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Impact of guaiacol on the formation of undesired macromolecules during catalytic hydroconversion of bio-oil: a model compounds study

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Biomass and Bioenergy

Manuscript Draft

Manuscript Number: JBB-D-16-00771R1

Title: Impact of guaiacol on the formation of undesired macromolecules during catalytic hydroconversion of bio-oil: a model compounds study

Article Type: Research paper

Keywords: biofuels; macromolecules characterization; pyrolysis bio-oil; guaiacol; D-glucose; SEC

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Order of Authors: Matthieu Ozagac; Céline Bertino-Ghera; Denis Uzio; Mickael Rivallan; Dorothee Laurenti; Christophe Geantet

Abstract: Although catalytic hydroconversion of pyrolysis bio-oils has been studied for many years, side reactions such as condensation or oligomerization leading to high molecular weight compounds still need comprehensive studies. In this work, the catalytic hydroconversion of D-glucose, furfural, acetic acid and guaiacol representative of pyrolysis bio-oils was investigated separately before testing a 5-component mixture. Thanks to a detailed analytical strategy (i.e. SEC, ¹³C NMR, GC, HPLC), this paper focuses on the effect of guaiacol conversion on sugar-like macromolecules. The study of single D-glucose and furfural revealed the fast production of high molecular weight compounds (up to 700 g.mol⁻¹) that were proven to further precipitate (from D-glucose). Guaiacol addition led to a decrease of the solid production through solubilizing and/or reacting with macromolecules. This phenomenon produced larger soluble macromolecules (up to 5.000 g.mol⁻¹). Results show that guaiacol and its hydroconversion products formed soluble macromolecules at short reaction times and low temperatures.

Response to Reviewers: Reviewer #1: The author tried to investigate the mechanism on coke formation during catalytic hydrotreatment. It is an important topic to study. The role guaiacol plays during this process has been highlighted. And it is interesting to see that the presence of guaiacol can actually reduce the coke formation according to the model compounds mixtures.

However, no oligomeric compounds derived from lignin was incorporated, which may make the research less persuasive in term of better simulation of bio-oil. Coke can be also derived from pyrolytic lignin in bio-oil. It will be more interesting to the interaction between pyrolytic lignin and those model compounds including glucose, furfural, and acetic acid. Response: Our initial aim was to provide a comprehensive study of the catalytic hydroconversion of light commercial compounds. Following your remark, we include the reference [20] dealing with the characterization of oligomeric lignin compounds forming macromolecules present in the bio-oil (referred as pyrolytic lignin). Model reaction was undertaken with

model molecules to improve the understanding of the phenomena occurring during the hydroconversion step. First oligomeric lignin is not commercially available. Secondly, if oligomeric was added, a reliable extraction system should have been developed to recover the products (for example, pyrolytic lignin could be extracted by polarity (in a liquid-liquid extraction process) or by hydrodynamic volume (preparative SEC)). And third, the conversion of a pyrolytic bio-oil including presence of lignin residue, was further studied, providing the additional aspect concerning the oligomeric lignin presence.

The whole study focused on the guaiacol effect. It is better to mention guaiacol in the title.

Response: Following your remark, we changed the title of this publication into "Impact of guaiacol on the formation of undesired macromolecules during catalytic hydroconversion of bio-oil: a model compounds study".

In the feed, there were n-C16 and water co-existed. Therefore, each reaction occurred in two phases. But the author did not mention which phase the reactant supposed to stay. Whether or not this will affect the contact between reactant and catalyst. It is also worth to mention why use n-C16. Was C16 behavior as hydrogen donor solvent? In addition, can we conclude that guaiacol as the hydrogen donor as well during the hydroconversion?

Response: To our knowledge, no thermodynamic study dealing with multi-phases oxygenated compounds were published under this operational condition. We have done some simulation (PROII) including guaiacol, acetic acid, n-C16, water, furfural and H₂. Nevertheless, two issues remained: i) we cannot model the D-glucose precipitation and ii) we do not know how influences the physical state of water. This later can be considered in supercritical conditions considering the presence of alcohol compounds formed that modify significantly its critical point. If water is in supercritical state, then it is likely possible that only one phase is actually present in the reactor. At the end of the reaction, the experimental procedure is done in atmospheric conditions for which a partition coefficient exists between products.

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Most of the tables need to be polished. In table 2, there is a typo for "Gua/Fur/AA" column in term of "solid residues". Please double check that value to make sure that's correct.

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For Figure 2 and 5, it's better to explain the caption, such as "liquid washing phase".

Response: To clarify the experimental and the analytical procedures, we rewrote the corresponding section and we add Figures S1 to S3 in Supplementary. The captions were systematically homogenized in the Figures and Tables.

Reviewer #2: This manuscript presents another important contribution from this group on the hydrotreatment of bio-oils. The approach based on a careful selection of 5 surrogates of bio-oils and on the study of their mixture is very interesting. In my opinion, this work is novel and is of high interest for Biomass & Bioenergy readership. I recommend publishing it after minor revisions.

My main comments deal with:

- the edition of this manucript, some simplified chemical mechanisms/pictures (for instance as the one presented in the graphical abstract) may be useful to support/structure the discussion and may be added in the main text in order to "guide" the reader;

Response: To comply with the editor requests, we limited the number of Figures to 6 in the main text. Nevertheless, following your remark, we include in the supplementary the Figure S15. This Figure gathers the main results of part 3.2 and 3.3 (effect of time and temperature during the hydroconversion of the 5-compound mixture).

- the choice of D-glucose as a surrogate of cellulose pyrolysis products (conversion of levoglucosan to D-glucose under the investigated hydrotreatment conditions to be better justified);

Response: Considering the presence of both water and acetic acid into the 5-compound mixture, we consider that given references and particularly [16, 17] are particularly appropriate to justify the use of D-glucose (much lower cost than Levoglucosan) .

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Response: We regret that the editor did not take into account the cover letter indicating that the two publications were linked and both submitted at the same time.

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Introduction: well written.

Page 2, line 31, you may introduce lignin oligomers also present in bio-oils.

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Page 3: line 83, previous study ref. [22] submitted to JBB: page 2, line 63, it is written that levoglucosan is readily converted to D-glucose in hydroconversion conditions. Are ref[15-17] (Ru/C or acidic hydrolysis) relevant for this present work? D-glucose dehydration is important for macromolecules formation under the present conditions. Response: Please : see our abovementioned answer.

It would have been important to have the same reviewers for ref. [22] and the present manuscript (comment to the editor) in order to better understand the overall work.

Material. Give the detailed reference of the commercial catalyst if one wants to use this same catalyst and compare their results with this present work.

Response: This catalyst is under Axens License and we are not allowed to give the reference. Nevertheless we added the Ni and Mo content in the text.

Experimental procedures: might reactions (crosslinking, artefact) occur during heating without stirring, therefore without a good contact with the catalyst?

Response: Because D-glucose is thermally sensitive above 120°C, it is partially or fully converted during the heating period. During our previous study (referred as [22] in the 1st version), we conclude that this procedure was optimal to limit its conversion into macromolecules. This remark is closely linked with one of the last remarks of this review mentioning the possibility to inject D-glucose after the heating period. Our reactor is actually equipped with this kind of material (pressurized syringe). After numerous injection tests in hydroconversion conditions, we concluded that this material was not reliable to inject a constant quantity of product (D-glucose needs to be preliminarily solubilized into water). This aspect is a clear perspective of our study and has been added in the conclusion.

Cooling time from 300°C to 100°C?

Response: We add this information (about 30 min depending on the air cooling temperature) in the Experimental procedures section.

Please, better define "liquid phase" and "solid residue". How catalyst is separated from liquid. "solid residues" means char and fine catalyst particles (not washed by acetone)?

Response: To clarify the experimental and the analytical procedures, we rewrote the corresponding section and we add Figures S1 to S3 in Supplementary. The captions were systematically homogenized in the Figures and Tables.

Analytical:

"internal standards": did you inject internal standards in the Tedlar bag for quantification or this is an "external calibration"? N₂ or H₂ used as a tracer for quantification of the mass of each gas component?

Response: This was a misunderstanding of the word "internal". No other compounds were added into the Tedlar bags. Two bottles containing two different cocktails of CH₄, CO₂, CO, C₂ to C₆, H₂ and N₂ were periodically injected as an external calibration. The corresponding description in the paper was corrected.

Aqueous phase analysis: how did you separate aqueous and organic phase: procedure? A simplified scheme to illustrate the whole analytical

procedure (fractionation, definition of 3 liquid fractions, solids, and analysis of fractionated products with main information derived from these analyses) may be useful.

Response: Please : see our abovementioned answer.

NMR: ^{13}C CPMAS conducted on which samples ("solid residues"?). could you give an example of spectra deconvolution in sup. material?

Response: All produced solids were analyzed by ^{13}C NMR. Only relevant analysis were reported in Supplementary. Following your remark, we add a Spectra in Fig. S3.

Page 7, line 235: what do you mean by "C16 inclusions"?

Response: This was a misunderstanding of the word "inclusion". We erased this word into the corresponding sentence.

Interesting, good, approach to define the composition of the "model bio-oil" (C/H/O ratio, pH, water and mass fraction of main surrogates).

Results 3.1. good mass balances. Very seldom to see such a table 2.

Page 10, line 346: CHONS analysis also conducted for solids (table 3).

Page 10: it would be fine to present a simplified chemical scheme (as the graphical abstract) to guide the reader on the discussion on product distribution vs. reactant leading to mixture A. (showing main inhibition/synergetic effect between the reactants).

Response: Please : see our abovementioned answer. Following your remark, we include in the supplementary the Figure S15

Page 12, line 415: effect of heating rate (and mixing with catalyst) on glucose conversion and formation of heavy macromolecules precursors. You may discuss/acknowledge that the results may be different in an isothermal reactor (e.g. trickle, slurry or fluidised bed) where the inlet would be introduced continuously in the high pressure/high temperature reactor, therefore with a much higher heating rate of bio-oils and faster contact with H_2 /catal.system. It may be of interest to study injection of glucose after the heating of the reactor.

Response: Please : see our answer dealing with the experimental procedure.

Page 13, line 475, would it be of interest to try isolating some macromolecules precursors (with and without guaiacol) and to further characterise them by (2D) liquid NMR (if possible)?

Response: Yes, indeed. Our previous study (referred as [22] in the 1st submitted version which was not submitted unfortunately to the same reviewers) described the fractionation of a D-glucose aqueous effluent. Macromolecules were characterized by SEC-UV 254 nm (to follow aromatics) and FTICR-MS. In the nearer future we will submit a paper dealing with the effect of guaiacol on the bio-oil hydroconversion and especially on the arising macromolecules. This study will also include a fractionation process followed by HSQC (2D NMR).

Page 14: interesting discussion. The manuscript may be improved by giving ^{13}C NMR spectra in sup. material and results of NMR analysis in main text, supported by a simplified scheme to illustrate the effect of temperature on solid formation and chemical structure.

Response: Following your remarks, we add a Spectra in Fig. S3 (^{13}C NMR) and S15 (summary scheme).

Page 15, line 335: I do not really understand: once the macromolecules (formed by guaiacol+D-glucose/furfural precursors) is formed at low temperature and stabilized in the organic phase, how could these macromolecules be then converted into targeted products?

Response: Unfortunately, solubilized macromolecules were not further converted into deoxygenated products in our operating conditions. Considering the extensive and fast production of solid residues, the first objective of the process is to stabilize and to maintain the macromolecules in the liquid phases at low temperature. Those structures could be further converted in more severe conditions.

End of discussion or conclusion: could you better emphasize the interest of this study on various surrogates for optimising the up-grading of real bio-oils, and the need of further work to link this (good) study on model compounds with real bio-oil hydroconversion?

Response: Following your remark, we add a last paragraph to our conclusion.

Reviewer #3: This manuscript addresses a very valuable research and a challenging topic on understanding the reaction pathways, and especially the behavior and formation of macromolecules during bio-oil hydrogenation. For that purpose, the authors investigated reaction systems made of model compounds, and used available techniques such as SEC, GC, HPLC, ¹³C-NMR, and elemental analysis. The conclusions and even the speculation statements given throughout this work are worth of special attention and they are quite in line with the existing work done on reaction of glucose, fructose, etc. in acid environment, under pressure and hydrogen present. It is interesting to see how the guaiacol changes the structure of the water soluble macromolecules, also the solid residue, and how there is an interesting trigger in behavior at 250C and at longer reaction times in comparison to shorter reaction times.

Though this is an important topic for research and the conclusions would have high value for the scientific community, this manuscript looks like it was composed in a rush, and needs significant effort to bring it to a readable state. At many points one cannot follow how the authors made the conclusions they made just from the figures and tables given. It seems like information is missing or the figures and tables need to be explained/presented in a clearer manner.

The article is poorly written, does not follow the mechanics of a solid structure, and the explanation of the figures and tables is hence not only hard to follow, but one may also notice the speculative explanation of the reactions without proper references from literature or support from the presented data. Significantly more work needs to be done on refining the text throughout the manuscript. The description in the methods section is convoluted. Example is Section,2.5. "Studied mixtures" which is extremely difficult to follow, and this is the most important part, the part that describes the experiments done.

Response: Following yours justified remarks, we rewrote some parts and add in supplementary comprehensive Figures in order to guide the reader and justify our statements. To clarify the experimental and the analytical procedures, we completely rewrote the corresponding sections and we add the Figures S1 to S3 in Supplementary (including also three repeated tests). The captions were systematically homogenized in the Figures and Tables. To comply with the editor requests, we limited the number of Figures to 6 in the main text. Nevertheless, following your

remarks we include in the supplementary the Figure S15. This Figure gathers the main results of part 3.2 and 3.3 (effect of time and temperature during the hydroconversion of the 5-compound mixture).

There is arbitrary usage of the word macromolecule, it was used even to describe molecules belonging to below 400 g/mol range!

Response: This choice was made to distinguish the GC-quantified products (carbon balances). This term was justified in the first submitted paper (as indicated in the cover letter and reported by ref. [22] in the 1st submitted version).

I deem these results need to be presented to the wider audience if based on solid experimental procedures and clear and simple guidance of the audience through the thought process... but for now this manuscript needs a significant rework.

Response: Please : see our abovementioned answer.

The temperatures studied in this work are characteristic for something commonly called "stabilization step." For this step, noble metals on carbon support have been shown to work the best (most active hydrogenation catalyst materials). What is the justification for using NiMo-Al₂O₃ at such low temperatures?

Response: Noble metal based catalysts such as Pt, Pd or Ru exhibit high performances but remain expensive for an industrial use. Less performing Ni/alumina-based catalysts are nevertheless an economically suitable solution for an industrial process and displayed the required hydrothermal resistance. Following your remarks, we add 3 references to justify this choice.

Line

21: instead of "low reaction time and temperature," it should be corrected to "short reaction times and low temperatures" . Response: Thanks, correction done.

32: lower case on word "One" Response: Thanks, correction done.

120: Acetone flow in MPa? Response: Thanks, correction done.

120: Instead of "air" pressure, place "atmospheric" Response: Thanks, correction done.

117: For better clarity, this section needs to be rewritten in such a way that the description follows the order of steps in the undertaken procedure. I presume it is first that the centrifugation was done, then layers decanted, and then the catalyst washed and separated in the extractor. Response: Following your remarks, we completely rewrote the corresponding sections and we add the Figures S1 to S3 in Supplementary (including also three repeated tests).

144-145: Position of peaks (min)? Response: Please : see our abovementioned answer.

146: How many calibration points and what are the starting concentration/s? Response: Please : see our abovementioned answer.

148: Paragraph starting with "The GC/MS.." can easily be incorporated with the previous paragraph, just by saying that the liquid effluents were analyzed by GC-MS/FID. Response: Thanks, correction done.

154: Replace "," with "." everywhere in the tables and text where "." designates decimal point separator. Response: Thanks, correction done.

170: More details on the CHNOS analysis is needed here, and how the coke or C on the catalyst was calculated. Response: Please : see our abovementioned answer.

172: On the C-NMR, what is the concentration of the samples used, and what solvent were those dissolved in? Response: All recovered solid from

the separation procedure (cf. Figure S1) were analyzed by ¹³C NMR as it without further preparation/purification procedure. Only relevant analysis were reported in Supplementary. Following your remark, we add a Spectra in Fig. S3.

176: The comma sign needs to be supplemented with a decimal point.

Response: Thanks, correction done.

251: Please support the selection of quantities of model compounds with references from literature. Response: The section 2.5. Studied mixtures justified the quantities chosen of each model compounds (atomic ratio, acidity, water content). To our knowledge, no study was still published considering such properties.

253: It might be questionable representing lignin with 30% guiacols, since most of the mass of lignin is present already in a polymeric form in the bio-oils. This sentence might be a too strong of a claim.

Response: Our initial aim was to provide a comprehensive study of the catalytic hydroconversion of light commercial compounds. Following your remark, we include the reference [20] dealing with the characterization of oligomeric lignin compounds forming macromolecules present in the bio-oil (referred as pyrolytic lignin). The 30% guaiacol content was reduced to 15% (forming mixture B).

271: "For simplicity" instead of "For simplicity purposes" Response:

Thanks, correction done.

309: Authors refer to results from literature that was not published yet? (ref.22!) Response: We regret that the editor did not take into account the cover letter indicating that the two publications (reference [22]) were linked and both submitted at the same time.

310: It is more reasonable to point out 400 g/mol as a cut off instead of 700 g/mol! In fact, most of the compounds are seen between 100 and 200 g/mol! Response: Thanks, we correct it in the revised manuscript

321: Gua/Fur/AA seems to give very little beyond 200 g/mol, and not 400! Something that has 200 g/mol cannot be called macro molecule. Response: we agree with your comment, we change the text and replace macromolecules by components.

325: Another reference to a not published work. Please : see our abovementioned answer.

325-340: This is a good job on specifying the number of reaction products identified with GC.

343-349: Rewording and clarity needed starting from the sentence "Regarding the production..." till the end of the paragraph. Thanks, correction done.

