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1 **Xylem resistance to embolism: presenting a simple diagnostic test**
2 **for the open vessel artefact**

3

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31 **Summary**

- 32 • Xylem vulnerability to embolism represents an essential trait for evaluating the
33 impact of hydraulics in plant function and ecology. The standard centrifuge
34 technique is widely used for constructing vulnerability curves although its
35 accuracy when applied to long-vesseled species remains under debate.
- 36 • We developed a simple diagnostic test to determine if the open-vessel artefact
37 influences centrifuge estimates of embolism resistance. Xylem samples from
38 three species with differing vessel lengths were exposed to less negative xylem
39 pressures via centrifugation than the minimum pressure the sample had
40 previously experienced. Additional calibration was obtained from non-invasive
41 measurement of embolism on intact olive plants by X-Ray microtomography.
- 42 • Results showed artefactual decreases in hydraulic conductance (k) for segments
43 with open vessels when exposed to a less negative xylem pressure than the
44 minimum pressure the sample had previously experienced. X-Ray
45 microtomography indicated that most of the embolism formation in olive occurs
46 at xylem pressures below -4.0 MPa, reaching 50% loss of hydraulic conductivity
47 at -5.3 MPa.
- 48 • The artefactual reductions in k induced by centrifugation underestimate
49 embolism resistance data of long-vesseled species. A simple test is suggested to
50 avoid this open vessel artefact and to ensure the reliability of this technique in
51 future studies.

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59 **Keywords:** Artefact, centrifuge technique, embolism, micro-CT, olive, xylem.

60

61 Introduction

62 The continuous water column that connects the soil and the upper portions of the plants
63 through the xylem is exposed to negative pressures induced by the water evaporation at
64 the leaf surface. Water can be pulled up from the soil through the entire plant system
65 thanks to the strong cohesive forces between water-molecules (Dixon, 1914). Under
66 water deficit, the relative water content of the soil decreases, inducing a more negative
67 soil water potential, and the negative pressure inside the water conducting xylem tissue
68 may become interrupted by gas bubbles. These bubbles (emboli) can expand to block
69 conduits and reduce long-distance vascular transport of water. As the number of gas-
70 filled conduits increases, the xylem hydraulic conductance (k , $\text{kg s}^{-1} \text{MPa}^{-1}$) decreases
71 until water flow stops, causing desiccation of plant tissues and eventually plant death
72 under severe and prolonged droughts. Failure of the plant hydraulic system is now
73 considered to be the principal mechanism of drought-induced plant mortality (Brodribb
74 & Cochard 2009; Brodribb *et al.*, 2010; Urli *et al.*, 2013; Salmon *et al.* 2015; Anderegg
75 *et al.*, 2016). Given the narrow hydraulic safety margins within which many plants
76 operate (Choat *et al.*, 2012), evaluating the resistance to embolism across plant species
77 is essential to predict future mortality events and shifts in species distribution ranges
78 due to climate change with more intense and severe drought events (IPCC, 2014).

79 The resistance to xylem embolism is typically assessed by constructing
80 vulnerability curves, which represent the variation in specific hydraulic conductivity
81 (K_s , $\text{kg s}^{-1} \text{MPa}^{-1} \text{m}^{-1}$) as a function of xylem water potential (Sperry *et al.*, 1988;
82 Cochard *et al.*, 2009; Torres-Ruiz *et al.* 2017). During the last few decades, a variety of
83 methods have been developed to determine the loss of K_s in xylem samples exposed to
84 increasing levels of water stress (see Cochard *et al.*, 2013 for a review). Although there
85 is general consensus about centrifuge methods to construct vulnerability curves for
86 species with short conduits (e.g., conifer species), the application of appropriate
87 methods for long-vesselled species remains a subject of debate, especially with regards
88 to the standard centrifuge technique (Alder *et al.*, 1997; Jacobsen & Pratt 2012;
89 McElrone *et al.* 2012; Tobin *et al.*, 2013; Torres-Ruiz *et al.*, 2014; Hacke *et al.*, 2015).
90 This technique is based on spinning xylem samples in a custom-built rotor that induces
91 a wide range of pressures at the centre of a sample. In contrast to the Cavitron method
92 (Cochard *et al.*, 2005), no water flow is induced through the sample during spinning in
93 the standard centrifuge technique. Each pressure applied may induce a certain loss of

94 conductivity that is determined gravimetrically with a custom built device (see e.g.,
95 Torres-Ruiz *et al.* 2012) or with a commercial instrument relying on Coriolis type mass-
96 flow meters (e.g., Xyl'em embolism meter; Bronkhorst, Montigny-les-Cormeilles,
97 France). The debate is centred on high losses of K_s that the centrifuge technique induces
98 at relatively mild xylem pressures for long-vesseled species, which results in
99 exponential vulnerability curves (the so called 'r-shaped' curves). These curves have
100 been interpreted as a methodological artefact resulting from the presence of open
101 vessels, i.e. vessels that have no vessel end within the stem segment (Choat *et al.*, 2010;
102 Cochard *et al.*, 2010; Torres-Ruiz *et al.*, 2014). However, other authors consider these
103 curves to be a reliable estimate of embolism resistance in these species, i.e. the
104 occurrence of significant embolism within very moderate ranges of water stress
105 (Christman *et al.* 2012; Sperry *et al.*, 2012; Hacke *et al.*, 2015). The discrepancy in
106 these two interpretations impedes our progress in understanding the role of hydraulics in
107 plant function (Delzon & Cochard, 2014) and generates confusion regarding the results
108 and conclusions reached in the field of plant hydraulics. Much efforts have been
109 devoted to clarify whether there is an open-vessel artefact with the standard-centrifuge
110 technique, but contradictory conclusions have been reached even when working with
111 the same plant species. For example, contrasting vulnerability curves and resistances to
112 embolism have been observed for Olive (*Olea europaea*) depending on the technique
113 used for assessing the degree of embolism. While observations with high-resolution
114 computed tomography indicate that embolism does not occur in Olive stems until a
115 certain threshold in xylem pressure is reached (approx. -3.0MPa) and that vulnerability
116 curves of this species show sigmoidal shapes (i.e., a so called 's-shaped' curve; Torres-
117 Ruiz *et al.*, 2014), a recent study reported significant decreases in K_s at relatively high
118 xylem water potentials (Hacke *et al.*, 2015), suggesting r-shaped behaviour and the high
119 embolism vulnerability of this species.

