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# Synchronizability of Communicating Finite State Machines is not Decidable

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## Abstract

A system of communicating finite state machines is *synchronizable* [1, 4] if its send trace semantics, i.e. the set of sequences of sendings it can perform, is the same when its communications are FIFO asynchronous and when they are just rendez-vous synchronizations. This property was claimed to be decidable in several conference and journal papers [1, 4, 3, 2] for either mailboxes (\*-1) or peer-to-peer (1-1) communications, thanks to a form of small model property. In this paper, we show that this small model property does not hold neither for mailbox communications, nor for peer-to-peer communications, therefore the decidability of synchronizability becomes an open question. We close this question for peer-to-peer communications, and we show that synchronizability is actually undecidable. We show that synchronizability is decidable if the topology of communications is an oriented ring. We also show that, in this case, synchronizability implies the absence of unspecified receptions and orphan messages, and the channel-recognizability of the reachability set.

**1998 ACM Subject Classification** F.1.2 Modes of Computation

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## 1 Introduction

Asynchronous distributed systems are error prone not only because they are difficult to program, but also because they are difficult to execute in a reproducible way. The slack of communications, measured by the number of messages that can be buffered in a same communication channel, is not always under the control of the programmer, and even when it is, it may be delicate to choose the right size of the communication buffers.

*Slack elasticity* of a distributed system with asynchronous communications is the property that the “observable behaviour” of the system is the same whatever the slack of communications is. There are actually as many notions of slack elasticity as there are notions of observable behaviours (and of distributed systems). Slack elasticity has been studied in various contexts: for hardware design [15], with the goal of ensuring that some code transformations are semantic-preserving, for parallel programming in MPI [17, 18], for ensuring the absence of deadlocks and other bugs, or more recently for web services and choreographies [1, 4, 2], for verifying various properties, among which choreography realizability [3].

This paper focuses on *synchronizability* [1], a special form of slack elasticity that was defined by Basu and Bultan for analyzing choreographies. Synchronizability is the slack elasticity of the send trace semantics of the system: a system of communicating finite state



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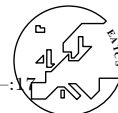
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machines is synchronizable if any asynchronous trace can be mimicked by a synchronous one that contains the same send actions in the same order. Synchronizability was claimed decidable first for mailbox communications [4], where each peer stores all incoming messages in a unique mailbox in a FIFO fashion. Later, the decidability claim was extended to peer-to-peer communications [2], where there is a FIFO queue for every pair of peers. Synchronizability seemed to contrast with many other properties of systems of communicating finite state machines (including deadlock-freedom, absence of orphan messages, boundedness, etc) that are undecidable for systems of just two machines [6]. The proof relied on the claim that synchronizability would be the same as 1-synchronizability, which states that any 1-bounded trace can be mimicked by a synchronous trace.

In this paper, we show that the two claims are actually false: 1-synchronizability does not imply synchronizability, and at least for peer-to-peer communications, synchronizability is undecidable. We also show that the two claims hold, however, if we restrict to systems where the communication topology is an oriented, unidirectional ring, in particular the topology of a system with two peers only. While proving that 1-synchronizability implies synchronizability for ring topologies we also show that 1-synchronizability implies the absence of unspecified receptions and orphan messages, and that the reachability set is channel-recognizable.

**Outline** The paper focuses on the peer-to-peer communication model. Section 2 introduces all notions of communicating finite state machines and synchronizability. In Section 3, we show that synchronizability is undecidable. Section 4 shows the decidability of synchronizability on ring topologies. Section 5 concludes with discussions and open problems about other communication models, in particular the mailbox communication model that was the first and the most studied model in previous works on synchronizability.

**Related Work** The analysis of systems of communicating finite state machines has always been a very active topic of research. Systems with channel-recognizable (aka QDD [5] representable) reachability sets are known to enjoy a decidable reachability problem [16]. Heussner *et al* developed a CEGAR approach based on regular model-checking [12]. Classifications of communication topologies according to the decidability of the reachability problems are known for FIFO, FIFO+lossy, and FIFO+bag communications [8, 9]. In [14, 13], the bounded context-switch reachability problem for communicating machines extended with local stacks modeling recursive function calls is shown decidable under various assumptions. Session types dialects have been introduced for systems of communicating finite state machines [10], and were shown to enforce various desirable properties. Existentially-bounded systems are systems of communicating finite state machines that were studied in a language-theoretic perspective: in [11], in particular, correspondences have been established among message sequence charts languages defined on the one hand by (universally/existentially bounded) systems of communicating machines and on the other hand by monadic second order logic over partial orders and automata. Whether a system of communicating machines is existentially bounded, respectively existentially  $k$ -bounded for a fixed  $k$ , is undecidable in the general case, but it is unknown whether it remains undecidable for systems that are non-blocking.

## 2 Preliminaries

**Messages and topologies** A *message set*  $M$  is a tuple  $\langle \Sigma_M, p, \text{src}, \text{dst} \rangle$  where  $\Sigma_M$  is a finite set of letters (more often called messages),  $p \geq 1$  and  $\text{src}, \text{dst}$  are functions that associate

to every letter  $a \in \Sigma$  naturals  $\text{src}(a) \neq \text{dst}(a) \in \{1, \dots, p\}$ . We often write  $a^{i \rightarrow j}$  for a message  $a$  such that  $\text{src}(a) = i$  and  $\text{dst}(a) = j$ ; we often identify  $M$  and  $\Sigma_M$  and write for instance  $M = \{a_1^{i_1 \rightarrow j_1}, a_2^{i_2 \rightarrow j_2}, \dots\}$  instead of  $\Sigma_M = \dots$ , or  $w \in M^*$  instead of  $w \in \Sigma_M^*$ . The communication topology associated to  $M$  is the graph  $G_M$  with vertices  $\{1, \dots, p\}$  and with an edge from  $i$  to  $j$  if there is a message  $a \in \Sigma_M$  such that  $\text{src}(a) = i$  and  $\text{dst}(a) = j$ .  $G_M$  is an *oriented ring* if the set of edges of  $G_M$  is  $\{(i, j) \mid i + 1 = j \bmod p\}$ .

**Traces** An *action*  $\lambda$  over  $M$  is either a send action  $!a$  or a receive action  $?a$ , with  $a \in \Sigma_M$ . The peer  $\text{peer}(\lambda)$  of action  $\lambda$  is defined as  $\text{peer}(!a) = \text{src}(a)$  and  $\text{peer}(?a) = \text{dst}(a)$ . We write  $\text{Act}_{i,M}$  for the set of actions of peer  $i$  and  $\text{Act}_M$  for the set of all actions over  $M$ . A  $M$ -trace  $\tau$  is a finite (possibly empty) sequence of actions. We write  $\text{Act}_M^*$  for the set of  $M$ -traces,  $\epsilon$  for the empty  $M$ -trace, and  $\tau_1 \cdot \tau_2$  for the concatenation of two  $M$ -traces. We sometimes write  $!a$  for  $!a \cdot ?a$ . A  $M$ -trace  $\tau$  is a prefix of  $v$ ,  $\tau \leq_{\text{pref}} v$  if there is  $\theta$  such that  $v = \tau \cdot \theta$ . The prefix closure  $\downarrow S$  of a set of  $M$ -traces  $S$  is the set  $\{\tau \in \text{Act}_M^* \mid \text{there is } v \in S \text{ such that } \tau \leq_{\text{pref}} v\}$ . For a  $M$ -trace  $\tau$  and peer ids  $i, j \in \{1, \dots, p\}$  we write

- $\text{send}(\tau)$  (resp.  $\text{recv}(\tau)$ ) for the sequence of messages sent (resp. received) during  $\tau$ , *i.e.*  $\text{send}(!a) = a$ ,  $\text{send}(?a) = \epsilon$ , and  $\text{send}(\tau_1 \cdot \tau_2) = \text{send}(\tau_1) \cdot \text{send}(\tau_2)$  (resp.  $\text{recv}(!a) = \epsilon$ ,  $\text{recv}(?a) = a$ , and  $\text{recv}(\tau_1 \cdot \tau_2) = \text{recv}(\tau_1) \cdot \text{recv}(\tau_2)$ ).
- $\text{onPeer}_i(\tau)$  for the  $M$ -trace of actions  $\lambda$  in  $\tau$  such that  $\text{peer}(\lambda) = i$ .
- $\text{onChannel}_{i \rightarrow j}(\tau)$  for the  $M$ -trace of actions  $\lambda$  in  $\tau$  such that  $\lambda \in \{!a, ?a\}$  for some  $a \in M$  with  $\text{src}(a) = i$  and  $\text{dst}(a) = j$ .
- $\text{buffer}_{i \rightarrow j}(\tau)$  for the word  $w \in M^*$ , if it exists, such that  $\text{send}(\text{onChannel}_{i \rightarrow j}(\tau)) = \text{recv}(\text{onChannel}_{i \rightarrow j}(\tau)) \cdot w$ .

A  $M$ -trace  $\tau$  is *FIFO* (resp. a *k-bounded FIFO*, for  $k \geq 1$ ) if for all  $i, j \in \{1, \dots, p\}$ , for all prefixes  $\tau'$  of  $\tau$ ,  $\text{buffer}_{i \rightarrow j}(\tau')$  is defined (resp. defined and of length at most  $k$ ). A  $M$ -trace is *synchronous* if it is of the form  $!a_1 \cdot !a_2 \cdots !a_k$  for some  $k \geq 0$  and  $a_1, \dots, a_k \in M$ . In particular, a synchronous  $M$ -trace is a 1-bounded FIFO  $M$ -trace (but the converse is false). A  $M$ -trace  $\tau$  is *stable* if  $\text{buffer}_{i \rightarrow j}(\tau) = \epsilon$  for all  $i \neq j \in \{1, \dots, p\}$ .

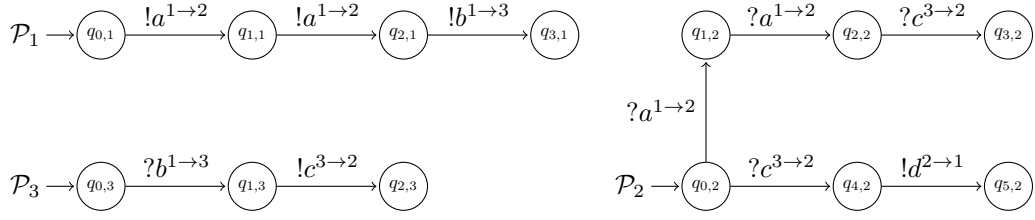
Two  $M$ -traces  $\tau, v$  are *causal-equivalent*  $\tau \stackrel{\text{causal}}{\sim} v$  if **1.**  $\tau, v$  are FIFO, and **2.** for all  $i \in \{1, \dots, p\}$ ,  $\text{onPeer}_i(\tau) = \text{onPeer}_i(v)$ . The relation  $\stackrel{\text{causal}}{\sim}$  is a congruence with respect to concatenation. Intuitively,  $\tau \stackrel{\text{causal}}{\sim} v$  if  $\tau$  is obtained from  $v$  by iteratively commuting adjacent actions that are not from the same peer and do not form a “matching send/receive pair”.

**Peers, systems, configurations** A system (of communicating machines) over a message set  $M$  is a tuple  $\mathcal{S} = \langle \mathcal{P}_1, \dots, \mathcal{P}_p \rangle$  where for all  $i \in \{1, \dots, p\}$ , the peer  $\mathcal{P}_i$  is a finite state automaton  $\langle Q_i, q_{0,i}, \Delta_i \rangle$  over the alphabet  $\text{Act}_{i,M}$  and with (implicitly)  $Q_i$  as the set of accepting states. We write  $L(\mathcal{P}_i)$  for the set of  $M$ -traces that label a path in  $\mathcal{P}_i$  starting at the initial state  $q_{0,i}$ .

