emergence (BBCH=10), flowering (BBCH=65) and physiological maturity (BBCH=89). 270 271 STICS and WARM were calibrated and evaluated separately for *japonica* early and medium varieties. For each group, 10 French and 10 Italian datasets were split into independent calibration 272 273 and evaluation subsets to assure the applicability of the two models across study areas. The crop 274 model calibration was performed independently for the two models via automatic optimization tools using the root mean square error between simulated data and measurements as the objective 275 276 function, and tuning parameter values within their biophysical ranges. For both models, we started 277 from default parameterization for rice crop. For WARM model, the parameters under calibration involved with phenological development were the thermal thresholds to reach flowering and 278 279 maturity (°C day), whereas to reproduce organs growth the potential radiation use efficiency (g MJ⁻ ¹), the three cardinal temperatures for biomass accumulation (minimum, optimum, maximum 280 281 temperature, °C), the partitioning of assimilated to leaves at emergence (0-1, dimensionless), and the specific leaf area at emergence and tillering $(m^2 kg^{-1})$. In STICS, phenology was calibrated by 282 adjusting the thermal time requirements (°C day⁻¹) between (i) emergence and maximum leaf area 283 284 increase, (i) beginning of stem elongation and maximum LAI, (iii) emergence and beginning of grain filling, (iv) flowering and maturity, and the leaf life duration (°C day⁻¹). The calibrated 285 parameters related to biomass accumulation were the maximum rate of gross leaf surface area 286 production (m² plant degree day⁻¹), the coefficient of decrease of maximum leaf area as function of 287 plant density (dimensionless), the potential radiation use efficiency (g MJ⁻¹), the speed of thermal 288 increase of harvest index (g grain g plant⁻¹ day⁻¹), the maximum grain weight (g) and the maximum 289

number of grains (grains m⁻²). The calibrated temperature response functions to simulate both
phenological development and the limitation to radiation use efficiency are reported in Appendix C,

as well as the model parameters and the results of model calibration and evaluation.

293

294 **2.3.3.** Simulations in current and future weather scenarios

295 The calibrated sets of model parameters characterizing current rice varieties were used to simulate potential rice yield in baseline weather scenario (1991-2010). Simulations were performed by 296 setting May, 1st as a fixed sowing date in the two study areas, according to available datasets, 297 298 farmers' interviews and expert knowledge. The simulation of the future trends of rice yield in the 299 two areas were performed adopting the same model parameters and sowing date than in baseline 300 scenario, and by testing the implementation of three adaptation strategies: (1) the anticipation of the 301 sowing date (sowing adaptation), (2) the adoption of varieties with a longer crop cycle (variety 302 adaptation), or (3) the combination of *sowing* and *variety* adaptations (*double* adaptation). 303 The *sowing* adaptation corresponds to a shifting of the rice sowing date according to a mathematical rule, which was derived on baseline weather scenarios. Considering May 1st as the average sowing 304 305 date in current conditions, we computed the number of times when the weekly moving average of daily average air temperature was above 10°C (Gao et al., 1983) in the period March, 1st - May, 1st. 306 307 The application of this rule led to different values in the two study sites: in Camargue, i.e., 6.3 308 weekly averages were above 10°C in the specified two-months period, and 4.3 in Lomellina. Then, 309 we applied the same rule and thresholds on the future 20-year weather series for Camargue and 310 Lomellina, in order to derive the new sowing dates to be used in future weather scenarios (Table 2). 311 The *variety* adaptation was developed using a 1-year weather series, computed by daily averaging the 32 future weather scenarios resulting by all the available combinations of 4 GCM \times 2 RCP \times 2 312 313 sites. This choice allowed us to obtain average weather conditions from the GCM and RCP 314 ensemble projections, leading to calibrate two model parameter sets, i.e., one for *japonica* medium 315 and the other for *japonica* late variety.

316 The calibrated values of thermal time threshold to reach flowering and maturity dates in STICS and 317 WARM were adjusted by running the two models on this synthetic year, using the average sowing date coming from *sowing* adaptation, and forcing the length of the crop cycle to match 140 days and 318 319 160 days, as representative of *japonica* medium and late varieties. Compared to calibrated sets of 320 parameters, the values changes involved only the thermal thresholds, i.e. amount of the growing 321 degree-days required to reach flowering and maturity stage in the two models. The ratio between 322 the thermal time requirements in the periods emergence-flowering and flowering-maturity was kept 323 equal to the model calibration in current weather conditions.

