



**HAL**  
open science

## Penetration rate prediction for percussive drilling with rotary in very hard rock

Nguyen van Hung, Laurent Gerbaud, R Souchal, C Urbanczyk, C Fouchard

► **To cite this version:**

Nguyen van Hung, Laurent Gerbaud, R Souchal, C Urbanczyk, C Fouchard. Penetration rate prediction for percussive drilling with rotary in very hard rock. *Journal of Science and Technology*, 2016, 54 (1), pp.133-149. 10.15625/0866-708X/54/1/5956 . hal-01521017

**HAL Id: hal-01521017**

**<https://hal.science/hal-01521017v1>**

Submitted on 11 May 2017

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

## PENETRATION RATE PREDICTION FOR PERCUSSIVE DRILLING WITH ROTARY IN VERY HARD ROCK

Nguyen Van Hung<sup>1,\*</sup>, L. Gerbaud<sup>2</sup>, R. Souchal<sup>3</sup>, C. Urbanczyk<sup>4</sup>, C. Fouchard<sup>4</sup>

<sup>1</sup>*Petrovietnam University, Cach mang Thang 8 Street, Baria - Vung Tau, Vietnam*

<sup>2</sup>*Ecole des Mines ParisTech, 60 Boulevard Saint-Michel, 75006 Paris, France*

<sup>3</sup>*Drillstar industries, Avenue Frédéric et Irène Joliot Curie, 64140 Lons, France*

<sup>4</sup>*Total, Avenue Larribau, 64000 Pau, France*

\*Email: [hungnv@pvu.edu.vn](mailto:hungnv@pvu.edu.vn)

Received: 11 March 2015; Accepted for publication: 30 November 2015

### ABSTRACT

This paper is the following part of our project to predict the penetration rate for percussive drilling with rotary in very hard rock. As results in [1] have been shown that the rate of penetration was strong influent by Brazilian tensile strength and it was exist the correlation between the rate of penetration and the rock properties. Yet, the study was valid on six hard rocks in experimental result of test tricorne and rotary with percussive. All relationships have been shown but the coefficient  $R^2$  is still very low. This paper will present a new relationship with high value of  $R^2$  based on previous data and also establish a mathematical relationship, numerical model to predict the penetration rate.

*Key words:* Hard rocks, ROP, drilling, mathematical model, numerical model.

### 1. INTRODUCTION TO STRESS WAVE THEORY

#### 1.1. Longitudinal elastic waves in a rod

Consider one long rod, the cross-sectional area of which is equal to  $A$ . Let the Young's modulus and the unit weight of the material that constitute the rod be equal to  $E$  and  $\gamma$  (or density of the material,  $\rho$ , with  $\rho = \gamma / g$ ),  $g$  is the acceleration due to gravity and  $\nu$  is the Poisson's ratio. Now, let the stress along section a-a of the rod increase by  $\sigma$  Fig. 1. The stress increase along the section b-b can then give by  $\sigma + (\partial\sigma / \partial x)\Delta x$ . Based on Newton's second law:  $\sum force = (mass)(acceleration)$ .

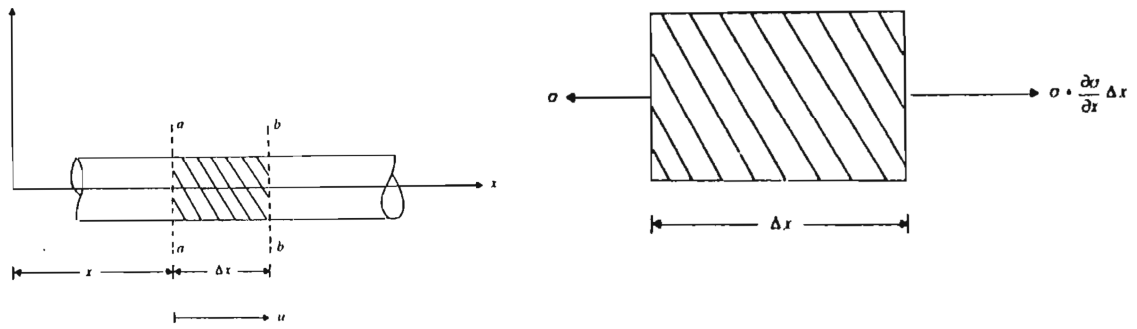


Figure 1. Longitudinal wave in a rod.

Thus, summing the forces in the x direction,

$$-\sigma A + \left( \sigma + \frac{\partial \sigma}{\partial x} \Delta x \right) A = A \rho \Delta x \frac{\partial^2 u}{\partial t^2} \quad (1)$$

where u is the displacement in the x-direction.

Simplification of Eq. (1) gives *equation of motion*,

$$\frac{\partial \sigma}{\partial x} = \rho \frac{\partial^2 u}{\partial t^2} \quad (2)$$

However, we can use the stress-strain relationship,  $\sigma_x = E \cdot \varepsilon_x$  and strain-displacement relationship,  $\varepsilon_x = \partial u / \partial x$ , or,

$$\sigma = (\text{strain})(\text{Young's modulus}) = \left( \frac{\partial u}{\partial x} \right) \cdot E \quad (3)$$

Substitution of Eq.(3) into Eq.(2) yields,

$$\frac{\partial^2 u}{\partial t^2} = \left( \frac{E}{\rho} \right) \left( \frac{\partial^2 u}{\partial x^2} \right) \quad (4)$$

Or,

$$\frac{\partial^2 u}{\partial t^2} = v_e^2 \left( \frac{\partial^2 u}{\partial x^2} \right) \quad (5)$$

where,

$$v_e = \sqrt{\frac{E}{\rho}} \quad (6)$$

The equation (5) called *longitudinal wave equation*, and the  $v_e$  is the *velocity of the longitudinal stress wave propagation*,  $v_e$  sometimes noted c.

