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# Timing of the southward retreat of the ITCZ at the end of the Holocene Humid Period in Southern Arabia: data-model comparison

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## Abstract

New pollen data from Omani mangroves (Kwar-al-Jaramah [22.49° N - 59.76° E] and Filim [20.61° N - 58.17° E]), in addition to previously published paleohydrological records from Southern Arabia improve our understanding of the timing and amplitude of the southward retreat of the Indian monsoon influence in Southern Arabia along a north-south transect. Comparison with simulations performed with the IPSL climate model, considering both snapshot experiments and transient simulations from 6000 cal yr BP to the present, confirm the latitudinally time-transgressive nature of the humid-arid transition at the end of the Holocene Humid Period. This occurred in two steps, respectively dated at around 5000 and 2700 cal yr BP. At around 5000 cal yr BP, the southward ITCZ shift was orbitally-driven and led to the abrupt aridification at Kwar-al-Jaramah and the progressive increase of dryness at

Filim as the mean position of the ITCZ was centered ca 22.30°N. At 2700 cal yr BP, aridity was fully in place over all of southern Arabia due to increased climate variability. More intense rainy events during the last millennium, however, may have contributed to the discrete hydrological improvement without any impact on the regional vegetation which has remained desert to the present day.

**Key words:** Pollen, climate simulations, IPSL model, Oman, ITCZ, end of the Holocene Humid Period

## 1. Introduction

The timing and amplitude of the end of the Holocene Humid Period and the transition toward the present day arid/semi-arid conditions in the northern tropics has been the subject of multiple debates involving numerous scientists from both the model (e.g., Claussen and Gayler, 1997; Claussen et al., 2003; Liu et al., 2007) and data communities (e.g., deMenocal et al., 2000; Kröpelin et al., 2008a and b, Bayon et al., 2012). Several scenarios have been developed to discuss the abrupt or gradual character of this period and to identify the forcings at work (e.g., Brovkin et al., 2002; Rensen et al., 2006). These debates have mostly focused on regions under the Atlantic monsoon influence, with a specific emphasis on the iconic “Green Sahara”. This region is well known to have hosted flourishing prehistoric cultures evidenced by widespread archaeological remains (e.g., Kuper and Kröpelin, 2006; Manning and Timpson, 2014), rock paintings and carvings (Le Quellec, 2013), and abundant tropical faunas (e.g., Jousse and Escarguel, 2006) during the Holocene. Based on paleohydrological (Lézine et al., 2011) and archaeological (Kuper and Kröpelin, 2006) evidence, it has been shown that the end of the “Green Sahara” period in northern tropical

Africa and the start of present day arid conditions has been latitudinally time-transgressive, with the lake phase ending from 6500 cal yr BP between 26° and 28°N to 3500 cal yr BP between 16° and 20° N. In this region, however, the response time of the systems (hydrology, vegetation), involving aquifer recharge or surficial runoff from distant origin and/or from the Saharan highlands make the evaluation of the precise timing of the climate signal alone difficult (Gasse, 2000; Lézine et al., 2011; Hély and Lézine, 2014).

The end of the Holocene Humid Period is also recorded in regions under the Asian summer monsoon influence by a dramatic weakening of rainfall ca 5000-4000 cal yr BP (e.g., Morrill et al., 2003), particularly in its western part (e.g., Ivory et al., 2009; Lézine et al., 2014). Here also dramatic impact of changing climate on past Harappan culture in the Indus Valley has been evoked (Farooki et al., 2013). In this paper, we focus our attention on southern Arabia, a region particularly suitable for investigating the late Holocene period owing to the quality of the available high-resolution records. Paleolakes are particularly scarce and poorly preserved due to the exceptionally arid conditions which prevail in the Rub-al-Khali desert today (e.g., Lézine et al., 2007; 2014). However, speleothems records in South-Eastern Arabia have led to observation of changing rainfall amounts at the study sites as well as changes in the origin of the rains (Neff et al. 2001, Fleitmann et al., 2003; 2007). In addition, specific geomorphological and ecological features in coastal areas favor the preservation of mangroves whose floristic composition is closely dependent on rainfall amount and distribution (Spalding et al., 1997). These sedimentary environments also have the advantage of rapid depositional rates and good preservation of the organic matter, and thus are powerful tools to trace fluctuations of the rain belt associated with past monsoon circulation and changes in the location of the Intertropical Convergence Zone (ITCZ) through time (Lézine et al., 2002; Berger et al., 2013).

We consider here new pollen data from Omani mangroves and compare the chronology of events with those deduced from the isotopic composition of speleothems and the extension of lakes and wetlands. Our aim is to discuss the timing and amplitude of the southward retreat of the Indian monsoon influence in Southern Arabia along a north-south transect from 23° to 17°N. The results of these new data are compared with results of simulations with the IPSL climate model (Dufresne et al., 2013), considering both snapshot experiments for key Holocene periods and a suite of transient simulations. This allows us to discuss the changes induced by long term alterations to Earth's orbit and provide an indication of the possible role of insolation in triggering some of the rapid changes observed in the pollen records. The remainder of the manuscript is organized as follows: section 2 presents the new records and the climate simulations, whereas section 3 discusses the timing of the environmental changes over the region of interest and their relationship to the southward shift of the ITCZ from the mid-Holocene to today. A conclusion is provided in section 4.

## **2. Mid to late Holocene pollen records and climate simulations**

### **2.1 Regional climate features**

Oman is situated at the present northern limit of the annual migration of the ITCZ and the associated rainfall belt. In summer, southwesterly surface winds carry moisture from the southern Indian Ocean along the Arabian coast but do not penetrate far north- and eastwards into Southern Arabia (Fig. 1). The mean annual rainfall rapidly decreases from the southernmost part of the peninsula (the mountains of Yemen) to the Dhofar region in South Oman to the east, where the coastal station of Salalah records about 83 mm of annual rainfall. SW monsoon fluxes barely penetrate the Gulf of Oman, and rains only rarely occur in the lowlands where dry conditions occur year round. In winter, the southward penetration

of Mediterranean cyclonic depressions follows the topographic corridor of the Arabo-Persian Gulf bounded by the Zagros Mountains, Iran, to the north-east and the Jebel-al-Akhdar range, Oman, to the southwest. Winter rainfall averages 90 mm along the coast and reaches up to 350 mm in the Jebel-al-Akhdar, where snow may also occur. Mean annual temperature varies from about 26°C at Salalah to 35°C at Muscat along the Gulf of Oman, with temperature falling with altitude from about 0.6°C per 100 m elevation in the Jebel-al-Akhdar (Gazanfar and Fischer, 1998).