324

Table 2. Simulation settings adopted for the simulation of potential rice production in current and future weather conditions, considering the implementation of *sowing* (shift of the sowing date) and *variety* (adoption of varieties with longer crop cycle) adaptation strategies. JE = japonica early, JM = *japonica* medium, JL = *japonica* late

Site	Time	Setting	Adaptation strategy			
	horizon		No	Sowing	Variety	Double
	2020	Sowing	May, 1 st	April, 21 st	May, 1 st	April, 21 st
Comorquo	2030	Variety	JE, JM	JE, JM	JM, JL	JM, JL
Calliargue	2070	Sowing	May, 1 st	April, 9 th	May, 1 st	April, 9 th
	2070	Variety	JE, JM	JE, JM	JM, JL	JM, JL
	2020	Sowing	May, 1 st	April, 26 th	May, 1 st	April, 26 th
Lomallina	2030	Variety	JE, JM	JE, JM	JM, JL	JM, JL
Lomennia	2070	Sowing	May, 1 st	April, 20 th	May, 1 st	April, 20 th
_	2070	Variety	JE, JM	JE, JM	JM, JL	JM, JL

329

330 2.4 Statistical analysis

Uncertainties in yield predictions attributable to the GCM, the RCP, and the crop model were evaluated with an analysis of variance together with the effects of the time frame, the site and the variety group. The contribution of each source of uncertainty was assessed by the Mean Squared Error (MS), calculated as the sum of squares divided by the associated number of degrees of freedom (df). MS was used to compare the contributions of the different factors to the total variability in simulated rice yields (the highest the MS of a factor, the highest its contribution). The

- 337 significance of each factor was then evaluated using F-tests. Finally, the goodness-of-fit of the
- 338 model was assessed by the adjusted R^2 and the size of the errors in the residuals (Root Mean Square
- 339 Error, RMSE). All analyses were performed with the R software version 3.2.3 (R Development core
- team, 2015). The analysis of variance was performed using the 'aov' function and the RMSE was
- 341 calculated using the 'rmse' function of package hydroGOF (Zambrano-Bigiarini, 2014).
- 342

343 **3. Results**

344 **3.1. Future trends of rice yield**

345 3.1.1. Yield dynamics





347 Figure 3. Dynamic of simulated panicle biomass growth from panicle initiation to physiological 348 maturity in baseline (black line) and in future weather scenarios, considering no adaptation (cvan) 349 and the implementation of double adaptation (considering together *sowing* and *variety* adaptations, 350 orange). Plotted values are the mean of daily data simulated by the two crop models in the baseline 351 (solid black line), and in the future (i.e., considering all crop model \times variety \times GCM \times RCP 352 combinations for no adaptation – cyan dashed line, varieties *japonica* early and medium – and with 353 sowing and variety adaptation – orange dashed line, varieties *japonica* medium and late) with 354 associated standard deviation (extreme coloured lines, for future conditions only). Data are 355 presented divided by site (Camargue, A and B; Lomellina, C and D) and time frame (2030, A and 356 C; 2070, B and D). For each combination site \times time frame, the average duration of rice growth 357 stages is reported in number of days (Veg-vegetative period, from germination to panicle inititation; 358 Rep-reproductive period, from panicle initiation to flowering; Rip-ripening, from flowering to 359 maturity; Tot-total cycle length, from germination to maturity). 360

- 361 The results related to yield dynamics simulated under current/climate change scenarios are
- 362 presented in Figure 3. In 2030, the simulation of rice phenological development in both sites with
- 363 no adaptation (Figure 3A, C) led to a shortening of the crop cycle of 13.5 days due to an
- anticipation of the main rice growth stages (-6 days for vegetative period, -2.5 days for reproductive
- 365 period and -5 days for the ripening period). In 2070, the further anticipation of the main
- 366 phenological phases (-9.5 days for vegetative period, -3.5 days for reproductive period and -6 days

