

# The Geometry of Parallelism: Classical, Probabilistic, and Quantum Effects

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### ▶ To cite this version:

Ugo Dal Lago, Claudia Faggian, Benoît Valiron, Akira Yoshimizu. The Geometry of Parallelism: Classical, Probabilistic, and Quantum Effects. POPL 2017 - 44th ACM SIGPLAN Symposium on Principles of Programming Languages, Jan 2017, Paris, France. pp.833-845, 10.1145/3009837.3009859. hal-01474620

HAL Id: hal-01474620

https://hal.science/hal-01474620

Submitted on 14 Jun 2019

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## The Geometry of Parallelism

# Classical, Probabilistic, and Quantum Effects Extended version

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### **Abstract**

We introduce a Geometry of Interaction model for higher-order quantum computation, and prove its *adequacy* for *a fully fledged quantum programming language* in which entanglement, duplication, and recursion are all available. This model is an instance of a new framework which captures not only quantum but also classical and *probabilistic* computation. Its main feature is the ability to model *commutative effects* in a *parallel* setting. Our model comes with a multi-token machine, a proof net system, and a PCF-style language. Being based on a multi-token machine equipped with a memory, it has a concrete nature which makes it well suited for building low-level operational descriptions of higher-order languages.

Categories and Subject Descriptors F.3.2 [Semantics of Programming Languages]: Algebraic approaches to semantics

*Keywords* Geometry of Interaction, memory structure, quantum, probabilistic, PCF

### 1. Introduction

In classical computation, information is deterministic, discrete and freely duplicable. Already from the early days [1], however, determinism has been relaxed by allowing state evolution to be probabilistic. The classical model has then been further challenged by quantum computation [2], a computation paradigm which is based on the laws of quantum mechanics.

Probabilistic and quantum computation are both justified by the very efficient algorithms they give rise to: think about Miller-Rabin primality test [3, 4], Shor's factorization [5] but also the more recent algorithms for quantum chemistry [6] or for solving linear systems of equations [7]. Finding out a way to conveniently *express* those algorithms without any reference to the underlying hardware, is then of paramount importance.

This has stimulated research on programming languages for probabilistic [8, 9] and quantum computation (see [10] for a survey), and recently on higher-order functional languages [11–13]. The latter has been epitomized by variations and extensions of the  $\lambda$ -calculus. In order to allow compositional reasoning, it is important to give a denotational semantics to those languages; maybe surprisingly, a large body of works in this direction is closely connected to denotational and interactive models of Linear Logic [14], in the style of Game Semantics [15, 16] and the Geometry of Interaction [17].

The case of quantum computation is emblematic. The first adequate denotational model for a quantum programming language à la PCF, only two years old [13], marries a categorical construction for the exponentials of linear logic [18, 19] to a suitable extension of the standard model of quantum computation: the category of completely positive maps [20]. The development of an *interactive* semantics has proved to be highly nontrivial, with results which are impressive but not yet completely satisfactory. In particular, the underlying language either does not properly reflect entanglement [21–23], a key feature of quantum computation, or its expressive power is too weak, lacking recursion and duplication [24, 25]. The main reason for this difficulty lies in the inherent non-locality of entanglement [2].

In this paper we show that Girard's Geometry of Interaction (GoI) indeed offers the right tools to deal with a fully fledged quantum programming language in which duplication and full recursion are available, when we equip GoI with an external quantum memory, a standard technique for operational models of quantum  $\lambda$ -calculi [11].

We go further: the approach we develop is not specific to quantum computation, and our quantum model is introduced as an instance of a new framework which models *choice effects* in a parametric way, via a *memory structure*. The memory structure comes with three operations: (1) *allocation* of fresh addresses in the memory, (2) *low-level actions* on the memory, and (3) *choice* based on the value of the memory at a given address. The notion of memory structure is flexible, the only requirement being commutativity of the operations. In Sec. 3.3 we show that different kinds of choice effects can be systematically treated: classical, probabilistic and quantum memory are all instances of this general notion. Therefore, the memory makes the model suitable to interpret classical, probabilistic and quantum functional programs. In particular, in the case of quantum memory, a low-level action is an application of unitary gate to the memory, while the choice performs a quantum measure.

The GoI model we give has a very concrete nature, as it consists of a class of token machines [26, 27]. Their distinctive feature is to be *parallel* and *multi-token* [25, 28] rather than *single-token* as in classic token machines [26]. Being multi-token means that different computational threads can interact with each other and synchronize (think of this as a multi-player game, where players are able to collaborate and exchange information). The presence of multiple tokens allows to appropriately reflect non-locality in a quantum setting, but also to generally deal with *parallelism* and *choice effects* in a satisfactory way. We discuss why this is the case when we concretely present the machine (Sec. 5).

Finally, to deal with the combination of parallelism, probabilistic side-effects and non-termination, we develop a general notion of PARS, *probabilistic abstract rewrite system*. The results we establish on PARS are the key ingredient in the Adequacy proofs, but are also of independent interest. The issues at sake are non-trivial and we discuss them in the dedicated Sec. 1.2.

**Contributions.** We present a Geometry of Interaction (GoI) model for higher-order quantum computation, which is adequate for a quantum programming language in which entanglement, duplication, and recursion are all available. Our model comes with a multitoken machine, a proof net system, and a PCF-style language. More specifically, this paper's contributions can be summarized as follows:

- we equip GoI with the ability to capture *choice effects* using a parametric notion of memory structure (Sec. 3);
- we show that the notion of memory structure is able to capture classical, probabilistic and quantum effects (Sec. 3.3);
- we introduce a construction which is parametric on the memory, and produces a class of multi-token machines (Sec. 5), proof net systems (Sec. 4) and PCF-style languages (Sec. 6). We prove that (regardless of the specific memory) the multi-token machine is an adequate model of nets reduction (Th. 28), and the nets an adequate model of PCF term rewriting (Th. 29);
- we develop a general notion of parallel abstract rewrite system, which allows us to deal with the combination of parallelism and probabilistic choice in an infinitary setting (Sec. 2).

Being based on a multi-token machine associated to a memory, our model has a concrete nature which makes it well suited to build low-level operational descriptions of higher-order programming languages. In the remainder of this section, we give an informal overview of various aspects of our framework, and motivate with some examples the significance of our contribution.

This report is an extended version of [29].

### 1.1 Geometry of Interaction and Quantum Computation

Geometry of Interaction is interesting as semantics for programming languages [27, 30, 31] because it is a high-level semantics which at the same time is close to low-level implementation and has a clear operational flavor. Computation is interpreted as a flow of information circulating around a network, which essentially is a representation of the underlying program. Computational steps are broken into low-level actions of one or more tokens which are the agents carrying the information around. A long standing open question is whether fully fledged higher-order quantum computation can be modeled operationally via the Geometry of Interaction.

### 1.1.1 Quantum Computation

As comprehensive references can be found in the literature [2], we only cover the very few concepts that will be needed in this paper. Quantum computation deals with *quantum bits* rather than bits. The state of a quantum system can be represented with a density matrix to account for its probabilistic nature. However for our purpose we shall use in this paper the usual, more operational, non-probabilistic representation. Single quantum bits (or *qubits*)

will thus be represented by a ray in a two-dimensional complex Hilbert space, that is, an equivalence class of non-zero vectors up to (complex) scalar multiplication. Information is attached to a qubit by choosing an orthonormal basis  $(|0\rangle, |1\rangle)$ : a qubit is a superposition of two classical bits (modulo scalar multiplication). If the state of several bits is represented with the product of the states of single bits, the state of a multi-qubit system is represented with the *tensor product* of single-qubit states. In particular, the state of an n-qubit system is a superposition of the state of an n-bit system. We consider superpositions to be normalized.

Two kinds of operations can be performed on qubits. First, one can perform reversible, *unitary gates*: they are unitary maps in the corresponding Hilbert space. A more exotic operation is the *measurement*, which is the only way to retrieve a classical bit out of a quantum bit. This operation is probabilistic: the probabilities depend on the state of the system. Moreover, it modifies the state of the memory. Concretely, if the original memory state is  $\alpha_0|0\rangle\otimes\phi_0+\alpha_1|1\rangle\otimes\phi_1$  (with  $\phi_0$  and  $\phi_1$  normalized), measuring the first qubit would answer x with probability  $|\alpha_x|^2$ , and the memory is turned into  $|x\rangle\otimes\phi_x$ . Note how the measurement not only modifies the measured qubit, but also collapses the global state of the memory.

The effects of measurements are counterintuitive especially in entangled system: consider the 2-qubit system  $\frac{\sqrt{2}}{2}(|00\rangle+|11\rangle)$ . This system is entangled, meaning that it cannot be written as  $\phi\otimes\psi$  with 1-qubit states  $\phi$  and  $\psi$ . One can get such a system from the state  $|00\rangle$  by applying first an Hadamard gate H on the second qubit, sending  $|0\rangle$  to  $\frac{\sqrt{2}}{2}(|0\rangle+|1\rangle)$  and  $|1\rangle$  to  $\frac{\sqrt{2}}{2}(|0\rangle-|1\rangle)$ , therefore getting the state  $\frac{\sqrt{2}}{2}(|00\rangle+|01\rangle)$ , and then a CNOT (controlled-not) gate, sending  $|xy\rangle$  to  $|x\oplus y\rangle\otimes|y\rangle$ . Measuring the first qubit will collapse the entire system to  $|00\rangle$  or  $|11\rangle$ , with equal probability  $\frac{1}{2}$ .

**Remark 1.** Notwithstanding the global collapse induced by the measurement, the operations on physically disjoint quantum states are commutative. Let A and B be two quantum states. Let U act on A and V act on B (whether they are unitaries, measurements, or combinations thereof). Consider now  $A \otimes B$ : applying U on A then V on B is equivalent to first applying V on B and then A on U. In other words, the order of actions on physically separated quantum systems is irrelevant. We use this property in Sec. 3.3.3.

### 1.1.2 Previous Attempts and Missing Features

A first proposal of Geometry of Interaction for quantum computation is [21]. Based on a purely categorical construction [32], it features duplication but not general entanglement: entangled qubits cannot be separately acted upon. As the authors recognize, a limit of their approach is that their GoI is single-token, and they already suggest that using several tokens could be the solution.

**Example 2.** As an example, if 
$$S = \frac{\sqrt{2}}{2}(|00\rangle + |11\rangle)$$
, the term let  $x \otimes y = S$  in  $(Ux) \otimes (Vy)$  (1)

cannot be represented in [21], because it is not possible to send entangled qubits to separate parts of the program.

A more recent proposal [25], which introduces an operational semantics based on *multi-tokens*, can handle general entanglement. However, it does neither handle duplication nor recursion. More than that, the approach relies on termination to establish its results, which therefore do not extend to an infinitary setting: it is not enough to "simply add" duplication and fix points.

**Example 3.** In [25] it is not possible to simulate the program that tosses a coin (by performing a measurement), returns a fresh qubit on head and repeats on tail. In mock-up ML, this program becomes

letrec 
$$f x = (if x then new else f (H new)) in (f (H new))$$

where new creates a fresh qubit in state  $|0\rangle$  and where the if test performs a measurement on the qubit x. Note how the measure of H new amounts to tossing a fair coin: H new produces  $\frac{\sqrt{2}}{2}(|0\rangle+|1\rangle)$ . Measuring gives  $|0\rangle$  and  $|1\rangle$  with probability  $\frac{1}{2}$ .

Example 3 will be our leading example all along the paper. Furthermore, we shall come back to both examples in Sec. 7.1.1.

### 1.2 Parallel Choices: Confluence and Termination

When dealing with both probabilistic choice and infinitary reduction, parallelism makes the study of confluence and convergence highly non-trivial. The issue of confluence arises as soon as choices and duplication are both available, and non-termination adds to the challenges. Indeed, it is easy to see how tossing a coin and duplicating the result does not yield the same probabilistic result as tossing twice the coin. To play with this, let us take for example the following term of the probabilistic  $\lambda$ -calculus [33]: M = $(\lambda x.x \text{ xor } x)((\text{tt} \oplus \text{ff}) \oplus \Omega)$  where tt and ff are boolean constants,  $\Omega$  is a divergent term,  $\oplus$  is the choice operator (here, tossing a fair coin), and xor is the boolean operator computing the exclusive or. Depending on which of the two redexes we fire first, M will evaluate to either the distribution  $\{ff^{\frac{1}{2}}\}$  or to the distribution  $\{tt^{\frac{1}{8}}, ff^{\frac{1}{8}}\}$ . In ordinary, deterministic PCF, any program of boolean type may or may not terminate, depending on the reduction strategy, but its normal form, if it exists, is unique. This is not the case for our probabilistic term M: depending on the choice of the redex, it evaluates to two distributions which are simply not comparable.

In the case of probabilistic  $\lambda$ -calculi, the way-out to this is to fix a reduction strategy; the issue however is not only in the syntax, it appears—at a more fundamental level—also in the model. This is the case for [33], where the model itself does not support parallel probabilistic choice. Similarly, in the development of a Game Semantics or Geometry of Interaction model for probabilistic  $\lambda$ -calculi, the standard approach has been to use a polarized setting, so to impose a strict form of sequentiality [34, 35]. If instead we choose to have parallelism in *the model*, confluence is not granted and even the *definition* of convergence is non-trivial.

In this paper we propose a probabilistic model that is *infinitary* and parallel but confluent; to achieve this, in Sec. 2 we develop some results which are general to any probabilistic abstract rewrite system, and which to our knowledge are novel. More specifically, we provide sufficient conditions for an infinitary probabilistic system to be confluent and to satisfy a property which is a probabilistic analogous of the familiar "weak normalization implies strong normalization". We then show that the parametric models which we introduce (both the proof nets and the multi-token machine) satisfy this property; this is indeed what ultimately grants the adequacy results.

### 1.3 Overview of the Framework, and Its Advantages

A quantum program has on one hand features which are specific to quantum computing, and on the other hand standard constructs. This is indeed the case for many paradigmatic languages; analyzing the features separately is often very useful. Our framework clearly separates (both in the language and in its operational model) the constructs which are common to all programming languages (e.g. recursion) and the features which are specific to some of them (e.g. measurement or probabilistic choice). The former is captured by a *fixed operational core*, the latter is encapsulated within a *memory structure*. This approach has two distinctive advantages:

 Facilitate Comparison between Different Languages: clearly separating in the semantics the standard features from the "notions of computation" which is the specificity of the language, allows for an easier comparison between different languages. • Simplify the Design of a Language with Its Operational Model: it is enough to focus on the memory structure which encapsulates the desired effects. Once such a memory structure is given, the construction provides an adequate Geometry of Interaction model for a PCF-like language equipped with that memory.

Memory structures are defined in Sec. 3, while the operational core is based on Linear Logic: a *linearly-typed* PCF-like language, *Geometry of Interaction*, and its syntactical counterpart, *proof nets*. Proof nets are a graph-based formal system that provides a powerful tool to analyze the execution of terms as a rewriting process which is mostly parallel, local, and asynchronous.

More in detail, our framework consists of:

- 1. a notion of *memory structure*, whose operations are suitable to capture a range of choice effects;
- 2. an operational core, which is articulated in the *three base rewrite systems* (a proof net system, a GoI multi-token machine, and a PCF-style language);
- a construction which is *parametric on the memory*, and lifts each base rewrite system into a more expressive operational system. We respectively call these systems: program nets, MSIAM machines and PCF<sub>AM</sub> abstract machines.

Finally, the three forms of systems are *all related by adequacy results*. As long as the memory operations satisfy commutativity, the construction produces an adequate GoI model for the corresponding PCF language. More precisely, we prove— again *parametrically on the memory*—that the MSIAM is an adequate model of program net reduction (Th. 28), and program nets are expressive enough to adequately represent the behavior of the PCF language (Th. 29).

### 1.4 Related Work

The low-level layer of our framework can be seen as a generalization and a variation of systems which are in the literature. The nets and multi-token machine we use are a variation of [28], the linearly typed PCF language is the one in [13] (minus lists and coproducts). What we add in this paper are the right tools to deal with challenges like probabilistic parallel reduction and entanglement. Neither quantum nor probabilistic choice can be treated in [28], because of the issues we clarified in Sec. 1.2. The specificity of our proposal is really its ability to deal with *choice* together with *parallelism*.

We already discussed previous attempts to give a GoI model of quantum computation, and their limits, in Sec. 1.1.2 above. Let us quickly go through other models of quantum computation. Our parametric memory is presented equationally: equational presentations of quantum memory are common in the literature [36, 37]. Other models of quantum memories are instead based on Hilbert spaces and completely positive maps, as in [13, 20]. In both of these approaches, the model captures with precision the structure and behavior of the memory. Instead, in our setting, we only consider the interaction between the memory and the underlying computation by a set of equations on the state of the memory at a given address, the allocation of fresh addresses, and the low-level actions.

Finally, taking a more general perspective, our proposal is by no means the first one to study effects in an interactive setting. Dynamic semantics such as GoI and Game Semantics are gaining interest and attention as semantics for programming languages because of their operational flavor. [35, 38, 39] all deal with effects in GoI. A common point to all these works is to be single-token. While our approach at the moment only deals with choice effects, we indeed deal with *parallelism*, a challenging feature which was still missing.

# 2. PARS: Probabilistic Abstract Reduction Systems

Parallelism allows critical pairs; as we observed in Sec. 1.2, firing different redexes will produce different distributions and can lead to

possibly very different results. Our parallel model however enjoys a property similar to the diamond property of abstract rewrite systems. Such a property entails a number of important consequences for confluence and normalization, and these results in fact are general to any probabilistic abstract reduction system. In particular, we define what we mean by strong and weak normalization in a probabilistic setting, and we prove that a suitable adaptation of the diamond property guarantees confluence and a form of uniqueness of normal forms, not unlike what happens in the deterministic case. Th. 11 is the main result of the section.

In a probabilistic context, spelling out the diamond property requires some care. We will introduce a strongly controlled notion of reduction on distributions  $(\Rightarrow)$ . The need for this control has the same roots as in the deterministic case: please recall that strong normalization follows from weak normalization by the diamond property ( $b \leftarrow a \rightarrow c \Rightarrow b = c \lor \exists d(b \rightarrow d \leftarrow c)$ ) but *not* from subcommutativity ( $b \leftarrow a \rightarrow c \Rightarrow \exists d(b \rightarrow^= d \leftarrow^= c)$ ) which appears very similar, but "leaves space" for an infinite branch.

### 2.1 Distributions and PARS

We start by setting the basic definitions. Given a set A, we note DST(A) for the set of probability distributions on A: any  $\mu \in$ DST(A) is a function from A to [0,1] such that  $\sum_{a\in A}\mu(a)\leq 1$ . A distribution  $\mu$  is *proper* if  $\sum_{a\in A}\mu(a)=1$ . The distribution assigning 0 to each element of a set A is indicated with  $\mathbf{0}$ . We indicate with  $SUPP(\mu)$  the support of a distribution  $\mu$ , i.e. the subset of A whose image under  $\mu$  is not 0. On DST(A), we define the relation  $\subseteq$  point-wise:  $\mu \subseteq \rho$  if  $\mu(a) < \rho(a)$  for each  $a \in A$ .