120 Contrasting conclusions regarding the reliability of the standard centrifuge
121 technique have also been attributed to differences in the centrifuge rotor design used for
122 the measurements (Hacke *et al.* 2015). Briefly, there are two custom rotor designs in
123 use, the original rotor of Alder *et al.* (1997) and the Cavitron rotor (Cochard *et al.*,
124 2005). These designs differ mainly in the way samples are secured and how sample
125 ends are immersed in solution during the spinning. The possible effects of the rotor
126 design on vulnerability curves have been evaluated by Torres-Ruiz *et al.* (2014), who

127 concluded that both designs produce biased results (overestimated vulnerability to
128 embolism) when samples contain open vessels. Samples in Torres-Ruiz et al. (2014)
129 were cut in two pieces for measuring K_s gravimetrically after spinning, and the
130 appropriateness of this protocol has been questioned by some authors (Hacke *et al.*
131 2015). Although some intraspecific variation in resistance to embolism could be
132 expected between studies due to the use of different plant material, varieties,
133 seasonality, growth conditions, etc., the large discrepancies observed for species such as
134 olive warrant further investigation.

135 Typically, resistance to embolism has been assessed by inducing decreasing
136 xylem pressures and determining the corresponding losses in k . However, when using a
137 centrifuge method with stem or root segments, it is not possible to determine with
138 certainty that such losses in k reflect patterns of embolism that occur *in situ* or if they
139 come from an artefact due to the presence of open-vessels (Fig. 1). By using alternative
140 techniques that allow the direct observation of xylem embolism in a non-invasive
141 manner, such as MRI (Holbrook *et al.*, 2001; Kaufmann *et al.*, 2009; Choat *et al.*, 2010)
142 and X-ray microtomography (micro-CT; Cochard *et al.*, 2015; Torres-Ruiz *et al.*,
143 2015a, 2016; Bouche *et al.*, 2016; Choat *et al.*, 2016), the open-vessel artefact can be
144 avoided and the process of embolism formation evaluated with greater certainty. As
145 access to some of these non-invasive techniques can be restricted, we propose here a
146 simple alternative test to diagnose the probability of an open vessel artefact occurring
147 and thus ensuring the accuracy of the standard centrifuge technique for a given species.
148 This consists of spinning xylem samples to a less negative pressure than the minimum
149 xylem pressure (i.e. water potential) experienced previously under native conditions or
150 during the progressive dehydration of the plant (Fig. 1). As it is unlikely that embolism
151 will be induced at a less negative pressure, any decrease in K_s before and after the
152 spinning of the sample should represent an experimental artefact.

153 The aim of this study was to test if K_s values resulting from the standard
154 centrifuge technique are influenced by the presence of open vessels in stem samples
155 with a different vessel anatomy. For this, xylem samples from three vessel-bearing
156 species with contrasting proportions of open vessels were progressively dehydrated to a
157 given xylem pressure. After measuring their K_s gravimetrically, they were exposed to a
158 less negative xylem pressure by using the standard centrifuge technique, and their K_s
159 measured again. Measurements were undertaken with both rotor designs ('original

160 design' Alder *et al.*, 1997 and 'Cavitron design' Cochard *et al.*, 2005) to evaluate the
161 effects of the rotor type on the results. In addition, embolism resistance in olive trees
162 was determined in intact plants by using micro-CT and compared to earlier centrifuge
163 measurements for this species.

164

165 **Material and methods**

166 **Plant material**

167 Three species with different vessel length distributions were used in this study. Two
168 relatively long-vesseled species: *Olea europaea* Linnaeus and *Quercus palustris*
169 Muenchh, and one relatively short-vesseled species: *Betula pendula* Roth (Ennajeh *et*
170 *al.*, 2011; Torres-Ruiz *et al.*, 2014). Samples from *Q. palustris* and *B. pendula* were
171 collected from mature individuals located near the campus of University of Bordeaux
172 (France) for measurements with the Cavitron rotor design, and at the Blue Mountains
173 Botanic Garden (NSW, Australia) for measurements with the Alder rotor design. For *O.*
174 *europaea*, two to three year old saplings were ordered, repotted into 1.5-L pots and
175 grown for two months under well-watered conditions and at ambient light and
176 temperature at the University of Bordeaux (France) and at the Hawkesbury Institute for
177 the Environment (NSW, Australia). A subset of these olive saplings was transported to
178 the SOLEIL synchrotron (Paris, France) in April 2015 for direct micro-CT visualization
179 of embolism formation while the saplings were progressively dehydrated.

180 **Presence of open vessels**

181 The presence of open vessels was determined in similar samples (i.e. similar length,
182 diameter, age and position in the tree) collected from the same branches (for *B. pendula*
183 and *Q. palustris*) or similar saplings (for *O. europaea*) to those used for evaluating the
184 open-vessel artefact in both rotor designs (i.e. 15 or 40 cm long depending on the rotor
185 design). Samples were injected with air at low pressure (50-100 kPa) while its apical
186 end was immersed in water (Greenidge 1952; Zimmerman & Jeje, 1981) to check for
187 the presence of open vessels. In those species for which a continuous flow of air
188 bubbles was detected, the percentage of open vessel was determined by applying the
189 silicone injection method (Hacke *et al.*, 2007). New samples, and not the ones used for
190 evaluating the open-vessel artefact, were used to perform these measurements to avoid
191 the effect of any potential damage to the vascular system caused by the centrifugation

192 itself could have on the final results. Xylem samples were first flushed basipetally with
193 10 mM KCl and 1 mM CaCl₂ solution at 0.15 MPa for 45 min to remove embolism.
194 Samples were then injected under 30-50 kPa pressure overnight with a 10:1
195 silicone:hardener mix (RTV-141; Rhodia, Cranbury, NJ, USA). A fluorescent optical
196 brightener (Ciba Uvitex OB; Ciba Specialty Chemicals, Tarrytown, NY, USA) mixed
197 with chloroform 1% (w/w) was added to the silicone (one drop per gram) for the
198 detection of silicone-filled vessels in transverse sections under fluorescent microscopy
199 (NanoZoomer; Hamamatsu Photonics, Japan). After 2–3 days allowing the silicone to
200 harden, the two sample ends were sectioned with a sliding microtome at 5 mm from
201 each end. As the silicone mixture does not penetrate pit membranes but fills entirely the
202 vessel lumina starting from the injection site, the amount of open vessels through the
203 sample was determined by counting the fraction of silicone-filled vessels at both sample
204 ends.