367	for ripening) led to a shortening of the whole crop cycle of 19 days. The combined effect of the
368	anticipation of sowing dates and the adoption of varieties with higher thermal requirements (double
369	adaptation) determined a longer duration of the main phenological stages and their delayed
370	occurrence with respect to both no adaptation and baseline simulations (Figure 3B, D). This effect
371	was clearer in 2030 (+13 days for vegetative period, +7.5 days for reproductive period and +13.5
372	days for ripening), whereas in 2070 the increase of air temperature smoothed these differences,
373	especially for reproductive (+5.5 days) and grain filling (+7.5 days) period. Therefore, the average
374	duration of the whole crop cycle was longer in 2030 (155 days) than in 2070 (148.5 days). On
375	average, the impact of climate change on the shortening of the crop cycle was similar in the two
376	case studies, with -32 days in 2030 and -30 days in 2070 in Lomellina and -36 days in 2030 and -25
377	days in Camargue.
378	With no adaptation, the differences in simulated yield between the two sites were small in 2030, and
379	the associated mean yields ranged between 6.3 t ha ⁻¹ (Lomellina) and 7 t ha ⁻¹ (Camargue), being
380	lower than in baseline conditions by -4.5% \div -11.8% (average -8.2%) for Camargue and Lomellina,
381	respectively. In 2070, a decrease of final yield was simulated in Lomellina (5.8 t ha ⁻¹) whereas in
382	Camargue mean yield remained more stable (6.8 t ha ⁻¹), because of the beneficial effect of the
383	temperature increase on rice growth rates, which counterbalanced the shortening in phenological
384	development. This led, with respect to baseline simulated yields, to smaller yield loss in Camargue
385	(-6.4%) than in Lomellina (-19.6%) in 2070. The implementation of <i>double</i> adaptation in Camargue
386	led to mean yield in 2070 (9.6 t ha ⁻¹) even higher than in 2030 (9.4 t ha ⁻¹). On the contrary, mean
387	simulated yield were higher in 2030 (9 t ha ⁻¹) than in 2070 (8.4 t ha ⁻¹) in Lomellina. For both time
388	frames, yield increases, relatively to site specific baseline conditions, were lower in Lomellina than
389	in Camargue, with a mean increase of +26% in 2030 and +16.9% in 2070 in Lomellina, while
390	Camargue experienced average yield increases of +29.2% and +31.8% in 2030 and 2070,
391	respectively.



394 395 Figure 4. Cumulative frequency distribution of simulated yields in site-specific baseline and in 396 future weather scenarios with no adaptation and with the implementation of the three adaptation 397 strategies, as compared to the simulations in baseline conditions for the two sites (Camargue, A and 398 B; Lomellina, C and D) and the two time frames (2030, A and C; 2070, B and D). Red line 399 indicates the site-specific baseline; Dotted black line indicates no adaptation; Dotted grey line 400 indicates Sowing adaptation; Full black line indicates the Variety adaptation; and Full grey line indicates the double adaptation; Magenta lower and upper lines correspond to -10% and +10% of 401 402 site-specific mean baseline yield, respectively. Numbers correspond to the frequency of simulated 403 yields above or below the $\pm 10\%$ mean baseline yield, respectively.



414 occurrence of massive heat stress due to extreme weather conditions, which were more frequent in 415 Lomellina than in Camargue in almost all of simulations (90%) for each adaptation strategy (mean 416 difference of 4 days for *double* adaptation, and of 3 days for no, *sowing* and *variety* adaptation; see Appendix E). We refer here to "heat stress" as the combined effects of panicle sterility simulated by 417 418 WARM and of the reduction of grain number and weight simulated by STICS. 419 In baseline, the frequency of simulated yields leading to yield loss above 10% was lower in Camargue (8.75%) than in Lomellina (18.75%) (Figure 4). Similarly, a lower number of 420 421 simulations resulted in yields gain above 10% in Camargue (1.25%) than in Lomellina (16.25%). No adaptation and *sowing* adaptation led to much higher risks to get more than 10% of yield loss, as 422 423 compared with the site-specific mean of the baseline scenario (more than 22% and 41% of 424 simulated yields, respectively, see Figure 4). No adaptation and *sowing* adaptation led to more than 10% of vield increase in less than 10% and 5% of the cases in Camargue and Lomellina. 425 426 respectively. The number of simulated future yields associated with more than 10% of yield loss 427 with respect to the site-specific mean baseline was strongly reduced with *variety* and *double* adaptation (maximum of 5% and 20% in Camargue and Lomellina, respectively). Simulated yields 428 429 for variety and double adaptation resulted in more than 10% of yield increase, as compared to the 430 site-specific mean baseline, in most of the simulations (more than 87% and 69% of the simulated yields in Camargue and Lomellina, respectively). The risks of yields with more than 10% of yield 431 432 loss were lower in 2030 than in 2070 for both sites, with larger differences between the two time 433 frames in Lomellina (Figure 4). The fraction of simulated yields with more than 10% of yield 434 increase was also larger in 2030 than in 2070, with larger differences in Camargue (e.g., 9% and 435 15% of the simulated yields with sowing adaptation in 2030 and 2070, respectively). The effect of the *sowing* adaptation on final yield was negligible in all conditions tested, as the distributions of 436 437 simulations with earlier sowing dates were almost overlapped with the ones with current sowing date (May, 1st), whereas simulations with *double* adaptation were very similar than with *variety* 438 439 adaptation.

