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# Using metrics to evaluate and improve text-based information visualization in 3D urban environment

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## ABSTRACT

Information visualization is widely involved in our daily life. It develops rapidly in both 2D and 3D environments. In the 3D case, evaluation is a critical problem. Existent evaluation metrics are firstly introduced in this paper. We chose to focus on *mathematical metrics* only and several metrics referring existent ones are designed to evaluate a text-based information visualization in 3D urban environment. Afterwards, some modifications of the visualization are gained by constructing processing functions which take into account the object space distance and the screen space distance. A re-evaluation process for the new results is conducted to see if the visualization result is improved or not. Results show that screen space functions have better performance in improving visualization performance, which can provide references for visualization designers to diversify and characterize their visualizations.

## Keywords

3D information visualization, mathematical visualization metrics, text visualization, perception.

## 1 INTRODUCTION

Visualization has penetrated in our life for a long time since its debut. As the development of science and technology, enormous datasets are generated everyday, such as social network posts, outer space exploration, buying goods on-line as well as the governance of the country. Datasets are recorded at any time and at any place. But with a direct view to these raw datasets, few human beings can really understand the inside meanings of what they represent. Hence these datasets have to be processed in a way that human beings can easily understand and find new knowledge to their interests to aid them make decisions and choices. This *process* is defined and described as two forms of visualization by the nature of the raw datasets being used [Rhy03] [Nag06]:

- Scientific visualization: means to use interactive visual representations of scientific data, typically physically based, to promote human cognition. It focuses on the visual display of spatial data concerning scientific processes such as the bonding of molecules in computational chemistry.

- Information visualization: means to use interactive visual representations of abstract, non-physically based data to promote human cognition. It focuses on visual metaphors for non-inherently spatial data such as the exploration of text-based documents.

The aim of visualization is to convey information to human beings in a more effective way than analysing raw datasets. The more effective the visualization is, the better it can aid users. Lots of work has been done in both 2D and 3D environment concerning visualization. For 2D examples, many on-line tools are available, which deal with datasets from various sources such as economy, education, environment and transportation. These visualization results are normally represented through graphs with lines, curves, points, bars, surfaces, maps, tables, trees or networks.<sup>12</sup>

For 3D cases, it is still a young field compared with 2D ones. For scientific visualization, winds, water fluid, smokes, pollutants, industry designs and medical purpose based visualizations are the main concerns in 3D environment [Fed01], [Jos12]. One example for 3D information visualization is that [Cha07] proposed a highly interactive way to provide intuitive understandings of population census information to users. The visualized information is projected onto the surface of a 3D city model with different colors representing different population density.

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<sup>1</sup> datavisualization.ch

<sup>2</sup> visualizing.org

This paper mainly discusses about the evaluation of information visualization in 3D urban environment. Even with much effort paid in 3D information visualization, its evaluation is a critical problem. Visualization metrics are necessary for this process, which allow us to evaluate visualizations in ways that enable better understanding of data and concepts. It can also help push visualization research to go further by setting followable rules for visualization designers to meet [Mil97].

We firstly introduce many widely-accepted visualization metrics in previous works section. In correspondence with a text-based 3D information visualization, we chose to focus on *mathematical* metrics and design several metrics that match this visualization so as to evaluate its performance. Afterwards, modifications of the visualization result are conducted taking into account the screen space distance and the object space distance. New results are later evaluated with the same metrics set. Comparisons and analysis are made among these new results to see if the visualization result is improved or not. Finally discussions are given in last section, along with perspectives for the future work.

## 2 PREVIOUS WORKS ON VISUALIZATION METRICS

Metrics are used to measure and evaluate the quality of an object. Likewise visualization metrics aim to evaluate the quality and performance of the visualization result. When searching for *visualization metrics* references, there are lots of records on visualization quality metrics from the field of visualization analytics. These are more for picture based visualizations, kind of image-processing evaluation. The focus might be how correctly an image is representing the typical feature data or the number of feature points visualized. Typical metrics of this type are *data density*, *occlusion percentage* or *screen occupation percentage* [Bra97]. In this case, a *scatter plot* is always used to help analyse and evaluate the visualization performance [Pen04].