- If the rod described above is confined, so that no lateral expansion is possible, then above equation can be modified as,

$$\frac{\partial^2 u}{\partial t^2} = v_e'^2 \left( \frac{\partial^2 u}{\partial x^2} \right) \quad (7)$$

where,

$$v_e' = \sqrt{\frac{M}{\rho}} \quad (8)$$

$$M = \text{constrained modulus} = \frac{E(1-\nu)}{(1-2\nu)(1+\nu)}.$$

## 1.2. Velocity of particles in the stressed zone

It is important to differentiate between the velocity of the longitudinal wave propagation ( $v_e$ ) and the velocity of the particles in the stressed zone ( $\dot{u}$ ). In order to distinguish them, consider a compressive stress pulse of intensity  $\sigma_x$  and duration  $t'$  (Fig. 2a) be applied to the end of a rod (Fig. 2b). When this pulse is applied initially, a small zone of the rod will undergo compression. With time this compression will be transmitted to successive zones. During a time interval  $\Delta t$  the stress will travel through a distance  $\Delta x = v_e \Delta t$ .

At any time  $t > t'$ , a segment of the rod of length  $\bar{x}$  will constitute the compressed zone. Note that,

$$\bar{x} = v_e t'$$

The elastic deformation of the rod then is,

$$u = \left( \frac{\sigma_x}{E} \right) (\bar{x}) = \left( \frac{\sigma_x}{E} \right) (v_e t') \quad (9)$$

Note that  $u$  is the displacement of the end of the rod. Now, the velocity of the end of the rod and, thus, the *particle velocity* is,

$$\dot{u} = \frac{u}{t'} = \frac{\sigma_x v_e}{E} \quad (10)$$

Substitution of Eq. (6) into Eq.(10) yields,

$$\dot{u} = \frac{\sigma_x v_e}{v_e^2 \rho} = \frac{\sigma_x}{v_e \rho} \quad (11)$$

Or

$$\sigma_x = \dot{u} (v_e \rho) \quad (12)$$

Equation (12) shows that the particle velocity is proportional to the axial stress in the rod. The coefficient of proportionality,  $v_e \rho$ , is called the *specific impedance* of the material.

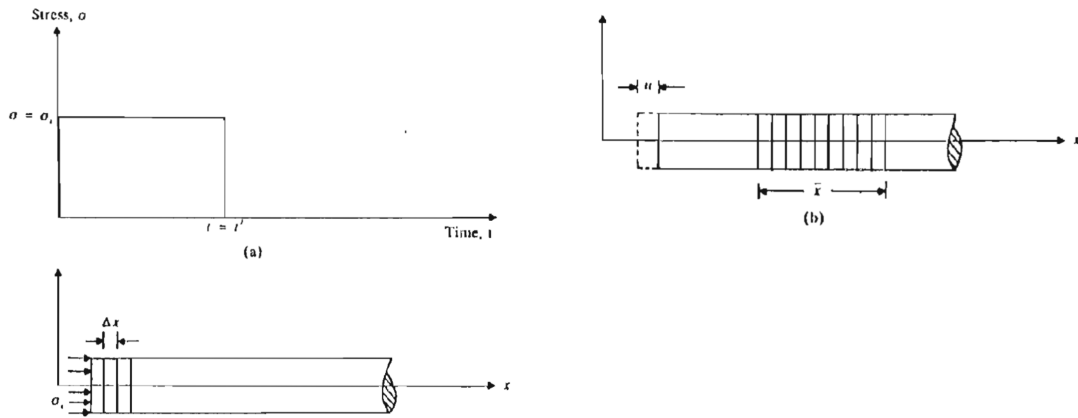


Figure 2. Velocity of wave propagation and velocity of particles.

### 1.3. Compressive stress at impact contact

Consider a cylindrical piston strikes on a rod drill with the blow velocity  $V_0$  (Fig. 3), corresponding to the cross-section  $A_1$ , density  $\rho_1$ , longitudinal wave propagation  $c_1$ , Young's modulus  $E_1$ , and  $A_2$ ,  $\rho_2$ ,  $c_2$  and  $E_2$ .

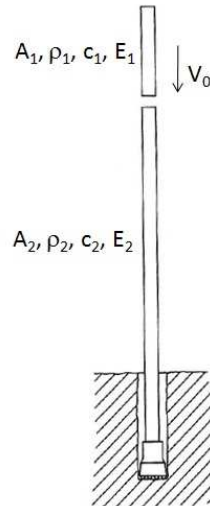


Figure 3. Schematic picture of real rock drilling in particular representative for drop hammer testing.

The mutual compressive force across the interface,  $F_0$ , which is generated by the impact:

$$F_0 = V_0 \cdot Z_p \quad (13)$$

where  $Z_p = Z_1 Z_2 / (Z_1 + Z_2)$ .  $Z_1 = A_1 \rho_1 c_1$  and  $Z_2 = A_2 \rho_2 c_2$  are the respective characteristic impedances of bodies 1 and 2 at the impact interface.

