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CLASSIFICATION OF POLARIZED SYMPLECTIC AUTOMORPHISMS OF FANO VARIETIES OF CUBIC FOURFOLDS

LIE FU

ABSTRACT. We classify the polarized symplectic automorphisms of Fano varieties of smooth cubic fourfolds (equipped with the Plücker polarization) and study the fixed loci.

0. INTRODUCTION

The purpose of this paper is to classify the polarized symplectic automorphisms of the irreducible holomorphic symplectic projective varieties constructed by Beauville and Donagi [4], namely, the Fano varieties of (smooth) cubic fourfolds.

Finite order symplectic automorphisms of K3 surfaces have been studied in detail by Nikulin in [14]. A natural generalization of K3 surfaces to higher dimensions is the notion of *irreducible holomorphic symplectic manifolds* or *hyper-Kähler manifolds* (cf. [2]), which by definition is a simply connected compact Kähler manifold with $H^{2,0}$ generated by a symplectic form (*i.e.* nowhere degenerate holomorphic 2-form). Initiated by Beauville [1], some results have been obtained in the study of automorphisms of such manifolds. Let us mention [3], [6], [5], [7].

In [4], Beauville and Donagi show that the Fano varieties of lines of smooth cubic fourfolds provide an example of a 20-dimensional family of irreducible holomorphic symplectic projective fourfolds. We propose to classify the polarized *symplectic* automorphisms of this family.

Our result of classification is shown in the table below¹. We firstly make several remarks concerning this table:

- As is remarked in §1, such an automorphism comes from a (finite order) automorphism of the cubic fourfold itself. Hence we express the automorphism in the fourth column as an element f in PGL_6 .
- In the third column, n is the order of f , which is *primary* (*i.e.* a power of a prime number). The reason why we only listed the automorphisms with primary order is that every finite order automorphism is a product of commuting automorphisms with primary orders, by the structure of cyclic groups. See Remark 3.3.
- We give an explicit basis of the family in the fifth column.
- In the last column, we work out the fixed loci for a generic member. For geometric descriptions of the fixed loci, see §4.
- The Family I in our classification has been discovered in [13].
- The Family V-(1) in our classification has been studied in [7], where the fixed locus and the number of moduli are calculated.
- The classification of prime order automorphisms of cubic fourfolds has been done in [11]. I am also informed by G. Mongardi that he classifies the prime order symplectic automorphisms of hyper-Kähler varieties which are of $K3^{[n]}$ -deformation type in his upcoming thesis.

¹Please see the next page.

Theorem 0.1 (Classification). *Here is the list of all families of cubic fourfolds equipped with an automorphism of primary order whose general member is smooth, such that the induced actions on the Fano varieties of lines are symplectic.*

Family	p	$n = p^m$	automorphism	basis for \overline{B}	fixed loci
0	1	1	$f = \text{id}_{\mathbf{P}^5}$	degree 3 monomials	$F(X)$
I	11	11	$f = \text{diag}(1, \zeta, \zeta^{-1}, \zeta^3, \zeta^{-5}, \zeta^4)$ $\zeta = e^{\frac{r}{11} \cdot 2\pi \sqrt{-1}}, 1 \leq r \leq 10$	$x_0^2 x_1$ $x_1^2 x_2$ $x_2^2 x_3$ $x_3^2 x_4$ $x_4^2 x_0$ x_5^3	5 points
II	7	7	$f = \text{diag}(1, \zeta, \zeta^{-1}, \zeta^3, \zeta^2, \zeta^{-3})$ $\zeta = e^{\frac{r}{7} \cdot 2\pi \sqrt{-1}}, 1 \leq r \leq 6$	$x_0^2 x_1$ $x_1^2 x_2$ $x_2^2 x_3$ $x_3^2 x_4$ $x_4^2 x_5$ $x_5^2 x_0$ $x_0 x_2 x_4$ $x_1 x_3 x_5$	9 points
III	5	5	$f = \text{diag}(1, \zeta, \zeta^{-1}, \zeta^{-2}, \zeta^2, \zeta^2)$ $\zeta = e^{\frac{r}{5} \cdot 2\pi \sqrt{-1}}, 1 \leq r \leq 4$	$x_0^2 x_1$ $x_1^2 x_2$ $x_2^2 x_3$ $x_3^2 x_0$ $x_4^2 x_5$ $x_5^2 x_4$ x_5^3 x_4^3 x_4 $x_0 x_2 x_4$ $x_0 x_2 x_5$ $x_1 x_3 x_4$ $x_1 x_3 x_5$	14 points