376: In the caption of figure S3 emphasize that we are looking at GC detectable species. By the way, it is not clear how was this quantification done? How many external compounds have been used, or is this based on GC peak areas? Following your remarks, we completely rewrote the analytical strategy including the GC-FID quantification.

379: Referring to not-published work. Please : see our abovementioned answer.

384: Figure 2 needs trend lines. This way one can follow more easily what happens with the products of reaction. Thanks, correction done.

484: Statement starting with "Nevertheless, ..." sounds contradictory, or it has not been structured well? Thanks, correction done.

Table 3: What is liquid washing fraction? How many times were the experiments repeated? The experiments were repeated 3 times each. To clarify the experimental and the analytical procedures, we rewrote the corresponding section and we add Figures S1 to S3 in Supplementary.



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Biomass and Bioenergy
Editor board

Solaize (France), September 22nd, 2016

Revised manuscript
Ref No: JBB-D-16-00771

Title: Matrix effect on macromolecules formation during catalytic hydroconversion of bio-oil : a model compounds study

Dear Editor,

We took in consideration the comments and remarks of the reviewers and we are pleased to resubmit this revised manuscript. As mentioned in the letter related to the other submitted article entitled 'Understanding macromolecules formation from the catalytic hydroconversion of pyrolysis bio-oil model compounds' (Ref. n°JBB-D-16-00764), we would like to underline the connection between the two articles and the benefit for the reviewers to read both of them., as it has been highlighted by the reviewers 2 and 3. We are pleased to submit the revised version of this second of our work.

Sincerely yours,

Céline Bertino-Ghera, on behalf of the authors

A handwritten signature in black ink, appearing to be 'C. Bertino-Ghera', written over a horizontal line.



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All the modifications in the manuscript are written in red and highlighted in yellow.

Below we answered to reviewers comments:

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Material. Give the detailed reference of the commercial catalyst if one wants to use this same catalyst and compare their results with this present work.

Response: This catalyst is under Axens License and we are not allowed to give the reference. Nevertheless we added the Ni and Mo content in the text.

Experimental procedures: might reactions (crosslinking, artefact) occur during heating without stirring, therefore without a good contact with the catalyst?

Response: Because D-glucose is thermally sensitive above 120°C, it is partially or fully converted during the heating period. During our previous study (referred as [22] in the 1st version), we conclude that this procedure was optimal to limit its conversion into macromolecules. This remark is closely linked with one of the last remarks of this review mentioning the possibility to inject D-glucose after the heating period. Our reactor is actually equipped with this kind of material (pressurized syringe). After numerous injection tests in hydroconversion conditions, we concluded that this material was not reliable to inject a constant quantity of product (D-glucose needs to be preliminarily solubilized into water). This aspect is a clear perspective of our study and has been added in the conclusion.

Cooling time from 300°C to 100°C?

Response: We add this information (about 30 min depending on the air cooling temperature) in the Experimental procedures section.

Please, better define "liquid phase" and "solid residue". How catalyst is separate from liquid. "solid residues" means char and fine catalyst particles (not washed by acetone?)

Response: To clarify the experimental and the analytical procedures, we rewrote the corresponding section and we add Figures S1 to S3 in Supplementary. The captions were systematically homogenized in the Figures and Tables.

Analytical:

"internal standards": did you inject internal standards in the Tedlar bag for quantification or this is an "external calibration"? N₂ or H₂ used as a tracer for quantification of the mass of each gas component?

Response: This was a misunderstanding of the word "internal". No other compounds were added into the Tedlar bags. Two bottles containing two different cocktails of CH₄, CO₂, CO, C₂ to C₆, H₂ and N₂ were periodically injected as an external calibration. The corresponding description in the paper was corrected.

Aqueous phase analysis: how did you separate aqueous and organic phase: procedure? A simplified scheme to illustrate the whole analytical procedure (fractionation, definition of 3 liquid fractions, solids, and analysis of fractionated products with main information derived from these analyses) may be useful.

Response: Please : see our abovementioned answer.

NMR: ¹³C CPMAS conducted on which samples ("solid residues"?). could you give an example of spectra deconvolution in sup. material?

Response: All produced solids were analyzed by ¹³C NMR. Only relevant analyses were reported in

Supplementary. Following your remark, we add a Spectra in Fig. S3.

Page 7, line 235: what do you mean by "C16 inclusions"?

Response: This was a misunderstanding of the word "inclusion". We erased this word into the corresponding sentence.

Interesting, good, approach to define the composition of the "model bio-oil" (C/H/O ratio, pH, water and mass fraction of main surrogates).

Results 3.1. good mass balances. Very seldom to see such a table 2.

Page 10, line 346: CHONS analysis also conducted for solids (table 3).

Page 10: it would be fine to present a simplified chemical scheme (as the graphical abstract) to guide the reader on the discussion on product distribution vs. reactant leading to mixture A. (showing main inhibition/synergetic effect between the reactants).

Response: Please : see our abovementioned answer. Following your remark, we include in the supplementary the Figure S15

Page 12, line 415: effect of heating rate (and mixing with catalyst) on glucose conversion and formation of heavy macromolecules precursors. You may discuss/acknowledge that the results may be different in an isothermal reactor (e.g. trickle, slurry or fluidised bed) where the inlet would be introduced continuously in the high pressure/high temperature reactor, therefore with a much higher heating rate of bio-oils and faster contact with H₂/catal.system. It may be of interest to study injection of glucose after the heating of the reactor.

Response: Please : see our answer dealing with the experimental procedure.

Page 13, line 475, would it be of interest to try isolating some macromolecules precursors (with and without guaiacol) and to further characterise them by (2D) liquid NMR (if possible)?

Response: Yes, indeed. Our previous study (referred as [22] in the 1st submitted version which was not submitted unfortunately to the same reviewers) described the fractionation of a D-glucose aqueous effluent. Macromolecules were characterized by SEC-UV 254 nm (to follow aromatics) and FTICR-MS. In the nearer future we will submit a paper dealing with the effect of guaiacol on the bio-oil hydroconversion and especially on the arising macromolecules. This study will also include a fractionation process followed by HSQC (2D NMR).

Page 14: interesting discussion. The manuscript may be improved by giving ¹³C NMR spectra in sup. material and results of NMR analysis in main text, supported by a simplified scheme to illustrate the effect of temperature on solid formation and chemical structure.

Response: Following your remarks, we add a Spectra in Fig. S3 (¹³C NMR) and S15 (summary scheme).

Page 15, line 335: I do not really understand: once the macromolecules (formed by guaiacol+D-glucose/furfural precursors) is formed at low temperature and stabilized in the organic phase, how could these macromolecules be then converted into targeted products?

Response: Unfortunately, solubilized macromolecules were not further converted into deoxygenated products in our operating conditions. Considering the extensive and fast production of solid residues, the first objective of the process is to stabilize and to maintain the macromolecules in the liquid phases at low temperature. Those structures could be further converted in more severe conditions.

End of discussion or conclusion: could you better emphasize the interest of this study on various surrogates for optimising the up-grading of real bio-oils, and the need of further work to link this (good) study on model compounds with real bio-oil hydroconversion?

Response: Following your remark, we add a last paragraph to our conclusion.

Reviewer #3: This manuscript addresses a very valuable research and a challenging topic on understanding the reaction pathways, and especially the behavior and formation of macromolecules during bio-oil hydrogenation. For that purpose, the authors investigated reaction systems made of model compounds, and used available techniques such as SEC, GC, HPLC, ¹³C-NMR, and elemental analysis. The conclusions and even the speculation statements given throughout this work are worth of special attention and they are quite in line with the existing work done on reaction of glucose, fructose, etc. in acid environment, under pressure and hydrogen present. It is interesting to see

how the guaiacol changes the structure of the water soluble macromolecules, also the solid residue, and how there is an interesting trigger in behavior at 250C and at longer reaction times in comparison to shorter reaction times.

Though this is an important topic for research and the conclusions would have high value for the scientific community, this manuscript looks like it was composed in a rush, and needs significant effort to bring it to a readable state. At many points one cannot follow how the authors made the conclusions they made just from the figures and tables given. It seems like information is missing or the figures and tables need to be explained/presented in a clearer manner.

The article is poorly written, does not follow the mechanics of a solid structure, and the explanation of the figures and tables is hence not only hard to follow, but one may also notice the speculative explanation of the reactions without proper references from literature or support from the presented data. Significantly more work needs to be done on refining the text throughout the manuscript. The description in the methods section is convoluted. Example is Section,2.5. "Studied mixtures" which is extremely difficult to follow, and this is the most important part, the part that describes the experiments done.

Response: Following yours justified remarks, we rewrote some parts and add in supplementary comprehensive Figures in order to guide the reader and justify our statements. To clarify the experimental and the analytical procedures, we completely rewrote the corresponding sections and we add the Figures S1 to S3 in Supplementary (including also three repeated tests). The captions were systematically homogenized in the Figures and Tables. To comply with the editor requests, we limited the number of Figures to 6 in the main text. Nevertheless, following your remarks we include in the supplementary the Figure S15. This Figure gathers the main results of part 3.2 and 3.3 (effect of time and temperature during the hydroconversion of the 5-compound mixture).

There is arbitrary usage of the word macromolecule, it was used even to describe molecules belonging to below 400 g/mol range!

Response: This choice was made to distinguish the GC-quantified products (carbon balances). This term was justified in the first submitted paper (as indicated in the cover letter and reported by ref. [22] in the 1st submitted version).

I deem these results need to be presented to the wider audience if based on solid experimental procedures and clear and simple guidance of the audience through the thought process... but for now this manuscript needs a significant rework.

Response: Please : see our abovementioned answer.

The temperatures studied in this work are characteristic for something commonly called "stabilization step." For this step, noble metals on carbon support have been shown to work the best (most active hydrogenation catalyst materials). What is the justification for using NiMo-Al₂O₃ at such low temperatures?

Response: Noble metal based catalysts such as Pt, Pd or Ru exhibit high performances but remain expensive for an industrial use. Less performing Ni/alumina-based catalysts are nevertheless an economically suitable solution for an industrial process and displayed the required hydrothermal resistance. Following your remarks, we add 3 references to justify this choice.

Line

21: instead of "low reaction time and temperature," it should be corrected to "short reaction times and low temperatures". Response: Thanks, correction done.

32: lower case on word "One" Response: Thanks, correction done.

120: Acetone flow in MPa? Response: Thanks, correction done.

120: Instead of "air" pressure, place "atmospheric" Response: Thanks, correction done.

117: For better clarity, this section needs to be rewritten in such a way that the description follows the order of steps in the undertaken procedure. I presume it is first that the centrifugation was done, then layers decanted, and then the catalyst washed and separated in the extractor. Response: Following your remarks, we completely rewrote the corresponding sections and we add the Figures S1 to S3 in Supplementary (including also three repeated tests).

144-145: Position of peaks (min)? Response: Please : see our abovementioned answer.

146: How many calibration points and what are the starting concentration/s? Response: Please : see our abovementioned answer.

148: Paragraph starting with "The GC/MS.." can easily be incorporated with the previous paragraph, just by saying that the liquid effluents were analyzed by GC-MS/FID. Response: Thanks, correction done.

154: Replace "," with "." everywhere in the tables and text where "." designates decimal point separator. **Response: Thanks, correction done.**

170: More details on the CHNOS analysis is needed here, and how the coke or C on the catalyst was calculated. **Response: Please : see our abovementioned answer.**

172: On the C-NMR, what is the concentration of the samples used, and what solvent were those dissolved in? **Response: All recovered solid from the separation procedure (cf. Figure S1) were analyzed by ¹³C NMR as it without further preparation/purification procedure. Only relevant analysis were reported in Supplementary. Following your remark, we add a Spectra in Fig. S3.**

176: The comma sign needs to be supplemented with a decimal point. **Response: Thanks, correction done.**

251: Please support the selection of quantities of model compounds with references from literature. **Response: The section 2.5. Studied mixtures justified the quantities chosen of each model compounds (atomic ratio, acidity, water content). To our knowledge, no study was still published considering such properties.**

253: It might be questionable representing lignin with 30% guaiacols, since most of the mass of lignin is present already in a polymeric form in the bio-oils. This sentence might be a too strong of a claim. **Response: Our initial aim was to provide a comprehensive study of the catalytic hydroconversion of light commercial compounds. Following your remark, we include the reference [20] dealing with the characterization of oligomeric lignin compounds forming macromolecules present in the bio-oil (referred as pyrolytic lignin). The 30% guaiacol content was reduced to 15% (forming mixture B).**

271: "For simplicity" instead of "For simplicity purposes" **Response: Thanks, correction done.**

309: Authors refer to results from literature that was not published yet? (ref.22!) **Response: We regret that the editor did not take into account the cover letter indicating that the two publications (reference [22]) were linked and both submitted at the same time.**

310: It is more reasonable to point out 400 g/mol as a cut off instead of 700 g/mol! In fact, most of the compounds are seen between 100 and 200 g/mol! **Response: Thanks, we correct it in the revised manuscript**

321: Gua/Fur/AA seems to give very little beyond 200 g/mol, and not 400! Something that has 200 g/mol cannot be called macro molecule. **Response: we agree with your comment, we change the text and replace macromolecules by components.**

325: Another reference to a not published work. **Please : see our abovementioned answer.**

325-340: This is a good job on specifying the number of reaction products identified with GC.

343-349: Rewording and clarity needed starting from the sentence "Regarding the production..." till the end of the paragraph. **Thanks, correction done.**

376: In the caption of figure S3 emphasize that we are looking at GC detectable species. By the way, it is not clear how was this quantification done? How many external compounds have been used, or is this based on GC peak areas? **Following your remarks, we completely rewrote the analytical strategy including the GC-FID quantification.**

379: Referring to not-published work. **Please : see our abovementioned answer.**

384: Figure 2 needs trend lines. This way one can follow more easily what happens with the products of reaction. **Thanks, correction done.**

484: Statement starting with "Nevertheless, ..." sounds contradictory, or it has not been structured well? **Thanks, correction done.**

Table 3: What is liquid washing fraction? How many times were the experiments repeated? **The experiments were repeated 3 times each. To clarify the experimental and the analytical procedures, we rewrote the corresponding section and we add Figures S1 to S3 in Supplementary.**

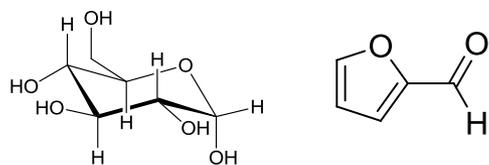
Sincerely yours,

Céline Bertino-Ghera, on behalf of the authors



Catalytic hydroconversion – NiMo/Al₂O₃ – 130 bar – 200°C < T < 300°C

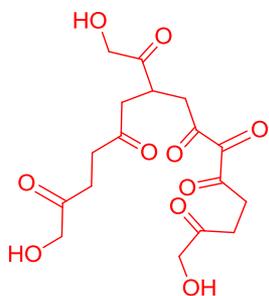
Mass and carbon balances (GC, HPLC)



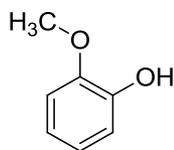
D-Glucose

Furfural

Macromolecules

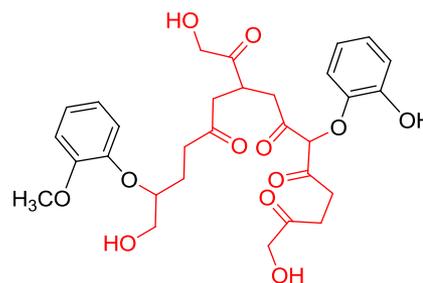


Solids



Guaiacol

Low temperature
and/or reaction time

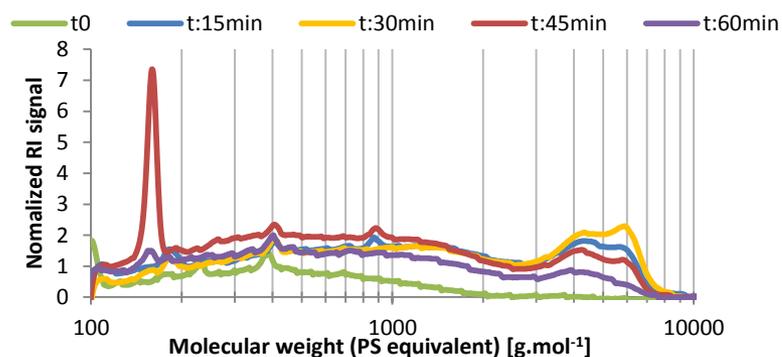


Soluble in the liquid
organic phase

High temperature
and/or reaction time

Solids

Macromolecules characterization by SEC/RI-UV



*Highlights (for review)

Catalytic hydroconversion of bio-oil model compounds mixtures has been performed.

Effect of Guaiacol has been investigated.

Guaiacol limits the solid precipitation from D-glucose and furfural products.

Macromolecule solubilization in the organic phase was evidenced.

Impact of guaiacol on the formation of undesired macromolecules during catalytic hydroconversion of bio-oil: a model compounds study

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Abstract

Although catalytic hydroconversion of pyrolysis bio-oils has been studied for many years, side reactions such as condensation or oligomerization leading to high molecular weight compounds still need comprehensive studies. In this work, the catalytic hydroconversion of D-glucose, furfural, acetic acid and guaiacol representative of pyrolysis bio-oils was investigated separately before testing a 5-component mixture. Thanks to a detailed analytical strategy (i.e. SEC, ¹³C NMR, GC, HPLC), this paper focuses on the effect of guaiacol conversion on sugar-like macromolecules. The study of single D-glucose and furfural revealed the fast production of high molecular weight compounds (up to 700 g.mol⁻¹) that were proven to further precipitate (from D-glucose). Guaiacol addition led to a decrease of the solid production through solubilizing and/or reacting with macromolecules. This phenomenon produced larger soluble macromolecules (up to 5.000 g.mol⁻¹). Results show that guaiacol and its hydroconversion products formed soluble macromolecules at **short reaction times and low temperatures**.