The amplitudes of the step-like compressive stress pulses that propagate away from the interface,

$$\sigma_1 = \frac{F_0}{A_1} = \frac{V_0 Z_p}{A_1} \quad (14)$$

$$\sigma_2 = \frac{F_0}{A_2} = \frac{V_0 Z_p}{A_2} \quad (15)$$

Or,

$$\sigma_1 = \frac{V_0 (A_1 \rho_1 c_1)(A_2 \rho_2 c_2)}{A_1 (A_1 \rho_1 c_1) + (A_2 \rho_2 c_2)} \quad (16)$$

$$\sigma_1 = \frac{V_0 (A_1 \rho_1 c_1)(A_2 \rho_2 c_2)}{A_2 (A_1 \rho_1 c_1) + (A_2 \rho_2 c_2)} \quad (17)$$

If the rock drill and the cylindrical piston are made of the same material ( $\rho_1 = \rho_2 = \rho_1$ ;  $c_1 = c_2 = c$ ;  $E_1 = E_2 = E$ ) and the same cross section A, the amplitudes of stress pulses generated at contact impact are given by,

$$\sigma_1 = \frac{V_0 E}{2c} \quad (18)$$

$$\sigma_2 = \frac{V_0 E}{2c} \quad (19)$$

#### 1.4. Bit motion equation and solution

As soon as the piston impacts on the rod with the velocity  $V_0$ , there is a large contact force P on contact surfaces of piston and rod. If the bit is in contact with rock surface when the piston hits the rod, we assume that the impact force to rock surface equals P. During impacting, there is also the penetration force F acting on the interface of the rock/bit (Fig. 4), and the bit advance in the rock.

In most theoretical treatments of percussive drilling the bit-rock interaction is described using empirical force-displacement relationships obtained for the actual bit-rock combination. Commonly, the force F/displacement u relationship is described as piece-wise linear [2, 3] (Fig. 5), this relationship show the force F is directly proportional to the displacement u,

$$F = K.u \quad (20)$$

The equation of motion of the bit and rod is,

$$M \frac{d^2 u}{dt^2} = P(t) - F(t) \quad (21)$$

where M is the sum of bit and rod mass.

From Eq.(12) we can derive the *particle velocity* =  $\sigma / (c \cdot \rho)$  with  $c = v_e$  and according to the continuous condition of velocity at the impact end, we have the velocity of the bit and rod at a given time t and the impact force P,

$$\frac{du(t)}{dt} = V_0 - \frac{\sigma(t)}{\rho c} \quad (22)$$

$$P(t) = \sigma(t).A \quad (23)$$

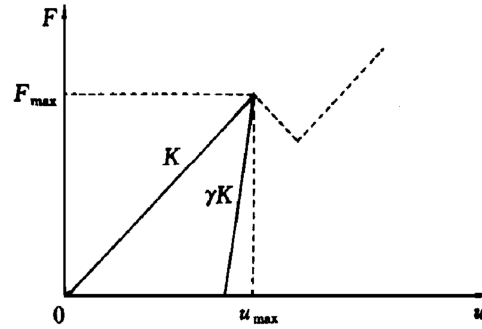


Figure 4. The motion of the bit and hammer.

Figure 5. Idealized force/displacement relationship.

From Eq.(22) and Eq.(23) the impact force  $P(t)$  can be derived,

$$P(t) = \left( V_0 - \frac{du(t)}{dt} \right) . A \rho c \quad (24)$$

Replacing Eq.(20) and (24) on Eq.(21) we have the equation of motion of bit and rod is,

$$M \frac{d^2u(t)}{dt^2} = \left( V_0 - \frac{du(t)}{dt} \right) . A \rho c - K.u \quad (25)$$

Or,

$$\frac{d^2u(t)}{dt^2} + \frac{A \rho c}{M} \frac{du(t)}{dt} + \frac{K}{M} u(t) = \frac{A \rho c}{M} . V_0 \quad (26)$$

With the initial condition is,

$$\begin{cases} u(t=0) = 0 \\ \frac{du(t=0)}{dt} = V_0 \quad (*) \end{cases}$$

- *Solution*

- ❖ One particular solution of Eq.(26):  $u(t) = \frac{A \rho c}{K} . V_0$

- ❖ See equation:

$$\frac{d^2u(t)}{dt^2} + \frac{A \rho c}{M} \frac{du(t)}{dt} + \frac{K}{M} u(t) = 0 \quad (27)$$

$$a^2 + \frac{A \rho c}{M} a + \frac{K}{M} = 0 \quad (28)$$

$$\Delta = \left( \frac{A\rho c}{M} \right)^2 - 4 \frac{K}{M}.$$

**Case 1**

- If  $\Delta > 0 : \left( \frac{A\rho c}{M} \right)^2 > 4 \frac{K}{M}.$

Equation (28) has two solutions:

$$\begin{cases} a_1 = \frac{-\frac{A\rho c}{M} + \sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}}{2} \\ a_2 = \frac{-\frac{A\rho c}{M} - \sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}}{2} \end{cases}$$

Thus, Eq.(27) has two solutions:

$$\begin{cases} u_1(t) = e^{a_1 t} \\ u_2(t) = e^{a_2 t} \end{cases}$$

and the solution of equation of motion of bit and rod,

$$u(t) = C_1 e^{a_1 t} + C_2 e^{a_2 t} + \frac{A\rho c}{K} \cdot V_0$$

where  $C_1$  and  $C_2$  are constants, can be determined from initial condition (\*),

$$\begin{cases} C_1 = \frac{\frac{A\rho c}{K} a_2 + 1}{a_1 - a_2} \cdot V_0 \\ C_2 = -\frac{\frac{A\rho c}{K} (a_1 + 2a_2) + 1}{a_1 - a_2} \cdot V_0 \end{cases}$$

**Case 2**

- If  $\Delta = 0 : \left( \frac{A\rho c}{M} \right)^2 = 4 \frac{K}{M}.$

$$a_1 = a_2 = -\frac{A\rho c}{2M}$$

Solution of Eq.(26):

$$u(t) = C_1 e^{a_1 t} + C_2 t e^{a_2 t} + \frac{A\rho c}{K} \cdot V_0$$



where  $C_1$  and  $C_2$  are constants, can be determined from initial condition (\*),

$$\begin{cases} C_1 = -\frac{A\rho c}{K}.V_0 \\ C_2 = \left[1 + \frac{A\rho c}{K}a_1\right].V_0 \end{cases}$$

**Case 3**

- If  $\Delta < 0 : \left(\frac{A\rho c}{M}\right)^2 < 4\frac{K}{M}$ .