441 3.2 Quantifying yield uncertainty due to climate changes projections



442 3.2.1. Overview of the magnitude of each factor

444 Figure 5. Boxplots of final yields simulated according to each modality (x-axis) of the six factors 445 considered here (crop model, group of varieties, GCM, RCP, site and time frame).

446 Plotted values refer to simulations with no adaptation (A), according to the implementation of 447 sowing (B) and variety adaptation (C) and to double adaptation (D).

Extreme values correspond to quantiles 10 and 90. STI: Stics; WAR: Warm; EAR: early variety; 448

MED: medium variety; LAT: late variety; GIS: Giss; HAD: Hadgem; MIR: Miroc; NOR: Noresm; 449

2.6: RCP 2.6; 8.5: RCP 8.5; LOM: Lomellina; CAM: Camargue; 2030: Time frame 2030; 2070: 450

Time frame 2070. In red are indicated median baseline yield (bold line), and 25th and 75th quantiles 451

considering together the simulated yields of the two sites, the two varieties and the two crop 452 models.

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Figure 5 summarizes the effects of each factor by synthetizing its distribution (10, 25, 50, 75 and 455

90th quantiles) for each factor modality (e.g., STICS and WARM modalities for the crop model 456

- factor). The ranking of the modalities' median values was stable for every factor whatever the 457
- 458 adaptation strategy considered, whereas the associated yield variability differed according to the

different modalities of each factor (Figure 5). Median yield values and yield variability simulated by WARM were higher than for STICS, this difference increasing with *variety* and *double* adaptation (Figure 5). The adoption of varieties with a longer cycle had an opposite impact on the yield variability simulated by the two models, with WARM increasing and STICS reducing the yield variability. The varieties with longer cycles displayed higher yields than early varieties, consistently between the four tested strategies. The yield variability associated to GCM was similar between the four modalities.

466 Median yields for RCP 2.6 were higher than for RCP 8.5 for the four strategies, with lower

467 differences for the *variety* and *double* adaptations. Yields simulated with RCP 2.6 were less variable

than with RCP 8.5. Lomellina median yields showed a wider distribution, and lower values, than

469 Camargue yields for all adaptation strategies. The median yield difference between the two sites

470 was lower with *variety* and *double* adaptation, as compared to no and *sowing* adaptation (Figure 5).

471 The median simulated yield variability was higher in 2070 than in 2030, while their ranking differed

472 between no and *sowing* adaptations compared to *variety* and *double* adaptation. For *variety* and

473 *double* adaptation, most of the simulated yields were above the median, and even the first quartile

474 of baseline yield distribution (Figure 5). Thus, while the ranking of factors and modalities remained

475 stable for the different adaptation strategies, there was a systematic yield increase when adapting

476 the variety (*variety* and *double* adaptation), as compared to no adaptation and to *sowing* adaptation.

477

478 *3.2.2. Ranking the components of uncertainty*

479 Table 3. Analysis of variance of mean future yields with and without adaptation strategies,

considering together the two time frames (2030 and 2070), the two RCP (2.6 and 8.5), the four
GCM (Giss, Hadgem, Miroc and Noresm), the two sites (Camargue and Lomellina), the two crop
models (Stics and Warm), and the two groups of variety.

