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## Comparison between Arabidopsis and rice for main pathways of K<sup>+</sup> and Na<sup>+</sup> uptake by roots

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Provisional

1 **Comparison between Arabidopsis and rice for main pathways of K<sup>+</sup> and Na<sup>+</sup>**  
2 **uptake by roots**

3

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20

21 **Abstract**

22 K<sup>+</sup> is an essential macronutrient for plants. It is acquired by specific uptake systems  
23 located in roots. Although the concentrations of K<sup>+</sup> in the soil solution are widely  
24 variable, K<sup>+</sup> nutrition is secured by uptake systems that exhibit different affinities for  
25 K<sup>+</sup>. Two main systems have been described for root K<sup>+</sup> uptake in several species: the  
26 high-affinity HAK5-like transporter and the inward-rectifier AKT1-like channel. Other  
27 unidentified systems may be also involved in root K<sup>+</sup> uptake, although they only seem  
28 to operate when K<sup>+</sup> is not limiting. The use of knock-out lines has allowed  
29 demonstrating their role in root K<sup>+</sup> uptake in Arabidopsis and rice. Plant adaptation to  
30 the different K<sup>+</sup> supplies relies on the finely-tuned regulation of these systems. Low K<sup>+</sup>-  
31 induced transcriptional up-regulation of the genes encoding HAK5-like transporters  
32 occurs through a signal cascade that includes changes in the membrane potential of root  
33 cells and increases in ethylene and reactive oxygen species (ROS) concentrations.

34 Activation of AKT1 channels occurs through phosphorylation by the CIPK23/CBL1  
35 complex. Recently, activation of the Arabidopsis HAK5 by the same complex has been  
36 reported, pointing to CIPK23/CBL as a central regulator of the plant's adaptation to low  
37  $K^+$ .

38  $Na^+$  is not an essential plant nutrient but it may be beneficial for some plants. At  
39 low concentrations,  $Na^+$  improves growth, especially under  $K^+$  deficiency. Thus, high-  
40 affinity  $Na^+$  uptake systems have been described that belong to the HKT and HAK  
41 families of transporters. At high concentrations, typical of saline environments,  $Na^+$   
42 accumulates in plant tissues at high concentrations, producing alterations that include  
43 toxicity, water deficit and  $K^+$  deficiency. Data concerning pathways for  $Na^+$  uptake into  
44 roots under saline conditions are still scarce, although several possibilities have been  
45 proposed. The apoplast is a significant pathway for  $Na^+$  uptake in rice grown under  
46 salinity conditions, but in other plant species different mechanisms involving non-  
47 selective cation channels or transporters are under discussion.

48

49 **Keywords:** Potassium, sodium, uptake, roots, Arabidopsis, rice

50

Provisional

## 51 **Introduction**

52 Given the constant increase in world population, high-yield crop production has become  
53 a necessity for agriculture. As that the nutrient sources of the land are limited, the input  
54 of nutrients by the addition of fertilizers ensures a continuous supply for plants,  
55 circumventing reductions in plant yield. The use of fertilizers has raised crop yield  
56 considerably, for example, from 50% to 80% of wheat and corn grain yield is  
57 attributable to nutrient fertilization (Stewart et al., 2005). However this practice comes  
58 with high economic and environmental costs.

59 Potassium ( $K^+$ ) is an essential macronutrient that is required by plants to  
60 complete their life cycle (Taiz and Zeiger, 1991).  $K^+$  can make up to 10% of the total  
61 plant dry weight (Leigh and Wyn Jones, 1984) and fulfils important functions for  
62 metabolism, growth and stress adaptation. Specifically, it acts as an enzyme activator,  
63 protein synthesis stabilizer, neutralization of protein negative charges and it participates  
64 in cytoplasmic pH homeostasis as well (Marschner, 2012). An optimal  $K^+$  concentration  
65 in the cytosol of around 100 mM is required for the performing of the functions  
66 mentioned above (Jones, 1983), and plant cells maintain the cytosolic  $K^+$  concentration  
67 around this value (Walker et al., 1996).

68  $K^+$  constitutes about 2.9 % of the earth's crust but the concentration of  $K^+$  in the  
69 soil solution is highly variable, in the  $10^{-5}$  to  $10^{-3}$  M range (Barraclough, 1989;  
70 Marschner, 2012). Since roots are able to take up  $K^+$  at a higher rate than this cation  
71 can diffuse from the bulk soil solution, a  $K^+$  depletion zone near the root surface can be  
72 formed with  $K^+$  concentrations of just a few  $\mu\text{M}$  (Baldwin et al., 1973; Claassen and  
73 Jungk, 1982). More importantly, increasing areas of the world are currently described as  
74 being  $K^+$  deficient for agricultural practices (Mengel et al., 2001; Moody and Bell,  
75 2006; Römheld and Kirkby, 2010; Kirkby and Schubert, 2013).

76  $K^+$  deficiency has a negative impact on plant growth since cellular expansion  
77 and photosynthesis are severely affected under these conditions (Bednarz and  
78 Oosterhuis, 1999; Hafsi et al., 2014). This deficiency also correlates with a decrease in  
79 protein synthesis and subsequent decline in growth (Walker et al., 1996; Walker et al.,  
80 1998).  $K^+$  deficiency has been shown to inhibit lateral root development in Arabidopsis  
81 (Armengaud et al., 2004; Shin and Schachtman, 2004; Kellermeier et al., 2014) and in  
82 barley (Drew, 1975) and in the up-regulation of genes involved in  $K^+$  uptake (Ashley et  
83 al., 2006; Nieves-Cordones et al., 2014). In addition,  $K^+$ -deficient plants are more

84 sensitive to abiotic and biotic stresses such as drought, cold, salinity or fungal attacks  
85 (Marschner, 2012; Zörb et al., 2014).

86 Sodium ( $\text{Na}^+$ ) is not an essential element for plants but, for some species it can  
87 be a beneficial element that stimulates growth (Wakeel et al., 2010; Wakeel et al., 2011;  
88 Kronzucker et al., 2013). In these cases,  $\text{Na}^+$  can be regarded as a functional nutrient  
89 (Subbarao et al., 2003), that can partly replace  $\text{K}^+$  in some functions such as osmotic  
90 adjustment of the large central vacuole, cell turgor regulation leading to cell  
91 enlargement, or long-distance transport of anions (Subbarao et al., 2003; Horie et al.,  
92 2007; Gattward et al., 2012; Battie-Laclau et al., 2013).

93 On the other hand,  $\text{Na}^+$  has been extensively associated to its negative impact on  
94 crop yield. Excess of  $\text{Na}^+$  salts in the soil results in both reduced soil water availability  
95 (due to the decrease in water potential) and ionic toxicity. When accumulated at high  
96 concentrations in the cytoplasm,  $\text{Na}^+$  results in deleterious effects on cell biology, e.g.,  
97 on photosynthetic activity or on membrane integrity (due to displacement of membrane-  
98 bound  $\text{Ca}^{2+}$  ions (Cramer et al., 1985). Thus,  $\text{Na}^+$  is usually compartmentalized outside  
99 the cytoplasm (Morgan et al., 2014), in vesicles such as the vacuole, where it is used as  
100 an osmoticum. Estimates of the area of salt-affected soils vary widely, ranging from 6%  
101 to 10% of the earth's land area (Eynard et al., 2005; Munns and Tester, 2008).  
102 Importantly, 20% of irrigated lands are affected by secondary salinization, limiting  
103 agriculture worldwide.

104 In the present review, we summarize recent advances in the field of  $\text{K}^+$  and  $\text{Na}^+$   
105 uptake in the plant root, with special attention to the transport systems and their  
106 regulation mechanisms. We believe that the studies performed on the model plant  
107 *Arabidopsis* and the results of recent research in crops such as rice suggest that the  
108 results obtained with model species cannot be fully extended to other plant species.