Another type of metrics aims at evaluating human interaction visualizations. Works as [Oco08] derive metrics from human-computer interaction heuristics, and specify the metrics to emphasize the characteristics of interactive visualizations. Proposed metrics are: *empowering analysis*, *improving analytic products*, *collaboration*, *ease of use*, *immediate feedback*, *errors and critical incidents* and *minimal actions*.

Visualization results are supposed to help users make choices or discover new knowledge, hence human perception plays an important role in evaluating visualization performance. [Alb11] proposed a perceptual embedding method to select information that bears projections of the data from a psychophysics study and multi-dimensional scaling. Then [Lin11] reviewed perceptual

visual quality metrics to predict picture quality according to human perception. Metrics of this type could be *important information highlighted or not*. [Ler12] proposed a method for reducing eye-strain induced by stereoscopic vision. They focused on images with high-frequency contents associated with large disparities so as to remove irritating high frequencies in high disparity zones. Although this work is not dedicated to visualization evaluation, but the effort to keep visual quality on the focus point to defocus the blur can be referred for designing human perception related visualizations.

Then for the evaluation of high-dimensional data visualization, [Ber11] presented systematized techniques which use metrics to help the visual exploration of meaningful patterns for high-dimensional data. They chose a set of factors to distinguish metrics, visualization techniques and the visualization process itself concerning high-dimensional data. Factors are: *clustering*, *correlation*, *outlier*, *complex patterns*, *image quality* and *feature preservation*.

Above are works dealing with visualization metrics described with respect to different visualization purposes. Even though currently there is no complete standard description for visualization metrics world-wide, yet we can refer to the work of [Mar07] to systematically summarize metrics mentioned above. He proposed a systemic classification method for visualization metrics taking into account the visualization purpose, the structure of data and the users who employ the visualization. Three types of visualization metrics were defined:

- **Mathematical metrics:** this kind of metric normally can be computed directly or indirectly from the system, which provides many direct indicators of the visualization, such as *number of data points and data density* - "the more data items represented, the more effective the visualization." Then other widely-used mathematical metrics are: *number of dimensions*, *occlusion percentage* and *reference context and percentage of identifiable points*.
- **User-centric metrics:** this type of metrics aims to find out how well users involve in visualization. Some task-given user tests are conducted concerning these metrics, to acquire the results as *see some important features*, *the time consumed to see the important features*, *identify a certain object* and *the overall feelings for finishing tasks*.
- **Visualization efficiency metrics:** what makes a visualization effective? *Time to process*, *ease of expressing and integrating domain knowledge*, *dealing with uncertain/incorrect/dirty data*, *ease of classification and categorization*, *flexibility of visualization*, *query and dataset functionality*, *high dimensionality* and *summary of results*.

### 3 EVALUATION

One task for this paper is to use metrics to evaluate the performance of information visualization in 3D urban environment. Firstly we introduce the study object.

#### 3.1 Study object

As a support for visualization, we have a dataset based on an annual summer music festival taking place in the city of Nantes, France [Bri13]. In this dataset, each activity during the festival is processed as an *event*, which has four attributes as listed in table 1. There are in total 32 events in this dataset.

Attribute name	Descriptions
Name	Event name
LoI	Level-of-importance
Content	Detailed information of event
Location	Where the event takes place

Table 1: Attributes of *event*

Then a 3D urban environment is needed to function as a background container to embed visualization results. We use the 3D city model of Nantes, France from the work of [He12] as illustrated in figure 1 below, exactly the same city where all the events take place:



Figure 1: The 3D city model of Nantes, France.

#### 3.2 Metrics design

The visualization result of the study object is a 3D scene in which users can zoom in/out, pan or rotate to find information to their interests. It is hard to find metrics for such visualization scenes but we can choose to evaluate the visualization result from a given camera position. Then for visualizations from other camera positions, the evaluation process is exactly the same. When the camera position is decided, the 3D visualization scene turns into 2D visualization result on screen, hence we can refer to the metrics in section 2 to design metrics that match this visualization.