Let the system  $\mathcal{S}$  be fixed. A *configuration*  $\gamma$  of  $\mathcal{S}$  is a tuple  $(q_1, \dots, q_p, w_{1,2}, \dots, w_{p-1,p})$  where  $q_i$  is a state of  $\mathcal{P}_i$  and for all  $i \neq j$ ,  $w_{i,j} \in M^*$  is the content of channel  $i \rightarrow j$ . A configuration is *stable* if  $w_{i,j} = \epsilon$  for all  $i, j \in \{1, \dots, p\}$  with  $i \neq j$ .

Let  $\gamma = (q_1, \dots, q_p, w_{1,2}, \dots, w_{p-1,p})$ ,  $\gamma' = (q'_1, \dots, q'_p, w'_{1,2}, \dots, w'_{p-1,p})$  and  $m \in M$  with  $\text{src}(m) = i$  and  $\text{dst}(m) = j$ . We write  $\gamma \xrightarrow{!m}_{\mathcal{S}} \gamma'$  (resp.  $\gamma \xrightarrow{?m}_{\mathcal{S}} \gamma'$ ) if  $(q_i, !m, q'_i) \in \Delta_i$  (resp.  $(q_j, ?m, q'_j) \in \Delta_j$ ),  $w'_{i,j} = w_{i,j} \cdot m$  (resp.  $w_{i,j} = m \cdot w'_{i,j}$ ) and for all  $k, \ell$  with  $k \neq i$  (resp. with  $k \neq j$ ),  $q_k = q'_k$  and  $w'_{k,\ell} = w_{k,\ell}$  (resp.  $w'_{\ell,k} = w_{\ell,k}$ ). If  $\tau = \lambda_1 \cdot \lambda_2 \cdots \lambda_n$ , we write  $\xrightarrow{\tau}_{\mathcal{S}}$  for  $\xrightarrow{\lambda_1}_{\mathcal{S}} \xrightarrow{\lambda_2}_{\mathcal{S}} \cdots \xrightarrow{\lambda_n}_{\mathcal{S}}$ . We often write  $\xrightarrow{\tau}$  instead of  $\xrightarrow{\tau}_{\mathcal{S}}$  when  $\mathcal{S}$  is clear from the context. The *initial configuration* of  $\mathcal{S}$  is the stable configuration  $\gamma_0 = (q_{0,1}, \dots, q_{0,p}, \epsilon, \dots, \epsilon)$ . A  $M$ -trace

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■ **Figure 1** System of Example 1 and Theorem 3.

$\tau$  is a trace of system  $\mathcal{S}$  if there is  $\gamma$  such that  $\gamma_0 \xrightarrow{\tau} \gamma$ . Equivalently,  $\tau$  is a trace of  $\mathcal{S}$  if **1.** it is a FIFO trace, and **2.** for all  $i \in \{1, \dots, p\}$ ,  $\text{onPeer}_i(\tau) \in L(\mathcal{P}_i)$ . For  $k \geq 1$ , we write  $\text{Traces}_k(\mathcal{S})$  for the set of  $k$ -bounded traces of  $\mathcal{S}$ ,  $\text{Traces}_0(\mathcal{S})$  for the set of synchronous traces of  $\mathcal{S}$ , and  $\text{Traces}_\omega(\mathcal{S})$  for  $\bigcup_{k \geq 0} \text{Traces}_k(\mathcal{S})$ .

► **Example 1.** Consider the message set  $M = \{a^{1 \rightarrow 2}, b^{1 \rightarrow 3}, c^{3 \rightarrow 2}, d^{2 \rightarrow 1}\}$  and the system  $\mathcal{S} = \langle \mathcal{P}_1, \mathcal{P}_2, \mathcal{P}_3 \rangle$  where  $\mathcal{P}_1, \mathcal{P}_2, \mathcal{P}_3$  are as depicted in Fig. 1. Then

$$\begin{aligned} L(\mathcal{P}_1) &= \downarrow \{!a^{1 \rightarrow 2} \cdot !a^{1 \rightarrow 2} \cdot !b^{1 \rightarrow 3}\} \\ L(\mathcal{P}_2) &= \downarrow \{?a^{1 \rightarrow 2} \cdot ?a^{1 \rightarrow 2} \cdot ?c^{3 \rightarrow 2}, ?c^{3 \rightarrow 2} \cdot !d^{2 \rightarrow 1}\} \\ L(\mathcal{P}_3) &= \downarrow \{?b^{1 \rightarrow 3} \cdot !c^{3 \rightarrow 2}\}. \end{aligned}$$

An example of a stable trace is  $!a^{1 \rightarrow 2} \cdot !a^{1 \rightarrow 2} \cdot !?b^{1 \rightarrow 3} \cdot !c^{3 \rightarrow 2} \cdot ?a^{1 \rightarrow 2} \cdot ?a^{1 \rightarrow 2} \cdot ?c^{3 \rightarrow 2}$ . Let  $\tau = !a^{1 \rightarrow 2} \cdot !a^{1 \rightarrow 2} \cdot !?b^{1 \rightarrow 3} \cdot !?c^{3 \rightarrow 2} \cdot !d^{2 \rightarrow 1}$ . Then  $\tau \in \text{Traces}_2(\mathcal{S})$  is a 2-bounded trace of the system  $\mathcal{S}$ , and  $\gamma_0 \xrightarrow{\tau} (q_{3,1}, q_{5,2}, q_{2,3}, a^{1 \rightarrow 2} a^{1 \rightarrow 2}, \epsilon, d^{2 \rightarrow 1}, \epsilon, \epsilon, \epsilon)$ .

Two traces  $\tau_1, \tau_2$  are  $\mathcal{S}$ -equivalent,  $\tau_1 \stackrel{\mathcal{S}}{\sim} \tau_2$ , if  $\tau_1, \tau_2 \in \text{Traces}_\omega(\mathcal{S})$  and there is  $\gamma$  such that  $\gamma_0 \xrightarrow{\tau_i} \gamma$  for both  $i = 1, 2$ . It follows from the definition of  $\stackrel{\text{causal}}{\sim}$  that if  $\tau_1 \stackrel{\text{causal}}{\sim} \tau_2$  and  $\tau_1, \tau_2 \in \text{Traces}_\omega(\mathcal{S})$ , then  $\tau_1 \stackrel{\mathcal{S}}{\sim} \tau_2$ .

**Synchronizability.** Following [4], we define the observable behaviour of a system as its set of send traces enriched with their final configurations when they are stable. Formally, for any  $k \geq 0$ , we write  $\mathcal{J}_k(\mathcal{S})$  and  $\mathcal{I}_k(\mathcal{S})$  for the sets

$$\begin{aligned} \mathcal{J}_k(\mathcal{S}) &= \{\text{send}(\tau) \mid \tau \in \text{Traces}_k(\mathcal{S})\} \\ \mathcal{I}_k(\mathcal{S}) &= \mathcal{J}_k(\mathcal{S}) \cup \{(\text{send}(\tau), \gamma) \mid \gamma_0 \xrightarrow{\tau} \gamma, \gamma \text{ stable}, \tau \in \text{Traces}_k(\mathcal{S})\}. \end{aligned}$$

Synchronizability is then defined as the slack elasticity of these observable behaviours.

► **Definition 2** (Synchronizability [1, 4]). A system  $\mathcal{S}$  is *synchronizable* if  $\mathcal{I}_0(\mathcal{S}) = \mathcal{I}_\omega(\mathcal{S})$ .  $\mathcal{S}$  is called *language synchronizable* if  $\mathcal{J}_0(\mathcal{S}) = \mathcal{J}_\omega(\mathcal{S})$ .

For convenience, we also introduce a notion of  $k$ -synchronizability: for  $k \geq 1$ , a system  $\mathcal{S}$  is  *$k$ -synchronizable* if  $\mathcal{I}_0(\mathcal{S}) = \mathcal{I}_k(\mathcal{S})$ , and *language  $k$ -synchronizable* if  $\mathcal{J}_0(\mathcal{S}) = \mathcal{J}_k(\mathcal{S})$ . A system is therefore (language) synchronizable if and only if it is (language)  $k$ -synchronizable for all  $k \geq 1$ .

► **Theorem 3.** *There is a system  $\mathcal{S}$  that is 1-synchronizable, but not synchronizable.*

**Proof.** Consider again the system  $\mathcal{S}$  of Example 1. Let  $\gamma_{ijk} := (q_{i,1}, q_{j,2}, q_{k,3}, \epsilon, \dots, \epsilon)$ . Then

$$\begin{aligned} \mathcal{J}_0(\mathcal{S}) &= \downarrow \{a^{1 \rightarrow 2} \cdot a^{1 \rightarrow 2} \cdot b^{1 \rightarrow 3} \cdot c^{3 \rightarrow 2}\} \\ \mathcal{J}_1(\mathcal{S}) &= \mathcal{J}_0(\mathcal{S}) \\ \mathcal{J}_2(\mathcal{S}) &= \downarrow \{a^{1 \rightarrow 2} \cdot a^{1 \rightarrow 2} \cdot b^{1 \rightarrow 3} \cdot c^{3 \rightarrow 2} \cdot d^{2 \rightarrow 1}\} \\ \mathcal{I}_k(\mathcal{S}) &= \mathcal{J}_k(\mathcal{S}) \cup \text{Stab} \quad \text{for all } k \geq 0 \end{aligned}$$

where  $\text{Stab} = \{(\epsilon, \gamma_0), (a^{1 \rightarrow 2}, \gamma_{101}), (a^{1 \rightarrow 2} \cdot a^{1 \rightarrow 2}, \gamma_{202}), (a^{1 \rightarrow 2} \cdot a^{1 \rightarrow 2} \cdot b^{1 \rightarrow 3}, \gamma_{312}), (a^{1 \rightarrow 2} \cdot a^{1 \rightarrow 2} \cdot b^{1 \rightarrow 3} \cdot c^{3 \rightarrow 2}, \gamma_{323})\}$ . ◀

This example contradicts Theorem 4 in [2], which stated that  $\mathcal{J}_0(\mathcal{S}) = \mathcal{J}_1(\mathcal{S})$  implies  $\mathcal{J}_0(\mathcal{S}) = \mathcal{J}_\omega(\mathcal{S})$ . This also shows that the decidability of synchronizability for peer-to-peer communications is open despite the claim in [2]. The next section closes this question.

► **Remark.** In Section 5, we give a counter-example that addresses communications with mailboxes, *i.e.* the first communication model considered in all works about synchronizability, and we list several other published theorems that our counter-example contradicts.

### 3 Undecidability of Synchronizability

In this section, we show the undecidability of synchronizability for systems with at least three peers. The key idea is to reduce a decision problem on a FIFO automaton  $\mathcal{A}$ , *i.e.* an automaton that can both enqueue and dequeue messages in a unique channel, to the synchronizability of a system  $\mathcal{S}_{\mathcal{A}}$ . The reduction is quite delicate, because synchronizability constrains a lot the way  $\mathcal{S}_{\mathcal{A}}$  can be defined (a hint for that being that  $\mathcal{S}_{\mathcal{A}}$  *must* involve three peers). It is also delicate to reduce from a classical decision problem on FIFO automata like *e.g.* the reachability of a control state, and we first establish the undecidability of a well-suited decision problem on FIFO automata, roughly the reception of a message  $m$  with some extra constraints. We can then construct a system  $\mathcal{S}_{\mathcal{A},m}''$  such that the synchronizability of  $\mathcal{S}_{\mathcal{A},m}''$  is equivalent to the non-reception of the special message  $m$  in  $\mathcal{A}$ .

