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# MRSI DATA UNMIXING USING SPATIAL AND SPECTRAL PRIORS IN TRANSFORMED DOMAINS

A. Laruelo<sup>1,2</sup>, L. Chaari<sup>2,3</sup>, S. Ken<sup>1</sup>, J.-Y. Tourneret<sup>2</sup>, H. Batatia<sup>2</sup> and A. Laprie<sup>1,4</sup>

<sup>1</sup> Institut Claudius Regaud, Toulouse, F-31059 France

<sup>2</sup> Univ. of Toulouse, IRIT - INP-ENSEEIH, France

<sup>3</sup> MIRACL Laboratory, Sfax, Tunisia

<sup>4</sup> Université Toulouse III Paul Sabatier, Toulouse, F-31000 France

## ABSTRACT

In high-grade gliomas, the tumor boundaries and the degree of infiltration are difficult to define due to their heterogeneous composition and diffuse growth pattern. Magnetic Resonance Spectroscopic Imaging (MRSI) is a non-invasive technique able to provide information on brain tumor biology not available from conventional anatomical imaging. In this paper we propose a blind source separation (BSS) algorithm for brain tissue classification and visualization of tumor spread using MRSI data. The proposed algorithm imposes relaxed non-negativity in the direct domain along with spatial-spectral regularizations in a transformed domain. The optimization problem is efficiently solved in a two-step approach using the concept of proximity operators. Vertex component analysis (VCA) is proposed to estimate the number of sources. Comparisons with state-of-the-art BSS algorithms on in-vivo MRSI data show the efficiency of the proposed algorithm. The presented method provides patterns that can easily be related to a specific tissue (normal, tumor, necrosis, hypoxia, edema or infiltration). Unlike other BSS methods dedicated to MRSI data, it can handle spectra with negative peaks and results are not sensitive to the initialization strategy. In addition, it is robust against noisy or bad-quality spectra.

**Index Terms**— blind source separation (BSS), tissue patterns, MRSI

## 1. INTRODUCTION

Conventional magnetic resonance imaging (MRI) is widely used for the diagnosis and follow-up of brain tumors due to its ability to provide detailed information about brain structures. Nevertheless, MRI does not always have sufficient specificity to identify different pathological areas in heterogeneous brain tumors. For instance, tumor infiltration into the normal tissue cannot always be differentiated from edema on T2-weighted or fluid-attenuated inversion recovery (FLAIR) images. MR spectroscopic imaging (MRSI) helps to overcome this limitation as it is able to characterize biochemical changes in brain tissues before they become visible in conventional MRI [1]. Supervised methods relying on known spectral models have been widely used to discriminate between tissue types. How-

ever, the outcome of these methods strongly depends on the prior knowledge considered and on the algorithm used [2]. In addition, supervised pattern recognition methods require a large number of expert-labeled spectra which are not always available. Blind source separation (BSS) techniques appear as an interesting alternative for the analysis of MRSI data as they allow to estimate the sources and their abundances without (or with very little) prior knowledge. Different BSS methods have been proposed in the context of neuro-oncology to distinguish normal from abnormal spectra. Most of these methods are variants of the well-known non-negative matrix factorization (NMF) algorithm [3]. Sajda *et al.* [4] proposed a constrained non-negative matrix factorization method to extract physically meaningful tissue patterns from long-echo time (TE) MRSI data. Ortega-Martorel *et al.* [5] used convex NMF for brain tumor delineation on pre-clinical data. Li *et al.* [6] introduced a hierarchical NMF method able to identify normal, tumor and necrosis tissues in glioblastoma (GBM) patients. All these methods are heavily dependent on the initialization values and/or require the sources to be non-negative. The use of non-negative sources can be a clear limitation for the analysis of MRSI data given that MR spectra can take negative values (such as the inverted lactate peak for long-TE data.)