## **2.2 Sediment cores**

Two cores were collected at Kwar-al-Jaramah (KAJ) in the Gulf of Oman (22.49°N - 59.76°E) and at Filim at the Indian Ocean shore (20.61°N - 58.17°E) (Figs. 1 and 2). Both were recovered behind the forest remnants of *Avicennia marina*-dominated mangrove populations. Kwar-al-Jaramah (Lézine, 2009) is a sheltered creek fed by local wadis originating from the foothills of the Jebel al Akhdar (El-Baz, 2002). Filim is a bay located west of the Barr al Hikmân peninsula facing the Masirah island. It is fed by the wadi Halfayn/wadi Andam system originating from the Jebel al Akhdar, located several hundreds of kilometers to the north. The regional environment is of desert type at both sites, and the mangrove is only represented by a single species, *Avicennia marina*, which is particularly adapted to highly saline environments (Spalding et al., 1997).

The sedimentary columns are 440 cm long at both sites and consist of homogenous sandy mud with rare shell fragments. Chronologies are based on seven AMS <sup>14</sup>C ages on carbonates at Kwar-al-Jaramah and eight at Filim. Raw radiocarbon dates were reservoir-corrected (Saliège et al., 2005) and converted to calibrated ages using CALIB Radiocarbon Calibration software (Reimer et al., 2009). Then, a time scale was obtained by linear interpolation

between two ages taking into account the highly variable sedimentation rate in such a littoral environments undergoing sea level fluctuations (Lambeck, 1996) (Table 1a and b).

### 2.3 Pollen analysis

Ninety seven samples (each 1.5–3 cm<sup>3</sup>) were taken for pollen analyses. Samples were processed according to standard procedures (chemical treatment with HCL and HF; sieving at 5 µm) (Faegri and Iversen, 1975). They yielded an abundant microflora of 163 pollen taxa for pollen counts ranging between 299 to 463 at KAJ and 82 to 405 at Filim. Pollen grains were determined using pollen flora of Qatar (El-Ghazaly, 1991), Chad (Maley, 1970), and East Africa (Bonnefille and Riollet, 1980). Littoral mangrove pollen percentages (*Rhizophora* and *Avicennia*) were calculated against the sum of all the pollen grains and fern spores counted. As usual in tropical marine and littoral palynology, these taxa were excluded from the pollen sum for the calculation of the percentages of the other pollen taxa originating from inland vegetation types in order to better represent the regional flora (Fig. 3).

Pollen analysis reveals that the southernmost site, Filim, is remarkably diverse, with 133 taxa including 46 trees and shrubs (AP), compared to Kwar-al-Jaramah, where only 103 taxa were identified, including 29 AP (Supplementary Table 1). This is mainly due to the proximity of the Dhofar region, an area of tropical phytogeographical affinity restricted to the escarpments of SW Oman/SE Yemen (Miller and Morris, 1988). The Dhofar escarpment is a crescent-shaped arc directly influenced by the Indian summer monsoon rains. In addition, cold sea surface temperatures from nearby upwelling maintains mists and clouds on its slopes allowing tropical plants to grow. The occurrence, even in small percentages, of numerous tropical taxa at Filim (e.g., *Rhus*, *Pistacia*, *Maerua*, *Boscia/Cadaba*, *Albizia*,

144 *Andrachne*) testifies to regional pollen transport by SW-NE (summer monsoon) wind fluxes  
145 and also, probably, the eastward expansion of this particular region during the Holocene.

146 Four main features emerge from the pollen assemblages allowing characterization of two  
147 main pollen zones at Filim and three at Kawr-al-Jaramah (KAJ – Lézine, 2009) (Fig. 3):

148 (1) the dominance of desert/halophytic herbaceous plant communities (mainly  
149 Amaranthaceae/Chenopodiaceae, Asteraceae, *Artemisia*, Poaceae, and Cyperaceae) from  
150 about 7000 cal yr BP to the present;

151 (2) a dramatic change in mangrove composition. Two mangrove taxa are present: *Avicennia*  
152 *marina* and *Rhizophora mucronata*. *Rhizophora mucronata* is typically a tropical species  
153 which requires freshwater from both rivers and summer rainfall (Spalding et al., 1997). It is  
154 absent today from the Omani mangroves. However, paleoenvironmental studies testify to its  
155 occurrence in the Northern Indian Ocean sector during the Holocene (Overpeck et al., 1996)  
156 and, particularly along the coasts of Southern Arabia at Suwayh (22°05N) (Lézine et al., 2002)  
157 or even northward (Tengberg, 2005). In Arabia, relict communities of *Rhizophora* persist  
158 today in only two restricted sectors: the Kamaran island in the Red Sea and near al-  
159 Hudaydah at 15.24°N. *Avicennia marina* is a mangrove species which has a high tolerance of  
160 salinity. It is widely distributed in the dry, desert coastal zones of Arabia, and it reaches up to  
161 27°N in the Arabo-Persian Gulf and 28°N in the Red Sea;

162 (3) the growing importance toward the end of the period studied of *Prosopis cineraria*.  
163 *Prosopis cineraria* is a native species of Saharo-Sindian vegetation zone, a region influenced  
164 by a bi-seasonal, Mediterranean-type climate with a rain maximum during winter and spring  
165 (Ghazanfar and Fisher, 1998). In Oman, its distribution encompasses the coastal plains along  
166 the Arabo-Persian Gulf, where rainfall is too low to maintain a typical tropical vegetation.



167 Modern pollen samples from this region do not record percentages of *Prosopis cineraria*  
168 higher than 9% (1.6% in average) (Lézine et al., 2000).

169 (4) pollen transport from distant origin, mainly afro-montane (e.g., Ericaceae, *Juniperus*,  
170 *Myrica*, *Olea europea*, *Podocarpus*) which is recorded at both sites. These taxa can originate  
171 either from Jebel-al-Akhdar to the north-east or from the Dhofar escarpment to the south-  
172 west in Oman, but also from more remote regions such as the highlands of Yemen or the  
173 Horn of Africa.

174 The pollen zones are as follows:

175 **Filim zone 1** (6800-2700 cal yr BP) is characterized by the presence of *Rhizophora*, the  
176 percentages of which reach up to 52%. Pollen grains from tropical plant communities are  
177 present, in scattered occurrence and low values. *Rhizophora* percentages decrease toward  
178 the end of this zone in two steps successively dated from 6800 to 5100 cal yr BP (52 to 20%)  
179 and from 5100 to 2700 cal yr BP (20% to 0). *Artemisia*, from desert/halophytic herbaceous  
180 plant communities, displays an opposite trend with values regularly increasing from 2% to  
181 23%.

182 **Filim zone 2** (2700 cal yr BP - present) is characterized by the disappearance of *Rhizophora*,  
183 which is replaced by *Avicennia*, the percentages of which increase from 0 to 4% toward the  
184 end of this zone. This zone is also characterized by the appearance, even in low percentages,  
185 of *Prosopis cineraria*. This taxon is associated with other semi-desert elements (*Salvadora*,  
186 *Maerua*, *Moltkiopsis*)

187 **KAJ zone 1** (6300- 4500 cal yr BP) is characterized by the presence of *Rhizophora*, which  
188 reaches a maximum of 13%. It is also associated with *Avicennia* abundances of 3 - 7%.