Visualized information can be represented in a variety of forms such as lines, point clouds, figures, symbols or texts. According to the attributes of the *event*, *text* is chosen as the representation form for visualized information. So *applicable to texts* is the first rule to follow for designing metrics. Then, we have not yet conducted

any user test and the dataset is not large, so we ignore the *user-centric metric* and the *visualization efficiency metric*, just choose to focus on the *mathematical metric*. Below are the final metrics we use:

- Number of texts on screen: as camera position changes, some texts will be culled out, so the number of texts on screen changes accordingly. We keep a record of this number to work as a reference for information density, written as *NT*.
- Number of occluded text: if there are two texts with screen size  $S1$ ,  $S2$ , and  $S1$  is the smaller one. When the overlapping part of these two texts is bigger than  $S1*0.1$ , they are considered as occluded, marked as *OT*. We can further get the occlusion percentage from this metric.
- Ratio of all texts surfaces to screen surface: each text has its own screen surface size (2D bounding box on screen), which is firmly related with text lengths. Hence comparisons between the single text surface is of little significance. However we can get the total surfaces of all texts, which leads to an occupation percentage metric: the ratio of all text surfaces to the screen surface, written as *RTS*.
- Average text font height: after the projection from 3D scene to 2D display on screen, each visualized text has its own font height on screen, so the average text font height from a given camera position can be acquired, which is marked as *AFH*. Then, the standard deviation of *AFH* can also be computed to see if text fonts have big variations and dispersions from *AFH*, written as *SD\_AFH*.
- It is meaningless to merely compare *SD\_AFH* without taking into account *AFH*, so the ratio of *SD\_AFH* to *AFH* is used to study the dispersion degree of text fonts, written as *RSDA*.

#### 3.3 Primary evaluation

After the evaluation metrics are designed, conducting the evaluation process is scheduled. The visualization is implemented on a 15-inch Apple MacBook Pro with a screen resolution of 1440\*900. The open source 3D graphics toolkit, OpenSceneGraph 3.2.1, is used to visualize the 3D urban environment.

The default information to visualize for *event* is the *name*. Text is placed according to the *location* attribute but with an offset in *Z-axis* so as not to overlay with 3D buildings. Text font heights are gained through the 2D bounding box of texts on screen, achieved with  $Y_{max} - Y_{min}$ . All calculations are based on the pixel unit. The camera position in figure 2 is chosen and metrics values at this time are listed in table 2:



Figure 2: The camera position to conduct evaluation. On the bottom of screen are HUD (head up display) instructions for users, such as the text number on screen.

NT	OT	RTS	AFH	SD_AFH	RSDA
29	10	26.8%	40.35	3.55	8.8%

Table 2: Metrics values of the original visualization.

From table 2 we can compute the occlusion percentage is more than 30%, which is not a good visualization result. The **RTS** at 26.8% is acceptable with good legibility for texts as shown in figure 2. And the texts show good similarity with a **RSDA** at 8.88%. From figure 2 we can see that there is no obvious contrast and difference among the texts. A histogram of the font heights from this camera position is illustrated in figure 3:

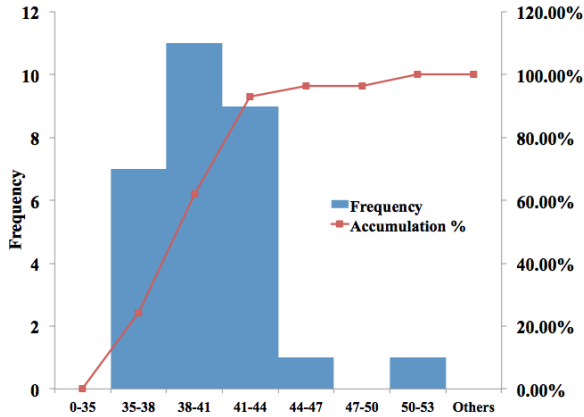


Figure 3: The histogram of font heights.