A *FIFO automaton* is a finite state automaton  $\mathcal{A} = \langle Q, \text{Act}_\Sigma, \Delta, q_0 \rangle$  over an alphabet of the form  $\text{Act}_\Sigma$  for some finite set of letters  $\Sigma$  with all states being accepting states. A FIFO automaton can be thought as a system with only one peer, with the difference that, according to our definition of systems, a peer can only send messages to peers different from itself, whereas a FIFO automaton enqueues and dequeues letters in a unique FIFO queue, and thus, in a sense, “communicates with itself”. All notions we introduced for systems are obviously extended to FIFO automata. In particular, a configuration of  $\mathcal{A}$  is a tuple  $\gamma = (q, w) \in Q \times \Sigma^*$ , it is stable if  $w = \epsilon$ , and the transition relation  $\gamma \xrightarrow{\tau} \gamma'$  is defined exactly the same way as for systems. For technical reasons, we consider two mild restrictions on FIFO automata:

- (R1) for all  $\gamma_0 \xrightarrow{\tau} (q, w)$ , either  $\tau = \epsilon$  or  $w \neq \epsilon$  (in other words, all reachable configurations are unstable, except the initial one);
- (R2) for all  $(q_0, \lambda, q) \in \Delta$ ,  $\lambda \neq !a$  for some  $a \in \Sigma$  (in other words, there is no receive action labeling a transition from the initial state).

► **Lemma 4.** *The following decision problem is undecidable.*

**Input** a FIFO automaton  $\mathcal{A}$  that satisfies (R1) and (R2), and a message  $m$ .

**Question** is there a  $M$ -trace  $\tau$  such that  $\tau \cdot ?m \in \text{Traces}_\omega(\mathcal{A})$ ?

**Proof.** This kind of result is often considered folklore, but it seems it could be informative to detail a possible construction. We reduce from the existence of a finite tiling given a set of tiles and a pair of initial and final tiles. Intuitively, we construct a FIFO automaton that outputs the first row of the tiling, storing it into the queue, and then for all next row  $i + 1$ , the automaton outputs the row tile after tile, popping a tile of row  $i$  in the queue in between so as to check that each tile of row  $i + 1$  vertically coincides with the corresponding tile of row  $i$ . Consider a tuple  $\mathcal{T} = \langle T, t_0, t_F, H, V \rangle$  where  $T$  is a finite set of tiles  $t_0, t_F \in T$  are initial and final tiles, and  $H, V \subseteq T \times T$  are horizontal and vertical

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compatibility relations. Without loss of generality, we assume that there is a “padding tile”  $\square$  such that  $(t, \square) \in H \cap V$  for all  $t \in T$ . For a natural  $n \geq 1$ , a  $n$ -tiling is a function  $f : \mathbb{N} \times \{1, \dots, n\} \rightarrow T$  such that **1.**  $f(0, 0) = t_0$ , **2.** there are  $(i_F, j_F) \in \mathbb{N} \times \{1, \dots, n\}$  such that  $f(i_F, j_F) = t_F$ , **3.**  $(f(i, j), f(i, j+1)) \in H$  for all  $(i, j) \in \mathbb{N} \times \{1, \dots, n-1\}$ , and **4.**  $(f(i, j), f(i+1, j)) \in V$  for all  $(i, j) \in \mathbb{N} \times \{1, \dots, n\}$ . The problem of deciding, given a tuple  $\mathcal{T} = \langle T, t_0, t_F, H, V \rangle$ , whether there is some  $n \geq 1$  for which there exists a  $n$ -tiling, is undecidable.<sup>1</sup> Let  $\mathcal{T} = \langle T, t_0, t_F, H, V \rangle$  be fixed. We define the FIFO automaton  $\mathcal{A}_{\mathcal{T}} = \langle Q, \Sigma, \Delta, q_0 \rangle$  with  $Q = \{q_{t,0}, q_{\downarrow=t}, q_{\leftarrow=t}, q_{\leftarrow=t, \downarrow=t'} \mid t \in T, t' \in T \cup \{\$\}\} \cup \{q_0, q_1\}$ ,  $\Sigma = T \cup \{\$\}$ , and  $\Delta \subseteq Q \times \text{Act}_{\Sigma} \times Q$ , with

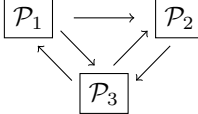
$$\begin{aligned} \Delta &= \{(q_0, !t_0, q_{t_0,0})\} \cup \{(q_{t,0}, !t', q_{t',0}) \mid (t, t') \in H\} \cup \{(q_{t,0}, !\$, q_1) \mid t \in T\} \\ &\cup \{(q_1, ?t, q_{\downarrow=t}) \mid t \in T\} \cup \{(q_{\downarrow=t}, !t', q_{\leftarrow=t'}) \mid (t, t') \in V\} \\ &\cup \{(q_{\leftarrow=t}, ?t', q_{\leftarrow=t, \downarrow=t'}) \mid t \in T, t' \in T \cup \{\$\}\} \\ &\cup \{(q_{\leftarrow=t, \downarrow=t'}, !t'', q_{\leftarrow=t''}) \mid (t, t'') \in H \text{ and } (t', t'') \in V\} \\ &\cup \{(q_{\leftarrow=t, \downarrow=\$, !\$, q_1) \mid t \in T\} \end{aligned}$$

Therefore, any execution of  $\mathcal{A}_{\mathcal{T}}$  is of the form

$$!t_{1,1} \cdot !t_{1,2} \cdots !t_{1,n} \cdot !\$ \cdot ?t_{1,1} \cdot !t_{2,1} \cdot ?t_{1,2} \cdot !t_{2,2} \cdots !t_{2,n} \cdot ?\$ \cdot !\$ \cdot ?t_{2,1} \cdot !t_{3,1} \cdots$$

where  $t_{1,1} = t_0$ ,  $(t_{i,j}, t_{i+1,j}) \in V$  and  $(t_{i,j}, t_{i,j+1}) \in H$ . The following two are thus equivalent: **1.** there is  $n \geq 1$  such that  $\mathcal{T}$  admits a  $n$ -tiling **2.** there is a trace  $\tau \in \text{Traces}_{\omega}(\mathcal{A})$  that contains  $?t_F$ .  $\blacktriangleleft$

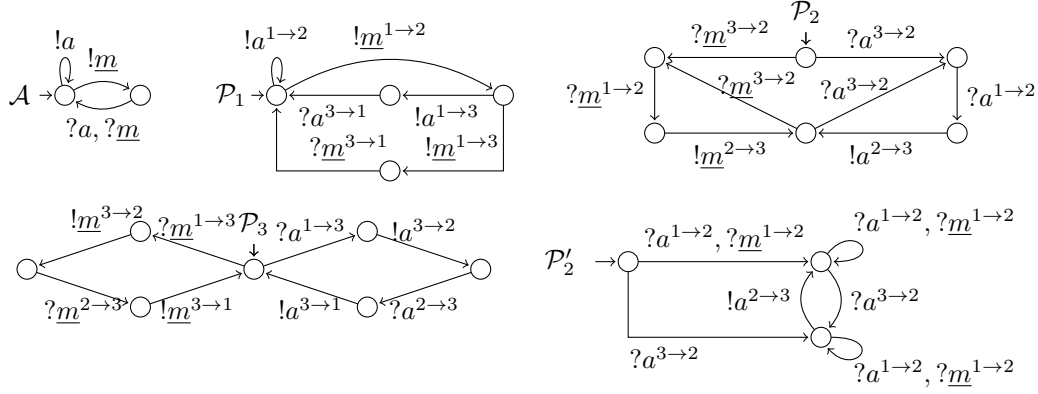
Let us now fix a FIFO automaton  $\mathcal{A} = \langle Q_{\mathcal{A}}, \text{Act}_{\Sigma}, \Delta_{\mathcal{A}}, q_0 \rangle$  that satisfies (R1) and (R2). Let  $M = M_1 \cup M_2 \cup M_3$  be such that all messages of  $\Sigma$  can be exchanged among all peers in all directions but  $2 \rightarrow 1$ , *i.e.*

$$\begin{aligned} M_1 &= \{a^{1 \rightarrow 2}, a^{1 \rightarrow 3}, a^{3 \rightarrow 1} \mid a \in \Sigma\} \\ M_2 &= \{a^{3 \rightarrow 2}, a^{1 \rightarrow 2}, a^{2 \rightarrow 3} \mid a \in \Sigma\} \\ M_3 &= \{a^{1 \rightarrow 3}, a^{3 \rightarrow 1}, a^{3 \rightarrow 2}, a^{2 \rightarrow 3} \mid a \in \Sigma\} \end{aligned}$$


Intuitively, we want  $\mathcal{P}_1$  to mimick  $\mathcal{A}$ 's decisions and the channel  $1 \rightarrow 2$  to mimick  $\mathcal{A}$ 's queue as follows. When  $\mathcal{A}$  would enqueue a letter  $a$ , peer 1 sends  $a^{1 \rightarrow 2}$  to peer 2, and when  $\mathcal{A}$  would dequeue a letter  $a$ , peer 1 sends to peer 2 via peer 3 the order to dequeue  $a$ , and waits for the acknowledgement that the order has been correctly executed. Formally, let  $\mathcal{P}_1 = \langle Q_1, q_{0,1}, \Delta_1 \rangle$  be defined by  $Q_1 = Q_{\mathcal{A}} \uplus \{q_{\delta} \mid \delta \in \Delta_{\mathcal{A}}\}$  and  $\Delta_1 = \{(q, !a^{1 \rightarrow 2}, q') \mid (q, !a, q') \in \Delta_{\mathcal{A}}\} \cup \{(q, !a^{1 \rightarrow 3}, q_{\delta}), (q_{\delta}, ?a^{3 \rightarrow 1}, q') \mid \delta = (q, ?a, q') \in \Delta_{\mathcal{A}}\}$ . The roles of peers 2 and 3 is then rather simple: peer 3 propagates all messages it receives, and peer 2 executes all orders it receives and sends back an acknowledgement when this is done. Let  $\mathcal{P}_2 = \langle Q_2, q_{0,2}, \Delta_2 \rangle$  and  $\mathcal{P}_3 = \langle Q_3, q_{0,3}, \Delta_3 \rangle$  be defined as we just informally described, with a slight complication about the initial state of  $\mathcal{P}_2$  (this is motivated by technical reasons that will become clear soon).

$$\begin{aligned} Q_2 &= \{q_{0,2}, q_{1,2}\} \cup \{q_{a,1}, q_{a,2} \mid a \in \Sigma\} & Q_3 &= \{q_{0,3}\} \cup \{q_{a,1}, q_{a,2}, q_{a,3} \mid a \in \Sigma\} \\ \Delta_2 &= \{(q_{0,2}, ?a^{3 \rightarrow 2}, q_{a,1}), (q_{1,2}, ?a^{3 \rightarrow 2}, q_{a,1}), (q_{a,1}, ?a^{1 \rightarrow 2}, q_{a,2}), (q_{a,2}, !a^{2 \rightarrow 3}, q_{1,2}) \mid a \in \Sigma\} \\ \Delta_3 &= \{(q_{0,3}, ?a^{1 \rightarrow 3}, q_{a,1}), (q_{a,1}, !a^{3 \rightarrow 2}, q_{a,2}), (q_{a,2}, ?a^{2 \rightarrow 3}, q_{a,3}), (q_{a,3}, !a^{3 \rightarrow 1}, q_{0,3}) \mid a \in \Sigma\} \end{aligned}$$

<sup>1</sup> Note that, due to the presence of the padding tile, this problem is equivalent to the problem of the existence of a finite rectangular tiling that contains  $t_0$  at the beginning of the first row and  $t_F$  anywhere in the rectangle, which in turn is equivalent to the termination of a Turing machine.