				2	
		No adaptation	Sowing	Variety	Double
Factor	d.f.	MS p-value	MS p-value	MS p-value	MS p-value
Time frame	1	79 <0.001	30 < 0.001	97 <0.001	35 <0.001
RCP	1	137<0.001	109<0.001	166 <0.001	175 < 0.001
GCM	3	136<0.001	130<0.001	158 <0.001	157 < 0.001
Site	1	455<0.001	564 < 0.001	217 <0.001	410 < 0.001

Crop model 1	0 0.981	1 0.252	59 <0.001	2 0.371
Variety group 1	128<0.001	221 < 0.001	114 <0.001	120 < 0.001
Adjusted R ²	0.329	0.339	0.173	0.187
RMSE	0.976	0.921	1.440	1.424

d.f. : number of degrees of freedom ; MS : Mean Squared Error ; RMSE : Root Mean Squared Error
(in t ha⁻¹)

486 The results of the analysis of variance performed for each adaptation strategy are presented in Table 487 3. The site was the most explanatory factor of simulated yields in future weather scenarios, either 488 considering or not adaptation strategies (Table 3). On average, simulated yields in Camargue were higher than in Lomellina, with an increase of 0.8-0.9 t ha⁻¹ (Figure 6), except for the simulations 489 considering only the *variety* adaptation strategy (0.6 t ha⁻¹, see Appendix G). This site effect could 490 491 be explained by the highest occurrence in Lomellina, as compared to Camargue, of extremely high 492 temperature during the summer (Appendix F), inducing more days with heat stress during ripening 493 (Appendix E). For instance, Lomellina highlighted, for the July-August period, 58% of years where 494 maximum temperatures exceeded 36°C (i.e., sterility threshold), while it was only 36% of the years 495 in Camargue (Appendix F). The ranking of the importance of the different factors was consistent 496 when considering simulations with no adaptation, variety and double adaptation (Table 3). In these 497 situations a decreasing impact of RCP, GCM, variety group and time frame on simulated yields was 498 observed, whereas with sowing adaptation the effect of variety was higher than GCM, RCP and 499 time frame.

The crop model factor had a significant impact (p<0.05) on yields only with *variety* adaptation, with STICS leading to higher yields than WARM (0.3 t ha⁻¹ on average, Appendix G). The variability explained with no and with *double* adaptation was higher ($R^2=0.33$ and 0.34, respectively; Table F) and led to lower errors of prediction (~0.95 t ha⁻¹) as compared to the ones with *sowing* and *variety* adaptation (~1.4 t ha⁻¹) (Table F).



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Figure 6. Effects of the different factors on simulated yields in future weather scenarios. Results are presented for simulations with no adaptation (in black) and with *double* adaptation (i.e., sowing dates and variety, in white). The bars represent the yield difference between the two modalities, in the order presented in the Modality column. The significance of the effects are indicated on the right size (***: p<0.001; **: p<0.05; NS: not significant, i.e., p>0.05)

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The ranking of the factors' modalities was stable when considering no adaptation and *double* adaptation strategies (Figure 6). For these strategies, the highest difference between simulated yields was due to the GCM modality, with GISS leading to higher yields than HADGEM of about 1.1 t ha^{-1} . GISS and MIROC provided the closest yield estimation (0.2-0.3 t ha⁻¹), although their difference was significant (p<0.001). RCP 2.6 always provided higher yields than RCP 8.5 of about 0.5 t ha⁻¹ (Figure 6). The ranking of variety groups was stable for no and *double* adaptation, with yields of longer-cycle varieties higher than shorter-cycle varieties of about 0.4 t ha⁻¹.

520 **4. Discussion**

521 4.1. Lower yields in the future, except with adapted varieties

522 Our main objective was to assess the future rice yield trends in Mediterranean producing countries 523 in the context of climate change, in order to provide information to be used by stakeholders in the 524 EU rice sector. The results indicated that, without the implementation of adaptation strategies as 525 anticipated sowing dates and adoption of varieties with longer crop cycle, average potential rice 526 yield in the study areas would decrease by 8% in 2030 and 12% in 2070 time frame with respect to 527 current conditions. Such yield reduction under climate change was already highlighted on other 528 crops in France, e.g., maize and wheat (Delecolle et al., 1995). Potential rice yields in the future 529 would be lower than average current yield in 69% and 67% of 2030 and 2070 simulations in 530 Camargue, and in 83% and in 84% of 2030 and 2070 simulations in Lomellina. The main factors 531 associated with these trends are the shortening of the crop phenological phases due to temperature 532 increase and the rising occurrence of heat stress during flowering and ripening due to temperature 533 extremes, especially in Lomellina. The latter represents a shift of paradigm with respect to cold 534 stress, considered as one of the major yield-limiting factor in current conditions. In our simulations, 535 the two models consistently simulated a low average number of days with heat stress in the baseline 536 weather (0.52 days), and an increasing trend in 2030 (1.48 days in Camargue, 3.1 days in 537 Lomellina) and 2070 (3.4 days in Camargue, 5.9 days in Lomellina), considering together no adaptation and adaptation strategies (Appendix D, tables D1-D4). 538 539 However, our results strictly depend on the two modelling approaches used to simulate this process, 540 which do not represent the whole range of available models (Krishnan et al., 2007; van Oort et al., 541 2014). Moreover, the only model in this study simulating the percentage of heat sterility (WARM) 542 did not implement a heat balance at canopy level, therefore it used hourly air temperature as the