109

#### 110 **$\text{K}^+$ and $\text{Na}^+$ uptake by roots: kinetic features and sensitivity to other cations**

111  $\text{K}^+$  and  $\text{Na}^+$  can enter the root apoplast and diffuse towards inner cell layers  
112 (Sattelmacher et al., 1998). However, this pathway is interrupted by the endodermis,  
113 where the Casparian strip, which is impermeable to water and ions, is located (Schreiber  
114 et al., 1999; Tester and Leigh, 2001; Marschner, 2012; Geldner, 2013; Barberon and  
115 Geldner, 2014). To cross this impermeable barrier, nutrient ions enter the cytosol of a  
116 root peripheral cell either from the epidermis, cortex or endodermis and move from cell  
117 to cell (symplastic pathway) through plasmodesmata (Burch-Smith and Zambryski,

118 2012). Diffusion within the symplasm beyond the endodermic barrier allows nutrient  
119 ions to reach the stele, where they will initiate their travel towards the aerial parts within  
120 the xylem vessels (Lauchli, 1972).

121 It is worth noting that the Casparian strip may be absent in some places  
122 (Maathuis, 2014) allowing ions to reach the root stele and xylem vessels through the  
123 apoplastic pathway via bypass flow (Kronzucker and Britto, 2011). Since this flow is  
124 relatively low, most of the ions that reach the root xylem vessels are probably taken up  
125 across the plasma membrane of a root peripheral cell (Tester and Leigh, 2001). Thus,  
126 their entry into the root symplasm would have been mediated by membrane transport  
127 systems, channels, transporters or cotransporters. It should be noted that the bypass flow  
128 was observed in rice at  $\text{Na}^+$  external concentrations as low as 25mM (YEO et al., 1987)  
129 and it may contribute to salt stressing effects under high salinity by having an effect in  
130 shoot  $\text{Na}^+$  content (YEO et al., 1987; Faiyue et al., 2012; Maathuis, 2014).  $\text{Na}^+$  bypass  
131 flow has been described in other species, besides rice, such as mangroves  
132 (Krishnamurthy et al., 2014), maize and broad bean (Peterson et al., 1981), but not in  
133 *Arabidopsis* (Essah et al., 2003).

134 More than 60 years ago, through the application of the concept of enzyme  
135 kinetics for the study of root  $\text{K}^+$  absorption (Epstein and Hagen, 1952), Epstein and co-  
136 workers suggested that at least two transport systems were involved in root  $\text{K}^+$  uptake: a  
137 high-affinity system that operates at low external concentrations and a low-affinity  
138 system at higher concentrations (Epstein et al., 1963). A similar scheme was also  
139 described for  $\text{Na}^+$  uptake (Rains and Epstein, 1967a). This biphasic behavior has since  
140 been observed in many plant species, with some exceptions. Maize for example, shows  
141 a linear, non-saturating response to  $\text{K}^+$  in the low-affinity range (Kochian and Lucas,  
142 1982). More recently, it has been shown that this linear response is dominated by the  
143 apoplastic movement of  $\text{K}^+$ , and the “true” transmembrane flux saturates at modest rates  
144 (Coskun et al., 2016). In the high-affinity range of concentrations,  $\text{K}^+$  uptake is an active  
145 process that takes place against the  $\text{K}^+$  electrochemical potential, most likely by a  $\text{K}^+/\text{H}^+$   
146 symport, whilst the low-affinity uptake can take place by passive transport through  
147 inwardly-rectifying  $\text{K}^+$  channels (Maathuis and Sanders, 1996a; Maathuis et al., 1997;  
148 Rodríguez-Navarro, 2000). It should also be noted that the limits in  $\text{K}^+$  concentrations  
149 for the operation of a symporter or a channel depend on the plasma membrane potential  
150 and the cytoplasmic pH and  $\text{K}^+$  concentrations. Thus, assuming for example that a  
151 cytoplasmic  $\text{K}^+$  concentration of 100 mM and a membrane potential of -240 mV exists,

152  $K^+$  uptake could take place through a channel from an external  $K^+$  concentration as low  
153 as 10  $\mu M$ , which falls within the high-affinity system described by Epstein et al. (Epstein  
154 et al., 1963; Hirsch et al., 1998; Spalding et al., 1999). High-affinity  $K^+$  uptake becomes  
155 apparent when  $K^+$  tissue concentrations decrease due to  $K^+$  starvation (Glass, 1976;  
156 Kochian and Lucas, 1982; Siddiqi and Glass, 1986; Martínez-Cordero et al., 2005).  
157 Providing  $NH_4^+$  to the nutrient solution used to grow the plants, has a large influence on  
158 the  $NH_4^+$  sensitivity of high-affinity  $K^+$  uptake. In some species such as barley (Santa-  
159 María et al., 2000), pepper (Martínez-Cordero et al., 2005) or Arabidopsis (Rubio et al.,  
160 2008), the presence of  $NH_4^+$  in the growth solution induced an  $NH_4^+$ -insensitive high-  
161 affinity  $K^+$  uptake component. In others, such as tomato (Nieves-Cordones et al., 2007),  
162 high-affinity  $K^+$  uptake was dominated by an  $NH_4^+$ -sensitive component, irrespectively  
163 of the presence or the absence of  $NH_4^+$  in the growth solution. By contrast, both  $Na^+$   
164 (Martínez-Cordero et al., 2005; Kronzucker et al., 2006; Nieves-Cordones et al., 2007;  
165 Kronzucker et al., 2008; Alemán et al., 2009; Nieves-Cordones et al., 2010; Cheng et  
166 al., 2015) and  $Cs^+$  (Rubio et al., 2000; White and Broadley, 2000; Qi et al., 2008)  
167 usually inhibit high-affinity  $K^+$  uptake.

168       Regarding low-affinity  $K^+$  transport, the role of  $TEA^+$ ,  $Cs^+$  and  $Ba^{2+}$ , in blocking  
169 animal and plant  $K^+$  channels is well known, as these inhibit low-affinity  $K^+$  uptake,  
170 supporting the idea of the channel-mediated nature of this transport (Ketchum and  
171 Poole, 1991; Blatt, 1992; Hille, 1992; Very and Sentenac, 2002; Hoopen et al., 2010;  
172 Coskun et al., 2013). Unlike high-affinity  $K^+$  uptake, low-affinity  $K^+$  uptake is not  
173 down-regulated at high external  $K^+$  (Maathuis and Sanders, 1996b; Szczerba et al.,  
174 2006) and it is  $NH_4^+$ -insensitive (Spalding et al., 1999; Santa-María et al., 2000;  
175 Kronzucker et al., 2003; Szczerba et al., 2006).  $Na^+$  suppresses  $K^+$  uptake both in the  
176 low- and the high-affinity ranges, with low-affinity  $K^+$  uptake being more sensitive to  
177 this inhibition (Epstein et al., 1963; Rains and Epstein, 1967a; Kronzucker et al., 2006;  
178 Kronzucker et al., 2008). It is worth noting that  $K^+$  uptake seems to be insensitive to  
179  $Ca^{2+}$  in barley and Arabidopsis (Cramer et al., 1989; Caballero et al., 2012; Coskun et  
180 al., 2013).

181       As for  $Na^+$  uptake, it has been shown that root high-affinity  $Na^+$  uptake is  
182 significant when plants are starved of  $K^+$  (Garcia-deblas et al., 2003; Haro et al., 2010).  
183 Under these conditions,  $Na^+$  is able to partially replace  $K^+$  and support plant growth  
184 (Maathuis and Sanders, 1993; Garcia-deblas et al., 2003; Horie et al., 2007; Wakeel et  
185 al., 2011; Wakeel, 2013). High-affinity  $Na^+$  uptake has been shown to be sensitive to  $K^+$

186 and  $\text{Ca}^{2+}$  in barley (Rains and Epstein, 1967b) and to  $\text{K}^+$  and  $\text{Ba}^{2+}$  in rice (Garcia-deblas  
187 et al., 2003). By contrast, low-affinity  $\text{Na}^+$  uptake seems to be insensitive to  $\text{Ca}^{2+}$  and  $\text{K}^+$   
188 in rice (Malagoli et al., 2008) while it is sensitive to  $\text{Ca}^{2+}$  in Arabidopsis, barley and  
189 wheat (Cramer et al., 1987; Cramer et al., 1989; Essah et al., 2003; D'Onofrio et al.,  
190 2005).  $\text{Na}^+$  uptake can be reduced by the application of  $\text{K}^+$ , and such reduction can  
191 alleviate salt stress effects to some extent (Wakeel, 2013). For example, a reduction in  
192 tissue  $\text{Na}^+$  content due to increased  $\text{K}^+$  application was observed in strawberry or in  
193 *Jatropha curcas* (Khayyat et al., 2009; Rodrigues et al., 2012).