Recently, BSS methods exploiting not only the spectral but also the spatial context of the signals have been proposed [7]. These algorithms incorporate spatial priors based on smooth spatial variations or on the sparsity of the abundance matrix. In this paper we describe a method which jointly incorporates prior knowledge about the spatial and spectral dimensions of MRSI data. The originality of this method lies in the combination of spectral and spatial priors in a transformed domain into a spectral unmixing procedure, as well as the control of the non-negativity constraint for the sources. It aims at increasing the signal-to-noise ratio (SNR) of the data and to favor solutions with few irregularities in the spatial and the spectral dimensions. The proposed method is able to extract more than two physically meaningful tissue patterns and it is not restricted to non-negative sources. In addition, the results do not depend on the initialization strategy since this algo-

rithm relies on the optimization of convex functions. Furthermore, it is robust to noise and to the presence of low quality spectra.

## 2. BLIND SOURCE SEPARATION METHOD

BSS methods assume that the measurements (spectra) can be expressed as linear mixtures of sources plus some noise. This can be expressed in matrix form as follows:

$$\mathbf{Y} = \mathbf{S}\mathbf{A} + \mathbf{N} \quad (1)$$

where  $\mathbf{Y}$  is the data matrix in which each column is a measurement (spectrum),  $\mathbf{S}$  is the unknown source matrix in which each column is a source,  $\mathbf{A}$  is the unknown mixing matrix which defines the contribution (abundance) of each source in each spatial position (voxel) and  $\mathbf{N}$  is the unknown noise matrix accounting for instrumental noise and/or model imperfections.

BSS methods aim at estimating both  $\mathbf{A}$  and  $\mathbf{S}$  from the measured data  $\mathbf{Y}$ . Under the assumption of independent and identically distributed (i.i.d.) Gaussian noise, the maximum-likelihood estimator is defined by the standard problem:

$$\arg \min_{\mathbf{S}, \mathbf{A}} \frac{1}{2} \|\mathbf{Y} - \mathbf{S}\mathbf{A}\|_2^2 \quad (2)$$

The problem (2) is ill-posed in the sense that it has an infinite number of solutions and that there is no analytical method to identify them. In order to find an optimal solution of (2) it is standard to constrain  $\mathbf{A}$  and/or  $\mathbf{S}$  to privilege solutions with desired properties. These constraints can be seen as prior information injected in the resolution of (2). The following sections describe the priors used in this paper.

### 2.1. Spectral prior

In many applications, sources are considered sparse as for the case for MRSI spectra. In the wide sense, a sparse signal is a signal that can be expressed with only a few large non-zero coefficients, or can be well approximated in such a way. The sparsity of the sources can be enforced by constraining their  $\ell_1$  norm as follows:

$$\arg \min_{\mathbf{S}, \mathbf{A}} \frac{1}{2} \|\mathbf{Y} - \mathbf{S}\mathbf{A}\|_2^2 + \alpha_1 \|\mathbf{S}\|_1 \quad (3)$$

where  $\alpha_1 \in \mathbb{R}_+$  controls the trade-off between data fidelity and prior information. In order to better model this kind of signals, one can express them in a different domain where the sparsity is more noticeable. In this paper, we exploit the fact that the sources are sparse in the wavelet domain leading to the following problem:

$$\arg \min_{\mathbf{S}, \mathbf{A}} \frac{1}{2} \|\mathbf{Y} - \mathbf{S}\mathbf{A}\|_2^2 + \alpha_1 \|T\mathbf{S}\|_1 \quad (4)$$

where  $T$  is a dyadic 1D orthonormal wavelet decomposition operator.