- Equation (28) has two solutions:

$$\begin{cases} a_1 = \frac{-\frac{A\rho c}{M} + \sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}}{2} \\ a_2 = \frac{-\frac{A\rho c}{M} - \sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}}{2} \end{cases}$$

Thus, Eq.(27) has two solutions:

$$\begin{cases} u_1(t) = e^{-\frac{A\rho c}{2M}t} \sin \frac{\sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}}{2}t \\ u_2(t) = e^{-\frac{A\rho c}{2M}t} \cos \frac{\sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}}{2}t \end{cases}$$

and the solution of equation of motion of bit and rod,

$$u(t) = C_1 e^{-\frac{A\rho c}{2M}t} \sin \frac{\sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}}{2}t + C_2 e^{-\frac{A\rho c}{2M}t} \cos \frac{\sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}}{2}t + \frac{A\rho c}{K}.V_0$$

where  $C_1$  and  $C_2$  are constants, can be determined from initial condition (\*),

$$\begin{cases} C_1 = \left[2 - \frac{(A\rho c)^2}{M.K}\right] \cdot \frac{V_0}{\sqrt{\left(\frac{A\rho c}{M}\right)^2 - 4\frac{K}{M}}} \\ C_2 = -\frac{A\rho c}{K}.V_0 \end{cases}$$

**Note:** After [3], in the Fig. 4, when the hammer impacts the rod, a compressive stress wave  $\sigma_i$  is generated in the rod. The wave propagates towards the bit where it is denoted by  $\sigma_i(t)$ . At the bit a reflected stress wave  $\sigma_r$  is generated. At the rod-bit interface this wave is denoted by  $\sigma_r(t)$ . Under the combination action of the incident and reflected stress waves, the bit is accelerated towards the rock, and work  $W$  is performed. When the reflected stress wave  $\sigma_r$  arrives at the rod-hammer interface and the free end of the hammer, reflected stress waves are again generated. These waves form a second incident stress wave towards the bit which may or not cause further work to be done on the rock. From several points of view, for instance fatigue, it appears to be advantage to transfer a maximum of energy to the rock during the first stress wave interaction. From a series analysis of Lundberg, we found that more than 90% of the impact energy can be delivered to the rock during the first stress wave interaction, the effect of subsequent stress wave interactions has not be considered.

### **1.5. Limitation of stress wave theory**

Stress wave theory is a mathematical model, from which the wave transmission is supposed to be along a slender bar with uniform section and mediums are full contact on the interface. We can derive from it that the full contact of bit front face with rock will result in the most efficient stress wave or impact energy transmission. However, this is completely contradictory with the fact that sharper bits drill faster or penetrate deeper and they have less contact area with rock surface in fact; example for a normal button bit, the practical contact area is about 10-20% of the bit front face area, and the sharper bits the less contact area [4].

## **2. NUMERICAL MODELING**

In fact, during the past few years, with the rapid development of computing power, interactive computer graphics and topological data structure, a large number of numerical methods and fracture models have been developed for research on the rock fracture process during percussive drilling [5]. Bruno and Gang Han 2005 [6] used one numerical tools “Finite Element Modeling code FLAC3D” to investigate drillbit penetration with compression, rotation and percussion. Their model simulation indicates that compressive failure due to high impact force may be dominant rock failure during bit-rock contact, while rock may fail in tension if there is not enough bottom hole pressure acting on the exposed rock surface. Because rock tensile strength is usually 1/5 to 1/10 of rock compressive strength, it may fail more easily in tension if conditions permit. For instance, tensile failure can account for up to 90% of rock penetration when there is no pressure acting on top of the rock at the hole bottom. We can derive from it that to achieve the maximum drilling efficiency, encouraging rock deforming in tension is recommended.

Other interesting result is noted here that the optimum line spacing between the neighbouring button-bits has been proposed. This optimum line spacing is in fact a function of the drilled rock properties, the diameter and shape of the button-bit, as well as the drilling conditions:

$$S = 2 \left[ \left( \frac{F}{\sigma_c d^2} - 2.45434 \right) x \frac{F}{27.86853} \right]^{2/3} x \left( \frac{1-\nu^2}{EG_{TC}} \right) + 2K_s a$$

where

- S is the optimum line spacing,
- F is the drilling force,
- $\sigma_c$  is compressive strength,
- d is the size of the button-bit
- $\nu$  is Poisson's ratio, Young's modulus E,
- $G_{IC}$  is the energy release rate,
- $K_s$  is coefficient of the button-bit shape ( $K_s=0$  for the sharp button-bit,  $K_s=0.8$  for the spherical button-bit, and  $K_s=1.0$  for the blunt button-bit),
- a is radius of the contact area

### 3. RATE OF PENETRATION MODEL

Predicting and interpreting the rate of penetration (ROP) of a drill bit is very importance and help well planning and optimization drilling operation. Based on the current understanding, a rate of penetration model is proposed. In this part, we will present one analytical model of penetration rate, ROP, to operating condition rock strength and bit parameters.