**KAJ zone 2** (4500-2700 cal yr BP). *Rhizophora* almost disappears from this zone whereas, *Avicennia* remain constant. *Artemisia* percentages slightly increase compared to the preceding zone (max = 11%).

**KAJ zone 3** (2700 cal yr BP – present) records the progressive increase of *Prosopis cineraria*, which reaches up to 11% in the uppermost levels, and the significant decrease of the mangrove pollen types: *Rhizophora* is rare with values less than 0.2%. *Avicennia* decreases to percentages of 0.9%

## **2.4 Climate simulations with the IPSL-CM5 model**

Simulations of the mid to late Holocene were run with the same IPSL model version as the one used for the last CMIP5 ensemble of simulations (Dufresne et al., 2013). IPSL\_CM5A is a state-of-the-art coupled global general circulation model. It couples the LMDz atmosphere model (Hourdin et al., 2006), with a horizontal resolution of  $96 \times 96$  grid points and a vertical resolution of 39 levels, to the ocean model NEMO/OPA with 182 grid points in longitude  $\times$  149 in latitude and 31 vertical levels (Madec et al., 1997), using the coupler OASIS (Valcke, 2006). The land surface model ORCHIDEE is coupled to the atmosphere model and includes a river runoff scheme to close the water budget between land and ocean (Krinner et al., 2005). The sea-ice model LIM2 (Fichefet and Maqueda, 1997) is coupled to both atmosphere and ocean models. The carbon cycle is interactive in the ocean and in the land-surface. For the latter, the leaf area index is also fully interactive but vegetation is prescribed. Vegetation feedback is therefore partly represented in these simulations (Kageyama et al., 2013; Braconnot and Kageyama, 2015).

We consider both time slice experiments for the mid-Holocene (6 ka), the late Holocene (4 ka), and the preindustrial period (PI, 0 ka), in addition to transient late Holocene

experiments starting from the 6 ka simulation. The model and mid-Holocene simulations are presented in Kageyama et al. (2013), and the 4 ka simulation is discussed in Saint-Lu et al. (2015). These time slice simulations consider only the changes in Earth's orbital parameters which are prescribed following Berger (1978) as shown in table 2. The mid-Holocene simulation considers in addition the small changes in greenhouse gases, following the PMIP3 protocol (Braconnot et al., 2012). Each time slice simulation is 1000 years long, and the annual mean cycles and wind rose statistics were computed from the last 500 years of each simulation.

The model underestimates the northward extent of monsoon rain over the Sahel today (Kageyama et al., 2013). Despite the precipitation bias over land, the simulated changes at 6 ka resemble the one produced by the ensemble PMIP3 simulation (Braconnot et al., 2012; Harrison et al., 2015). The major characteristics of the difference in African and Indian monsoon are consistent with the results obtained by Marzin and Braconnot (2009) with the previous version of the IPSL model. This is also the case for the 4 ka simulation over the southern hemisphere convergence zone (Saint-Lu et al., 2015). Interestingly, the monsoon flow is well represented over the Arabian Sea and along the coast as depicted by boreal summer surface winds in Figure 4. Monsoon precipitation is underestimated in Africa and India, but slightly overestimated in the northeastern part of the Arabian Sea. Figure 4 shows the reduction of the SW monsoon flow in July-August from the mid-Holocene to present day, together with the increase of the NE boreal winter monsoon flow. Monthly wind direction anomalies highlight the higher frequency of S-SW winds at 6 ka and strengthening of the wind from in the western quadrant from W-NW to S. During boreal winter the changes in frequency and intensity reflect a slight wind rotation from the N-NE to the NE.

236 The initial state of the PMIP3 transient simulations is from IPSL of the mid-Holocene climate  
237 (6 ka) described in Kageyama et al. (2013). We performed two simulations with an  
238 acceleration in the orbital parameters by a factor 10, following Lorenz and Lohmann (2004)  
239 (Fig. 5). With this acceleration, a 600 year-long simulation represents 6000 climate years.  
240 The orbital parameters (Table 2) vary with time and, because of the acceleration, the 4ka  
241 values are found for year 200 and those of PI for year 600. The long term trend can be  
242 attributed to the long term insolation forcing, but the short term variability represents only  
243 inter-annual to centennial variability, and not millennium scale variability. These two  
244 simulations start from different initial dates in the 6 ka simulation. The third simulation is a  
245 fully synchronous experiment in which the orbital parameters are updated every year. This  
246 experiment only covers the 2500 years from 6000 to 3500 cal yr BP. Due to storage  
247 problems, a period of time is missing in the model outputs between 4800 and 4750 cal yr BP.  
248 The representation of the monsoon has the same quality and biases as the ones highlighted  
249 above for the time slice experiments.

250 Figure 5 shows the evolution of precipitation as a function of time, comparing the  
251 accelerated and non-accelerated simulations for three latitudinal bands over the region  
252 20°N-24°N, 18°N-20°N and 14°N-18°N. As expected, precipitation is characterized in the  
253 three latitudinal bands by a long term decreasing trend over this period that can be related  
254 to the long term insolation forcing and the associated reduction and southward shift over  
255 the region of the boreal monsoon flow (Bassinot et al., 2011). Short term variability is also  
256 present. This variability is internal to the coupled system and is thus not synchronous  
257 between the simulations. However, the major events are synchronous between the  
258 latitudes, and major transitions seem also to be synchronous across the simulations,  
259 suggesting a response to a common forcing or to the same feedback from the ocean

circulation or vegetation. In particular, all simulations exhibit a rapid precipitation decrease around 5000 cal yr BP that occurs in several steps. The two accelerated simulation also exhibit periods with enhanced variability after 2000 cal yr BP. Even though both simulations do not change at the exact same time, we can conclude that this stochastic variability seems to be related to favorable conditions in the mean state. Further analyses to fully understand this is however outside the scope of the manuscript.

