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Invisibility and perfect reflectivity in waveguides with finite length branches

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Abstract. We consider a time-harmonic wave problem, appearing for example in water-waves and in acoustics, in a setting such that the analysis reduces to the study of a 2D waveguide problem with a Neumann boundary condition. The geometry is symmetric with respect to an axis orthogonal to the direction of propagation of waves. Moreover, the waveguide contains one branch of finite length. We analyse the behaviour of the complex scattering coefficients \mathcal{R} , \mathcal{T} as the length of the branch increases and we exhibit situations where non reflectivity ($\mathcal{R} = 0$, $|\mathcal{T}| = 1$), perfect reflectivity ($|\mathcal{R}| = 1$, $\mathcal{T} = 0$) or perfect invisibility ($\mathcal{R} = 0$, $\mathcal{T} = 1$) hold. Numerical experiments allow us to illustrate the different results.

Key words. Water waves, acoustic waves, waveguides, invisibility, non reflectivity, perfect reflectivity, scattering matrix, asymptotic analysis.

1 Introduction

Invisibility is an exciting topic in scattering theory. In the present article, we consider a time-harmonic water waves problem in a 3D channel of finite and constant depth which is unbounded in one direction (say (Ox)). Factoring out the dependence on the vertical coordinate, it reduces to the study of a problem in a 2D waveguide with a non-penetration (Neumann) boundary condition. Note that in this work, we decide to consider the water wave point of view, but this problem also appears naturally in the theory of acoustic waves. In the waveguide geometry, at a given frequency, only a finite number of waves can propagate along the (Ox) axis. More precisely, the velocity potential decomposes as the sum of a finite number of propagative waves plus a remainder which is exponentially decaying at $\pm\infty$. All through the paper, we will assume that the frequency is small enough so that only one wave (the piston wave) can propagate in the 2D waveguide. To describe the scattering process of the incident piston wave coming from $-\infty$, classically one introduces two complex coefficients, namely the *reflection* and *transmission* coefficients, denoted \mathcal{R} and \mathcal{T} , such that \mathcal{R} (resp. \mathcal{T}) corresponds to the amplitude of the scattered field at $-\infty$ (resp. $+\infty$). According to the energy conservation, we have

$$|\mathcal{R}|^2 + |\mathcal{T}|^2 = 1. \tag{1}$$

In this work, we are interested in geometries where non reflectivity ($\mathcal{R} = 0$), perfect reflectivity ($\mathcal{T} = 0$) or perfect invisibility ($\mathcal{T} = 1$) occurs. Of course, due to the conservation of energy (1), perfect invisibility implies non reflectivity. The converse is wrong since we can have $|\mathcal{T}| = 1$ with

$\mathcal{T} \neq 1$. In this case, the incident piston wave goes through the waveguide with a phase shift.

In this setting, examples of situations where quasi invisibility ($|\mathcal{R}|$ small or $|\mathcal{T} - 1|$ small) happens, obtained via numerical simulations, exist in literature. We refer the reader to [38, 16] for water waves problems and to [1, 13, 36, 37, 18] for strategies based on the use of new “zero-index” and “epsilon near zero” metamaterials in electromagnetism (see [17] for an application to acoustic). Let us mention also that the problem of the existence of quasi invisible obstacles for frequencies close to the threshold frequency has been addressed in the analysis of the so-called Weinstein anomalies [40] (see e.g. [32, 20]).

As for the rigorous proof of existence of geometries where $\mathcal{R} = 0$ or $\mathcal{T} = 1$, literature is not very developed especially if we compare to what is available concerning the existence of *trapped modes* (see e.g. [39, 14, 15, 12, 22, 25, 35]). We remind the reader that trapped modes are non zero solutions to the homogeneous problem (3) which are exponentially decaying both at $\pm\infty$. Using a similar terminology, we can call *invisible modes* the solutions of (3) such that the scattered field is exponentially decaying both at $\pm\infty$ ($\mathcal{T} = 1$). Such a difference of treatment between trapped and invisible modes in literature is striking since the two notions seem to share similarities.

One approach to construct waveguides such that $\mathcal{R} = 0$ has been proposed in [5, 4] (see also [6, 2, 10, 11] for applications to other problems). The method consists in adapting the proof of the implicit functions theorem. More precisely, the idea is to observe that $\mathcal{R} = 0$ in the straight waveguide and then to make a well-chosen smooth perturbation of amplitude ε (small) in the boundary to keep $\mathcal{R} = 0$. As explained in [5], this strategy does not permit to impose $\mathcal{T} = 1$ (perfect invisibility) for waveguides with Neumann boundary conditions because the differential of \mathcal{T} with respect to the deformation for the reference geometry is not onto in \mathbb{C} (think to the assumptions of the implicit functions theorem). However, this problem was overcome in [3] where it is shown how to get $\mathcal{T} = 1$ (and not only $|\mathcal{T}| = 1$) working with singular perturbations (instead of smooth ones) made of thin rectangles. Let us mention that these types of techniques proposed in [27, 31] were used in [28, 30, 8, 29] in a similar context. In these works, the authors construct small (non necessarily symmetric) perturbations of the walls of a waveguide that preserve the presence of a trapped mode embedded in the continuous spectrum.

It is important to emphasize that the methods of the previous paragraph are perturbative methods. They require to start from a geometry where it is known that $\mathcal{R} = 0$ or/and $\mathcal{T} = 1$. In our case, this geometry is simply the reference (straight) waveguide. As a consequence, the technique cannot be used to construct waveguides where $\mathcal{T} = 0$ (perfect reflectivity). In this article, we propose to investigate another route allowing us to get $\mathcal{R} = 0$, $\mathcal{T} = 0$ and also $\mathcal{T} = 1$. It relies on two main ingredients: symmetries and asymptotic analysis for truncated waveguides. Interestingly, our approach provides examples of geometries where $\mathcal{R} = 0$ or $\mathcal{T} = 1$ which are not small perturbations of the reference waveguide. In our study, we will be led to consider scattering problems in T-shaped waveguides. Such problems have been considered in particular in [26, 34]. Let us mention also that this work shares connections with [9, 24, 7, 19]. In the latter papers, the authors investigate the presence of trapped modes (also called bound states) embedded in the continuous spectrum in geometries similar to ours. Finally, note that in the present article, we deal only with the Neumann boundary conditions. However, Dirichlet waveguides can be treated similarly and analogous results would be obtained.

The paper is structured as follows. We begin by introducing the setting and notation in Section 2. The waveguide Ω_L is symmetric with respect to the (Oy) axis (perpendicular to the unbounded direction) and contains one vertical (along the (Oy) axis) branch of finite length $L - 1$. Using the symmetry, we decompose the problem into two sub-problems set in half-waveguides with different boundary conditions: one with Neumann boundary conditions, another with mixed

(Dirichlet and Neumann) boundary conditions. Then, we compute an asymptotic expansion of the scattering coefficients \mathcal{R} , \mathcal{T} as $L \rightarrow +\infty$ (the branch of finite length becomes longer and longer). This expansion depends on the number of propagative modes existing in the vertical branch of the unbounded T-shaped waveguide Ω_∞ obtained at the limit $L = +\infty$, and this number itself depends on the width ℓ of the vertical branch of Ω_∞ . In Section 3, we focus our attention on small values of ℓ for which only one propagative mode exists in the vertical branch of Ω_∞ . In Section 4, we use the asymptotic expansions of the scattering coefficients to prove the existence of geometries where one has $\mathcal{R} = 0$ (non reflectivity) or $\mathcal{T} = 0$ (perfect reflectivity). In Section 5, we consider a larger value for the parameter ℓ such that two modes can propagate in the vertical branch of Ω_∞ . In such cases, we show that the behaviour of the scattering coefficients as $L \rightarrow +\infty$ can be quite complex. Section 6 is dedicated to the proof of existence of waveguides where there holds $\mathcal{T} = 1$ (perfect invisibility). Finally, in Section 7 we provide numerical experiments illustrating the different results obtained in the paper.

2 Setting

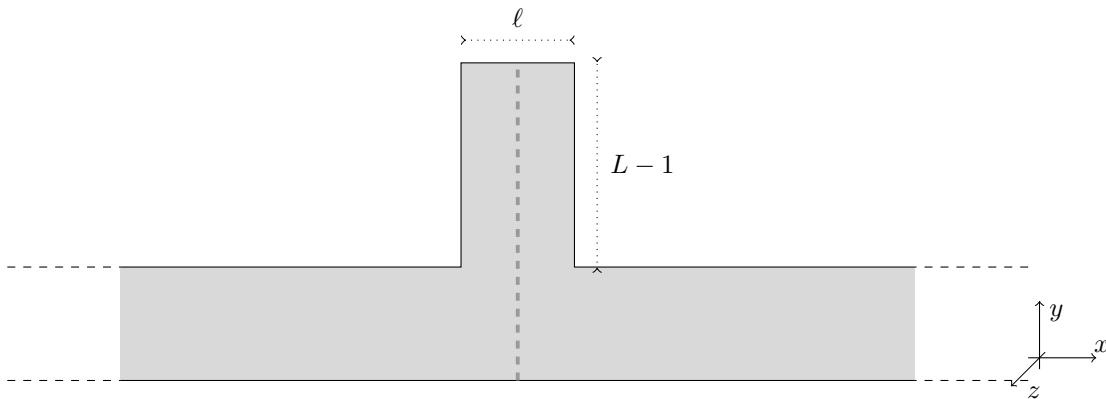


Figure 1: Geometry of Ω_L . Top view of the 3D channel $\Xi = \Omega_L \times (-d; 0)$. The vertical thick dashed line marks the axis of symmetry of the domain which will play a key role in the analysis.