#### 3.1. Rock strength confinement

There are accepted methods in the literature to calculate rock confined compressive strength (TCS) based on rock unconfined compressive strength (UCS) and confinement pressure ( $P_c$ ).

$$TCS = UCS + P_c \frac{1 + \sin \Phi}{1 - \sin \Phi} \quad (29)$$

$$TCS = UCS(1 + a_s P_c^{b_s}) \quad (30)$$

Eq.(29) called Mohr-Coulomb strength criterion and Eq.(30) was proposed by [7].

where

- $\phi$  = Rock internal angle of friction,
- $P_c$  = the pressure exerted on the rock matrix and is equal to difference of the applied external pressure (i.e. drilling mud dynamic or hydrostatic pressure) and the pore pressure of the fluid inside the rock.
- $a_s$  and  $b_s$  are coefficients dependent on rock

#### 3.2. ROP model

We assume that the strain rates caused by a drill bit in percussive drilling are similar in the triaxial compression test. The confining pressure which is applied to the jacketed rock sample is interpreted as representing the bottom hole differential pressure in a wellbore. We should note

that there are four main components in percussive rock drilling feed, rotation, percussion and debris transportation [8]. The feed is used to keep the drill bit in contact with rock. The purpose of the rotation is to rotate the drill bit inserts in order to operate on new surface at the hole bottom at each blow and thus achieving a larger volume of crater per impact blow. Operational variables for a top hammer are defined in Fig. 5. Thus, we propose the following phenomenological model for the percussive penetration rate, ROP, caused only by percussion component.

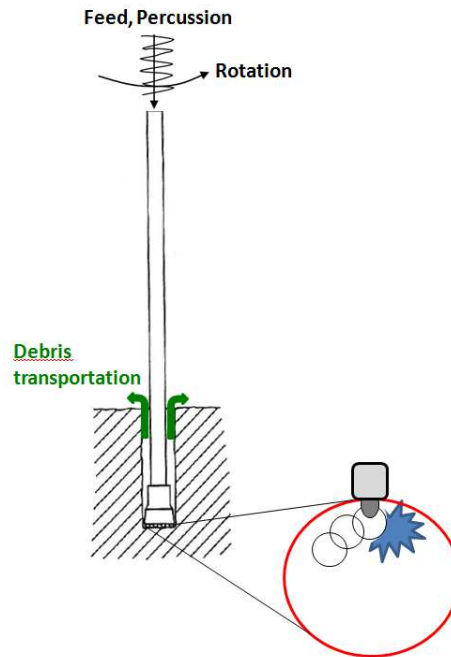


Figure 5. Schematic picture of real rock drilling.

Drilling rate, R, equals,

$$ROP = f \cdot \frac{dV}{dt} / A \quad (31)$$

where,

- ROP: is the penetration rate (m/min),
- f: is the blow frequency (blow/min),
- $dV/dt$ : is the volume rate of rock removal ( $m^3/min$ ),
- A: is the hole cross-section area ( $m^2$ ).

The penetration rate for a given rock can be estimated from Eq. (31) by study the volume removed in impact tests. Because this volume is hard to determine, two useful formulas will be presented in the follow paragraphs.

Theoretically, the penetration rate of a drill depends on the power output (power transmitted to the rock) and the drilling strength of the rock. A basic equation for penetration rate for all type of drills is given by,

$$ROP = f \cdot \frac{P'}{A \cdot E'} \quad (32)$$

where,

- ROP: is the penetration rate (m/min),
- f: is the blow frequency (blow/min),
- P': is the power transmitted to the rock,
- A: is the hole cross-section area (m<sup>2</sup>),
- E': is the specific energy (N m/m<sup>3</sup>), or the energy required to remove a unit volume of rock,

An expression for the prediction of penetration rate derived by the senior author [9] is given below,

$$ROP = f \cdot \frac{E_i \cdot \eta}{A \cdot E'} \quad (33)$$

where,

- ROP: is the penetration rate (m/min),
- f: is the blow frequency (blow/min),
- E<sub>i</sub>: is the energy per blow (N m),
- $\eta$  : is the efficiency of energy transmission from the drill bit to the rock,
- A: is the hole cross-section area (m<sup>2</sup>),
- E': is the specific energy (N m/m<sup>3</sup>), or the energy required to remove a unit volume of rock.

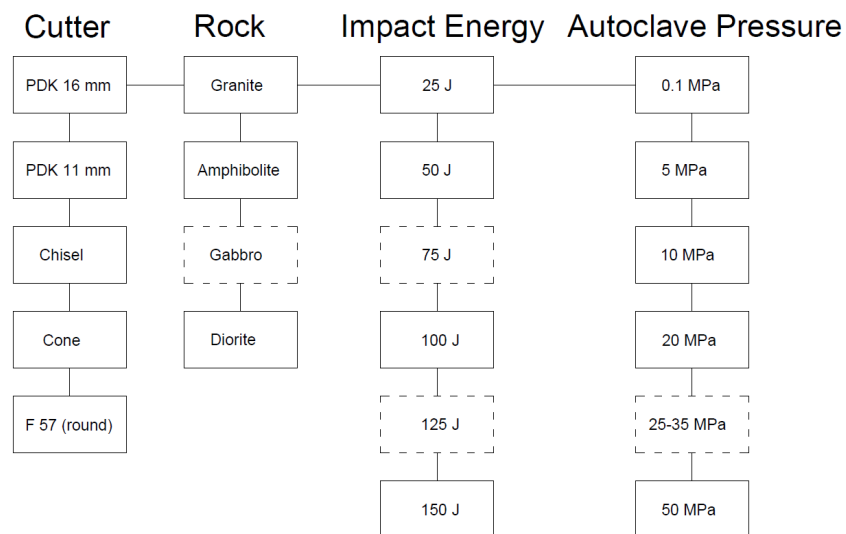


Figure 6. Test matrix for the Clauthal work. Dashed lines indicate options that were not fully tested [10].