### **3. Timing of environmental changes and linkage with the timing of the southward shift of the summer monsoon rainbelt (Fig. 6)**

The analyses of the evolution of vegetation at the two sites and the ensemble of IPSL-CM5A simulations allow us to infer the long term changes and variability of the ITCZ rainbelt over the Arabian Sea and Arabian Peninsula. We have calculated two indices illustrating the local and regional evolution of the environment. The *Avicennia/Rhizophora* ratio compares a salt-adapted (*Avicennia*) to a fresh-water-adapted (*Rhizophora*) species (Spalding et al., 1997). This ratio aims at representing the fluctuations between freshwater and saline conditions locally, at each mangrove site. In addition, the *Artemisia/Rhizophora* ratio compares one of the most arid components of the regional environment typically *Artemisia* today mainly found north of the Arabian Peninsula in areas under Mediterranean climate conditions (El-Moslimany, 1990) to one of the most humid components of the environment characteristic of tropical climate conditions (*Rhizophora*). These ratios highlight the occurrence of two opposing phases during the mid to late Holocene. We discuss in the following the linkages between these phases and the location of boreal summer monsoon rainbelt (i.e., the limit between the zones of influence of winter and summer rains). For this, we compare our data to the oxygen isotope records of speleothems at Qunf and Hoti in southern Oman (Neff et

al., 2001; Fleitmann et al., 2003; 2007) and the dated records of past lakes and wetlands in the Arabian Peninsula (Lézine et al., 2014, Fersi et al., 2016) (Supplementary Table 2) (Fig. 5) and to the climate simulations.

### **3.1 From 7500 to 2700 cal yr BP (Filim) and from 6358 to 4500 cal yr BP (Kwar-al-Jaramah): a summer monsoon-dominated climate regime**

At both sites, the pollen record is characterized by the presence of *Rhizophora* (Fig. 3). Percentages of this taxon reach 43 to 52% at Filim during a time interval from 6800 to 6700 cal yr BP, that clearly indicate the presence of a developed freshwater mangrove forest at the core site. Then percentages decrease in two successive steps at ca. 6000 then 4500 cal yr BP. After 2700 cal yr BP, *Rhizophora* pollen grains become scarce and their percentages never exceed 2%. At Kwar-al-Jaramah, *Rhizophora* peaks at 13.5% only (4960-5100 cal BP), showing a less developed forest formation compared to the southernmost site. These percentages decrease rapidly, and *Rhizophora* almost completely disappears from the pollen diagram after 4500 cal yr BP. The presence of *Rhizophora* within the mangrove forests of Oman clearly points to the tropical influence and associated enhanced summer rainfall. We suggest that SW summer monsoon winds were responsible for the transport of tropical pollen grains from the Dhofar region, not only at Filim but also at Kwar-al-Jaramah.

During the mid-Holocene, the tropical influence covered a wide range of latitudes as shown by the isotope records (Neff et al., 2001; Fleitmann et al., 2003; 2007) and various lacustrine deposits over southern Arabia (Lézine et al., 2014 and references therein; Fersi et al., 2016) (Supplementary Table 2). This is also illustrated by the presence of *Rhizophora* populations reported at al-Balid at 17°N (Zarins, 2007), Suwayh at 22°N (Lézine et al., 2002), and tell Abraha at 25°N (Tengberg, 2005), as well as by multiple geomorphological observations and

archaeological findings along the Ja'alan coast in Oman (Giraud, 2009; Berger et al., 2013) suggesting widespread expansion of mangroves all along the Arabian coasts at that time. Wind roses computed over the large area that encompass all the sites show the June-July-August (JJA) and the evolution of simulated precipitation are in agreement with this assumption (Fig. 4 - 6). A rapid humid-arid transition around 5000 cal yr BP is depicted both in pollen records and in all simulations. It indicates that the insolation forcing is the major driver of this rapid decrease, suggesting that around that time, the meridional insolation gradient was not sufficient to maintain the associated pressure gradients driving the SW monsoon flow over the region. The rapid shifts indicate that the northern limit of the rainbelt did not reach the pollen sites and the abruptness of the shift is the signature of a threshold in amount of moisture necessary to maintain the vegetation there. This explains the connection between the disappearance of *Rhizophora* and the southward shift of the rainbelt.

### **3.2 At 2700 cal yr BP, the reversal of main wind trajectories**

From 2700 cal yr BP onward, the disappearance of *Rhizophora* was mirrored by the development of *Prosopis cineraria*. This marks the reversal of the main regional climatic influences with the decreased importance of the SW Indian summer monsoon and the increased importance of Mediterranean wind fluxes of opposite direction. *Prosopis* occurred continuously at Ras al-Khaimah, Eastern Arabia (25° 42' 57"N) from 8500 cal yr BP to the present (Parker et al., 2004) and at Kwar-al-Jaramah from 6358 cal yr BP onwards (this study). It was absent at Suwayh (22°05N) before 4000 cal yr BP (Lézine et al., 2002) and at Filim before 2700 cal yr BP (this study). As a consequence, we can suggest that the limit between the summer and the winter rains was situated between Kwar-al-Jaramah and

Suwayh ca 22°30 N during the mid-Holocene. At 2700 cal yr BP, it was displaced southward and situated at its present-day position between 18 and 19°N (Ghazanfar and Fischer, 1998). Climate simulations are consistent with this scenario. In addition, the two transient simulations suggest declining SW monsoon flux together with a period of enhanced variability after 2000 cal yr BP. Such enhanced variability and the associated increase of rainy events could have contributed to feed lakes and wetlands in the Arabian Peninsula (Fig. 6). The events are not synchronous between the simulations, suggesting that the large scale circulation is suitable for the development of periods with higher variability, but that this variability is not a direct response to an external forcing and appears thus at different times in the two simulations.

#### 4. Concluding remarks

Our study, based on transient climate simulations compared to high resolution and well distributed paleo-data provide for the first time a detailed chronology of the retreat of the rain belt associated to the southward shift of the ITCZ at the end of the Holocene in Eastern Arabia. This approach paves the way to a thorough understanding of rainfall variations and their impact on terrestrial ecosystems in arid areas.

Figure 6 shows that the timing and structure of the arid-humid transition strongly varied with latitude, such that north of 22°30 N, the humid-arid transition was abrupt at all latitudes. The southward shift of the rainbelt took place at 6026 cal yr BP at Hoti (Fig. 6F), then at 4500 cal yr BP at Kwar-al-Jaramah (Fig. 5D). In contrast, the increase in regional dryness was gradual south of 20°30 N as shown by the Qunf isotope record (Fig. 6B) and the *Artemisia/Rhizophora* ratio at Filim (Fig. 6C) and reached its maximum at 2700 cal yr BP. Both data and climate simulations agree that two major successive thresholds occurred at



ca. 4500-5000 cal yr BP and 2700 cal yr BP and punctuated the southward retreat of the ITCZ and associated rainbelt at the end of the Holocene Humid Period in Southern Arabia.

- All simulations (Fig. 6F) exhibit a sharp decrease in precipitation around 4500-5000 cal yr BP. This first threshold coincides with the dramatic lowering of the water bodies in Southern Arabia (Fig. 5A) and the onset of arid conditions throughout the tropics in response to insolation forcing. A comparison of environmental conditions between Filim and Kwar-al-Jaramah help to more precisely locate the position of the ITCZ at ca. 22°30 N.