A probabilistic abstract reduction system (PARS) is a pair A = $(A, \rightarrow)$  consisting of a set A and a relation  $\rightarrow \subseteq A \times DST(A)$ (rewrite relation, or reduction relation) such that for each  $(a, \mu) \in$  $\rightarrow$ ,  $SUPP(\mu)$  is finite. We write  $a \rightarrow \mu$  for  $(a, \mu) \in \rightarrow$ . An element  $a \in A$  is terminal or in normal form (w.r.t.  $\rightarrow$ ) if there is no  $\mu$  with  $a \to \mu$ , which we write  $a \not\to$ .

We can partition any distribution  $\mu$  into a distribution  $\mu^{\circ}$  on terminal elements, and a distribution  $\bar{\mu}$  on elements for which there exists a reduction, as follows:

$$\mu^{\circ}(a) = \left\{ \begin{array}{ll} \mu(a) & \text{if } a \not\to, \\ 0 & \text{otherwise;} \end{array} \right. \qquad \bar{\mu}(a) = \mu(a) - \mu^{\circ}(a).$$

The degree of termination of  $\mu$ , written  $\mathcal{T}(\mu)$ , is  $\sum_{a \in A} \mu^{\circ}(a)$ . We write  $\hookrightarrow$  for the reflexive and transitive closure of  $\rightarrow$ , namely the smallest subset of  $A \times DST(A)$  closed under the following rules:

$$\frac{a \to \mu}{a \looparrowright \mu} \qquad \overline{a \looparrowright \{a^1\}} \qquad \frac{a \looparrowright \mu + \{b^p\} \quad b \looparrowright \rho \quad b \not\in SUPP(\mu)}{a \looparrowright \mu + p \cdot \rho}$$

We read  $a \hookrightarrow \mu$  as "a reaches  $\mu$ ".

The Relation 

∴ In order to extend to PARS classical results on termination for rewriting systems, we define the binary relation ⇒, which lifts the notion of one step reduction to distributions: we require that all non-terminal elements are indeed reduced. The relation  $\Rightarrow \subseteq DST(A) \times DST(A)$  is defined as

$$\frac{\mu = \mu^{\circ} + \bar{\mu} \quad \{a \to \rho_a\}_{a \in SUPP(\bar{\mu})}}{\mu \rightrightarrows \mu^{\circ} + \sum_{a \in SUPP(\bar{\mu})} \mu(a) \cdot \rho_a} \; .$$

Please note that in the derivation above, we require  $a \to \rho_a$  for each  $a \in SUPP(\bar{\mu})$ . Observe also that  $\mu^{\circ} \Rightarrow \mu^{\circ}$  since  $SUPP(\bar{\mu}^{\circ}) = \emptyset$ .

We write  $\mu \rightrightarrows^n \rho$  if  $\mu$  reduces to  $\rho$  in  $n \geq 0$  steps; we write  $\mu \rightrightarrows^* \rho$  if there is any *finite* sequence of reductions from  $\mu$  to  $\rho$ .

With a slight abuse of notation, in the rest of the paper we sometime write  $\{a\}$  for  $\{a^1\}$ , or simply a when clear from the context. As an example, we write  $a \rightrightarrows \mu$  for  $\{a^1\} \rightrightarrows \mu$ . Moreover, the distribution  $\{a_1^{p_1}, \dots, a_n^{p_n}\}$  will be often indicated as  $\sum p_i$ .  $\{a_i\}$  thus facilitating algebraic manipulations.

### 2.2 Normalization and Confluence

In this subsection, we look at normalization and confluence in the probabilistic setting, which we introduced in Sec. 2.1. We need to distinguish between weak and strong normalization. The former refers to the possibility to reach normal forms following any reduction order, while the latter (also known as termination, see [40]) refers to the necessity of reaching normal forms. In both cases, the concept is inherently quantitative.

**Definition 4** (Weak and Strong Normalization). Let  $p \in [0, 1]$  and let  $\mu \in DST(A)$  Then:

- μ weakly p-normalizes (or weakly normalizes with probability at least p) if there exists  $\rho$  such that  $\mu \rightrightarrows^* \rho$  and  $\mathcal{T}(\rho) \geq p$ .
- $\mu$  strongly p-normalizes (or strongly normalizes with probability at least p) if there exists n such that  $\mu \rightrightarrows^n \rho$  implies  $\mathcal{T}(\rho) \geq p$ ,

The relation  $\rightarrow$  is said *uniform* if for each p, and each  $\mu \in DST(A)$ , weak p-normalization implies strong p-normalization.

Following [40], we will also use the term *p-termination* for strong p-normalization, and refer to weak p-normalization as simply *p*-normalization.

Even the mere notion of convergent computation must be made quantitative here:

**Definition 5** (Convergence). The distribution  $\mu \in DST(A)$  con*verges with probability p*, written  $\mu \Downarrow_p$ , if  $p = \sup_{\mu \to *_0} \mathcal{T}(\rho)$ .

Observe that for every  $\mu$  there is a unique probability p such that  $\mu \downarrow_p$ . Please also observe how Definition 5 is taken over all  $\rho$  such that  $\mu \rightrightarrows^* \rho$ , thus being forced to take into account all possible reduction orders. If  $\rightarrow$  is uniform, however, we can reach the limit along any reduction order:

**Proposition 6.** Assume  $\rightarrow$  is uniform. Then for every  $\mu$  such that  $\mu \downarrow_p$  and for every sequence of distributions  $(\rho_n)_n$  such that  $\mu = \rho_0$ and  $\rho_n \rightrightarrows \rho_{n+1}$  for every n, it holds that  $p = \lim_{n \to \infty} \mathcal{T}(\rho_n)$ .  $\square$ 

**Remark 7.** Observe that because of Prop. 6,  $\sup_{\mu \to^* \xi} \mathcal{T}(\rho) =$  $\sup_{\mu \to \rho} \mathcal{T}(\rho)$ .

A PARS is said to be confluent iff  $\Rightarrow$  is a confluent relation in the usual sense:

**Definition 8** (Confluence). The PARS  $(A, \rightarrow)$  is said to be *con*fluent if whenever  $\tau \rightrightarrows^* \mu$  and  $\tau \rightrightarrows^* \xi$ , there exists  $\rho$  such that  $\mu \rightrightarrows^* \rho$  and  $\xi \rightrightarrows^* \rho$ .

### 2.3 The Diamond Property in a Probabilistic Scenario

In this section we study a property which guarantees confluence and uniformity.

**Definition 9** (Diamond Property for PARS). A PARS  $(A, \rightarrow)$ satisfies the diamond property if the following holds. Assume  $\mu \rightrightarrows \nu$ and  $\mu \rightrightarrows \xi$ . Then (1)  $\nu^{\circ} = \xi^{\circ}$  and (2)  $\exists \rho$  with  $\nu \rightrightarrows \rho$  and  $\xi \rightrightarrows \rho$ .

As an immediate consequence:

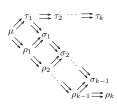
**Corollary 10** (Confluence). If  $(A, \rightarrow)$  satisfies the diamond property, then  $(A, \rightarrow)$  is confluent.

Finally, then, the diamond property ensures that weak pnormalization implies strong p-normalization, precisely like for usual abstract rewrite systems:

Theorem 11 (Normalization and Uniqueness of Normal Forms). Assume  $(A, \rightarrow)$  satisfies the diamond property. Then:

- 1. Uniqueness of normal forms.  $\mu \rightrightarrows^k \rho$  and  $\mu \rightrightarrows^k \tau$  for some  $k \in \mathbb{N}$  implies  $\rho^{\circ} = \tau^{\circ}$ .
- 2. Uniformity. If  $\mu$  is weakly p-normalizing (for some  $p \in [0, 1]$ ), then  $\mu$  strongly p-normalizes, i.e.,  $\rightarrow$  is uniform.

*Proof.* First note that (2) follows from (1). In order to prove (1), we use an adaptation of the familiar "tiling" argument. It is not exactly the standard proof because reaching some normal forms in a distribution is not the end of a sequence of reductions. Assume  $\mu = \rho_0 \Rightarrow \rho_1 \Rightarrow ... \Rightarrow \rho_k$ , and  $\mu \Rightarrow \tau_1 \Rightarrow ... \Rightarrow \tau_k$ . We prove  $\rho_k^\circ = \tau_k^\circ$  by induction on k. If k = 1, the claim is true by Definition 9 (1). If k > 1 we tile (w.r.t.  $\Rightarrow$ ), as depicted below:



we build the sequence  $\sigma_0 = \tau_1 \Rightarrow \sigma_1 ... \Rightarrow \sigma_{k-1}$  (see the Fig. on the side) where each  $\sigma_{i+1}$  ( $i \geq 0$ ) is obtained via Definition 9 (2), from  $\rho_i \Rightarrow \rho_{i+1}$  and  $\rho_i \Rightarrow \sigma_i$ , by closing the diamond. By Definition 9 (1)  $\rho_k^\circ = \sigma_{k-1}^\circ$ . Now we observe that  $\tau_1 \Rightarrow^{k-1} \tau_k$  and  $\tau_1 \Rightarrow^{k-1} \sigma_{k-1}$ . Therefore we have (by induction)  $\sigma_{k-1}^\circ = \tau_k^\circ$ , from which we conclude  $\rho_k^\circ = \tau_k^\circ$ .  $\square$ 

### 3. Memory Structures

In this section we introduce the notion of memory structure. Commutativity of the memory operations is ensured by a set of equations. To deal with the notion of fresh addresses, and avoid unnecessary bureaucracy, it is convenient to rely on nominal sets. The basic definitions are recalled below (for details, see, *e.g.*, [41]).

### 3.1 Nominal Sets

If G is a group, then a G-set  $(M,\cdot)$  is a set M equipped with an action of G on M, i.e. a binary operation  $(\cdot): G\times M\longrightarrow M$  which respects the group operation. Let I be a countably infinite set; let M be a set equipped with an action of the group  $\operatorname{Perm}(I)$  of finitary permutations of I. A support for  $m\in M$  is a subset  $A\subseteq I$  such that for all  $\sigma\in\operatorname{Perm}(I)$ ,  $\forall i\in A, \sigma i=i$  implies  $\sigma\cdot m=m$ . A nominal set is a  $\operatorname{Perm}(I)$ -set all of whose elements have finite support. In this case, if  $m\in M$ , we write  $\operatorname{supp}(m)$  for the smallest support of m. The complementary notion of support is freshness:  $i\in I$  is fresh for  $m\in M$  if  $i\not\in\operatorname{supp}(m)$ . We write  $(i\ j)$  for the transposition which swaps i and j.

We will make use of the following characterization of support in terms of transpositions:  $A\subseteq I$  supports  $m\in M$  if and only if for every  $i,j\in I-A$  it holds that  $(i\ j)\cdot m=m$ . As a consequence, for all  $i,j\in I$ , if they are fresh for  $m\in M$  then  $(i\ j)\cdot m=m$ .

### 3.2 Memory Structures

A memory structure  $\mathrm{Mem} = (\mathrm{Mem}, I, \cdot, \mathcal{L})$  consists of an infinite, countable set I whose elements  $i, j, k, \ldots$  we call *addresses*, a nominal set  $(\mathrm{Mem}, \cdot)$  each of whose elements we call *memory states*, or more shortly, *memories*, and a finite set  $\mathcal{L}$  of *operations*.

We write  $I^*$  for the set of all tuples made from elements of I. A tuple is denoted with  $(i_1, \ldots, i_n)$ , or with  $\vec{i}$ . To a memory structure are associated the following maps.

- test: I × Mem → DŠT(Bool × Mem) (Observe that the set Mem might be updated by the operation test: for this reason, it also appears in the codomain – See Remark 15),
- update :  $I^* \times \mathcal{L} \times \text{Mem} \rightharpoonup \text{Mem}$  (partial map),
- arity:  $\mathcal{L} \to \mathbb{N}$ ,

and the following three properties.

(1) The maps test and update respect the group action:

- $\sigma \cdot (\text{test}(i, m)) = \text{test}(\sigma(i), \sigma \cdot m),$
- $\sigma \cdot (\text{update}(\vec{i}, x, m)) = \text{update}(\sigma(\vec{i}), x, \sigma \cdot m),$

where the action of  $\operatorname{Perm}(I)$  is extended in the natural way to distributions and pairing with booleans.

(2) The action of a given operation on the memory is only defined for the correct arity. More precisely,  $\operatorname{update}((i_1 \ldots i_n), x, m)$  is defined if and only if the  $i_k$ 's are pairwise disjoint and  $\operatorname{arity}(x) = n$ .

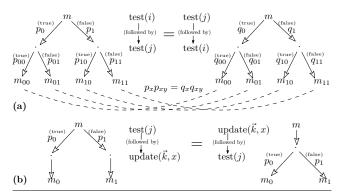


Figure 1. Commutation of Tests and Updates.

(3) Disjoint tests and updates commute: assume that  $i \neq j$ , that j does not meet  $\vec{k}$ , and that  $\vec{k}$  and  $\vec{k'}$  are disjoint. First, updates on  $\vec{k}$  and  $\vec{k'}$  commute: update( $\vec{k}$ , x, update( $\vec{k'}$ , x', m) = update( $\vec{k'}$ , x', update( $\vec{k}$ , x, update( $\vec{k'}$ , x', update( $\vec{k'}$ , x', update( $\vec{k'}$ , x'). Then, tests on i commute with tests on j and tests of j commute with updates on  $\vec{k}$ . We pictorially represent these equations in Fig. 1. The drawings are meant to be read from top to bottom and represent the successive memories along action. Probabilistic behavior is represented with two exiting arrows, annotated with their respective probability of occurrence, and the boolean resulting from the test operation. Intermediate memories are unnamed and represented with ".". We write the formal equations in Appendix A.

### 3.3 Instances of Memory Structures

The structure of memory is flexible and can accommodate several choice effects. Let us give some relevant examples. Typically, here I is  $\mathbb{N}$ , but any countable set would do the job.

### 3.3.1 Deterministic, Integer Registers

The simplest instance of memory structure is the deterministic one, corresponding to the case of classical PCF (this subsumes, in particular, the case studied in [28]). Memories are simply functions  $\mathbf{m}$  from I to  $\mathbb{N}$ , of value 0 apart for a finite subset of I. The test on address i is deterministic, and tests whether  $\mathbf{m}(i)$  is zero or not. Operations in  $\mathcal{L}$  may include the unary predecessor and successor, and for example the binary max operator.

**Example 12.** A typical representation of this deterministic memory is a sequence of integers: indexes correspond to addresses and coefficients to values. A completely free memory is for example the sequence  $\mathbf{m}_0 = (0,0,0,\ldots)$ . If S corresponds to the successor and P to the predecessor, here is what happens to the memory for some operations. The memory  $\mathbf{m}_1 := \mathrm{update}(0,S,\mathbf{m}_0)$  is  $(1,0,0,0,\ldots)$ , the memory  $\mathbf{m}_2 := \mathrm{update}(1,S,\mathbf{m}_1)$  is  $(1,1,0,0,\ldots)$ , and the memory  $\mathbf{m}_3 := \mathrm{update}(0,P,\mathbf{m}_2)$  is  $(0,1,0,0,\ldots)$ . Finally,  $\mathrm{test}(1,\mathbf{m}_3) = (\mathrm{false},(0,1,0,0,\ldots))$ . Note that we do not need to keep track of an infinite sequence: a dynamic, finite list of values would be enough. We'll come back to this in Sec. 3.3.3.

**Remark 13.** The equations on memory structures enforce the fact that all fresh addresses (*i.e.*, not on the support of the nominal set) have equal values. Note that however the conditions do not impose any particular "default" value. These equations also state that, in the deterministic case, a test action on i can only modify the memory at address i. Otherwise, it could for example break the commutativity of update and test (unless  $\mathcal L$  contains trivial operations, only).

### 3.3.2 Probabilistic, Boolean Registers

When the test operator is allowed to have a genuinely probabilistic behavior, the memory model supports the representation of probabilistic boolean registers. In this case, a memory  $\mathbf{m}$  is a function from I to the real interval [0,1], whose values represent probabilities of observing "true". The test on address i could return

$$\mathbf{m}(i)\{(\text{true}, \mathbf{m}\{i \mapsto 1\})\} + (1 - \mathbf{m}(i))\{(\text{false}, \mathbf{m}\{i \mapsto 0\})\}$$

Operations in  $\mathcal{L}$  may for example include a unary "coin flipping" operation setting the value associated to i to some fixed probability.

**Example 14.** If as in Example 12 we represent the memory as a sequence, a memory filled with the value "false" would be  $\mathbf{m}_0 = (0, 0, 0, \ldots)$ . Assume c is the unary operation placing a fair coin at the corresponding address; if  $\mathbf{m}_1$  is update $(0, c, \mathbf{m}_0)$ , we have  $\mathbf{m}_1 = (\frac{1}{2}, 0, 0, 0, \ldots)$ . Then test $(0, \mathbf{m}_1)$  is the distribution  $\frac{1}{2}$ (false,  $(0, 0, 0, 0, \ldots)) + \frac{1}{2}$ (true,  $(1, 0, 0, 0, \ldots)$ ).

### 3.3.3 Quantum Registers

A standard model for quantum computation is the QRAM model: quantum data is stored in a memory seen as a list of (quantum) registers, each one holding a qubit which can be acted upon. The model supports three main operations: creation of a new register, measurement of a register, and application of unitary gates on one or more registers, depending on the arity of the gate under scrutiny. This model has been used extensively in the context of quantum lambda-calculi [11, 13, 24], with minor variations. The main choice to be made is whether measurement is destructive (*i.e.*, if one uses garbage collection) or not (*i.e.*, the register is not reclaimed).

A Canonical Presentation of Quantum Memory. To fix things, we shall concentrate on the presentation given in [13]. We briefly recall it. Given n qubits, a memory is a normalized vector in  $(\mathbb{C}^2)^{\otimes n}$  (equivalent to a ray). A linking function maps the position of each qubit in the list to some pointer name. The creation of a new qubit turns the memory  $\phi \in (\mathbb{C}^2)^{\otimes n}$  into  $\phi \otimes |0\rangle \in (\mathbb{C}^2)^{\otimes (n+1)}$ . The measurement is destructive: if  $\phi = \alpha_0 q_0 + \alpha_1 q_1$ , where each  $q_b$  (with b = 0, 1) is normalized of the form  $\sum_i \phi_{b,i} \otimes |b\rangle \otimes \psi_{b,i}$ , then measuring  $\phi$  returns  $\sum_i \phi_{b,i} \otimes \psi_{b,i}$  with probability  $|\alpha_b|^2$ . Finally, the application of a k-ary unitary gate U on  $\phi \in (\mathbb{C}^2)^{\otimes n}$  simply applies the unitary matrix corresponding to U on the vector  $\phi$ . The language comes with a chosen set  $\mathcal{U}$  of such gates.