Take some $\ell > 0$. For $L > 1$, set

$$\Omega_L := \{(x, y) \in \mathbb{R} \times (0; 1) \cup (-\ell/2; \ell/2) \times [1; L]\}.$$

We are interested in the propagation of time-harmonic small amplitude water waves at the frequency $\omega > 0$ in the 3D channel $\Xi := \Omega_L \times (-d; 0)$. The depth $d > 0$ is constant and the surface $\Omega_L \times \{0\}$ is free. We shall assume that the fluid is irrotational and more generally that the setting is such that the theory of linear water waves applies (see e.g. [21] for more details). Thus we are led to study the problem

$$\Delta\Phi = 0 \quad \text{in } \Xi, \quad \partial_\nu\Phi = 0 \quad \text{on } \partial\Xi \setminus (\Omega_L \times \{0\}), \quad \partial_z\Phi = \lambda\Phi \quad \text{on } \Omega_L \times \{0\}. \quad (2)$$

In (2), $\Phi(x, y, z)$ is the velocity potential, Δ is the 3D Laplace operator, $\lambda = g^{-1}\omega^2$ and g is the acceleration due to gravity. Moreover, ν stands for the normal unit vector to $\partial\Xi$ directed to the exterior of Ξ . Looking for solutions of the form

$$\Phi(x, y, z) = v(x, y) \cosh(k(z + d)),$$

we find that the wavenumber k must satisfy the dispersion relation $\omega^2 = gk \tanh(kd)$ while v must be a solution of the Helmholtz equation with Neumann boundary conditions

$$\begin{cases} \Delta v + k^2 v = 0 & \text{in } \Omega_L \\ \partial_n v = 0 & \text{on } \partial\Omega_L. \end{cases} \quad (3)$$

In this problem, which also models the propagation of waves in acoustic, Δ is the 2D Laplace operator and n denotes the normal unit vector to $\partial\Omega_L$ directed to the exterior of Ω_L . The map $k \mapsto g k \tanh(kd)$ is bijective from $(0; +\infty)$ to $(0; +\infty)$. In the rest of the paper, we focus our attention on Problem (3). We assume that $k \in (0; \pi)$ so that k^2 is located between the first and second thresholds of the continuous spectrum $\sigma_c = [0; +\infty)$ of Problem (3) and we set

$$w^\pm(x, y) = e^{\pm ikx} / \sqrt{2k}.$$

In the following, w^\pm will serve to define the incident and scattered fields. Introduce $\chi^+ \in \mathcal{C}^\infty(\mathbb{R}^2)$ (resp. $\chi^- \in \mathcal{C}^\infty(\mathbb{R}^2)$) a cut-off function that is equal to one for $x \geq 2\ell$ (resp. $x \leq -2\ell$) and to zero for $x \leq \ell$ (resp. $x \geq -\ell$). Now, the scattering problem we consider states

$$\left| \begin{array}{l} \text{Find } v \in \mathbf{H}_{\text{loc}}^1(\Omega_L) \text{ such that } v - \chi^- w^+ \text{ is outgoing and} \\ \Delta v + k^2 v = 0 \quad \text{in } \Omega_L \\ \partial_n v = 0 \quad \text{on } \partial\Omega_L. \end{array} \right. \quad (4)$$

Here, $v - \chi^- w^+$ is outgoing means that there holds the decomposition

$$v - \chi^- w^+ = \chi^- \mathcal{R} w^- + \chi^+ \mathcal{T} w^+ + \tilde{v} \quad (5)$$

with $\tilde{v} \in \mathbf{H}^1(\Omega_L)$ which is exponentially decaying at $\pm\infty$. One can prove that Problem (4) always admits a solution (see e.g. [33, Chap. 5, §3.3, Thm. 3.5 p.160]) which is possibly non uniquely defined if there is a trapped mode¹ at the wavenumber k . However, the reflection coefficient $\mathcal{R} \in \mathbb{C}$ and transmission coefficient $\mathcal{T} \in \mathbb{C}$ are always uniquely defined. They satisfy the energy conservation relation

$$|\mathcal{R}|^2 + |\mathcal{T}|^2 = 1$$

already written in (1). Of course \mathcal{R} and \mathcal{T} depend on the features of the geometry, in particular on L . In this work, we explain how to find some L such that $\mathcal{R} = 0, |\mathcal{T}| = 1$ (non reflectivity); $|\mathcal{R}| = 1, \mathcal{T} = 0$ (perfect reflectivity); or $\mathcal{R} = 0, \mathcal{T} = 1$ (perfect invisibility). To obtain such particular values for the scattering coefficients, we will use the fact that the geometry is symmetric with respect to the (Oy) axis. Define the half-waveguide

$$\omega_L := \{(x, y) \in \Omega_L \mid x < 0\}.$$

(see Figure 2, left). Introduce the problem with Neumann boundary conditions

$$\left| \begin{array}{l} \Delta u + k^2 u = 0 \quad \text{in } \omega_L \\ \partial_n u = 0 \quad \text{on } \partial\omega_L \end{array} \right. \quad (6)$$

as well as the problem with mixed boundary conditions

$$\left| \begin{array}{l} \Delta U + k^2 U = 0 \quad \text{in } \omega_L \\ \partial_n U = 0 \quad \text{on } \partial\omega_L \cap \partial\Omega_L \\ U = 0 \quad \text{on } \Sigma_L := \{0\} \times (0; L). \end{array} \right. \quad (7)$$

Problems (6) and (7) admit respectively the solutions

$$u = \chi^-(w^+ + r w^-) + \tilde{u}, \quad \text{with } \tilde{u} \in \mathbf{H}^1(\omega_L), \quad (8)$$

$$U = \chi^-(w^+ + R w^-) + \tilde{U}, \quad \text{with } \tilde{U} \in \mathbf{H}^1(\omega_L), \quad (9)$$

where $r, R \in \mathbb{C}$ are uniquely defined. Moreover, due to conservation of energy, one has

$$|r| = |R| = 1. \quad (10)$$

¹We remind the reader that we call ‘‘trapped mode’’ a solution to Problem (3) which belongs to $\mathbf{H}^1(\Omega_L)$ (see [22] for more details).

Briefly, let us explain how to show the latter identities. First, integrating by parts, one obtains

$$\int_{x=-\xi} \partial_n u \bar{u} - u \partial_n \bar{u} d\sigma = 0 \quad (11)$$

for $\xi > 0$ large enough. Here, we denote $\partial_n = -\partial_x$ at $x = -\xi$. Observing that the integral (11) does not depend on ξ , taking the limit $\xi \rightarrow +\infty$ and using the explicit representation (8), we get $|r| = 1$. Working analogously with U and exploiting (9) leads to $|R| = 1$.

Now, direct inspection shows that if v is a solution of Problem (4) then, we have $v(x, y) = (u(x, y) + U(x, y))/2$ in ω_L and $v(x, y) = (u(-x, y) - U(-x, y))/2$ in $\Omega_L \setminus \bar{\omega}_L$ (up possibly to a term which is exponentially decaying at $\pm\infty$ if there is a trapped mode at the given wavenumber k). We deduce that the scattering coefficients \mathcal{R}, \mathcal{T} appearing in the decomposition (5) of v are such that

$$\mathcal{R} = \frac{r + R}{2} \quad \text{and} \quad \mathcal{T} = \frac{r - R}{2}. \quad (12)$$

Imagine that we want to have $\mathcal{R} = 0$ (non reflectivity). According to (12), we must impose $r = -R$. Relations (10) guarantee that for all $L > 1$, both r and R are located on the unit circle $\mathbb{S} := \{z \in \mathbb{C} \mid |z| = 1\}$. In the following, we will show that for ℓ , the width of the vertical branch of Ω_L , smaller than π/k , R tends to a constant $R_\infty \in \mathbb{S}$ while r runs continuously along \mathbb{S} as $L \rightarrow +\infty$. This will prove the existence of L such that $r = -R$ and so $\mathcal{R} = 0$. This will also show that there is some L such that $r = R$ and, therefore, $\mathcal{T} = 0$ (perfect reflectivity). In order to obtain perfect invisibility, that is $\mathcal{T} = 1$, we must impose both $r = 1$ and $R = -1$. In other words, there is an additional constrain to satisfy and we will need to play with another degree of freedom. Here, we do not explain how to proceed, this will be the concern of Section 6. The important outcome of this discussion is that we will study the behaviour of r and R with respect to L going to $+\infty$. As one can imagine, this behaviour depends on the properties of the equivalents of Problems (6), (7) set in the limit geometry

$$\omega_\infty := \{(x, y) \in (-\infty; 0) \times (0; 1) \cup (-\ell/2; 0) \times [1; +\infty)\}$$

(see Figure 2, right). More precisely, the number of propagating waves existing in the vertical branch of ω_∞ will play a key role in the analysis.