194

### 195 **Identification of $\text{K}^+$ transport systems in plants**

196 The molecular approaches developed in the last 25 years have led to the characterization  
197 of many  $\text{K}^+$  and  $\text{Na}^+$  transport systems in plants. The first  $\text{K}^+$  uptake system identified in  
198 plants, AKT1, was isolated by complementing a  $\text{K}^+$ -uptake deficient yeast strain with an  
199 Arabidopsis cDNA library (Sentenac et al., 1992). Sequence analysis and heterologous  
200 expression in Sf9-insect cells showed that the AKT1 cDNA encoded an inward-rectifier  
201  $\text{K}^+$  channel belonging to the Shaker family (Gaymard et al., 1996). The gene encoding  
202 this channel showed specific constitutive expression in epidermal root cells (Lagarde et  
203 al., 1996) and AKT1 was proposed as the system mediating low-affinity  $\text{K}^+$  uptake in  
204 the roots.

205 Later, a PCR-based approach led to the identification of a cDNA from barley,  
206 HvHAK1, that mediated high-affinity  $\text{K}^+$  uptake in yeast (Santa-María et al., 1997).  
207 Specific expression of its mRNA in  $\text{K}^+$ -starved roots and its kinetic properties in yeast  
208 prompted researchers to propose it as the system mediating the high-affinity  $\text{K}^+$  uptake  
209 observed in barley roots (Epstein et al., 1963). Subsequent studies led to the  
210 identification of an Arabidopsis homolog of HvHAK1, that was named AtHAK5 (Rubio  
211 et al., 2000).

212 In addition to AKT1 and AtHAK5-like transporters, other  $\text{K}^+$  uptake systems  
213 could be involved in root  $\text{K}^+$  uptake and in trans-membrane  $\text{K}^+$  movements in other  
214 plant organs. Moreover, the sequence of whole genomes of plants evidenced the  
215 existence of large gene families encoding putative  $\text{K}^+$  transport systems (Grabov, 2007;  
216 Véry et al., 2014; Nieves-Cordones et al., 2016).

217

218

219

220 Initial characterizations in Arabidopsis

221 The studies on heterologous systems and on gene expression patterns for AKT1 and  
222 AtHAK5 produced data suggesting that these two systems played important roles in K<sup>+</sup>  
223 acquisition by the root. However, a demonstration for the proposed roles was only  
224 possible when Arabidopsis knock-out mutants for these two genes became available  
225 (Spalding et al., 1999; Gierth et al., 2005; Rubio et al., 2008; Pyo et al., 2010; Rubio et  
226 al., 2010). The studies showed that while Arabidopsis WT and *akt1* plants could deplete  
227 external K<sup>+</sup>(Rb<sup>+</sup>) to values around 1 μM, the *athak5* plants did not diminish its  
228 concentration below 30 μM. In agreement with this, reduced growth was observed in  
229 *hak5* plants grown in the presence of 1 μM K<sup>+</sup> (Qi et al., 2008) or 10 μM K<sup>+</sup> (Pyo et al.  
230 2010; Ragel et al. 2015). Moreover, K<sup>+</sup>(Rb<sup>+</sup>) uptake in *athak5* plants was completely  
231 inhibited by the presence of Ba<sup>2+</sup> in the external solution. By contrast, a complete  
232 inhibition of K<sup>+</sup>(Rb<sup>+</sup>) depletion was observed in the presence of NH<sub>4</sub><sup>+</sup> in the *akt1* line.  
233 An *athak5 akt1* double mutant did not show K<sup>+</sup>(Rb<sup>+</sup>) uptake at external concentrations  
234 below 50 μM, and it could only promote K<sup>+</sup>(Rb<sup>+</sup>) uptake at concentrations higher than  
235 100 μM. All these results demonstrated that in Arabidopsis plants, AtHAK5 was the  
236 only system mediating K<sup>+</sup> uptake at concentrations below 10 μM and that this system  
237 was inhibited by NH<sub>4</sub><sup>+</sup>. At concentrations between 10 and 200 μM, both AtHAK5 and  
238 AKT1 contributed to K<sup>+</sup> uptake, defining AKT1 as a Ba<sup>2+</sup>-sensitive component of K<sup>+</sup>  
239 uptake. Above 500 μM AtHAK5 contribution was negligible as the *AtHAK5* gene was  
240 repressed at this external K<sup>+</sup> concentration, and AKT1 role became more relevant.  
241 Unidentified systems could compensate for the lack of AKT1 since the *akt1* and the  
242 *athak5 akt1* lines grew at similar rates than the WT line when the external K<sup>+</sup>  
243 concentration was sufficiently high (> 10 mM).

244 The studies described for Arabidopsis allowed for depicting a model for the  
245 contribution of AtHAK5 and AKT1 to root K<sup>+</sup> uptake (Alemán et al., 2011). They also  
246 allowed for extending the studies to species where knock-out mutants were not  
247 available, through the use of NH<sub>4</sub><sup>+</sup> and Ba<sup>2+</sup> as specific inhibitors of HAK5 and AKT1,  
248 respectively. Thus, it was shown that in tomato and pepper plants grown in the absence  
249 of NH<sub>4</sub><sup>+</sup>, an NH<sub>4</sub><sup>+</sup>-sensitive component, probably mediated by SlHAK5 (formerly  
250 LeHAK5) and CaHAK1 respectively, dominated K<sup>+</sup> uptake from concentrations  
251 corresponding to the high-affinity component (inhibition of K<sup>+</sup> uptake by NH<sub>4</sub><sup>+</sup> was  
252 close to 80% in tomato, for example) (Martínez-Cordero et al., 2005; Nieves-Cordones  
253 et al., 2007). These results contrast with those obtained for Arabidopsis, where both

254 AtHAK5 and AKT1 contribute to K<sup>+</sup> uptake within the range of the K<sup>+</sup> concentration  
255 assigned to the high-affinity component, as demonstrated by the use of single (Rubio et  
256 al., 2008), and double KO mutants (Rubio et al., 2010) in AtHAK5 and AKT1.  
257 Therefore, it can be concluded that the Arabidopsis model cannot be completely  
258 extended to other plant species, crops included, and highlights the need for the  
259 characterization of knock-out lines in each particular species to address the relevance of  
260 the different K<sup>+</sup> transport systems. In addition, the availability of whole genomes for  
261 many plant species revealed some differences with respect to Arabidopsis. In  
262 Arabidopsis, AtHAK5 is the only member that belongs to the cluster Ia of HAK  
263 transporters, which are involved in high-affinity K<sup>+</sup> uptake in roots (Nieves-Cordones et  
264 al., 2016). In tomato, a highly homologous gene to *SlHAK5* is located just 2580 pb  
265 downstream from it in the tomato genome (Fernandez-Pozo et al., 2015). In rice, the  
266 *OsHAK21* gene, also belonging to cluster Ia is induced by salinity, something that has  
267 not been described in other species for members of this cluster. This transporter has  
268 been linked to rice tolerance to salinity through the maintenance of Na<sup>+</sup>/K<sup>+</sup> homeostasis,  
269 although the physiological mechanism remains unclear (Shen et al., 2015).

270

#### 271 Rice transport systems contributing to root K<sup>+</sup> uptake

272 The recent characterization of T-DNA insertion rice lines knocked-out for K<sup>+</sup> uptake  
273 systems such as OsHAK1, OsHAK5 and OsAKT1, has importantly contributed to  
274 increase our understanding of the relative contribution of such systems to K<sup>+</sup> uptake in a  
275 species different from Arabidopsis, which is of great importance in agriculture.