205 **Evaluation of the open-vessel artefact**

206 Since embolism can theoretically not be induced via air-seeding by exposing the xylem
207 to less negative water potentials than the minimum water potential previously
208 experienced, the open-vessel artefact was evaluated by spinning xylem samples at a
209 velocity that corresponded to a less negative pressure than the pressure reached by stem
210 branches during a previous drought treatment. Two different rotor designs, the original
211 rotor design (Alder *et al.* 1997) and the Cavitron (Cochard *et al.* 2005), were used to
212 check if the type of rotor could have an effect on the results. Several branches (≥ 2.0 m
213 in length) of *B. pendula* and *Q. palustris* were collected in the early morning and
214 transported to the lab where they were allowed to dehydrate progressively (up to 5
215 hours, depending on the species). For *O. europaea* (var. *arbequina* for the Cavitron
216 design and *manzanilla* for the original one), saplings were exposed to increasing water
217 stress by withholding irrigation. The xylem pressure of each branch and sapling was
218 monitored regularly by measuring the leaf water potential with a Scholander-type
219 pressure chamber (DGMeca, Gradignan, France) on two leaves per branch or sapling
220 that were previously bagged up (at least 1h before taking the water potential
221 measurements). Once the xylem pressure reached values of -1.8 MPa or lower, a xylem
222 sample was immediately collected for K_s measurements. Samples were collected
223 according to the experimental protocol of Torres-Ruiz *et al.* (2015a) to avoid the
224 excision artefact described by Wheeler *et al.* (2013). Briefly, the entire branch or

225 sapling was progressively recut under water so that the xylem samples were excised
226 once the xylem pressure was released. Depending on the species and the diameter of the
227 rotors used, different sample lengths were used to avoid/ensure the presence of open
228 vessels in the samples, which allowed us to check their effect on K_s after spinning.
229 Thus, for the long-vesseled species (*O. europaea* and *Q. palustris*) excised samples
230 were 15 cm long for both rotor designs, whereas for the short-vesseled one, *B. pendula*,
231 samples were 40 cm long for the Cavitron design and 15 cm long for the original design
232 (40-cm-diameter original rotor not available). K_s for each sample was determined by
233 attaching the samples to a tubing apparatus (Sperry *et al.* 1988; Torres-Ruiz *et al.* 2012)
234 filled with a degassed and ultrapure 10 mM KCl and 1 mM CaCl₂ solution. All samples
235 were about 3 to 9 mm in xylem diameter (i.e. without bark) and they included growth
236 rings from previous years. However, no air-seeding fatigue is expected since embolised
237 conduits were not flushed. Before taking measurements, both sample ends were
238 debarked and slightly trimmed under water with a sharp razor blade to adjust the desired
239 length and to clear any accidentally blocked vessels. To account for possible passive
240 uptake of water by the sample, K_s was calculated as the slope of the flow, which was
241 calculated from the linear regression of the pressure gradient for three different pressure
242 heads (between 2.0 and 3.5 kPa) as described by Torres-Ruiz *et al.* (2012). Previous
243 tests showed that the pressure gradients used for our measurements were low enough to
244 avoid displacing air from embolised vessels open at both ends of the segment. Samples
245 were installed in a custom-built rotor of the Alder *et al.* (1997) design (15 cm diameter)
246 or the Cochard *et al.*, (2005) Cavitron design (40 cm). They were then spun for 5 min,
247 with their ends immersed in the same solution as used for K_s measurements, at a
248 velocity equivalent to a xylem pressure of -1.5 MPa and without inducing any flow
249 through the samples during spinning. To avoid desiccation and to keep the solution in
250 contact with the sample ends when the rotor was stopped, foam pads soaked in the
251 solution used for measurements were placed in the reservoirs of both rotor designs
252 (Tobin *et al.*, 2013). After spinning, samples were removed from the rotor and K_s was
253 measured again. Samples were spun only once ('single-spin centrifuge method', Hacke
254 *et al.*, 2015) to avoid any effect associated with repeated spinning on the same sample.
255 Sapwood area-specific conductivity (K_s) instead of percentage loss of hydraulic
256 conductivity (PLC) values was reported to avoid any possible drift by errors when
257 calculating maximum K_s (Sperry *et al.*, 2012). The native K_s of five extra olive saplings

258 was also measured in order to test for possible changes in K_s at relative high water
259 potential.

260 **Checking for possible embolism repair during xylem relaxation**

261 The relaxation of xylem pressure carried out to avoid the excision artefact could
262 favour embolism repair in some species (Trifilò *et al.* 2014). If this was the case for our
263 species, K_s measured for the dehydration treatment would be higher than K_s measured
264 after centrifugation only because partial refilling had occurred with xylem relaxation
265 treatment. In this case lower K_s after spinning could be erroneously interpreted as an
266 artefact. In order to eliminate this possibility we performed an additional phloem
267 girdling treatment, which has been reported to be an effective method to inhibit xylem
268 refilling (Trifilò *et al.* 2014). K_s from girdled and non-girdled samples was measured
269 after xylem relaxation following the same protocol described for the evaluation of the
270 open-vessel artefact. Thus, once the plant material reached a xylem pressure of -1.8
271 MPa or lower, a group of 5 to 6 samples per species were girdled for their entire length
272 by removing 5-10 mm wide bark rings at 10-15 cm intervals, while a second group of 5
273 to 8 samples were left intact (control). To prevent desiccation, the exposed wood was
274 immediately covered with a thin layer of silicone grease. For collecting the girdled and
275 control samples, the entire branches were progressively recut under water and the
276 samples excised once the xylem pressure was released. Samples were then attached to
277 the tubing apparatus and K_s measured gravimetrically as described above to check for
278 possible repairing processes (i.e. higher K_s values) occurring in the non-girdled samples.

279 To determine if the process of connecting and disconnecting or perfusing the
280 samples with the measurement solution could account for a possible decline in
281 conductance after centrifugation, K_s was measured for one of the long-vesseled species
282 (*Olea europaea*) before (K_{s1}) and after (K_{s2}) disconnecting the samples. For this
283 purpose, samples were kept in water for a similar time as the duration of the centrifuge
284 spinning (i.e., 5 min) and then reconnected to the tubing of the conductivity apparatus.

285 **Resistance to embolism in intact olive saplings: X-ray microtomography.**

286 Synchrotron based computed X-ray microtomography (micro-CT) was used to visualize
287 embolised and water filled conduits in the current-year shoots of intact olive saplings
288 (*Olea europaea*, var. *arbequina*). A total of 15 olive saplings were scanned between 8
289 and 12 April 2015 at the French synchrotron facility SOLEIL (Paris, France) using the

290 micro-CT beamline (PSICHE). Two weeks prior to the scans, irrigation was withheld
 291 progressively in different saplings in order to generate a wide range of xylem water
 292 potentials at the time of the scanning.

293 Once at the synchrotron and 1.5 hours before each scan, two leaves, located 20
 294 mm below the scanned area, were wrapped in a plastic bag and covered with aluminium
 295 foil to prevent transpiration and allow the equilibrium between leaf and stem water
 296 potential. Water potential was then measured on these bagged leaves right before the
 297 scan with a Scholander-type pressure chamber (Precis 2000, Gradignan, France).
 298 Current-year shoots were scanned using a high flux ($3.1011 \text{ photons mm}^{-2}$) 25 keV
 299 monochromatic X-ray beam. The projections were recorded with a Hamamatsu Orca
 300 Flash sCMOS camera equipped with a $250 \mu\text{m}$ thick LuAG scintillator and visible light
 301 optics providing an effective pixel size of 3 microns. The complete tomographic scan
 302 included 1,500 projections, 50 ms each, for a 180° rotation. Samples were exposed for
 303 75 s to the X-ray beam. Tomographic reconstructions were performed using PyHST2
 304 software (Mirone *et al.*, 2014) using the Paganin method (Paganin, 2006).