Keywords: biofuels; macromolecules characterization; pyrolysis bio-oil; guaiacol; D-glucose; SEC

1. Introduction

Lignocellulosic biomass is a promising feedstock that could be used as a renewable and CO₂-neutral source of energy and is expected to be an important resource for liquid transportation fuels or chemicals in a near future. Lignocellulose is commonly composed of three major fractions: 40–45 wt% of cellulose (dry composition), 25–35 wt% of hemicelluloses, 15–30 wt% of lignin and up to 10 wt% of other compounds (such as minerals) [1]. The most widely studied softwood feedstock are pine (or spruce), and cork or oak as regard to hardwoods. **Flash pyrolysis is a thermochemical process used to transform these resources into liquid** [1, 2]. However, the obtained pyrolysis bio-oils have limited end-user application due to their acidity (Total Acid Number or TAN between 70 and 120), their low heat capacity (compared to fossil fuels), their immiscibility with hydrocarbons and their thermal instability resulting from high oxygen content [3]. Oxygen is present in organic compounds but also as free water which can represent up to 30 wt% of the raw bio-oil. Since the last three decades, many laboratories have tried to perform

1 deoxygenation process inspired from petroleum feedstock catalytic hydroconversion [4].
2 However, upgrading biomass-derived oils from flash pyrolysis liquefaction to
3 40 hydrocarbons requires a significant oxygen removal (and consequently a high H₂
4 consumption) before any final conventional refining process.
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6 Also called upgrading, those thermo-chemical processes deal with operational
7 temperatures up to 400°C. Nevertheless, several literature studies [4, 5] showed the
8 occurrence of fast competitive reactions such as condensation or oligomerization which
9 are prone to produce solid residues even at low temperature. This phenomenon is
10 45 detrimental for the process because it leads to extensive plugging of the reactor and
11 catalyst deactivation. This coking ability especially due to the bio-oils thermal instability is
12 a process limitation and needs an in-depth comprehension before any industrial scaling-
13 up. Venderbosch [5, 6] and Elliott [7] published studies describing the competition
14 between hydroconversion pathways and the production of high molecular weight
15 compounds also referred as soluble macromolecules [8 – 10]. To avoid those pathways,
16 they recommended a two-step process to firstly convert macromolecules precursor at
17 low temperatures (from 150 to 200°C) followed by a deep hydrodeoxygenation (up to
18 50 350°C). So far, the involved reaction mechanisms are not well described and literature is
19 largely lacking of rationalization of the chemical mechanisms.
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27 The pyrolytic degradation products of lignin were generally represented by aromatic
28 **model compounds** [10 – 12] such as guaiacol, anisole or phenol derivatives. On the one
29 hand, carbohydrates, acids, ketones and furans derivatives were commonly used to
30 represent the contribution of cellulose and the hemicellulose [12, 13] fractions in the
31 flash pyrolysis liquefaction. Levoglucosan [14, 15] and D-glucose [16] are typically
32 60 adopted as representative compounds. Even if levoglucosan would be the model of
33 choice as it is present in high quantity in pyrolysis bio-oils, it is readily converted to D-
34 glucose in hydroconversion conditions and in **acidic water medium** [15 - 17]. On the other
35 hand, it is well established [18, 19] that hemicelluloses flash pyrolysis produces furanic
36 and carboxylic acid compounds in large amounts. **Besides well identified molecules,**
37 **heavier molecules including macromolecules are also present in the bio-oil (including**
38 **pyrolytic lignin) and those macromolecules usually precipitated by water addition. These**
39 **oligomers were characterized by typical aromatic fragments arising from lignin [10, 20].**
40 **Our purpose in this study is to investigate the macromolecules production from light**
41 **molecules during the hydroconversion reaction.**
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51 Numerous studies deal with representative model molecules of bio-oils composition
52 which result from lignocellulose [11] degradation during the pyrolysis step. Because of
53 the high diversity of oxygenated compounds in a bio-oil, it is mandatory to consider at
54 least a mixture of representative compounds even if very few studies were proposed in
55 the literature. For a catalysts screening, Elliott [21] developed a three-compound mixture
56 75 made of guaiacol, furfural, acetic acid and water. More than thirty by-products were
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quantified but no crossed-interactions between those compounds were discussed. More recently, Runnebaum [22] has studied the catalytic conversion of four compounds representing a pyrolysed lignin fraction. Nevertheless, none of those experimental studies complied with the comprehension of the high molecular compounds production.

In this paper, we have chosen D-glucose as the ex-cellulose model molecule and arising compounds from hemicellulose flash pyrolysis were represented by furfural and acetic acid. In a previous work [23] we investigated the catalytic hydroconversion of D-glucose and furfural and we observed the fast and extensive production of high molecular weight compounds even at 200°C. This trend was followed by SEC analysis and carbon balances including GC-quantified compounds. Those so called macromolecules were proven to arise from furanic and aromatic compounds that were extensively produced by D-glucose dehydration and furfural hydrolysis/hydration. In the present work, we report the catalytic hydroconversion of a mixtures constituted by D-glucose, furfural and guaiacol. Through an increase of the complexity of the mixtures and using a multi-technique characterization strategy (SEC, ¹³C NMR, GC, HPLC, etc...), this study highlights the main routes responsible for the formation of high molecular compounds and the effect of the presence of guaiacol on macromolecules.

2. Materials and Methods

2.1. Material

The D-glucose (> 99.5%), furfural (99%) and acetic acid (99%) were purchased from Sigma Aldrich and guaiacol (> 99%) from Acros Organics and n-hexadecane (99%) from Alfa Aesar. Those compounds were used as received.

To promote the hydroconversion reactions, various mono- and bi-metallic active phases were investigated. Noble metal based catalysts such as Pt, Pd or Ru exhibit high performances but remain expensive. Less performing Ni/alumina-based catalysts [24 - 26] are nevertheless an economically suitable solution for an industrial process. A proprietary NiMo/ γ -Al₂O₃ catalyst (9.2 wt% Ni, 5.4 wt% Mo) displaying hydrothermal resistance was provided by Axens. Before each reaction, fresh catalyst was crushed and sieved to particle size from 1 to 2 mm and was reduced using a hydrogen flow (0.30 m³.h⁻¹) at atmospheric pressure and 400°C during 2 h.

2.2. Experimental procedures

All catalytic reactions were carried out in an isothermal 500 cm³ stainless steel autoclave equipped with an electromagnetic driven stirrer (Rushton impeller). The methodology used and the reproducibility of the experiments are described in Supplementary Fig. S1 and S2. For each run, 150 g of feed were introduced followed by 15 g of freshly reduced catalyst transferred in a basket in an argon vessel avoiding any post-oxidation. The

1 115 reactor was hermetically closed and purged by substituting air by nitrogen and finally by
2 hydrogen. The initial pressure of hydrogen was set to 3.0 MPa before temperature
3 increase.

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6 The reaction temperature ranged from 200 to 300°C. In order to limit the catalytic
7 reactions during the heating ramp (15 min to reach 250°C), the feed was vigorously
8 stirred (1,200 RPM) only once the reaction temperature was reached. This procedure was
9 120 adopted in order to limit the D-glucose conversion during the heating time. Stirring is
10 maintained during cooling down. The hydrogen addition was set to maintain a constant
11 total pressure of 13.0 MPa during the run. This procedure has been applied considering
12 the maximization of the hydrogen consumption during the catalytic hydroconversion of
13 an aqueous D-glucose (20 wt%) solution. Considering those stirring rates and catalyst
14 beads size, no external hydrodynamic limitations have been observed [23].
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21 Once the reaction time was reached, H₂ introduction was stopped and the reactor was
22 cooled down to room temperature (10 min to cool down from 300 to 100°C). Then
23 gaseous, liquid and solid products were totally collected and separately analyzed. Gases
24 130 were collected using an auxiliary vessel and sampled in vacuum TEDLAR® bags for
25 subsequent off-line gas chromatography (GC-FID/TCD) analysis. While the reactor was
26 purged by nitrogen and unlocked, the catalytic basket was removed. The liquid phases
27 and the solid residues were separated by centrifugation at 4,000 tr.min⁻¹ during 20 min.
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31 135 Subsequently, aqueous and organic phases were separated in a separatory funnel
32 referred respectively as "aqueous phase" and "organic phase". The recovered catalyst
33 was washed using a Thermo Scientific™ Dionex™ (ASE 150) extractor heated at 60°C and
34 under a 10 MPa acetone pressure. The catalyst and the solid residues were dried at 70°C
35 under atmospheric pressure during 12 h. Acetone was used as a washing solvent also to
36 clean the reactor and the impeller and was further removed by a vacuum rotary
37 evaporator. The obtained liquid phase will be referred as "washed phase".
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43 2.3. Analytical procedures

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46 145 Considering the complexity of the products, an analytical strategy was set to characterize
47 each effluent (see Supplementary Fig. S3). Each phase was analyzed separately in order to
48 determine the repartition of the carbon and to identify a maximum of compounds.
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52 Gases were analyzed by GC (Agilent 7890A) equipped with a Flame Ionization Detector
53 (FID) and two Thermal Conductivity Detectors (TCD). Three parallel columns were used:
54 150 HP-Plot Q (30 m x 0.32 mm i.d x 20 µm), HP-Plot 5A (30 m x 0.32 mm i.d x 1 µm) and
55 PONA (50 m x 0.2 mm i.d x 0.5 µm). The carrier gas was helium. Standards were
56 periodically injected for alkanes (C1 to C6), CO, CO₂, N₂ and H₂ quantification. The oven
57 temperature program ranged from 30 to 200°C at a rate of 20°C.min⁻¹.
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155 Liquid effluents were analyzed by GC (AGILENT-6890N) with a Flame Ionization Detector
1 (FID) and a RTX-35 Amine (30 m x 0.25 mm i.d x 1 μm) column. The vaporization
2 temperature was 250°C, the oven temperature program ranged from 40 to 220°C at a
3 rate of 4°C.min⁻¹. For each identified compounds, quantification was performed with 1,2-
4 propanediol as an external standard and Effective Carbon Number method (ECN) was
5 used to estimate FID response factors for oxygenates [27]. The GC/MS analysis was
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7 160 conducted with a Thermo Trace GC/MS equipped with the same columns and program
8
9 than the GC/FID analysis. The mass analyzer is a simple quadrupole with an electron
10 ionization ion source at 70 eV. The products were identified using the NIST library.
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14 The composition of the aqueous phase was also determined using a HPLC system (Waters
15 Alliance 2695), a Bio-Rad column Aminex Deashing followed by an Agilent column
16 165 Metacarb operated at 95°C. The aqueous mobile phase was set at a flow rate of 0.1
17 cm³.min⁻¹. The detector is constituted of a Differential Index Refractometer and an UV
18 detector. The analysis of a sample was complete within 100 min. The concentrations of
19 each compound (cellobiose, D-glucose, sorbitol, xylose, galactose, arabinose, mannose,
20 glycerol and xylitol) in the product mixture were determined using eight calibration
21 curves obtained by analyzing standard solutions of known concentrations.
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27 Water content in liquid effluents was measured by a Karl Fischer (KF) Mettler Toledo V20.
28 For every sequence, a calibration procedure with a Fluka Hydranal water standard 1.0
29 was performed. Each value indicated in this study corresponds to average of three
30 175 measurements.
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35 Soluble macromolecules contained in aqueous effluents were analyzed by non-
36 quantitative size exclusion chromatography (SEC) by a Waters system (Alliance). The
37 system was constituted by four columns in series (7.8 mm x 300 mm) containing 5 μm -
38 180 particle size with porosity ranging from 10 to 1,000 nm. During a typical analysis 50.10⁻⁶
39 m³ of sample were injected into the column. Run were performed at 30°C (columns
40 temperature) during 49 min. Two detectors were used: a refractive index-detector and a
41 UV-detector (set to three wavelengths: 214, 254 and 280 nm). Tetrahydrofuran was used
42 as mobile phase (1 cm³.min⁻¹). Standard polystyrene mixtures having various molecular
43 weights were used for calibration at the beginning of each new sequence and correspond
44 to a Polystyrene (PS) molecular weight ranging from 160 to 5,000 g.mol⁻¹.
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51 In order to determine the carbon balance, a Thermo Scientific™ FLASH 2000 analyzed the
52 carbon deposition (CHONS) onto the catalyst and the solid residues. Grinded samples
53 190 were injected twice in an oven set at 950°C where CO₂ was formed. An on-line TCD
54 detector quantified this component and calculated the sample corresponding carbon
55 equivalent. Each six samples, the analyzer was controlled by a BBOT (2,5-Bis (5-tert-butyl-
56 benzoxazol-2-yl) thiophene) standard.
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Finally, **solid phase** ¹³C MAS NMR analysis were performed on an AVANCE Bruker 400 MHz with Highpower Proton Decoupling (HPDEC) and spinning rates of 12 kHz using a CPMAS probe and 4 mm rotor. The free induction decays were obtained after excitation with 90° pulses with a repetition time of 50 s. After accumulation of 1024 scans, spectra were processed with 20 Hz Lorentzian line broadening and a 0.1 s Gaussian broadening. All ¹³C MAS NMR spectra were referenced to tetramethyl silane (TMS). Quantification of the functional groups present in each sample was determined after baseline correction by integrating over characteristic regions using TopSpin 3.0 software. Intensities over defined chemical shift windows were integrated to quantify selected C structures; 0-55 ppm (C-alkyl), 55-95 ppm (O-alkyl C including carbohydrates), 95-165 ppm (C-aromatic), 165-220 ppm (C-carbonyl in carboxylic acids, esters, amides, ketones and aldehydes).

2.4. Determination of the conversion, mass and carbon balances

As shown by the analytical strategy described previously, this study aims at understanding model compounds hydroconversion from the macroscopic scale (though experimental balance given by the Equation 1) to the molecular scale. In order to assess the accuracy of chemical pathways, carbon balances are considered taking into account each recovered phases as detailed in Equation 2. In the liquid phases, recovered carbon corresponds either to equivalent quantified carbon thought GC-FID and HPLC for the liquid phase or by Total Organic Carbon (TOC) and liquid elementary analysis (CHONS). When n-hexadecane has been used, elementary analysis of containing mixtures has not been considered.

Equation 1 : mass balance

Experimental mass loss (expressed in weight %)

$$= \frac{(m_{\text{liquid}} + m_{\text{gases}} + m_{\text{catalyst}})_{\text{introduced}} - (m_{\text{liquid}} + m_{\text{gases}} + m_{\text{catalyst}} + m_{\text{residues}})_{\text{recovered}}}{(m_{\text{liquid}} + m_{\text{gases}} + m_{\text{catalyst}})_{\text{introduced}}} \times 100$$

Equation 2 : carbon balance

Total quantified carbon (expressed in weight % of carbon equivalent)

$$= \frac{(m_{\text{C}_{\text{liquid}}})_{\text{introduced}} - (m_{\text{C}_{\text{liquid}}} + m_{\text{C}_{\text{gases}}} + m_{\text{C}_{\text{catalyst}}} + m_{\text{C}_{\text{residues}}})_{\text{recovered}}}{(m_{\text{C}_{\text{liquid}}})_{\text{introduced}}} \times 100$$

The gaseous phase is analyzed by GC-FID/TCD and the solid residues and the catalysts are assessed by a solid elementary analysis (CHNS).

1 Solving the Equation 2 implies considering the carbon contribution of each quantified
2 225 species. Hence, carbon contribution of a molecule so called "A" constituted of carbon,
3 oxygen and hydrogen atoms leads to:
4

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6 Equation 3

7 $mC_j = wt\%C_j \cdot m_j$ and $mC_{liquid} = \sum_{i=j}^k mCi$
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10 230 With:

- 11 • mC_j : mass of carbon equivalent in the compound j [g].
- 12 • $wt\%C_j$: weight carbon content of the compound j [wt%].
- 13 • m_j : mass of GC-quantified compound j [g].
- 14 • $\sum_{i=j}^k mCi$: sum of the carbon equivalents hold by the compounds "j" to "k" in the
15 liquid phase [g].
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21 To consider the molecular scale, reactant (expressed as "A") conversion will be expressed
22 as:
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24 Equation 4

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26
$$X_i = \frac{n_{A,0} - n_A}{n_{A,0}}$$

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29 240 with :

- 30 • X_A : conversion rate of "A" reactant
- 31 • $n_{A,0}$ and n_A : "A" reactant molar amount respectively at the beginning and the end
32 of the reaction [mol].
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39 245 In order to compare SEC analyses with various water content liquid phases, raw signals
40 will take into account the water dilution. Thus, signals will be presented as:
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43 Equation 5 :

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$$\text{Nomalized SEC signal} = \frac{\text{Raw signal}}{100mg} \cdot \frac{1}{1 - \text{Water content}} = \frac{\text{Raw signal}}{100mg} \cdot \frac{1}{\text{Organic content}}$$

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46

47 With:

- 48 • Raw signal / 100: Raw signal (RI or UV) normalized to a 100 mg sample [mg^{-1}].
- 49 250 • Water content: weight water content in the liquid phase [-].
- 50 • Organic content: weight organic content in the liquid phase [-].
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56 The carbon content of solids resulting from D-glucose hydroconversion during a test "i"
57 255 containing n-hexadecane (noted as n-C16) onto the surface assessed by solid ^{13}C MAS
58 NMR. Hexadecane was calibrated thanks to an external calibration (see supplementary
59 Figure S4). Due to the intensity of hexadecane chemical shifts, the corresponding areas
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were calculated and further subtracted to the ^{13}C NMR spectra. Thus, carbon equivalent in the solid residues were calculated following Equation 6.