276 A T-DNA insertion mutant with OsAKT1 knocked-out (Golldack et al., 2003)  
277 showed reduced growth and decreased root and shoot K<sup>+</sup> concentrations when grown in  
278 the presence of 1 and 0.1 mM K<sup>+</sup> (Li et al., 2014). K<sup>+</sup> flux experiments and  
279 electrophysiological approaches showed an impairment of K<sup>+</sup> uptake in the *osakt1* line.  
280 Strong expression of *OsAKT1* was detected in epidermal root cells, but it was also found  
281 in cortex, endodermis and vascular bundles, suggesting a direct or indirect role in K<sup>+</sup>  
282 translocation. In addition, slight expression was detected in shoots.

283 Knock-out mutants of the gene encoding the high-affinity K<sup>+</sup> transporter  
284 OsHAK1 (Bañuelos et al., 2002) were also characterized. The studies with *oshak1* lines  
285 showed that OsHAK1 contributed about 50-55 % of high-affinity K<sup>+</sup> uptake in the range  
286 of 0.05-0.1 mM external K<sup>+</sup> and about 30% of K<sup>+</sup> uptake at 1 mM external K<sup>+</sup> (Chen et  
287 al., 2015). Root and shoot growth, cell size and internal K<sup>+</sup> concentrations were reduced

288 in the *oshak1* mutant at both 0.1 and 1 mM K<sup>+</sup> and this deficient phenotype could not be  
289 rescued at high external K<sup>+</sup> (5 mM K<sup>+</sup>). Transcripts of *OsHAK1* are preferentially  
290 accumulated in roots of K<sup>+</sup>-starved plants, as it is observed with *AtHAK5* in  
291 Arabidopsis. In addition, *OsHAK1* is strongly expressed at the xylem parenchyma and  
292 phloem of root vascular tissues, shoot meristems and vascular bundles of leaf sheaths.  
293 Moreover, *oshak1* plants show reduced K<sup>+</sup> translocation from root to shoot. In addition,  
294 the *osakt1* and *oshak1* lines were inhibited throughout development, showing delayed  
295 grain filling and reduced grain yield, suggesting that they may play an important role in  
296 rice productivity.

297 OsHAK5 was isolated and characterized as a high-affinity transport system in  
298 heterologous systems (Horie et al., 2011). Expression studies showed that OsHAK5  
299 localized to the plasma membrane and that under normal K<sup>+</sup> supply its transcripts were  
300 detected in root, root-shoot junction and leaf sheath. K<sup>+</sup> starvation enhanced its  
301 expression in root epidermis, parenchyma of stele tissue, primordials of lateral roots,  
302 mesophyll and parenchyma cells of the vascular bundle. The expression pattern of  
303 *OsHAK5* supported its role in K<sup>+</sup> uptake, but also in K<sup>+</sup> distribution between roots and  
304 shoots, especially at low external K<sup>+</sup>. The lower accumulation of K<sup>+</sup> in roots of  
305 overexpressing lines and the higher K<sup>+</sup> accumulation in the knock-out lines, grown in  
306 low K<sup>+</sup>, supported this idea (Yang et al., 2014). These authors suggested that OsHAK5  
307 may mediate K<sup>+</sup> accumulation in xylem parenchyma cells to enable K<sup>+</sup> channels to  
308 release K<sup>+</sup> efficiently into the xylem sap. A role for OsHAK5 in K<sup>+</sup> signaling is also  
309 proposed, as K<sup>+</sup> in the phloem may act as a signal to convey the shoot demand of K<sup>+</sup>  
310 and K<sup>+</sup> xylem loading (Engels and Marschner, 1992), and *OsHAK5* is abundantly  
311 expressed in phloem tissue. A role for the AKT2 channel in K<sup>+</sup> signaling by modulating  
312 K<sup>+</sup> in the phloem has been also proposed (Deeken et al., 2002) and AKT2 has been  
313 recently suggested as a pathway for Na<sup>+</sup> entry into the roots (Salvador-Recatala, 2016).  
314 Since AKT2-mediated K<sup>+</sup> transport is Ca<sup>2+</sup>-sensitive (Latz et al., 2007), interesting  
315 interactions between salt stress, Ca<sup>2+</sup> and AKT2 may emerge.

316 The differential abundance of *OsHAK1*, *OsHAK5* and *OsAKT1* transcripts  
317 suggests that they play non-redundant functions. *OsHAK1* and *OsAKT1* are highly  
318 expressed in all cell types of roots and at low levels in shoots. However, while the  
319 *OsHAK1* gene was induced 8- to 12-fold by K<sup>+</sup> starvation (Chen et al., 2015), *OsAKT1*  
320 expression was not affected by the external K<sup>+</sup> concentrations (Li et al., 2014). By  
321 contrast, *OsHAK5* was less expressed in roots and strongly in shoots (Yang et al., 2014).

322 It has been proposed that both OsHAK1 and OsAKT1 contribute to  $K^+$  acquisition at  
323 low and high external concentrations. At low  $K^+$ , OsHAK1 dominates high-affinity  $K^+$   
324 uptake over OsAKT1 and OsHAK5. By contrast, OsHAK5 dominates  $K^+$  transport from  
325 root to shoots (Yang et al., 2014).

326 The studies described above suggest that OsHAK1, OsHAK5 and OsAKT1 are  
327 involved in  $K^+$  uptake at low and high concentrations as well as in  $K^+$  translocation  
328 from root to shoot. Contribution to  $K^+$  uptake over a wide range of  $K^+$  concentrations by  
329 a unique system has been demonstrated for the Arabidopsis AKT1 (Alemán et al.,  
330 2011). For transporters of the HAK family, the overexpression of AtKUP1 in  
331 Arabidopsis suspension cells produced enhanced  $K^+$  uptake at micromolar and  
332 millimolar  $K^+$  concentrations (Kim et al., 1998). The rice studies reviewed here suggest  
333 that OsHAK1 and OsHAK5 may contribute to  $K^+$  uptake at both low and high  
334 concentrations, which would explain why the growth of *oshak1* and *oshak5* lines is not  
335 rescued at high external  $K^+$ . However, the idea of dual affinity for HAK transporters  
336 should be taken with caution. It is possible that, in addition to  $K^+$  uptake, the knock -out  
337 lines are affected in other processes. In fact, Yang et al. (Yang et al., 2014) highlight the  
338 role of some HAK/KUP/KT transporters in auxin distribution, irrespective of  $K^+$  supply.  
339 At this stage, it cannot be ruled out that OsHAK1 and OsHAK5 play a part in this  
340 process.

341

#### 342 Role of HAK transporters in $K^+/Na^+$ homeostasis under NaCl stress

343  $K^+/Na^+$  homeostasis has been shown to be crucial for tolerance of plants to salinity  
344 (Maathuis and Amtmann, 1999). Maintaining  $K^+$  uptake rates at high external  $Na^+$  is  
345 crucial for  $K^+/Na^+$  homeostasis and salt tolerance (Munns and Tester, 2008; Cuin et al.,  
346 2012; Cheng et al., 2015). However, in the presence of high  $Na^+$  concentrations, the  
347 low- $K^+$  induction of genes encoding high-affinity  $K^+$  transporters is not observed  
348 (Nieves-Cordones et al., 2008; Nieves-Cordones et al., 2010). In addition, under  
349 salinity,  $K^+$  transport through high-affinity HAK transporters is competitively inhibited  
350 (Santa-María et al., 1997; Rubio et al., 2000). In fact, OsHAK1 has been defined as the  
351  $Na^+$ -sensitive high-affinity  $K^+$  pathway in rice (Chen et al., 2015). Nonetheless, studies  
352 in low- $K^+$ -grown Arabidopsis and rice plants showed that AtHAK5 and OsHAK1  
353 function was still pivotal in maintaining  $K^+$  uptake and plant growth in the presence of  
354 high  $Na^+$  (Nieves-Cordones et al., 2010; Chen et al., 2015). In addition to OsHAK1,  
355 OsHAK5 may also play a role in salt tolerance, as high salt transiently enhances

356 *OsHAK5* expression (Yang et al., 2014) and the transporter mediates Na<sup>+</sup>-insensitive K<sup>+</sup>  
357 uptake (Horie et al., 2011). In the presence of salinity, *OsHAK5*-overexpressing lines  
358 accumulated more K<sup>+</sup> in shoots and showed enhanced growth as compared to WT. In  
359 contrast, *oshak5* lines accumulated more Na<sup>+</sup> in shoots and grew less than WT plants  
360 (Yang et al., 2014). The authors propose that the higher accumulation of Na<sup>+</sup> in shoots  
361 in *oshak5* may be due to a hyperpolarized membrane potential of mesophyll cells in  
362 knock-out mutants that would favor Na<sup>+</sup> accumulation in shoots. Therefore, the K<sup>+</sup>  
363 transport systems that contribute to maintaining a depolarized membrane potential of  
364 mesophyll cells to evade excessive Na<sup>+</sup> accumulation under salinity may play a role in  
365 salt tolerance. The function of another rice HAK transporter, *OsHAK21*, seems to be  
366 important for salt tolerance (Shen et al., 2015). The gene encoding this transporter is  
367 enhanced by salinity and the protein is localized to the plasma membrane of xylem  
368 parenchyma and endodermal cells (putative passage cells). It has been shown that the  
369 knock-out *oshak21* line is more salt sensitive because of a higher and a lower  
370 accumulation of Na<sup>+</sup> and K<sup>+</sup> respectively, which points to *OsHAK21* as a key  
371 transporter needed for the maintenance of Na<sup>+</sup>/K<sup>+</sup> homeostasis in rice under salt stress.