305 The loss of theoretical conductance k was determined from a transverse 2D
 306 micro-CT slice taken from the centre of the scan volume by estimating the theoretical k
 307 of each sapling stem based on the conduit dimensions of embolised and functional
 308 conduits. A final scan was carried out on each shoot after cutting it in air at
 309 approximately 5 mm above the scan area. Because the xylem was still under negative
 310 pressure, we therefore induced embolism in all remaining functional vessels in order to
 311 create a reference scan ('cutting artefact', Wheeler *et al.*, 2013; Cochard *et al.*, 2015;
 312 Torres-Ruiz *et al.*, 2015a; Choat *et al.*, 2016), while distinguishing at the same time a
 313 small amount of living vessels (i.e., not fully developed), which were excluded from our
 314 calculations. The maximum theoretical k (k_{max} , $\text{kg s}^{-1} \text{ MPa}^{-1}$) of each sapling was then
 315 calculated according to the Hagen-Poiseuille equation:

$$316 \quad k_{\text{max}} = \Sigma \pi D^4 / 128 \eta \quad (1)$$

317 where D is the conduit diameter and η is the viscosity of water. The k for each
 318 sapling and xylem pressure was calculated by subtracting the total k of all the embolised
 319 vessels from its k_{max} , being the percent loss of k (PLC) calculated as:

$$320 \quad \text{PLC} = 100 \times (1 - k / k_{\text{max}})$$

321 To account for a small amount of embolised vessels that are typically observed at high
 322 xylem water potentials, the vulnerability curve was fitted with a modified Weibull
 323 function (Neufeld *et al.*, 1992) to include an additional independent parameter (Torres-
 324 Ruiz *et al.*, 2015b):

$$325 \quad PLC = (100 - y_0)(1 - e^{-\left(\frac{x}{b}\right)^c}) + y_0$$

326 with being x the xylem pressure, b the xylem pressure for a PLC of 63%, c a
 327 dimensionless parameter controlling the shape of the curve and y_0 the PLC at a xylem
 328 water potential of 0 MPa. The air entry pressure (P_e), indicating the threshold xylem
 329 pressure at which loss of conductivity begins to increase rapidly (Meinzer *et al.*, 2009),
 330 was computed from the x -intercept of the tangent through the midpoint of the
 331 vulnerability curve.

332 **Statistics**

333 Differences within a species in mean K_s values measured both in girdled and non-
 334 girdled (control) samples, and before and after spinning were tested with paired t-tests
 335 after testing for normality and homogeneity of variances. The tests were made at a
 336 probability level of 5%. All analyses were performed using Sigmaplot (SPSS Inc.,
 337 Chicago, IL, USA).

338

339 **Results**

340 The air-injection method confirmed the presence of open vessels in 15-cm long samples
 341 for the two long-vesseled species (*Q. palustris* and *O. europaea*), whereas no open
 342 vessels were detected for *B. pendula* in the 15 cm and 40 cm-long stem segments.
 343 Results from the silicone injection technique showed that the percentage of open vessels
 344 for the 15 cm-long samples of *Q. palustris* and *O. europaea* (Fig. 2) was $70.7 \pm 7.3\%$ (n
 345 = 4) and $27.0 \pm 8.4\%$ ($n = 4$), respectively.

346 The progressive dehydration induced mean xylem pressures between -1.8 and -
 347 2.5 MPa before taking K_s measurements (Table 1) for the three species studied. A
 348 significant decrease in K_s was observed for the two long-vesseled species when samples
 349 were exposed in a centrifuge to a less negative xylem pressure than the minimum
 350 pressure previously experienced by the entire plant or large branches (i.e. -1.5 MPa, Fig.

351 3), independent of the rotor design. Thus, *O. europaea* showed a mean decrease in K_s of
352 $46.8 \pm 8.1\%$ and $54.3 \pm 4.9\%$ using the Cavitron and the original rotor, respectively,
353 whereas for *Q. palustris* this decrease reached $66.6 \pm 9.1\%$ and $61.4 \pm 6.7\%$, respectively.
354 In contrast, samples of the short-vesseled species *B. pendula* showed similar
355 conductances before and after spinning for both rotor types.

356 There was no evidence that the relaxation of the xylem pressure carried out to
357 avoid the excision artefact resulted in embolism repair; similar K_s values were observed
358 in girdled and control xylem-relaxed samples for the three species evaluated (Fig. 4a).
359 Differences in K_s values reported in Fig. 2 and 4 are due to the fact that both sets of
360 measurements were carried out at a different time. No differences in K_s were found
361 between control samples that were kept in water for 5 minutes and then reconnected to
362 the conductivity apparatus (Fig. 4b).

363 Micro-CT images from drought stressed olive saplings showed that the PLC
364 remained relatively low (12.6%) when xylem pressure decreased from -0.4 to -4.0 MPa
365 (Fig. 5). However, images showed a significant loss of hydraulic conductance (PLC =
366 75.7%) when xylem pressure reached -6.2 MPa. Thus, the vulnerability curve for olive
367 obtained by direct micro-CT observation showed only a slight increase in PLC up to -
368 4.0 MPa (Fig. 6). As the P_c values indicated, at xylem pressures below -4.0 MPa the
369 loss of k started to increase steeply, reaching 50% loss of k (P_{50}) at -5.3 MPa.

370 Discussion

371 All xylem samples with some proportion of their vessels open at both cut ends
372 showed a decrease in K_s when they were exposed to a less negative xylem pressure than
373 the minimum pressure previously experienced during the progressive dehydration of the
374 branch samples (*Q. palustris*) or the intact plant (*O. europaea*). This observation was
375 found by applying the standard centrifuge for both the Alder and Cavitron rotor designs.
376 Thus, *Q. palustris* and *O. europaea* showed a mean decrease in K_s of 70.8 and 49.6%,
377 respectively, when using the Alder rotor design, and 61.4 and 54.3%, respectively,
378 when using the Cavitron design. As embolism should not be induced by exposing the
379 xylem to less negative pressures, the observed reductions in K_s are likely due to
380 experimental artefact. Indeed, the control test showed that such reductions were not
381 caused by wounding responses, perforation plate morphology, clogging or embolism
382 induction while samples were loaded and unloaded in the tubing conductivity apparatus,

383 or by other artefacts during handling and sample preparation. Also, direct micro-CT
384 observations show little embolism formation in intact plants at a xylem pressure of -1.5
385 MPa providing further evidence that this artefact was the main cause of reductions in K_s
386 after centrifugation. Samples of *B. pendula* that did not contain any open vessels
387 exhibited no change in K_s before and after being spun in both rotors. These results are
388 consistent with the hypothesis that the experimental artefact causing significant
389 embolism at xylem pressures near zero is associated with the presence of open xylem
390 vessels in the test segment. This artefact was previously reported when applying the
391 standard centrifuge technique to long-vesseled species (Choat *et al.*, 2010; McElrone *et*
392 *al.*, 2012; Choat *et al.*, 2016), with evidence showing that the shape of the vulnerability
393 curves obtained with this method varied with the amount of open vessels (Torres-Ruiz
394 *et al.*, 2014). A possible explication of the observed decreases in K_s after spinning could
395 be the ease with which water can drain from open vessels during spinning due to
396 asymmetric vessel morphology. In particular, it is well known that the inner diameter of
397 vessel lumina shows considerable variation along its axis (Akachuku, 1987; Balaz *et al.*,
398 2016). Moreover, xylem vessels are not the ideal 'pipes' running perfectly straight but
399 show some tortuosity (Braun 1959; Tyree and Zimmermann 2002). Therefore, it is
400 likely that during the spinning minor pressure differences occur between both ends, with
401 air entering one end, and water draining out of the other one.