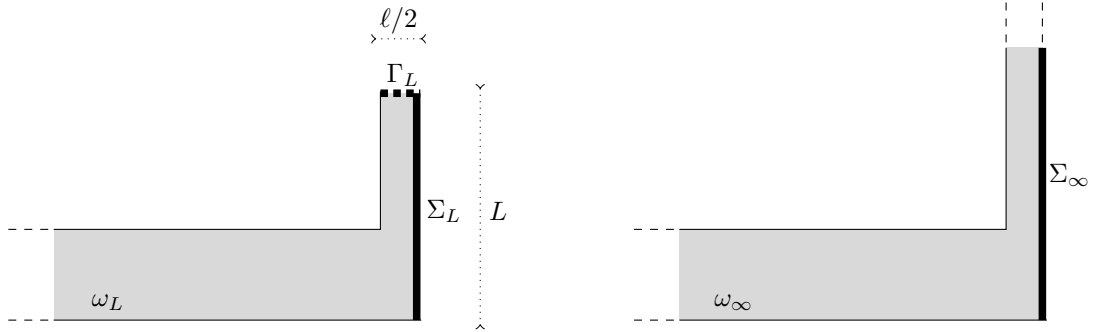


Figure 2: Domains ω_L (left) and ω_∞ (right).

3 Asymptotic expansion of the scattering coefficients as $L \rightarrow +\infty$

3.1 Half-waveguide problem with mixed boundary conditions

Set $\Sigma_\infty := \{0\} \times (0; +\infty)$ and consider the problem obtained from (7) making formally $L \rightarrow +\infty$:

$$\left| \begin{array}{l} \Delta U + k^2 U = 0 \quad \text{in } \omega_\infty \\ \partial_n U = 0 \quad \text{on } \partial\omega_\infty \setminus \Sigma_\infty \\ U = 0 \quad \text{on } \Sigma_\infty. \end{array} \right. \quad (13)$$

When $\ell \in (0; \pi/k)$, propagative modes in the vertical branch of ω_∞ for Problem (13) do not exist and we can show that (13) admits the solution

$$U_\infty = \chi^-(w^+ + R_\infty w^-) + \tilde{U}_\infty, \quad \text{with } \tilde{U}_\infty \in H^1(\omega_\infty),$$

where R_∞ (such that $|R_\infty| = 1^2$) is uniquely defined. Then, working as in [23, Chap. 5, §5.6], we can prove the expansion

$$U = U_\infty + \dots$$

Here and in what follows, the dots correspond to a remainder which is exponentially small as $L \rightarrow +\infty$. Hence, we deduce

$$R = R_\infty + \dots \tag{14}$$

More precisely, we can show that $R = R_\infty + O(e^{-\sqrt{k^2 - (\pi/\ell)^2}L})$.

3.2 Half-waveguide problem with Neumann boundary conditions

Making $L \rightarrow +\infty$ in (6) leads to the problem

$$\begin{cases} \Delta u + k^2 u = 0 & \text{in } \omega_\infty \\ \partial_n u = 0 & \text{on } \partial\omega_\infty. \end{cases} \tag{15}$$

When $\ell \in (0; 2\pi/k)$, one propagative mode exists in the vertical branch of ω_∞ for (15). Set

$$w_\circ^\pm(x, y) = e^{\pm iky} / \sqrt{k\ell}.$$

Problem (15) admits the solutions

$$\begin{aligned} u_\infty^- &= \chi^-(w^+ + r_\infty w^-) + \chi^\circ t_\infty w_\circ^+ + \tilde{u}_\infty^-, \\ u_\infty^\circ &= \chi^- t_\infty w^- + \chi^\circ (w_\circ^- + r_\infty^\circ w_\circ^+) + \tilde{u}_\infty^\circ, \end{aligned} \tag{16}$$

where \tilde{u}_∞^- , \tilde{u}_∞° are functions in $H^1(\omega_\infty)$ and where $\chi^\circ \in \mathcal{C}^\infty(\mathbb{R}^2)$ is such that $\chi^\circ = 0$ for $y \leq 1$, $\chi^\circ = 1$ for $y \geq 1 + \delta$ ($\delta > 0$ is a constant). To obtain an asymptotic expansion of r as L goes to $+\infty$, let us compute an asymptotic expansion of u . For u , we make the ansatz [23, Chap. 5, §5.6]

$$u = u_\infty^- + a(L) u_\infty^\circ + \dots \tag{17}$$

where $a(L)$ is a gauge function, depending on L but not on (x, y) , which has to be determined. On the segment $\Gamma_L := (-\ell/2; 0) \times \{L\}$, we find

$$\begin{aligned} \partial_n u(x, L) &= \partial_n u_\infty^-(x, L) + a(L) \partial_n u_\infty^\circ(x, L) + \dots \\ &= ik(k\ell)^{-1/2} (t_\infty e^{ikL} + a(L) (-e^{-ikL} + r_\infty^\circ e^{ikL})) + \dots \end{aligned}$$

Since $\partial_n u = 0$ on Γ_L , we take

$$a(L) = \frac{-t_\infty}{-e^{-2ikL} + r_\infty^\circ}. \tag{18}$$

In order $a(L)$ to be defined for all $L > 1$, we must have $|r_\infty^\circ| \neq 1$. Note that if $|r_\infty^\circ| = 1$, then $t_\infty^\circ = t_\infty = 0$. In that case, we choose $a(L) = 0$ and we can prove that $\mathcal{R} = r_\infty + \dots$. When $|r_\infty^\circ| \neq 1$, plugging expression (18) in (17) and identifying the main contribution of the terms of each side of the equality at $x = -\infty$, we get

$$r = r_{\text{asy}}(L) + \dots \quad \text{with } r_{\text{asy}}(L) := r_\infty - \frac{(t_\infty^\circ)^2}{-e^{-2ikL} + r_\infty^\circ}. \tag{19}$$

²Work as in (10) to establish this identity.

In (19), the subscript “ asy ” stands for “asymptotic” (and not “asymmetric”). As L tends to $+\infty$, the term $r_{\text{asy}}(L)$ runs along the set

$$\left\{ r_\infty - \frac{(t_\infty^\circ)^2}{z + r_\infty^\circ} \mid z \in \mathbb{S} \right\} \quad \text{with} \quad \mathbb{S} = \{z \in \mathbb{C} \mid |z| = 1\}. \quad (20)$$

Using classical results concerning the Möbius transform, one finds that this set coincides with the circle centered at

$$r_\infty + \frac{(t_\infty^\circ)^2 \overline{r_\infty^\circ}}{1 - |r_\infty^\circ|^2} \quad (21)$$

of radius

$$\frac{|t_\infty^\circ|^2}{1 - |r_\infty^\circ|^2}. \quad (22)$$

Using the relations $1 = |t_\infty^\circ|^2 + |r_\infty^\circ|^2$ and $r_\infty^\circ = -t_\infty^\circ \overline{r_\infty^\circ} / t_\infty^\circ$ ³, one can prove that the set defined in (20) is nothing else but the unit circle \mathbb{S} .

Since the dots in (19) correspond to terms which are exponentially decaying as L tends to $+\infty$, we infer that the coefficient r does not converge when $L \rightarrow +\infty$. Instead, asymptotically as $L \rightarrow +\infty$, it behaves like $r_{\text{asy}}(L)$, *i.e.* it runs periodically along the unit circle \mathbb{S} . Since $|r| = 1$ for all $L \geq 1$, we deduce that r also runs (almost periodically) along \mathbb{S} as $L \rightarrow +\infty$. The period, which is equal to π/k , tends to $+\infty$ when $k \rightarrow 0$.

3.3 Original problem

From Formula (12), we know that the coefficients \mathcal{R}, \mathcal{T} appearing in the decomposition (5) of a solution to Problem (4) set in Ω_L satisfy $\mathcal{R} = (r + R)/2$ and $\mathcal{T} = (r - R)/2$. From the results of §3.1 and §3.2, we deduce that when $\ell \in (0; \pi/k)$, we have

$$\begin{aligned} \mathcal{R} &= \mathcal{R}_{\text{asy}}(L) + \dots & \text{with} & \quad \mathcal{R}_{\text{asy}}(L) = (r_{\text{asy}}(L) + R_\infty)/2, \\ \mathcal{T} &= \mathcal{T}_{\text{asy}}(L) + \dots & \text{with} & \quad \mathcal{T}_{\text{asy}}(L) = (r_{\text{asy}}(L) - R_\infty)/2. \end{aligned} \quad (23)$$

Here $r_{\text{asy}}(L)$ is defined in (19). This shows that asymptotically, \mathcal{R} (resp. \mathcal{T}) runs along a circle of radius $1/2$ centered at $R_\infty/2$ (resp. $-R_\infty/2$).

4 Non reflectivity and perfect reflectivity

We have $\mathcal{R} = (r + R)/2$ and $\mathcal{T} = (r - R)/2$ (Formula (12)). Moreover, for all $L \geq 1$, r and R are located on the unit circle \mathbb{S} . For a given $k \in (0; \pi)$, pick some $\ell \in (0; \pi/k)$ and assume that the coefficient r_∞ appearing in (16) satisfies $|r_\infty| \neq 1$. Then, the results of the previous section show that, as $L \rightarrow +\infty$, R tends to a constant while r runs continuously (and almost periodically) along \mathbb{S} . From the intermediate value theorem, we deduce that there is an infinite sequence of values $1 < L_1 < \dots < L_N < \dots$ such that for $L = L_n$, we have $r = -R$ and, therefore, $\mathcal{R} = 0$ for the chosen k . This provides examples of geometries where there holds non reflectivity. As $n \rightarrow +\infty$, there holds

$$L_{n+1} - L_n = \pi/k + \dots,$$

where the dots denote exponentially small terms.