372

373 Other systems that may be involved in root K<sup>+</sup> uptake.

374 Recently, a T-DNA insertion mutant in the Arabidopsis *KUP7*, which belongs to cluster  
375 V of the HAK family (Nieves-Cordones et al., 2016) has been characterized (Han et al.,  
376 2016). The results showed that the *kup7* line was sensitive to low K<sup>+</sup> (< 100 μM),  
377 showing lower internal K<sup>+</sup> concentrations. It could be rescued by higher K<sup>+</sup>  
378 concentrations or by complementing the mutant with the WT gene. The *KUP7* gene was  
379 ubiquitously expressed in many organs and the *KUP7* protein was localized to the  
380 plasma membrane. *KUP7* could complement a yeast strain deficient in K<sup>+</sup> uptake. K<sup>+</sup>  
381 transport studies showed that *KUP7* was involved in root K<sup>+</sup> uptake and K<sup>+</sup>  
382 translocation to the shoot. It seemed to operate at higher concentrations than *AtHAK5*,  
383 and may be an alternative system involved in K<sup>+</sup> uptake in the *athak5 akt1* Arabidopsis  
384 line (Caballero et al., 2012). The observed effect on K<sup>+</sup> translocation may be an indirect  
385 effect of a reduced uptake. However, Han et al., (Han et al., 2016) speculated that *KUP7*  
386 may mediate K<sup>+</sup> release into the xylem sap. It should be noted that besides K<sup>+</sup> uptake,  
387 some HAK transporters have been shown to mediate K<sup>+</sup> efflux (Bañuelos et al., 2002;  
388 Garcíadeblas et al., 2002; Osakabe et al., 2013). Thus, K<sup>+</sup> release into the xylem sap  
389 could take place through this type of transporters, if the electrochemical potential for K<sup>+</sup>

390 allows for this movement. It is well known that  $K^+$  loading of the xylem is mainly  
391 mediated by SKOR channels and the possible specific contribution of HAK transporters  
392 to  $K^+$  loading is yet to be determined.

393 All of the above described results can be summarized into two different models  
394 for the  $K^+$  uptake systems in Arabidopsis and rice roots, shown in Figure 1.

395

396

### 397 **Regulation of $K^+$ uptake**

398 In general terms, the genes encoding HAK transporters that mediate high-affinity  $K^+$   
399 uptake in roots are strongly induced by  $K^+$  deprivation, whereas the genes encoding  
400 AKT1 channels are not. Several elements in the signal transduction cascade that results  
401 in the activation of HAK transcription have been identified. One of the first events when  
402 a root faces  $K^+$  deprivation is the hyperpolarization of the cell's plasma membrane  
403 (Amtmann and Blatt, 2009). A positive correlation has been found between the  
404 membrane potential and the expression levels of *SIHAK5* and *AtHAK5*, independent of  
405 the root's  $K^+$  concentration (Nieves-Cordones et al., 2008; Rubio et al., 2014). Thus, it  
406 has been proposed that the hyperpolarization of the membrane potential may be the first  
407 element in the low- $K^+$  signal cascade. The mechanisms linking membrane potential and  
408 gene expression are unknown but changes in cytoplasmic  $Ca^{2+}$  derived from the  
409 activity of hyperpolarization-activated  $Ca^{2+}$  channels, could provide a connecting  
410 mechanism (Véry and Davies, 2000). Increases in ethylene (Jung et al., 2009) and  
411 reactive oxygen species (ROS) (Shin and Schachtman, 2004; Hernandez et al., 2012)  
412 are also involved, probably acting following the hyperpolarization of the membrane  
413 potential. Other hormones such as jasmonic acid (Armengaud et al., 2004; 2010;  
414 Takehisa et al., 2013; Schachtman, 2015) and cytokinins (Nam et al., 2012) may also  
415 play a role in  $K^+$  signaling. At the end of the cascade, transcription factors such as  
416 DDF2, JLO, bHLH121, TFII\_A for Arabidopsis *AtHAK5* (Kim et al., 2012; Hong et al.,  
417 2013), bind the gene's promoter to activate its expression.

418 Interestingly, some environmental conditions such as the lack of N, P or S, that  
419 hyperpolarize root cell membrane potential (Rubio et al., 2014) and elevate ROS levels  
420 (Shin et al., 2005), also activate the transcription of *AtHAK5*-type genes. However,  
421 under such conditions, no HAK-mediated uptake is observed, suggesting a post-  
422 transcriptional regulation for these HAK transporters that is elicited specifically by  $K^+$   
423 starvation (Rubio et al., 2014). Recently, it has been shown that the Arabidopsis

424 AtHAK5 transporter is activated by complexes that contain the CIPK23 kinase and  
425 CBL1, CBL8, CBL9 or CBL10 Ca<sup>2+</sup> sensors. AtHAK5 phosphorylation by CIPK23  
426 leads to increases in the maximal rate of transport ( $V_{max}$ ) and the affinity for K<sup>+</sup>  
427 transport (Ragel et al., 2015). It can be assumed that a specific low-K<sup>+</sup>-induced Ca<sup>2+</sup>  
428 signal is registered by the CBL Ca<sup>2+</sup> sensor, that in turn promotes phosphorylation of  
429 the transporter by CIPK23.

430 As a voltage-dependent inward-rectifier K<sup>+</sup> channel, AKT1 activity is regulated  
431 by the membrane potential (Gaymard et al., 1996; Xu et al., 2006). In addition, its  
432 activity is modulated by interaction with other proteins. AKT1 forms tetrameric  
433 channels by interacting with the AtKC1 subunit (Daram et al., 1997; Pilot et al., 2003;  
434 Duby et al., 2008; Geiger et al., 2009). Upon interaction with AtKC1 in root cells, the  
435 activation potential for AKT1-containing channels becomes more negative, in  
436 comparison with AKT1 homotetramers (Reintanz et al., 2002; Wang et al., 2010).  
437 Moreover, AtKC1 interacts, in turn, with the SNARE proteins SYP121 (Honsbein et al.,  
438 2009) and VAMP721 (Zhang et al., 2015). SYP121 was shown to activate K<sup>+</sup> uptake  
439 through AKT1/AtKC1 channels while VAMP721 negatively regulated this process.  
440 Phosphorylation also plays a role in AKT1 regulation. AKT1 activity is enhanced at low  
441 K<sup>+</sup> by the same regulators as AtHAK5, i.e., the CIPK23/CBL1/9 complex, supporting  
442 the putative role of Ca<sup>2+</sup> as a secondary messenger involved in low-K<sup>+</sup> signaling (Li et  
443 al., 2006; Xu et al., 2006). Inactivation of the channel is achieved by the AIP1  
444 phosphatase (Luan, 2009). It is worth to note that CBL proteins can also modify AKT1  
445 activity in absence of CIPKs, as it is the case of CBL10 which is a negative regulator of  
446 AKT1 (Ren et al., 2013). Recently it has been shown that CIPK23 and AtKC1 act  
447 synergistically and balance K<sup>+</sup> uptake/leakage to modulate AKT1-mediated responses  
448 of Arabidopsis to low K<sup>+</sup> (Wang et al., 2016). Finally, nitric oxide has recently been  
449 shown to lower AKT1 activity by modulating vitamin B6 biosynthesis, constituting a  
450 new mechanism for the regulation of K<sup>+</sup> uptake (Xia et al., 2014).