402

403 The present study provides additional evidence that the standard centrifuge
404 technique is prone to underestimating resistance to embolism in samples with open
405 vessels, regardless of rotor design. This is consistent with a survey of more than 1,200
406 vulnerability curves (Cochard *et al.*, 2013), illustrating that exponential-shaped curves
407 are remarkably frequent for species with long xylem vessels when they were obtained
408 with a centrifuge methods, i.e. both the standard-centrifuge and the Cavitron method.

409 Our findings contrast with the conclusion that the standard centrifuge technique
410 accurately measures vulnerability curves of long-vesseled species such as grapevine and
411 olive (Jacobsen and Pratt, 2012; Hacke *et al.*, 2015; Pratt *et al.*, 2015). This conclusion
412 was based on the agreement between native K_s and PLC values from dehydration-based
413 measurements and from single-spin centrifugations (Fig. 6 in Hacke *et al.*, 2015).
414 However, no native K_s values or direct observations were reported in Hacke *et al.*
415 (2015) for xylem pressures between 0.0 and -1.0 MPa, i.e. the pressures at which the

416 largest changes in K_s are expected according to their exponential-shaped curve. Also,
417 their measurements were conducted in September and October, i.e. late summer. Since
418 the trees sampled by Hacke et al. (2015) could have been exposed to lower water
419 potentials during mid-summer, they could have experienced some embolism that would
420 not correspond with the water potential measured at the time of the K_s measurements.
421 These underestimated K_s values at relatively high water potentials could therefore agree
422 with the reduced centrifuge K_s values resulting from an open-vessel artefact and,
423 therefore, be erroneously considered as an evidence for rejecting the occurrence of an
424 open-vessel artefact. Moreover, no effect of the amount of open vessels on the shape of
425 the curve was reported when using 13.5 and 27cm-long samples with different amounts
426 of open vessels (Fig. 4 in Hacke *et al.*, 2015). If the amount of open vessels is relatively
427 high (i.e. >50%) for both stem sizes, vulnerability curves based on samples can be
428 equally biased (Fig. 3 in Hacke *et al.*, 2015). Moreover, a control experiment with
429 samples showing 0% of open vessels is required to confirm that the amount of open
430 vessels has no effect on a vulnerability curve that is based on centrifuge techniques.
431 Similarly low resistances to embolism were observed for 1-yr-old grapevine stems with
432 different percentages of open vessels (Jacobsen & Pratt, 2012). Although some
433 differences in P_{50} could be expected between varieties of grapevine, independent studies
434 carried out on intact grapevine plants by micro-CT have reported considerably higher
435 stem resistances to embolism for *Vitis vinifera* (McElrone *et al.* 2012; Charrier *et al.*
436 2016).

437 It is important to highlight that special attention was paid to address previous
438 criticisms from similar tests on the open-vessel artefact, following the latest
439 recommendations and accounting for various sources of error in hydraulic
440 measurements: the use of foam pads to avoid the draining of open vessels (Tobin *et al.*,
441 2013); single centrifugation of samples ('single-spin' protocol, Hacke *et al.*, 2015);
442 accounting for passive water uptake by samples (Torres-Ruiz *et al.*, 2012); releasing the
443 xylem pressure before excising samples (Wheeler *et al.*, 2013; Torres-Ruiz *et al.*,
444 2015a); and hydraulic quantification based on K_s values instead of PLC (Sperry *et al.*,
445 2012). Also, no evidence for embolism repair was detected in any of the three species
446 evaluated, showing similar K_s values between girdled (i.e., samples with their possible
447 refilling mechanism inhibited, see Trifilò *et al.* 2014) and non-girdled (control) samples
448 after relaxing their xylem pressure. Indeed, there is growing evidence from various

449 research groups that the water transport system of plants is generally resistant to air
450 entry for the normal, daily range of pressures the xylem experiences (McElrone *et al.*,
451 2012; Martin-StPaul *et al.*, 2014; Bouche *et al.*, 2016; Brodribb *et al.*, 2016; Choat *et*
452 *al.*, 2016; Lens *et al.*, 2016). A challenge ahead, however, which is at least as important
453 as technical progress in quantifying xylem embolism resistance, includes a better
454 understanding of the actual mechanisms behind drought- and frost-induced embolism
455 formation in plant xylem, in particular air-seeding (Charrier *et al.*, 2014; Jansen and
456 Schenk, 2015; Schenk *et al.*, 2016).

457 Our results do not undermine the standard centrifuge technique, but highlight the
458 importance of conducting simple checks on plant material in order to ensure that
459 embolism resistance is measured accurately. These checks are not necessary for tracheid
460 bearing species but are advisable for angiosperm species, particularly those known to
461 have long xylem vessels. Only when K_s remains similar before and after spinning at a
462 less negative pressure than the minimum pressure previously experienced by the
463 material can vulnerability curves based on the centrifuge technique be considered as
464 accurate. In fact, the test proposed here would probably have avoided the discrepancy
465 about the shape of the response of k to xylem pressure for olive (Torres-Ruiz *et al.*,
466 2014; Hacke *et al.*, 2015). The direct observation of embolism formation in intact olives
467 trees carried out in this study, however, confirms that embolism formation occurs when
468 xylem water potential falls below a threshold value that is reached under severe drought
469 conditions, supporting its designation as a drought-tolerant species (LoGullo & Salleo,
470 1988; Fernández & Moreno, 1999; Connor & Fereres, 2005; Diaz-Espejo *et al.*, 2012)
471 and the sigmoidal shape of the vulnerability curves of this species (Torres-Ruiz *et al.*,
472 2014), which is consistent with the high-embolism-resistance paradigm proposed by
473 Delzon & Cochard (2014).