The above equation shows that the penetration rate is proportional to blow energy and blow frequency, as well as being inversely proportional to the specific energy. The efficiency of energy transmission  $\eta$ , denotes that proportion of energy per blow E<sub>i</sub>, that goes into rock breaking. The obvious maximum (100 per cent energy transfer) and minimum (0 per cent energy transfer) limits on  $\eta$  are respectively, 1.0 and 0.0.

It is well known from previously published works of [8] that specific energy in rock cutting is effected significantly by cutter geometry and rock properties. Ralf Luy 1992 [10] worked with 4 rocks (Granit, Amphibolit, Gabbro, Diorit) and five different cutters were used. The shapes and dimensions of these cutters and rocks properties are presented in the Fig.6 and Table 1.

*Table 1.* Compressive strength and densities of the rock specimens [10].

<b>Rock type</b>	<b>Compressive Strength, MPa</b>	<b>Density, g/cm<sup>3</sup></b>
Granite	167	2,593
Diorite	180	2,959
Gabbro	281	2,620
Amphibolite	302	2,770

We can derived from his results that the specific energy,  $E'$ , is a function linear of bottom hole pressure, Eq.(34).

$$E' = aP_b + b \quad (34)$$

where,

$E_i$ : is the energy per blow (N m),

a,b: are the constants, depend on the rock properties and impact energy,

$P_b$ : is the bottom hole pressure.

Substituting the Eq.(34) in Eq.(32), (33), the penetration rate can be determined by,

$$ROP = f \cdot \frac{P'}{A.(aP_b + b)} \quad (32bis)$$

### **Case 1**

In practice, we can estimate rapid the penetration rate, ROP, by using the Eq.(33bis). The energy transmission efficiency,  $\eta$ , defined in [11, 12].

### **Case 2**

In the general case, the penetration rate, ROP, determined by Eq.(32bis). The power transmitted to the rock,  $P'$ , equals the area under the curvature force F-displacement (Fig. 4). In the real case, the  $P'$  value must be measured in dynamic test and  $P'$  depends on impact velocity [2]. In the simplest case, we assume that the dynamic force-penetration curve is equivalent to the relationship between the stress-deformation in the triaxial test.

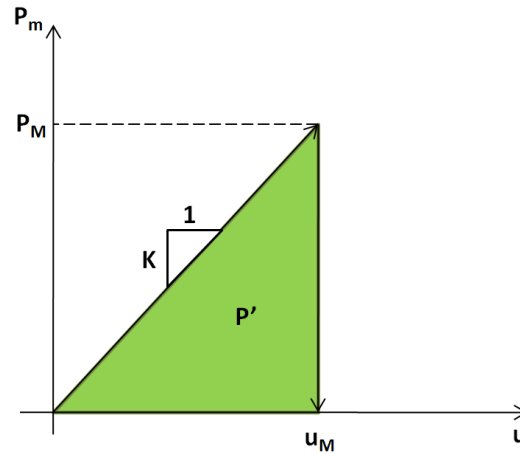


Figure 7. Idealized stress-deformation curve during percussive action ([3, 13]).

In the Fig. 7, we present the idealized stress,  $P_m$ -deformation,  $u$  curve during percussive action. Here,  $P_M$  is the maximum mean stress, and  $u_M$  is the maximum deformation, correspond to the rock sample is fracture. The power transmitted to the rock,  $P'$ , can calculated by,

$$P' = \frac{1}{2}(P_M u_M) \quad (35)$$

The maximum mean stress is defined by,

$$P_M = \frac{TCS + 2P_b}{3} \quad (36)$$

where,

TCS: is the triaxial compressive strength,

$P_b$ : is the confining, corresponding to the bottom hole pressure.

From Eq.(29) in Eq.(36), we have:

$$P_M = \frac{UCS}{3} + \frac{3 - \sin \Phi}{1 - \sin \Phi} . P_b \quad (37)$$

Substituting Eq.(35) and Eq.(37) in to Eq.(32bis), the formula of penetration rate becomes,

$$ROP = f . \frac{\frac{UCS}{3} + \frac{3 - \sin \Phi}{1 - \sin \Phi} P_b}{2A(aP_b + b)} . u_m \quad (38)$$

Our model proposed Eq. (38) shows that the penetration rate, ROP, relates to rock properties and drilling conditions. Yet, the bottom hole pressure has been account in to our model.

#### 4. EXPERIMENTAL MODEL

In the previous paper [1], the experimental model has established following relationship:

$$ROP = -0.0283UCS + 15.11, \text{ with } R^2 = 0.5632$$

$$ROP = -0.0198TCS + 15.387, \text{ with } R^2 = 0.6635$$

$$ROP = -0.4316 \sigma_T + 14.478, \text{ with } R^2=0.8001$$

However, the linear correlation shown a coefficient  $R^2$  is not really high. In order to improve and optimize the best correlation we tested with other trend lines such as: exponential, logarithmic, polynomial and power. Finally, the polynomial correlation is taken with the highest value of  $R^2$  as shown in the Fig. 8, Fig. 9 and Fig. 10. The result can be resumed in these follow relationships:

$$ROP = -0.0001UCS^2 + 0.0246UCS + 11.213, \text{ with } R^2 = 0.6247 \quad (39)$$

$$ROP = 1E-05TCS^2 - 0.0274TCS + 16.402, \text{ with } R^2 = 0.6655 \quad (40)$$

$$ROP = -0.0292\sigma_T^2 + 0.201 \sigma_T + 12.059, \text{ with } R^2 = 0.8989 \quad (41)$$

From Eq.(39), (40), (41) we can consider that the Brazilian tensile strength has significant role with ROP. The Eq. (41) can be used to predict ROP for the very hard rocks.