■ **Figure 2** The FIFO automaton  $\mathcal{A}$  of Example 5 and its associated systems  $\mathcal{S}_{\mathcal{A}} = \langle \mathcal{P}_1, \mathcal{P}_2, \mathcal{P}_3 \rangle$  and  $\mathcal{S}'_{\mathcal{A}, \underline{m}} = \langle \mathcal{P}_1, \mathcal{P}'_2, \mathcal{P}_3 \rangle$ . The sink state  $q_{\perp}$  and the transitions  $q \xrightarrow{?m^{3-2}} q_{\perp}$  are omitted in the representation of  $\mathcal{P}'_2$ .

► **Example 5.** Consider  $\Sigma = \{a, \underline{m}\}$  and the FIFO automaton  $\mathcal{A} = \langle \{q_0, q_1\}, \text{Act}_{\Sigma}, \Delta, q_0 \rangle$  with transition relation  $\Delta_{\mathcal{A}} = \{(q_0, !a, q_0), (q_0, !\underline{m}, q_1), (q_1, ?a, q_0), (q_1, ?\underline{m}, q_0)\}$ . Then  $\mathcal{A}$  and the peers  $\mathcal{P}_1, \mathcal{P}_2, \mathcal{P}_3$  are depicted in Fig. 2.

Let  $\mathcal{S}_{\mathcal{A}} = \langle \mathcal{P}_1, \mathcal{P}_2, \mathcal{P}_3 \rangle$ . There is a tight correspondence between the  $k$ -bounded traces of  $\mathcal{A}$ , for  $k \geq 1$ , and the  $k$ -bounded traces of  $\mathcal{S}_{\mathcal{A}}$ : every trace  $\tau \in \text{Traces}_k(\mathcal{A})$  induces the trace  $h(\tau) \in \text{Traces}_k(\mathcal{S}_{\mathcal{A}})$  where  $h : \text{Act}_{\Sigma}^* \rightarrow \text{Act}_M$  is the homomorphism from the traces of  $\mathcal{A}$  to the traces of  $\mathcal{S}_{\mathcal{A}}$  defined by  $h(!a) = !a^{1-2}$  and  $h(?a) = !?a^{1-3} \cdot !?a^{3-2} \cdot ?a^{1-2} \cdot !?a^{2-3} \cdot !?a^{3-1}$ . The converse is not true: there are traces of  $\mathcal{S}_{\mathcal{A}}$  that are not prefixes of a trace  $h(\tau)$  for some  $\tau \in \text{Traces}_k(\mathcal{A})$ . This happens when  $\mathcal{P}_1$  sends an order to dequeue  $a^{1-3}$  that correspond to a transition  $?a$  that  $\mathcal{A}$  cannot execute. In that case, the system blocks when  $\mathcal{P}_2$  has to execute the order.

► **Lemma 6.** For all  $k \geq 0$ ,

$$\begin{aligned} \text{Traces}_k(\mathcal{S}_{\mathcal{A}}) &= \downarrow \{h(\tau) \mid \tau \in \text{Traces}_k(\mathcal{A})\} \\ &\cup \downarrow \{h(\tau) \cdot !?a^{1-3} \cdot !?a^{3-2} \mid \tau \in \text{Traces}_k(\mathcal{A}), (q_0, \epsilon) \xrightarrow{\tau} (q, w), (q, ?a, q') \in \Delta\}. \end{aligned}$$

Since  $\mathcal{A}$  satisfies (R1), all stable configurations that are reachable in  $\mathcal{S}_{\mathcal{A}}$  are reachable by a synchronous trace, and since it satisfies (R2), the only reachable stable configuration is the initial configuration. Moreover,  $\mathcal{J}_0(\mathcal{S}_{\mathcal{A}}) = \{\epsilon\}$  and  $\mathcal{J}_k(\mathcal{S}_{\mathcal{A}}) \neq \{\epsilon\}$  for  $k \geq 1$  (provided  $\mathcal{A}$  sends at least one message). As a consequence,  $\mathcal{S}_{\mathcal{A}}$  is not synchronizable.

Let us fix now a special message  $\underline{m} \in \Sigma$ . We would like to turn  $\mathcal{S}_{\mathcal{A}}$  into a system that is synchronizable, except for the send traces that contain  $\underline{m}^{2-3}$ . Note that, by Lemma 6,  $\mathcal{S}_{\mathcal{A}}$  has a send trace that contains  $\underline{m}^{2-3}$  if and only if there are traces of  $\mathcal{A}$  that contain  $?\underline{m}$ . Roughly, we need to introduce new behaviours for the peer 2 that will “flood” the system with many synchronous traces. Let  $\mathcal{S}'_{\mathcal{A}, \underline{m}} = \langle \mathcal{P}_1, \mathcal{P}'_2, \mathcal{P}_3 \rangle$  be the system  $\mathcal{S}_{\mathcal{A}}$  in which the peer  $\mathcal{P}_2$  is replaced with the peer  $\mathcal{P}'_2 = \langle Q'_2, q_{0,2}, \Delta'_2 \rangle$  defined as follows.

$$\begin{aligned} Q'_2 &= \{q_{0,2}, q'_{0,2}\} \cup \{q'_{a,1} \mid a \in \Sigma, a \neq \underline{m}\} \cup \{q_{\perp}\} \\ \Delta'_2 &= \{(q_{0,2}, ?a^{1-2}, q'_{0,2}), (q, ?a^{1-2}, q) \mid a \in \Sigma, q \neq q_{0,2}\} \\ &\cup \{(q_{0,2}, ?a^{3-2}, q'_{a,1}), (q'_{0,2}, ?a^{3-2}, q'_{a,1}), (q'_{a,1}, !a^{2-3}, q'_{0,2}), \mid a \in \Sigma, a \neq \underline{m}\} \\ &\cup \{(q, ?\underline{m}^{3-2}, q_{\perp}) \mid q \in Q'_2\} \end{aligned}$$



► **Example 7.** For  $\Sigma = \{a, \underline{m}\}$ , and  $\mathcal{A}$  as in Example 5,  $\mathcal{P}'_2$  is depicted in Fig. 2 (omitting the transitions to the sink state  $q_\perp$ ).

Intuitively,  $\mathcal{P}'_2$  can always receive any message from peer  $\mathcal{P}_1$ . Like  $\mathcal{P}_2$ , it can also receive orders to dequeue from peer  $\mathcal{P}_3$ , but instead of executing the order before sending an acknowledgement, it ignores the order as follows. If  $\mathcal{P}'_2$  receives the order to dequeue a message  $a^{1 \rightarrow 2} \neq \underline{m}^{1 \rightarrow 2}$ ,  $\mathcal{P}'_2$  acknowledges  $\mathcal{P}_3$  but does not dequeue in the  $1 \rightarrow 2$  queue. If the order was to dequeue  $\underline{m}$ ,  $\mathcal{P}'_2$  blocks in the sink state  $q_\perp$ . The system  $\mathcal{S}'_{\mathcal{A}} = \langle \mathcal{P}_1, \mathcal{P}'_2, \mathcal{P}_3 \rangle$  contains many synchronous traces: any  $M$ -trace  $\tau \in L(\mathcal{P}_1)$  labeling a path in automaton  $\mathcal{P}_1$  can be lifted to a synchronous trace  $\tau' \in \text{Traces}_0(\mathcal{S}'_{\mathcal{A}, \underline{m}})$  provided  $!\underline{m}^{1 \rightarrow 3}$  does not occur in  $\tau$ . However, if  $\mathcal{P}_1$  takes a  $!\underline{m}^{1 \rightarrow 3}$  transition, it gets blocked for ever waiting for  $\underline{m}^{3 \rightarrow 1}$ . Therefore, if  $!a^{1 \rightarrow 3}$  occurs in a synchronous trace  $\tau$  of  $\mathcal{S}'_{\mathcal{A}, \underline{m}}$ , it must be in the last four actions, and this trace leads to a deadlock configuration in which both 1 and 3 wait for an acknowledgement and 2 is in the sink state.

Let  $L^m(\mathcal{A})$  be the set of traces  $\tau$  recognized by  $\mathcal{A}$  as a finite state automaton (over the alphabet  $\text{Act}_\Sigma$ ) such that either  $?\underline{m}$  does not occur in  $\tau$ , or it occurs only once and it is the last action of  $\tau$ . For instance, with  $\mathcal{A}$  as in Example 5,  $L^m(\mathcal{A}) = \downarrow (!\underline{a}^* \cdot !\underline{m} \cdot ?a)^* \cdot !\underline{a}^* \cdot !\underline{m} \cdot ?\underline{m}$ . Let  $h' : \text{Act}_\Sigma^* \rightarrow \text{Act}_M^*$  be the morphism defined by  $h'(!a) = !?a^{1 \rightarrow 2}$  for all  $a \in \Sigma$ ,  $h'(?a) = !?a^{1 \rightarrow 3} \cdot !?a^{3 \rightarrow 2} \cdot !?a^{2 \rightarrow 3} \cdot !?a^{3 \rightarrow 1}$  for all  $a \neq \underline{m}$ , and  $h'(?m) = !?m^{1 \rightarrow 3} \cdot !?m^{3 \rightarrow 2}$ .

► **Lemma 8.**  $\text{Traces}_0(\mathcal{S}'_{\mathcal{A}, \underline{m}}) = \downarrow \{h'(\tau) \mid \tau \in L^m(\mathcal{A})\}$ .

Let us now consider an arbitrary trace  $\tau \in \text{Traces}_\omega(\mathcal{S}'_{\mathcal{A}, \underline{m}})$ . Let  $h'' : \text{Act}_M^* \rightarrow \text{Act}_M^*$  be such that  $h''(!a^{1 \rightarrow 2}) = !?a^{1 \rightarrow 2}$ ,  $h''(?a^{1 \rightarrow 2}) = \epsilon$ , and  $h''(\lambda) = \lambda$  otherwise. Then  $h''(\tau) \in \text{Traces}_0(\mathcal{S}'_{\mathcal{A}, \underline{m}})$  and  $\tau \stackrel{\mathcal{S}}{\sim} h''(\tau)$  for  $\mathcal{S} = \mathcal{S}'_{\mathcal{A}, \underline{m}}$ . Indeed,  $\tau$  and  $h''(\tau)$  are the same up to insertions and deletions of receive actions  $?a^{1 \rightarrow 2}$ , and every state of  $\mathcal{P}'_2$  (except the initial one) has a self loop  $?a^{1 \rightarrow 2}$ . Therefore,

► **Lemma 9.**  $\mathcal{S}'_{\mathcal{A}, \underline{m}}$  is synchronizable.

Let us now consider the system  $\mathcal{S}''_{\mathcal{A}, \underline{m}} = \langle \mathcal{P}_1, \mathcal{P}_2 \cup \mathcal{P}'_2, \mathcal{P}_3 \rangle$ , where  $\mathcal{P}_2 \cup \mathcal{P}'_2 = \langle Q_2 \cup Q'_2, q_{02}, \Delta_2 \cup \Delta'_2 \rangle$  is obtained by merging the initial state  $q_{0,2}$  of  $\mathcal{P}_2$  and  $\mathcal{P}'_2$ . Note that  $\mathcal{I}_k(\mathcal{S}''_{\mathcal{A}, \underline{m}}) = \mathcal{I}_k(\mathcal{S}_{\mathcal{A}}) \cup \mathcal{I}_k(\mathcal{S}'_{\mathcal{A}, \underline{m}})$ , because  $q_{0,2}$  has no incoming edge in  $\mathcal{P}_2 \cup \mathcal{P}'_2$ .

► **Lemma 10.** Let  $k \geq 1$ . The following two are equivalent:

1. there is  $\tau$  such that  $\tau \cdot ?\underline{m} \in \text{Traces}_k(\mathcal{A})$ ;
2.  $\mathcal{I}_k(\mathcal{S}''_{\mathcal{A}, \underline{m}}) \neq \mathcal{I}_0(\mathcal{S}''_{\mathcal{A}, \underline{m}})$ .