Equation 6 : Carbon content in the D-glucose' residues "i" containing n-C16 assessed by solid ^{13}C MAS NMR :

$$m_{\text{cor } i} = m_{\text{raw } i} - m_{\text{n-C16}}$$

With:

- $m_{\text{cor } i}$: Corrected carbon equivalent mass of the residues for a test "i" [g].
- $m_{\text{raw } i}$: mass of carbon equivalent in the residues measured by elementary analysis for a test "i" [g].
- $m_{\text{n-C16 } i}$: Carbon equivalent mass of n-hexadecane in solid residues quantified by external calibration of the ^{13}C MAS NMR [g].

2.5. Studied mixtures

As previously described, four model molecules have been chosen for this study: D-glucose (noted as Glu) in water, furfural (noted as Fur), acetic acid (noted as AA) and guaiacol (noted as Gua).

Mixtures (see Table 1) aimed at representing well known oxygenated functions compounds but also the proportions of related families of compounds as well as pH, C-H-O atomic ratios, water content in a typical raw bio-oil [1]. Thus, guaiacol was chosen to represents the pyrolyzed lignin fraction and was introduced either at 15 or 30 wt%. D-glucose and furfural modeling respectively carbohydrate-like and their degradation compounds were introduced at 20 and 13 wt% respectively. 30 wt% of demineralized water was introduced to model free water that is contained in a typical bio-oil but also to insure the D-glucose solubility in the liquid phase at ambient conditions. Finally, 7 wt% of acetic acid was introduced to set a representative bio-oil acidity with a Total Acid Number of 120 mg potassium hydroxide introduced for one gram of mixture.

In this work, the four-compounds mixtures will be noted as "Mixture A". A second mixture in which a part of guaiacol has been replaced by n-hexadecane will be noted as "mixture B". Those mixtures comply with average bio-oil atomic ratios (dry basis) [1]. "Mixture A" H/C and O/C atomic ratios are respectively equal to 1.4 and 0.6 whereas "Mixture B" H/C and O/C atomic ratios are respectively equal to 1.8 and 0.7.

The next section is divided into three parts.

- The first part will be focused on the catalytic hydroconversion from single compounds to the final mixture "A". For both single reactants and the ternary mixtures (e.g. three-compound mixtures) hydroconversion reactions, n-

295 hexadecane has been introduced in order to complete the feed loading to 150 g.
1 For simplicity, the binary mixtures (e.g. two-compound mixtures) are reported in
2 appendix. Whatever the studied mixture, 30 wt% of demineralized water was
3 introduced. In this paragraph, chemical pathways will be discussed depending on
4 hydroconverted mixtures.
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6

- 7 300 • In a second and third part, the effect of reaction time and temperature on the
8 Mixture A catalytic hydroconversion will be discussed. Those studies will
9 particularly emphasize the effect of guaiacol (study of Mixture “B”) on soluble
10 macromolecules production.
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16 305 **3. Results and discussion**

17 3.1. Study of the catalytic hydroconversion leading to “Mixture A”

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19 In these experiments, the reaction time and temperature were respectively set to 1 h and
20 250°C. These conditions can be considered as usual ones regarding to bio-oil
21 hydroconversion studies [4, 6 - 8].
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25 Table 2 reports the experimental mass balances of the catalytic hydroconversion of eight
26 mixtures from the single reactant to the ternary mixtures and Mixture A. Mass balances
27 closures depend on the residue production which requires the use of a large quantity of
28 acetone as a washing solvent further evaporated in a vacuum rotary evaporator. This last
29 step has been identified as the main source of loss by evaporating lights compounds
30 dragged by the acetone. When there is no solid residues production, mass balances are
31 between 97.3 and 101.4 wt%, whereas when a large quantity of solids is produced, the
32 mass balance is between 81.3 and 88.3 wt%.
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40 320 In the case of the conversion of single molecules, only D-glucose led to residues in a large
41 amount (8.0 g for 100 g of feed, representing 39 wt % with respect to the D-glucose
42 loading). Considering Glu/Fur/AA mixture, the solid production increased up to 14.7 for
43 100 g of feed. Nevertheless, this content was drastically reduced when guaiacol was
44 added to D-glucose and acetic acid in water (1.2 g for 100 g of feed). So, guaiacol had a
45 remarkable effect on the solid production. In comparison with the Glu/Gua/Fur mixture,
46 the catalytic hydroconversion of Mixture A also demonstrated that acetic acid promotes
47 the solids production. Indeed, 11.2 g of solids residues were produced against 0.7 g from
48 the Glu/Gua/Fur mixture.
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55 To get an insight on soluble macromolecules properties, SEC-RI analyses of the aqueous
56 and organic phases from the ternary mixtures and Mixture A are reported respectively in
57 Figure 1 [A] and [B]. SEC-RI of hydroconverted single D-glucose and furfural effluents
58 were described elsewhere [23].
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1 When D-glucose was present in the feed, soluble components ranging between 200 and
2 400 g.mol⁻¹ polystyrene equivalent (or PS eq.) were systematically observed in the
3 335 aqueous phases (Figure 1 [A]). Moreover, considering the huge amount of solid residues
4 produced during the catalytic hydroconversion of the ternary mixture D-
5 glucose/furfural/acetic acid (see Table 2), it can be hypothesized that produced
6 macromolecules were no more soluble in the aqueous phase and thus precipitated into
7 the solid phase.
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11 340 For ternary mixtures, this property was observed as long as guaiacol was not introduced
12 in the feed. Then, the presence of guaiacol led to the formation of an organic phase.
13 Corresponding SEC-RI analyses (Figure 1 [B]) indicate the production of heavy compounds
14 up to 7,000 g.mol⁻¹ PS eq.. This may suggest that macromolecules produced from the
15 aqueous fraction (D-Glucose, Furfural) were no more precipitated into the solid phase but
16 solubilized by the organic phase in presence of guaiacol. This interesting result will be
17 345 further discussed. Without D-glucose (as shown by the Gua/Fur/AA test), it is also
18 interesting to note that resulting components arising from furfural catalytic
19 hydroconversion were mainly lower than 200 g.mol⁻¹ PS eq. in the aqueous phase.
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26 350 As it has been studied in our previous work [23], D-glucose single catalytic
27 hydroconversion produces a wide range of chemicals since the chromatographic analysis
28 of liquid phase enables the identification and quantification of 46 organic compounds.
29 Main identified reactions were hydrogenolysis (production of levulinic acid or furfural),
30 hydrogenation (production of sorbitol and alcohol compounds from ketones [28]),
31 dehydration/hydration (production of 5-HMF or lactic acid) retro-aldol reaction
32 (production of propane-1,2,3-triol, propane-1,2-diol [29] and acetic acid), decarboxylation
33 355 or decarbonylation. Considering furfural single catalytic hydroconversion, we quantified
34 35 compounds involving furfural decarbonylation that produced C4 cut (furan and
35 tetrahydrofuran) and furfural hydrogenation to C5 cut (furfuryl alcohol and by-products)
36 [30 – 32]. In the same way, guaiacol catalytic hydroconversion in similar operating
37 360 conditions involved the quantification of 20 compounds GC-FID analysis. It is commonly
38 accepted [33, 34] that three main chemical pathways can be observed involving either the
39 demethylation to 1,2-benzenediol or a direct demethoxylation step to phenol. The direct
40 hydrogenation of the benzene ring is unfavored with NiMo/Alumina catalyst [35, 36].
41 Some studies [37, 38] also reported the production of heavier structures due to self-
42 365 condensation between primary products such as catechol. Nevertheless, none of them
43 has been clearly identified during this study.
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53 Regarding the production of high molecular weight compounds reported by SEC-RI
54 analyses (Figure 1), it appeared interesting to consider the carbon balances. Thus, a global
55 carbon balance (Table 3) considering TOC and CHONS analysis respectively of the aqueous
56 370 and organic phases was evaluated for the *Mixture A* catalytic hydroconversion effluents
57 (experimental mass balance reported in Table 2). The initial introduced amount of carbon
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1 is 58.9 g. Taking into account the experimental and the analytical errors, the carbon
2 balance for this experiment is achieved by only 1.5 wt% loss. Mixture A catalytic
3 hydroconversion led to a solid phase (as residue and coke onto the catalyst) which
4 375 contains 25.3 wt% of the initial introduced carbon. The three liquid phases represent 71.3
5 wt% of C and the gaseous phase 2.0 wt% of C (particularly through CO₂, CO and CH₄).
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8 This low loss balance enabled to consider the carbon equivalent of quantified compounds
9 by HPLC-RI and GC-FID in the liquid phases (Table 4). In case of the mixture A, only 37.1
10 wt% of C have been identified by these techniques in the liquid phase compared to the
11 380 71.3 wt% of C identified by TOC and CHONS analysis. This balance suggests that remaining
12 carbon may be considered as non-quantified heavy molecular weight products solubilized
13 in the liquid phase and represented by SEC-RI analysis (Figure 1).
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17 Thus, considering carbon balance obtained from Glu/Gua/Fur conversion, it has been
18 observed that guaiacol clearly limited the solid formation while the GC/HPLC non-
19 385 quantified carbon increased to 60.9 wt% (Table 4). This is consistent with the high rate of
20 soluble macromolecules detected by SEC-RI (Figure 1). On the contrary, the solid residues
21 (coke onto the catalyst or recovered in the reactor) obtained from Glu/Fur/AA mixture
22 contained more than 75 wt% of the initial introduced carbon.
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27 As shown in Table 4, equivalent carbon in the gaseous phase was quantitatively negligible
28 390 in comparison with the liquid phase. Major released gaseous species (CO₂ and CO) were
29 mainly produced by D-glucose while furfural and guaiacol produced a few CH₄ (see
30 Supplementary Fig. S1).
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34 Considering previous D-glucose, furfural and guaiacol conversion schemes, distribution of
35 GC quantified products according to reaction pathways and chemical functions in the
36 395 liquid effluents are reported in Supplementary data (Supplementary Fig. S3)
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40 This original approach provided by a multi-scale analytical strategy demonstrated the
41 cross reaction between D-glucose and furfural leading to the production of heavy
42 molecular weight compounds. Without guaiacol in the feed those compounds are
43 precursors of solid residues [23]. To improve the system description, this study needs to
44 400 be completed by varying the operating conditions such as reaction time and temperature
45 (Supplementary Fig. S15 presents a summary scheme of parts 3.2 and 3.3).
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49 3.2. Effect of the reaction time on the mixture A catalytic hydroconversion

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51 In this part, the effect of the reaction time on the catalytic hydroconversion of Mixture A
52 is investigated at 250°C from t₀ (heating period of the reactor) to 180 min. Figure 2 [A]
53 405 reports the recovered amount of liquid, gas and solid effluents of each experimental test.
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57 Before 45 min of reaction, the solid production represented less than 3 wt% of recovered
58 phases whereas the sum of the liquid phases remained stable. After 45 min, solid
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1 deposition onto the reactor and the catalyst increased to reach 15.1 g at 3 h. This trend
2 was heightened at 180 min while no liquid organic phase has been recovered (orange
3 410 square dots on Figure 2 [A]). Experimental losses were systematically inferior to 10 wt%.
4 Complete experimental balances as well as hydrogen consumptions are available in
5 Supplementary data (Supplementary Table S2 and Fig. S7).
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8 The carbon balance illustrated in Figure 2 [B] was obtained considering the HPLC-RI and
9 GC-FID quantified carbon in the liquid phases (sum quantification from the three liquid
10 415 phases). It indicates that the worst quantification in the liquid phases was reached at 45
11 min. Above 60 min of reaction, the solid production increased as well as the
12 quantification in the liquid phases. In addition, the gas production (mainly composed by
13 CO, CO₂ and CH₄) represented only from 0.4 to 2.3 wt% of C.
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18 The evolution of the main chemical functions and reactions observed for the products of
19 420 catalytic hydroconversion of mixture A, according to the residence time, are reported in
20 Supplementary data (Supplementary Fig. S8). It can be noted that at the early stages of
21 the reaction, dehydration is the predominant reaction. Those reactions were likely arising
22 from D-glucose conversion [23] even during the heating time (t₀). During this period,
23 carbonyls (including furanic species) and carboxylic acids (such as levulinic acid or lactic
24 425 acid) were widely produced. Thus, GC analyzed compounds in the liquid phases were
25 mainly composed by carbonyls and acids progressively converted into alcohols and
26 hydrocarbons. This evolution is consistent with the H₂ consumption and appeared to arise
27 between 45 and 60 min of reaction (Supplementary Table S2).
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34 Nevertheless, considering the carbon balances (Figure 2 [B]), the GC and HPLC
35 430 quantification represents only a fraction of the organic compounds produced. An
36 additional representation of the evolution of the liquid phases is given by normalized SEC-
37 RI (see Figure 3) and SEC-UV 254 nm (Supplementary Fig. S9) elugrams.
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41 Heavy compounds reached their maximum intensity within 15 min concomitantly with D-
42 glucose and furfural conversion. As previously observed [23], D-glucose was totally
43 435 converted after the heating period (t₀) contributing to the production of heavy molecular
44 weight compounds. Furfural, totally converted within 45 min, is also responsible for this
45 production. As reported in Figure 3 [B], products were likely soluble in the organic phases
46 until 45 min of reaction since a few solid residues were observed. With the increase of the
47 reaction time, the recovered organic phases decreased (See Figure 2 [A]) and completely
48 disappeared at 180 min of reaction time. In the aqueous phase (Figure 3 [A]), two intense
49 440 peaks were detected: a 248 g.mol⁻¹ PS eq. peak was ascribed to an unidentified D-glucose
50 conversion product and a 160 g.mol⁻¹ PS eq. peak belonging to 1,2-benzenediol. The
51 evolution of the latter peak is linked to the recovered guaiacol mass (quantified by GC-
52 FID) as illustrated by Figure 4. In the feed, 45 g of guaiacol was introduced.
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445 Figure 4 also reports the ratio between GC-quantified guaiacol products carbon
1 equivalent and carbon equivalent released from guaiacol conversion. GC-quantifiable
2 guaiacol products were 1,2-benzenediol and phenol. At the very beginning of the
3 reaction, less than 1 % of introduced guaiacol was converted. Nevertheless, only 11.7 wt%
4 of the released carbon was actually quantified by GC-FID. Further, more than 45 % of
5 guaiacol was converted but less than 1 wt% of the released carbon was actually ascribed
6 to it GC-quantifiable products. Finally, from 45 min, guaiacol conversion significantly
7 450 decreased (as shown by a higher GC-FID quantification of this compound in Figure 4) and
8 a growth of its products was observed.
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14 Three chemical pathways might describe guaiacol behavior during the catalytic
15 hydroconversion:
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- 17 - Firstly, guaiacol can be converted into GC-quantifiable hydroconversion products
18 (such as phenol or 1,2-benzenediol). If so, the sum of carbon equivalent of those
19 products would be necessarily equal to the sum of carbon equivalent from the
20 guaiacol conversion.
21
- 22 460 - Guaiacol or its products can react with heavy molecular weight compounds
23 product precursors issued from D-glucose and furfural (for example in Figure 4
24 through the formation of a hemi-acetal by nucleophilic addition followed by
25 dehydration). For a high guaiacol conversion rate, only few products will be
26 quantified by GC. In this case, the sum of carbon equivalent of GC-quantifiable
27 products is away from the sum of carbon equivalent from the guaiacol conversion.
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- 29 - At last, guaiacol could be not affected by the hydroconversion conditions and
30 remains in the organic phase. In this last case, a low guaiacol conversion rate as
31 well as low by-products detection would be observed.
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38 Referring to Figure 4, until 45 min of reaction, guaiacol quantification reached 19.4 g (i.e.
39 56 % of conversion) while less than 0.3 wt% of C of its products were quantified by GC-
40 470 FID. This yield suggests that guaiacol or its conversion products readily reacted with D-
41 glucose and furfural macromolecule precursors [23] to produce heavier structures still
42 soluble in the organic phase. Regarding the experimental balances (Figure 2 [A]), this
43 pathway seems to prevent the solid residues production observed when increasing the
44 amount of soluble macromolecule in the organic phase (Figure 3 [B]). Indeed, between 45
45 475 min and 60 min, recovered guaiacol mass increased while the yields of GC-quantifiable
46 products slightly increased. This trend suggests that soluble heavy molecular weight
47 compounds initially formed with guaiacol were further converted by hydrogenolysis.
48 Then, beyond 45 min of reaction, residual macromolecules were no longer soluble in the
49 organic phase and precipitated resulting in the decrease of intensities of SEC-RI signal
50 480 (Figure 3 [B]).
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58 In order to confirm the guaiacol role, a *Mixture B* (see feed compositions in Table 1) has
59 been converted under similar operating conditions. This mixture, in which 15 % of
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2 485 guaiacol were replaced by n-hexadecane, produced 50 wt% solid more than Mixture A. As
3 previously studied [23], those structures were observed from D-glucose hydroconversion
4 suggesting chemical pathway involving furanic species (i.e. 5-HMF, furfural, etc.)
5 production subsequently converted into macromolecules precursors. From Mixture B
6 hydroconversion, liquid phase analysis revealed that a higher amount of dehydration
7 reactions occurred. Those reactions were identified to promote the production of
8 macromolecules.
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11 Solid residues were highly made of carbon (up to 70 wt% of C). Reported ¹³C NMR in
12 Supplementary data (Supplementary Fig. S10), confirms that Mixture B solids residues
13 were containing less aromatics and alkyl compounds and more carbonyl functions.
14 Considering the high content of oxygen in the solid residues (25 wt% on average) and
15 their ¹³C NMR analysis, the precipitation suggests a change of the organic phase, like for
16 instance a decrease of the polarity during the hydroconversion for long reaction time.
17 495 Atomic carbon ratios did not change significantly with reaction time.
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23 As an intermediate conclusion, these results highlight the fast conversion of D-glucose
24 and furfural leading to macromolecules precursors. It has been observed that guaiacol
25 500 and its products led to the formation of larger soluble macromolecules until 45 min of
26 reaction. Afterwards, the GC analysis revealed the recovery of guaiacol and its product
27 (1,2-benzenediol) simultaneously with the precipitation of macromolecules.
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31 3.3. Effect of temperature on the mixture A catalytic hydroconversion