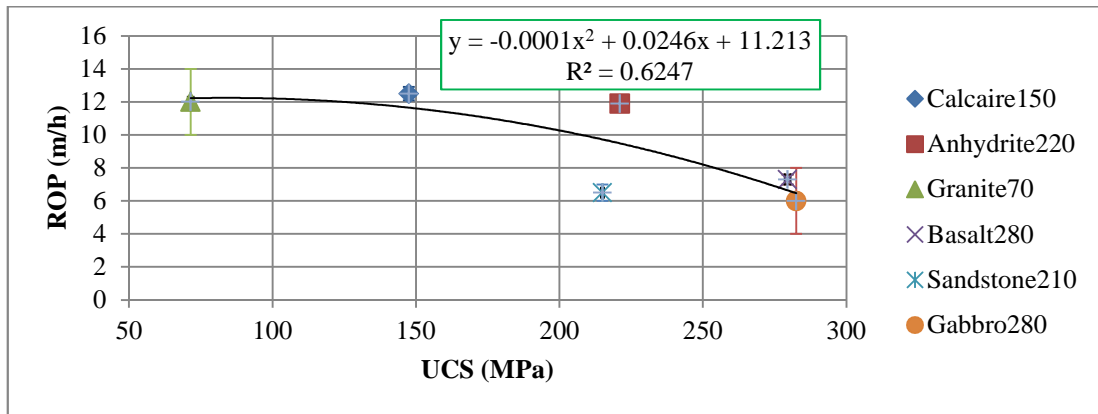


Figure 8. Penetration rate versus UCS.

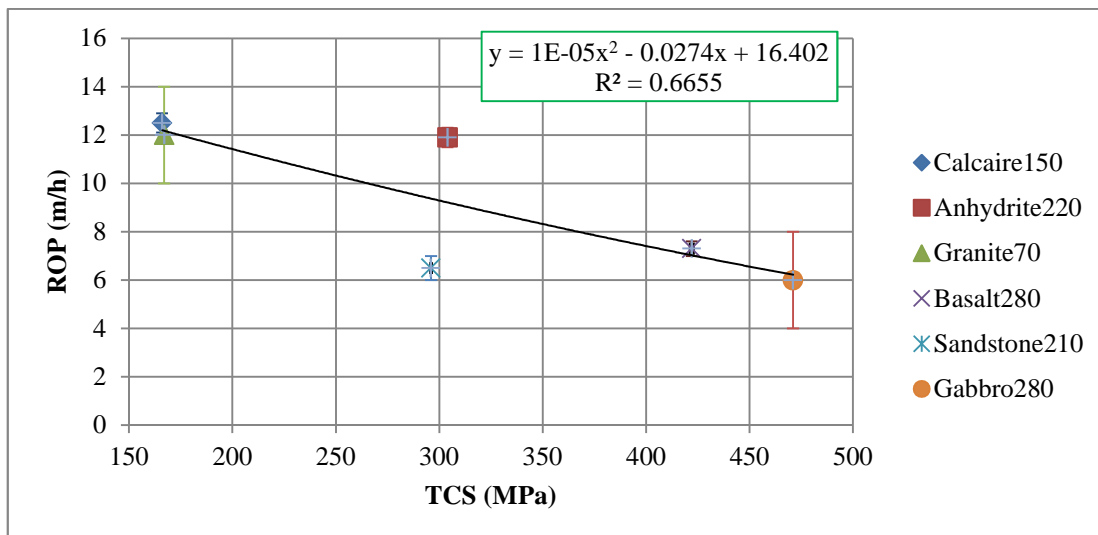


Figure 9. Penetration rate versus TCS.



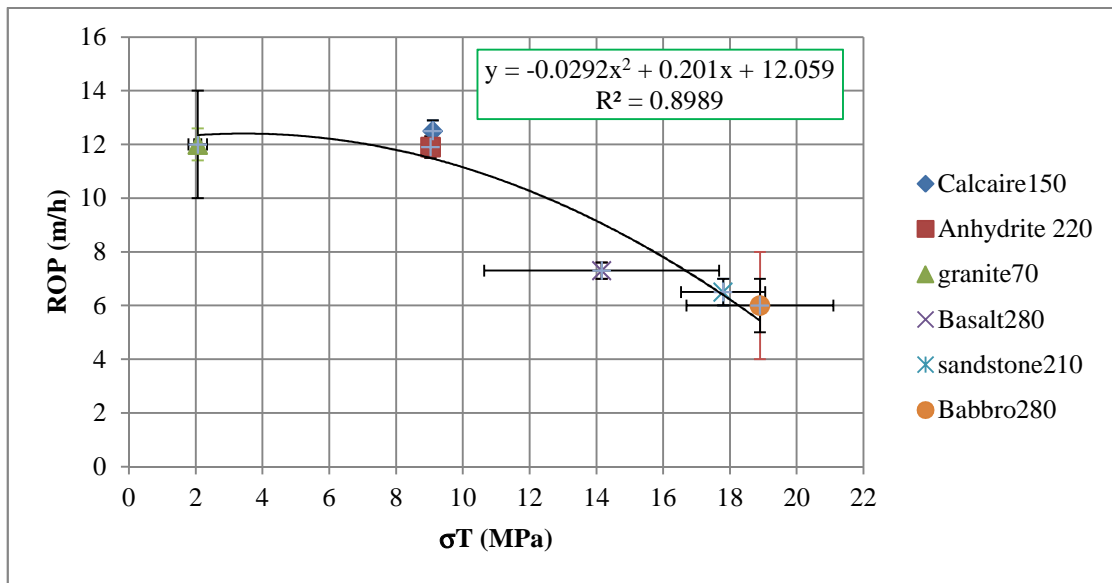


Figure 10. Penetration rate versus  $\sigma_T$ .