474 The use of different varieties, growing conditions, plant sizes, sampling period,
475 etc. is likely to explain some degree of intraspecific variability in P_{50} between studies
476 (this study; Ennajeh *et al.*, 2008; Torres-Ruiz *et al.*, 2013), but not the observation of
477 dramatically contrasting embolism resistance strategies (Torres-Ruiz *et al.*, 2014).
478 Indeed, the low variability in P_{50} typically observed within a single species (Martinez-
479 Vilalta *et al.*, 2004; Lamy *et al.*, 2014) and the fact that ‘exponential’ curves are
480 typically associated with centrifuge methods and long-vesselled angiosperms species

481 (Cochard *et al.*, 2013) casts further doubt over measurements indicating a low resistance
482 to embolism for olive.

483 **Conclusions**

484 This study demonstrates that the standard centrifuge technique does not accurately
485 measure resistance to embolism in xylem samples with some proportion of open
486 vessels, regardless of the rotor design used. Wood samples with open-vessels showed a
487 significant decrease in K_s after spinning at a less negative xylem pressure than the
488 minimum pressure previously experienced by the plant material, which was not due to
489 embolism formation. Micro-CT observations of intact olive plants confirmed the high-
490 embolism-resistance strategy for Olive, with almost no embolism formation until water
491 potential reaches a certain threshold value. Overall, the findings from this study are in
492 line with earlier evidence that embolism resistance in plants, especially for long-
493 vesseled species, is not as low as previously suggested.

494

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508 **Author contributions**

509 JMT-R designed the experiment, carried out the measurements, performed the data
510 analysis and wrote the first manuscript draft. JMT-R, IT, RL, SD, EB and CMP-D
511 collected the plant material and carried out some of the measurements. JMT-R, HC, SD,

512 BC, SJ, EB, RB, AK, NL and NKS-P assisted with setting up the Synchrotron scans.
513 JMT-R, SJ, BC, HC and SD contributed ideas and assisted substantially with
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515

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717 **Tables**

718 **Table 1:** Overview of the species studied with reference to their sample length, mean
 719 native xylem water potentials before taking specific hydraulic conductivity
 720 measurements, and the pressure induced by centrifugation using the Cavitron or the
 721 original rotor design (i.e. Alder *et al.*, 1997 design). Values indicate mean \pm standard
 722 error.

Species	Sample length (cm)		Native xylem water potentials (MPa, mean \pm SE ; <i>n</i>)		Induced pressure (MPa)
	Cavitron	Original	Cavitron	Original	
<i>Betula pendula</i>	40	15	-2.1 \pm 0.1 ; 6	-1.8 \pm 0.0 ; 6	-1.5
<i>Olea europaea</i>	15	15	-2.4 \pm 0.1 ; 7	-2.0 \pm 0.1 ; 6	-1.5
<i>Quercus palustris</i>	15	15	-2.5 \pm 0.2 ; 6	-2.0 \pm 0.1 ; 6	-1.5

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737 **Figure Legends**

738 **Fig. 1.** Two contrasting curves showing the predicted loss in K_s according to the ‘s-
 739 shaped’ and ‘r-shaped’ scenario. Resistance to xylem embolism has typically been
 740 evaluated by inducing lower xylem pressures progressively and determining their
 741 corresponding losses in K_s (closed circles), which makes it difficult to distinguish
 742 between real and artefactual loss in K_s (closed circle ‘a’ to ‘b’). By exposing a sample to
 743 a less negative pressure, i.e. nearer to zero, than the minimum pressure previously
 744 experienced, a decrease in K_s can be considered as artefactual (open circle ‘a’ to ‘b’)
 745 since less negative pressures cannot induce embolism (open circle ‘a’ to ‘c’).

746 **Fig. 2** Percentage of open vessels (i.e., open at both sample ends) in 15cm-long samples
 747 of *Olea europaea* and *Quercus palustris*. There are no data for *Betula pendula* since no
 748 open vessels were detected in either the 15 cm-long samples or the 40 cm-long ones for
 749 this species. Each column is the average of four samples per species and vertical bars
 750 represent \pm the standard error.

751 **Fig. 3.** Mean specific hydraulic conductivity (K_s) for *Betula pendula*, *Olea europaea*
 752 and *Quercus palustris* xylem samples before (NP, ‘native xylem pressure’) and after
 753 spinning in a rotor according to the Cavitron (panels on the left) or the original rotor
 754 design (Alder et al. 1997; panels on the right) to induce a less negative xylem pressure
 755 (-1.5 MPa, AS, ‘after spinning’) than the minimum pressure previously experienced by
 756 the plant. Each column is the average of n samples per species and vertical bars
 757 represent the standard error. Significant differences between NP and AS are indicated as
 758 $p < 0.05$ or $p < 0.01$; *n.s.* indicates no significant differences.

759 **Fig. 4 a)** Mean specific hydraulic conductivity (K_s) for *Betula pendula*, *Olea europaea*
 760 and *Quercus palustris* girdled and control (i.e. non-girdled) xylem samples after xylem
 761 pressure relaxation. **b)** Specific hydraulic conductivity (K_s) for *Olea europaea* measured
 762 before (K_{s1}) and after (K_{s2}) disconnecting the samples, leaving them to sit in water for
 763 an equivalent time as those that were spun (i.e. 5 min) and reconnecting them to the
 764 tubing conductivity apparatus. Each column is the average of n samples per species and
 765 vertical bars represent the standard error. *n.s.* indicates no significant differences (i.e.
 766 $p > 0.05$).

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768 **Fig. 5** Transverse micro-CT images of *Olea europaea* at different xylem water
769 potentials (Ψ_{xyl} , bottom of each picture). Embolised vessels are observed as black. The
770 percentage loss of hydraulic conductivity (PLC) is indicated for each sample. Scale bar
771 = 500 μm .

772 **Fig. 6** Vulnerability curve obtained by direct observation (micro-CT) from intact olive
773 plants (full, black dots correspond to individual PLC measurements based on scans, and
774 the solid black line to the Weibull fitting). The grey dashed line represents the tangent
775 through the midpoint of the vulnerability curve and its x-intercept represents the air
776 entry pressure (P_e) according to Meinzer *et al.* (2009).

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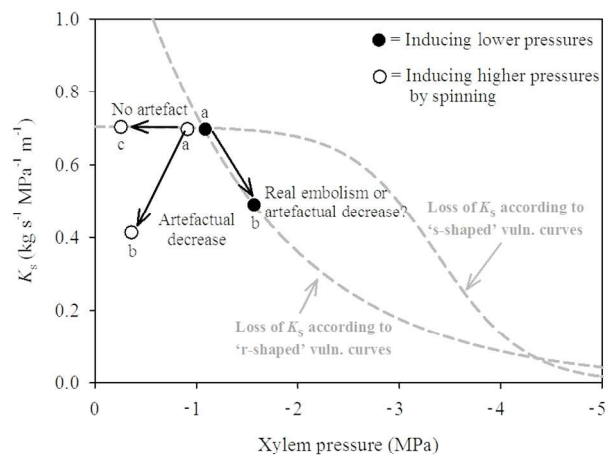


Fig. 1. Two contrasting curves showing the predicted loss in K_s according to the 's-shaped' and 'r-shaped' scenario. Resistance to xylem embolism has typically been evaluated by inducing lower xylem pressures progressively and determining their corresponding losses in K_s (closed circles), which makes it difficult to distinguish between real and artefactual loss in K_s (closed circle 'a' to 'b'). By exposing a sample to a less negative pressure, i.e. nearer to zero, than the minimum pressure previously experienced, a decrease in K_s can be considered as artefactual (open circle 'a' to 'b') since less negative pressures cannot induce embolism (open circle 'a' to 'c').