### 2.2. Spatial prior

Recently, it has been proposed to exploit not only the spectral, but also the spatial context of the signals by incorporating spatial priors. Previous approaches favor smooth spatial variations or spatially structured sparsity by imposing appropriate constraints on the mixing-matrix  $\mathbf{A}$  [7]. We propose in this paper a novel spatial prior which, together with the spectral term, increases the signal-to-noise ratio (SNR) of the data and exploits the neighbouring information along the spatial dimension for a given MRSI voxel. The proposed criterion to be minimized can be written as follows:

$$\arg \min_{\mathbf{S}, \mathbf{A}} \frac{1}{2} \|\mathbf{Y} - \mathbf{S}\mathbf{A}\|_2^2 + \alpha_1 \|T\mathbf{S}\|_1 + \alpha_2 \sum_{m=1}^M \|F(\mathbf{S}\mathbf{A})^m\|_1 \quad (5)$$

where  $\alpha_2 \in \mathbb{R}_+$  is the regularization parameter of the spatial prior information,  $m = 1, \dots, M$  is the spectral frequency,  $(\mathbf{S}\mathbf{A})^m$  is the 2D image which results from reshaping the  $m$ th column of  $\mathbf{S}\mathbf{A}$  and  $F$  is a dyadic 2D orthonormal wavelet decomposition operator.

### 2.3. Non-negativity constraints

The entries of both the abundances  $\mathbf{A}$  and the sources  $\mathbf{S}$  are often assumed to be non-negative. This is always true for  $\mathbf{A}$  since the mixture coefficients are function of the relative concentrations of the observed physical entities, which are necessarily non-negative. However the non-negativity assumption does not always hold for MRSI spectra that may include negative peaks (as it is the case of the inverted lactate peak for long-TE MRSI data). BSS methods based on NMF are limited to use absolute or truncated spectra (negative values set to zero). In order to avoid this limitation, we propose to relax the non-negativity constraint for the matrix of extracted sources as follows:

$$\arg \min_{\mathbf{S}, \mathbf{A}} \frac{1}{2} \|\mathbf{Y} - \mathbf{S}\mathbf{A}\|_2^2 + \alpha_1 \|T\mathbf{S}\|_1 + \alpha_2 \sum_{m=1}^M \|F(\mathbf{S}\mathbf{A})^m\|_1 + i^+(\mathbf{A}) + \alpha_3 i^+(\mathbf{S}) \quad (6)$$

where  $i^+$  is the indicator function on  $[0, \infty)$  and  $\alpha_3 \in [0, 1]$  allows the relaxation of the non-negativity constraint of the sources.

### 2.4. Optimization procedure

The minimization of the objective function (6) can be carried out solving alternately the convex subproblems with respect to  $\mathbf{A}$  and  $\mathbf{S}$ . However the objective functions of both sub-problems are not differentiable, which prevents the use of standard gradient-based algorithms for minimization. We therefore propose to perform the minimization by using the concept of proximity operators [8] which was found to be fruitful in a number of recent works in convex optimization [9]. We propose to solve the marginal problem in  $\mathbf{S}$  by using the Generalized Forward-Backward (GFB) algorithm [10]. The minimization with respect to  $\mathbf{A}$  can be

solved using the simultaneous direction method of multipliers (SDMM) described in [11]. The alternating updates are then repeated for a number of iterations (Algorithm 1).

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### Algorithm 1 BSS Algorithm

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Set  $N, T, F, \alpha_1, \alpha_2, \alpha_3$ 
Set  $\text{NbSources} = VCA(\mathbf{Y})$ 
Set  $\mathbf{A}_k = \text{abs}(\text{randn}(\text{NbSources}, \text{NbSpectra}))$ 
for  $iter = 1$  to  $N$  do
  Set  $piA = \text{pinv}(\mathbf{A}_k)$ 
  Set  $\mathbf{S}_k = \mathbf{Y} * piA$ 
  Calculate  $\mathbf{S}_k = GFB(\mathbf{Y}, \mathbf{A}_k, \mathbf{S}_k, T, \alpha_1, \alpha_3)$ 
  Calculate  $\mathbf{A}_k = SDMM(\mathbf{Y}, \mathbf{A}_k, \mathbf{S}_k, F, \alpha_2)$ 
end for
return  $\mathbf{S} = \mathbf{S}_k$ 
Normalize columns of  $\mathbf{A}_k$ .
return  $\mathbf{A} = \mathbf{A}_k$ 