11 out of the 29 font heights belong to the value zone [38, 41] (pixels), followed by 9 texts lie between [41, 44] zone. Then 7 fall to the value zone of [35, 38]. These three parts take up 93% of the text font heights.

## 4 MODIFICATIONS AND RE-EVALUATION

In figure 2, visualized texts are almost the same, which will reduce the efficiency for users to find interesting information. We propose a method to modify these texts to make some differences among them. The main idea is that firstly three text related perceptive factors

are chosen: *size*, *color* and *transparency*. Then in 3D visualizations, the camera-object distance and the distance between objects on screen can be gained through computation, so we take them as two input parameters: object space distance **Do** and screen space distance **Ds**:

- Object space distance: texts are visualized in 3D environment, the distance from the text to current camera position can be computed, mark as **Do**.
- Screen space distance: in most cases, visualization results are projected either on computer screens or on other display equipment, which are 2D results. The distance from the text screen position to screen focus point can be gained, mark as **Ds**. The default focus point is the screen center.

Finally many processing functions are constructed using the two input parameters to apply on perceptive factors to modify the visualization result. For these functions, their values are normalized between [0, 1] so as to easily combine the different function effects by multiplying them as showed in equation (1):

$$Output(s, c, t) = functions(D_o, D_s) * base(s, c, t, ) \quad (1)$$

Each perceptive factor has a system default *base* and will be multiplied with the function(s) value to get the final output. One drawback of setting the maximum function value as 1 is that it will generate a *decrease* effect for perceptive factors. However functions can be re-designed according to specific applications. Functions are divided into two types according to the parameter they use: *object space functions* and *screen space functions*. The evaluation metrics will be used again on new results to verify if there are improvements or not.

### 4.1 Object space functions

For object space functions,  $x$  is the ratio of current **Do** to maximum **Do** that gained at initialized camera position. The value pair [u, v] is used to change the function shape.  $u$  is the maximum value of functions, by default is 1.  $v$  is to set the point where function shape has a *change*. For example, when camera is too close to the text, the function value can be consistent or even is invalid until the  $v$  point it begins to take effect.

- Object space linear function: aiming to change the perceptive factor with a continuous linear effect, with object closer to the camera has a bigger value.

$$OL(x, u, v) = \begin{cases} 1 - \frac{u}{v}x & : x > 0, x \leq v \\ u & : x > v \end{cases} \quad (2)$$

- Object space sinusoid function: a sinusoid curve which has less sharp transitions than **OL** function.

$$OS(x, u, v) = \begin{cases} 1 - \frac{u}{2}(\sin(\frac{\pi x}{v} - \frac{\pi}{2}) - 1) & : x > 0, x \leq v \\ 1 - u & : x > v \end{cases} \quad (3)$$

- Object space ordering function: aiming to enlarge the effects of objects that are far from the camera. In this function, the position of the *Nearest* text is used to get a *tempValue* of the function. Currently we use the **OL** function to compute *tempValue*. Then the *tempValue* is the basis for calculating values of texts at further positions:

$$OO(Nearest, x, u, v) = \frac{Nearest * tempValue(x, u, v)}{4000} \quad (4)$$

- Object space piecewise function: a continuous piecewise function with six conditions, an improved version of **OL** function, written as **OPW**. It is hard to put all the conditions of piecewise functions into the paper, so it will be illustrated through its function curve in figure 4 below.
- Object space constant piecewise function: a non-continuous piecewise function with more than 10 conditions, written as **OCPW**.

To have a better understanding of these functions, we illustrate them in figure 4 except **OO**. Here **OPW** is in red, **OCPW** in blue, **OS** in rose and **OL** in green. The [u,v] value for each function is set with slight differences so that the curves are not occlude.