**Quantum Memory as a Nominal Set.** The quantum memory can be equivalently presented using a memory structure: in the following we shall refer to it as  $\mathcal{Q}$ . The idea is to use nominal set to make precise the hand-waved "pointer name", and formalize the idea of having a finite core of "in use" qubits, together with an infinite pool of fresh qubits. Let  $\mathcal{F}_0$  be the set of (set-)maps from I (the infinite, countable set of Sec. 3.2) to  $\{0,1\}$  that have value 0 everywhere except for a finite subset of I. We have a *memory structure* as follows.

I is the domain of the set-maps in  $\mathcal{F}_0$ . The *nominal set* (Mem, ·) is defined with Mem =  $\mathcal{H}_0$ , *i.e.* the Hilbert space built from finite (complex) linear combinations over  $\mathcal{F}_0$ , while the *group action* (·) corresponds to permutation of addresses:  $\sigma \cdot \mathbf{m}$  is simply the function-composition of  $\sigma$  with the elements of  $\mathcal{F}_0$  in superposition in  $\mathbf{m}$ . The support of a particular memory  $\mathbf{m}$  is finite: it consists of the set of addresses that are not mapped to 0 by some (set)-function in the superposition. The *set of operations*  $\mathcal{L}$  is the chosen set  $\mathcal{U}$  of unitary gates. The *arity* is the arity of the corresponding gate.

Finally, the *update* and *test* operations correspond respectively to the application of an unitary gate, and to a measurement followed by a (classical) boolean test on the result. We omit the formalization of these operations in the nominal set setting; instead we show how this

presentation in terms of nominal sets is equivalent to the previous more canonical one.

Equivalence of the Two Presentations. Let  $\mathbf{m} \in \mathcal{Q}$ . We can always consider a finite subset of I, say  $I_0 = \{i_0 \dots i_n\}$  for some integer n such that all other addresses are fresh. As fresh values are 0 in  $\mathbf{m}$ , then  $\mathbf{m}$  is a superposition of sequences that are equal to 0 on  $I \setminus I_0$ . Then  $\mathbf{m}$  can be represented as " $\phi \otimes |000\dots\rangle$ " for some (finite) vector  $\phi$ . We can omit the last  $|0000\dots\rangle$  and only work with the vector  $\phi$ : we are back to the canonical presentation of quantum memory. Update and test can then be defined on the nominal set presentation through this equivalence.

**Equations.** Memory structures come with equations, which are indeed satisfied by quantum memories. Referring to Sec. 3.2: (1) is simply renaming of qubits, (2) is a property of applying a unitary, and (3) holds because of the equations corresponding to the tensor of two unitaries or the tensor of a unitary and a measurement (see Remark 1).

**Remark 15.** The quantum case makes clear why Mem appears in the codomain of test: in general the measurement of a register collapses the global state of the memory (see Sec. 1.1.1). The modified memory therefore has to be returned together with the result.

### 3.4 Overview of the Forthcoming Sections

We use memory structures to encapsulate effects in three different settings. In Sec. 4, we enrich proof nets with a memory, in Sec. 5, we enrich token machines with a memory, while in Sec. 6, we equip PCF terms with a memory. The construction is uniform for all the three systems, to which we refer as *operational systems*, as opposite to the *base rewrite systems* on top of which we build (see Sec. 1.3).

### 4. Program Nets and Their Dynamics

In this section, we introduce *program nets*. The base rewrite system on which they are built is a variation of SMEYLL nets, as introduced in [28]. SMEYLL nets are MELL (Multiplicative Exponential Linear Logic) proof nets extended with *fixpoints* (Y-boxes) which model recursion, *additive boxes* ( $\perp$ -boxes) which capture the ifthen-else construct, and a family of *sync nodes*, introducing explicit synchronization points in the net.

The *novelty* of this section is the operational system which we introduce in Sec. 4.3, by means of our parametric construction: given a memory structure and SMEYLL nets, we define program nets and their reduction. We prove that program nets are a PARS which satisfies the diamond property, and therefore confluence and uniqueness of normal forms both hold. Program nets also satisfy cut elimination, *i.e.* deadlock-freeness of nets rewriting.

### 4.1 Formulas

The language of *formulas* is the same as for MELL. In this paper, we restrict our attention to the constant-only fragment, *i.e.*:

$$A ::= \mathbf{1} \mid \bot \mid A \otimes A \mid A \mathbin{\widehat{\gamma}} A \mid !A \mid ?A.$$

The constants  $1, \perp$  are the *units*. As usual, linear negation  $(\cdot)^{\perp}$  is extended into an involution on all formulas:  $A^{\perp \perp} \equiv A, 1^{\perp} \equiv \bot, (A \otimes B)^{\perp} \equiv A^{\perp} \ \ B^{\perp}, (!A)^{\perp} \equiv ?A^{\perp}$ . Linear implication is a defined connective:  $A \multimap B \equiv A^{\perp} \ \ B$ . Positive formulas P and negative formulas P are respectively defined as:  $P ::= 1 \mid P \otimes P$ , and  $N ::= \bot \mid N \ \ \ N$ .

 $<sup>^{1}</sup>$  In this paper, reduction of the  $\perp$ -box is not deterministic; there is otherwise no major difference with [28].

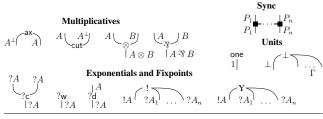


Figure 2. Nodes.

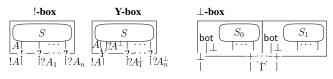


Figure 3. Boxes.

### 4.2 SMEYLL Nets

A SMEYLL *net* is a pre-net (*i.e.* a well-typed graph) which fulfills a *correctness criterion*.

**Pre-Nets.** A pre-net is a labeled directed graph R built over the alphabet of nodes represented in Fig. 2.

Edges. Every edge in R is labeled with a formula; the label of an edge is called its type. We call those edges represented below (resp. above) a node symbol conclusions (resp. premises) of the node. We will often say that a node "has a conclusion (premise) A" as shortcut for "has a conclusion (premise) of type A". When we need more precision, we explicitly distinguish an edge and its type and we use variables such as e, f for the edges. Each edge is a conclusion of exactly one node and is a premise of at most one node. Edges which are not premises of any node are called the conclusions of the net. Nodes. The sort of each node induces constraints on the number and the labels of its premises and conclusions. The constraints are graphically shown in Fig. 2. A sync node has  $n \in \mathbb{N}$  premises of types  $P_1, P_2, \dots, P_n$  respectively and n conclusions of the same types  $P_1, P_2, \dots, P_n$  as the premises, where each  $P_i$  is a positive type. A sync node with n premises and conclusions is drawn as nmany black squares connected by a line as in the figure. The total number of 1's in the  $P_i$ 's is called the *arity* of the sync node.

We call *boxes* the nodes  $\bot$ , !, and Y. The leftmost conclusion of a box is said to be *principal*, while the other ones are *auxiliary*. The node  $\bot$  has conclusion  $\{\bot, \Gamma\}$  with  $\Gamma \neq \emptyset$ . The exponential boxes! and Y have conclusions  $\{!A, ?\Gamma\}$  ( $\Gamma$  possibly empty). To each!-box (resp. Y-box) is associated a content, *i.e.* a pre-net of conclusions  $\{A, ?\Gamma\}$  (resp.  $\{A, ?A^{\bot}, ?\Gamma\}$ ). To each  $\bot$ -box are associated a *left and a right content*: each content is a pair (bot, S), where bot is a new node that has no premise and one conclusion  $\bot$ , and S is a pre-net of conclusions  $\Gamma$ . We represent a box b and its content(s) as in Fig. 3. The nodes and edges in the content are said to be *inside* b. As is standard, we often call a crossing of the box border a *door*, which we treat as a node. We then speak of premises and conclusion of the principal (resp. auxiliary) door. Observe that in the case of  $\bot$ -box, the principal door has a left and a right premise.

Depth. A node occurs at depth 0 or on the surface in the pre-net R if it is a node of R. It occurs at depth n+1 in R if it occurs at depth n in a pre-net associated to a box of R.

**Nets.** A *net* is given by a pre-net R which satisfies the correctness criterion of [28], together with a *total* map  $mkname_R$ : SyncNode $(R) \rightarrow \mathcal{L}$ , where  $\mathcal{L}$  is a finite set of *names* and SyncNode(R) is the set of sync nodes appearing in R (including

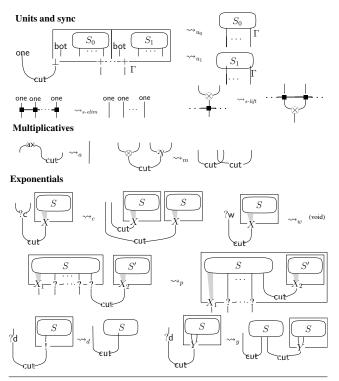


Figure 4. Nets Rewriting Rules.

those inside boxes); the map  $mkname_R$  is simply naming the sync nodes. From now on, we write R for the triple  $(R, \mathcal{L}, mkname_R)$ .

Correctness is defined by means of switching paths. A *switching path* in the pre-net R is an undirected path on the graph R (i.e. R is regarded as an undirected graph) which uses at most one of the two *premisses* for each  $\mathcal{P}$  and  $\mathcal{P}$  node, and at most one of conclusions for each sync node. A pre-net is *correct* if none of its switching paths is cyclic, and the content of each of its box is itself correct.

**Reduction Rules.** Fig. 4 describes the rewriting rules on nets. Note that the redex in the top row has two possible reduction rules,  $u_0$  and  $u_1$ . Note also the y reduction, which captures the recursive behavior of the Y-box as a fixpoint (we illustrate this in the example below.) The metavariables  $X, X_1, X_2$  of Fig. 4 range over  $\{!, Y\}$  and are used to uniformly specify reduction rules involving exponential boxes (i.e., X's can be either ! or Y). The reduction of net has two constraints: (1.) surface reduction, i.e. a reduction step applies only when the redex is at depth 0, and (2.) exponential steps are closed, i.e. they only take place when the !A premise of the cut is the principal conclusion of a box with no auxiliary conclusion. We come back on the former in Sec. 7.1.2.

As expected, the net reduction preserves correctness.

**Example.** The "skeleton" of the program in Example 3 could be encoded<sup>2</sup> as in the LHS of Fig. 5. The recursive function f is represented with a Y-box, and has type  $!(1 \multimap 1) = !(\bot ?? 1)$ . The test is encoded with a  $\bot$ -box: in one case we forget the function f by using ?w and simply return a one node, and in the other case we apply a Hadamard gate, which is represented with a (unary) sync node. To the "in" part of the let-rec corresponds the dereliction node ?d, triggering reduction. With the rules presented in the previous paragraph, the net rewrites according to Fig. 5, where the Y-box has

<sup>&</sup>lt;sup>2</sup> Precisely speaking, the nets shown in Fig. 5 are those obtained by the translation given in Fig. 15 and 16 (in the Appendix), with a bit of simplification for clarity of discussion and due to lack of space.

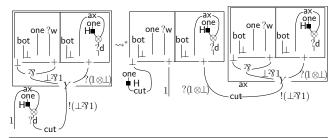


Figure 5. Encoding of Example 3 and Net Rewrite.

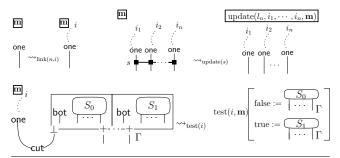


Figure 6. Program Net Reduction Involving Memories.

been unwound once. From there, we could reduce the one node with the sync node H, but of course doing so we would not handle the quantum memory. In order to associate to reductions an action on the memory, we need a bit more, namely the notion of a program net which is introduced in Sec. 4.3.

### **Program Nets**

Let Inputs(R) be the set of all occurrences of 1's which are conclusions of one nodes at the surface, and of all the occurrences of  $\perp$ 's which appear in the conclusions of R.

**Definition 16** (Program Nets). Given a memory structure Mem =  $(\text{Mem}, I, \mathcal{L})$ , a raw program net on Mem is a tuple  $(R, \text{ind}_R, \mathbf{m})$ such that

- R is a SMEYLL net (with mknameR: SyncNode(R)  $\rightarrow \mathcal{L}$ ),
- $ind_R : Inputs(R) \rightarrow I$  is an injective partial map that is however total on the occurrences of  $\bot$ ,
- $\mathbf{m} \in \text{Mem}$ .

We require that the arity of each sync node s matches the arity of  $mkname_R(s)$ . Please observe that in the second item in Definition 16, the occurrences of 1's belonging to Inputs(R) are not necessarily in the domain of ind<sub>R</sub>; if they are, we say that the corresponding one node is active. Program nets are the equivalence class of raw program nets over permutation of the indexes. Formally, let  $\sigma(R, \text{ind}_R, \mathbf{m}) = (R, \sigma \cdot \text{ind}_R, \sigma \cdot \mathbf{m}), \text{ for } \sigma \in \text{Perm}(I).$  The equivalence class  $\mathbf{R} = [(R, \mathtt{ind}_R, \mathbf{m})]$  is  $\{\sigma(R, \mathtt{ind}_R, \mathbf{m}) \mid \sigma \in$ Perm(I)}. We use the symbol  $\sim$  for the equivalence relation on raw program nets. N indicates the set of program nets.

**Reduction Rules.** We define a relation  $\leadsto \subseteq \mathcal{N} \times DST(\mathcal{N})$ , making program nets into a PARS. We first define the relation → over raw program nets. Fig. 6 summarizes the reductions in a graphical way; the function  $ind_R$  is represented by the dotted lines.

- 1. Link. If n is a one node of conclusion x, with  $\mathrm{ind}_R(x)$  undefined, then  $(R, ind_R, \mathbf{m}) \leadsto_{link(n,i)} \{(R, ind_R \cup \{x \mapsto$ i},  $\mathbf{m}$ )<sup>1</sup>} where  $i \in I$  is fresh both in ind<sub>R</sub> and  $\mathbf{m}$ .
- 2. Update. If  $R \leadsto_s R'$ , and s is the sync node in the redex, then  $(R, ind_R, \mathbf{m}) \leadsto_{update(s)} \{ (R', ind_R, update(l, \vec{i}, \mathbf{m})^1 \}$

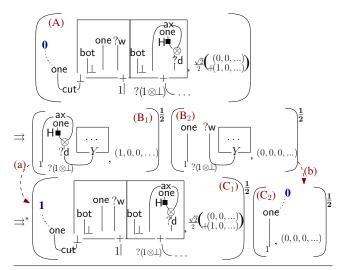


Figure 7. Example of Program Net Rewriting.

- where l is the label of s, and  $\vec{i}$  are the addresses of its premises. 3. Test. If  $R \leadsto_{u_0} R_0$  and  $R \leadsto_{u_1} R_1$ , and i is the address of the premise 1 of the cut, then  $(R, ind_R, \mathbf{m}) \rightsquigarrow_{test(i)}$  $\operatorname{test}(i, \mathbf{m})[\operatorname{false}:=(R_0, \operatorname{ind}_{R_0}, \mathbf{m}), \operatorname{true}:=(R_1, \operatorname{ind}_{R_1}, \mathbf{m})],$ where  $ind_{R_0}$  (resp.  $ind_{R_1}$ ) is the restriction of  $ind_R$  to Inputs $(R_0)$  (resp. Inputs $(R_1)$ ).

  4. Otherwise, if  $R \leadsto_x R'$  with  $x \notin \{s, u_0, u_1\}$ , then we have

$$(R, \operatorname{ind}_R, \mathbf{m}) \leadsto_x \{(R', \operatorname{ind}_R, \mathbf{m})^1\}$$

(observe that none of these rules modify the domain of  $ind_R$ ). The relation --- extends immediately to program nets (by slight abuse of notation we use the same symbol); Lem. 17 guarantees that the relation is well defined. We write  $(R, ind_R, \mathbf{m}) \stackrel{r}{\leadsto} \mu$  for the reduction of the redex r in the raw program net  $(R, ind_R, \mathbf{m})$ .

Lemma 17 (Reduction Preserves Equivalence). Suppose that  $(R, \operatorname{ind}_R, \mathbf{m}) \stackrel{r}{\leadsto} \mu \text{ and } (R, \sigma \cdot \operatorname{ind}_R, \sigma \cdot \mathbf{m}) \stackrel{r}{\leadsto} \nu, \text{ then } \mu \sim \nu.$ 

*Proof.* Let us check the rule  $\leadsto_{\text{test}(i)}$ . Suppose  $(R', \text{ind}_{R'}, \mathbf{m}') =$  $\sigma(R, \mathtt{ind}_R, \mathbf{m}), \, (R, \mathtt{ind}_R, \mathbf{m}) \overset{r}{\leadsto}_{\mathtt{test}(\!\!\!i)} \,\, \mu \!=\! \{(R_0, \mathtt{ind}_{R_0}, \mathbf{m}_0)^{p_0}\!,$  $(R_1,\mathtt{ind}_{R_1},\mathbf{m}_1)^{p_1}\}$ , and  $(R',\mathtt{ind}_R',\mathbf{m}')\overset{r}{\leadsto}_{\mathrm{test}(i)}$  $\nu = \{(R'_0, \text{ind}'_{R_0}, \mathbf{m}'_0)^{p'_0}, (R'_1, \text{ind}_{R'_1}, \mathbf{m}'_1)^{p'_1}\}$  by reducing the same redex r. It suffices to show that  $\nu = \sigma \cdot \mu$ . Element-wise, we have to check  $R'_i = R_i$ ,  $\operatorname{ind}'_{R_i} = \sigma \circ \operatorname{ind}'_{R_i}$ ,  $\mathbf{m}'_i = \sigma \cdot \mathbf{m}_i$ , and  $p'_i = p_i$  for  $i \in \{0,1\}$ . The first two follow by definition of  $\leadsto_{\text{test}(i)}$  and the last two follow from the equation  $\sigma \cdot (\text{test}(i, m)) =$  $test(\sigma(i), \sigma \cdot m)$ . The other rules can be similarly checked.