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33 To improve the understanding of *Mixture A* catalytic hydroconversion, the effect of
34 505 temperature was investigated. Thus, studied temperature were 200, 250 and 300°C.
35 Complete experimental balances as well as hydrogen consumptions are reported in
36 Supplementary data (Supplementary Table S3 and Fig. S11). Figure 5 [A] and [B] displays
37 respectively the experimental and carbon balances of those three experiments.
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42 At the lowest temperature, the liquid phases were mainly obtained (about 85 wt%),
43 510 containing most of the initially introduced carbon (i.e. 58.9 g verified by CHONS
44 elementary analysis). Nevertheless, as indicated in Figure 5 [B], carbon content quantified
45 by GC in the liquid phases was low. Temperature appears to strongly affect the effluent
46 distribution by producing a larger amount of solid residues and gas from 250°C (Figure 5
47 [A]). From the GC-FID analysis, reported in Supplementary data (Supplementary Fig. S12),
48 we can observe that higher temperature led to an increase of the alcohols function
49 production mostly from hydrogenolysis reaction. This will be further discussed
50 515 considering the guaiacol conversion.
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56 From a global point of view, SEC-RI analysis (Figure 6) and carbon balances (Figure 5 [B])
57 revealed that soluble macromolecules formed the main part of the products.
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520 Those SEC-RI analyses (SEC-UV 254 nm reported in supplementary Fig. S13) also indicated
1 the chemical pathways modifications between 250 and 300°C involving the
2 the disappearance of a 248 g.mol⁻¹ PS eq. peak (supposed from D-glucose products)
3 subsequently replaced by a 160 g.mol⁻¹ PS eq. peak (ascribed to 1,2-benzenediol). Those
4 two peaks were already observed during the previous reaction time. This behavior
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7 525 modification is confirmed regarding the recovered guaiacol mass and guaiacol products
8 quantified by GC reported in Table 5.
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11 As previously discussed, while the same quantity of guaiacol was recovered, its role was
12 completely dependent on the temperature. At 200°C, only 0.4 wt% of the carbon
13 equivalent from guaiacol conversion was quantified. This suggests that **it** reacted with D-
14 glucose and furfural macromolecules precursors. This result may explain the absence of
15 530 solid residues in the resulting effluent. Further, 250°C seems to be the breaking point
16 favoring the production of solid residues but still for a low amount of guaiacol
17 hydroconversion products. Finally, at 300°C, guaiacol was converted up to 17 wt% of C of
18 the carbon equivalent into aromatic products (as 1,2-benzenediol). Then, the **guaiacol**
19 conversion **limited the** macromolecules solubilization and **promoted** the solid formation.
20 Furthermore, decarbonylation and decarboxylation reactions increased between 250 and
21 300°C (Supplementary Fig. S12). This may lead to the production of less polar high
22 molecular weight compounds in the liquid phase which were less prone to react with
23 535 guaiacol (and its product) and precipitated.
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31 540 To get an insight of produced solids at 250 and 300°C, ¹³C NMR analysis were performed
32 (Supplementary Fig. S14). The ¹³C NMR spectra obtained for the two residues confirm the
33 previous observations. Indeed, although solid residues contain mostly aromatic and
34 aliphatic functional groups, temperature clearly affects the structures. Then, aromatic
35 groups dropped from 65 % carbon atoms at 250°C to 57 % carbon atoms at 300°C
36 suggesting that guaiacol (or its products as 1,2-benzenediol) was slightly less involved in
37 the soluble macromolecules formation at higher temperatures. The decrease of the
38 polarity of the solid precursors is in agreement with the elementary analysis of solids
39 545 containing 40.5 and 33.5 wt% of oxygen respectively at 250 and 300°C as previously
40 suggested in the case of coal derived liquids [39].
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47 550 Following the previous studies, the temperature is also a key parameter for this process.
48 In every case, D-glucose and furfural were proven to be very reactive compounds mainly
49 leading to macromolecules precursors. In order to limit the solid production, the mixture
50 should be processed at low temperature to initially promote the reaction of guaiacol with
51 macromolecules precursors. In this way, those structures are stabilized in the organic
52 phase.
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56 **4. Conclusions**

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1 Furfural and D-glucose catalytic hydroconversion led to a wide range of soluble
2 macromolecules **or precursors** that were prone to precipitate in a water medium.
3 Guaiacol addition limits the solid formation **and lead to** larger macromolecules (up to
4 560 5,000 g.mol⁻¹) **soluble** in the organic phase. This phenomenon was mainly observed at low
5 temperature and short reaction time suggesting that guaiacol was not converted into its
6 light usual hydroconversion products. At high temperature or long reaction time guaiacol
7 was mainly converted into light aromatic compounds and contributed in a lesser extent to
8 the macromolecule solubilization. This was observed simultaneously with the production
9 of solid residues.
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14 **The control of the macromolecules and subsequent solid production remains the main**
15 **drawback of the industrial bio-oil hydroconversion process. Moreover, in order to go**
16 **further and to better control the contact time and the temperature before reaction, a**
17 **similar study could be done in continuous reactor including an on-line sampling system.**
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19 570 **In the same operating conditions and thanks to the developed analytical strategy (i.e. SEC,**
20 **¹³C NMR, GC, HPLC), the effect of the presence of guaiacol during the bio-oil**
21 **hydroconversion was studied and will be the topic of a following paper.**
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25 **5. Appendix**

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28 Supplementary data associated with this article can be found, in the online version.
29

30 575 **6. Acknowledgments**

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	Single reactants			Ternary mixtures				Four-compound mixtures	
	Gua	Fur	Glu	Glu/Fur/AA	Glu/Gua/AA	Gua/Fur/AA	Glu/Gua/Fur	Mixture A	Mixture B
Water	30	30	30	30	30	30	30	30	30
D-Glucose	0	0	20	20	20	0	20	20	20
Furfural	0	13	0	13	0	13	13	13	13
Acetic acid	0	0	0	7	7	7	0	7	7
Guaiacol	30	0	0	0	30	30	30	30	15
n-C16	40	57	50	30	13	20	7	0	15

Table 1 : Composition in wt% of the single, three and four-compound (ternary) mixtures

		Single reactants			Ternary mixtures				
		Gua	Fur	Glu	Glu/Fur /AA	Glu/Gua /AA	Gua/Fur /AA	Glu/Gua/ Fur	Mixture A
Inlet	Liquid phase (g)	150	150	150	150	150	150	150	150
	Reduced catalyst (g)	14.1	14.3	14.5	14.2	14.1	14.2	14.1	14.3
	Introduced H ₂ (g)	2.3	3.6	2.5	2.7	2.1	3.2	2.9	2.2
Outlet	Liquid phase (g)	151.9	142.9	105.7	85.9	140.4	149.4	139.3	108.8
	Gaseous phase without H ₂ (g)	0	0.8	2.7	3.1	2.9	0.2	3.1	4.1
	Solid residues (g)	0	0	11.9	22.1	1.8	1.3	0.7	11.2
	Catalyst (g)	14.9	15.7	18.5	22.3	15.3	15	15.9	21
	Experimental loss (wt%)	-1.4	3.9	15.7	18.7	2.5	-0.2	3.7	11.7
	H ₂ consumption /introduced	0.2	0.5	0.2	0.1	0.1	0.4	0.4	0.1
H ₂ consumption /introduced reactant (mol/mol)	0.5	4.1	1.3	0.4	0.2	0.8	0.7	0.1	

Table 2 : Mass balances of single reactants, ternary mixtures and Mixture A
(Gua/Fur/AA/Glu) hydroconversion at 250°C during 1 h

	Feed	Recovered fractions						Carbon Balance Loss
	Mixture A	Liquid organic phase	Liquid aqueous phase	Washed liquid phase	Gas phase	Catalyst	Solid residues	
Weight of equivalent carbon (g)	58.9	2.2	4.9	34.9	1.2	7.0	7.8	- 0.9
Percentage of recovered equivalent carbon (wt %)	-	3.7	8.3	59.3	2.0	12.0	13.3	- 1.5

Table 3 : Global carbon balance of Mixture A (Gua/Fur/AA/Glu) catalytic hydroconversion at 250°C during 1 h

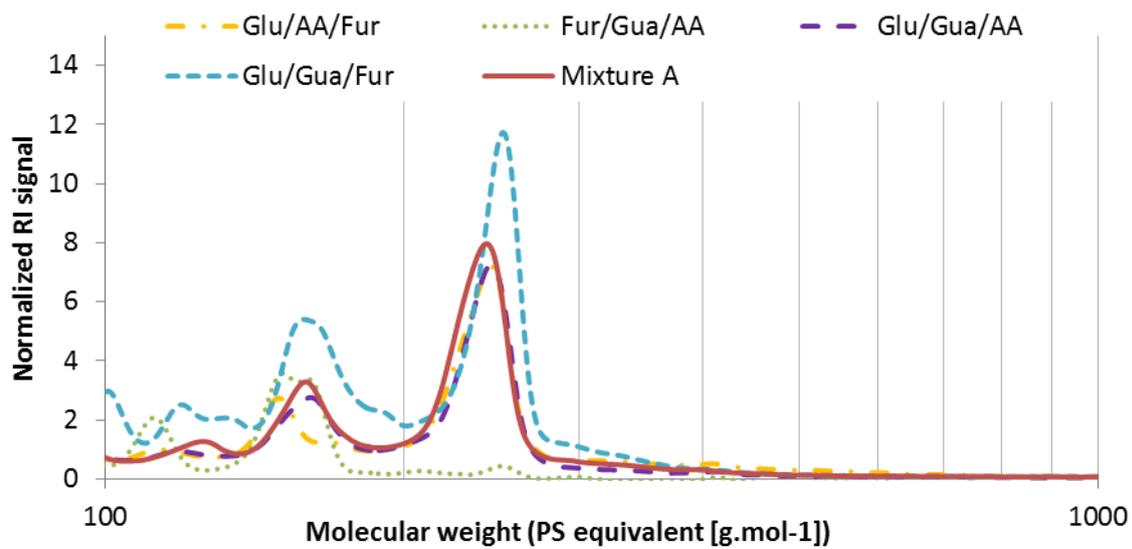
	Single reactants			Ternary mixtures				Mixture A
	Gua	Fur	Glu	Glu/Fur/AA	Glu/Gua/AA	Gua/Fur/AA	Glu/Gua/F	
Inlet (gC)	30.5	12.5	12.0	28.4	46.7	46.9	54.7	58.9
Liquid phases (wt%)	83.1	38.2	5.9	13,7	70.0	64.3	35.5	37.1
Gaseous phase (wt%)	0.0	1.8	6.5	3,9	1.9	0.3	1.8	2.0
Catalyst (wt%)	0.0	5.8	15.5	11,7	1.5	0.6	1.8	12.0
Solid residues (wt%)	0.0	0.0	44.6	65,1	0.0	0.0	0.0	13.3
Not quantified (wt%)	16.9	54.2	27.5	5,5	26.6	34.7	60.9	35.8

Table 4 : Carbon balances of single reactants, ternary mixtures and Mixture A

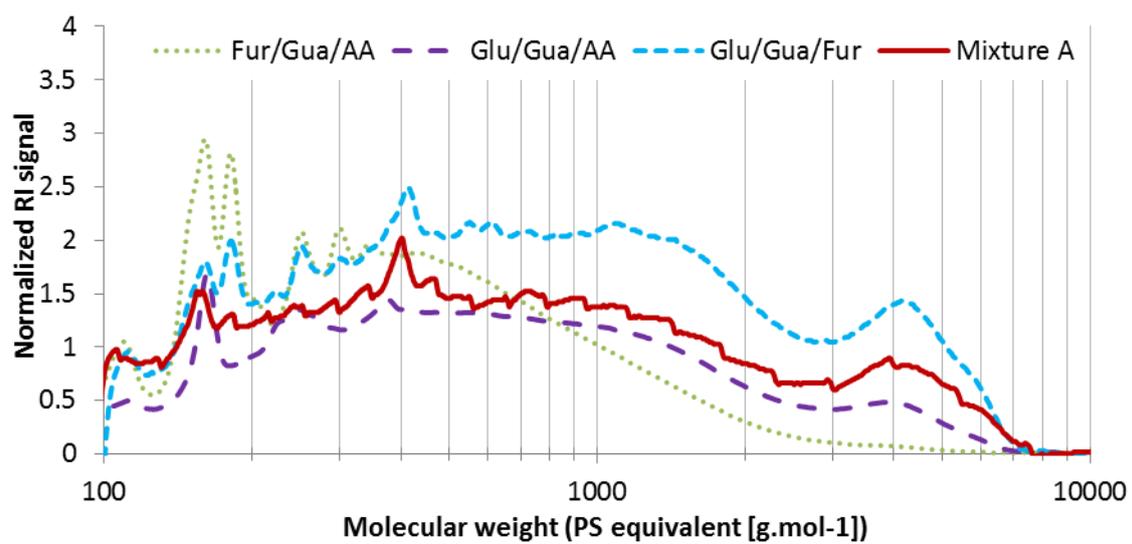
(Gua/Fur/AA/Glu) catalytic hydroconversion at 250°C during 1 h

	200°C	250°C	300°C
Quantified guaiacol (g)	27.2	27.3	29.0
GC quantified guaiacol products carbon / converted (wt%)	0.4	1.1	16.7

Table 5 : Guaiacol recovered mass and GC-quantified products yields as function of temperature (1 h)



[A]



[B]

Figure 1 : Normalized SEC-RI analysis of ternary mixtures and mixture A (Gua/Fur/AA/Glu) catalytic hydroconversion: [A] Aqueous phases, [B] Organic phases

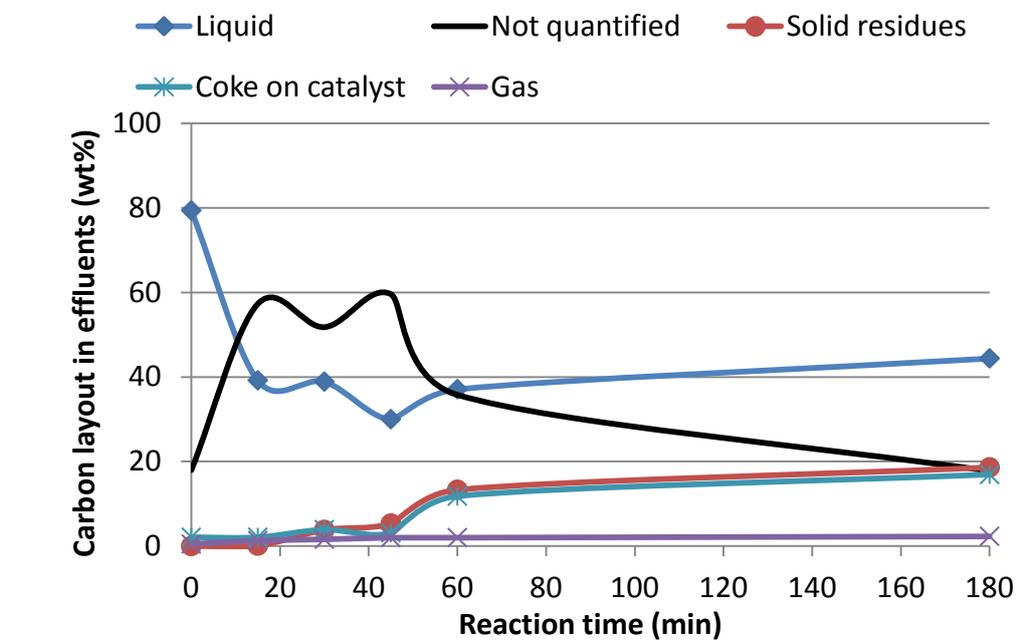
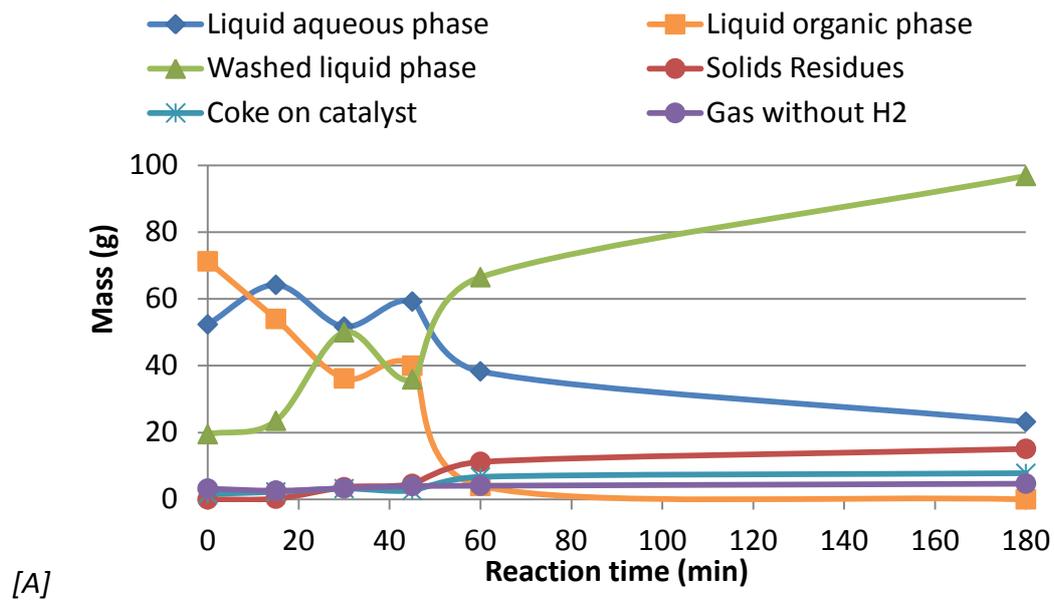


Figure 2 : [A] Experimental mass and [B] carbon balances of Mixture A (Gua/Fur/AA/Glu) hydroconversion as function of time (250 °C)