**Proof.** Let  $k \geq 1$  be fixed.

(1)  $\implies$  (2) Let  $\tau$  be such that  $\tau \cdot ?\underline{m} \in \text{Traces}_k(\mathcal{A})$ . By Lemma 6, there is  $v \in \mathcal{I}_k(\mathcal{S}_{\mathcal{A}})$  such that  $\underline{m}^{2 \rightarrow 3}$  occurs in  $v$  (take  $v = \text{send}(h(\tau \cdot ?\underline{m}))$ ). By Lemma 6,  $v \notin \mathcal{I}_0(\mathcal{S}_{\mathcal{A}}) = \emptyset$ , and by Lemma 8,  $v \notin \mathcal{I}_0(\mathcal{S}'_{\mathcal{A}, \underline{m}})$ . Therefore  $v \in \mathcal{I}_k(\mathcal{S}''_{\mathcal{A}, \underline{m}}) \setminus \mathcal{I}_0(\mathcal{S}''_{\mathcal{A}, \underline{m}})$ .

(2)  $\implies$  (1) By contrapositive. Let  $\text{Traces}_k(\mathcal{A} \setminus ?\underline{m}) = \{\tau \in \text{Traces}_k(\mathcal{A}) \mid ?\underline{m} \text{ does not occur in } \tau\}$ , and let us assume  $\neg(1)$ , i.e.  $\text{Traces}_k(\mathcal{A} \setminus ?\underline{m}) = \text{Traces}_k(\mathcal{A})$ . Let us show that  $\mathcal{I}_k(\mathcal{S}''_{\mathcal{A}, \underline{m}}) = \mathcal{I}_0(\mathcal{S}''_{\mathcal{A}, \underline{m}})$ . From the assumption  $\neg(1)$  and Lemma 6, it holds that  $\text{Traces}_k(\mathcal{S}_{\mathcal{A}}) =$

$$\begin{aligned} & \downarrow \{h(\tau) \mid \tau \in \text{Traces}_k(\mathcal{A} \setminus ?\underline{m})\} \\ \cup & \downarrow \{h(\tau) \cdot !?a^{1 \rightarrow 3} \cdot !?a^{3 \rightarrow 2} \mid \tau \in \text{Traces}_k(\mathcal{A} \setminus ?\underline{m}), (q_0, \epsilon) \xrightarrow{\tau} (q, w), (q, ?a, q') \in \Delta\}. \end{aligned}$$

By  $\text{send}(h(\tau)) = \text{send}(h'(\tau))$  and  $\text{Traces}_k(\mathcal{A} \setminus ?\underline{m}) \subseteq L^m(\mathcal{A})$ , we get that

$$\mathcal{I}_k(\mathcal{S}_{\mathcal{A}}) \subseteq \downarrow \{\text{send}(h'(\tau)) \mid \tau \in L^m(\mathcal{A})\}$$

and therefore, by Lemma 8,  $\mathcal{I}_k(\mathcal{S}_A) \subseteq \mathcal{I}_0(\mathcal{S}'_{A,m})$ . Since  $\mathcal{I}_k(\mathcal{S}''_{A,m}) = \mathcal{I}_k(\mathcal{S}_A) \cup \mathcal{I}_k(\mathcal{S}'_{A,m})$  and since by Lemma 9  $\mathcal{I}_k(\mathcal{S}'_{A,m}) = \mathcal{I}_0(\mathcal{S}'_{A,m})$ , we get that  $\mathcal{I}_k(\mathcal{S}''_{A,m}) \subseteq \mathcal{I}_0(\mathcal{S}''_{A,m})$ , and thus  $\mathcal{I}_k(\mathcal{S}''_{A,m}) = \mathcal{I}_0(\mathcal{S}''_{A,m})$ .  $\blacktriangleleft$

► **Theorem 11.** *Synchronizability (resp. language synchronizability) is undecidable.*

**Proof.** Let a FIFO automaton  $\mathcal{A}$  satisfying (R1) and (R2) and a message  $\underline{m}$  be fixed. By Lemma 10,  $\mathcal{S}''_{\mathcal{A},\underline{m}}$  is non synchronizable iff there is a trace  $\tau$  such that  $\tau \cdot ?\underline{m} \in \text{Traces}_\omega(\mathcal{A})$ . By Lemma 4, this is an undecidable problem.  $\blacktriangleleft$

## 4 The case of oriented rings

In the previous section we established the undecidability of synchronizability for systems with (at least) three peers. In this section, we show that this result is tight, in the sense that synchronizability is decidable if  $G_M$  is an oriented ring, in particular if the system involves two peers only. This relies on the fact that 1-synchronizability implies synchronizability for such systems. In order to show this result, we first establish some confluence properties on traces for arbitrary topologies. With the help of this confluence properties, we can state a trace normalization property that is similar to the one that was used in [4] and for half-duplex systems [7]. This trace normalization property implies that 1-synchronizable systems on oriented rings have no unspecified receptions nor orphan messages, and their reachability set is channel-recognizable. Finally, this trace normalization property leads to a proof that 1-synchronizability implies synchronizability when  $G_M$  is an oriented ring.

### 4.1 Confluence properties

► **Lemma 12.** *Let  $\mathcal{S}$  be a 1-synchronizable system. Let  $\tau \in \text{Traces}_0(\mathcal{S})$  and  $a, b \in M$  be such that*

1.  $\tau \cdot !a \in \text{Traces}_1(\mathcal{S})$ ,
2.  $\tau \cdot !b \in \text{Traces}_1(\mathcal{S})$ , and
3.  $\text{src}(a) \neq \text{src}(b)$ .

*If  $v_1, v_2$  are any two of the six different shuffles of  $!a \cdot ?a$  with  $!b \cdot ?b$ , then  $\tau \cdot v_1 \in \text{Traces}_\omega(\mathcal{S})$ ,  $\tau \cdot v_2 \in \text{Traces}_\omega(\mathcal{S})$  and  $\tau \cdot v_1 \stackrel{\mathcal{S}}{\sim} \tau \cdot v_2$ .*

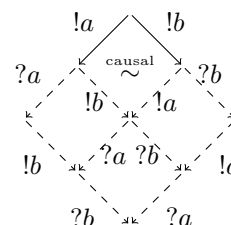
► **Remark.** This lemma should not be misunderstood as a consequence of causal equivalence. Observe indeed that the square on top of the diagram is the only square that commutes for causal equivalence. The three other squares only commute with respect to  $\stackrel{\mathcal{S}}{\sim}$ , and they commute for  $\stackrel{\text{causal}}{\sim}$  only if some extra assumptions on  $a$  and  $b$  are made. For instance, the left square does commute for  $\stackrel{\text{causal}}{\sim}$  if and only if  $\text{dst}(a) \neq \text{src}(b)$ .

Before we prove Lemma 12, let us first prove the following.

► **Lemma 13.** *Let  $a, b$  be two messages such that  $\text{src}(a) \neq \text{src}(b)$ . Then for all peers  $i$ , for all shuffle  $v$  of  $!a \cdot ?a$  with  $!b \cdot ?b$ , either  $\text{onPeer}_i(v) = \text{onPeer}_i(!a \cdot !b)$  or  $\text{onPeer}_i(v) = \text{onPeer}_i(!b \cdot !a)$ .*

**Proof.** Let  $i$  and  $v$  be fixed. Since  $\text{src}(a) \neq \text{src}(b)$ , it is not the case that both  $!a$  and  $!b$  occur in  $\text{onPeer}_i(v)$ . By symmetry, let us assume that  $!b$  does not occur in  $\text{onPeer}_i(v)$ . We consider two cases:

1. Let us assume that  $?a$  does not occur in  $\text{onPeer}_i(v)$ . Then  $\text{onPeer}_i(v) \in \{!a, ?b, !a \cdot ?b\}$ , and in all cases,  $\text{onPeer}_i(v) = \text{onPeer}_i(!a \cdot !b)$ .



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2. Let us assume that  $?a$  occurs in  $\text{onPeer}_i(v)$ . Then  $!a$  does not occur in  $\text{onPeer}_i(v)$ , therefore  $\text{onPeer}_i(v)$  contains only receptions, and  $\text{onPeer}_i(v) \in \{?a, ?a \cdot ?b, ?b, ?b \cdot ?a\}$ . In every case, either  $\text{onPeer}_i(v) = \text{onPeer}_i(!?a \cdot !?b)$  or  $\text{onPeer}_i(v) = \text{onPeer}_i(!?b \cdot !?a)$ . ◀

Let us prove now Lemma 12.

**Proof.** Observe first that, since  $\text{src}(a) \neq \text{src}(b)$ ,  $\tau \cdot !a \cdot !b \in \text{Traces}_1(\mathcal{S})$  and  $\tau \cdot !b \cdot !a \in \text{Traces}_1(\mathcal{S})$ , and since  $\mathcal{S}$  is 1-synchronizable,  $\tau \cdot !?a \cdot !?b \in \text{Traces}_0(\mathcal{S})$  and  $\tau \cdot !?b \cdot !?a \in \text{Traces}_0(\mathcal{S})$ . By Lemma 13, it follows that for all shuffle  $v$  of  $!a \cdot ?a$  with  $!b \cdot ?b$ ,  $\tau \cdot v \in \text{Traces}_1(\mathcal{S})$ . It remains to show that

$$\text{for all two shuffles } v, v' \text{ of } !a \cdot ?a \text{ with } !b \cdot ?b, \quad \tau \cdot v \stackrel{\mathcal{S}}{\sim} \tau \cdot v'. \quad (P)$$

Let  $\tau_{ab} = !a \cdot !b \cdot ?a \cdot ?b$  and  $\tau_{ba} = !b \cdot !a \cdot ?a \cdot ?b$ , and let  $v$  be a shuffle of  $!a \cdot ?a$  with  $!b \cdot ?b$ . Since  $\mathcal{S}$  is 1-synchronizable, the stable configuration that  $\tau \cdot v$  leads to only depends on the order in which the send actions  $!a$  and  $!b$  are executed in  $v$ , i.e. either  $\tau \cdot v \stackrel{\mathcal{S}}{\sim} \tau_{ab}$  or  $\tau \cdot v \stackrel{\mathcal{S}}{\sim} \tau_{ba}$ . Moreover,  $\tau_{ab} \stackrel{\text{causal}}{\sim} \tau_{ba}$ , hence (P). ◀

Our aim now is to generalize Lemma 12 to arbitrary sequences of send actions (see Lemma 19 below).

► **Lemma 14.** *Let  $\mathcal{S}$  be a 1-synchronizable system. Let  $\tau \in \text{Traces}_0(\mathcal{S})$  and  $a_1, \dots, a_n \in M$  be such that*

1.  $\tau \cdot !a_1 \cdots !a_n \in \text{Traces}_n(\mathcal{S})$
2.  $\text{src}(a_i) = \text{src}(a_j)$  for all  $i, j \in \{1, \dots, n\}$ .

*Then  $\tau \cdot !?a_1 \cdots !?a_n \in \text{Traces}_0(\mathcal{S})$ .*

**Proof.** By induction on  $n$ . Let  $a_1, \dots, a_{n+1}$  be fixed, and let  $\tau_n = \tau \cdot !?a_1 \cdots !?a_n$ . By induction hypothesis,  $\tau_n \in \text{Traces}_0(\mathcal{S})$ . Let  $\tau'_{n+1} = \tau_n \cdot !a_{n+1}$ . Then

- $\text{onPeer}_i(\tau'_{n+1}) = \text{onPeer}_i(\tau_n)$  for all  $i \neq \text{src}(a_{n+1})$ , and  $\tau_n \in \text{Traces}_\omega(\mathcal{S})$
- for  $i = \text{src}(a_{n+1})$ ,  $\text{onPeer}_i(\tau'_{n+1}) = \text{onPeer}_i(\tau \cdot !a_1 \cdots !a_{n+1})$  and  $\tau \cdot !a_1 \cdots !a_n \in \text{Traces}_\omega(\mathcal{S})$
- $\tau'_{n+1}$  is 1-bounded FIFO

therefore  $\tau'_{n+1} \in \text{Traces}_1(\mathcal{S})$ . By 1-synchronizability, it follows that  $\tau'_{n+1} \cdot ?a_{n+1} \in \text{Traces}_0(\mathcal{S})$ . ◀

► **Lemma 15.** *Let  $\mathcal{S}$  be a 1-synchronizable system. Let  $\tau \in \text{Traces}_0(\mathcal{S})$  and  $a, b_1, \dots, b_n \in M$  be such that*

1.  $\tau \cdot !?a \in \text{Traces}_0(\mathcal{S})$
2.  $\tau \cdot !?b_1 \cdots !?b_n \in \text{Traces}_0(\mathcal{S})$
3.  $\text{src}(a) \neq \text{src}(b_i)$  for all  $i \in \{1, \dots, n\}$ .