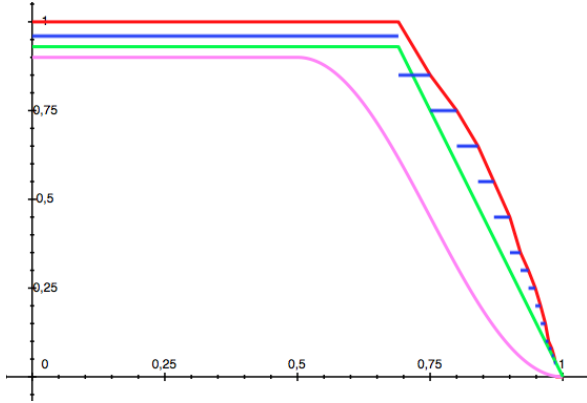


Figure 4: Shapes of object space functions except **OO**.

## 4.2 Screen space functions

For screen space functions, the default focus is the screen center. The parameter  $x$  is the screen distance from the text position on screen to the focus point. Users can click a point on screen to set it as the new focus. Similarly,  $u$  means the maximum value of functions.  $v$  represents the changing point of the function curve. An additional  $i$  is used to set the point to stop function curve from changing.

- Screen space linear function:  $W_d$  is the width of the screen diagonal.

$$SL(x, W_d) = 1 - \frac{2 * x}{W_d} \quad (5)$$

- Screen space complex linear function: the changing speed in **SL** is sharp, hence a complex version is created with changeable function shape:

$$SCOML(x) = \begin{cases} 1 & : 0 \leq x \leq i \\ 1 - \frac{u}{v-i} * (x-i) & : i < x \leq v \\ 1-u & : v < x \leq 1 \end{cases} \quad (6)$$

- Screen space standard cosine function: function values change as a standard cosine curve.

$$SC(x) = \cos\left(\frac{\pi x}{2}\right) \quad (7)$$

- Screen space complex cosine function: with changeable parameters to change function shape.

$$SCOMC(x) = \begin{cases} 1-u + \frac{u}{2}(\cos(\frac{\pi x}{v} + 1)) & : 0 \leq x \leq v \\ 1-u & : v < x \leq 1 \end{cases} \quad (8)$$

- Screen space fisheye function: enables the screen center part highlighted while others decreased to generate a *fisheye* effect.  $H_s$  is the screen height.

$$SFE(x, H_s) = \begin{cases} 1 & : 0 \leq x \leq \frac{H_s}{4} \\ 0.9 & : \frac{H_s}{4} < x \leq \frac{3H_s}{8} \\ 0.6 & : \frac{3H_s}{8} < x \end{cases} \quad (9)$$

- Screen space ellipse function: the highlighted part on screen is an ellipse zone.  $W_s$  is the horizontal screen width and  $H_s$  is the vertical screen height.

$$1 = \frac{x^2}{(i * W_s)^2} + \frac{SE(x, W_s, H_s)^2}{(u * H_s)^2} \quad (10)$$

Similarly, curves of all screen space functions are drawn in figure 5 below, with two screen space linear functions in the color of cyan, two cosine functions in purple, **SFE** in red and **SE** in green.

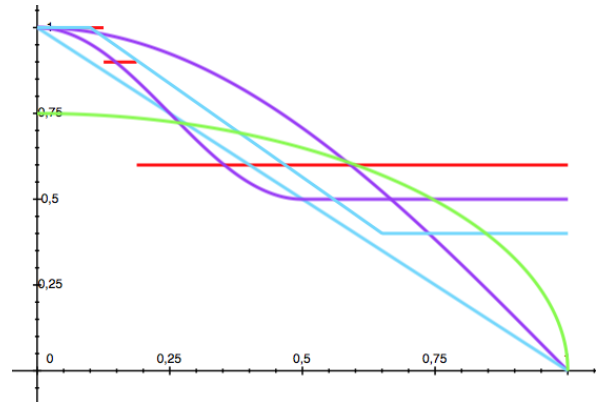


Figure 5: Shapes of screen space functions.