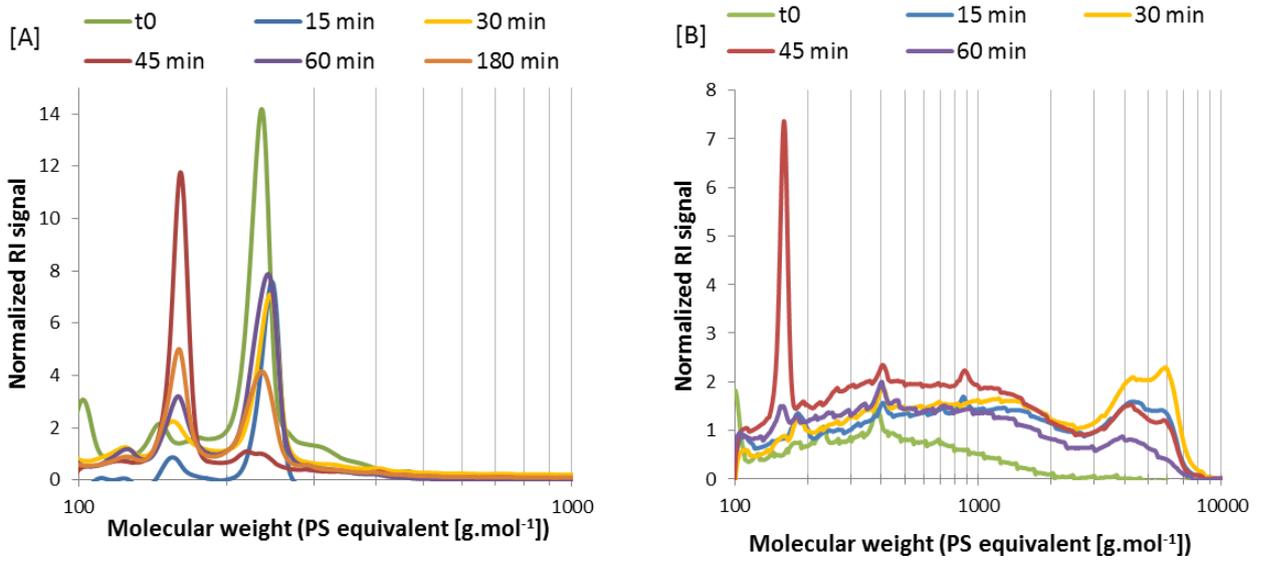


Figure 3 : Normalized SEC-RI analyses of Mixture A (Gua/Fur/AA/Glu) hydroconversion as a function of time (250 °C): [A] Aqueous phases, [B] Organic phases

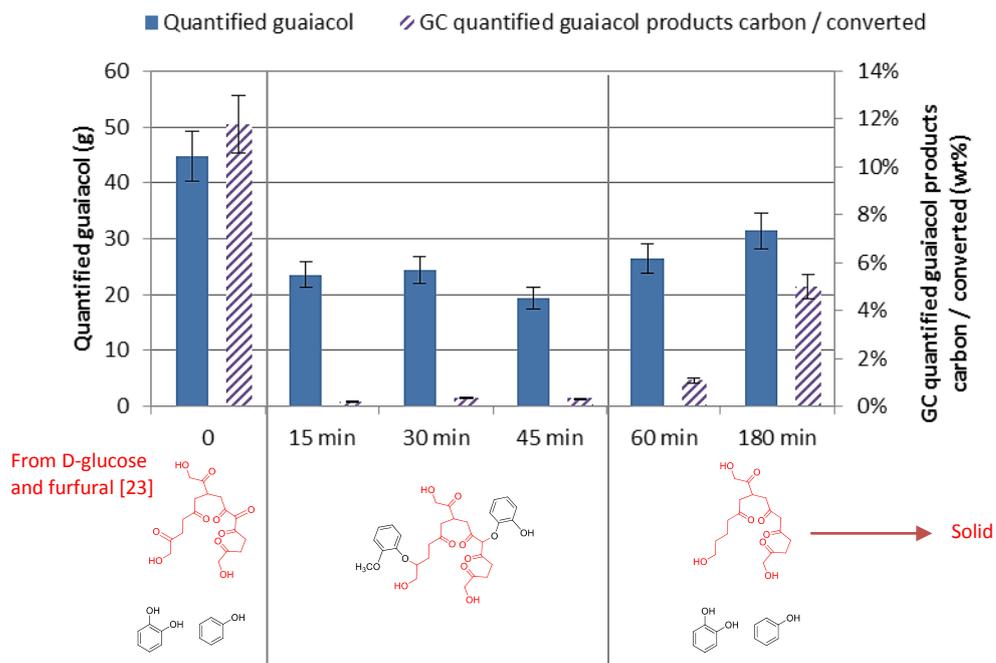


Figure 4 : Recovered guaiacol weight (left axis) and GC-quantified products carbon equivalent (right axis) as a function of time (250 °C)

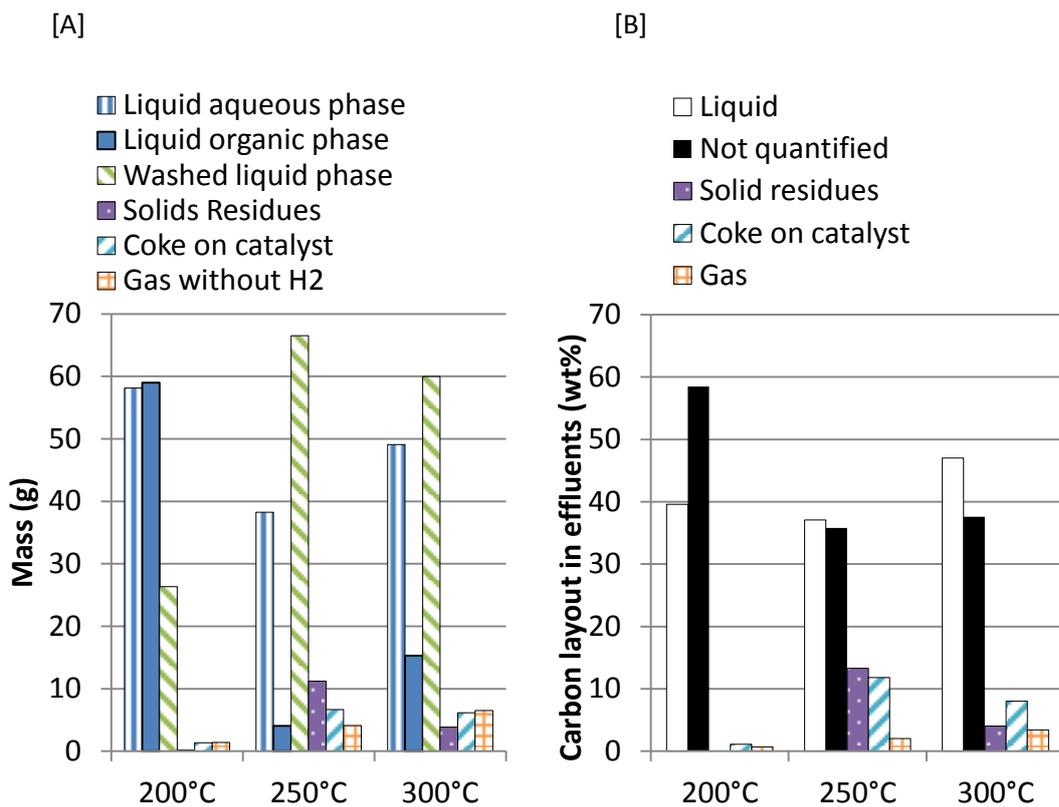


Figure 5 : [A] Experimental mass and [B] carbon balances of Mixture A (Gua/Fur/AA/Glu) hydroconversion as function of temperature (1 h)

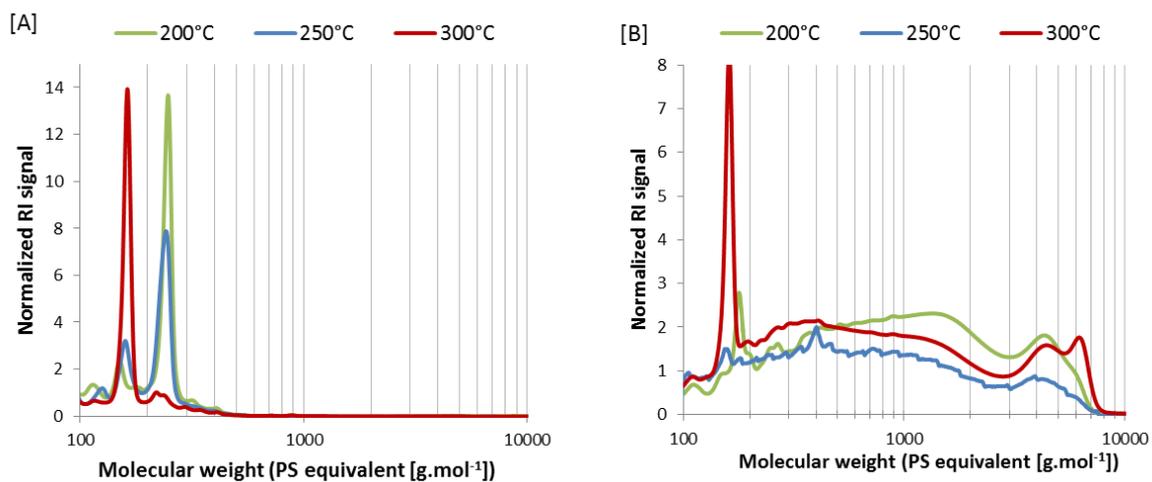


Figure 6 : Normalized SEC-RI analysis of mixture A (Gua/Fur/AA/Glu) catalytic hydroconversion as function of temperature (1 h): [A] Aqueous phases, [B] Organic phases

Impact of guaiacol on the formation of undesired macromolecules during catalytic hydroconversion of bio-oil: a model compounds study

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Supplementary

2. Materials and Methods

2.2. Experimental procedures

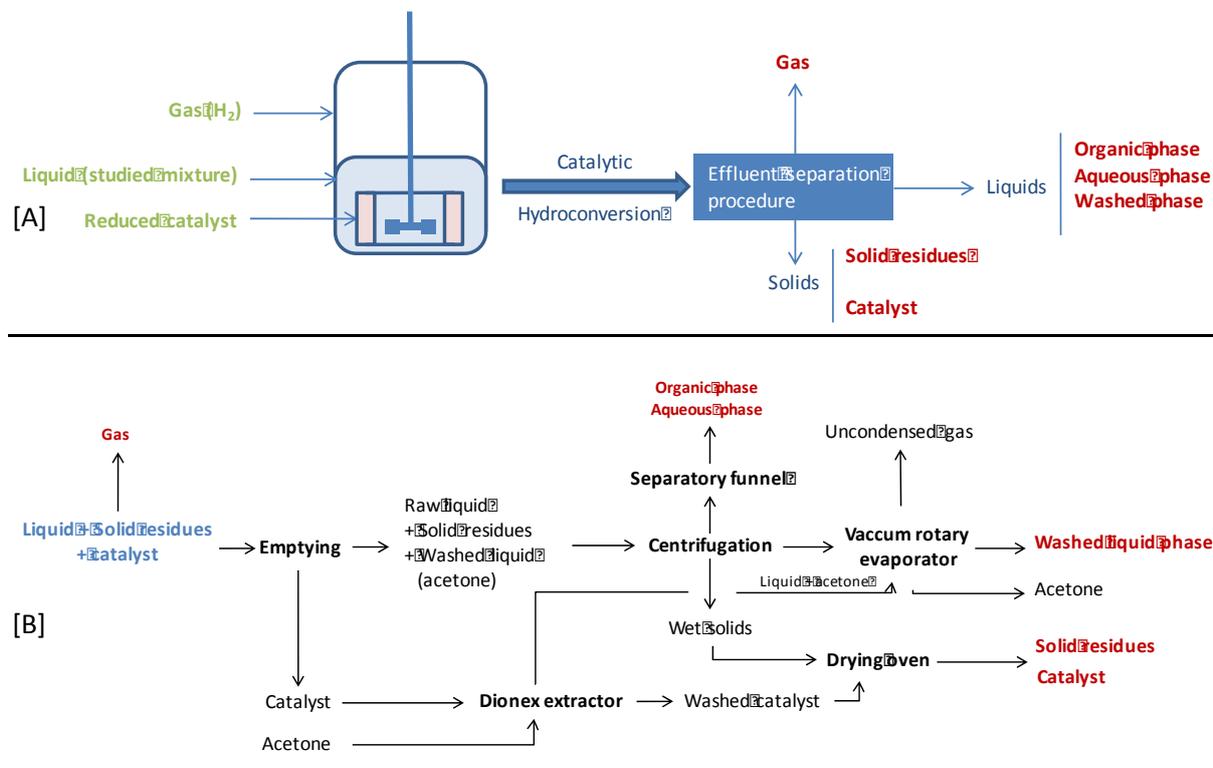


Figure S1 : Schematized experimental procedure [A] global [B] detailed

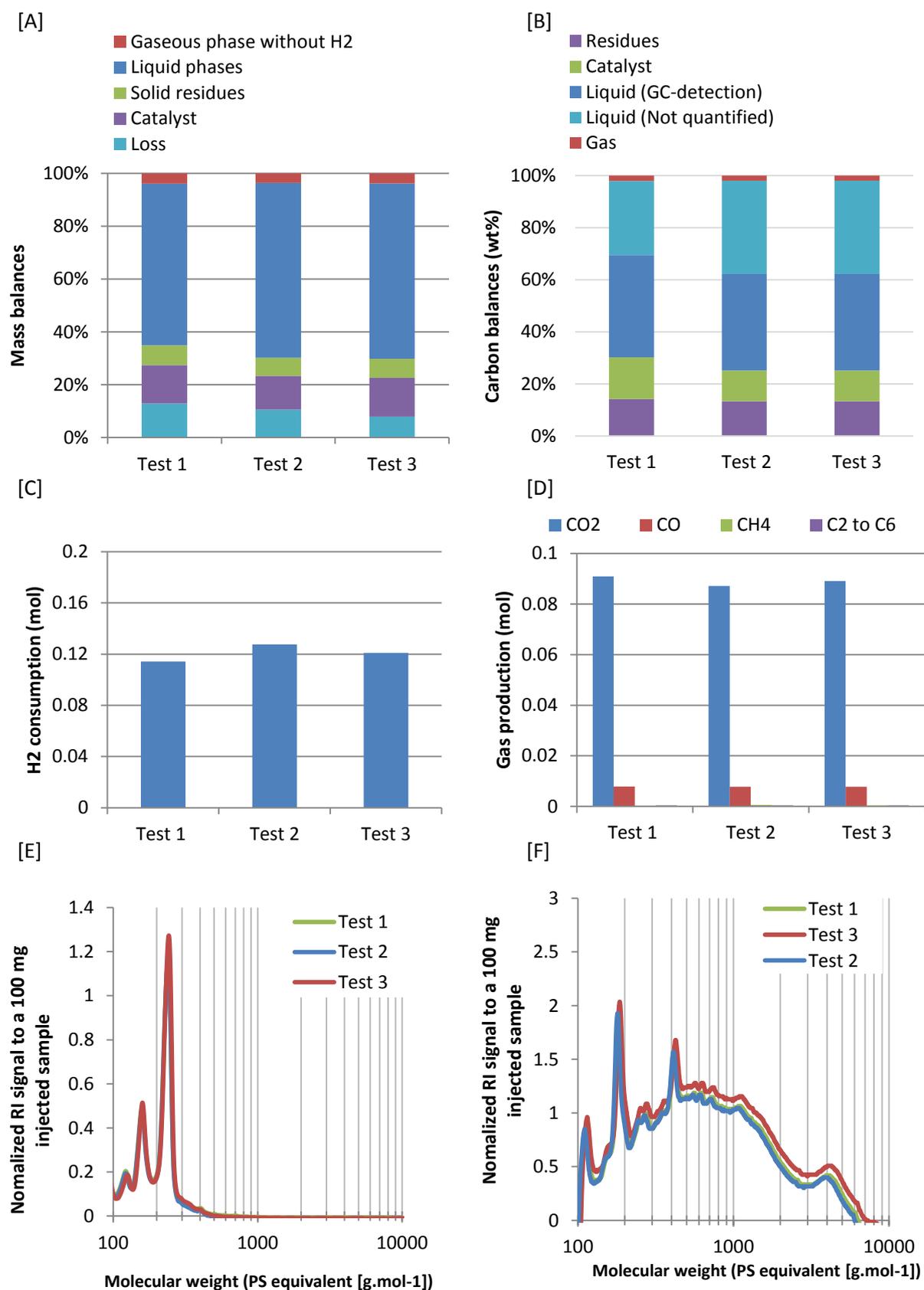


Figure S2 : Repeatability tests of the 5-compound mixture catalytic hydroconversion at 250°C during 1 h. [A] Mass balances, [B] Carbon balances from GC quantification of the liquid phases, [C] Hydrogen consumption, [D] Gas production, [E] SEC-RI analysis of the aqueous phases, [F] SEC-RI analysis of the organic phases