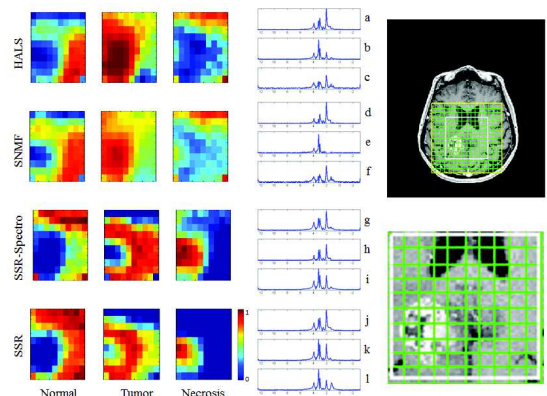
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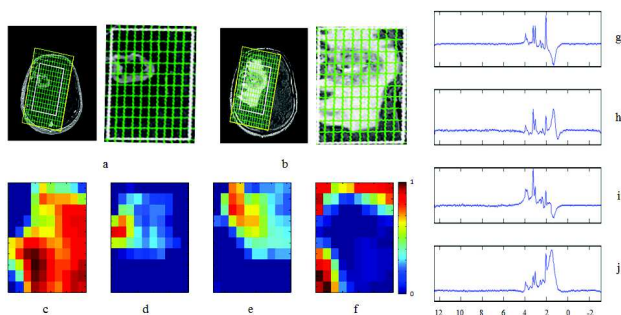
### 3. RESULTS

We have tested the proposed method on in-vivo MRSI data from 10 patients with newly diagnosed GBM included in a prospective clinical trial. We show the results for three representative cases: identification of intratumoral necrotic areas, discrimination of necrosis and hypoxia and detection of tumor infiltration. Data were acquired with a Siemens Avanto 1.5 T using a 3D CSI sequence with water suppression, echo time (TE) of 135 ms, repetition time (TR) of 1500 ms, 512 data points and 4 averages. We have used Vertex Component Analysis (VCA) [12] to estimate the number of sources. Highly correlated sources (Pearson’s correlation coefficient ( $\rho$ ) higher than 0.8) were automatically discarded.  $\mathbf{A}$  was initialized as the absolute value of an i.i.d. Gaussian random matrix. The values of the spatial and the spectral regularization parameters of the objective function (6) were estimated by cross validation and set to  $\alpha_1 = 0.05$  and  $\alpha_2 = 0.1$ . The wavelets used in these experiments were Daubechies (db2). Fig. 1 shows a comparison of the proposed method (SSR) with two other state-of-the-art BSS methods, HALS [13] and sparse NMF (SNMF) [7]. Both algorithms have been run using the default parameters. In order to show the improvement due to the incorporation of the spatial term, the results obtained when only the sparsity of the sources is considered ( $\alpha_1 = 0.05$  and  $\alpha_2 = 0$  in (6)) are also displayed and referred to as SSR-Spectro. While HALS and SNMF are able to extract only two physically meaningful sources, SSR is able to detect three sources (normal (j), tumor (k) and necrosis (l) in Fig. 1) that are in agreement with the companion MRI image. Note that the abundance maps correspond to the voxels within the volume of interest (VOI), i.e., the inner  $10 \times 10$  grid inside the white rectangle. Among the compared methods, SSR is the only one capable of identifying the necrosis area even when only the spectral prior is considered (SSR-Spectro). However, by adding the spatial term, the identification of the tumor and necrosis regions is clearly improved and the sources are less correlated (mean  $\rho$  is 0.65 for SSR and 0.74 for SSR-Spectro) and therefore more easily interpretable than the sources obtained with SSR-Spectro. For this