### Remark 18. In the definition of the reduction rules:

- Link is independent from the choice of i. If we chose another address j with the same conditions, then we would have gone to  $(R, ind_R \cup \{x \mapsto j\}, \mathbf{m})$ . However this does not cause a problem: by using a permutation  $\sigma = (i j)$ , since  $\sigma \cdot \mathbf{m} = \mathbf{m}$  we have  $\sigma(R, \operatorname{ind}_R \cup \{x \mapsto i\}, \mathbf{m}) = (R, \operatorname{ind}_R \cup \{x \mapsto j\}, \mathbf{m})$ and therefore  $(R, \operatorname{ind}_R \cup \{x \mapsto i\}, \mathbf{m})$  and  $(R, \operatorname{ind}_R \cup \{x \mapsto i\}, \mathbf{m})$ j, m) are as expected the exact same program net.
- In the rules *Update* and *Test*, the involved one nodes are required to be active.

The pair  $(\mathcal{N}, \leadsto)$  forms a PARS. Reduction can happen at different places in a net, however the diamond property allows us to deal with this seamlessly.

**Proposition 19** (Program Nets are Diamond). *The PARS*  $(\mathcal{N}, \leadsto)$  *satisfies the diamond property.* 

The proof (see Appendix B) relies on commutativity of the memory operations. Due to Th. 11, program net reduction enjoys all the good properties we have studied in Sec. 2:

**Corollary 20.** The relation  $\rightsquigarrow$  satisfies Confluence, Uniformity and Uniqueness of Normal Forms (see Th. 11).

The following two results can be obtained as adaptations of similar ones in [28].

**Theorem 21** (Deadlock-Freeness of Net Reduction). Let  $\mathbf{R} = [(R, \mathsf{ind}_R, \mathbf{m})]$  be a program net such that  $no \perp$ , ? or ! appears in the conclusions of the net R. If R contains cuts, a reduction step is always possible.

**Corollary 22** (Cut Elimination). With the same hypothesis as above, if  $\mathbf{R} \not\leadsto$ , (i.e. no further reduction is possible) then  $\mathbf{R}$  is cut free.  $\square$ 

**Example.** The net in LHS of Fig. 5 can be embedded into a program net with a quantum memory of empty support:  $(0, 0, 0, ...) \equiv$ " $|000...\rangle$ ". It reduces according to Fig. 5, with the same memory. The next step requires a \simplified \text{link}-rewrite step to attach a fresh address say, 0—to the one node at surface. The H-sync node then rewrites with a \sim\_{update} - step, and we get the program net (A) in Fig. 7 with the "update" action applied to the memory: the memory corresponds to  $\frac{\sqrt{2}}{2}(|0\rangle + |1\rangle) \otimes |00...\rangle$ . From there, a choice reduction is in order: it uses the "test" action of the memory structure, which, according to Sec. 3.3.3 corresponds to the measurement of the qubit at address 0. This yields the probabilistic superposition of the program nets (B<sub>1</sub>) and (B<sub>2</sub>). As the net in (B<sub>1</sub>) is the LHS of Fig. 5, it reduces to (C<sub>1</sub>) (dashed arrow (a)), similar to (A) modulo the fact that the address 0 was not fresh: the  $\leadsto_{\text{link}}$ -rewrite step cannot yield 0: here we choose 1. Note that we could have chosen any other non-zero number as the address. The program net (B2) rewrites to (C<sub>2</sub>) (dashed arrow (b)): the weakening node erases the Y-box, and a fresh variable is allocated. In this case, the address 0 is indeed fresh and can be picked.

### 5. A Memory-Based Abstract Machine

In this section we introduce a class of memory-based token machines, called the MSIAM (*Memory-based Synchronous Interaction Abstract Machine*). The base rewrite system on which the MSIAM is built, is a variation<sup>3</sup> of the SIAM multi-token machine from [28], which we recall in Sec. 5.1. The specificity of the SIAM is to allow not only parallel threads, but also *interaction* among them, *i.e. synchronization*. Synchronization happens in particular at the sync nodes (unsurprisingly, as these are nodes introduced with this purpose), but also on the additive boxes (the  $\bot$ -box). The transitions at the  $\bot$ -box model *choice*: as we see below, when the flow of computation reaches the  $\bot$ -box (*i.e.* the tokens reach the auxiliary doors), it continues on one of the two sub-components, depending on the tokens which are positioned at the principal door.

The original contribution of this section is contained in Sections 5.2 through 5.4, where we use our parametric construction to define the MSIAM  $\mathcal{M}_{\mathbf{R}}$  for  $\mathbf{R}$  as a PARS consisting of a set of *states*  $\mathcal{S}$ , and a *transition relation*  $\rightarrow \subset \mathcal{S} \times DST(\mathcal{S})$ , and establish its main properties, in particular Deadlock-Freeness (Th. 26), Invariance (Th. 27) and Adequacy (Th. 28).

### 5.1 SIAM

Let *R* be a net. The SIAM for *R* is given by a set of states and a transition relation on states. Most of the definitions are standard.

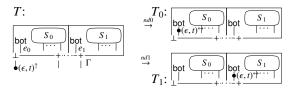


Figure 8. SIAM Non-Deterministic Transition Rules.

Exponential signatures  $\sigma$  and stacks s are defined by  $\sigma ::= * \mid l(\sigma) \mid r(\sigma) \mid \lceil \sigma, \sigma \rceil \mid y(\sigma, \sigma)$   $s ::= \epsilon \mid l.s \mid r.s \mid \sigma.s \mid \delta$ 

where  $\epsilon$  is the empty stack and . denotes concatenation. Two kinds of stacks are defined: (1.) the *formula stack* and (2.) the *box* stack. The latter is the standard GoI way to keep track of the different copies of a box. The former describes the formula path of either an occurrence  $\alpha$  of a unit, or an occurrence  $\Diamond$  of a modality, in a formula A. Formally, s is a *formula stack on* A if either  $s=\delta$  or  $s[A]=\alpha$  (resp.  $s[A]=\Diamond$ ), with s[A] defined as follows:  $\epsilon[\alpha]=\alpha$ ,  $\sigma.\delta[\Diamond B]=\Diamond$ ,  $\sigma.t[\Diamond B]=t[B]$  whenever  $t\neq\delta$ ,  $l.t[B\square C]=t[B]$  and  $r.t[B\square C]=t[C]$  (where  $\square$  is either  $\otimes$  or  $\Im$ ). We say that s *indicates* the occurrence  $\alpha$  (resp.  $\Diamond$ ).

**Example 23.** Given the formula  $A = !(\bot \otimes !1)$ , the stack  $*.\delta$  indicates the *leftmost* occurrence of !, the stack  $*.r.*.\delta$  indicates the *rightmost* occurrence of !, and  $*.l[A] = \bot$ .

**Positions.** Given a net R, the set of its positions  $POS_R$  contains all the triples (e, s, t), where e is an edge of R, s is a formula stack on the type A of e, and t (the box stack) is a stack of n exponential signatures, where n is the depth of e in R. We use the metavariables  ${\bf s}$  and  ${\bf p}$  to indicate positions. For each position  ${\bf p}=(e,s,t)$ , we define its direction  ${\rm dir}({\bf p})$  to be upwards  $(\uparrow)$  if s indicates an occurrence of ! or  $\bot$ , to be downwards  $(\downarrow)$  if s indicates an occurrence of ! or !, to be stable  $(\leftrightarrow)$  if  $s=\delta$  or if the edge e is the conclusion of a bot node. The following subsets of  $POS_R$  play a role in the definition of the machine:

- the set INIT<sub>R</sub> of initial positions p = (e, s, ε), with e conclusion of R, and dir(p) is ↑;
- the set FIN<sub>R</sub> of *final positions*  $\mathbf{p} = (e, s, \epsilon)$ , with e conclusion of R, and  $dir(\mathbf{p})$  is  $\downarrow$ ;
- the set ONES<sub>R</sub> of positions  $(e, \epsilon, t)$ , e conclusion of a one node;
- the set  $DER_R$  of positions  $(e, *.\delta, t)$ , e conclusion of a ?d node;
- the set STABLE<sub>R</sub> of the positions  $\mathbf{p}$  for which  $\operatorname{dir}(\mathbf{p}) = \leftrightarrow$ ;
- the set of starting positions  $START_R = INIT_R \cup ONES_R \cup DER_R$ .

SIAM *States.* A *state* (T, orig) of  $\mathcal{M}_R$  is a set of positions  $T \subseteq \text{POS}_R$  equipped with an injective map  $\text{orig}: T \to \text{START}_R$ . Intuitively, T describes the current positions of the tokens, and orig keeps track of where each such token started its path.

A state is *initial* if  $T \subseteq INIT_R$  and orig is the identity. We indicate the (unique) initial state of  $\mathcal{M}_R$  by  $I_R$ . A state T is *final* if all positions in T belong to either  $FIN_R$  or  $STABLE_R$ .

With a slight abuse of notation, we will denote the state (T, orig) also by T. Given a state T of  $\mathcal{M}_R$ , we say that there is a token in  $\mathbf{p}$  if  $\mathbf{p} \in T$ . We use expressions such as "a token moves", "crosses a node", in the intuitive way.

SIAM *Transitions*. The transition rules of the SIAM are described in Fig. 8 and 9. Rules (i)-(iv) require synchronization among different tokens; this is expressed by specific multi-token conditions which we discuss in the next paragraph. First, we explain the graphical conventions and give an overview of the rules.

The position  $\mathbf{p}=(e,s,t)$  is represented graphically by marking the edge e with a bullet  $\bullet$ , and writing the stacks (s,t). A transition  $T \to U$  is given by depicting only the positions in which T and U differ. It is intended that all positions of T which do not explicitly

<sup>&</sup>lt;sup>3</sup> In this paper we make this transition non-deterministic; otherwise there is no major difference.

# Multitoken rules $(s_{i},t)^{\downarrow} \xrightarrow{(s_{j},t)^{\downarrow}} \xrightarrow{(i)} \xrightarrow{(i)}$

Figure 9. SIAM: Deterministic Transition Rules.

appear in the picture also belong to U. To save space, in Fig. 9 the transition arrows are annotated with a *direction*; this means that the rule applies (only) to positions which have that direction. When useful, the direction of a position is directly annotated with  $^{\downarrow}$ ,  $^{\uparrow}$  or  $^{\leftrightarrow}$ . Note that no transition is defined for stable positions. For boxes, whenever a token is on a conclusion of a box, it can move into that box (graphically, the token "crosses" the border of the box) and it is modified as if it were crossing a node. For exponential boxes, Fig. 9 depicts only the border of the box. We do not explicitly give the function orig, which is immediate to reconstruct when keeping in mind that it is a pointer to the origin of the token.

We briefly discuss the most interesting transitions (we refer to [28] for a broader discussion). Fixpoints: the recursive behavior of Y-boxes is captured by the exponential signature in the form  $y(\cdot, \cdot)$ , and the associated transitions. Duplication: the key is rule (iv), which generates a token on the conclusion of a ?d node; this token will then travel the net, possibly crossing a number of contractions, until it finds its exponential box; intuitively, each such token corresponds to a copy of a box. One: the behavior of the token generated by rule (iii) on the conclusion of a one node is similar to that of a dereliction token; the one token searches for its  $\bot$ -box. Stable tokens: when the token from an instance of a ?d or of a one node "has found its box", i.e. it reaches the principal door of a box, the token become stable  $(\leftrightarrow)$ . A stable token is akin to a marker sitting on the principal door of its box, keeping track of the box copies and of the choice made in each specific copy.

*Multi-token Conditions.* The rules marked by (i), (ii), (iii), and (iv) in Fig. 9 require the tokens to interact, which is formalized

by multi-token conditions. Such conditions allow, in particular, to capture choice and synchronization. Below we give an intuitive presentation; we refer to [28, 42] for the formal details. For convenience we also recall them in Appendix C.

Synchronization, rule (i). To cross a sync node l, all the positions on the premises of l (for the same box stack t) must be filled; intuitively, having the same t, means that the positions all belong to the same copy of l. Only when all the tokens have reached l, they can cross it; they do so simultaneously.

Choice, rule (ii). Any token arriving at a  $\perp$ -box on an auxiliary door must wait for a token on the principal door to have made a choice for either of the two contents,  $S_0$  or  $S_1$ : a token (e, s, t) on the conclusions  $\Gamma$  of the  $\perp$ -box will move to  $S_0$  (resp.  $S_1$ ) only if the principal door of  $S_0$  (resp.  $S_1$ ) has a token with the same t.

The rules marked by (iii) and (iv) also carry a multi-token condition, but in a more subtle way: a token is enabled to start its journey on a one or ?d node only when its box has been opened; this reflects in the SIAM the constraint of *surface reduction* of nets.

### 5.2 MSIAM

Similarly to what we have done for nets, we enrich the machine with a memory, and use the SIAM and the operations on the memory to define a PARS.

MSIAM *States*. Given a memory structure  $\mathrm{Mem} = (\mathrm{Mem}, I, \mathcal{L})$  and a raw program net  $(R, \mathrm{ind}_R, \mathbf{m}_R)$  on  $\mathrm{Mem}$ , a *raw state* of the MSIAM  $\mathcal{M}_R$  is a tuple  $(T, \mathrm{ind}_T, \mathbf{m}_T)$  where

- T is a state of  $\mathcal{M}_R$ ,
- $ind_T : START_R \rightarrow I$  is a partial injective map,
- $\mathbf{m}_T \in \text{Mem}$ .

States are defined as the equivalence class  $\mathbf{T} = [(T, \mathtt{ind}_T, \mathbf{m}_T)]$  of row states *over permutations*, with the action of  $\mathrm{Perm}(I)$  on tuples being the natural one.

MSIAM *Transitions*. Let  $\mathbf{R}$  be a program net, and  $\mathbf{T}$  be a state  $[(T, \mathtt{ind}_T, \mathbf{m}_T)]$  of  $\mathcal{M}_{\mathbf{R}}$ . We define the transition  $\mathbf{T} \to \mu \in \mathcal{S} \times DST(\mathcal{S})$ . As we did for program nets, we first give the definition on raw states. The definition depends on the SIAM transitions for T. Let us consider the possible cases.

1. Link. Assume  $T \overset{(iii)}{\to} U$  (Fig. 9), and let n be the one node, x its conclusion, and  $\mathbf p$  the new token in U. We set

$$(T, \operatorname{ind}_T, \mathbf{m}) \to_{\operatorname{link}(\mathbf{n}, \mathbf{i})} (U, \operatorname{ind}_T \cup {\operatorname{orig}(\mathbf{p}) \mapsto i}, \mathbf{m})$$

where we choose  $i = \text{ind}_R(x)$  if the one node is active, and otherwise an address i which is fresh for both  $\text{ind}_T$  and  $(\mathbf{m})$ .

2. *Update*. Assume  $T \stackrel{(i)}{\rightarrow} U$  (Fig. 9), l is the name associated to the sync node, and  $\vec{i}$  are the addresses which are associated to its premises (by composing orig and ind), then

$$(T, \operatorname{ind}_T, \mathbf{m}) \to_{\operatorname{update}(s)} \{(U, \operatorname{ind}_T, \operatorname{update}(l, \vec{i}, \mathbf{m})^1\}.$$

3. Test. Assume  $T \stackrel{nd0}{\to} T_0$  and  $T \stackrel{nd1}{\to} T_1$  (Fig. 8, non-deterministic transition). If  $\mathbf{p} \in T$  is the token appearing in the redex (Fig. 8), and i the addresses that  $\mathtt{ind}_T$  associates to  $\mathtt{orig}(\mathbf{p})$ , then  $(T,\mathtt{ind}_T,\mathbf{m}) \to_{\mathrm{test}(i)}$ 

$$\operatorname{test}(i, \mathbf{m})[\operatorname{false} := (T_0, \operatorname{ind}_T), \operatorname{true} := (T_1, \operatorname{ind}_T)].$$

4. In all the other cases: if  $T \to U$  then  $(T, \operatorname{ind}_T, \mathbf{m}) \to \{(U, \operatorname{ind}_T, \mathbf{m})^1\}.$ 

Let  $\mathbf{R} = [(R, \mathtt{ind}_R, \mathbf{m}_R)]$ . The *initial state* of  $\mathcal{M}_{\mathbf{R}}$  is  $\mathbf{I}_{\mathbf{R}} = [(I_R, \mathtt{ind}_{I_R}, \mathbf{m}_R)]$ , where  $\mathtt{ind}_{I_R}$  is only defined on the initial positions: if  $\mathbf{p} \in \mathtt{INIT}_R$ , and x is the occurrence of  $\bot$  corresponding to  $\mathbf{p}$ , then  $\mathtt{ind}_{I_R}(\mathbf{p}) = \mathtt{ind}_R(x)$ . A state  $[(T, \mathtt{ind}_T, \mathbf{m}_T)]$  is *final* if T is final.

In the next sections, we study the properties of the machine, and show that the MSIAM is a computational model for  $\mathcal{N}$ .

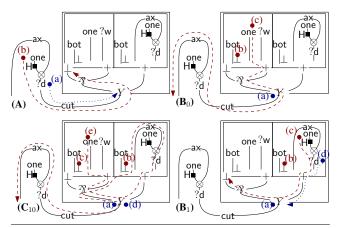


Figure 10. MSIAM run of Fig. 5.

**Example.** We informally develop in Fig. 10 an execution of the MSIAM for the LHS net of Fig. 5. In the first panel (A) tokens (a) and (b) are generated. Token (a) reaches the principal door of the Y-box, which corresponds to *opening* a first copy. Token (b) enters the Y-box and hits the  $\bot$ -box. The test action of the memory triggers a probabilistic distribution of states where the left and the right components of the  $\bot$ -box are opened: the corresponding sequences of operations are Panels (B<sub>0</sub>) and (B<sub>1</sub>) for the left and right sides.

In Panel (B<sub>0</sub>): the left-side of the  $\perp$ -box is opened and its onenode emits the token (c) that eventually reaches the conclusion of the net. In Panel (B<sub>1</sub>): the right-side of the  $\perp$ -box is opened and tokens (c) and (d) are emitted. Token (d) opens a new copy of the Y-box, while token (c) hits the  $\perp$ -box of this second copy. The test action of the memory again spawns a probabilistic distribution.

We focus on panel  $(C_{10})$  on the case of the opening of the left-side of the  $\perp$ -box: there, a new token (e) is generated. It will exit the second copy of the Y-box, go through the first copy and exit to the conclusion of the net.

### 5.3 MSIAM Properties, and Deadlock-Freeness

Intuitively, a *run* of the machine  $\mathcal{M}_{\mathbf{R}}$  is the result of composing transitions of  $\mathcal{M}_{\mathbf{R}}$ , starting from the initial state  $\mathbf{I}_{\mathbf{R}}$  (composition being transitive composition). We are not interested in the actual order in which the transitions are performed in the various components of a distribution of states. Instead, we are interested in knowing which distributions of states are reached from the initial state. This notion is captured well by the relation  $\hookrightarrow$  (see Sec. 2.1). We will say that *a run of the machine*  $\mathcal{M}_{\mathbf{R}}$  *reaches*  $\mu \in DST(\mathcal{S}_{\mathbf{R}})$  *if*  $\mathbf{I}_{\mathbf{R}} \hookrightarrow \mu$ . We will also use the expression "a run of  $\mathcal{M}_{\mathbf{R}}$  reaches a state  $\mathbf{T}$ " if  $\mathbf{I} \hookrightarrow \mu$  with  $T \in SUPP(\mu)$ .