210x296mm (150 x 150 DPI)

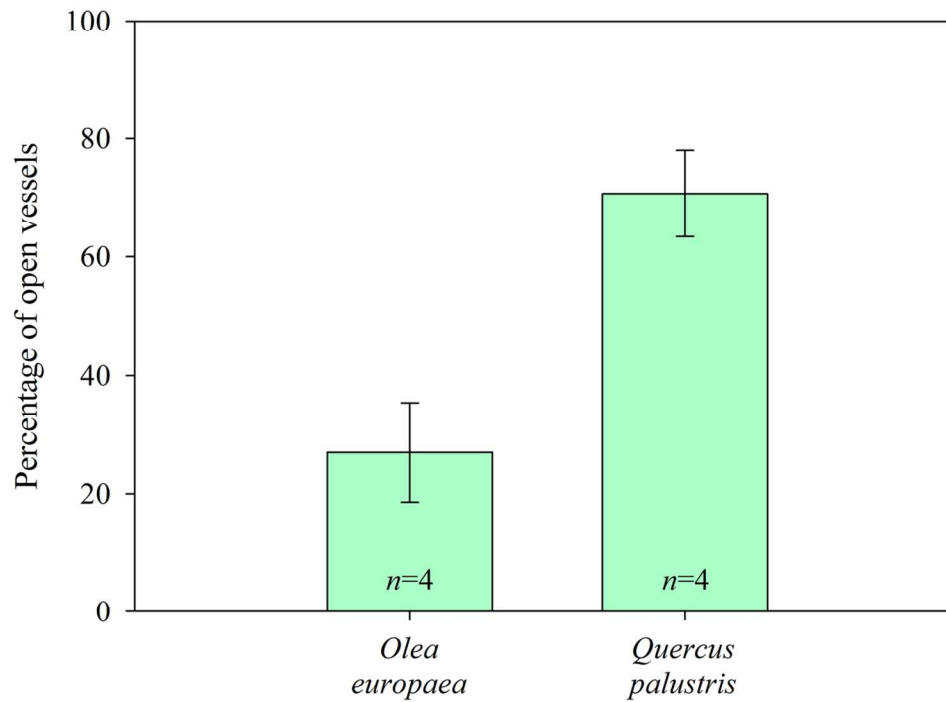


Fig. 2. Percentage of open vessels (i.e., open at both sample ends) in 15cm-long samples of *Olea europaea* and *Quercus palustris*. There are no data for *Betula pendula* since no open vessels were detected in either the 15 cm-long samples or the 40 cm-long ones for this species. Each column is the average of four samples per species and vertical bars represent \pm the standard error.

116x89mm (300 x 300 DPI)

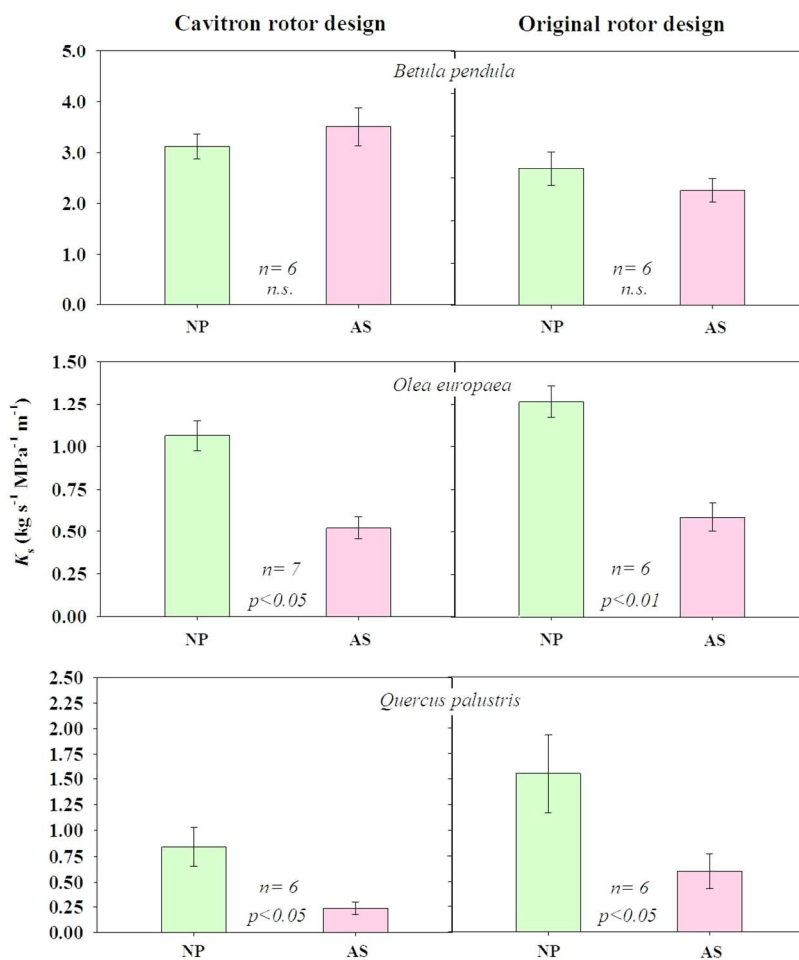


Fig. 3. Mean specific hydraulic conductivity (K_s) for *Betula pendula*, *Olea europaea* and *Quercus palustris* xylem samples before (NP, 'native xylem pressure') and after spinning in a rotor according to the Cavित्रon (panels on the left) or the original rotor design (Alder *et al.* 1997; panels on the right) to induce a less negative xylem pressure (-1.5 MPa, AS, 'after spinning') than the minimum pressure previously experienced by the plant. Each column is the average of n samples per species and vertical bars represent the standard error. Significant differences between NP and AS are indicated as $p<0.05$ or $p<0.01$; $n.s.$ indicates no significant differences.