451 The current model for the regulation of these two main systems involved in K<sup>+</sup>  
452 uptake, i.e. AtHAK5 and AKT1, is depicted in Figure 2.

453 It is worth mentioning that CIPK23/CBL is also involved in the regulation of  
454 NO<sub>3</sub><sup>-</sup> uptake (Ho et al., 2009; Tsay et al., 2011; L eran et al., 2015), and that K<sup>+</sup>  
455 starvation significantly reduced the NO<sub>3</sub><sup>-</sup> concentrations in tomato plants (Rubio et al.,  
456 2014) and induced several genes for NO<sub>3</sub><sup>-</sup> uptake (Armengaud et al., 2004). This

457 indicates that a cross-regulation between  $K^+$  and  $NO_3^-$  nutrition exists and that the  
458 CIPK23/CBL complex may constitute one of the key elements for such regulation.

459

#### 460 **Uptake of $Na^+$ and cell mechanisms involved**

461 As for the identities of genes involved in  $Na^+$  uptake from external solutions, the  
462 scenario is far less clear than that for  $K^+$ . With respect to high-affinity  $Na^+$  uptake,  
463 despite this activity being widely observed in roots from several species (Garcia-deblas  
464 et al., 2003; Haro et al., 2010), only a few transport systems belonging to the HKT and  
465 HAK transporter families have been shown to take up  $Na^+$  within this range of  
466 concentrations. High-affinity  $K^+$  transporters (HKT) are related to fungal and bacterial  
467  $K^+$  transporters from the Trk/Ktr families (Corratgé-Faillie et al., 2010). In plants  
468 however, HKT transporters display varying  $Na^+/K^+$  permeabilities. Phylogenetic and  
469 functional analyses have led to the identification of two HKT subfamilies (Platten et al.,  
470 2006): subfamily I, present in both monocotyledonous and dicotyledonous species, and  
471 subfamily II, identified only in monocotyledonous species so far. Subfamily II HKT  
472 transporters are expected to be all  $K^+$ -permeable and can operate as  $Na^+$ - $K^+$  symporters  
473 (Rubio et al., 1995; Jabnourne et al., 2009; Yao et al., 2010; Oomen et al., 2012) or  $K^+$ -  
474 selective uniporters (Horie et al., 2011; Sassi et al., 2012) when heterologously  
475 expressed in yeast and/or *Xenopus* oocytes. Subfamily I HKT transporters are  $Na^+$ -  
476 selective in *Arabidopsis* and rice and are mostly involved in  $Na^+$  recirculation through  
477 vascular tissues (Maathuis, 2014; Véry et al., 2014), thus falling beyond the scope of the  
478 present review. In the rice cultivar Nipponbare, OsHKT2;1 provides a major pathway  
479 for root high-affinity  $Na^+$  uptake that supports plant growth under limiting  $K^+$  supply  
480 (Garcia-deblas et al., 2003; Horie et al., 2007) (Figure 1). Plants lacking a functional  
481 OsHKT2;1 gene have shown reduced growth and lower  $Na^+$  content when starved of  $K^+$   
482 in the presence of 0.5mM  $Na^+$ , and under such conditions  $Na^+$  can partially compensate  
483  $K^+$  demand (Horie et al., 2007). Besides  $Na^+$ , OsHKT2;1 can also transport  $K^+$  when  
484 expressed in *Xenopus* oocytes (Jabnourne et al., 2009; Oomen et al., 2012), but  $K^+$   
485 transport is not detected when it was expressed in yeast or in tobacco BY2 cells (Horie  
486 et al., 2001; Yao et al., 2010). In OsHKT2;1 expressing oocytes, the shifts in reversal  
487 potentials induced by  $K^+$  depended on the pre-treatment of oocytes. When the oocytes  
488 were pre-treated in low- $Na^+$  (0.5 mM  $Na^+$ ) they showed smaller shifts than when  
489 pretreated with high- $Na^+$  (96 mM  $Na^+$ ) (Yao et al., 2010). Comparisons of  $Rb^+$  influx  
490 between wild-type and *oshkt2;1* roots did not reveal significant differences between

491 these genotypes (Horie et al., 2007). Thus, the possible involvement of OsHKT2;1 in  
492 root  $K^+$  uptake remains to be verified. Another subfamily II HKT transporter,  
493 OsHKT2;2, is absent in the Nipponbare (japonica) cultivar but present in the indica  
494 cultivar Pokkali. The transporter obtained from the latter cultivar is permeable to both  
495  $Na^+$  and  $K^+$  at low external concentrations when expressed in tobacco BY2 cells, yeast  
496 and *Xenopus* oocytes (Horie et al., 2001; Yao et al., 2010; Oomen et al., 2012). It is  
497 important to note that a natural chimera OsHKT2;2/1 present in the Nona Bokra (indica)  
498 cultivar maintains high-affinity  $K^+$  uptake even at high  $Na^+$  concentrations, something  
499 that is also observed for Pokkali OsHKT2;2 but not for Nipponbare OsHKT2;1 (Oomen  
500 et al., 2012). Despite the lack of data concerning *oshkt2;2* knock-out mutants, it is  
501 tempting to speculate that OsHKT2;2 contributes to both  $K^+$  and  $Na^+$  high-affinity  
502 uptake in rice roots. As for HAK transporters, two members, none of them from higher  
503 plants, have been shown to mediate high-affinity  $Na^+$  uptake: PpHAK13 from the moss  
504 *Physcomitrella patens* and YIHAK1 from the yeast *Yarrowia lipolytica* (Benito et al.,  
505 2012). PpHAK13, which belongs to cluster IV (Nieves-Cordones et al., 2016),  
506 transports  $Na^+$ , but not  $K^+$ , and high-affinity  $Na^+$  uptake is abolished in *pphak13*  
507 mutants plants which evidences that PpHAK13 forms the major pathway for  $Na^+$  entry  
508 at low external concentrations in *P. patens* plants. On the other hand, YIHAK1 is able to  
509 transport  $Na^+$  and  $K^+$  when expressed in yeast, but the latter cation is only transported  
510 when  $Na^+$  is not added to the experimental solution. High-affinity  $Na^+$  transporters from  
511 the HAK family in higher plants are still to be identified.

512 Concerning low-affinity  $Na^+$  uptake, it is generally accepted that  $Na^+$  can enter  
513 the plant through ion channels (Maathuis, 2014).  $Na^+$ -permeable channels include  
514 glutamate-like receptors (GLRs; (Davenport, 2002)) and cyclic nucleotide gated  
515 channels (CNGCs; (Assmann, 1995; Bolwell, 1995; Trewavas, 1997; Newton and  
516 Smith, 2004)) and possibly other, non-identified, non-selective cation channels  
517 (NSCCs; (Maathuis and Sanders, 1993; Tyerman et al., 1997; Demidchik and Tester,  
518 2002; Essah et al., 2003). Voltage-independent, Non-Selective Cation Channels (VI-  
519 NSCC) may constitute the main class of NSCCs involved in  $Na^+$  entry since they are  
520 highly sensitive to  $Ca^{2+}$  as observed for  $Na^+$  uptake in roots (Demidchik and Maathuis,  
521 2007). Moreover, VI-NSCC blockers such as quinine or lanthanides also inhibited root  
522  $Na^+$  influx (Essah et al., 2003; Wang et al., 2006). Despite these encouraging  
523 observations linking VI-NSCCs and root  $Na^+$  uptake, the molecular identity of these  
524 channels remains obscure at present. Several HAK and HKT transporters, which are