An analysis similar to the one done for program nets shows the next lemma (Lem. 24) and therefore Prop. 25:

**Lemma 24** (Diamond). The relation  $\rightarrow$  satisfies the diamond property.

**Proposition 25** (Confluence, Uniqueness of Normal Forms, Uniformity). *The relation*  $\rightarrow$  *satisfies confluence, uniformity, and uniqueness of normal forms.* 

By the results we have studied in Sec. 2, we thus conclude that all runs of  $\mathcal{M}_{\mathbf{R}}$  have the same behavior with respect to the degree of termination, *i.e.* if  $\mathbf{I}_{\mathbf{R}}$  p-normalizes following a certain sequence of reductions, it will do so whatever sequence of reductions we pick. We say that the machine  $\mathcal{M}_{\mathbf{R}}$  p-terminates if  $\mathbf{I}_{\mathbf{R}}$  p-terminates (see Def. 4).

**Deadlocks.** A terminal state  $T \rightarrow \text{of } \mathcal{M}_R$  can be final or not. A non-final terminal state is called a *deadlocked* state. Because of the inter-dependencies among tokens given by the multi-token conditions, a multi-token machine is likely to have deadlocks. We are however able to guarantee that any MSIAM machine is deadlock-free, whatever is the choice for the memory structure.

**Theorem 26** (Deadlock-Freeness of the MSIAM). *Let*  $\mathbf{R}$  *be a program net of conclusion*  $\mathbf{1}$ ; *if*  $\mathbf{I}_{\mathbf{R}} \hookrightarrow \mu$  *and*  $\mathbf{T} \in SUPP(\mu)$  *is terminal, then*  $\mathbf{T}$  *is a* final *state.* 

The proof (see Appendix D.3) relies on the diamond property of the machine (more precisely, uniqueness of the normal forms).

### 5.4 Invariance and Adequacy

The machine  $\mathcal{M}_{\mathbf{R}}$  gives a computational semantics to  $\mathbf{R}$ . The semantics is invariant under reduction (Th. 27); the adequacy result (Th. 28) relates convergence of the machine and convergence of the nets. We define the convergence of the machine as the convergence of its initial state:

 $\mathcal{M}_{\mathbf{R}} \downarrow_{p}$  if  $\mathbf{I}_{\mathbf{R}}$  converges with probability p (i.e.  $\mathbf{I}_{\mathbf{R}} \downarrow_{p}$ ).

**Theorem 27** (Invariance). Let  $\mathbf{R}$  be a program net of conclusion 1. Assume  $\mathbf{R} \leadsto \sum_i p_i \cdot \{\mathbf{R}_i\}$ . Then we have that  $\mathcal{M}_{\mathbf{R}} \Downarrow_q$  if and only if  $\mathcal{M}_{\mathbf{R}_i} \Downarrow_{q_i}$  with  $\sum_i (p_i \cdot q_i) = q$ .

**Theorem 28** (Adequacy). *Let*  $\mathbf{R}$  *be a program net of conclusion* 1. *Then,*  $\mathcal{M}_{\mathbf{R}} \Downarrow_p$  *if and only if*  $\mathbf{R} \Downarrow_p$ .

The proofs of invariance and adequacy, are both based on the diamond property of the machine, and on a map—which we call transformation—which allows us to relate the rewriting of program nets with the MSIAM. To obtain the proofs, we need to establish a series of technical results which we give in Appendix D.

### 6. A PCF-style Language with Memory Structure

We introduce a PCF-style language which is equipped with a memory structure, and is therefore parametric on it. The base type will correspond to elements stored in the memory, and the base operations to the operations of the memory structure.

### 6.1 Syntax and Typing Judgments

The language PCF<sup>LL</sup> which we propose is based on Linear Logic, and is parameterized by a choice of a memory structure Mem.

The terms (M, N, P) and types (A, B) are defined as follows:

$$\begin{array}{l} M,N,P ::= x \, | \, \lambda x.M \, | \, MN \, | \, \mathsf{let} \, \langle x,y \rangle = M \, \mathsf{in} \, N \, | \, \langle M,N \rangle \, | \\ \mathsf{letrec} \, f \, x = M \, \mathsf{in} \, N \, | \\ \mathsf{new} \, | \, \mathsf{c} \, | \, \mathsf{if} \, P \, \mathsf{then} \, M \, \mathsf{else} \, N, \\ A,B \qquad ::= \alpha \, | \, A \multimap B \, | \, A \otimes B \, | \, !A \end{array}$$

where c ranges over the set of memory operations  $\mathcal{L}$ . A typing context  $\Delta$  is a (finite) set of typed variables  $\{x_1:A_1,\ldots,x_n:A_n\}$ , and a typing judgment is written as  $\Delta\vdash M:A$ . An empty typing context is denoted by ".". We say that a type is *linear* if it is not of the form !A. We denote by  $!\Delta$  a typing context with only non-linearly typed variables. A typing judgment is valid if it can be derived from the set of typing rules presented in Fig. 11. We require M and N to have empty context in the typing rule of if P then M else N. The requirement does not reduce expressivity as typing contexts can always be lambda-abstracted. The typing rules make use of a notion of values, defined as follows:  $U,V:=x|\lambda x.M|\langle U,V\rangle|c$ .

### 6.2 Operational Semantics

The operational semantics for PCF<sup>LL</sup> is similar to the one of [13], and is inherently call-by-value. Indeed, being based on Linear Logic,

$$\frac{A \operatorname{linear}}{!\Delta \vdash \operatorname{new}: \alpha} \cdot \frac{A \operatorname{Linear}}{!\Delta, x : !(A \multimap B) \vdash x : A \multimap B} \cdot \frac{A \operatorname{Linear}}{!\Delta, x : A \vdash x : A} \cdot \frac{!\Delta \vdash V : A \multimap B \quad V \operatorname{value}}{!\Delta \vdash V : !(A \multimap B)} \cdot \frac{\Delta, x : A \vdash M : B}{\Delta \vdash \lambda x . M : A \multimap B} \cdot \frac{B}{\Delta \vdash \lambda x . M : A \multimap B} \cdot \frac{B}{\Delta \vdash \lambda x . M : A \multimap B} \cdot \frac{B}{\Delta \vdash \lambda x . M : A \multimap B} \cdot \frac{B}{\Delta \vdash \lambda x . M : A \multimap B} \cdot \frac{B}{\Delta \vdash \lambda x . M : A \multimap B} \cdot \frac{B}{\Delta \vdash \lambda x . M : A \multimap B} \cdot \frac{A \vdash \lambda x . A \vdash$$

Figure 11. Typing Rules.

the language only allows the duplication of "!"-boxes, that is, normal forms of "!"-type: these are the values. The operational semantics is in the form of a PARS, written  $\rightarrow$ .

The PARS is defined using a notion of  $reduction\ context\ C[-],$  defined by the grammar

$$\begin{split} C[-] \; &::= \; [-] \, | \, C[-]N \, | \, VC[-] \, | \, \langle C[-],N \rangle \, | \, \langle V,C[-] \rangle \\ & \quad | \, \mathsf{let} \, \langle x,y \rangle = C[-] \, \mathsf{in} \, N \, | \, \mathsf{if} \, C[-] \, \mathsf{then} \, M \, \mathsf{else} \, N, \end{split}$$

and a notion of abstract machine: the PCF<sub>AM</sub>. A raw PCF<sub>AM</sub> closure is a tuple  $(M, \mathtt{ind}_M, \mathbf{m})$  where M is a term,  $\mathtt{ind}_M$  is an injective map from the set of free variables of M to I, and  $\mathbf{m} \in \mathrm{Mem}$ . PCF<sub>AM</sub> closures are defined as equivalence classes of raw PCF<sub>AM</sub> closures over permutations of addresses.

The rewrite system is defined in Fig. 12. First, the creation of a new base type element  $(\rightarrow_{\text{link}})$  is simply memory allocation: x is fresh (and not bound) in C and i is a new address neither in the image of ind nor in the support of  $\mathbf{m}$ . Then, the operation  $\mathbf{c}$  reduces through  $\rightarrow_{\text{update}(\mathbf{c})}$  using the update of the memory when  $\text{arity}(\mathbf{c}) = n$  and  $\text{ind}(x_k) = i_k$ . Then, the if-then-else reduces through  $\rightarrow_{\text{test}(i)}$  using the test operation where ind(x) = i. Note how we remove x from the domain of ind. Finally we have the three rules that do not involve probabilities: Note how the mapping ind can be kept the same: the set of free variables is unchanged.

Let  $\mathbf{M} = [(M, \operatorname{ind}, \mathbf{m})]$  be a PCF<sub>AM</sub> closure. We define the judgment  $x_1: A_1, \ldots, x_m: A_m \vdash \mathbf{M}: B$  if none of the  $x_i$ 's belongs to  $\operatorname{Dom}(\operatorname{ind}), \ y_1: \alpha, \ldots, y_k: \alpha, x_1: A_1, \ldots, x_m: A_m \vdash M: B$ , and  $\{y_1, \ldots, y_k\} = \operatorname{Dom}(\operatorname{ind})$ .

### 6.3 Modeling PCF<sup>LL</sup> with Nets

We now encode  $PCF^{LL}$  typing judgments and typed  $PCF_{AM}$  closures into program nets. As the type system is built on top of Linear Logic, the translation  $(-)^{\dagger}$  is rather straightforward, modulo one subtlety: it is parameterized by a memory structure  $\mathbf{m}$  and a partial function ind mapping term variables to addresses in I.

The mapping  $(-)^{\dagger}$  of types to formulas is defined by  $\alpha^{\dagger} := 1$ ,  $(A \multimap B)^{\dagger} := (A^{\dagger \bot} \otimes B^{\dagger})$  and  $(A \otimes B)^{\dagger} := A^{\dagger} \otimes B^{\dagger}$ . Now, assume that  $\{y_1, \ldots, y_n\} \cap \mathrm{Dom}(\mathrm{ind}) = \emptyset$ , that  $\Delta$  is a judgment whose variables are all of type  $\alpha$ , and that  $|\Delta| = \mathrm{Dom}(\mathrm{ind})$ . The typing judgment  $y_1 : A_1, \ldots, y_n : A_n, \Delta \vdash M : A$  is mapped through  $(-)^{\dagger}_{\mathrm{ind,m}}$  to a program net  $M^{\dagger}_{\mathrm{ind,m}} = [(R_M, \mathrm{ind}_{R_M}, \mathbf{m})]$  with conclusions  $(A^{\dagger}_1)^{\bot}, \ldots (A^{\dagger}_n)^{\bot}, (B^{\dagger})$  and memory state  $\mathbf{m}$  (note how the variables in  $\Delta$  do not appear as conclusions). The full definition is found in Appendix E.

### 6.3.1 Adequacy

As in Sec. 2 and 5.4, given a PCF<sub>AM</sub> closure  $\mathbf{M}$  we write  $\mathbf{M} \Downarrow_p (\mathbf{M}$  converges to p) if  $p = \sup_{\mathbf{M} \to^* \mu} \mathcal{T}(\mu)$ . The adequacy theorem then relates convergence of programs and convergence of nets. A sketch of the proof is given in Appendix E.

**Theorem 29.** Let  $\vdash M : \alpha$ , then  $M \downarrow_p$  if and only if  $M^{\dagger} \downarrow_p$ .

### 7. Results and Discussion

As we anticipated in Sec. 1.3, we have proved—parametrically on the memory—that the MSIAM is an adequate model of program nets reduction (Th. 28), and program nets are expressive enough to adequately represent the behavior of the PCF<sup>LL</sup> abstract machine (Th. 29). What does this mean? As soon as we choose a concrete instance of memory structure, we have a language and an adequacy result for it. This is in particular the case for all instances of memory which are outlined in Sec. 3.3. To make this explicit, let  $\mathcal{I}$ ,  $\mathcal{P}$  and  $\mathcal{Q}$  be respectively a deterministic, probabilistic and quantum memory. We denote by PCF<sup>LL</sup>( $\mathcal{I}$ ), PCF<sup>LL</sup>( $\mathcal{P}$ ) and PCF<sup>LL</sup>( $\mathcal{Q}$ ), respectively, the language which is obtained by choosing that memory. Observe in particular that the choice of  $\mathcal{P}$  or  $\mathcal{Q}$ , respectively specialize our adequacy result into a semantics for a probabilistic PCF in the style of [33], and a semantics for a quantum PCF, in the style of [11, 13].

### 7.1 The Quantum Lambda Calculus

Let us now focus on the quantum case, and analyze in some depth our result. We have a quantum lambda-calculus, namely  $\mathsf{PCF}^\mathsf{LL}(\mathcal{Q})$ , together with an adequate multi-token semantics. How does our calculus relate with the ones in the literature?

We first observe that the *syntax* of  $\mathsf{PCF}^{\mathsf{LL}}(\mathcal{Q})$  is very close to the language of [13] (we only omit lists and coproducts). The *operational semantics* is also the same, as one can easily see. Indeed, the abstract machine in [13] consists of a triple (Q, L, M) where M is a lambda-term and where Q and L are as presented in Sec. 3.3.3. As we discussed there, for Q and L one can use either the canonical presentation of [13], or the memory structure Q.

### 7.1.1 Discussion on the Quantum Model

It is now time to go back to the programs in our motivating examples, Examples 2 and 3. Both programs are valid terms in  $PCF^{LL}(Q)$ ; we have already informally developed Example 3 within our model.

We claimed in the Introduction that Example 2 cannot be represented in the GoI model described in [21]: the reason is that the model does not support entangled qubits in the type  $\alpha \otimes \alpha$  (using our notation), a tensor product is always separable. To handle entangled states, [21] uses non-splittable, crafted types: this is why the simple term in Example 2 is forbidden. In the MSIAM, entangled states pose no problem, as the memory is disconnected from the types.

The term of Example 3, valid in PCF<sup>LL</sup>(Q), is mapped through (-)<sup>†</sup> to the net of Fig. 5: Th. 29 and 28 state that the corresponding MSIAM presented in Fig. 10 is adequate. Note that Example 3 was presented in the context of quantum computation. It is however possible (and the behavior is going to be the same as the one already described) to use the probabilistic memory sketched in Sec. 3.3.2. In this case, the H-sync node would be changed for the coin-sync node.

### 7.1.2 Qubits, Duplication and Erasing

It is worth to pinpoint the technical ingredients which allow for the coexistence of quantum bits with duplication and erasing. In

```
(C[\mathsf{new}], \mathsf{ind}, \mathbf{m}) \to_{\mathsf{link}} (C[x], \mathsf{ind} \cup \{x \mapsto i\}, \mathbf{m}) \quad (C[\mathsf{c} \, \langle x_1, \dots, x_n \rangle], \mathsf{ind}, \mathbf{m}) \to_{\mathsf{update}(c)} (C[\langle \vec{x} \rangle], \mathsf{ind}, \mathsf{update}(\vec{i}, \mathbf{c}, \mathbf{m})) \\ (C[\mathsf{if} \, x \, \mathsf{then} \, M_{\mathsf{t}} \, \mathsf{else} \, M_{\mathsf{f}}], \mathsf{ind}, \mathbf{m}) \to_{\mathsf{test}(i)} \mathsf{test}(i, \mathbf{m})[\mathsf{true} := (M_{\mathsf{t}}, \mathsf{ind} \setminus \{x \mapsto i\}), \mathsf{false} := (M_{\mathsf{f}}, \mathsf{ind} \setminus \{x \mapsto i\})] \\ (C[(\lambda x.M)U], \mathsf{ind}, \mathbf{m}) \to (C[M\{x := U\}], \mathsf{ind}, \mathbf{m}) \quad (C[\mathsf{let} \, \langle x, y \rangle = \langle U, V \rangle \, \mathsf{in} \, M], \mathsf{ind}, \mathbf{m}) \to (C[N\{x := U, y := V]], \mathsf{ind}, \mathbf{m}) \\ (C[\mathsf{letrec} \, f \, x = M \, \mathsf{in} \, N], \mathsf{ind}, \mathbf{m}) \to (C[N\{f := \lambda x. \mathsf{letrec} \, f \, x = M \, \mathsf{in} \, M\}], \mathsf{ind}, \mathbf{m})
```

Figure 12. Rewrite System for PCF<sub>AM</sub>.

the language, the reason is that, similarly to [13], PCF<sup>LL</sup> allows only lambda-abstractions (or tuples thereof) to be duplicated. In the case of the nets (and therefore of the MSIAM), the key ingredient is *surface reduction* (Sec. 4.2): the allocation of a quantum bit is captured by the *link* rule which associates a one node to the memory. Since a one node linked to the memory *cannot* lie inside a box, it will *never be copied nor erased*. Indeed, the ways in which the language and the model deal with quantum bits, actually match.

### 7.1.3 More Possibilities for Quantum Memory Structure

In the presentation of  $\mathcal{Q}$  we have given in Sec. 3.3.3, fresh qubits were arbitrarily created in state  $|0\rangle$ . We could as well have chosen, say,  $|1\rangle$  for default value. Formally, if we want to do that we can use the set  $\mathcal{F}_1$  of (set-)maps from I to  $\{0,1\}$  that have value 1 everywhere except for a finite subset of I. The structure  $\mathcal{Q}$  would then have been defined as  $\mathcal{H}_1$ , the Hilbert space built from finite linear combinations of  $\mathcal{F}_1$ .

Unlike the case of the integer memory, the mathematical properties of quantum states can make the test action modify the state of the fresh addresses. Let us see how this happens.

Indeed, one can not only build a memory structure  $\mathcal Q$  with  $\mathcal H_0$  and  $\mathcal H_1$  but also with a superposition of the elements in  $\mathcal H_0$  and  $\mathcal H_1$ . For example, one could choose  $\mathcal Q = \{\alpha v_0 + \beta v_1)|v_x \in \mathcal H_x, |\alpha|^2 + |\beta|^2 = 1\}$ . This makes a memory structure satisfying all the equations. In this system, a valid memory can have all of its fresh variables in superposition. Any measurement on a fresh variable of this memory will collapse the state... and "modify" the global state of the fresh variables. So, despite the fact that a test on i cannot touch another address, it can globally act on the memory.

This paradox is of course solved when remembering that measurements and unitary operations (and measurements and measurements) do commute independently of the state on which they are applied (Remark 1). So the fact that the memory changes globally after a test is irrelevant.

### 7.2 Conclusion

In this paper, we have introduced a parallel, multi-token Geometry of Interaction capturing the choice effects with a parametric memory. This way, we are able to represent *classical*, *probabilistic and quantum effects*, and adequately model the linearly-typed language PCF<sup>LL</sup> parameterized by the same memory structure. We expect our approach to capture also non-deterministic choice in a natural way: this is ongoing work.