From this discussion, we also infer that there is another infinite sequence of values $1 < \mathbf{L}_1 < \dots < \mathbf{L}_N < \dots$ such that for $L = \mathbf{L}_n$, we have $r = R$ and, therefore, $\mathcal{T} = 0$. This provides examples of geometries where we have perfect reflectivity. As $n \rightarrow +\infty$, again we have $\mathbf{L}_{n+1} - \mathbf{L}_n = \pi/k + \dots$.

³To derive this identity, work as in (10) with the integral $\int_{y=\xi} \partial_y u_\infty^- \overline{u_\infty^\circ} - u_\infty^- \partial_y \overline{u_\infty^\circ} d\sigma - (\int_{x=-\xi} \partial_x u_\infty^- \overline{u_\infty^\circ} - u_\infty^- \partial_x \overline{u_\infty^\circ} d\sigma)$.

Note that the assumption $|r_\infty| \neq 1$ is not restrictive. Indeed, if $|r_\infty| = 1$ for some ℓ , we can make a small perturbation of order ε as described in Figure 3. More precisely, for some function $h \in \mathcal{C}_0^\infty(\mathbb{R})$ supported in $(-\infty; -\ell/2)$ and some ε small enough, define

$$\omega_\infty^\varepsilon := \{(x, y) \in \mathbb{R}^2 \mid x \in (-\infty; 0), 0 < y < 1 + \varepsilon h(x)\} \cup (-\ell/2; 0) \times [1; +\infty).$$

Denote r_∞^ε the equivalent of the coefficient r_∞ in the geometry $\omega_\infty^\varepsilon$ instead of ω_∞ . We can prove that

$$r_\infty^\varepsilon = r_\infty - \frac{\varepsilon}{2} \int_{-\infty}^{-\ell/2} \partial_x h(x) u_\infty^-(x) w^+(x) dx + O(\varepsilon^2).$$

Making the perturbation far away from zero so that $u_\infty^- \approx w^+ + r_\infty w^-$, we can find some h and some ε so that $|r_\infty^\varepsilon| \neq 1$. Then the geometry Ω_L^ε where we have non reflectivity or perfectly reflectivity is defined from $\omega_L^\varepsilon := \{(x, y) \in \omega_\infty^\varepsilon \mid y < L\}$ thanks to the symmetry with respect to (Oy) .

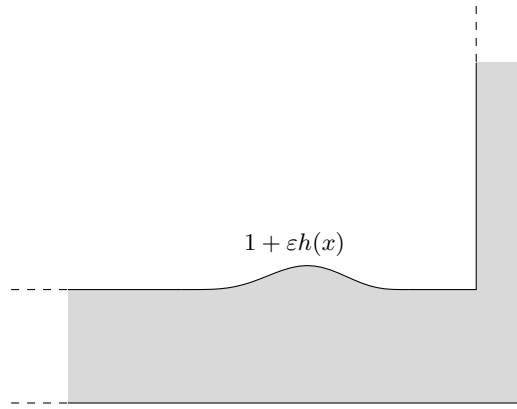


Figure 3: Domain $\omega_\infty^\varepsilon$ obtained as a perturbation of ω_∞ .

5 Two propagative modes in the vertical strip

In §3.3, we computed an asymptotic expansion of the coefficients \mathcal{R} , \mathcal{T} as $L \rightarrow +\infty$ when $\ell \in (0; \pi/k)$. In this case, one propagative mode exists in the vertical branch of Ω_∞ for the initial problem (4).

When $\ell \in (\pi/k; 2\pi/k)$, the main change compare to what has been done in Sections 3, 4 is that one propagative mode exists in the vertical branch of ω_∞ for Problem (13) with mixed boundary conditions. Set

$$w_\bullet^\pm(x, y) = (\alpha\ell/2)^{-1/2} e^{\pm i\alpha y} \sin(\pi x/\ell), \quad \alpha = \sqrt{k^2 - (\pi/\ell)^2}.$$

Problem (13) admits the solutions

$$\begin{aligned} U_\infty^- &= \chi^-(w^+ + R_\infty w^-) + \chi^\circ T_\infty w_\bullet^+ + \tilde{U}_\infty^-, \\ U_\infty^\bullet &= \chi^- T_\infty^\bullet w^- + \chi^\circ (w_\bullet^- + R_\infty^\bullet w_\bullet^+) + \tilde{U}_\infty^\bullet, \end{aligned} \tag{24}$$

where \tilde{U}_∞^- , \tilde{U}_∞^\bullet are functions in $H^1(\omega_\infty)$. To obtain an asymptotic expansion of R as L goes to $+\infty$, we will work exactly as in §3.2 where we derived an expansion for r . We first compute an asymptotic expansion of U . For U , we make the ansatz

$$U = U_\infty^- + A(L) U_\infty^\bullet + \dots \tag{25}$$

where $A(L)$ is a gauge function, depending on L but not on (x, y) , which has to be determined. On the segment $\Gamma_L = (-\ell/2; 0) \times \{L\}$, we find

$$\begin{aligned}\partial_n U(x, L) &= \partial_n U_\infty^-(x, L) + A(L) \partial_n U_\infty^\bullet(x, L) + \dots \\ &= i\alpha (\alpha\ell/2)^{-1/2} (T_\infty e^{i\alpha L} + A(L) (-e^{-i\alpha L} + R_\infty^\bullet e^{i\alpha L})) + \dots\end{aligned}$$

Since $\partial_n U = 0$ on Γ_L , we take

$$A(L) = \frac{-T_\infty}{-e^{-2i\alpha L} + R_\infty^\bullet}. \quad (26)$$

In order $A(L)$ to be defined for all $L > 1$, we must have $|R_\infty^\bullet| \neq 1$. Note that if $|R_\infty^\bullet| = 1$, then $T_\infty^\bullet = T_\infty = 0$. In that case, we choose $A(L) = 0$ and we can prove that $R = R_\infty + \dots$. When $|R_\infty^\bullet| \neq 1$, plugging expression (26) in (25) and identifying the main contribution of the terms of each side of the equality at $x = -\infty$ yields

$$R = R_{\text{asy}}(L) + \dots \quad \text{with} \quad R_{\text{asy}}(L) := R_\infty - \frac{(T_\infty^\bullet)^2}{-e^{-2i\alpha L} + R_\infty^\bullet}. \quad (27)$$

Working as in (21)–(22), we can prove that the term $R_{\text{asy}}(L)$ runs along the unit circle as L tends to $+\infty$.

Coupling these results with the ones obtained in §3.2, we deduce that when $\ell \in (\pi/k; 2\pi/k)$, the scattering coefficients for Problem (4) set in Ω_L admit the asymptotic expansion

$$\begin{aligned}\mathcal{R} &= \mathcal{R}_{\text{asy}}(L) + \dots & \text{with} & \quad \mathcal{R}_{\text{asy}}(L) = (r_{\text{asy}}(L) + R_{\text{asy}}(L))/2, \\ \mathcal{T} &= \mathcal{T}_{\text{asy}}(L) + \dots & \text{with} & \quad \mathcal{T}_{\text{asy}}(L) = (r_{\text{asy}}(L) - R_{\text{asy}}(L))/2.\end{aligned} \quad (28)$$

Here $r_{\text{asy}}(L)$, $R_{\text{asy}}(L)$ are respectively defined in (19), (27). In §3.3, where $\ell \in (0; \pi/k)$ so that only one propagative mode exists in the vertical branch of Ω_∞ , we gave an explicit characterization of the sets (circles of radius $1/2$ passing through zero) which are described by $\mathcal{R}_{\text{asy}}(L)$, $\mathcal{T}_{\text{asy}}(L)$ as L goes to $+\infty$. In the present situation, this seems much less simple and numerical experiments in §7.2 show that the behaviour of $\mathcal{R}_{\text{asy}}(L)$, $\mathcal{T}_{\text{asy}}(L)$ when $L \rightarrow +\infty$ can be quite complicated. Let us just consider cases where there are $m, n \in \mathbb{N}^* := \{1, 2, \dots\}$, with $m > n$, such that

$$k = \alpha \frac{m}{n} \quad \Leftrightarrow \quad \ell = \frac{\pi}{k} \frac{m}{\sqrt{m^2 - n^2}}. \quad (29)$$

This boils down to assume that k/α is a rational number. Define $z = e^{-2i\alpha L/n}$. As $L \rightarrow +\infty$, $\mathcal{R}_{\text{asy}}(L)$, $\mathcal{T}_{\text{asy}}(L)$ run respectively along the sets

$$\begin{aligned}\mathcal{S}_{\mathcal{R}} &:= \left\{ \frac{1}{2} \left(r_\infty - \frac{(t_\infty^\circ)^2}{-z^m + r_\infty^\circ} \right) - \frac{1}{2} \left(R_\infty - \frac{(T_\infty^\bullet)^2}{-z^n + R_\infty^\bullet} \right) \mid z \in \mathbb{S} \right\}, \\ \mathcal{S}_{\mathcal{T}} &:= \left\{ \frac{1}{2} \left(r_\infty - \frac{(t_\infty^\circ)^2}{-z^m + r_\infty^\circ} \right) + \frac{1}{2} \left(R_\infty - \frac{(T_\infty^\bullet)^2}{-z^n + R_\infty^\bullet} \right) \mid z \in \mathbb{S} \right\}.\end{aligned}$$