*Then the following holds*

- $\tau \cdot !?a \cdot !?b_1 \cdots !?b_n \in \text{Traces}_0(\mathcal{S})$ ,
- $\tau \cdot !?b_1 \cdots !?b_n \cdot !?a \in \text{Traces}_0(\mathcal{S})$ , and
- $\tau \cdot !?a \cdot !?b_1 \cdots !?b_n \stackrel{\mathcal{S}}{\sim} \tau \cdot !?b_1 \cdots !?b_n \cdot !?a$ .

**Proof.** By induction on  $n$ . Let  $a, b_1, \dots, b_{n+1}$  be fixed, let  $\tau_n = \tau \cdot !?b_1 \cdots !?b_n$ . By induction hypothesis,  $\tau_n \cdot !?a \in \text{Traces}_0(\mathcal{S})$ , and by hypothesis  $\tau_n \cdot !?b_{n+1} \in \text{Traces}_0(\mathcal{S})$ . By Lemma 12,  $\tau_n \cdot !?a \cdot !?b_{n+1} \in \text{Traces}_0(\mathcal{S})$ ,  $\tau_n \cdot !?b_{n+1} \cdot !?a \in \text{Traces}_0(\mathcal{S})$ , and

$$\tau_n \cdot !?a \cdot !?b_{n+1} \stackrel{\mathcal{S}}{\sim} \tau_n \cdot !?b_{n+1} \cdot !?a.$$

On the other hand, by induction hypothesis,  $\tau_n \cdot !?a \stackrel{\mathcal{S}}{\sim} \tau \cdot !?a \cdot !?b_1 \cdots !?b_n$ , and by right congruence of  $\stackrel{\mathcal{S}}{\sim}$

$$\tau_n \cdot !?a \cdot !?b_{n+1} \stackrel{\mathcal{S}}{\sim} \tau \cdot !?a \cdot !?b_1 \cdots !?b_{n+1}$$

By transitivity of  $\stackrel{\mathcal{S}}{\sim}$ , we can relate the two right members of the above identities, *i.e.*

$$\tau_n \cdot !?b_{n+1} \cdot !?a \stackrel{\mathcal{S}}{\sim} \tau \cdot !?a \cdot !?b_1 \cdots !?b_{n+1}$$

which shows the claim.  $\blacktriangleleft$

► **Lemma 16.** *Let  $\mathcal{S}$  be a 1-synchronizable system. Let  $\tau \in \text{Traces}_0(\mathcal{S})$  and  $a_1, \dots, a_n, b_1, \dots, b_m \in M$  be such that*

1.  $\tau \cdot !?a_1 \cdots !?a_n \in \text{Traces}_0(\mathcal{S})$
2.  $\tau \cdot !?b_1 \cdots !?b_m \in \text{Traces}_0(\mathcal{S})$
3.  $\text{src}(a_i) \neq \text{src}(b_j)$  for all  $i \in \{1, \dots, n\}, j \in \{1, \dots, m\}$

Then for all shuffle  $c_1 \dots c_{m+n}$  of  $a_1 \cdots a_n$  with  $b_1 \cdots b_m$ ,

- $\tau \cdot !?c_1 \cdots !?c_{m+n} \in \text{Traces}_0(\mathcal{S})$ , and
- $\tau \cdot !?a_1 \cdots !?a_n \cdot !?b_1 \cdots !?b_m \stackrel{\mathcal{S}}{\sim} \tau \cdot !?c_1 \cdots !?c_m$ .

**Proof.** By induction on  $n + m$ . Let  $a_1, \dots, a_n, b_1, \dots, b_m$  be fixed, and let  $c_1 \cdots c_{m+n}$  be a shuffle of  $a_1 \cdots a_n$  with  $b_1 \cdots b_m$ .

- Assume that  $c_1 = a_1$ . Let  $\tau' = \tau \cdot !?a_1$ . By Lemma 15,  $\tau' \cdot !?b_1 \cdots !?b_m \in \text{Traces}_0(\mathcal{S})$ , and by hypothesis  $\tau' \cdot !?a_2 \cdots !?a_n \in \text{Traces}_0(\mathcal{S})$ , so we can use the induction hypothesis with  $(a'_1, \dots, a'_{n-1}) = (a_2, \dots, a_n)$ . We get  $\tau' \cdot !?c_2 \cdots !?c_n \in \text{Traces}_0(\mathcal{S})$ , and

$$\tau' \cdot !?c_2 \cdots !?c_n \stackrel{\mathcal{S}}{\sim} \tau' \cdot !?a_2 \cdots !?a_n \cdot !?b_1 \cdots !?b_m$$

which shows the claim.

- Assume that  $c_1 = b_1$ . Then by the same arguments,

$$\tau \cdot !?c_1 \cdots !?c_n \stackrel{\mathcal{S}}{\sim} \tau \cdot !?b_1 \cdots !?b_m \cdot !?a_1 \cdots !?a_n$$

Since this holds for all shuffle  $c_1, \dots, c_{m+n}$ , this also holds for  $c_1 = a_1, \dots, c_n = a_n, c_{n+1} = b_1, \dots, c_{m+n} = b_m$ , which shows the claim.  $\blacktriangleleft$

We can now generalize Lemma 14.

► **Lemma 17.** *Let  $\mathcal{S}$  be a 1-synchronizable system. Let  $\tau \in \text{Traces}_0(\mathcal{S})$  and  $m_1, \dots, m_n \in M$  be such that  $\tau \cdot !m_1 \cdots !m_n \in \text{Traces}_n(\mathcal{S})$ . Then  $\tau \cdot !?m_1 \cdots !?m_n \in \text{Traces}_0(\mathcal{S})$ .*

**Proof.** By induction on  $n$ . Let  $m_1, \dots, m_n$  be fixed with  $n \geq 1$ . There are two subsequences  $a_1, \dots, a_r$  and  $b_1, \dots, b_m$  such that

- $\text{src}(a_\ell) = \text{src}(m_1)$  for all  $\ell \in \{1, \dots, r\}$ ,

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- $\text{src}(b_\ell) \neq \text{src}(m_1)$  for all  $\ell \in \{1, \dots, m\}$ ,
- $m_1 \cdots m_n$  is a shuffle of  $a_1 \cdots a_r$  with  $b_1 \cdots b_m$

By hypothesis,  $\tau \cdot !a_1 \cdots !a_r \in \text{Traces}_\omega(\mathcal{S})$  and  $\tau \cdot !b_1 \cdots !b_m \in \text{Traces}_\omega(\mathcal{S})$ . By Lemma 14,  $\tau \cdot !?a_1 \cdots !?a_r \in \text{Traces}_0(\mathcal{S})$ , and by induction hypothesis  $\tau \cdot !?b_1 \cdots !?b_m \in \text{Traces}_0(\mathcal{S})$ , and finally by Lemma 16  $\tau \cdot !?m_1 \cdots !?m_n \in \text{Traces}_0(\mathcal{S})$ . ◀

► **Lemma 18.** *Let  $a_1, \dots, a_n, b_1, \dots, b_m \in M$ , and let  $\tau$  be a shuffle of  $!a_1 \cdots !a_n$  with  $!b_1 \cdots !b_m$ . Then for all  $i \in \{1, \dots, p\}$  there is a shuffle  $c_1 \cdots c_{n+m}$  of  $a_1 \cdots a_n$  with  $b_1 \cdots b_m$  such that  $\text{onPeer}_i(\tau) = \text{onPeer}_i(!c_1 \cdots !c_{n+m})$ .*

**Proof.** Let  $i \in \{1, \dots, p\}$  be fixed. For every  $m \in M$ , let  $m^* = !m$  if  $\text{dst}(m) \neq i$ , otherwise  $m^* = ?m$ , and let  $\bar{m}^* = ?m$  if  $\text{dst}(m) \neq i$ , otherwise  $\bar{m}^* = !m$ . Finally, let  $h$  be the homomorphism defined by  $h(m^*) = !m$  and  $h(\bar{m}^*) = \epsilon$ . Then for all  $M$ -traces  $\tau$ ,  $\text{onPeer}_i(\tau) = \text{onPeer}_i(h(\tau))$ . Let  $\tau$  be a shuffle of  $!a_1 \cdots !a_n$  with  $!b_1 \cdots !b_m$ . Then there is a shuffle  $v$  of  $a_1^* \cdots a_n^*$  with  $b_1^* \cdots b_m^*$  such that  $h(v) = h(\tau)$ . Therefore,  $h(\tau) = !c_1 \cdots !c_{n+m}$  for some shuffle  $c_1 \cdots c_{n+m}$  of  $a_1 \cdots a_n$  with  $b_1 \cdots b_m$ . ◀

► **Lemma 19.** *Let  $\mathcal{S}$  be a 1-synchronizable system. Let  $a_1, \dots, a_n, b_1, \dots, b_m \in M$  and  $\tau \in \text{Traces}_0(\mathcal{S})$  be such that*

1.  $\tau \cdot !a_1 \cdots !a_n \in \text{Traces}_n(\mathcal{S})$ ,
2.  $\tau \cdot !b_1 \cdots !b_m \in \text{Traces}_m(\mathcal{S})$ , and
3.  $\text{src}(a_i) \neq \text{src}(b_j)$  for all  $i \in \{1, \dots, n\}$  and  $j \in \{1, \dots, m\}$ .

*Then for any two different shuffles  $v_1, v_2$  of  $!a_1 \cdots !a_n$  with  $!b_1 \cdots !b_m$ , it holds that  $\tau \cdot v_1 \in \text{Traces}_\omega(\mathcal{S})$ ,  $\tau \cdot v_2 \in \text{Traces}_\omega(\mathcal{S})$  and  $\tau \cdot v_1 \stackrel{\mathcal{S}}{\sim} \tau \cdot v_2$ .*

**Proof.** Let  $\tau \in \text{Traces}_0(\mathcal{S})$  and  $a_1, \dots, a_n, b_1, \dots, b_m$ , be fixed. Let  $v$  be a shuffle of  $!a_1 \cdots !a_n$  with  $!b_1 \cdots !b_m$ . We want to show that  $\tau \cdot v \in \text{Traces}_\omega(\mathcal{S})$ . Clearly,  $\tau \cdot v \in \text{Traces}_\omega(\mathcal{S})$  is a FIFO trace. Therefore, it is enough to find for all  $i \in \{1, \dots, p\}$  a trace  $\tau_i$  such that

$$\tau_i \in \text{Traces}_\omega(\mathcal{S}) \quad \text{and} \quad \text{onPeer}_i(\tau \cdot v) = \text{onPeer}_i(\tau_i). \quad (1)$$

Let  $i \in \{1, \dots, p\}$  be fixed, and let us construct  $\tau_i$  that validates (1). By hypothesis

$$\tau \cdot !a_1 \cdots !a_n \in \text{Traces}_\omega(\mathcal{S}) \quad \text{and} \quad \tau \cdot !b_1 \cdots !b_m \in \text{Traces}_\omega(\mathcal{S})$$

therefore, by Lemma 17,

$$\tau \cdot !?a_1 \cdots !?a_n \in \text{Traces}_0(\mathcal{S}) \quad \text{and} \quad \tau \cdot !?b_1 \cdots !?b_m \in \text{Traces}_0(\mathcal{S}). \quad (2)$$

On the other hand, by Lemma 18, there is a shuffle  $c_1 \cdots c_{n+m}$  of  $a_1 \cdots a_n$  with  $b_1 \cdots b_m$  such that

$$\text{onPeer}_i(v) = \text{onPeer}_i(!c_1 \cdots !c_{n+m}) \quad (3)$$

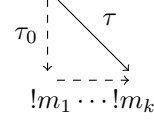
Let  $\tau_i = \tau \cdot !c_1 \cdots !c_{n+m}$ . By Lemma 16 and (2),  $\tau_i \in \text{Traces}_0(\mathcal{S})$ , and by (3), the second part of (1) holds. ◀

### 4.2 Trace normalization

In this section and the next one, it will be necessary to assume that the communication topology is an oriented ring.