### Acknowledgments

This work has been partially supported by the JSPS-INRIA Bilateral Joint Research Project CRECOGI. A.Y. is supported by Grant-in-Aid for JSPS Fellows.

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# A. Commutation of Tests and Updates on Memory States

The commutation of tests and updates is formally defined as follows. Assume that  $i \neq j$ , that j does not meet  $\vec{k}$ , and that  $\vec{k}$  and  $\vec{k'}$  are disjoint.

- Tests on i commute with tests on j. More precisely, if
  - $test(i, m) = p_0\{(true, m_0)\} + p_1\{(false, m_1)\}$
  - $test(j, m_0) = p_{00}\{(true, m_{00})\} + p_{01}\{(false, m_{01})\}$
  - $test(j, m_1) = p_{10}\{(true, m_{10})\} + p_{11}\{(false, m_{11})\}$ and if
  - $test(j, m) = q_0\{(true, m'_0)\} + q_1\{(false, m'_1)\}$
  - $\operatorname{test}(i, m'_0) = q_{00}\{(\operatorname{true}, m'_{00})\} + q_{01}\{(\operatorname{false}, m'_{01})\}$
  - $\operatorname{test}(i, m_1') = q_{10}\{(\operatorname{true}, m_{10}')\} + q_{11}\{(\operatorname{false}, m_{11}')\}$

then for all  $x, y = 0, 1, m_{xy} = m'_{yx}$  and  $p_x p_{xy} = q_y q_{yx}$ .

- Tests of j commute with updates on  $\vec{k}$ . More precisely, if
  - $test(i, m) = p_0\{(true, m_0)\} + p_1\{(false, m_1)\}$
  - update( $\vec{k}, x, m_0$ ) =  $m'_0$
  - update $(\vec{k}, x, m_1) = m'_1$

and if  $update(\vec{k}, x, m) = m'$  then

$$test(i, m') = p_0\{(true, m'_0)\} + p_1\{(false, m'_1)\}.$$

• Updates on  $\vec{k}$  and  $\vec{k}'$  commute. More precisely:

$$update(\vec{k}, x, update(\vec{k}', x', m)) = update(\vec{k}', x', update(\vec{k}, x, m))$$

### B. Program Nets: proof of the Diamond Property

We prove that the PARS  $(\mathcal{N},\leadsto)$  satisfies the diamond property (Prop.19). We write  $(R,\mathtt{ind}_R,\mathbf{m})\overset{r}{\leadsto}\mu$  for the reduction of the redex r in the raw program net  $(R,\mathtt{ind}_R,\mathbf{m})$ .

First, we observe the following property, proven by case analysis.

**Lemma 30** (Locality of  $\leadsto$ ). Assume that  $\mathbf{R} = [(R, \mathtt{ind}_R, \mathbf{m})]$  has two distinct redexes  $r_1$  and  $r_2$ , with  $\mathbf{R} \stackrel{r_1}{\leadsto} \mu_1$ ,  $\mathbf{R} \stackrel{r_2}{\leadsto} \mu_2$  and  $\mu_1 \neq \mu_2$ . Then the redex  $r_2$  (resp.  $r_1$ ) is still a redex in each  $(R', \mathtt{ind}_{R'}, \mathbf{m}') \in SUPP(\mu_1)$  (resp.  $SUPP(\mu_2)$ ).

The proof of Prop. 19 goes as follows.

*Proof.* (of Prop. 19.) The locality implies the following two facts: (1) If  $(R, \operatorname{ind}_R, \mathbf{m}) \leadsto \mu$  with  $\mu^{\circ} \neq \emptyset$ , then the raw program net  $(R, \operatorname{ind}_R, \mathbf{m})$  contains exactly one redex.

(2) If  $(R, \operatorname{ind}_R, \mathbf{m}) \stackrel{r_1}{\leadsto} \mu$  and  $(R, \operatorname{ind}_R, \mathbf{m}) \stackrel{r_2}{\leadsto} \xi$  with  $\mu \neq \xi$ , then there exists  $\rho$  satisfying  $\mu \rightrightarrows \rho$  and  $\xi \rightrightarrows \rho$ . Concretely,  $\mu \rightrightarrows \rho$  is obtained by reducing the redex  $r_2$  in each  $(R', \operatorname{ind}_{R'}, \mathbf{m}') \in SUPP(\mu)$ , and  $\xi \rightrightarrows \rho$  is obtained by reducing  $r_1$ .

Assuming  $\mu \Rightarrow \nu$  and  $\mu \Rightarrow \xi$ , item 1. implies  $\nu^{\circ} = \xi^{\circ}$ , and item 2. implies  $\exists \rho. \nu \Rightarrow \rho \land \xi \Rightarrow \rho$ . Let us review some of the non-evident cases explicitly.

If  $r_1$  and  $r_2$  are both non-active one nodes, say x and y respectively,  $(R, \mathsf{ind}_R, \mathbf{m})$  reduces to  $(R, \mathsf{ind}_R \cup \{x \mapsto i, y \mapsto j\}, \mathbf{m})$  and  $(R, \mathsf{ind}_R \cup \{x \mapsto k, y \mapsto l\}, \mathbf{m})$  for some fresh indexes i, j, k, l. The permutation  $(i, k) \circ (j, l)$  renders the two program nets equivalent.

If both  $r_1$  and  $r_2$  modify memories (*i.e.* they perform either update or test), the property holds because the injectivity of  $\mathtt{ind}_R$  guarantees that we always have the requirement (disjointness of

indexes) of the equations given in Appendix A. Hence the two reductions commute both on memory (up to group action) and on probability.  $\hfill\Box$ 

### C. SIAM: Multitoken Conditions, Formally

Stable Tokens. A token in a stable position is said to be stable. Each such token is the remains of a token which started its journey from DER or ONES, and flowed in the graph "looking for a box". This stable token therefore witnesses the fact that an instance of dereliction or of one "has found its box". Stable tokens keep track of box copies; let us formalize this. Let S be either R, or a structure associated to a box (at any depth). Given a state T of  $\mathcal{M}_R$ , we define  $\operatorname{Copies}_{\mathbf{T}}(S)$  to be  $\{\epsilon\}$  if R=S (we are at depth 0). Otherwise, if S is the structure associated to a box node b of R, we define  $\operatorname{Copies}_{\mathbf{T}}(S)$  as the set of all t such that (e,s,t) is a stable token on the premiss(es) of b's principal door. Intuitively, each such t identifies a copy of the box which contains S.

Multitoken Conditions: Synchronization, Choice, and Boxes Management. Rules marked by (i), (ii), and (iii), (iv) in Fig. 9 only apply if the following conditions are satisfied.

- (i) Tokens cross a sync node l only if for a certain t, there is a token on each position (e, s, t) where e is a premise of l, and s indicates an occurrence of atom in the type of e. In this case, all tokens cross the node simultaneously. Intuitively, insisting on having the same stack t means that the tokens all belong to the same box copy.
- (ii) A token (e, s, t) on one of the conclusions  $\Gamma$  of the  $\bot$ -box can move inside the box *only if* its box stack t belongs to  $\mathtt{Copies_T}(S_0)$  (resp.  $\mathtt{Copies_T}(S_1)$ ), where  $S_0$  (resp.  $S_1$ ) is the left (resp. right) content of the  $\bot$ -box. Note that if the  $\bot$ -box is inside an exponential box, there could be several stable tokens on each premise of the principal door, one stable token for each copy of the box.
- (iii) The position  $\mathbf{p}=(e,\epsilon,t)$  under a one node (resp.  $(e,\delta,t)$  under a ?d node) is added to the state T only if: it does not already belong to  $\operatorname{orig}(T)$ , and  $t\in\operatorname{Copies}_{\mathbf{T}}(S)$ , where S is the structure to which e belongs. If both conditions are satisfied, T is extended with the position  $\mathbf{p}$  (and  $\operatorname{orig}(\mathbf{p})=\mathbf{p}$ ). Intuitively, each  $(e,\epsilon,t)$  (resp.  $(e,\delta,t)$ ) corresponds to a copy of one (resp. ?d) node.

### **D.** MSIAM

The proofs of invariance, adequacy, and deadlock-freeness, all are based on the diamond property of the machine, and on a map—which we call *Transformation*—which allows us to relate the rewriting of program nets with the MSIAM. In this section we establish the technical tools we need. In Sec. D.2 we prove Invariance, in Sec. D.3 we proof adequacy and deadlock-freeness.

The tool we use to relate net rewriting and the MSIAM is a mapping from states of  $\mathbf{R}$  to states of  $\mathbf{R}_i$ , which we are going to introduce in this section. This tool together with confluence (due to the diamond property) allows us to establish the main result of this section, from which Invariance (Th. 27) follows.

From now on, we use the following conventions and assumption.

- The letters  $\mathcal{T}, \mathcal{U}$  range over  $\mathit{raw}$  MSIAM states, the letters  $\mathbf{T}, \mathbf{U}$  over MSIAM states, and the letters T, U over SIAM states.
- To keep the notation light, we will occasionally rely on our convention of denoting the distribution {T¹} by {T} or even simply by T, when there is no ambiguity.
- We assume that  $\mathbf{R} \leadsto \sum_i p_i \cdot \{\mathbf{R}_i\}$ , where  $i \in \{0\}$  or  $i \in \{0,1\}$ ,  $\mathbf{R} = [(R, \mathrm{ind}_R, \mathbf{m}_R)]$ ,  $\mathbf{R}_i = [(R_i, \mathrm{ind}_{R_i}, \mathbf{m}_{R_i})]$ .

- Unique initial state. We assume that  $\mathbf{R}$  has a single conclusion, which has type 1. As a consequence,  $\mathrm{Dom}(\mathrm{ind}_R) = \mathtt{ONES}_R$ , and  $\mathcal{M}_{\mathbf{R}}$  has a *a unique raw initial state*, which is  $\mathcal{I}_{\mathbf{R}} = (\emptyset, \emptyset, \mathbf{m}_R)$ . We have  $\mathbf{I}_{\mathbf{R}} = \{\mathcal{I}_R\}$ .
- We denote by  $S_{\mathbf{I}_R}$  the set of states  $\mathbf{T}$  which can be reached from  $\mathbf{I}_{\mathbf{R}}$ , *i.e.*  $\mathbf{I}_{\mathbf{R}} \hookrightarrow \mu$  and  $\mathbf{T} \in SUPP(\mu)$ .
- we do not insist too much on the distinction between raw states and states, which in this section is not relevant.

### **D.1** Properties and Tools

In this section, most of the time we analyze the reduction of raw program nets and raw states, because we do not need to use the equivalence relation. Which is the same: we pick a representative of the class, and follow it through its reductions.

### D.1.1 Exploit the Diamond

Because the MSIAM is diamond, we can always pick a run of the machine which is convenient for us to analyze the machine. By confluence and uniqueness of normal forms, all choices produce the same result w.r.t. both the degree of termination of any distribution which can be reached (invariance), and the states which are reached (deadlock-freeness).

In case of  $\mathbf{R} \leadsto \rho$  via link, update or test, we will always choose a run which begins as indicated below:

- 1. Link. Assume  $(R, \operatorname{ind}_R, \mathbf{m}_R) \leadsto_{\operatorname{link}(n,j)} \{(R, \operatorname{ind}_R \cup \{x \mapsto j\}, \mathbf{m}_R)\}$ . The machine does the same: from the initial state the machine transitions using its reduction  $\operatorname{link}(n,j)$ , on the same one node. We can choose the same address j because we know it is fresh for  $\mathbf{m}_R$ . Therefore we have  $(I, \operatorname{ind}_I, \mathbf{m}_R) \to_{\operatorname{link}(n,j)} \{(U, \operatorname{ind}_U, \mathbf{m}_R)\} = \mu$ .
- 2. *Update*. Assume  $(R, \text{ind}_R, \mathbf{m}_R) \leadsto_{\text{update}(s)}$

 $\{(R', \mathtt{ind}_R, \mathtt{update}(l, \vec{i}, \mathbf{m}_R)\}$ . Observe that the one node n in the redex is active; let j be the corresponding address. We choose a run which starts with the transitions  $(I, \mathtt{ind}_I, \mathbf{m}_R)$ 

$$\rightarrow_{\operatorname{link}(n,j)} \{(U,\operatorname{ind}_U,\mathbf{m}_R)\} \text{ and } (U,\operatorname{ind}_U,\mathbf{m}_R) \rightarrow_{\operatorname{update}(s)} \{(U,\operatorname{ind}_U,\operatorname{update}(l,\vec{i},\mathbf{m}_R)\} = \mu.$$

3. Test. Assume  $(R, \operatorname{ind}_R, \mathbf{m}_R) \leadsto_{\operatorname{test}(j)} \rho$  where for each  $i, R \leadsto_{u_i} R_i$ . Again the one node n in the redex is active; let j be the corresponding address. Our canonical way to start the run of the machine applies to the initial state  $(I, \operatorname{ind}_I, \mathbf{m}_R)$  the transition  $\operatorname{link}(n,j)$ , crosses the cut, and finally applies the same  $\operatorname{test}(j)$ , to reach  $\operatorname{test}(j,\mathbf{m}_R)[\operatorname{true}:=U_0,\operatorname{false}:=U_1])=\mu$ .

### **D.1.2** The Transformation Map

The tool we use to relate net rewriting and the MSIAM is a mapping from states of  $\mathcal{M}_{\mathbf{R}}$  to states of  $\mathcal{M}_{\mathbf{R}_i}$ . We first define a map on positions of R, then on SIAM states, and finally on MSIAM raw states.

**Transformation of SIAM States.** For each  $R_i$  to which R reduces, we define a *transformation* on positions, as a partial function  $\operatorname{trsf}_{R \leadsto R_i} : \operatorname{POS}_R \longrightarrow \operatorname{POS}_{R_i}$ . The key case is the case of  $\bot$ -box reduction, illustrated in Fig. 13; for each position outside the redex, we intend that  $\operatorname{trsf}(\mathbf{p})$  is the identity. The other cases are as in [28].

The definition extends to the states of the SIAM point-wisely, in the obvious way.

From now on, we write  $\operatorname{trsf}_{R_i}$  or sometimes simply  $\operatorname{trsf}_i$  for  $\operatorname{trsf}_{R\leadsto R_i}$ .

**Transformation of** MSIAM **States.** We now extend  $\operatorname{trsf}_{R \leadsto R_i}$  to MSIAM states. To do so smoothly, we define a subset  $[\operatorname{trsf}_{R_i}]$  of  $\mathcal{S}_{\mathbf{I}_R}$ , which depends on the reduction rule. To work with such states simplify the proofs, and is always possible because of Sec. D.1.1.

- Case →<sub>link(n,j)</sub>. We define [trsf<sub>Ri</sub>] as the set of the states in S<sub>IR</sub> in which ind(p) = j, where p is the position associated to the one node n.
- Case  $\leadsto_{\mathrm{update}(s)}$ . We define  $[\mathrm{trsf}_{R_i}]$  as the set of the states in  $\mathcal{S}_{\mathbf{I}_R}$  which "have crossed" the sync node s. We can easily characterize these states. Assume  $\mathbf{p}_1,\ldots,\mathbf{p}_n$  are the positions associated to the premises of s (observe that each  $\mathbf{p}_i$  belongs to  $\mathtt{ONES}_R$ ).  $[\mathrm{trsf}_{R_i}]$  is the set of the states  $\mathbf{T} \in \mathcal{S}_{\mathbf{I}_R}$  such that  $\{\mathbf{p}_1,\ldots,\mathbf{p}_n\} \subseteq \mathrm{orig}(T)$  and  $\{\mathbf{p}_1,\ldots,\mathbf{p}_n\} \not\subseteq T$ .
- Otherwise: we define  $[\operatorname{trsf}_{R_i}] = \mathcal{S}_{\mathbf{I}_R}$ .

### **Definition 31** (Transformation Map).

1.  $\operatorname{trsf}_i: [\operatorname{trsf}_{R_i}] \subset \mathcal{S}_{\mathbf{I}_R} \to \mathcal{S}_{R_i}$  maps the state  $\mathbf{T} = [(T,\operatorname{ind},\mathbf{m})]$  into  $[\operatorname{trsf}_i(T,\operatorname{ind},\mathbf{m})]$ , with

$$\operatorname{trsf}_i(T,\operatorname{ind},\mathbf{m})=(\operatorname{trsf}_i(T),\operatorname{ind},\mathbf{m}).$$

2. The definition extends linearly to *distributions*. Assume  $\mu = \sum c_k \cdot \{\mathbf{T}_k\}$  and  $\mathbf{T}_k \in [\operatorname{trsf}_{R_i}]$  for each  $\mathbf{T}_k$ , then

$$\operatorname{trsf}_{R \leadsto R_i}(\mu) := \sum c_k \cdot \{\operatorname{trsf}_{R \leadsto R_i}(\mathbf{T}_k)\}.$$

Fact 32. If  $\mathbf{T} \in [\operatorname{trsf}_{R_i}]$ , with  $\mathbf{T} \to \mu$  and  $\mathbf{U} \in SUPP(\mu)$ , then  $\mathbf{U} \in [\operatorname{trsf}_{R_i}]$ .

**Lemma 33** (Important Observation). The construction given in D.1.1 leads each time to a distribution  $\mu$ , where each state in the support satisfies:

- $\mathbf{U}_i \in [\operatorname{trsf}_{R_i}]$ .
- $\operatorname{trsf}_{R_i}(\mathbf{U}_i) = \mathbf{I}_{R_i}$ .

### **D.1.3** Properties of the Reachable States

Let us analyze the set of states which is spanned by a run of the MSIAM. Given a  $\perp$ -box of  $\mathbf{R}$ , let  $e_0$  be the conclusion of the left  $\perp$  and  $e_1$  be the conclusion of the right  $\perp$ . For any stacks s,t, we call the two stable positions  $(e_0,s,t)$  and  $(e_1,s,t)$  a  $\perp$ -pair. These two positions are mutually exclusive in a state, because  $\operatorname{orig}(e_0,s,t)=\operatorname{orig}(e_1,s,t)$ .