In other words, $\mathcal{R}_{\text{asy}}(L)$, $\mathcal{T}_{\text{asy}}(L)$ run $n\pi/\alpha$ -periodically along the close curves $\mathcal{S}_{\mathcal{R}}$, $\mathcal{S}_{\mathcal{T}}$ in the complex plane. Moreover, for any $L_\star > 1$, for $L \in [L_\star; L_\star + n\pi/\alpha]$, $L \mapsto r_{\text{asy}}(L)$ (resp. $L \mapsto R_{\text{asy}}(L)$) runs continuously m times (resp. n times) along \mathbb{S} . Therefore, according to the intermediate value theorem, we know that there exist at least $m - n$ values of $L \in [L_\star; L_\star + n\pi/\alpha]$ such that $R_{\text{asy}}(L) = r_{\text{asy}}(L)$ and $m - n$ other values of $L \in [L_\star; L_\star + n\pi/\alpha]$ such that $R_{\text{asy}}(L) = -r_{\text{asy}}(L)$. Since $\mathcal{R} = (r_{\text{asy}}(L) + R_{\text{asy}}(L))/2 + \dots$, and $\mathcal{T} = (r_{\text{asy}}(L) - R_{\text{asy}}(L))/2 + \dots$, we infer that there are some constants $\alpha(L_\star) \leq 0$ and $\beta(L_\star) \geq 0$ (exponentially small with respect to L_\star) such that $L \mapsto \mathcal{R}$ and $L \mapsto \mathcal{T}$ vanish at least $m - n$ times in $[L_\star + \alpha(L_\star); L_\star + n\pi/\alpha + \beta(L_\star)]$. This provides examples of geometries where we have non reflectivity or perfect reflectivity with $\ell \in (\pi/k; 2\pi/k)$.

When k/α is not a rational number, since $r_{\text{asy}}(L)$ runs faster than $R_{\text{asy}}(L)$ along the unit disk (because $k > \alpha_\bullet$), we can still conclude that there are some L such that $\mathcal{R} = 0$ or $\mathcal{T} = 0$. However, there is no longer periodicity (or more precisely, approximate periodicity).

6 Perfect invisibility

Up to now, we have explained how to impose $\mathcal{R} = 0$ (non reflectivity) or $\mathcal{T} = 0$ (perfect reflectivity). In this section, we show how to get $\mathcal{T} = 1$ (perfect invisibility). Since $\mathcal{T} = (r - R)/2$ (Formula (12)), we must impose both $r = 1$ and $R = -1$. To proceed, we will work in the new symmetric geometry

$$\Omega_L^\gamma := \Omega_L \cup (\pm\vartheta - 1/2; \pm\vartheta + 1/2) \times [1; \gamma]$$

where $\gamma > 1$ and $\vartheta > \ell/2 + 0.5$ (see Figure 4, left). The parameter ϑ is chosen only so that the central branch is distinct from the two others. Let ω_L^γ refer to the half-waveguide such that $\omega_L^\gamma := \{(x, y) \in \Omega_L^\gamma \mid x < 0\}$ (Figure 4 right). Again, denote \mathcal{R}, \mathcal{T} (resp. r, R) the scattering coefficients for Problem (4) (resp. for Problems (6), (7)) set in Ω_L^γ (resp. ω_L^γ). For $\ell \in (0; \pi/k)$ and a given $\gamma > 0$, as explained in §3.1, R tends to a constant R_∞ located on the unit circle \mathbb{S} . Making $\gamma \rightarrow +\infty$, we can prove as in §3.2 that R_∞ runs continuously along \mathbb{S} . This allows one to deduce that there is $\gamma = \gamma_\infty$ such that $R_\infty = -1$. Then, tuning γ into $\gamma(L)$, with $\gamma(L)$ exponentially close to γ_∞ , we can impose $R = -1$ for all L sufficiently large. On the other hand, the coefficient r runs along \mathbb{S} as $L \rightarrow +\infty$. Therefore, almost periodically, we have $r = 1$ and $R = -1$ so that $\mathcal{T} = 1$.

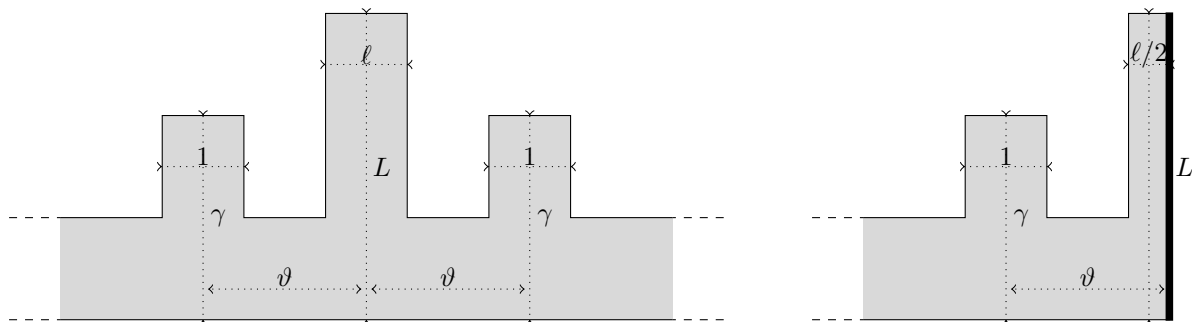


Figure 4: Domains Ω_L^γ (left) and ω_L^γ (right).

7 Numerical results

We give here illustrations of the results obtained in the previous section. For given $\ell > 0$, $L > 1$, we approximate numerically the solution of Problem (4) with a P2 finite element method set in the bounded domain $\Omega_b := \{(x, y) \in \Omega_L \mid |x| < 8\}$. At $x = \pm 8$, we use a Dirichlet-to-Neumann map with 20 modes as a transparent boundary condition. From the numerical solution v_h , we deduce approximations $\mathcal{R}_h, \mathcal{T}_h$ of the scattering coefficients \mathcal{R}, \mathcal{T} defined in (5) (here h refers to the mesh size). Then, we display the behaviour of $\mathcal{R}_h, \mathcal{T}_h$ with respect to L . For the numerics, the wavenumber k is set to $k = 0.8\pi \in (0; \pi)$.

7.1 Case 1: one propagative mode exists in the vertical branch of Ω_∞

First, we investigate the situation of §3.3 where $\ell \in (0; \pi/k)$. To obtain the results of Figures 5-6, we take $\ell = 1 \in (0; \pi/k)$. In Figure 5, we observe that, asymptotically as $L \rightarrow +\infty$, the coefficients $\mathcal{R}_h, \mathcal{T}_h$ run along circles. This is coherent with what was derived in (23). Figure 6 confirms that the coefficients \mathcal{R}, \mathcal{T} are asymptotically periodic with respect to $L \rightarrow +\infty$. More precisely, in (23), we found that the period must be equal to $\pi/k = 1.25$, which is more or less what is obtained in Figure 6. Figure 6 also confirms that, periodically, \mathcal{R}, \mathcal{T} are equal to zero.

In the next series of experiments, we study the properties of the asymptotic circles $\{\mathcal{T}_{\text{asy}}(L) \mid L \in (1; +\infty)\}$ and $\{\mathcal{R}_{\text{asy}}(L) \mid L \in (1; +\infty)\}$ defined in (23) with respect to the width $\ell \in (0; \pi/k)$ of the vertical branch. For each $\ell \in (0; \pi/k)$, we showed that $\{\mathcal{T}_{\text{asy}}(L) \mid L \in (1; +\infty)\}$ (resp. $\{\mathcal{R}_{\text{asy}}(L) \mid L \in (1; +\infty)\}$) is a circle of radius $1/2$ centered at $-R_\infty/2$ (resp. $R_\infty/2$). Therefore,

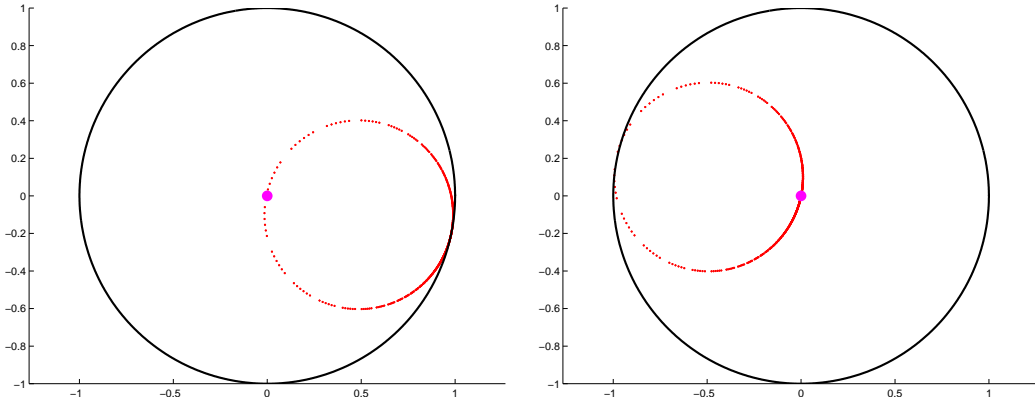


Figure 5: Coefficients \mathcal{T}_h (left) and \mathcal{R}_h (right) for $\ell = 1 \in (0; \pi/k)$ and $L \in (2; 10)$. Note that due to the conservation of energy, the coefficients \mathcal{R}, \mathcal{T} are located inside the unit disk.