► **Definition 20** (Normalized trace). A  $M$ -trace  $\tau$  is *normalized* if there is a synchronous  $M$ -trace  $\tau_0$ ,  $n \geq 0$ , and messages  $a_1, \dots, a_n$  such that  $\tau = \tau_0 \cdot !a_1 \cdots !a_n$ .

► **Lemma 21** (Trace Normalization). Assume  $M$  is such that the communication topology  $G_M$  is an oriented ring. Let  $\mathcal{S} = \langle \mathcal{P}_1, \dots, \mathcal{P}_p \rangle$  be a 1-synchronizable  $M$ -system. For all  $\tau \in \text{Traces}_\omega(\mathcal{S})$ , there is a normalized trace  $\text{norm}(\tau) \in \text{Traces}_\omega(\mathcal{S})$  such that  $\tau \stackrel{\mathcal{S}}{\sim} \text{norm}(\tau)$ .



As an hint that the trace normalization does not hold if a peer can send to (resp. receive from) two different peers, consider the following examples:

► **Example 22.** Let  $\mathcal{P}_1 = !a^{1 \rightarrow 2} \cdot !b^{1 \rightarrow 3}$ ,  $\mathcal{P}_2 = ?a^{1 \rightarrow 2}$ ,  $\mathcal{P}_3 = ?b^{1 \rightarrow 3}$ , and  $\tau = !a \cdot !b \cdot ?b$ . Then the system is 1-synchronizable, but the only trace  $\stackrel{\mathcal{S}}{\sim}$ -equivalent to  $\tau$  is  $\tau$  itself, which is not normalized.

► **Example 23.** Let  $\mathcal{P}_1 = !a^{1 \rightarrow 3}$ ,  $\mathcal{P}_2 = !b^{2 \rightarrow 3}$ ,  $\mathcal{P}_3 = ?a^{1 \rightarrow 3} \cdot ?b^{2 \rightarrow 3} + ?b^{2 \rightarrow 3} \cdot ?a^{1 \rightarrow 3}$ , and  $\tau = !a \cdot !b \cdot ?b$ . Then the system is 1-synchronizable, but the only trace  $\stackrel{\mathcal{S}}{\sim}$ -equivalent to  $\tau$  is  $\tau$  itself, which is not normalized.

**Proof.** By induction on  $\tau$ . Let  $\tau = \tau' \cdot \lambda$ , be fixed. Let us assume by induction hypothesis that there is a normalized trace  $\text{norm}(\tau') \in \text{Traces}_\omega(\mathcal{S})$  such that  $\tau' \stackrel{\mathcal{S}}{\sim} \text{norm}(\tau')$ . Let us reason by case analysis on the last action  $\lambda$  of  $\tau$ . The easy case is when  $\lambda$  is a send action: then,  $\text{norm}(\tau') \cdot \lambda$  is a normalized trace, and  $\text{norm}(\tau') \cdot \lambda \stackrel{\mathcal{S}}{\sim} \tau' \cdot \lambda$  by right congruence of  $\stackrel{\mathcal{S}}{\sim}$ . The difficult case is when  $\lambda$  is  $?a$  for some  $a \in M$ . Let  $i = \text{src}(a)$ ,  $j = \text{dst}(a)$ , i.e.  $i + 1 = j \bmod p$ . By the definitions of a normal trace and  $\stackrel{\text{causal}}{\sim}$ , there are  $\tau'_0 \in \text{Traces}_0(\mathcal{S})$ ,  $a_1, \dots, a_n, b_1, \dots, b_m \in M$  such that

$$\text{norm}(\tau') \stackrel{\text{causal}}{\sim} \tau'_0 \cdot !a_1 \cdots !a_n \cdot !b_1 \cdots !b_m$$

with  $\text{src}(a_k) = i$  for all  $k \in \{1, \dots, n\}$  and  $\text{src}(b_k) \neq i$  for all  $k \in \{1, \dots, m\}$ . Since  $G_M$  is an oriented ring,  $\text{dst}(a_1) = j$ , therefore  $a_1 = a$  (because by hypothesis  $j$  may receive  $a$  in the configuration that  $\text{norm}(\tau')$  leads to). Let  $\text{norm}(\tau) = \tau'_0 \cdot !a \cdot ?a \cdot !b_1 \cdots !b_m \cdot !a_2 \cdots !a_n$  and let us show that  $\text{norm}(\tau) \in \text{Traces}_\omega(\mathcal{S})$  and  $\tau \stackrel{\mathcal{S}}{\sim} \text{norm}(\tau)$ .

Since  $\text{norm}(\tau') \in \text{Traces}_\omega(\mathcal{S})$ , we have in particular that  $\tau'_0 \cdot !a \in \text{Traces}_1(\mathcal{S})$  and  $\tau'_0 \cdot !b_1 \cdots !b_m \in \text{Traces}_\omega(\mathcal{S})$ . Consider the two traces

$$\begin{aligned} v_1 &= \tau'_0 \cdot !a \cdot ?a \cdot !b_1 \cdots !b_m \cdot ?b_1 \cdots ?b_n \\ v_2 &= \tau'_0 \cdot !a \cdot !b_1 \cdots !b_m \cdot ?a \cdot ?b_1 \cdots ?b_n. \end{aligned}$$

By Lemma 19,  $v_1, v_2 \in \text{Traces}_\omega(\mathcal{S})$  and both lead to the same configuration, and in particular to the same control state  $q$  for peer  $j$ . The actions  $?b_1, ?b_2, \dots, ?b_n$  are not executed by peer  $j$  (because  $\text{src}(m) \neq i$  implies  $\text{dst}(m) \neq j$  on an oriented ring), so the two traces

$$\begin{aligned} v'_1 &= \tau'_0 \cdot !a \cdot ?a \cdot !b_1 \cdots !b_m \\ v'_2 &= \tau'_0 \cdot !a \cdot !b_1 \cdots !b_m \cdot ?a \end{aligned}$$

lead to two configurations  $\gamma'_1, \gamma'_2$  with the same control state  $q$  for peer  $j$  as in the configuration reached after  $v_1$  or  $v_2$ . On the other hand, for all  $k \neq j$ ,  $\text{onPeer}_k(v'_1) = \text{onPeer}_k(v'_2)$ , therefore  $v'_1 \stackrel{\mathcal{S}}{\sim} v'_2$ . Since  $\tau'_0 \cdot !a \cdot !a_2 \cdots !a_n \in \text{Traces}_n(\mathcal{S})$ , and  $\text{onPeer}_i(\tau'_0 \cdot !a) = \text{onPeer}_i(v'_1) = \text{onPeer}_i(v'_2)$ , the two traces

$$\begin{aligned} v''_1 &= \tau'_0 \cdot !a \cdot ?a \cdot !b_1 \cdots !b_m \cdot !a_2 \cdots !a_n \\ v''_2 &= \tau'_0 \cdot !a \cdot !b_1 \cdots !b_m \cdot ?a \cdot !a_2 \cdots !a_n \end{aligned}$$

belong to  $\text{Traces}_\omega(\mathcal{S})$  and  $v''_1 \stackrel{\mathcal{S}}{\sim} v''_2$ . Consider first  $v''_1$ : this is  $\text{norm}(\tau)$  as defined above, therefore  $\text{norm}(\tau) \in \text{Traces}_\omega(\mathcal{S})$ , and  $\text{norm}(\tau) \stackrel{\mathcal{S}}{\sim} v''_2$ . Consider now  $v''_2$ . By definition,  $v''_2 \stackrel{\text{causal}}{\sim} \text{norm}(\tau') \cdot ?a$ . By hypothesis,  $\text{norm}(\tau') \stackrel{\mathcal{S}}{\sim} \tau'$ , therefore  $\text{norm}(\tau') \cdot ?a \stackrel{\text{causal}}{\sim} \tau$ . To sum up,  $\text{norm}(\tau) \stackrel{\mathcal{S}}{\sim} v''_2 \stackrel{\text{causal}}{\sim} \text{norm}(\tau') \cdot ?a \stackrel{\mathcal{S}}{\sim} \tau$ , therefore  $\text{norm}(\tau) \stackrel{\mathcal{S}}{\sim} \tau$ .  $\blacktriangleleft$

### 4.3 Reachability set

As a consequence of Lemma 21, 1-synchronizability implies several interesting properties on the reachability set.

► **Definition 24** (Channel-recognizable reachability set [16, 7]). Let  $\mathcal{S} = \langle \mathcal{P}_1, \dots, \mathcal{P}_p \rangle$  with  $\mathcal{P}_i = \langle Q_i, \Delta_i, q_{0,i} \rangle$ . The (coding of the) *reachability set* of  $\mathcal{S}$  is the language  $\text{Reach}(\mathcal{S})$  over the alphabet  $(M \cup \bigcup_{i=1}^p Q_i)^*$  defined as  $\{q_1 \cdots q_p \cdot w_1 \cdots w_p \mid \gamma_0 \xrightarrow{\tau} (q_1, \dots, q_p, w_1, \dots, w_p), \tau \in \text{Traces}_\omega(\mathcal{S})\}$ .  $\text{Reach}(\mathcal{S})$  is *channel-recognizable* (or QDD representable [5]) if it is a recognizable (and rational) language.

► **Theorem 25.** *Let  $M$  be a message set such that  $G_M$  is an oriented ring, and let  $\mathcal{S}$  be a  $M$ -system that is 1-synchronizable. Then*

1. *the reachability set of  $\mathcal{S}$  is channel recognizable,*
2. *for all  $\tau \in \text{Traces}_\omega(\mathcal{S})$ , for all  $\gamma_0 \xrightarrow{\tau} \gamma$ , there is a stable configuration  $\gamma'$ ,  $n \geq 0$  and  $m_1, \dots, m_n \in M$  such that  $\gamma \xrightarrow{?m_1 \cdots ?m_n} \gamma'$ .*

*In particular,  $\mathcal{S}$  neither has orphan messages nor unspecified receptions [7].*

**Proof. 1.** Let  $S$  be the set of stable configurations  $\gamma$  such that  $\gamma_0 \xrightarrow{\tau} \gamma$  for some  $\tau \in \text{Traces}_0(\mathcal{S})$ ;  $S$  is finite and effective. By Lemma 21,  $\text{Reach}(\mathcal{S}) = \bigcup \{\text{Reach}^!(\gamma) \mid \gamma \in S\}$ , where  $\text{Reach}^!(\gamma) = \{q_1 \cdots q_p \cdot w_1 \cdots w_p \mid \gamma \xrightarrow{!a_1 \cdots !a_n} (q_1, \dots, q_p, w_1, \dots, w_p), n \geq 0, a_1, \dots, a_n \in M\}$  is an effective rational language.