We say that two states  $\mathbf{T}, \mathbf{U} \in \mathcal{S}_{\mathbf{I}_R}$  are *in conflict*, written  $\mathbf{T} \smile \mathbf{U}$ , if  $\mathbf{T}$  contains one of the two positions of a  $\bot$ -pair and S the other. We observe that conflict is hereditary with respect to transitions, because stable positions are never deleted or modified by a transition. Let  $\uparrow(\mathbf{T}) = \{\mathbf{U} \mid \mathbf{T} \rightrightarrows^* \rho \land \mathbf{U} \in SUPP(\rho)\}$ . The following properties are all immediate:

- 1. If  $\mathbf{T} \smile \mathbf{T}'$ ,  $\mathbf{U} \in \uparrow(\mathbf{T})$ , and  $\mathbf{U}' \in \uparrow(\mathbf{T}')$ , then  $\mathbf{U} \smile \mathbf{U}'$ .
- 2. If  $\mathbf{T} \to \mu$ , either the transition is deterministic, or  $SUPP(\mu) = \{\mathbf{U}_0, \mathbf{U}_1\}$  with  $\mathbf{U}_0 \smile \mathbf{U}_1$ .
- 3. If  $\mathbf{I} \rightrightarrows^* \mu$ , then for each  $\mathbf{T} \neq \mathbf{T}' \in SUPP(\mu)$ ,  $\mathbf{T} \smile \mathbf{T}'$ .

States in conflict are in particular disjoint. Therefore we can safely sum them:

**Lemma 34.** Given a distribution of states  $\mu \in DST(S_{\mathbf{I}_R})$ ,

$$\frac{\forall \mathbf{T}_i, \mathbf{T}_j \in SUPP(\mu). \mathbf{T}_i \smile \mathbf{T}_j \quad \{\mathbf{T} \rightrightarrows^k \rho_{\mathbf{T}}\}_{\mathbf{T} \in SUPP(\mu)}}{\mu \rightrightarrows^k \sum_{\mathbf{T} \in SUPP(\mu)} \mu(\mathbf{T}) \cdot \rho_{\mathbf{T}}}$$

As an immediate consequence, the following also hold:

$$\frac{\mathbf{U} \to \boldsymbol{\mu} \quad \{\mathbf{T} \rightrightarrows^k \rho_{\mathbf{T}}\}_{\mathbf{T} \in SUPP(\boldsymbol{\mu})}}{\mathbf{U} \rightrightarrows^{k+1} \sum_{\mathbf{T} \in SUPP(\boldsymbol{\mu})} \boldsymbol{\mu}(\mathbf{T}) \cdot \rho_{\mathbf{T}}}$$

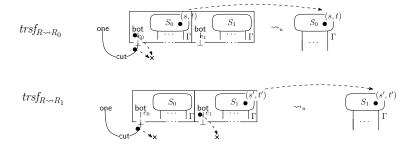
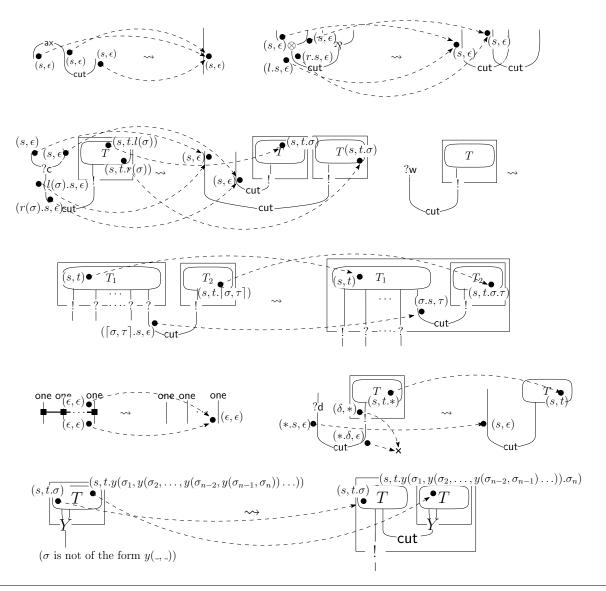


Figure 13. The Partial Function  $\operatorname{trsf}$  on  $\perp$ -box Reduction.



**Figure 14.** The Function  $\operatorname{trsf}_{R \leadsto R'}$ .

$$\frac{\mathbf{U} \rightrightarrows^n \mu \quad \{\mathbf{T} \rightrightarrows^k \rho_{\mathbf{T}}\}_{\mathbf{T} \in SUPP(\mu)}}{\mathbf{U} \rightrightarrows^{n+k} \sum_{\mathbf{T} \in SUPP(\mu)} \mu(\mathbf{T}) \cdot \rho_{\mathbf{T}}}$$

### **D.1.4** The Reachability Relation ↔

The reachability relation  $\hookrightarrow$  (defined in Sec. 2.1) is a useful tool in the study of the MSIAM.

A *derivation* of  $a \hookrightarrow \mu$  is inductively obtained by using the rules which define  $\hookrightarrow$ .

In the case of the MSIAM the relations  $\hookrightarrow$  and  $\Rightarrow$  are equivalent with respect to normal forms.

**Lemma 35.** If 
$$\{\mathbf{T}\} \rightrightarrows^n \xi$$
 then  $\mathbf{T} \hookrightarrow \xi$ . Conversely, if  $\mathbf{T} \hookrightarrow \mu$  then there exists  $\rho$  with  $\{\mathbf{T}\} \rightrightarrows^* \rho$  and such that  $\mu^{\circ} \subseteq \rho^{\circ}$ .

*Proof.* The former part is by induction on n. The latter is by structural induction (on the rules shown above).

It is helpful to define also another auxiliary relation  $\mathbf{T} \hookrightarrow^{\circ} \tau$ which holds if there exists  $\mu$  satisfying  $\rightarrow \mu$  and  $\tau \subseteq \mu^{\circ}$ . This relation<sup>4</sup> states that T reaches a set of terminal states. It is immediate that  $\mathbf{T} \hookrightarrow^{\circ} \tau$  iff  $\exists \rho$ ,  $\mathbf{T} \Rightarrow^{*} \rho$  and  $\tau \subseteq \rho^{\circ}$ .

### **D.1.5** Properties of trsf

We now study the action of trsf on transitions. We first look at how trsf maps initial/final/deadlock states.

**Lemma 36.** 1. If  $I_{\mathbf{R}} \in [\operatorname{trsf}_{R_i}]$ , then  $\operatorname{trsf}_{R_i}(I_{\mathbf{R}}) = I_{\mathbf{R}_i}$ .

- 2. Assume  $\mathbf{T} \in [\operatorname{trsf}_{R_i}]$  is a final/deadlock state of  $\mathcal{M}_R$ ; then  $\operatorname{trsf}_{R_i}(\mathbf{T})$  is a final/deadlock state of  $\mathcal{M}_{R_i}$ .
- 3. If  $\tau = \tau^{\circ}$  (i.e. all states are terminal), and  $SUPP(\tau) \subseteq$  $[\operatorname{trsf}_{R_i}]$ , then  $\mathcal{T}(\tau) = \mathcal{T}(\operatorname{trsf}_{R_i}(\tau))$ .

**Lemma 37.** If  $T \smile T'$  and  $T, T' \in [\operatorname{trsf}_{R_i}]$ , then  $\operatorname{trsf}_{R_i}(T) \smile$  $\operatorname{trsf}_{R_i}(\mathbf{T}')$ 

It is also important to understand the action of trsf on the number of stable tokens. We observe that the number of tokens, and stable tokens in particular, in any state T which is reached in a run of  $\mathcal{M}_R$ is finite. We denote by  $S(\mathbf{T})$  the number of stable tokens in  $\mathbf{T}$ . The following is immediate by analyzing the definition of transformation, and checking which tokens are deleted.

**Fact 38** (stable tokens). For any  $\operatorname{trsf}_{R_i}$ ,  $S(\mathbf{T}) \geq S(\operatorname{trsf}_{R_i}(\mathbf{T}))$ . Moreover, if the reduction  $\rightsquigarrow$  is d, y or  $u_i$ , then we also have that  $S(\mathbf{T}) > S(\operatorname{trsf}_{R_i}(\mathbf{T})).$ 

### D.2 Invariance

We prove the following result, from which invariance (Th. 27)

**Proposition 39** (Main Property). Assume  $\mathbf{R} \leadsto \sum_i p_i \cdot \{\mathbf{R}_i\}$ .  $\mathbf{I}_{\mathbf{R}}$  q-terminates if and only if  $\mathbf{I}_{\mathbf{R}_i}$   $q_i$ -terminates and  $\sum (q_i \cdot p_i) = q$ .

Let us first sketch the ingredients of the proof. We need to work our way "back and forth" via Lemmas 42 and 43, because of the following facts.

- Unfortunately, for  $I_{\mathbf{R}} \rightrightarrows^* \mu$  it is not true that  $\operatorname{trsf}_{R_i}(I_{\mathbf{R}}) \rightrightarrows^*$  $\operatorname{trsf}_{R_i}(\mu)$ . However we have that if  $\mathbf{I}_{\mathbf{R}} \hookrightarrow \mu$  in  $\mathcal{M}_R$ , then  $\operatorname{trsf}_{R_i}(\mathbf{I}_{\mathbf{R}}) \hookrightarrow \operatorname{trsf}_{R_i}(\mu)$  (under natural conditions). This is made precise by Lemma 42.
- ullet On the other side, the strength of the relation  $\rightrightarrows$  is that if  $\mathbf{I}_{\mathbf{R}} \rightrightarrows^n \mu$ , then for any sequence of the same length  $\mathbf{I}_{\mathbf{R}} \rightrightarrows^n \rho$ , we have that  $\rho^{\circ} = \mu^{\circ}$ . This is not the case for the relation  $\hookrightarrow$ which is *not informative*. The (slightly complex) construction which is given by Lemma 43 allows us to exploit the power of  $\rightrightarrows$ .

We have everything in place to study the action of trsf on a run of the machine. What is the action of trsf on a transition? By checking the definition in Fig. 14 we observe that it may be the case that  $T \to \{U\}$  and  $\operatorname{trsf}_{R \leadsto R_i}(U) = \operatorname{trsf}_{R \leadsto R_i}(T)$ . We say that such a transition *collapses* for  $trsf_{R \rightsquigarrow R_i}$ . We observe some properties:

**Lemma 40.** From a state of  $\mathcal{M}_R$ , we have at most a finite number of collapsing transitions.

*Proof.* Since the reduction is surface, and since the type of any edge is finite, the set  $\{(e, s, t) \mid e \text{ is an edge of the redex, } (e, s, t) \text{ is}$ involved in a collapsing transition is at most finite. Suppose there are infinitely many collapsing transitions from a state. Then there exist two or more tokens which have the same stacks involved in the sequence of transitions. They must have the same origin, and hence by injectivity they are in fact the "same" token visiting the redex twice or more. Therefore, by "backtracking" the transitions on that token, it again comes to the same edge in the redex with the same stack, hence we can go back infinitely many times. However this cannot happen in our MSIAM machine, since any token starts its journey from a position in START from which it cannot go back anymore, and transitions are bideterministic on each token.

**Fact 41.** Given a transition  $T \to \mu$ , if  $T \in [\operatorname{trsf}_{R_i}]$ , then either the transition collapses, or  $\operatorname{trsf}_{R_i}(\mathbf{T}) \to \operatorname{trsf}_{R_i}(\mu)$  is a transition of  $\mathcal{M}_{R_i}$ .

**Lemma 42.** If  $T \in [\operatorname{trsf}_{R_i}]$  and  $T \hookrightarrow \mu$  (in  $\mathcal{M}_R$ ), then  $\operatorname{trsf}_{R_i}(\mathbf{T}) \hookrightarrow \operatorname{trsf}_{R_i}(\mu)$  holds.

*Proof.* We transform a derivation  $\Pi$  of  $\mathbf{T} \hookrightarrow \mu$  in  $\mathcal{M}_R$  into a derivation of  $\operatorname{trsf}_{R_i}(\mathbf{T}) \hookrightarrow \operatorname{trsf}_{R_i}(\mu)$  in  $\mathcal{M}_{R_i}$ , by induction on the structure of the derivation.

$$\begin{array}{ccc} \bullet \ \operatorname{Case} \ \overline{\mathbf{T} {\hookrightarrow} \{\mathbf{T}\}} & \operatorname{becomes} \ \overline{\operatorname{trsf}_{R_i}} \mathbf{T} {\hookrightarrow} \{\operatorname{trsf}_{R_i}(\mathbf{T})\} \\ & \underline{\mathbf{T} {\rightarrow} \sum p_{\mathbf{U}} \cdot \mathbf{U} \quad \{\overline{\mathbf{U} {\hookrightarrow} \mu_{\mathbf{U}}}\}} \\ \bullet \ \operatorname{Case} & \underline{\mathbf{T} {\hookrightarrow} \sum p_{\mathbf{U}} \cdot \mu_{\mathbf{U}}} \\ & \text{We examine the left premise, checking if it collapses:} \end{array}$$

• If it does not collapse,  $\operatorname{trsf}_{R_i}(\mathbf{T}) \to \sum p_{\mathbf{U}} \cdot \operatorname{trsf}_{R_i}(\mathbf{U})$  is a transition of  $\mathcal{M}_{R'}$  and we have:

$$\begin{array}{c} \operatorname{trsf}_{R_i}(\mathbf{T}) \to \sum p_{\mathbf{U}} \cdot \operatorname{trsf}_{R_i}(\mathbf{U}) \\ \{\operatorname{trsf}_{R_i}(\mathbf{U}) \hookrightarrow \operatorname{trsf}_{R_i}(\mu_{\mathbf{U}})\} \text{ by I.H.} \\ \\ \operatorname{trsf}_{R_i}(\mathbf{T}) \hookrightarrow \sum p_{\mathbf{U}} \cdot \operatorname{trsf}_{R_i}(\mu_{\mathbf{U}}) \end{array}$$

lacksquare If it collapses, we have  $\mathbf{T} o \{\mathbf{U}\}$ , we also have  $\mathrm{trsf}_{R_i}\mathbf{T} =$  $\operatorname{trsf}_{R_i}(\hat{\mathbf{U}})$ , and the derivation  $\Pi$  is of the form:

$$\frac{\mathbf{T} \rightarrow \{\mathbf{U}\} \quad \frac{\cdots}{\mathbf{U} \cap \mu}}{\mathbf{T} \cap \mu}$$

By induction,  $\operatorname{trsf}_{R_i}\mathbf{U} \hookrightarrow \operatorname{trsf}_{R_i}(\mu)$ , and therefore we conclude  $\operatorname{trsf}_{R_i} \mathbf{T} \hookrightarrow \operatorname{trsf}_{R_i}(\mu)$ .

Lemma 42, the construction shown in Appendix D.1.1, and Lemma 36, allow us to transfer termination from  $I_R$  to  $I_{R_i}$ , and to prove one direction of Prop. 39. The other direction is more delicate.

Assume that  $I_{\mathbf{R}_i}$   $q_i$ -terminates; this implies that for a certain n, whenever  $\mathbf{I}_{\mathbf{R}_i} \rightrightarrows^n \sigma$  then  $\mathcal{T}(\sigma) \geq q$ . The following Lemma builds such a sequence in a way that  $\sigma = \operatorname{trsf}_{R_i}(\mu)$ , with  $\mu$  in  $\mathcal{M}_{\mathbf{R}}$ . This allows us to transfer the properties of termination of  $I_{\mathbf{R}_i}$  back to  $I_{\mathbf{R}_i}$ ultimately leading to the other direction of Prop. 39.

**Lemma 43.** Assume  $\mathbf{T} \in [\operatorname{trsf}_{R_i}]$ . For any n:

<sup>&</sup>lt;sup>4</sup> It is easy also to give rules to define ⊕° inductively.

- 1. there exists  $\mu$  such that  $\mathbf{T} \hookrightarrow \mu$  and  $\operatorname{trsf}_{R_i}(\mathbf{T}) \rightrightarrows^n \operatorname{trsf}_{R_i}(\mu)$ ; 2. we can choose  $\mu$  such that  $\mathcal{T}(\mu) = \mathcal{T}(\operatorname{trsf}_{R_i}(\mu))$ .
- *Proof.* 1. We build  $\mu$  and its derivation, by induction on n.
- n=1. Assume **T** is terminal, then  $\operatorname{trsf}_{R_i}(\mathbf{T})$  is terminal, and  $\operatorname{trsf}_{R_i}(\mathbf{T}) \rightrightarrows \operatorname{trsf}_{R_i}(\mathbf{T})$ .
  - Assume there is  $\mu$  s.t.  $\mathbf{T} \to \mu$  non-collapsing. We have  $\operatorname{trsf}_{R_i}(\mathbf{T}) \rightrightarrows \operatorname{trsf}_{R_i}(\mu)$ .
  - Assume that all transitions from T are collapsing. For such a reduction, we have that T → T' and trsf<sub>Ri</sub>(T) = trsf<sub>Ri</sub>(T'). It is immediate to check that from any T ∈ S<sub>IR</sub> there is at most a finite number of consecutive collapsing transitions. We repeat our reasoning on T' until we find U which is either terminal or has a non-collapsing transition U → μ. The former case is immediate, the latter gives U ↔ μ and therefore T ↔ μ by transitivity, and trsf<sub>Ri</sub>(T) = trsf<sub>Ri</sub>(U) → trsf<sub>Ri</sub>(μ), hence trsf<sub>Ri</sub>(T) ⇒ trsf<sub>Ri</sub>(μ).
- n>1. Assume we have built a derivation of  $\mathbf{T} \hookrightarrow \rho$  with  $\operatorname{trsf}_{R_i}(\mathbf{T}) \rightrightarrows^{n-1} \operatorname{trsf}_{R_i}(\rho)$ . We have that  $\operatorname{trsf}_{R_i}(\rho) = \sum \rho(\mathbf{U}) \cdot \operatorname{trsf}_{R_i}(\mathbf{U})$ . For each  $\mathbf{U} \in SUPP(\rho)$ , we apply the base step, and obtain a derivation of  $\mathbf{U} \hookrightarrow \mu_{\mathbf{U}}$  with  $\operatorname{trsf}_{R_i}(\mathbf{U}) \rightrightarrows \operatorname{trsf}_{R_i}(\mu_{\mathbf{U}})$ . Putting things together,  $\mathbf{T} \hookrightarrow \sum \rho(\mathbf{U}) \cdot \mu_{\mathbf{U}}$  and  $\operatorname{trsf}_{R_i}(\mathbf{T}) \rightrightarrows^n \sum \rho(\mathbf{U}) \cdot \operatorname{trsf}_{R_i}(\mu_{\mathbf{U}})$  by Lemma 34.
- 2. We now prove the second part of the claim. Let  $\mathbf{T} \hookrightarrow \mu$  be the result obtained at the previous point. Let  $\{\mathbf{U}_k\}$  be the set of states in  $SUPP(\mu)$  such that  $\mathrm{trsf}_{R_i}(\mathbf{U}_k)$  is terminal. This induces a partition of  $\mu$ , namely  $\mu = \rho + \sum c_k \cdot \{\mathbf{U}_k\}$ . It is immediate to check that each  $\mathbf{U}_k \hookrightarrow \{\mathbf{U}_k'\}$  with  $\mathbf{U}_k'$  terminal and  $\mathrm{trsf}_{R_i}(\mathbf{U}_k') = \mathrm{trsf}_{R_i}(\mathbf{U}_k)$ . Observe also that  $\rho$  does not contain any terminal state. Let  $\nu = \sum c_k \cdot \{\mathbf{U}_k'\}$ . We have by transitivity  $\mathbf{T} \hookrightarrow (\rho + \nu)$ , and  $\mathrm{trsf}_{R_i}(\mathbf{T}) \rightrightarrows^n \mathrm{trsf}_{R_i}(\rho + \nu)$  (because  $\mathrm{trsf}_{R_i}(\rho + \nu) = \mathrm{trsf}_{R_i}(\mu)$ ). We have  $\mathcal{T}(\mathrm{trsf}_{R_i}(\rho + \nu)) = \mathcal{T}(\mathrm{trsf}_{R_i}(\nu)) = \sum c_k$  because  $\mathrm{trsf}_{R_i}(\nu) = \sum c_k \cdot \mathrm{trsf}_{R_i}(\mathbf{U}_k')$ . We conclude by observing that  $\mathcal{T}(\rho + \nu) = \mathcal{T}(\nu) = \sum c_k$ .