210x296mm (150 x 150 DPI)

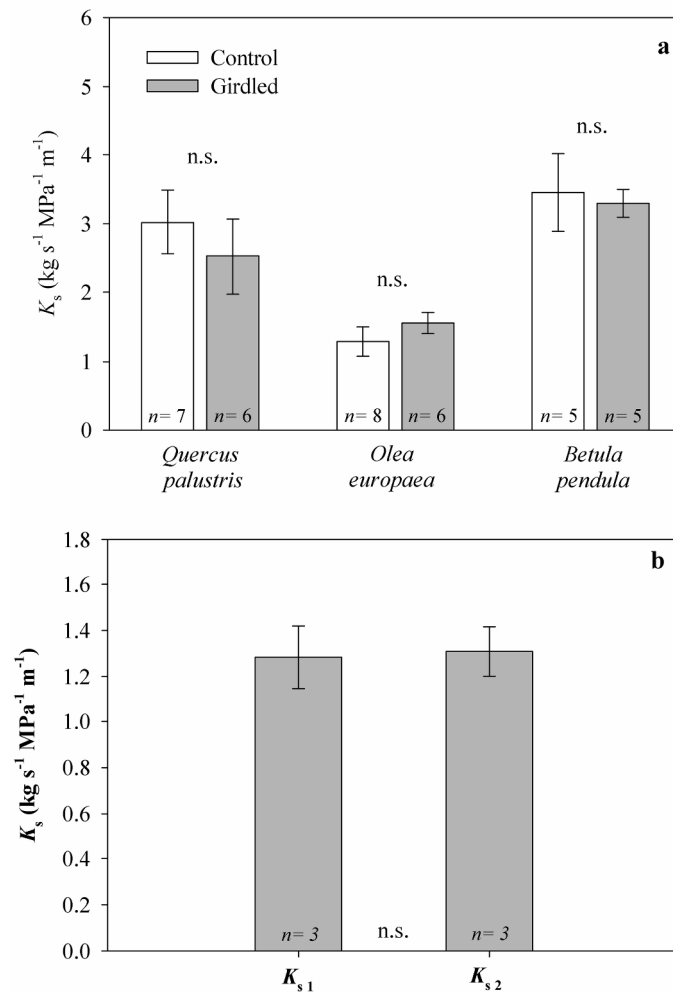


Fig. 4. a) Mean specific hydraulic conductivity (K_s) for *Betula pendula*, *Olea europaea* and *Quercus palustris* girdled and control (i.e. non-girdled) xylem samples after xylem pressure relaxation. b) Specific hydraulic conductivity (K_s) for *Olea europaea* measured before (K_{s1}) and after (K_{s2}) disconnecting the samples, leaving them to sit in water for an equivalent time as those that were spun (i.e. 5 min) and reconnecting them to the tubing conductivity apparatus. Each column is the average of n samples per species and vertical bars represent the standard error. n.s. indicates no significant differences (i.e. $p > 0.05$).

175x298mm (300 x 300 DPI)

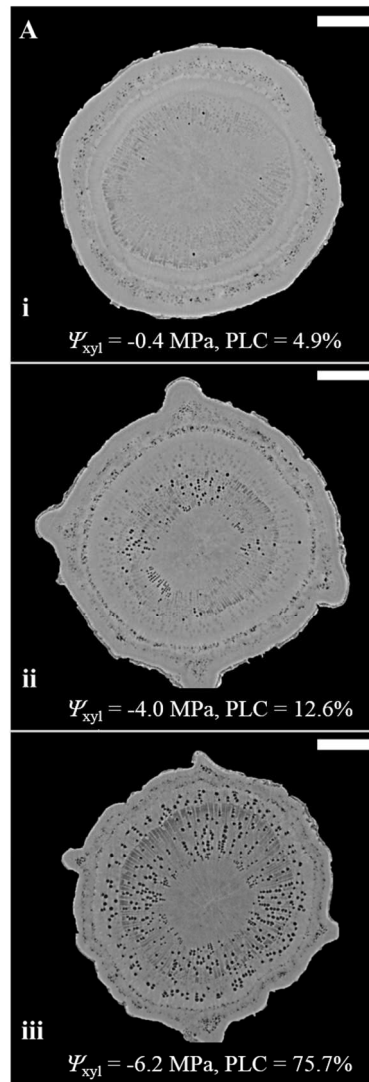


Fig. 5. Transverse micro-CT images of *Olea europaea* at different xylem water potentials (Ψ_{xyl} , bottom of each picture). Embolised vessels are observed as black. The percentage loss of hydraulic conductivity (PLC) is indicated for each sample. Scale bar = 500 μ m.

91x254mm (150 x 150 DPI)

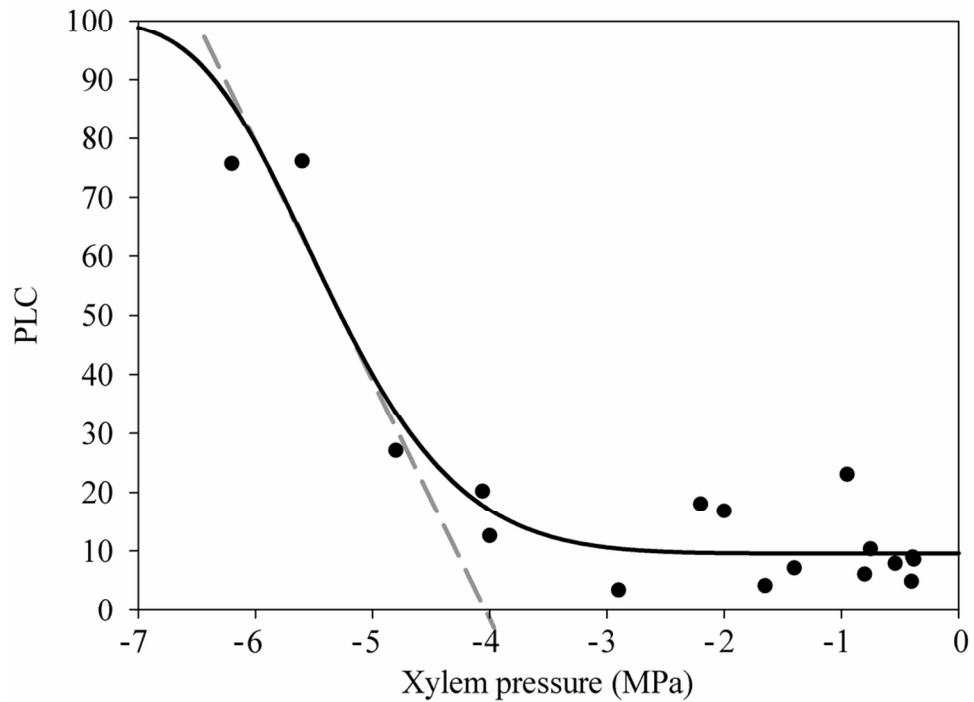


Fig. 6. Vulnerability curve obtained by direct observation (micro-CT) from intact olive plants (full, black dots correspond to individual PLC measurements based on scans, and the solid black line to the Weibull fitting).

The grey dashed line represents the tangent through the midpoint of the vulnerability curve and its x-intercept represents the air entry pressure (P_e) according to Meinzer *et al.* (2009).

100x77mm (300 x 300 DPI)