## 5. CONCLUSIONS

The study was valid on six hard rocks in experimental result of test tricone and rotary with percussive. It has been shown that we can predict the rate of penetration by using the exponential relationship between ROP and Brazilian tensile strength.

The mathematical model or numerical modeling can help to predict the rate of penetration in the case of rotary with percussive drilling technology, but it needs the test called “single cutter” to determine the parameters.

**Acknowledgement.** The authors have a great pleasure to thank TOTAL company, Drillstar industries, Vietnam Oil and Gas Group, PetroVietnam University for support in completing this paper.

## REFERENCES

1. Nguyen V. H., Le Ph. H., Gerbaud L., Souchal R., and Urbanczyk C. - Penetration rate of rotary-percussive drilling, Journal of Petrovietnam (6) (2015) 16-20.
2. Reichmuth D. R. - Correlation of force-displacement data with physical properties of rock for percussive drilling system, Proceedings of the fifth symposium on rock mechanics, university of Minnesota, (1962) 33-61.
3. Lundberg B. - Energy transfer in percussive rock destruction, part I, Int. j. Rock Mech. Min. Sci. **10** (1973) 381-399.
4. Dongmin N. - Rigid impact – the mechanism of percussive rock drilling. Proceeding in the 42<sup>nd</sup> U.S Rock mechanics symposium and 2<sup>nd</sup> U.S.-Canada Rock mechanics symposium, San Francisco (2008).
5. Hongyuan L. - Numerical modeling of the rock fragmentation process by mechanical tools, Thesis, Lulea University of Technology, (2004).

6. Bruno M. - Fundamental research on percussion drilling: Improved rock mechanics analysis, advanced simulation technology, and full scale laboratory investigations. DE-FC26-03NT41999. Final report, DOE, (2005).
7. Rampersad P., Hareland G., and Boonyapaluk P. - Drilling optimization using drilling data and available technology. SPE 27034, SPE Latin America/Caribbean Petroleum Engineering Conference, 27-29 April, Buenos Aires, Argentina, 1994.
8. Kahraman S., Bilgin N., and Feridunoglu C. - Dominant rock properties affecting the penetration rate of percussive drills, Int. j. Rock Mech. Min. Sci. **40** (2003) 711-723.
9. Hustrulid W. A. - A study of energy transfer to rock and prediction of drilling rates in percussive drilling, M.S. Thesis, University of Minnesota, (1965).
10. Ralf Luy. - Untersuchung zur Wirksamkeit des bohrprozesses beim drehschlagenden bohren unter hohen hydrostatischen drucken. Thesis at Technischen Universitat Clausthal (in Germany), (1992).
11. Li X. B., Rupert G., and Summers D. A. - Energy transmission of down-hole hammer tool and its conditionality, Trans. Nonferrous Met. Soc. China **1** (10) (2000) 110-113.
12. Li X. B., Lok T. S., Summers D.A., Rupert G., and Tyler J. - Stress and energy reflection from impact on rock using different indentors, Geotech.Geolog. Engineering **19** (2001) 119-136.
13. Lundberg B., and Collet P. - Optimal wave with respect to efficiency in percussive drilling with integral drill steel, Int. J. Impact Eng. **8** (37) (2010) 901-906.

## TÓM TẮT

### DỰ BÁO TỐC ĐỘ KHOAN CƠ HỌC ĐỐI VỚI CÔNG NGHỆ KHOAN ĐẬP KẾT HỢP XOAY TRONG TRƯỜNG HỢP KHOAN ĐÁ RẤT CỨNG

Nguyen Van Hung<sup>1,\*</sup>, L. Gerbaud<sup>2</sup>, R. Souchal<sup>3</sup>, C. Urbanczyk<sup>4</sup>, C. Fouchard<sup>4</sup>

<sup>1</sup>*Đại học Dầu khí, đường Cách Mạng Tháng 8, Tỉnh Bà Rịa – Vũng Tàu, Việt Nam*

<sup>2</sup>*Ecole des Mines ParisTech, 60 Boulevard Saint-Michel, 75006 Paris, France*

<sup>3</sup>*Drillstar industries, Avenue Frédéric et Irène Joliot Curie, 64140 Lons, France*

<sup>4</sup>*Total, Avenue Larribau, 64000 Pau, France*

\*Email: [hungnv@pvu.edu.vn](mailto:hungnv@pvu.edu.vn)

Bài báo này là phần tiếp theo trong dự án xây dựng và dự báo tốc độ khoan cơ học của công nghệ khoan đập kết hợp xoay trong dầu khí đối với trường hợp đá rất cứng. Như kết quả đã chỉ ra trong [1] mô hình dự báo tốc độ khoan phụ thuộc nhiều vào độ bền kéo Brazilian và đồng thời cũng tồn tại mối liên hệ giữa tốc độ khoan và tính chất của đá. Ngoài ra, nghiên cứu đã dựa trên kết quả thực nghiệm của sáu loại đá cứng trên cơ sở thí nghiệm chông ba chóp xoay và thí nghiệm khoan đập kết hợp xoay. Tất cả mối liên hệ chỉ ra mối liên hệ nhưng hệ số  $R^2$  khá nhỏ. Bài báo này sẽ giới thiệu mối liên hệ mới với hệ số  $R^2$  cao hơn dựa trên cơ sở kết quả thí nghiệm trước kia và đồng thời cũng giới thiệu mô hình toán học, mô hình số để dự báo tốc độ khoan.

*Từ khóa:* đá cứng, ROP, khoan, mô hình toán học, mô hình số.