525 expressed in roots, are also permeable at millimolar Na<sup>+</sup> concentrations (Santa-María et  
526 al., 1997; Horie et al., 2001; Takahashi et al., 2007; Mian et al., 2011; Oomen et al.,  
527 2012). It is worth to note that OsHKT2;1 and HvHKT2;1 contribute to Na<sup>+</sup> uptake in the  
528 millimolar range but they are downregulated in the presence of salt stress or K<sup>+</sup>. Thus,  
529 when taking into account other experimental conditions and plant species, it remains  
530 unclear which other type/family of transport systems constitute the major pathway for  
531 low-affinity Na<sup>+</sup> uptake. It is likely that there is a large redundancy between the  
532 aforementioned channels and transporters. Insights into the identification of the  
533 contributing transport proteins would be of extraordinary biotechnological value since  
534 low-affinity Na<sup>+</sup> uptake allows for the massive entry of Na<sup>+</sup> within the plant that gives  
535 rise to toxicity. Interestingly, the secondary messengers cyclic AMP and GMP affect  
536 Na<sup>+</sup> influx. Studies on Arabidopsis seedlings (Maathuis and Sanders, 2001; Essah et al.,  
537 2003) and on pepper plants (Rubio et al., 2003) have shown that unidirectional Na<sup>+</sup>  
538 influx is reduced by cGMP addition. CNGCs have a cyclic-nucleotide binding domain  
539 and their activity is modulated by cyclic-nucleotides (Gao et al., 2014; Gao et al., 2016).  
540 It can be expected that the cyclic-nucleotide regulation of Na<sup>+</sup> fluxes occurs through  
541 direct cGMP (or cAMP) binding to this domain as it is the case of animal CNGCs  
542 (Craven and Zagotta, 2006).

543 Recently, it has been shown that salt stress triggers the formation of endocytic  
544 vesicles via a clathrin-independent mechanism (Baral et al., 2015). Such vesicles lead to  
545 the formation of vacuole-like structures that may help plants to better cope with salt  
546 stress. Endocytosis can modify the transporter complement of the plasma membrane  
547 (Sutter et al., 2007), thus affecting the Na<sup>+</sup> uptake pathways. But more interestingly, the  
548 endocytic process involves bulk-flow entry into root cells that may transport Na<sup>+</sup> ions  
549 from the apoplast to the vacuole-like structures. If this were true, it would constitute a  
550 parallel pathway for Na<sup>+</sup> uptake, independent from that mediated by transmembrane  
551 proteins. Moreover, this direct Na<sup>+</sup> transport into vacuoles would prevent Na<sup>+</sup>  
552 accumulation in the cytosol which leads to cell toxicity. Interestingly, vesicle trafficking  
553 has been recently suggested to play a role in plant adaptation to salt stress (Garcia de la  
554 Garma et al., 2014).

555

### 556 **Concluding remarks**

557 K<sup>+</sup> is an essential macronutrient for plants while Na<sup>+</sup> may be beneficial or detrimental at  
558 low or high concentrations, respectively. Plant roots possess specific K<sup>+</sup> transport

559 systems that can function under a wide range of concentrations to secure  $K^+$  ions. The  
560 studies with knock-out mutants of the model plant Arabidopsis have led to the  
561 identification of two major pathways for  $K^+$  uptake: the high-affinity  $K^+$  transporter  
562 AtHAK5 and the inward-rectifying  $K^+$  channel AKT1. These systems operate at low  
563 (micromolar) and high (millimolar) external  $K^+$  concentrations, although an overlap in  
564 their operation is observed in the 10-200  $\mu M$   $K^+$  range. Different mechanisms that  
565 include transcriptional and post-transcriptional regulation modulate the activity of these  
566 two systems in response to  $K^+$  supply. Importantly, the CIPK23/CBL1 complex  
567 activates AtHAK5 as well as AKT1, pointing to its role as a central regulator of  $K^+$   
568 nutrition. Homologs of AtHAK5 and AKT1 have been found in many plant species, and  
569 in some of them, paralogue genes exist which suggest function redundancy. This  
570 precludes assigning a function by only using sequence homology or heterologous  
571 expression studies. The recent characterization of rice knock-out plants has shed light  
572 on this matter. OsHAK1, OsHAK5 and OsAKT1 seem to contribute to root  $K^+$  uptake  
573 as well as  $K^+$  release into xylem, and they probably play additional unknown functions  
574 in the shoot. However, they play non-redundant roles: (i) OsHAK1 is mainly involved  
575 in root  $K^+$  uptake at low concentrations, (ii) OsAKT1 mostly at high  $K^+$  concentrations  
576 and (iii) OsHAK5 is more relevant for  $K^+$  translocation to the shoot. These systems may  
577 also play a role in salinity tolerance by maintaining the  $K^+/Na^+$  homeostasis.

578 Regarding  $Na^+$  transport systems, the information is scarcer. High-affinity  $Na^+$   
579 uptake and high-affinity  $Na^+$  transporters have been described in some species, but they  
580 are lacking in many others. The pathways for low-affinity  $Na^+$  uptake are not clearly  
581 identified yet, and several families of transporters contain members that could be good  
582 candidates. Recently, it has been described that salt stress induced a new endocytic  
583 pathway that is clathrin-independent, non-discriminatory in its choice of cargo, and that  
584 operates across all layers of the root. This new pathway may contribute to the bulk  $Na^+$   
585 uptake and distribution across the root cells under saline stress conditions.

586 All of the above highlight the importance of characterizing the function of each  
587 transporter in each particular species. The studies with knock-out lines in Arabidopsis  
588 and rice evidence that the conclusions drawn in model species cannot be always fully  
589 extended to other, non-model species.

590

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596

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**Table 1. Summary for K<sup>+</sup> and Na<sup>+</sup> uptake features observed in Arabidopsis and rice roots**

Species	Cation	Type of uptake <sup>†</sup>	<i>K<sub>m</sub></i> (μM)	Sensitivity	Transport systems involved	References
Arabidopsis	K <sup>+</sup>	High-affinity	24*	(-) NH <sub>4</sub> <sup>+</sup> , Ba <sup>+2</sup> , Cs <sup>+</sup> (=) Ca <sup>+2</sup>	AtHAK5, AKT1	1, 2, 3, 4
		Low-affinity	3,991*	(-) Ba <sup>+2</sup> , Cs <sup>+</sup> , TEA, La <sup>+3</sup> , Na <sup>+</sup> (=) NH <sub>4</sub> <sup>+</sup> , Ca <sup>+2</sup>	AKT1	1, 4, 5
	Na <sup>+</sup>	High-affinity	n.d.	n.d.	-	-
		Low-affinity	Linear response	(-) Ca <sup>+2</sup> , Ba <sup>+2</sup> , Cyclic-nucleotides (+) La <sup>+3</sup> , GABA (=) TEA, Cs <sup>+</sup>	-	6, 7
Rice	K <sup>+</sup>	High-affinity	11-18	(-) NH <sub>4</sub> <sup>+</sup>	OsHAK1, OsHAK5, OsAKT1	8, 9, 10, 11
		Low-affinity	n.d.	n.d.	OsHAK1, OsAKT1	9, 11
	Na <sup>+</sup>	High-affinity	60 or 477-655 <sup>†1</sup>	(-) K <sup>+</sup> , Ba <sup>+2</sup>	OsHKT2;1	12, 13, 14
		Low-affinity	n.d.	(=) K <sup>+</sup> , Ca <sup>2+</sup>	OsHKT2;1	13, 15

<sup>†</sup>High-affinity uptake takes into account cation uptake observed at external concentrations <0.5mM while the low-affinity one does so at ≥0.5mM. \*Obtained with Rb<sup>+</sup> as an analogue for K<sup>+</sup>. (+), (-) and (=) stand for activation, inhibition or no effect on cation uptake, respectively. n.d. not determined. <sup>†1</sup>Only one component was identified in Horie et al. 2007 for external Na<sup>+</sup> concentrations up to 5mM. References: <sup>1</sup>Gerth et al. 2005; <sup>2</sup>Rubio et al. 2008; <sup>3</sup>Coskun et al. 2013; <sup>4</sup>Spalding et al. 1999; <sup>5</sup>Caballero et al. 2012; <sup>6</sup>Essah et al. 2003; <sup>7</sup>Maathuis and Sanders, 2001; <sup>8</sup>Bañuelos et al. 2002; <sup>9</sup>Chen et al. 2015; <sup>10</sup>Yang et al. 2014; <sup>11</sup>Li et al. 2015; <sup>12</sup>Garciadeblas et al. 2003; <sup>13</sup>Horie et al. 2007; <sup>14</sup>Haro et al. 2010; <sup>15</sup>Malagoli et al. 2008