2.3. Analytical procedures

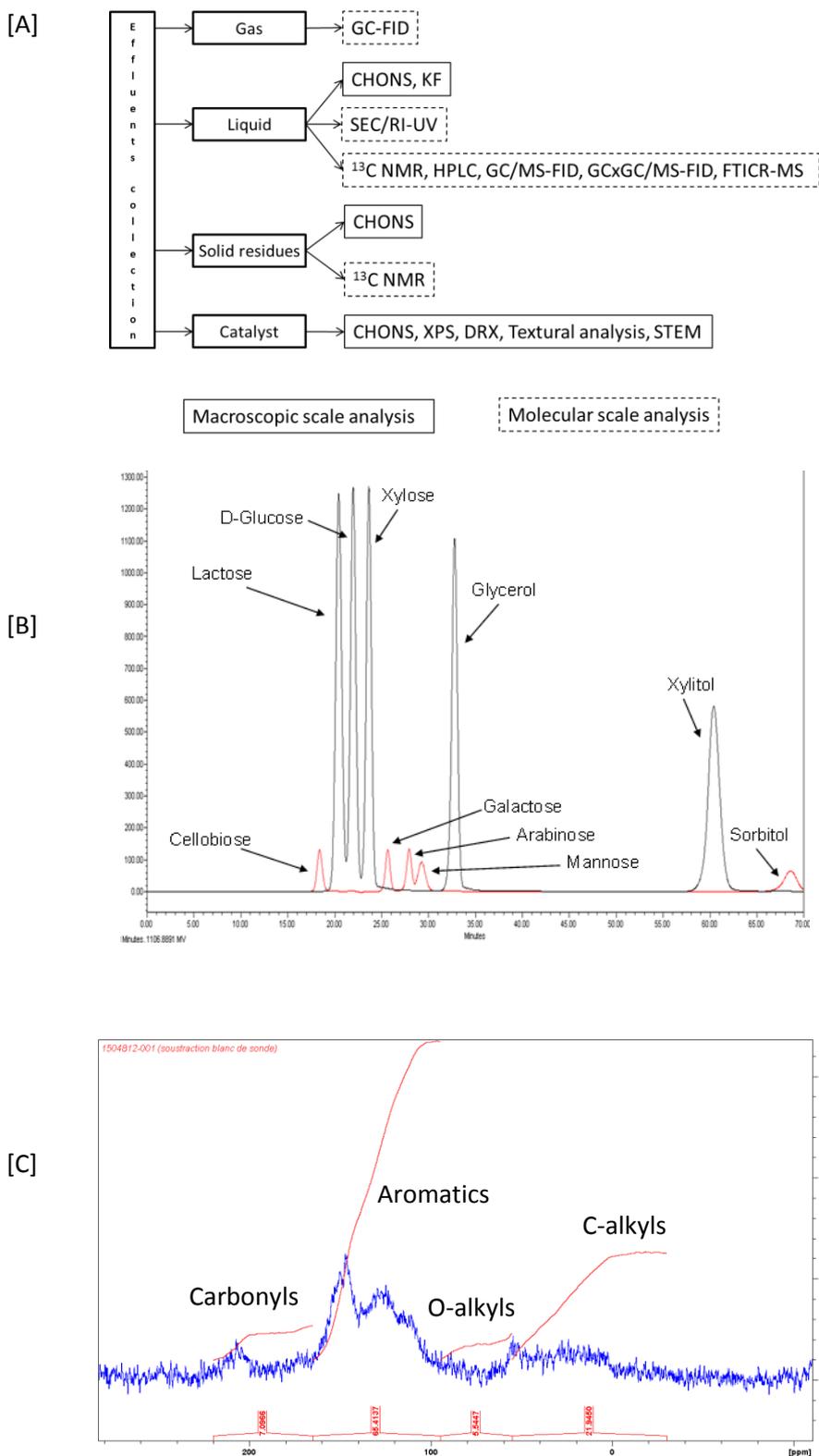


Figure S3 : [A] General analytic procedure, [B] HPLC-RI calibration, [C] Example of a ^{13}C NMR spectra of the solid residue produced from D-glucose at 250°C, 3 h

3. Results and discussion

3.1. Study of the catalytic hydroconversion leading to "Mixture A"

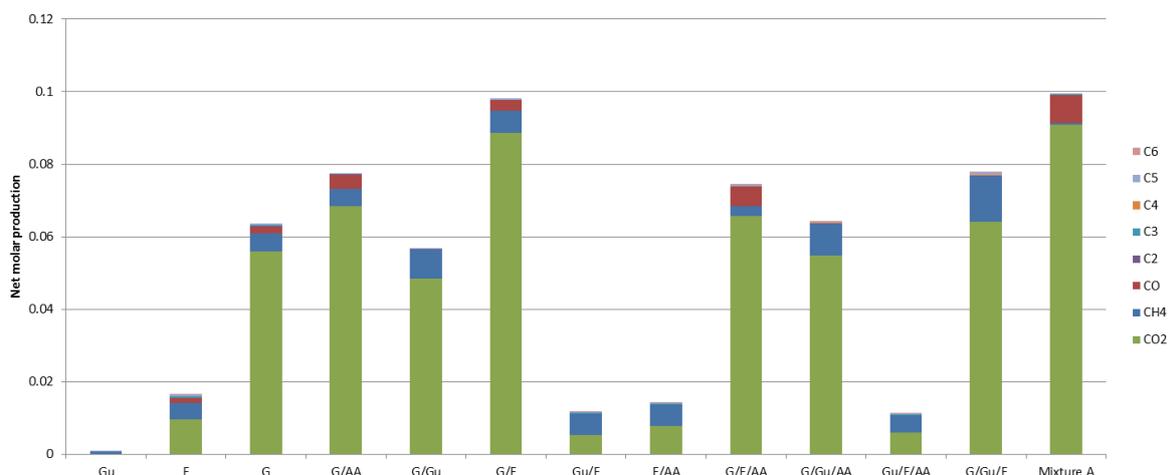
Table S1 reports the mass balances of the two-compound mixtures hydroconversion (250°C – 1 h).

		Binary mixtures				
		G/AA	G/Gu	G/F	Gu/F	F/AA
Inlet	Liquid phase (g)	150	150	150	150	150
	Water (wt%)	30	30	30	30	30
	D-Glucose (wt%)	20	20	20	0	0
	Furfural (wt%)	0	0	13	13	13
	Acetic acid (wt%)	7	0	0	0	7
	Guaiacol (wt%)	0	30	0	30	0
	n-C16 (wt%)	43	20	37	27	50
	Reduced catalyst (g)	14.4	14.5	14.2	14.1	14.1
	Introduced H ₂ (g)	2.3	2.7	2.5	3.6	3.3
Outlet	Liquid phase (g)	121.6	140.1	80.5	143.7	129.1
	Gaseous phase without H ₂ (g)	3.2	2.3	4.4	0.4	0.5
	Solid phase (g)	5.2	0.4	14.3	0.5	1.3
	Catalyst (g)	15.3	15.6	24.9	15.0	15.4
	Experimental loss (wt%)	11.6	4.0	24.3	3.7	11.4
	H ₂ consumption/introduced	0.1	0.2	0.2	0.5	0.4
	H ₂ consumption / introduced reactant (mol/mol)	0.4	0.6	0.6	1.5	1.8

Index : G (D-glucose), Gu (Guaiacol), F (Furfural), AA (Acetic Acid)

Table S1 : Experimental balances of the two-compounds mixtures hydroconversion (250°C – 1 h)

Gaseous phases analysis from mixtures hydroconversion are reported in Figure S4.



Index : G (D-glucose), Gu (Guaiacol), F (Furfural), AA (Acetic Acid)

Figure S4 : Net molar production in the gas phases from single to mixture A catalytic hydroconversion (250°C – 1 h)

Figure S5 illustrates the reaction scheme for guaiacol catalytic hydroconversion in the operating conditions. 20 compounds were detected by GC-FID analysis of recovered liquid phase.

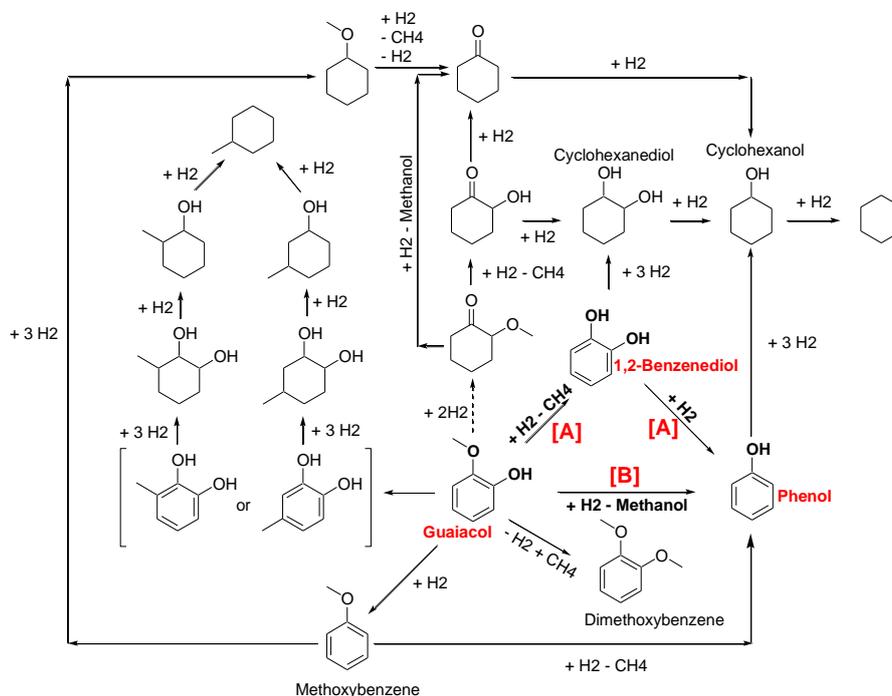


Figure S5: Guaiacol catalytic hydroconversion reaction pathways from GC-FID analysis

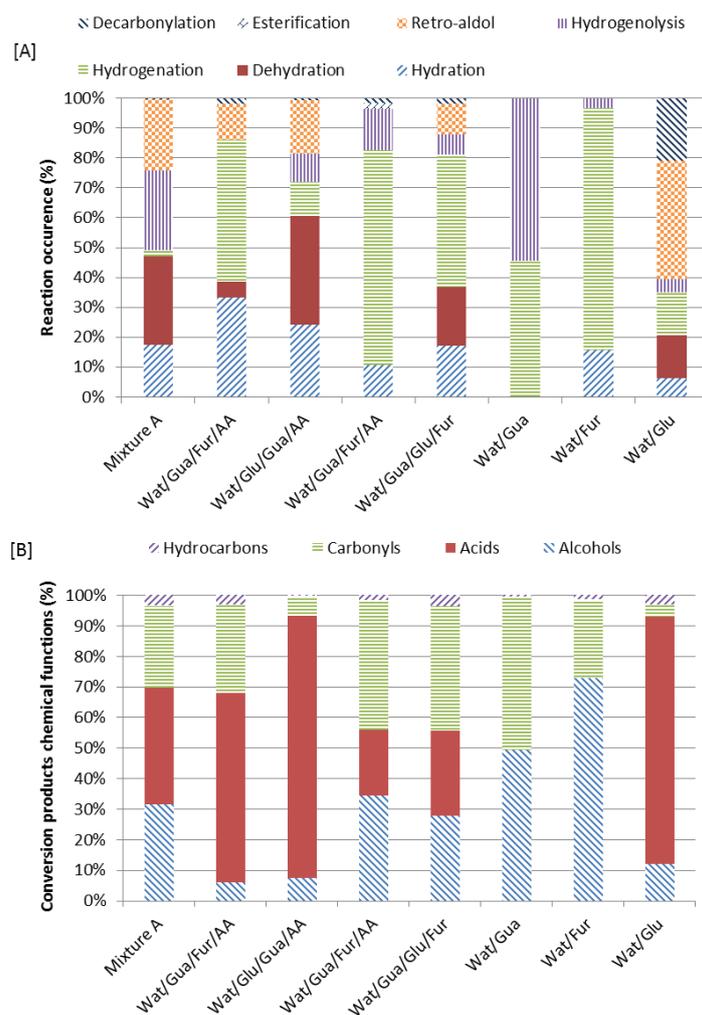


Figure S6 : Mixture A, ternary mixture and single quantified products (250°C – 1 h) [A] Chemical reaction distribution, [B] Chemical functions distribution from Mixture A

Considering previous reaction schemes [23] and Figure S5, distribution of GC detected products according to reaction pathways and chemical functions in the liquid effluents are reported respectively in Figure S6 [A] and [B]. These representations aim at evidencing the main reactions trends observed during the catalytic hydroconversion of *Mixture A*. For Figure S6 [A] simplification, when two pathways were identified to produce the same product, only the main one was considered. For example, 1,2-benzenediol is assumed to be produced only from guaiacol hydrogenolysis and the D-glucose pathway was omitted. For Figure S6 [B], each compound with two oxygenated functions has been accounted twice. The furfural and D-glucose conversion were 100 % for all the experiments. Acetic acid is considered as a reactant but also a D-glucose by-product, therefore no conversion is considered. Those products were quantified by GC and HPLC.

Mixture A conversion products appeared to arise from various chemical pathways producing equally carbonyls, acids and alcohols species. As previously shown, those distributions were

similar to the ternary system Glu/Gua/Fur. Guaiacol involved an increase of hydrogenation reactions (Figure S6 [A]) which is in line with H₂ consumptions reported in Table S1. D-glucose hydroconversion mainly resulted from water-equilibrated reactions (hydration/dehydration/retro-aldol). The presence of acetic acid did not seem to affect much the organic function distributions of GC-FID detected compounds.

3.2. Effect of the reaction time on the mixture A catalytic hydroconversion

Table S2 reports the mass balances.

Reaction time (min)		0	15	30	45	60	180
Inlet	Liquid phase (g)	150	150	150	150	150	150
	Reduced catalyst (g)	14.49	14.1	14	13.9	14.3	14.2
	Introduced H ₂ (g)	2.56	1.8	2.2	2.2	2.2	2.5
Outlet	Global liquid phases (g)	143.1	141.7	138	135.1	108.8	120
	• Liquid aqueous phase (g)	52.4	64.2	51.7	59.2	38.3	23.2
	• Liquid organic phase (g)	71.2	54	36.2	40	4	0
	• Washed liquid phase (g)	19.5	23.5	50	35.9	66.5	96.8
	Gaseous phase without H ₂ (g)	3.2	2.6	3.3	4	4.1	4.7
	Solids (g)	0	0.2	3.6	4.6	11.2	15.1
	Catalyst (g)	16.1	16.3	17.2	16.5	21	22
	Experimental loss (wt%)	2.7	2.0	1.3	2.4	11.7	1.8
	H ₂ consumption/introduced	0.12	0.11	0.13	0.15	0.12	0.34
	H ₂ consumption / introduced reactant (mol/mol)	0.17	0.14	0.16	0.18	0.14	0.26

Table S2 : Experimental balances of Mixture A hydroconversion as function of time (250 °C)

Figure S7 reports the gas production arising from Mixture A hydroconversion as a function of time.

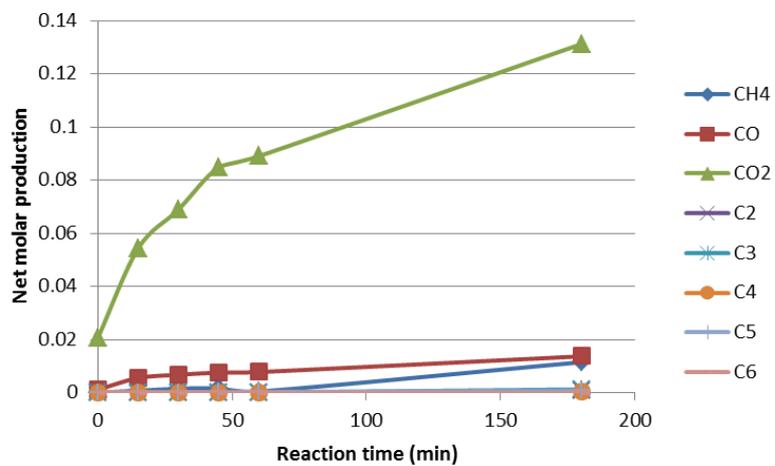


Figure S7 : Net molar production from mixture A catalytic hydroconversion (250°C)

The evolution of the main chemical functions and reactions observed for the products of catalytic hydroconversion of mixture A, according to the residence time, are reported in Figure S8.

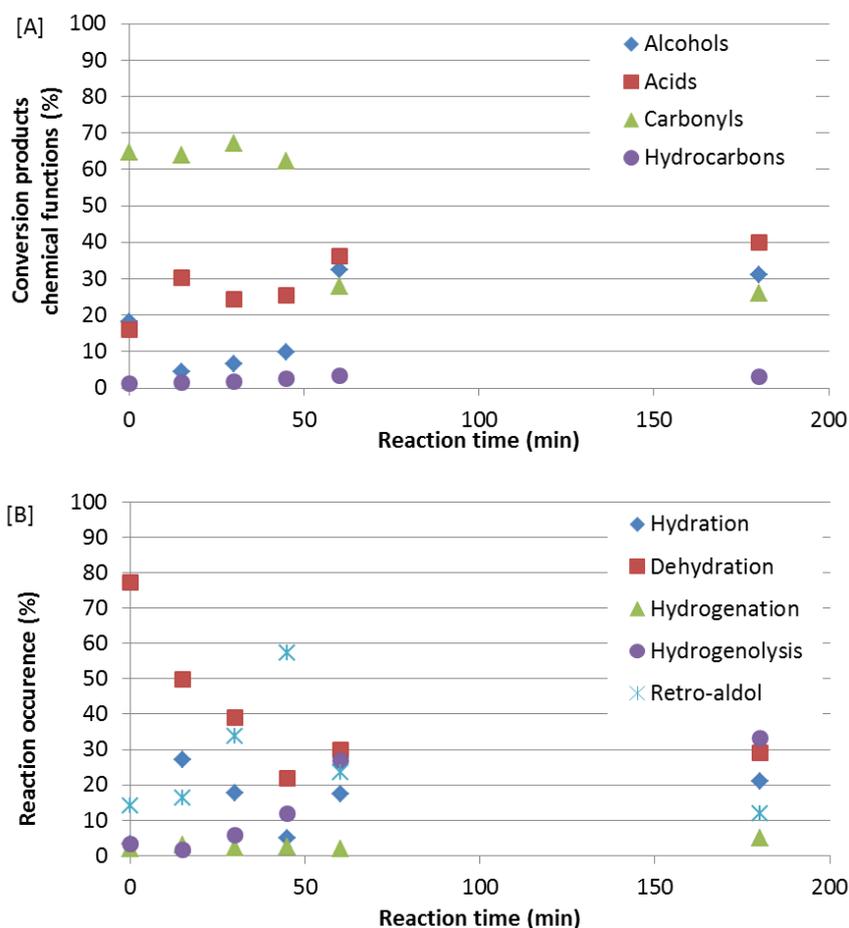


Figure S8 : Mixture A from quantified products as a function of time (250 °C) [A] Chemical functions distribution, [B] Chemical reactions distribution

It can be noted that at the early stages of the reaction, dehydration is the predominant reaction. Those reactions were likely arising from D-glucose conversion [22] even during the heating time (t_0). During this period, carbonyls (including furanic species) and carboxylic acids (such as levulinic acid or lactic acid) were widely produced. As illustrated in Figure S8 [B], retro-aldol reactions and hydrogenolysis reactions leading to shorter deoxygenated molecules species only appears predominantly from 45 min. This suggests some reaction pathways modifications confirmed by Figure S8 [A] between 45 min and 60 min. Thus, GC analyzed compounds in the liquid phases were mainly composed by carbonyls and acids progressively converted into alcohols and hydrocarbons. This evolution is consistent with the H_2 consumption and appeared to arise between 45 and 60 min of reaction (see Table S2).