543 driving variable for heat sterility damage. This could potentially lead to its overestimation because

of the lack of consideration of transpirational cooling at canopy level, which can smooth the effects

of extreme hot temperatures during flowering (Hasegawa et al., 2011; Julia and Dingkuhn, 2013;

546 Matsui et al., 2014). The emerging risk of heat stress in Lomellina is however proved by local rice 547 growers and magazines (RisoItaliano, 2016), reporting yield losses due to spikelet sterility in 2015. Moreover, both the length of the crop cycle and the number of days with heat stress were largely 548 correlated with simulated yields (e.g., $R^2 = 0.472$ and $R^2 = 0.327$ for simulations with no adaptation 549 550 and with *double* adaptation respectively). We can then infer that these factors will be major 551 determinants of rice yield variability in the future, in accordance with findings of Muller et al. 552 (2015) for wheat and maize – for the length of the growing season – and of Deryng et al. (2014) for 553 maize, wheat and soybean - for the impact of heat stress.

554 Another source of uncertainty in crop model applications in climate change scenarios is due to the 555 model formalization for simulating the CO₂ response, as CO₂ atmospheric fertilization effect could 556 deeply affect yield predictions (Li et al., 2015). The CO₂ response functions implemented in the 557 crop models used in this study (see section 2.3.1) act on the same process (i.e., RUE) and produce 558 very similar outputs in the considered CO₂ range (380-660 ppm), starting to diverge at extreme high 559 or low concentrations. This represents a limit of this study, given the simplistic and empirical representation of the CO₂ effect on yield as compared to more process-based Farquhar-type 560 561 photosynthesis models, implemented in some rice crop simulators (e.g., Li et al., 2015; Confalonieri 562 et al., 2016).

563 Despite these limitations, a major finding of our study is that climate change, rather than being a 564 threat, represents an opportunity for European rice growers, as the implementation of adaptation 565 strategies could overturn the situation, leading to an average increase of 28% in 2030 and 25% in 566 2070 with respect to baseline mean yield levels. With the adoption of longer-cycle varieties, yields 567 would be higher than baseline mean in the majority of cases (>76%), with however a larger frequency of lower yields in 2070, as compared to 2030, and in Lomellina, as compared to 568 569 Camargue. The large differences in the yield frequency patterns in the two study areas can be 570 explained by their climatic heterogeneity even in current conditions (Metzger et al., 2005), with 571 Lomellina presenting more temperature extremes leading to cold (baseline) and heat (future) stress than in Camargue. Such site effect, even for close locations, was already highlighted in future yield
studies on rice crop (e.g., in India, Krishnan et al., 2007; in China, Xiong et al., 2009), and on other
crops grown in EU, e.g. winter wheat (in Denmark and Spain, Olesen et al., 2007).

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4.1.1. Factors of uncertainty for future yield predictions

577 In this study, the sources of uncertainty were ranked according to their impact on simulated yields, 578 aiming at evaluating their importance on models predictions. This is considered an essential step to 579 increase the reliability of the results of climate change studies for stakeholders and policy makers 580 (Ruiz-Ramos and Minquez, 2010).

581 The site yielded as the most important source of variability in our analysis, even if the two

582 Mediterranean rice growing areas considered here are very close in terms of distance, and similar in average climatic pattern. However, the field of validity of our results is limited to the narrow range 583 584 of the explored climatic conditions, therefore the inclusion of additional sites would certainly affect 585 our specific results and possibly change the ranking of the sources of uncertainty. The same 586 considerations could be done for all the other factors, even if including the two extremes of RCPs 587 and four different GCMs strengthen our findings. A limit of this study is represented by the 588 application of only two crop growth simulators as impact models, as it is demonstrated that they can 589 explore a limited part of the possible variation in yield prediction (Li et al., 2015).