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## 1143 **Figure legends**

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1145 **Figure 1.- Schematic comparison of the systems involved in K<sup>+</sup> and Na<sup>+</sup> movements**  
1146 **in Arabidopsis and rice roots.** The availability of knock-out mutants in Arabidopsis  
1147 and rice plants for specific transport systems has allowed for elucidating their roles in  
1148 K<sup>+</sup> transport. The figure shows the predicted function of each system for which knock-  
1149 out mutants have been studied. AtHAK5 and AKT1 are the main systems for K<sup>+</sup> uptake  
1150 in Arabidopsis plants. In addition, a member of the KT/HAK/KUP family, AtKUP7,  
1151 seems to also be involved in K<sup>+</sup> uptake. K<sup>+</sup> release into the xylem is mainly mediated by  
1152 SKOR and also partially by AtKUP7. In rice, AtHAK5 and AKT1 functions are  
1153 fulfilled by the rice homologs OsHAK1 and OsAKT1. An additional system, OsHAK5,  
1154 partially contributes to high-affinity K<sup>+</sup> uptake, but at higher concentrations than  
1155 OsHAK1. These three rice systems may directly or indirectly facilitate K<sup>+</sup> release into  
1156 the xylem, with the contribution by OsHAK5 to K<sup>+</sup> release into the xylem being more  
1157 relevant. It is not clear if such contribution is a direct (by mediating K<sup>+</sup> efflux into  
1158 xylem vessels) or indirect (by favoring K<sup>+</sup> accumulation in endodermal cells) outcome  
1159 of the aforementioned transporters. Regarding Na<sup>+</sup> uptake, the genetic identity of Na<sup>+</sup>  
1160 uptake systems in Arabidopsis remains to be elucidated. GLRs, CNGCs or other non-  
1161 selective cation channels could be involved. In rice, OsHKT2;1 has been shown to  
1162 mediate Na<sup>+</sup> uptake during K<sup>+</sup> deficiency. In the presence of K<sup>+</sup> or high external Na<sup>+</sup>  
1163 concentrations other unknown systems should take part in Na<sup>+</sup> uptake.

1164

1165 **Figure 2.-Main pathways for root K<sup>+</sup> uptake and their regulatory mechanisms.**  
1166 HAK5-type transporters are high-affinity K<sup>+</sup> transporters involved in K<sup>+</sup> uptake at very  
1167 low concentrations. When the external K<sup>+</sup> concentration increases, the inward-rectifier  
1168 K<sup>+</sup> channel AKT1 together with HAK5, contributes to K<sup>+</sup> uptake. At K<sup>+</sup> concentrations  
1169 above 200 μM, HAK5 is not present and AKT1 is the main system for low-affinity K<sup>+</sup>  
1170 uptake. At very high concentrations, other unknown systems can secure K<sup>+</sup> supply if  
1171 AKT1 is not functional. The HAK5 and AKT1 uptake systems are subjected to finely-  
1172 tuned regulation. At low K<sup>+</sup> concentrations, a hyperpolarization of the plasma  
1173 membrane induces *HAK5* transcription. The signal cascade of HAK5 regulation is  
1174 dependent on ethylene and ROS production. In addition, low external K<sup>+</sup> likely  
1175 produces a specific cytoplasmic Ca<sup>2+</sup> signal that is registered by the Ca<sup>2+</sup> sensor CBL1,  
1176 which induces CIPK23 recruitment to the plasma membrane resulting in the  
1177 phosphorylation and subsequent activation of HAK5 and AKT1. The channel activity is  
1178 downregulated through dephosphorylation by the AIP1 phosphatase, and interaction  
1179 with CBL10 and vitamin B6. Other subunits such as KC1 and the SNARE protein  
1180 SYP121 also cooperate in AKT1 regulation. It can be concluded that whereas HAK5 is  
1181 subjected to transcriptional and post-transcriptional regulation, K<sup>+</sup> uptake through  
1182 AKT1 is mainly regulated post-transcriptionally. The CIPK23/CBL1 complex emerges  
1183 as a key regulator of K<sup>+</sup> nutrition.

1184

Figure 01.TIF

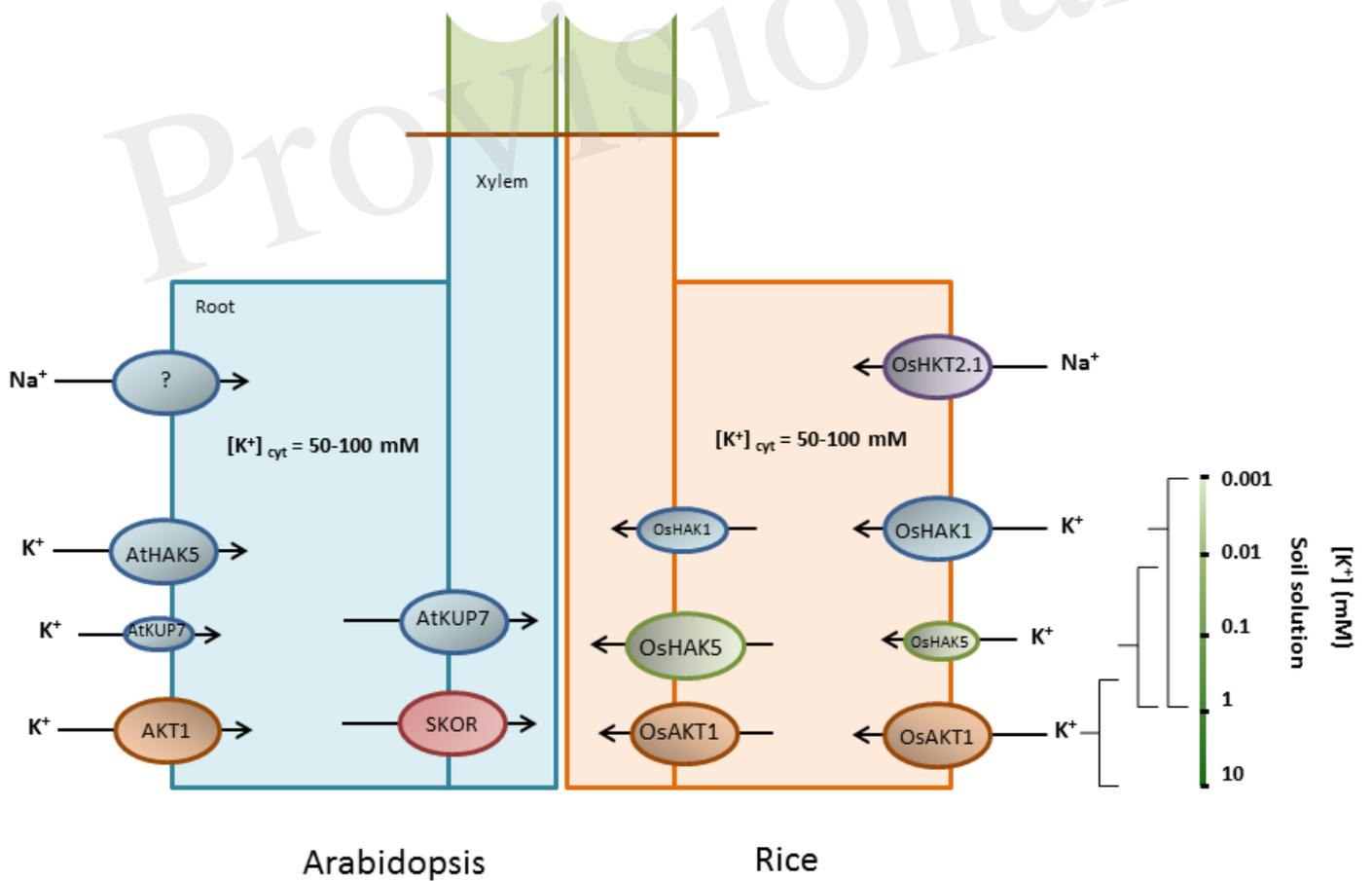


Figure 02.TIF