Figure S9 reports the normalized SEC-UV254 nm analyses of liquid phases from Mixture A as a function of time.

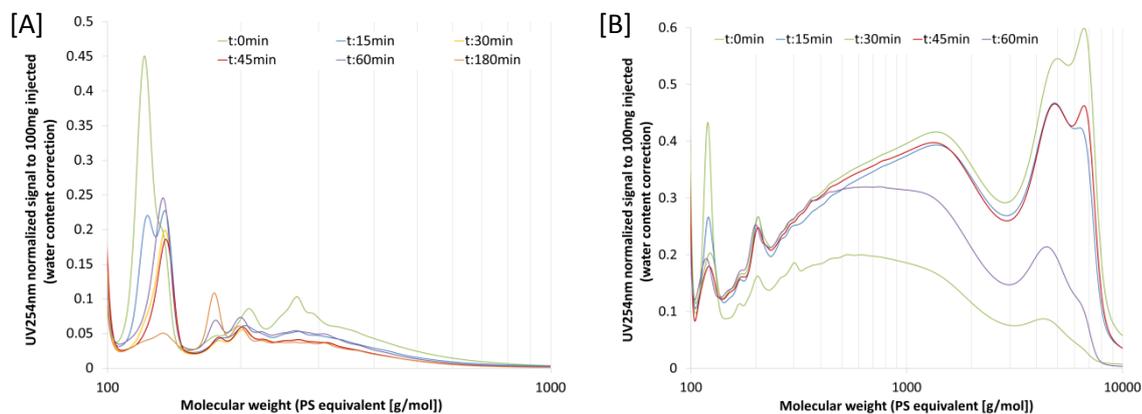


Figure S9 : Normalized SEC-UV254 nm analyses of Mixture A hydroconversion as a function of time: [A] Aqueous and [B] Organic phases (250°C)

Solid from mixtures A and B were analyzed by ^{13}C NMR. Distribution are reported in Figure S10.

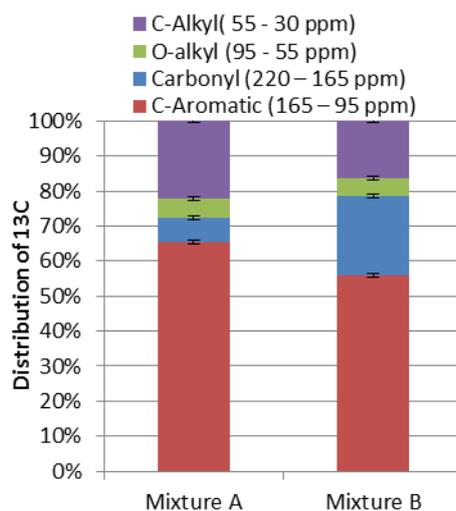


Figure S10 : ^{13}C NMR of Mixture A and B catalytic hydroconversion solid residues (250°C, 1 h)

3.3. Effect of temperature on the mixture A catalytic hydroconversion

Table S3 reports the mass balances.

Reaction temperature (°C)		200°C	250°C	300°C
Inlet	Liquid phase (g)	150.0	150.0	150.0
	Reduced catalyst (g)	14.3	14.3	14.4
	Introduced H ₂ (g)	3.5	2.2	1.4
Outlet	Global liquid phases (g)	143.4	108.8	124.4
	• Liquid aqueous phase (g)	58.1	38.3	49.1
	• Liquid organic phase (g)	59.0	4.0	15.3
	• Washed liquid phase (g)	26.3	66.5	60.0
	Gaseous phase without H ₂ (g)	1.4	4.1	6.5
	Solids (g)	0.2	11.2	3.8
	Catalyst (g)	15.6	21.0	20.6
	Experimental loss (wt%)	2.7	11.7	5.8
	H ₂ consumption/introduced (mol/mol)	0.3	0.1	0.3
	H ₂ consumption / introduced reactant (mol/mol)	0.5	0.1	0.3

Table S3 : Experimental balances of Mixture A hydroconversion as function of reaction temperature (1 h)

Figure S11 reports the gas production arising from Mixture A hydroconversion as a function of the temperature.

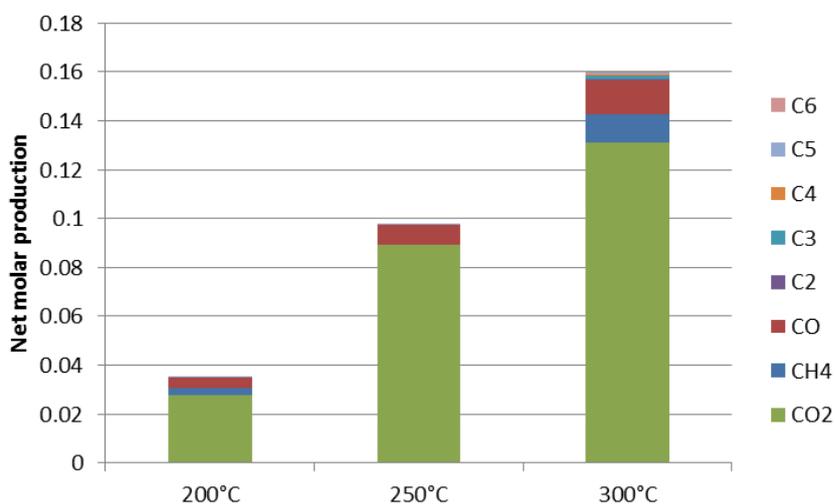


Figure S11: Net molar production from mixture A catalytic hydroconversion (1 h)

Liquid phases were analyzed by GC-FID and HPLC. Figure S12 reports the Chemical functions distribution and the chemical reaction distribution. We can observe that higher temperature led to an increase of the alcohols function production mostly from hydrogenolysis occurred.

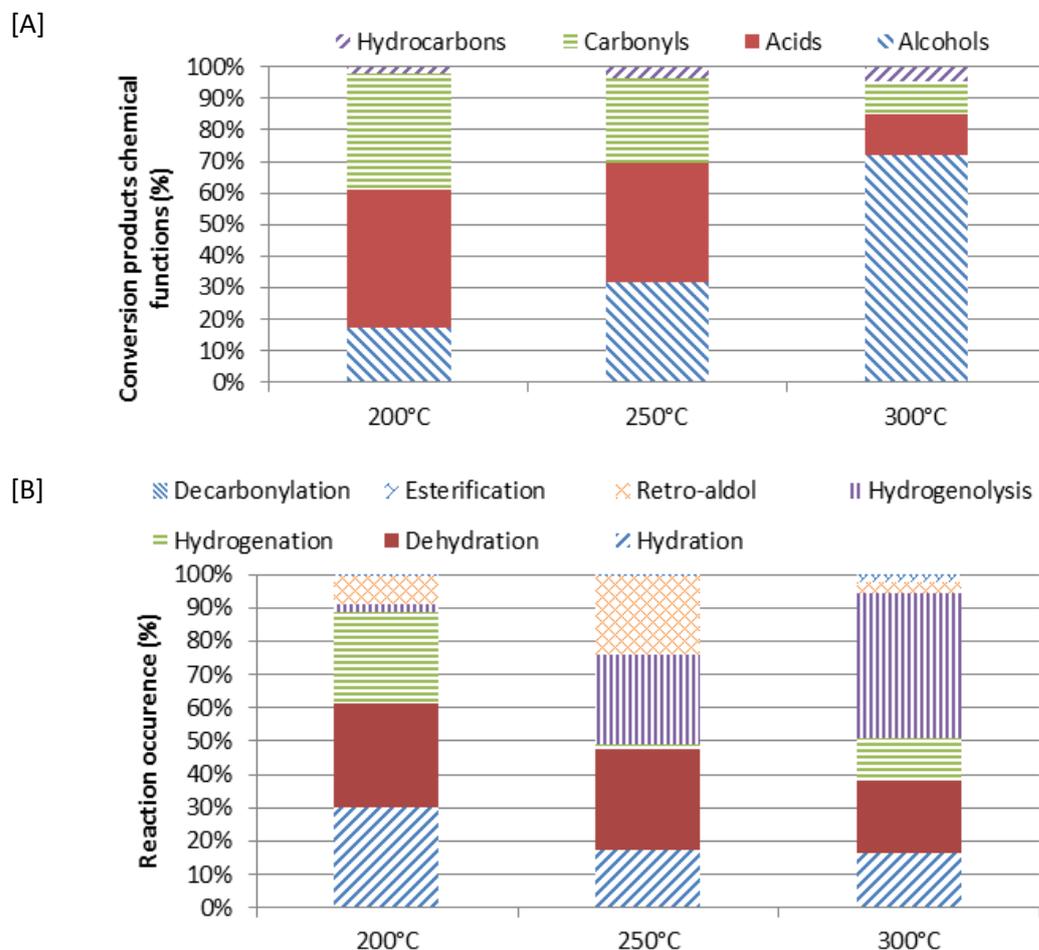


Figure S12: Mixture A quantified products as a function of the temperature (1 h) [A] Chemical functions distribution, [B] Chemical reaction distribution

Figure S13 reports the normalized SEC-UV254 nm analyses of liquid phases from Mixture A as a function of the temperature.

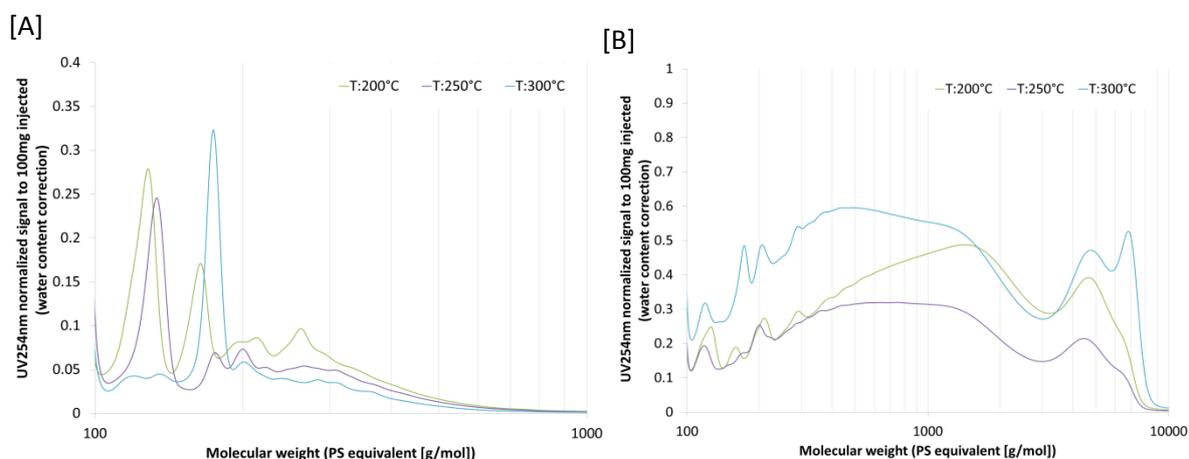


Figure S13 : Normalized SEC-UV254nm analysis of catalytic hydroconverted mixture A as function of reaction temperature (1 h) : [A] Aqueous and [B] Organic phases

Solid from mixtures A hydroconversion at 250 and 300°C were analyzed by ^{13}C NMR. Distribution are reported in Figure S14.

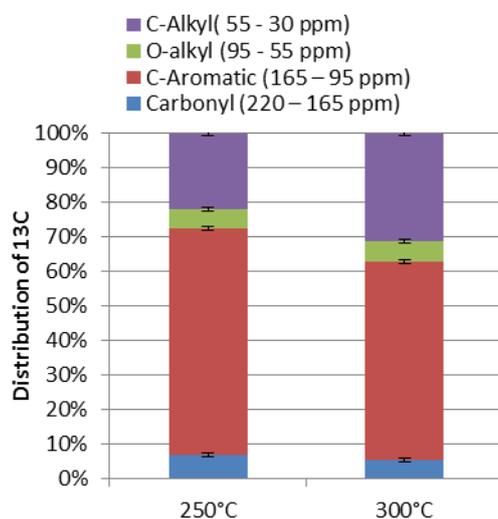
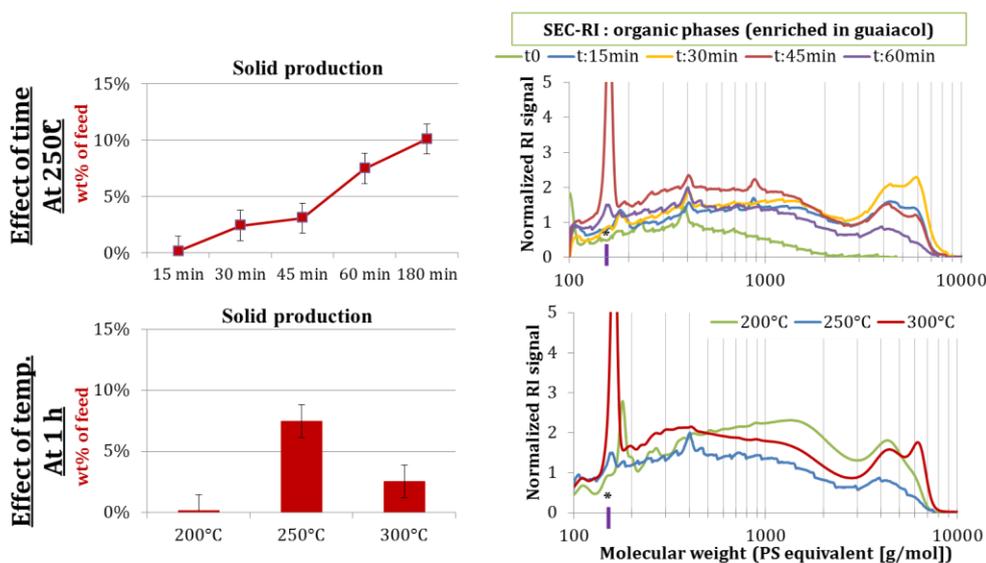


Figure S14 : ^{13}C NMR of Mixture A catalytic hydroconversion solid residues as a function of temperature (1 h)

5-Component mixture : summary of the solid and soluble macromolecule production



Guaiacol interactions with macromolecules

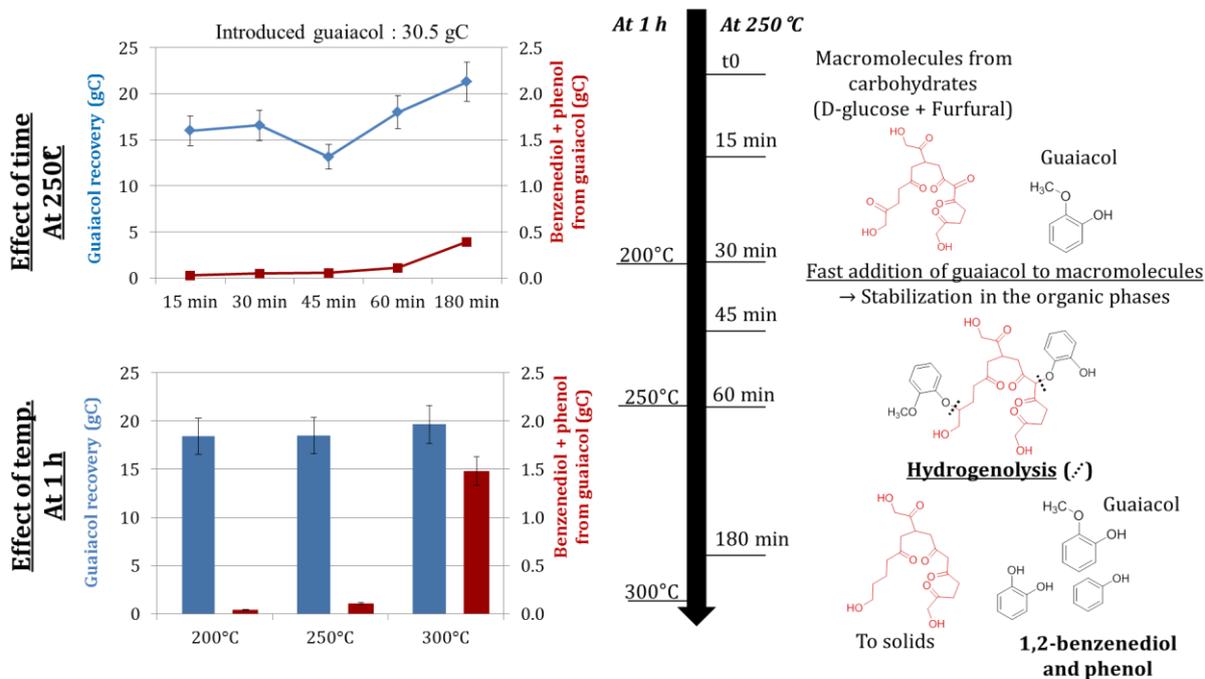


Figure S15 : Summary of the catalytic hydroconversion of the 5-component mixture depending on the reaction time and temperature