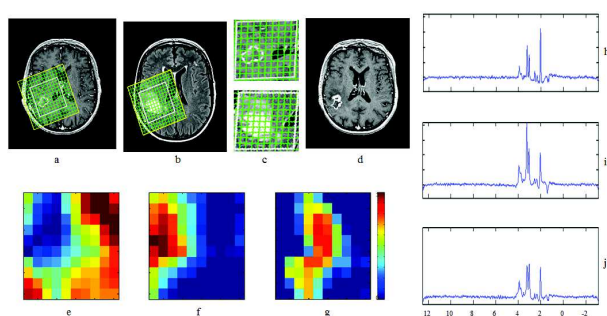
comparison we have used magnitude spectra since HALS and SNMF (NMF-based methods) are restricted to non-negative sources. This can be a clear limitation because different tissue patterns may present similar magnitude spectra. For example, the presence of lactate (marker of hypoxia) and lipids (indicating the presence of necrosis) are of high diagnostic value because these metabolites are not detectable in healthy brain tissue. However, in (long-TE) MRSI spectra the inverted peak of lactate occupies the same resonant frequency as lipid, and both metabolites are difficult to differentiate when using magnitude spectra. Fig. 2 shows the results provided by the proposed method using the real part of the spectra for an MRSI dataset presenting hypoxic and necrotic tumor regions. The predominant pattern (g) corresponds to spectra with normal levels of choline (Cho), creatine (Cr) and N-acetyl-aspartate (NAA) and presence of lactate (Lac) throughout the FLAIR-enhanced area (c). SSR is able to differentiate two different tumor patterns, the necrotic core (h) (high Cho, low NAA and presence of lipids) and a hypoxic area (i) (high Cho, low NAA and presence of Lac). In addition, the proposed method is robust against the presence of bad quality spectra, which are grouped in a separated pattern. This is the case of the fourth source (j) that represents spectra where the NAA signal is obscured due to a severe lipid contamination. Fig. 3 shows that the proposed method is also able to differentiate between tumor infiltration and edema in peri-enhancing oedematous-appearing areas. Discriminating between these two cases is not always possible from FLAIR (or T2-weighted) images. Edema (g) does not modify metabolic ratios (j) whereas tumor infiltration (f) shows a pattern with increased Cho and decreased NAA (i). The pattern of edema (j) shows reduced metabolite peaks possibly due to the the presence of interstitial water that decreases the signal of the metabolites [14]. The presence of Lac and decreased NAA are also in accordance with cerebral peritumoral edema [15]. The map of tumor infiltration (f) is in accordance with the later relapse of the patient (d).



**Fig. 1:** Left: Maps showing the spatial distribution of the different tissue patterns (sources). Middle: sources related to normal tissue (a, d, g, j), tumor (b, e, h, k) and necrosis (c, f, i, l). Right: T1-weighted after gadolinium injection (top), voxels within the volume of interest (bottom).



**Fig. 2:** T1-weighted after gadolinium injection (left) and voxels within the volume of interest (right) (a); FLAIR (left) and voxels within the volume of interest (right) (b); Maps showing the spatial distribution of normal tissue (c), necrosis (d), hypoxia (e) and lipid contamination (f); Sources related to normal tissue (g), necrosis (h), hypoxia (i) and lipid contamination (j).



**Fig. 3:** T1-weighted after gadolinium injection and FLAIR images before treatment (a) and (b); corresponding voxels within the volume of interest (c); T1-weighted after gadolinium injection at relapse (d); Maps showing the spatial distribution of normal tissue (e), tumor infiltration (f) and edema (g); Sources related to normal tissue (h), tumor infiltration (i) and edema (j).

#### 4. CONCLUSION

We presented a new blind source separation method which combines spatial and spectral regularizations in the wavelet domain. The method exploits magnetic resonance spectroscopic imaging data properties such as the sparsity of the spectra in the wavelet domain and the spatial regularity between neighbouring voxels. Experiments on in-vivo data show that the proposed method is able to extract more than two physically meaningful tissue patterns easily interpretable. The abundance maps show spatially coherent and well defined areas, in agreement with expert assessment conducted on companion anatomical MRI images. Unlike previous existing methods, the proposed strategy can deal with negative sources and is not sensitive to initialization. In addition it is robust against noise and the presence of low quality spectra. Future work includes a consistency analysis of the proposed method for a larger group of patients.

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