590 Besides the expected predominant effect of study site, RCP and GCM were found to be high 591 sources of uncertainty for yields simulated with the different strategies. The strong effect of RCP 592 was expected, given the use of the two IPCC extremes scenarios, whereas the importance of GCM 593 in explaining yield variability supports the need to adopt a multi-GCM approach to manage their 594 difference to produce input data for crop modelling studies. The effect of these two factors on 595 potential rice yield was much higher than the one of time frame and crop model in almost all cases. 596 While the site effect was also found by Olesen et al. (2007) as one of the main factors explaining 597 winter wheat yields, other studies demonstrated a high effect of the crop model on future rice yield

quantification (e.g. Aggarwall and Mall, 2002; Matthews et al., 2003), which was however not found in our study. Olesen et al. (2007) also highlighted the magnitude of the effect of the method for scenario application, i.e., the adjustment or not of future climate series to past observed climate series. However, all these studies used different factors to simulate future yields with climate change (e.g., GCM, RCP, crop model, etc.), together with different modalities for these factors, making between-studies comparison not straightforward.

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4.1.2. Effects of adaptation strategies

606 The consideration of adaptation strategies in climate change impact studies is mandatory, as it is 607 proved that societies, organizations and individuals will autonomously react to in response to 608 climatic changes in the same way they have adjusted their behavior in the past (Adger et al., 2005). 609 This study reports that without adaptation, future yields are expected to be more variable, mainly 610 due to the higher frequency of extreme weather events (Olesen and Bindi, 2002; Xiong et al., 2009). 611 In our study, crop-model simulations with adaptation strategies led to higher yields and yield 612 variability, as compared to no adaptation and to baseline yields. A large increase in rice yields 613 subsequent to variety adaptation was already shown in previous studies, e.g., in Matthews et al. 614 (1995) with variety change towards no spikelet sterility; Krishnan et al. (2007) with variety 615 displaying higher spikelet temperature tolerance. This last study also highlighted higher yield variability according to GCM with *variety* adaptation as compared to no adaptation. In our study, 616 617 we showed that the *variety* adaptation was more efficient than the *sowing* one. Such results are in 618 line with previous studies on rice and maize (e.g., Krishnan et al., 2007; Tingem et al., 209; Rotter 619 et al., 2015). There is a wide consensus on the need to evaluate the potential benefits of the 620 implementation of adaptation strategies even in current climate, in order not to overestimate their impact in future conditions (Lobell, 2014). We performed this test by simulating the performances 621 of the new varieties in the period 1991-2010 while keeping the original sowing date (May, 1st). We 622 623 discovered that using adapted varieties in current climate lead to a major problem, which is the high

624 number of cropping seasons in which physiological maturity is not reached. The application of the 625 new parameter sets with the thermal requirements for adapted *japonica* medium varieties leads to the completion of the crop cycle in only 47.5% and 57.5% of the years in current conditions in 626 Camargue and Lomellina, respectively (average of the two models). This situation is even worse 627 628 with the new *japonica* late varieties, as the number of years in which physiological maturity is 629 reached is even less (2 years out of 20) in both study areas. We can thus conclude that the adoption of longer cycle varieties is beneficial in light of the rising temperature associated to climate change 630 631 projections. Regarding the sowing date anticipation per se, thus adaptation was not tested in current conditions. Indeed, we derived future sowing dates basing on current climate, considering the 632 633 number of times when the weekly average of average temperature is above 10°C from March 1st to May 1st. Therefore, applying this rule in current climate leads to the sowing date already used to 634 perform baseline simulations. 635

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637 4.2. Methodological choices

The reported ranges of variation between future and current yields strongly vary in literature and are 638 639 affected by many sources of uncertainty, including the choice of the crop models, the climate 640 change scenario, the study period and the study region (Hawkins and Sutton, 2009). In their review on the impacts of climate change on rice production, Matthews and Wassman (2003) reported that, 641 according to the results of the IRRI/EPA project, future yield levels in Asia would range from -642 12.8% to +6.5% depending on the considered combination of the rice model and of the GCM. 643 644 These findings support the adoption of a multi-crop model and multi-scenario approach to quantify 645 the uncertainty associated to the assessment of the climate change impacts on crop production. In this context, our methodological choices focused on the adoption of two crop models, which were 646 647 already used to reproduce rice growth and development in the study areas, and on the use of the two 648 extreme IPCC-RCP scenarios (IPCC's Fifth Assessment Report), as projected by four GCM in the 649 short and long terms, using the methodology developed by Cappelli et al. (2015).