Summing up, we now have all the elements to prove Prop. 39.

### Proof. (Prop. 39)

⇒. Follows from Prop. 42, by using the construction in Sec. D.1.1, Lemma 36, and linearity of trsf.

Assume  $\mathbf{I}_R \rightrightarrows^* \mu$ , with  $\mu^\circ$  not empty, and that the machine starts as described in Sec. D.1.1 (in case  $\leadsto$  is *link*, *update* or *test*). We observe that every state  $\mathbf{T} \in SUPP(\mu)$  is contained in  $[\operatorname{trsf}_i]$  for some i. We can then prove that for each i there exists  $\mu_i \in DST(\mathcal{S}_{\mathbf{I}_R})$  such that  $\mathbf{I}_{R_i} \hookrightarrow \operatorname{trsf}_{R_i}(\mu_i)$ , and such that  $\nu = \sum_i p_i \cdot \mu_i$ .

 $\Leftarrow$ . Follows from Lemma 43. We examine the only non-straightforward case. Assume  $\mathbf{R} \leadsto_{\mathrm{test}(\mathbf{i},\mathbf{m})} \{\mathbf{R}_0^{p_0},\mathbf{R}_1^{p_1}\}$ . We choose a run of the machine which starts as described in Sec. D.1.1; we have that  $\mathbf{I}_{\mathbf{R}} \looparrowright \sum p_i \cdot \{\mathbf{T}_i\}$ , with  $\mathrm{trsf}_{R_i}(\mathbf{T}_i) = \mathbf{I}_{\mathbf{R}_i}$  by Lemma 33. By hypothesis,  $\mathbf{I}_{\mathbf{R}_i}$  terminates with probability at least  $q_i$ ; assume it does so in n steps. By using Lemma 43, we build a derivation  $\mathbf{T}_i \looparrowright \mu_i$  such that  $\mathrm{trsf}_{R_i}(\mathbf{T}_i) \rightrightarrows^n \mathrm{trsf}_{R_i}(\mu_i)$  and  $\mathcal{T}(\mu_i) = \mathcal{T}(\mathrm{trsf}_{R_i}(\mu_i))$ . By Th. 11,  $\mathcal{T}(\mathrm{trsf}_{R_i}(\mu_i)) \geq q_i$ .

Putting all together, we have that  $I_{\mathbf{R}} \hookrightarrow \sum p_i \cdot \mu_i$ , and  $I_{\mathbf{R}}$  terminates with probability at least  $\sum p_i \cdot q_i$ .

### D.3 MSIAM Adequacy and Deadlock-Freeness: The Interplay of Nets and Machines

We are now able to establish adequacy (Th. 28) and deadlock-freeness (Th. 26). Both are direct consequence of Prop. 45 below,

which in turn follows form Prop. 39 and the following Fact, by finely exploiting the interplay between nets and machine.

**Fact 44.** Let R be a net of conclusion 1 and such that no reduction is possible. By Th. 22, R has no cuts, and is therefore simply a one node. On such a simple net,  $\mathcal{M}_R$  can only terminate in a final state: no deadlock is possible.

**Proposition 45** (Mutual Termination). *Let*  $\mathbf{R}$  *be a net of conclusion* 1. *The following are equivalent:* 

- 1. IR q-terminates;
- 2. R q-terminates;

Moreover

3. if  $I_{\mathbf{R}} \hookrightarrow \mu$  and  $\mathbf{T} \in SUPP(\mu)$  is terminal, then  $\mathbf{T}$  is a final state.

*Proof.* (1.  $\Rightarrow$  2.) and 3. We prove that

if 
$$\mathbf{I_R} \hookrightarrow^{\circ} \tau$$
, then (\*)  $\mathbf{R}$  terminates with probability at least  $\mathcal{T}(\tau)$ , (\*\*) all states in  $SUPP(\tau)$  are final.

The proof is by double induction on the lexicographically ordered pair  $(S(\tau), W(\mathbf{R}))$ , where  $W(\mathbf{R})$  is the weight of the cuts *at the surface* of  $\mathbf{R}$ , and  $S(\tau) = \sum_{\mathbf{T} \in SUPP(\tau)} S(\mathbf{T})$  with  $S(\mathbf{T})$  the number of stable tokens in  $\mathbf{T}$  (Fact38). Both parameters are finite.

We will largely use the following fact (immediate consequence of the definition of  $\hookrightarrow^{\circ}$  and of results we have already proved): if  $\mathbf{T} \hookrightarrow^{\circ} \tau$  in  $\mathcal{M}_{\mathbf{R}}$  and  $\mathbf{T} \in [\operatorname{trsf}_i]$ , then  $\operatorname{trsf}_i(\mathbf{T}) \hookrightarrow^{\circ} \operatorname{trsf}_i(\tau)$ .

- If  ${\bf R}$  has no reduction step, then  ${\cal T}({\bf R})=1$ , which trivially proves (\*); (\*\*) holds by Fact 44.
- Assume  $\mathbf{R} \leadsto_{\mathbf{t} \in \mathrm{st}(i,\mathbf{m})} \mathbf{R}'$  (observe that this is a deterministic reduction). We have that  $\mathbf{I}_{\mathbf{R}'} \hookrightarrow^{\circ} \mathrm{trsf}(\tau)$ , and  $\mathcal{T}(\mathrm{trsf}(\tau)) = \mathcal{T}(\tau)$ . By Fact 38,  $S(\mathrm{trsf}(\tau)) \leq S(\tau)$ . If  $\mathbf{R} \leadsto_d \mathbf{R}'$ , then  $S(\mathrm{trsf}(\tau)) < S(\tau)$ . Otherwise  $S(\mathrm{trsf}(\tau)) = S(\tau)$  but  $W(\mathbf{R}') < W(\mathbf{R})$  because the step reduces a cut at the surface, and *does not open any box*. Hence by induction,  $\mathbf{R}'$  terminates with probability at least  $\mathcal{T}(\mathrm{trsf}(\tau)) = \mathcal{T}(\tau)$  (and therefore so does  $\mathbf{R}$ ) and all states in  $\mathrm{trsf}(\tau)$  are final, from which (\*\*) holds by Lemma 36.2.
- Assume  $\mathbf{R} \leadsto_{\operatorname{test}(i,\mathbf{m})} \sum p_i \cdot \{\mathbf{R}_i\}$ . From  $\mathbf{I}_{\mathbf{R}} \hookrightarrow^{\circ} \tau$ , by Lemma 35 we have that there is  $\rho$  satisfying  $\mathbf{I}_{\mathbf{R}} \rightrightarrows^* \rho$  and  $\tau \subseteq \rho^{\circ}$ . Using the construction in Sec. D.1.1, we have  $\mathbf{I}_{\mathbf{R}} \rightrightarrows^* \sum p_i \cdot \{\mathbf{T}_i\}$ , which induces a partition of  $\tau$  in  $\tau = p_0 \cdot \tau_0 + p_1 \cdot \tau_1$  with  $\mathbf{T}_i \hookrightarrow^{\circ} \tau_i$  for each i. We have that  $S(\tau_i) < S(\tau)$ , and that  $\mathbf{I}_{\mathbf{R}_i} \hookrightarrow^{\circ} \operatorname{trsf}_i(\tau_i)$ , because  $\operatorname{trsf}_i(\mathbf{T}_i)$  is defined and therefore  $\operatorname{trsf}_i(\mathbf{U})$  is defined for each state  $\mathbf{U} \in \tau_i$ . By Fact 38,  $S(\operatorname{trsf}_i(\tau_i)) \leq S(\tau_i) < S(\tau)$ , thus by induction  $\mathbf{R}_i$  terminates with probability at least  $\mathcal{T}(\operatorname{trsf}_i(\tau_i))$ , and all states in  $SUPP(\operatorname{trsf}_i(\tau_i))$  are final. Therefore,  $\mathbf{R}$  terminates with probability at least  $\sum p_i \cdot \mathcal{T}(\operatorname{trsf}_i(\tau_i)) = \sum p_i \cdot \mathcal{T}(\tau_i) = \mathcal{T}(\tau)$  by Lemma 36.3, and all states in  $SUPP(\tau)$  are final by Lemma 36.2.
- **2.**  $\Rightarrow$  **1.** By hypothesis,  $\mathbf{R} \stackrel{\Rightarrow}{\Rightarrow}^n \rho$  with  $\mathcal{T}(\rho) \geq q$ . We prove the implication by induction on n.

Case n = 0. The implication is true by Fact 44.

Case n>0. Assume  $\mathbf{R}\leadsto \sum p_i\cdot\mathbf{R}_i$ . By hypothesis, each  $\mathbf{R}_i$  terminates with probability at least  $q_i$  (with  $\sum p_i\cdot q_i=q$ ). By induction, each  $\mathbf{I}_{\mathbf{R}_i}$   $q_i$ -terminates, and therefore (Prop. 39)  $\mathbf{I}_{\mathbf{R}}$  q-terminates.

### D.4 MSIAM: Full development of Fig. 10

Here we fully develop what was sketched as description of the MSIAM execution presented in Fig. 10.

In the first panel (A), no box are yet opened: only two tokens are generated: the dereliction node emits token (a), in state  $(*.\delta, \epsilon)^{\downarrow}$ , while the one-node emits token (b), in state  $(\epsilon, \epsilon)^{\downarrow}$ , and attached to a fresh address of the memory. Eventually token (a) reaches the entrance of the Y-box and opens a copy: its state is now  $(\delta, *.\epsilon)^{\leftrightarrow}$ . Token (b) also flows down: it first reaches the H-sync node, crosses it while updating the memory, crosses the ⊗-node and gets the new state  $(l.\epsilon, \epsilon)^{\downarrow}$ . It continues through ?d with new state  $(*.l.\epsilon, \epsilon)$ , reaches the Y-entrance: its copy ID is  $*.\epsilon$ , and it has been opened by token (a), it can carry onto the left branch with new state  $(l.\epsilon, *.\epsilon)$ . It arrives at the  $\Re$ -node and follow the left branch with state  $(\epsilon, *.\epsilon)$ : it now hits a bot-box.

The test-action of the memory is called, and a probabilistic distribution of states is generated where the left and the right-side of the  $\perp$ -box are probabilistically opened: the corresponding sequences of operations are represented in Panel (B<sub>0</sub>) for the left side, and Panel  $(B_1)$  for the right side.

In Panel  $(B_0)$ : the left-side of the bot-box is opened and its onenode emits token (c), in state  $(\epsilon, *.\epsilon)$ : note how the box stack of this newly-created token is the one of the copy of the Y-box it sits in. In any case, the token also comes equipped with a fresh address from the memory, and carries downward. When it reaches the entrance of the Y-box, coming from the left it exits and eventually reaches the conclusion of the net. Note how we end up with a normal form: token (b) and (a) are stable at doors of boxes.

In Panel (B<sub>1</sub>): the right-side of the bot-box is opened and its one-node emits a token, that we can also call (c), also in state  $(\epsilon, *.\epsilon)$ . The ?d-node emits token (d) in state  $(*.\delta, *.\epsilon)$ : this token flows down and gets to the entrance of the Y-box: it stops there in state  $(\delta, y(*, *).\epsilon)$  and opens a new copy of the Y-box. Token (c) goes down, arrives at the Y-entrance and enters this new copy (of ID y(\*,\*). It hits the corresponding copy of the  $\perp$ -box, and the test-action of the memory spawns a new probabilistic distributions.

We focus on panel  $(C_{10})$  on the case of the opening of the leftside of the ⊥-box: there, a new token (e) is generated (with a fresh address attached to it) and goes down. It will exit the copy of ID y(\*,\*), enter the first copy, goes over the axiom node, and eventually exits from this first Y-box-copy. It is now at level 0, and goes to the conclusion of the net. The machine is in normal form.

### E. PCF<sup>LL</sup>: Adequacy

The translation of PCF<sub>AM</sub> closures into program nets is given in Fig. 15 and 16. There, we assume that the translation is with respect to the fixed pair (ind, m). The partial map ind $_{R_M}$  is depicted with a dotted line, and corresponds exactly to the parameter to the map  $(-)^{\dagger}_{\mathtt{ind},\mathbf{m}}$ 

With this definition, the well-typed closure  $y_1:A_1,\ldots,y_m:$  $A_m \vdash (M, \text{ind}, \mathbf{m}) : B \text{ can now simply be mapped to the program}$ net  $M_{\mathrm{ind},\mathbf{m}}^{\dagger}$ . We prove here the adequacy theorem (Th. 29).

**Th. 29 (Recall).** Let  $\vdash M : \alpha$ , then  $M \downarrow_p$  if and only if  $M^{\dagger} \downarrow_p$ .

Before proving the theorem, we first establish a few technical lemmas which analyze the properties of the translation  $(-)^{\dagger}$ .

**Lemma 46.** Assume that  $M = (M, \text{ind}, \mathbf{m})$  is PCF<sub>AM</sub> closure that  $\vdash \mathbf{M} : \alpha$ , and  $\mu$  a distribution of such closures. We have:

1. 
$$\pmb{M}$$
 is a normal form if and only if  $\pmb{M}^\dagger$  is a normal form.  
2.  $\mathcal{T}(\mu) = \mathcal{T}(\mu^\dagger)$ .

**Lemma 47.** *Under the hypotheses of Lemma 46:* 

1. if 
$$\mathbf{M} \to \mu$$
 then  $\mathbf{M}^{\dagger} \rightrightarrows^k \mu^{\dagger}$ , with  $k \geq 1$ .  
2. if  $\mu \rightrightarrows^* \nu$  then  $\mu^{\dagger} \rightrightarrows^* \nu^{\dagger}$ .

**Corollary 48.** *Under the hypotheses of Lemma 46:* 

1. If 
$$\mathbf{M}^{\dagger} \leadsto \rho$$
, then there is  $\mu$  s.t.  $\mathbf{M} \to \mu$  with  $\mathbf{M}^{\dagger} \neq \mu^{\dagger}$ .  
2. If  $\mathbf{M}^{\dagger} \rightrightarrows^k \rho$ , then there is  $\mu$  s.t.  $\mathbf{M} \rightrightarrows^* \mu$  and  $\mathbf{M}^{\dagger} \rightrightarrows^m \mu^{\dagger}$ , with  $m > k$ 

Proof. (1.) Immediate consequence of Lemma 46 and 47 (2.) By induction.

We are now ready to prove Th. 29.

*Proof of Th.* 29. Assume  $\mathbf{M} \downarrow_{p_{term}}$  and  $\mathbf{M}^{\dagger} \downarrow_{p_{net}}$ ; we want to prove that  $p_{term} = p_{net}$ .

 $\mathbf{p_{term}} \leq \mathbf{p_{net}}.$  It follows from the following. Assume  $\mathbf{M} \rightrightarrows^* \mu$ with  $\mathcal{T}(\mu) = q$ , then  $\mathbf{M}^{\dagger} \rightrightarrows^* \mu^{\dagger}$  (by Lemma 47.2) and  $\mathcal{T}(\mu^{\dagger}) = q$ (by Lemma 46.2).

**p**<sub>term</sub>  $\geq$  **p**<sub>net</sub>. We prove that if  $\mathbf{M}^{\dagger} \Rightarrow^* \rho$  then it exists  $\mu$  with  $\mathbf{M} \Rightarrow^* \mu$  and  $\mathcal{T}(\mu) \geq \mathcal{T}(\rho)$ . Assume  $\mathbf{M}^{\dagger} \Rightarrow^k \rho$ . By Corollary 48,  $\mathbf{M} \Rightarrow^* \mu$  and  $\mathbf{M}^{\dagger} \Rightarrow^m \mu^{\dagger}$ , with  $m \geq k$ . By Uniqueness of Normal Forms (Th. 11.1) we have that  $\mathcal{T}(\mu^{\dagger}) \geq \mathcal{T}(\rho)$ . By Lemma 46,  $\mathcal{T}(\mu) = \mathcal{T}(\mu^{\dagger})$ , from which we deduce the statement.

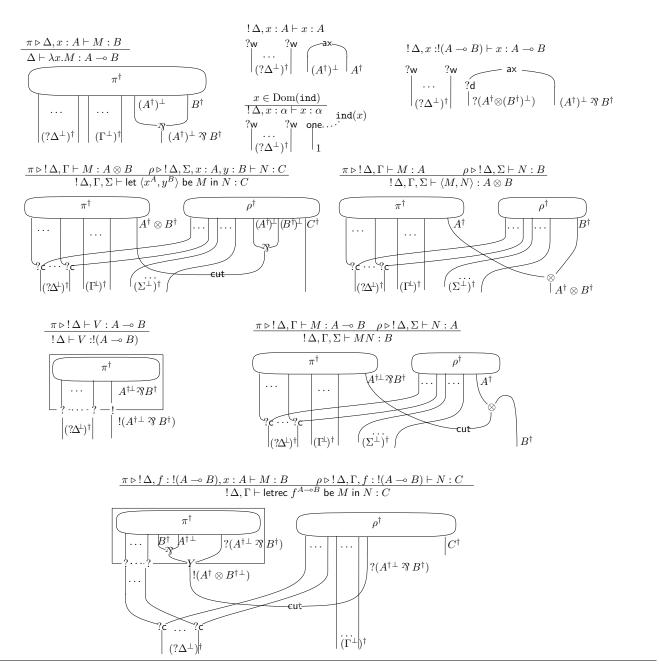


Figure 15. Translation of PCF<sup>LL</sup> into Nets.

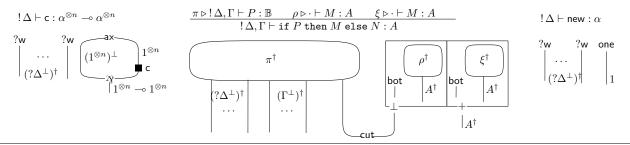


Figure 16. Translation of PCF<sup>LL</sup> into Nets.