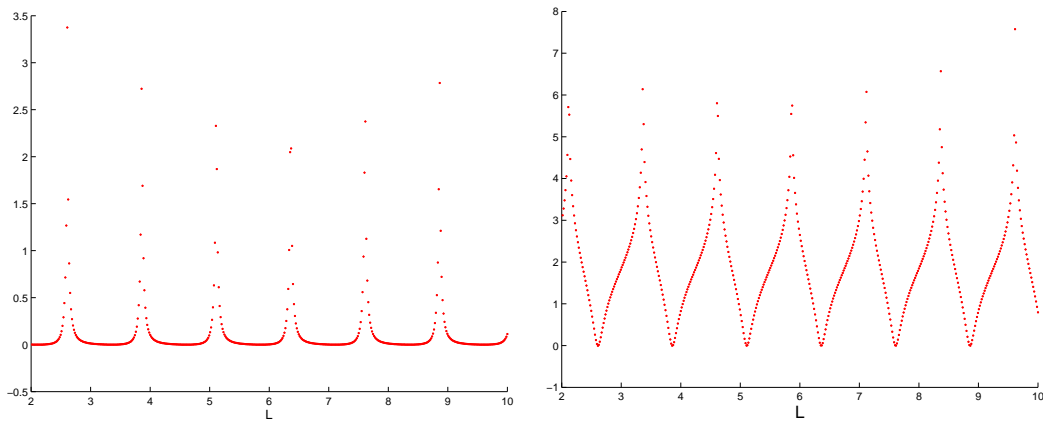


Figure 6: Curves $L \mapsto -\ln |\mathcal{T}_h|$ (left) and $L \mapsto -\ln |\mathcal{R}_h|$ (left) for $\ell = 1$.

numerically it suffices, for all $\ell \in (0; \pi/k)$, to compute an approximation of the coefficient R_∞ solving Problem (13) set in ω_∞ . The results are displayed in Figure 7. If we take $\ell = 1$, we observe that the obtained circles coincide with the ones of Figure 5.

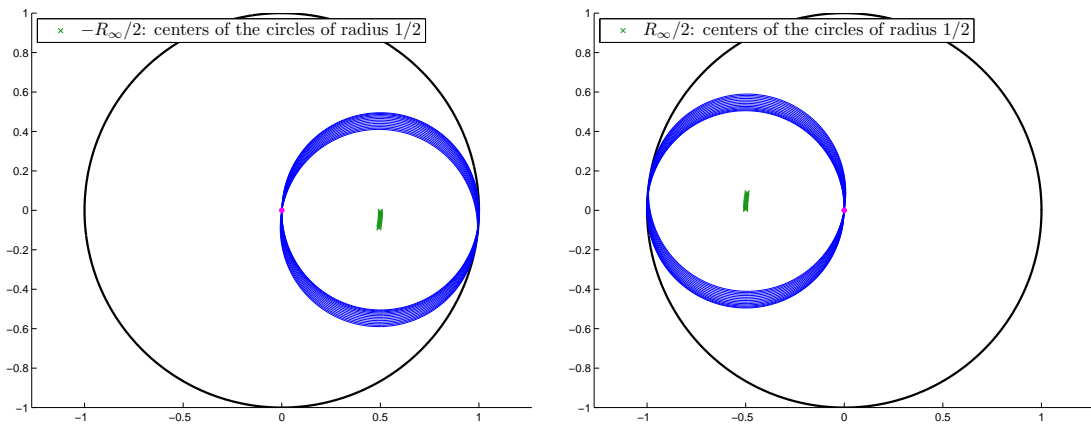


Figure 7: Asymptotic circles with respect to $\ell \in (0; \pi/k)$. For each ℓ , there is one circle. Left: $\{\mathcal{T}_{\text{asy}, h}(L) \mid L \in (1; +\infty)\}$, right: $\{\mathcal{R}_{\text{asy}, h}(L) \mid L \in (1; +\infty)\}$.

7.2 Case 2: two propagative modes exist in the vertical branch of Ω_∞

Now, we consider the case $\ell \in (\pi/k; 2\pi/k)$ which has been studied in Section 5. In Figures 8 left and 9 left, we display the behaviour of $\mathcal{T}_h, \mathcal{R}_h$ for $\ell = 1.4$ and $L \in (2; 10)$. Independently, numerically we can compute the coefficients $r_\infty, t_\infty, r_\infty^\circ$ (resp. $R_\infty, T_\infty, R_\infty^\bullet$) appearing in (16) (resp. (24)). Hence, we can approximate the coefficients $\mathcal{R}_{\text{asy}}(L), \mathcal{T}_{\text{asy}}(L)$ defined in (28). We denote $\mathcal{R}_{\text{asy},h}(L), \mathcal{T}_{\text{asy},h}(L)$ these approximations. The results are given in Figures 8 right and 9 right. We observe that the curves are in good agreement, that is \mathcal{T}_h (resp. \mathcal{R}_h) and $\mathcal{T}_{\text{asy},h}(L)$ (resp. $\mathcal{R}_{\text{asy},h}(L)$) are close to each other. Figure 10, where the errors $L \mapsto |\mathcal{T}_h - \mathcal{T}_{\text{asy},h}(L)|$ and $L \mapsto |\mathcal{R}_h - \mathcal{R}_{\text{asy},h}(L)|$ are displayed, confirms this impression. Errors are small even though L is not that large. This is due to exponential convergence with respect to L . Actually on Figure 10, we observe that rapidly the numerical error becomes predominant with respect to the asymptotic error as L increases.

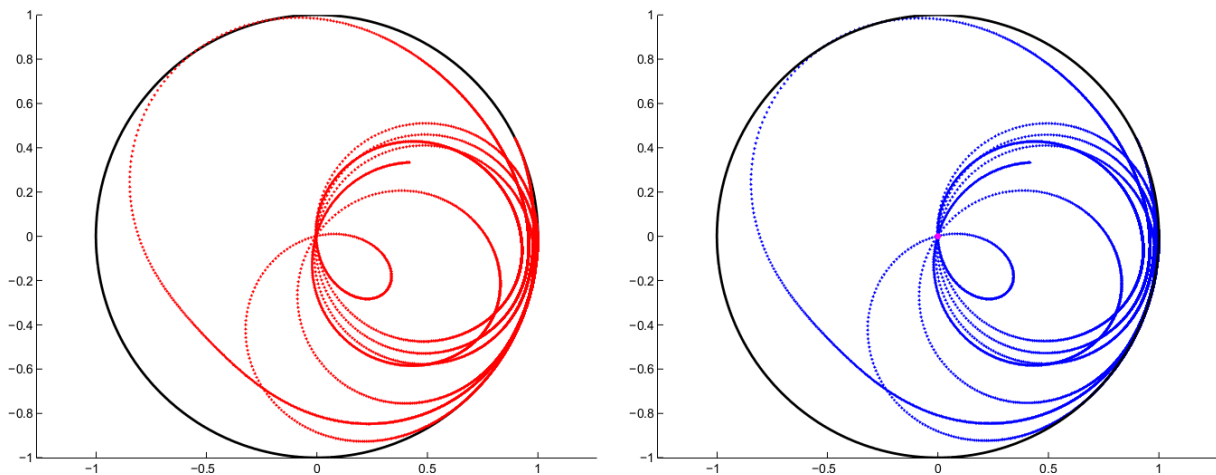


Figure 8: Coefficients $\mathcal{T}_{L,h}$ (left) and $\mathcal{T}_{\text{asy},h}(L)$ (right) for $\ell = 1.4 \in (\pi/k; 2\pi/k)$ and $L \in (2; 10)$.

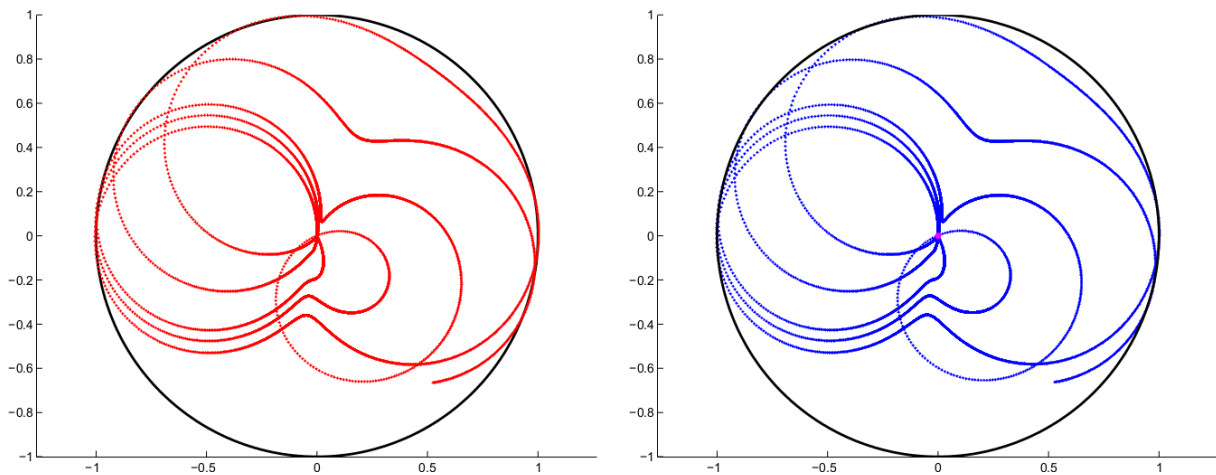


Figure 9: Coefficients $\mathcal{R}_{L,h}$ (left) and $\mathcal{R}_{\text{asy},h}(L)$ (right) for $\ell = 1.4 \in (\pi/k; 2\pi/k)$ and $L \in (2; 10)$.