### 4.3 Re-evaluation and analysis

After stating all the processing functions, the next step is to apply processing functions on perceptive factors to generate new results. Three text based perceptive factors proposed in section 4 are text *size*, *color* and *transparency*. Text size is firstly chosen to be processed.

Processing functions are applied one by one from the camera position in figure 2. There are in total 11 results after applying processing functions. It is impossible to put all the results in this paper, so we firstly summarize the values of metrics proposed in section 3.2 for all the processing functions, then choose several typical results to illustrate. Metrics values are listed in table 3 and 4:

Funtions	NT	OT	RTS(%)	AFH	SD_AFH
Original	29	10	26.8	40.35	3.55
OL	29	0	9.77	24.00	3.55
OO	29	9	21.26	35.14	12.88
OPW	29	7	23.01	37.60	3.55
OCPW	29	6	21.19	35.89	4.37
OS	29	0	10.61	24.77	4.65
SL	31	0	9.71	20.79	8.96
SCOML	31	0	9.03	19.69	8.52
SC	31	4	15.98	27.9	9.39
SCOMC	31	0	7.69	18.53	7.06
SE	29	7	26.66	37.11	14.02
SFE	31	4	15.09	25.98	11.35

Table 3: Metrics values after applying functions.

Ori*	OL	OO	OPW	OCPW	OS
8.8	14.8	36.7	9.4	12.2	18.8
SL	SCOML	SC	SCOMC	SE	SFE
43.1	43.3	33.7	38.1	37.8	43.7

Table 4: Values of *RSDA*(%) after applying functions. \*Ori represents the original camera position result.

To better analyse the new results, contents in table 3 and 4 are illustrated in figure 6 below:

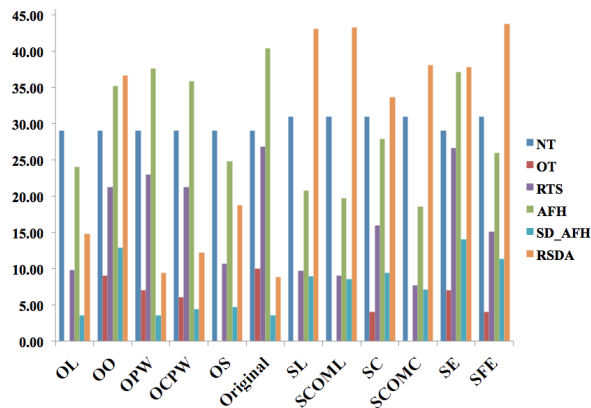


Figure 6: A visual comparison of metrics values.

After applying processing functions, most of the screen space functions have an increase in *NT* except *SE*. Then

for all the object space functions, there is no improvement concerning *NT*. However all the results reduce the *OT*, among which *OL*, *OS*, *SL*, *SCOML*, *SCOMC* functions even reduce the *OT* from 10 to 0.

As stated before, the decrease effect of processing functions is inevitable due to the normalization of functions. For *RTS*, the maximum values is 26.66% of *SE* function, which is quite close to that of the original result. The minimum one is *SCOMC* function at 7.69%. A very low *RTS* means that visualized texts on screen occupy small part of the display equipment, which is not good for a visualization. Results of these two functions are illustrated separately in figure 7 and figure 8.

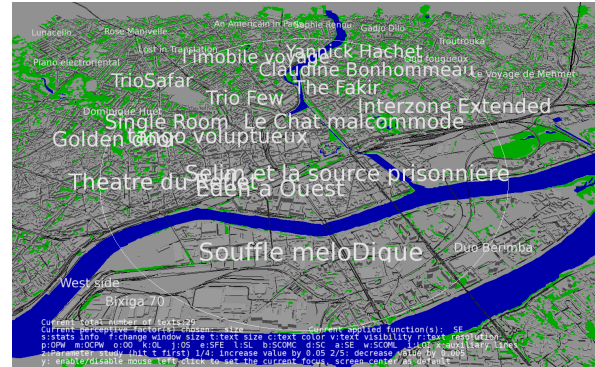


Figure 7: *SE* function result. After applying *SE* function, the *NT* is 29 and the *OT* is reduced to 7. It has the maximum *RTS* value, which is close to that of the original result.