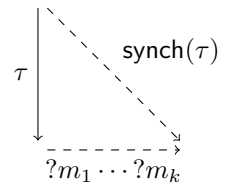
2. Assume  $\gamma_0 \xrightarrow{\tau} \gamma$ . By Lemma 21,  $\gamma_0 \xrightarrow{\tau_0 \cdot !m_1 \cdots !m_r} \gamma$  for some  $\tau_0 \in \text{Traces}_0(\mathcal{S})$ . Then  $\tau_0 \cdot !m_1 \cdots !m_r \stackrel{\text{causal}}{\sim} \tau_0 \cdot \tau_1$  where  $\tau_1 := !a_1 \cdots !a_n \cdot b_1 \cdots b_m$  for some  $a_1, \dots, a_n, b_1, b_m$  such that  $\text{src}(a_i) \neq \text{src}(b_j)$  for all  $i \in \{1, \dots, n\}$  and  $j \in \{1, \dots, m\}$ . By Lemma 19,  $\tau_0 \cdot \tau_1 \cdot \bar{\tau}_1 \in \text{Traces}_\omega(\mathcal{S})$  (where  $\bar{\tau}_1 = ?a_1 \cdots ?a_n \cdot ?b_1 \cdots ?b_m$ ), and therefore  $\gamma_0 \xrightarrow{\tau_0 \cdot \tau_1} \gamma \xrightarrow{\bar{\tau}_1} \gamma'$  for some stable configuration  $\gamma'$ .  $\blacktriangleleft$

### 4.4 1-synchronizability implies synchronizability

► **Theorem 26.** *Let  $M$  be a message set such that  $G_M$  is an oriented ring. For any  $M$ -system  $\mathcal{S}$ ,  $\mathcal{S}$  is 1-synchronizable if and only if it is synchronizable.*

**Proof.** We only need to show that 1-synchronizability implies synchronizability. Let us assume that  $\mathcal{S}$  is 1-synchronizable. Let  $\text{synch}(\tau)$  denote the unique synchronous  $M$ -trace such that  $\text{send}(\text{synch}(\tau)) = \text{send}(\tau)$ . We prove by induction on  $\tau$  the following property (which implies in particular that  $\mathcal{S}$  is synchronizable):

for all  $\tau \in \text{Traces}_\omega(\mathcal{S})$ , there are  $m_1, \dots, m_k \in M$  such that (C1)  $\text{synch}(\tau) \in \text{Traces}_0(\mathcal{S})$ , (C2)  $\tau \cdot ?m_1 \cdots ?m_k \in \text{Traces}_\omega(\mathcal{S})$ , and (C3)  $\tau \cdot ?m_1 \cdots ?m_k \stackrel{\mathcal{S}}{\sim} \text{synch}(\tau)$ . Let  $\tau = \tau' \cdot \lambda$  be fixed and assume that there are  $m'_1, \dots, m'_k \in M$  such that  $\tau' \cdot ?m'_1 \cdots ?m'_k \in \text{Traces}_\omega(\mathcal{S})$ ,  $\text{synch}(\tau') \in \text{Traces}_0(\mathcal{S})$ , and  $\tau' \cdot ?m'_1 \cdots ?m'_k \stackrel{\mathcal{S}}{\sim} \text{synch}(\tau')$ . Let us show that (C1), C2, and (C3) hold for  $\tau$ . We reason by case analysis on the last action  $\lambda$  of  $\tau$ .



- Assume  $\lambda = ?a$ . Then  $\text{synch}(\tau) = \text{synch}(\tau') \in \text{Traces}_0(\mathcal{S})$ , which proves (C1). Let  $i = \text{dst}(a)$ . Since peer  $i$  only receives on one channel, there are  $m_1, \dots, m_{k-1}$  such that

$$\tau' \cdot ?m'_1 \cdot ?m'_k \stackrel{\text{causal}}{\sim} \tau' \cdot ?a \cdot ?m_1 \cdot ?m_{k-1}.$$

Since  $\tau' \cdot ?m'_1 \cdot ?m'_k \stackrel{\mathcal{S}}{\sim} \text{synch}(\tau)$  by induction hypothesis, (C2) and (C3) hold.

- Assume  $\lambda = !a$ . By Lemma 21, there is  $\text{norm}(\tau') = \tau_0 \cdot !m''_1 \cdots !m''_k$  with  $\tau_0 \in \text{Traces}_0(\mathcal{S})$  such that  $\tau' \stackrel{\mathcal{S}}{\sim} \text{norm}(\tau')$ . Since  $\tau' \cdot ?m'_1 \cdots ?m'_k$  leads to a stable configuration,  $m''_1, \dots, m''_k$  is a permutation of  $m'_1, \dots, m'_k$  that do not swap messages of a same channel. Since  $G_M$  is an oriented ring,  $\text{norm}(\tau') \stackrel{\text{causal}}{\sim} \tau_0 \cdot !m'_1 \cdots !m'_k$ . Since  $\tau' \cdot !a \in \text{Traces}_\omega(\mathcal{S})$ , it holds that  $\tau_0 \cdot !m_1 \cdots !m_k \cdot !a \in \text{Traces}_\omega(\mathcal{S})$ , which implies by Lemma 19 that the two traces

$$\begin{aligned} v_1 &= \tau_0 \cdot !m'_1 \cdots !m'_k \cdots ?m_1 \cdots ?m'_k \cdot !a \cdot ?a \\ v_2 &= \tau_0 \cdot !m'_1 \cdots !m'_k \cdot !a \cdots ?m'_1 \cdots ?m'_k \cdot ?a \end{aligned}$$

belong to  $\text{Traces}_\omega(\mathcal{S})$  and verify  $v_1 \stackrel{\mathcal{S}}{\sim} v_2$ . Consider first  $v_1$ , and let  $v'_1 = \tau_0 \cdot !m'_1 \cdots !m'_k \cdot ?m'_1 \cdots ?m'_k$ . Since  $\text{norm}(\tau') \stackrel{\text{causal}}{\sim} \tau_0 \cdot !m'_1 \cdots !m'_k \stackrel{\mathcal{S}}{\sim} \tau'$  and  $\tau' \cdot ?m_1 \cdots ?m_k \stackrel{\mathcal{S}}{\sim} \text{synch}(\tau')$ , it holds that  $v'_1 \stackrel{\mathcal{S}}{\sim} \text{synch}(\tau')$ . Therefore,  $\text{synch}(\tau') \cdot !a \cdot ?a = \text{synch}(\tau)$  belongs to  $\text{Traces}_\omega(\mathcal{S})$ , which shows (C1), and  $\text{synch}(\tau) \stackrel{\mathcal{S}}{\sim} v_1$ . Consider now  $v_2$ , and let  $v'_2 = \tau_0 \cdot !m'_1 \cdots !m'_k \cdot !a \stackrel{\text{causal}}{\sim} \text{norm}(\tau') \cdot !a$ . Then  $v'_2 \stackrel{\mathcal{S}}{\sim} \tau' \cdot !a = \tau$ , therefore  $\tau \cdot ?m'_1 \cdots ?m'_k \cdot ?a \in \text{Traces}_\omega(\mathcal{S})$ , which shows (C2), and  $\tau \cdot ?m'_1 \cdots ?m'_k \cdot ?a \stackrel{\mathcal{S}}{\sim} v_2$ . Since  $v_2 \stackrel{\mathcal{S}}{\sim} v_1 \stackrel{\mathcal{S}}{\sim} \text{synch}(\tau)$ , this shows (C3).  $\blacktriangleleft$

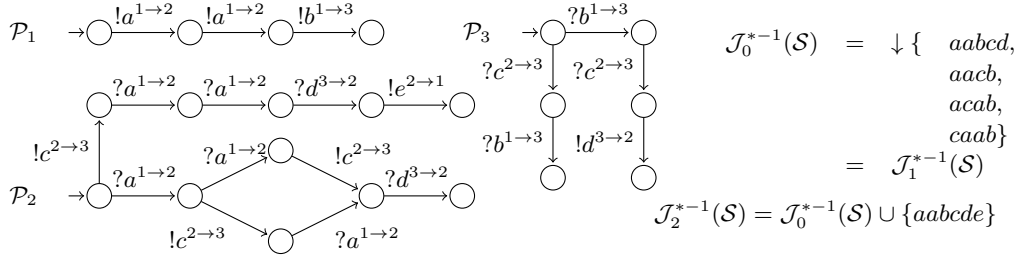
► **Theorem 27.** *Let  $M$  be a message set such that  $G_M$  is an oriented ring. The problem of deciding whether a given  $M$ -system is synchronizable is decidable.*

## 5 Extensions

We considered the notions of synchronizability and language synchronizability introduced by Basu and Bultan [2] and we showed that both are not decidable for systems with peer-to-peer FIFO communications, called (1-1) type systems in [2]. In the same work, Basu and Bultan considered the question of the decidability of language synchronizability for other communication models. All the results we presented so far do not have any immediate consequences on their claims for these communication models. Therefore, we briefly discuss now what we can say about the decidability of language synchronizability for the other communication models that have been considered.

**Non FIFO communications** In [2], language synchronizability is studied for systems where peers communicate through bags instead of queues, thus allowing to reorder messages. Language synchronizability is decidable for bag communications:  $\text{Traces}_\omega^{\text{bag}}(\mathcal{S})$  is the trace language of a Petri net,  $T_0(\mathcal{S}) = \{\tau \in \text{Act}_M^* \mid \text{send}(\tau) \in \mathcal{J}_0^{\text{bag}}(\mathcal{S})\}$  is an effective regular language,  $\mathcal{S}$  is language synchronizable iff  $\text{Traces}_\omega^{\text{bag}}(\mathcal{S}) \subseteq T_0(\mathcal{S})$ , and whether the trace language of a Petri is included in a given regular language reduces to the coverability problem. Lossy communications were not considered in [2], but the same kind of argument would also hold for lossy communications. However, our Example 1 is a counter-example for Lemma 3 in [2], *i.e.* the notion of language 1-synchronizability for bag communications defined in [2] does not imply language synchronizability. The question whether (language) synchronizability can be decided more efficiently than by reduction to the coverability problem for Petri nets is open.





■ **Figure 3** Language 1-synchronizability does not imply language synchronizability for 1-\* (mailbox) communications *à la* [1, 4].

**Non peer-to-peer communications** The other communication models considered in [2] keep the FIFO queue model, but differ in the way how queues are distributed among peers. The \*-1 (mailbox) model assumes a queue per receiver. This model is the first model that was considered for (language) synchronizability [1, 4]. Our Example 1 is not easy to adapt for this communication model. We therefore design a completely different counter-example.

► **Example 28.** Consider the system of communicating machines depicted in Fig. 3. Assume that the machines communicate via mailboxes, like in [1, 4], i.e. all messages that are sent to peer  $i$  wait in a same FIFO queue  $Q_i$ , and let  $\mathcal{J}_k^{*-1}(\mathcal{S})$  denote the  $k$ -bounded send traces of  $\mathcal{S}$  within this model of communications. Then  $\mathcal{J}_0^{*-1}(\mathcal{S}) = \mathcal{J}_1^{*-1}(\mathcal{S}) \neq \mathcal{J}_2^{*-1}(\mathcal{S})$ , as depicted in Figure 3. Therefore  $\mathcal{S}$  is language 1-synchronizable but not language synchronizable, which contradicts Theorem 1 in [1], Theorem 2 in [3], and Theorem 2 in [2]. It can be noticed that it does not contradict Theorem 1 in [4], but it contradicts the Lemma 1 of the same paper, which is used to prove Theorem 1.

Many problems are therefore left for future work: the (un)decidability of synchronizability for the mailbox semantics, the largest class of communication topologies for which 1-synchronizability implies synchronizability (either for the peer-to-peer semantics or for the mailbox one), the study of language synchronizability, etc. Our intention in this work was limited to the identification of some of these problems, and maybe to explain why the errors in [1, 4, 2] were missed by so many reviewers.

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