- At the time of the second threshold identified at 2700 cal yr BP, aridity was pervasive all over Southern Arabia. This second threshold corresponds not only to the disappearance of *Rhizophora* from the mangroves of Filim and the widespread expansion of *Prosopis cineraria* along the coasts of Oman, but also to the interruption of speleothem growth (Fleitmann et al., 2003; 2007) and river activity (Hoorn and Cremaschi, 2004) in Southern Oman. The beginning of very dry conditions and the prevalence of wind fluxes of NE origin at that time were already observed at Lake Yoa, Northern Chad (Lézine et al., 2010). Climate instability characterized by increased rainy events may have however contributed to the slight elevation of the lake levels observed towards the end of the period in Southern Arabia and particularly during the last millennium.

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## Figure Captions

**Figure 1:** Modern surface winds at 925 hP for summer and winter months (NCEP-DOE AMIP-II Reanalysis) and location of the sites in the text: Kwar-al-Jaramah (KAJ) and Filim : pollen, this study; Qunf and Hoti: speleothems, Neff et al., 2001; Fleitmann et al., 2003; 2007. Aerial views from El-Baz (2002).

**Figure 2:** *Avicennia marina* mangroves at Filim (A, B) and Kwar-al-Jaramah (C, D)

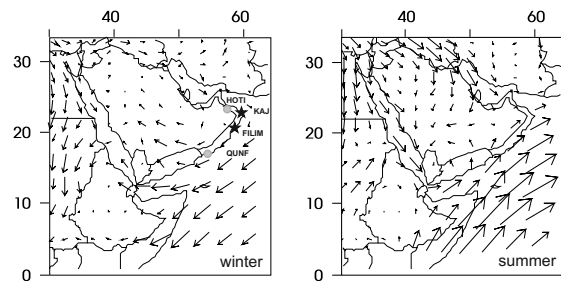
**Figure 3:** Simplified pollen diagrams at Filim and Kwar-al-Jaramah showing the percentages of the main pollen taxa over time. In grey x20 magnification.

**Figure 4:** Surface winds as simulated by the IPSL-CM5A climate model: Surface winds (m/s) for (A) summer (JA) and (B) winter (JF) months for three periods PI, 4ka, and 6ka (B) and wind roses (wind strength in m/s and frequency in %) for (C) summer and (D) winter for the same three periods: 6k (green), 4ka (blue), and PI (black).

**Figure 5:** Long-term evolution of June-July-August precipitation (mm/day) between 14-18, 18-20 and 20-24°N; A : transient IPSL simulation from 6000 to 3900 cal yr BP and B : two IPSL transient simulations from 6000 cal yr BP to zero for which changes in earth orbital parameters have been accelerated by a factor 10 (see details in the text). These two simulations have different initial states. The curves have been smoothed by an equivalent hundred years in A (100 yrs) and B (10 yrs) (bold lines).

**Figure 6:** The end of the Holocene Humid Period in Southern Arabia: multiproxy records and climate simulations. A: dated records of lakes, wetlands, and rivers at 1000 year intervals (Lézine et al., 2014. See paleohydrological database and reference therein at NOAA), B:Qunf speleothem isotope record (Fleitmann et al., 2003; 2007), C and D: local (*Avicennia/Rhizophora*) and regional (*Artemisia/Rhizophora*) indexes of aridity at Filim (C) and Kwar-al-Jaramah (D), E: Hoti speleothem isotope record (Neff et al., 2001), F: hundred

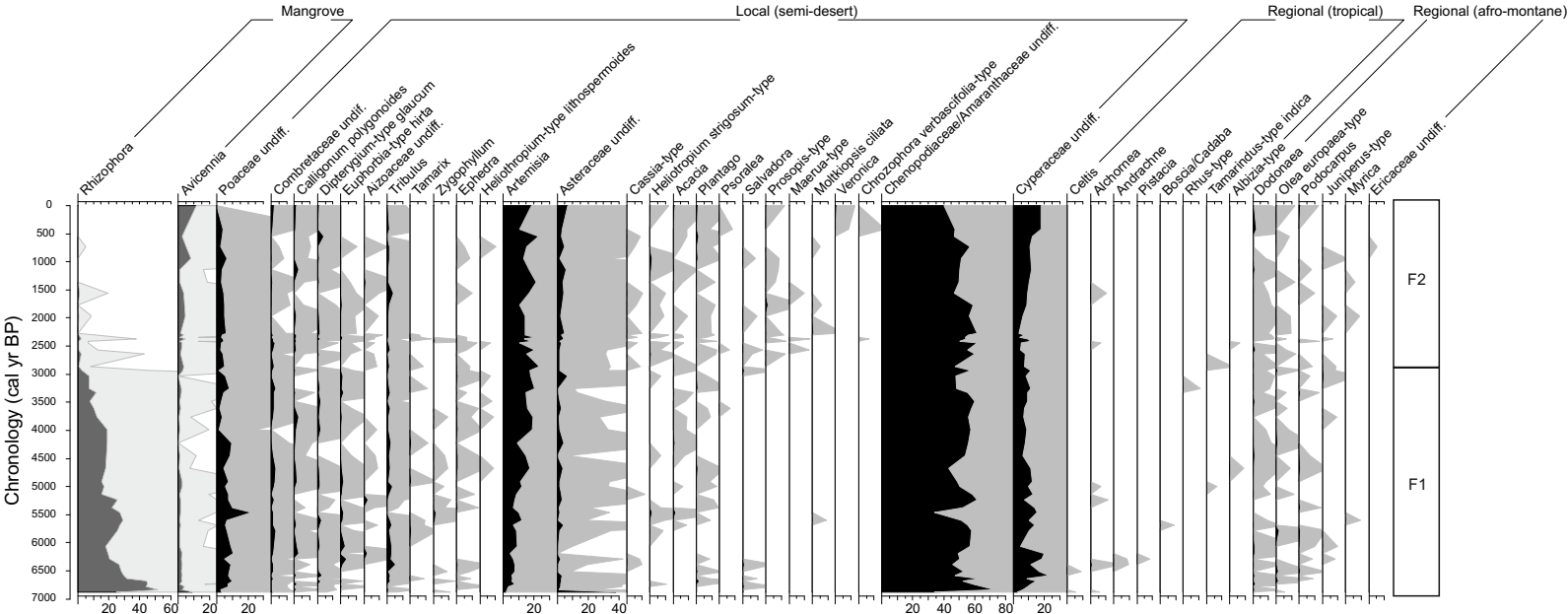
554 year smoothed transient IPSL simulations for the time interval between 6000 cal yr BP and  
555 present day (cf Fig. 4).