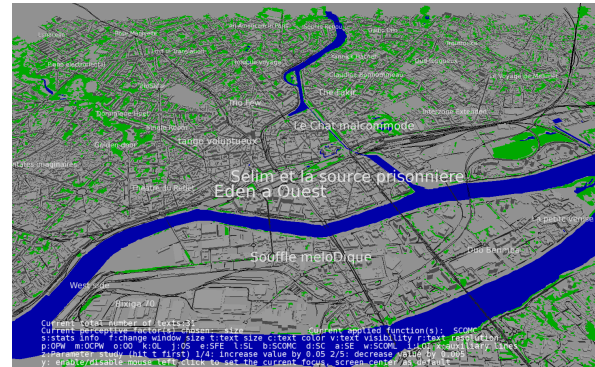


Figure 8: *SCOMC* function result. At this time, the *NT* is increased to 31 and there is no occluded text, which is better than the original visualization result. However, the average legibility for texts decreases sharply, only those near the screen center remain legible.

The maximum value for *AFH* is the *OPW* function at 37.6 pixels as illustrated in figure 9, and the minimum one belongs to *SCOMC* function at 18.53 pixels. For *SD\_AFH*, the maximum value goes to *SE* function at 14.02 pixels and the minimum is 3.55 pixels of *OPW* function in figure 9 and *OL* function in figure 10. One thing to notice is that these two functions have the same *SD\_AFH* value as the original result.

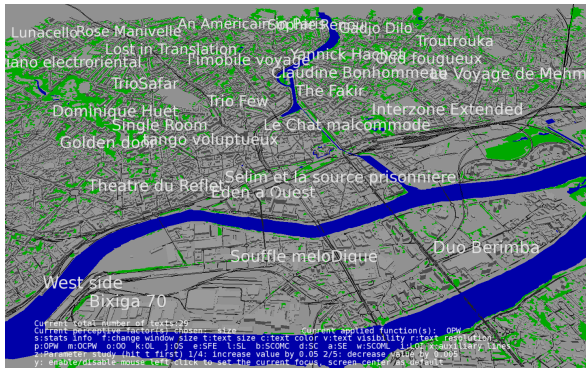


Figure 9: **OPW** function result. **NT** is 29 and **OT** is 7. It has the same **SD\_AFH** at 3.55 pixels as the original result and the **AFH** is 37.6 pixels, a bit smaller than that of original one at 40.35 pixels. Even though the values of metrics are quite close, still visual differences exist.

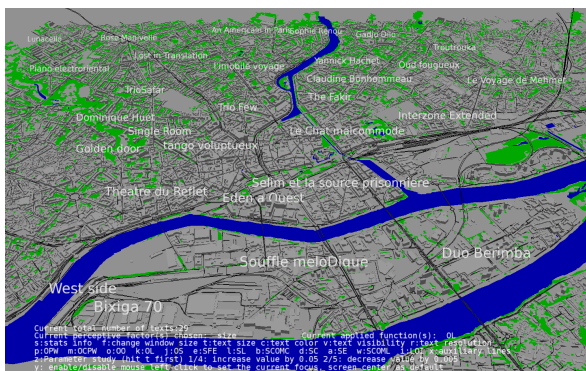


Figure 10: **OL** function result. **NT** is 29 and **OT** is 0. The **SD\_AFH** value is 3.55 pixels, the same value as the original one. However its **AFH** is 24 pixels, almost half the value of original one.

Finally for **RSDA**, the maximum value belongs to **SFE** function at 43.7% as illustrated in figure 11. The minimum occurs to **OPW** function at 9.4%, which is quite close to the original value at 8.8%.