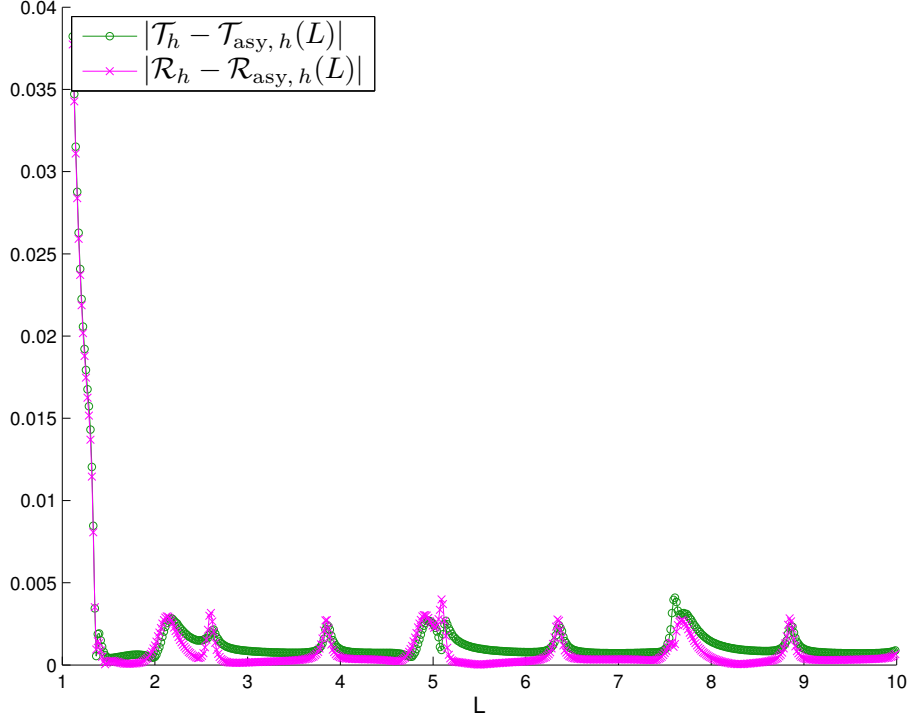


Figure 10: Curves $L \mapsto |\mathcal{T}_h - \mathcal{T}_{\text{asy},h}(L)|$ and $L \mapsto |\mathcal{R}_h - \mathcal{R}_{\text{asy},h}(L)|$ for $\ell = 1.4$.

In Figure 11, we display the behaviour of the curves $\{\mathcal{T}_{\text{asy}}(L) \mid L \in (1; +\infty)\}$ and $\{\mathcal{R}_{\text{asy}}(L) \mid L \in (1; +\infty)\}$ for several particular values of the width ℓ of the vertical branch of the waveguide. More precisely, we choose ℓ such that

$$k = m\alpha \quad \Leftrightarrow \quad \ell = \frac{\pi}{k} \frac{m}{\sqrt{m^2 - n^2}},$$

with $m = 2, 3, 4, 5$. In (29), we showed that in this case, $\{\mathcal{T}_{\text{asy}}(L) \mid L \in (1; +\infty)\}$ and $\{\mathcal{R}_{\text{asy}}(L) \mid L \in (1; +\infty)\}$ must be close curves in the complex plane. Our simulations are in accordance with this result.

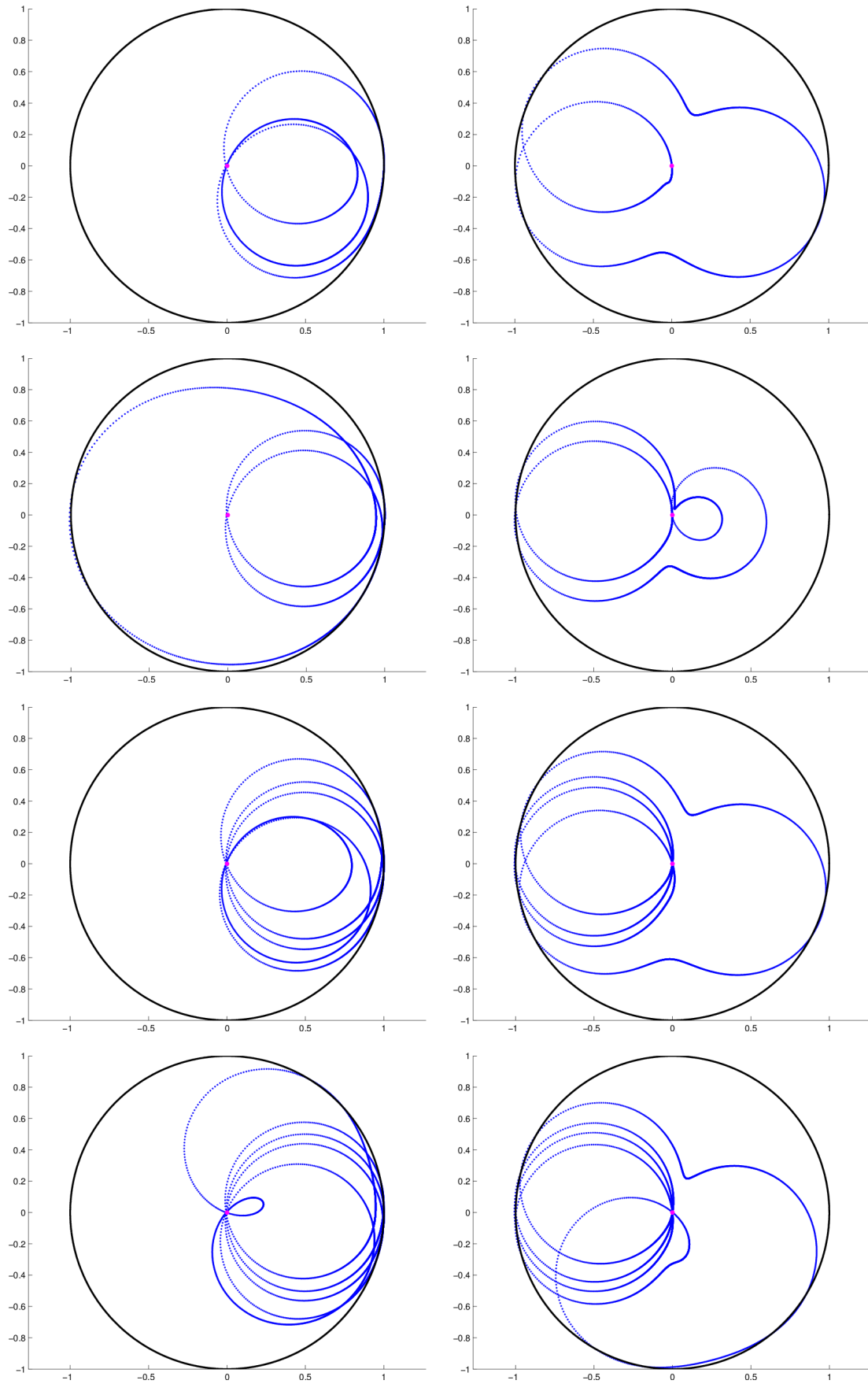


Figure 11: Curves $\{\mathcal{T}_{\text{asy}, h(L)} \mid L \in (1; +\infty)\}$ (left) and $\{\mathcal{R}_{\text{asy}, h(L)} \mid L \in (1; +\infty)\}$ (right) for several values of $\ell \in (\pi/k; 2\pi/k)$. For the line $m - 1$, $m = 2, 3, 4, 5$, we take $\ell = m\pi/(k\sqrt{m^2 - 1})$.

In Figure 12, we represent the numerical approximation of the curves $\{\mathcal{T}_{\text{asy}}(L) \mid L \in (2; 200)\}$ and $\{\mathcal{R}_{\text{asy}}(L) \mid L \in (2; 200)\}$ for $\ell = 1.7$. We can prove in this case that the ratio k/α is an irrational number. As predicted, the curves goes through zero. It seems also that they fill the unit disk. However, we are not able to prove it.

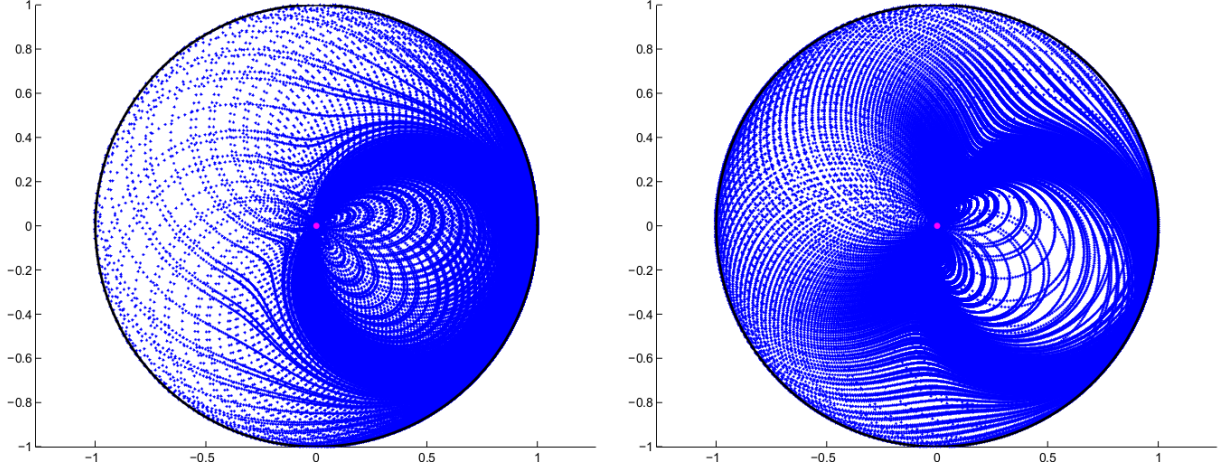


Figure 12: Coefficients $\mathcal{T}_{\text{asy},h}(L)$ (left) and $\mathcal{R}_{\text{asy},h}(L)$ (right) for $\ell = 1.7 \in (\pi/k; 2\pi/k)$ and $L \in (2; 200)$.