650 The choice of using four GCMs out of the 39 available in the study area (Zubler et al., 2016) could 651 represent a limit of this study, because we do not explore the whole range of variability in climate predictions. However, the extensive review performed by Burke et al. (2012), including nearly 200 652 published papers in peer-reviewed and working paper series, reports three as the median number of 653 654 GCMs applied to take into account the uncertainty in climate predictions on agricultural impact studies. Even if the GCMs' selection in our study was based on a random criterion, Zubler et al. 655 656 (2016) demonstrated via hierarchical cluster analysis that the four GCMs we chose fall in separate 657 clusters based on their temperature and precipitation outputs (corresponding to our study area), with 658 GISS and NorESM presenting the largest dissimilarity. Moreover, Burke et al. (2012) showed that 659 the GCMs developed by the Hadley Centre (HADgem) is the most used in available literature (more 660 than 50% of the papers), and we included it in our analysis. Therefore, our sampling of available 661 GCMs to only four well-separated GCMs can be considered a reasonable choice, although we do 662 not explore the whole range of available variability.

663 The biophysical adaptation strategies developed here – the anticipation of the sowing dates and the adoption of varieties with a longer cycle – are commonly tested in crop simulation studies aiming at 664 assessing climate change impacts on crop production (e.g., Dervng et al., 2011; Wang et al., 2012). 665 They can be considered autonomous adaptations (Olesen et al., 2011), as they represent short-term 666 667 adjustments that are commonly implemented by farmers. We based our adaptation strategies on 668 specific rules derived from the future climate projections used in our study, rather than applying a 669 fixed number of days to shift sowing dates (e.g., Moradi et al., 2013) or a fixed increase of thermal 670 time requirements to develop adapted varieties (e.g., Tingem and Rivington, 2009; Tao and Zhang, 671 2010). Our rationale was conservative, because we defined the future sowing dates basing on the 672 relationships between the sowing period adopted by rice growers in the study areas and the current 673 weather conditions. This led to a biophysical meaningful and literature derived rule, which was then 674 applied to determine the new sowing dates to initialize crop model simulations in the future climate. 675 The same logic was followed to plausibly determine the possible adapted varieties to be included in

676 the simulation experiment. We started from expert knowledge of rice cropping systems in the two 677 study areas to derive a standard duration of the crop cycle for medium and late varieties (140 and 160 days, respectively). The modification of model parameters to simulate adapted varieties 678 involved only the thermal requirements to reach flowering and maturity stage, which were set to 679 1180 and 453 growing degree days (GDD, °C d⁻¹) for WARM, and to 1170 and 610 GDD for 680 681 STICS. The coherence of these new values with available genetic material is proved by the very similar values calibrated by Confalonieri et al. (2009) for Italian indica varieties with the WOFOST 682 model (1170 °C d⁻¹ from emergence to anthesis and 370 °C d⁻¹ from anthesis to maturity). Similar 683 thermal time requirements were also set by Li et al. (2015) for the crop models SAMARA 684 685 (Dingkuhn et al., 2011) and STICS, which were calibrated using reference data collected on *indica* 686 varieties in four sentinel datasets in Asian countries. The ratio between the duration of the emergence-flowering and flowering-maturity periods in our new varieties was kept as in current 687 688 varieties, and it was on average 38% for WARM and 34% for STICS. This is also consistent with 689 the above-cited studies, in which it ranged between 34% and 46%. Then, the varieties designed in this study toward the use in the near- and medium future could already be available for such 690 691 adaptation, making it possible to improve future rice yields in the Mediterranean areas.

692

693 Conclusions

The variability of global rice production in a changing climate is a hot and controversial topic, and 694 695 it still needs further investigation because of its prominence as a staple food in Asian top producing 696 countries. Despite the huge number of crop modelling studies dealing with the impact of global 697 warming on rice in tropical climates, the future trends of rice productions in Mediterranean areas is 698 scarcely investigated and even more uncertain. Our findings indicate that the European rice sector 699 has the potential to enhance current production levels, taking advantage of the increase of ambient 700 CO₂ concentration and air temperature during the growing season associated with climate change 701 conditions. The anticipation of rice sowing dates and even more the adoption of varieties with a

- 702 longer crop cycle then represent effective adaptation strategies to increase rice yields and in turn
- farmers' income in the considered European rice areas in the short and long term.

704

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