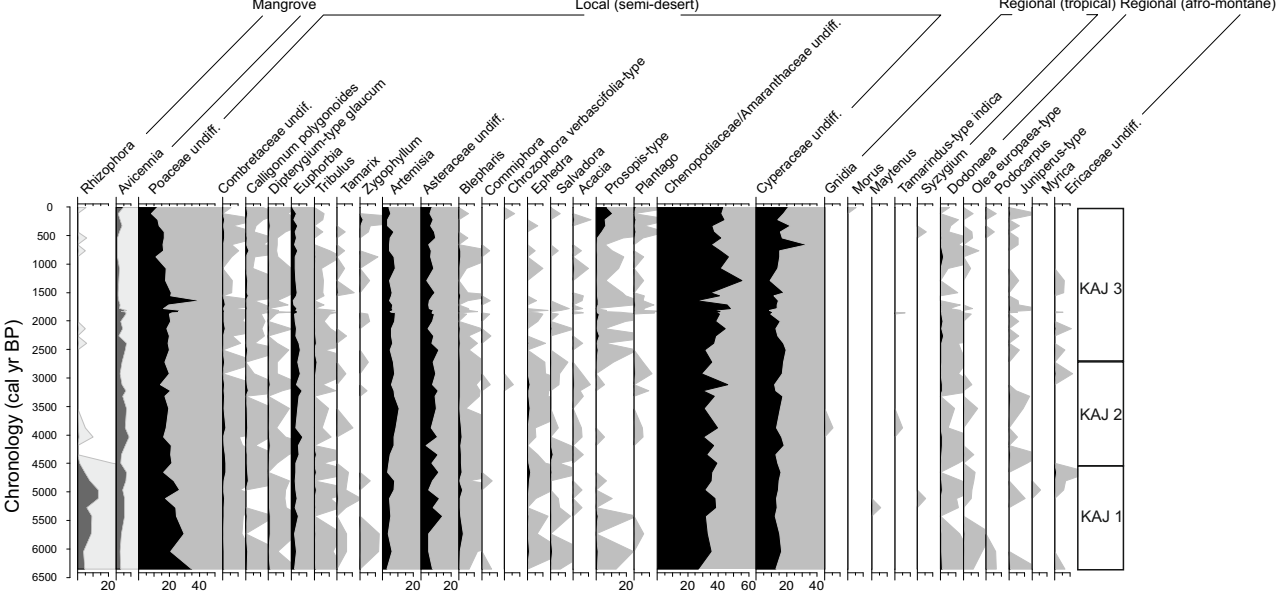


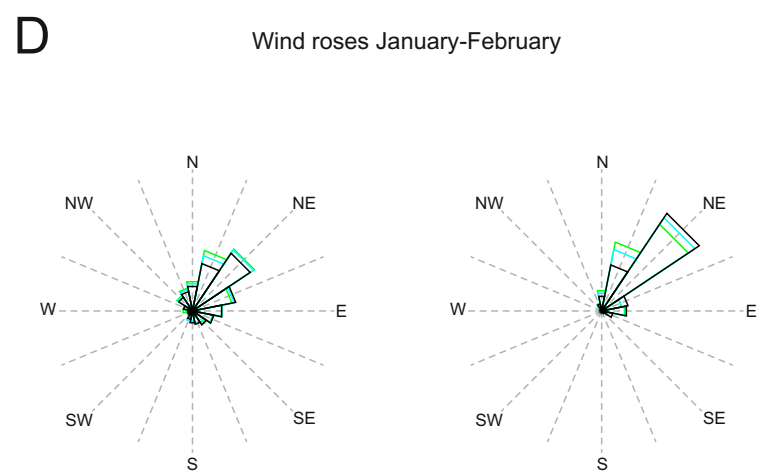
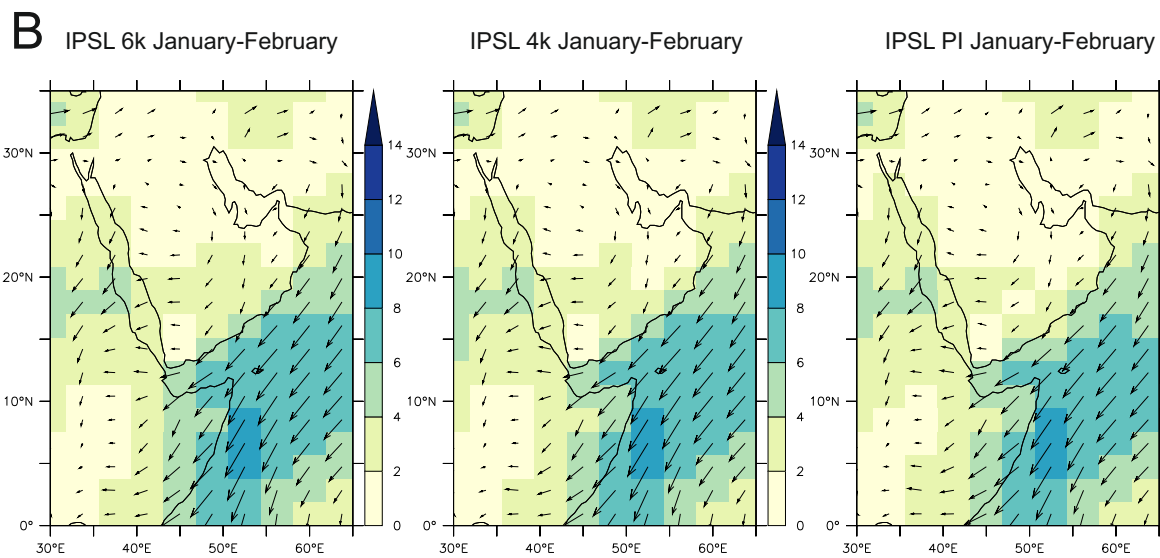
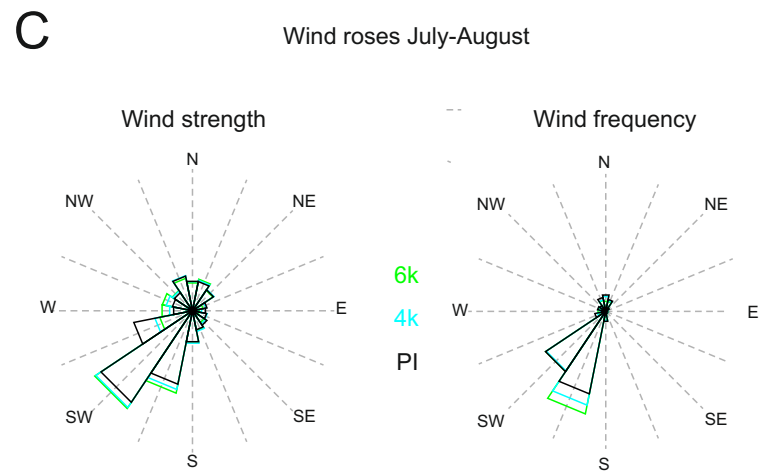
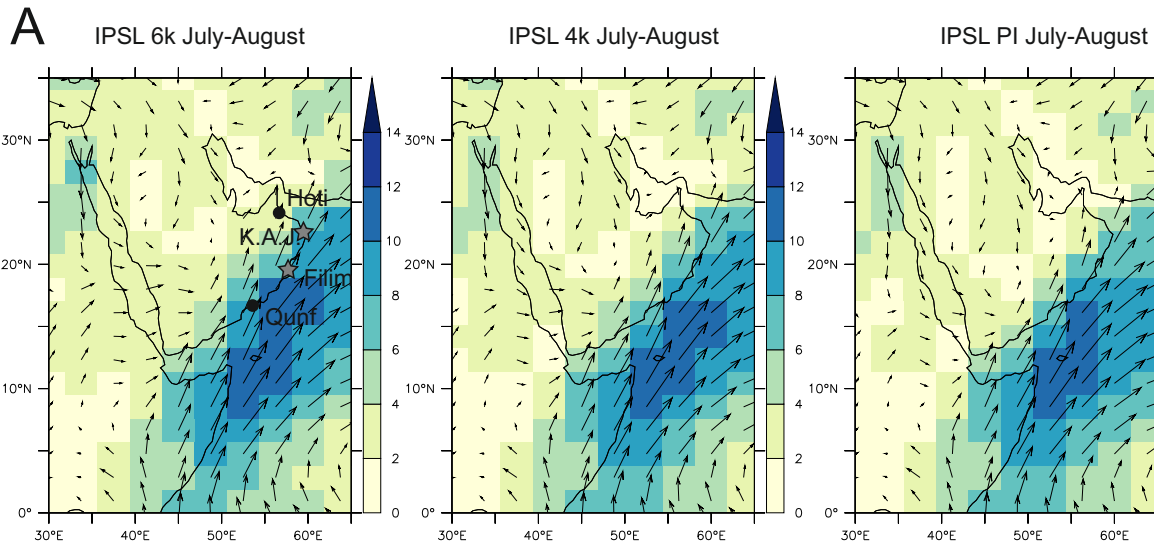