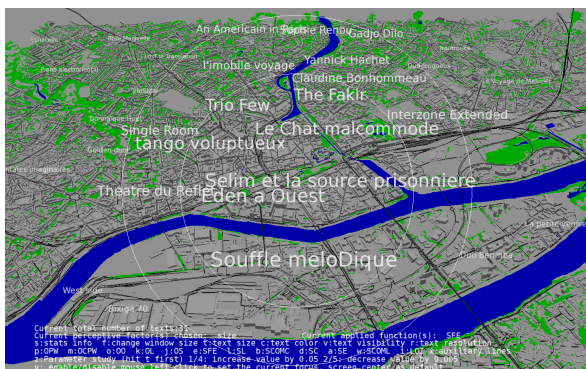


Figure 11: **SFE** function result. The **NT** is 31 and **OT** is 4. The two circles represent the zone of the *fisheye*. With the maximum **RSDA** value, this result works well at making high contrast among texts, which can be a reference to highlight information.

From analysis above we can conclude that object space functions are good at maintaining information density since they did not increase the number of texts visualized. And the similarity among visualized information is guaranteed. For screen space functions, they can improve the information density and they have better performance in contrasting information, more varied and dispersed. Some functions have very close metrics results, still the results look quite different from each other, such as the **SFE** result and the original result.

In brief, screen space functions proposed in this paper are more interesting than object space functions. When designing a 3D information visualization, methods and techniques as these screen space functions can help diversify and characterize the visualization result.

## 5 DISCUSSIONS AND PERSPECTIVES

This paper mainly deals with a text-based information visualization in 3D urban environment. Several metrics are designed on the basis of existent ones to evaluate its performance. In order to modify and improve the visualization result, many processing functions taking into account the screen space distance and the object space distance are constructed to apply on selected text related perceptive factors. We mainly illustrate the text size. The re-evaluation after applying processing functions indicates that when dealing with such an information visualization, screen space based modifications are more effective than object space ones as to improve visualization performance, especially in highlighting and contrasting important or interesting information.

We just illustrate the performances concerning text size. But it is possible to apply functions on other perceptive factors as illustrated in figure 12, 13 and 14:



Figure 12: **SE** function applied on *color* factor.

However when this kind of factor is involved, the metrics no longer work, so user tests need to be conducted to complete the evaluation. Then more perceptive factors are expected, such as the text font. Processing functions also need improving, such as for screen space functions, the multi-focus model should be supported and the possibility for users to interact with parameters within functions should also be considered.



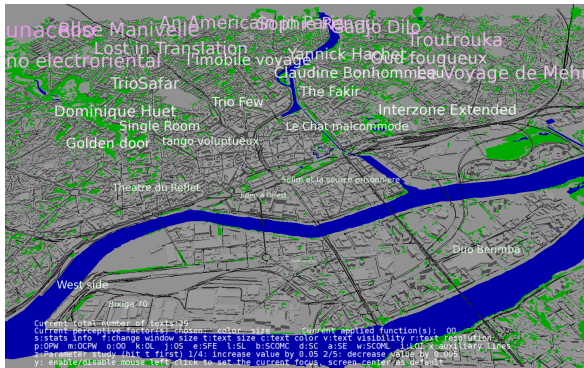


Figure 13: *OO* function applied on *color*, *size*.

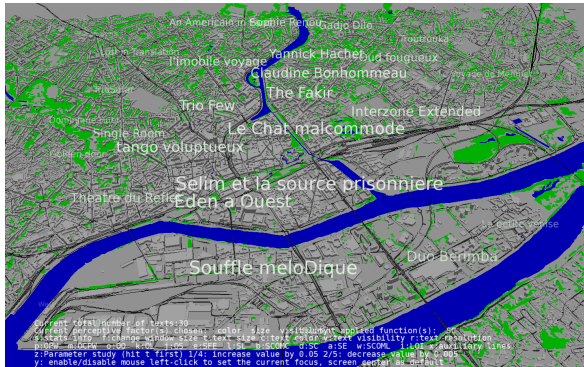


Figure 14: *SC* applied on *color*, *size*, *transparency*.

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