7.3 Non-reflectivity

We give examples of waveguides where $\mathcal{R} = 0$ for well-chosen ℓ and L . Numerically we set ℓ and then we compute \mathcal{R}_h for a range of L . Finally, we select the L such that $-\ln |\mathcal{R}_h|$ is maximum.

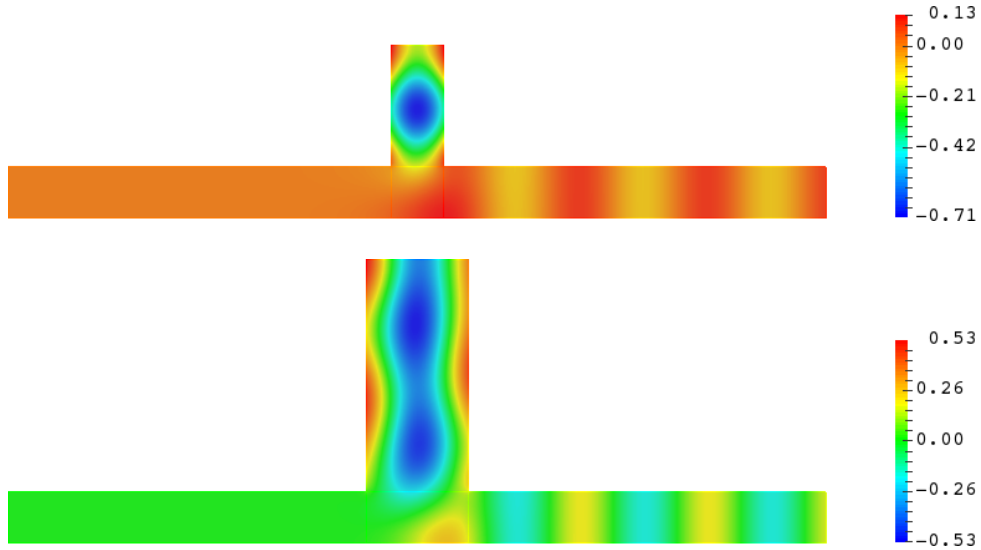


Figure 13: Real part of $v_h - w_h^+$ in geometries where we have non-reflectivity. Top: $\ell = 1 \in (0; \pi/k)$ and $L = 3.3649$ ($\mathcal{R}_h \approx (-7.8 + 8.9i) \cdot 10^{-6}$). Bottom: $\ell = 2 \in (\pi/k; 2\pi/k)$ and $L = 5.5329$ ($\mathcal{R}_h \approx (1.4 + i) \cdot 10^{-5}$). As expected, the amplitude of the field is very small at $x = -8$.

7.4 Perfect reflectivity

Now, we provide examples of waveguides where $\mathcal{T} = 0$. This time, we select the L such that $-\ln |\mathcal{T}_h|$ is maximum.

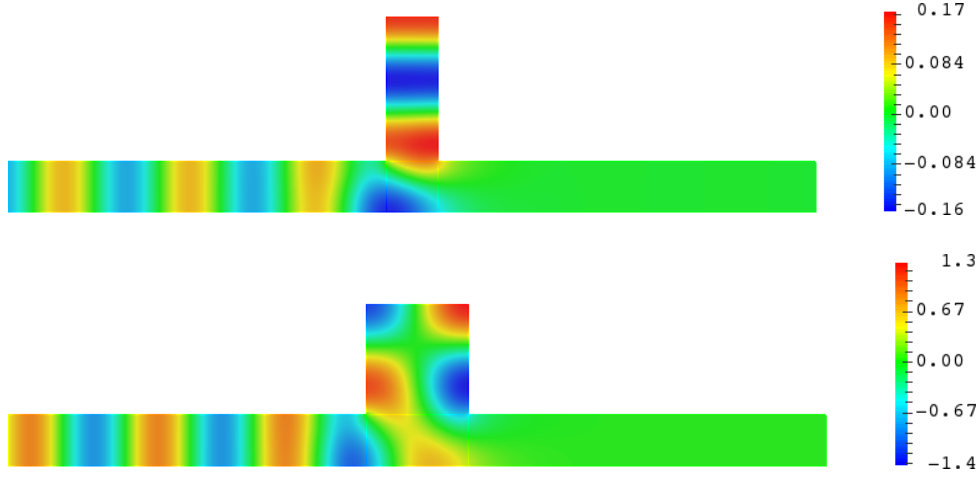


Figure 14: Real part of the total field v_h in geometries where we have perfect reflectivity. Top: $\ell = 1 \in (0; \pi/k)$ and $L = 3.85962$ ($\mathcal{T}_h \approx (-5.3 + 6.1i) \cdot 10^{-4}$). Bottom: $\ell = 2 \in (\pi/k; 2\pi/k)$ and $L = 3.152073$ ($\mathcal{T}_h \approx (-7.3 + 2.7i) \cdot 10^{-4}$). As expected, the amplitude of the field is very small at $x = 8$.

7.5 Perfect invisibility

Finally, we show examples of waveguides where $\mathcal{T} = 1$. Numerically, first we set $\ell \in (0; \pi/k)$ and $\vartheta = 1.5$ (see the notation in Section 6, Figure 4). Then we approximate the solution of Problem (13) (half-waveguide problem with mixed boundary conditions) for $\gamma \in (1; 10)$. We select one $\gamma = \gamma_\infty$ such that $-\ln |R_{\infty, h} + 1|$ is maximum. Thus we impose $R_{\infty, h} \approx -1$. Eventually, we approximate the solution of the initial Problem (3) set in $\Omega_L^{\gamma_\infty}$ for $L \in (5; 10)$ and we take L such that $-\ln |\mathcal{T}_h - 1|$ is maximum. We try to cover a range of (relatively) high values of L so that R_h remains close to $R_{\infty, h} \approx -1$. Indeed, we remind the reader that the error $|R - R_\infty|$ is exponentially small as $L \rightarrow +\infty$ (see (14)).

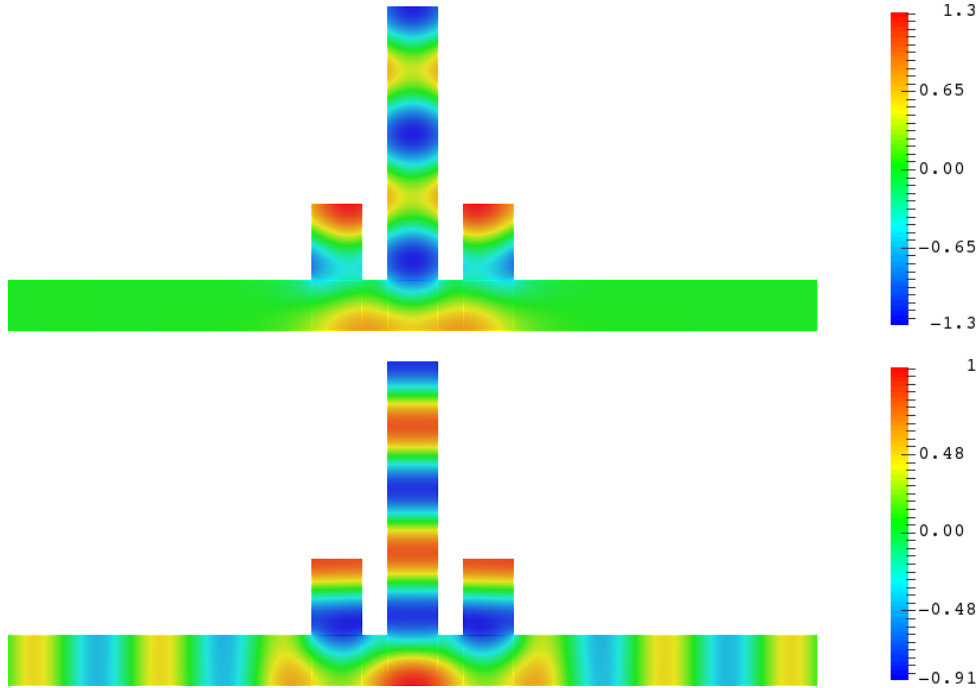


Figure 15: Example of geometry where we have perfect invisibility: $\ell = 1 \in (0; \pi/k)$, $\gamma = 2.4959$, $L = 6.384936$ and $\vartheta = 1.5$. Numerically, we obtain a scattering coefficient \mathcal{T}_h such that $\mathcal{T}_h - 1 \approx (-6.5 + 1.4i) \cdot 10^{-6}$. Top: real part of $v_h - w_h^+$. Bottom: real part of the total field v_h .

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