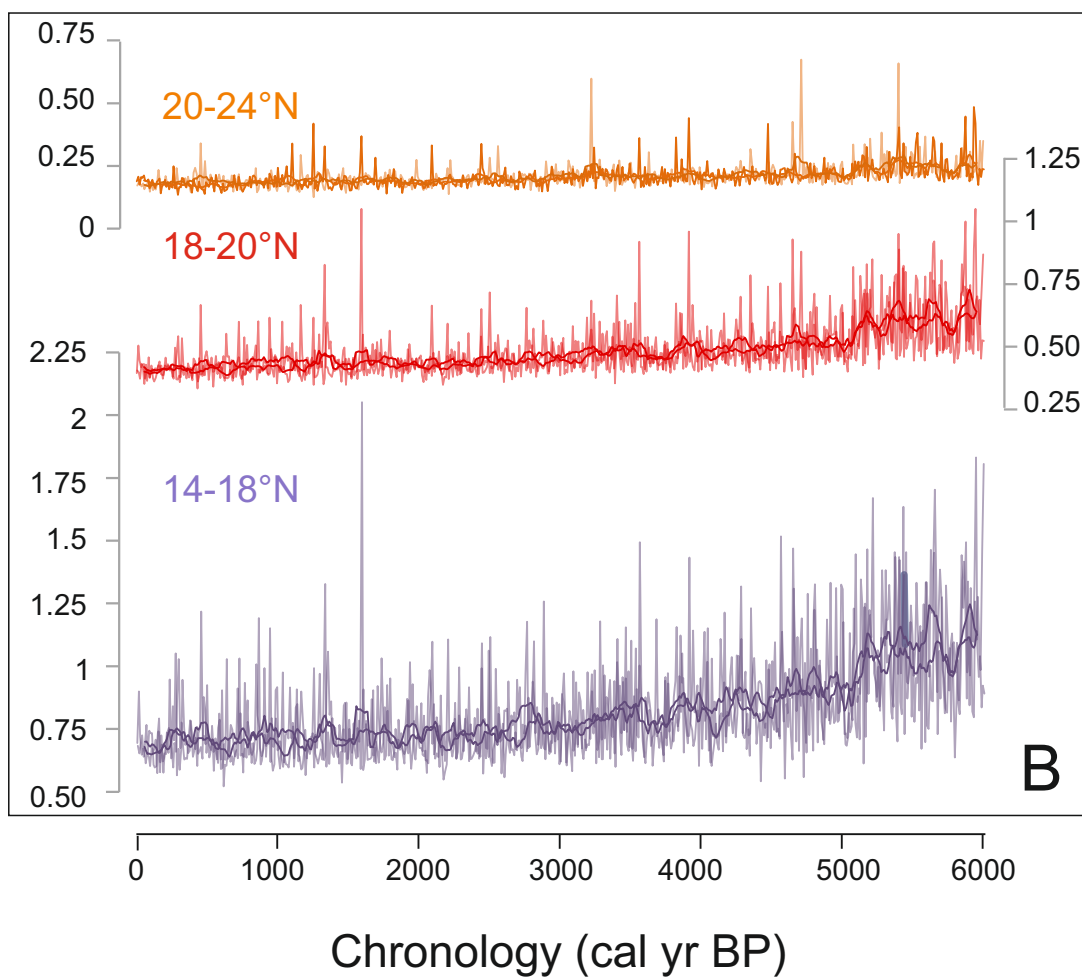
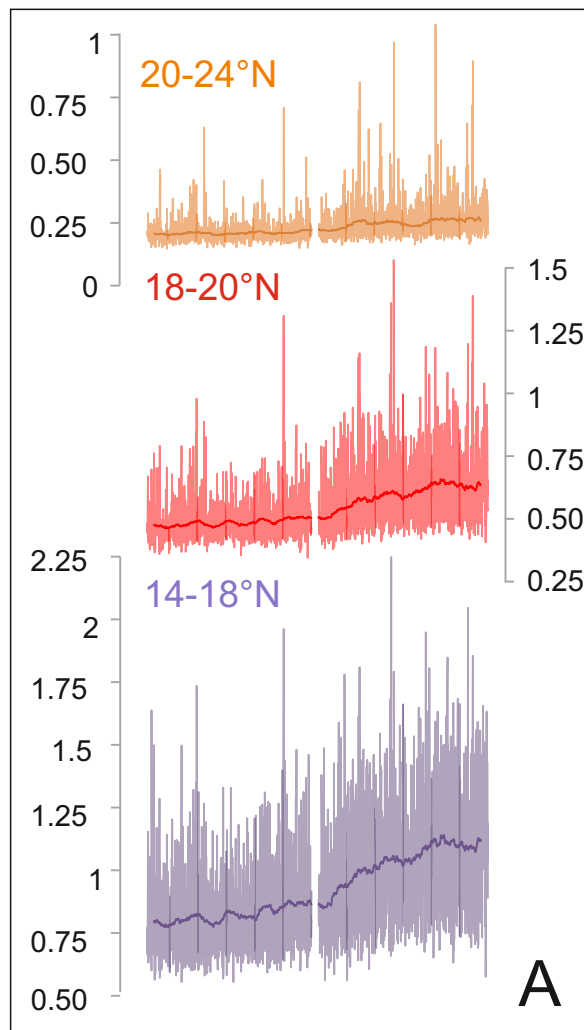
FILIM (OMAN) Main taxa



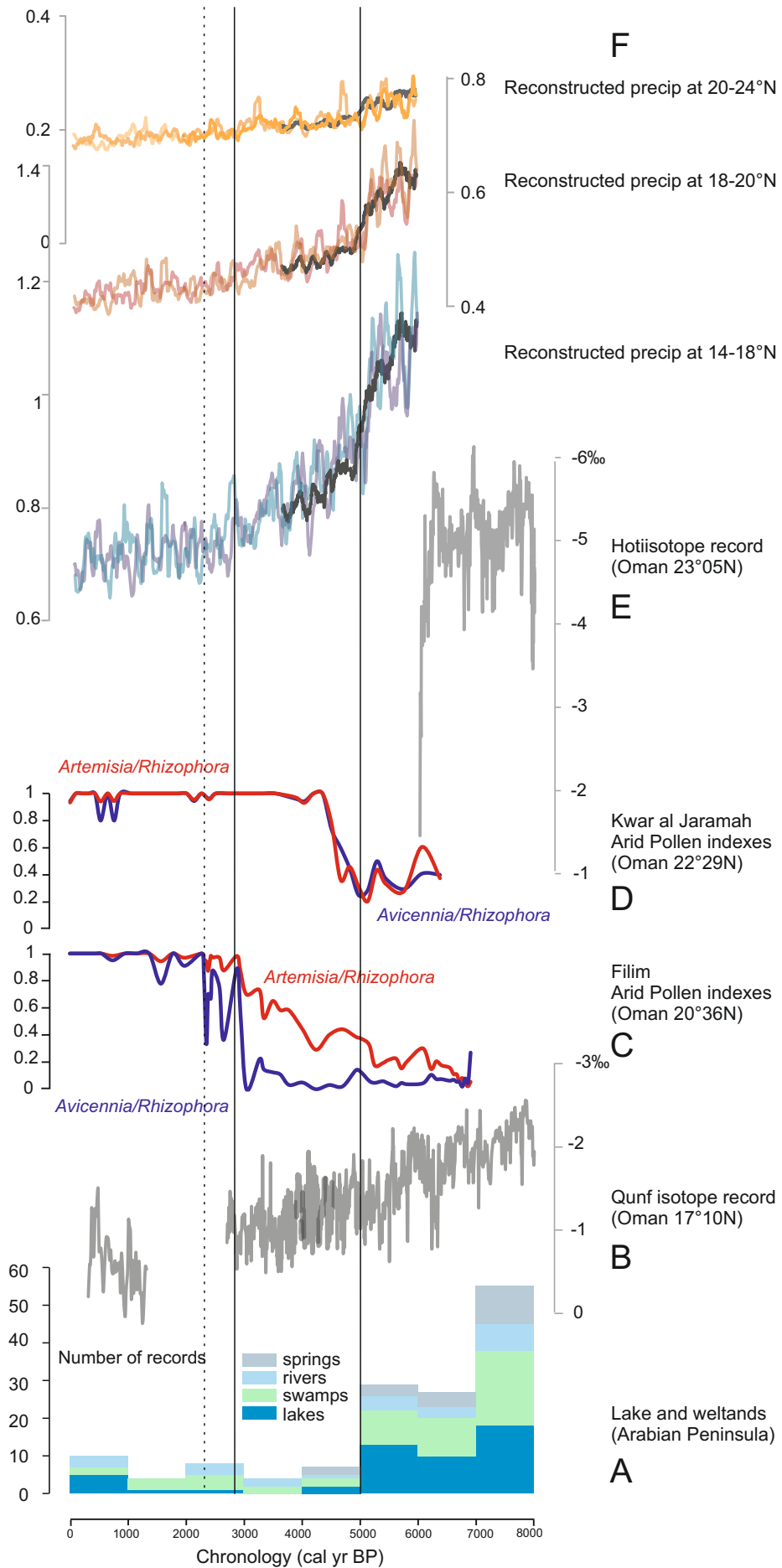
KWAR AL JARAMAH (OMAN) Main taxa











Depth (cm)	14C	material	Lab number	1 sigma calendar year ( $\Delta R= 210\pm 15$ )
55-56	1060 $\pm$ 30	shells	SacA 7200	456-505
105-106	1345 $\pm$ 30	shells	SacA 7201	654-712
149-150	1790 $\pm$ 50	shells	SacA 7202	1074-1207
205-206	2810 $\pm$ 45	shells	SacA 7203	2207-2334
215-216	3810 $\pm$ 30	shells	SacA 7205	3445-3544
305-306	4025 $\pm$ 30	shells	SacA 7208	3709-3818
365-366	6305 $\pm$ 30	shells	SacA 7209	6465-6574
404-406	6475 $\pm$ 30	shells	SacA 7210	6665-6759

Table 1a: Filim chronological control. AMS on marine shells (Saclay Artemis AMS Facilities).  
 Calibrated ages from Reimer et al. (2009) applying the local  $\Delta R$  calculated by Saliège et al. (2005).

Depth (cm)	14C	material	Lab number	1 sigma calendar year ( $\Delta R= 210\pm 15$ )
70-71	1445 $\pm$ 30	shells	SacA 7217	722-820
149-150	2245 $\pm$ 30	shells	SacA 7219	1548-1653
205-206	2415 $\pm$ 30	shells	SacA 7220	1757-1858
249-250	2480 $\pm$ 30	shells	SacA 7221	1829-1922
299-300	3270 $\pm$ 30	shells	SacA 7222	2770-2262
345-346	3760 $\pm$ 40	shells	SacA 7223	3372-3484
399-400	5010 $\pm$ 35	shells	SacA 7224	5011-5194

Table 1b: Kwar-al-Jaramah chronological control on marine shells (Saclay Artemis AMS Facilities). Calibrated ages from Reimer et al. (2009) applying the local  $\Delta R$  calculated by Saliège et al. (2005).

Period	Excentricity	Obliquity	Perihelion – 180.
PI	0.0167	23.446	102.04
4ka	0.0181	23.929	34.26
6ka	0.0187	24.105	0.87

Table 2: Orbital parameters prescribed in the different time slice experiments.