<i>IV-(1)</i>	3	3	$f = \text{diag}(1, 1, 1, 1, \omega, \omega^2)$ $\omega = e^{\frac{2\pi\sqrt{-1}}{3}}$	<i>degree 3 monomials on x_0, \dots, x_3</i> x_4^3 x_5^3 $x_0x_4x_5$ $x_1x_4x_5$ $x_2x_4x_5$ $x_3x_4x_5$	<i>27 points</i>
<i>IV-(2)</i>	3	3	$f = \text{diag}(1, 1, 1, \omega, \omega, \omega)$ $\omega = e^{\frac{2\pi\sqrt{-1}}{3}}$	<i>degree 3 monomials on x_0, x_1, x_2</i> <i>degree 3 monomials on x_3, x_4, x_5</i>	<i>an abelian surface</i>
<i>IV-(3)</i>	3	3	$f = \text{diag}(1, 1, \omega, \omega, \omega^2, \omega^2)$ $\omega = e^{\frac{2\pi\sqrt{-1}}{3}}$	<i>degree 3 monomials on x_0, x_1</i> <i>degree 3 monomials on x_2, x_3</i> <i>degree 3 monomials on x_4, x_5</i> $x_0x_2x_4$ $x_0x_2x_5$ $x_0x_3x_4$ $x_0x_3x_5$ $x_1x_2x_4$ $x_1x_2x_5$ $x_1x_3x_4$ $x_1x_3x_5$	<i>27 points</i>
<i>IV-(4)</i>	3	9	$f = \text{diag}(1, \zeta^{-3}, \zeta^3, \zeta, \zeta^4, \zeta^{-2})$ $\zeta = e^{\frac{r}{9} \cdot 2\pi\sqrt{-1}}, r = 1, 2, 4, 5, 7, 8$	$x_0^2x_1$ $x_1^2x_2$ $x_2^2x_0$ $x_3^2x_4$ $x_4^2x_5$ $x_5^2x_3$	<i>9 points</i>
<i>IV-(5)</i>	3	9	$f = \text{diag}(1, \zeta^3, \zeta^{-3}, \zeta, \zeta, \zeta^4)$ $\zeta = e^{\frac{r}{9} \cdot 2\pi\sqrt{-1}}, r = 1, 2, 4, 5, 7, 8$	$x_0^2x_1$ $x_1^2x_2$ $x_2^2x_0$ $x_3^2x_4$ $x_3x_4^2$ x_3^3 $x_3^3x_3$ x_4^3 x_5^3	<i>9 points</i>

V-(1)	2	2	$f = \text{diag}(1, 1, 1, 1, -1, -1)$	<i>degree 3 monomials on x_0, \dots, x_3</i> $x_0x_5^2, x_1x_5^2, x_2x_5^2, x_3x_5^2$ $x_0x_4^2, x_1x_4^2, x_2x_4^2, x_3x_4^2$ $x_0x_4x_5, x_1x_4x_5, x_2x_4x_5, x_3x_4x_5$	28 points and a K3 surface
V-(2)(a)	2	4	$f = \text{diag}(1, 1, -1, -1, \sqrt{-1}, -\sqrt{-1})$	$x_0^3, x_0^2x_1, x_0x_1^2, x_1^3$ $x_0x_2^2$ $x_1x_2^2$ $x_0x_3^2$ $x_1x_3^2$ $x_0x_2x_3$ $x_1x_2x_3$ $x_2x_4^2$ $x_3x_4^2$ $x_2x_5^2$ $x_3x_5^2$ $x_0x_4x_5$ $x_1x_4x_5$	15 points
V-(2)(b)	2	4	$f = \text{diag}(1, 1, -1, -1, \sqrt{-1}, -\sqrt{-1})$	x_2 : degree 2 monomials on x_0, x_1 x_3 : degree 2 monomials on x_0, x_1 x_2^3 x_3^3 $x_2^2x_3$ $x_2x_3^2$ $x_0x_4^2$ $x_1x_4^2$ $x_0x_5^2$ $x_1x_5^2$ $x_2x_4x_5$ $x_3x_4x_5$	15 points
V-(3)	2	8	$f = \text{diag}(1, -1, \zeta^2, \zeta^{-2}, \zeta, \zeta^3)$ $\zeta = e^{\frac{2\pi}{8}\sqrt{-1}}, r = \pm 1 \pmod{8}$	x_0^3 $x_0x_1^2$ $x_1x_5^2$ $x_1x_3^2$ $x_0x_2x_3$ $x_3x_4^2$ $x_2x_5^2$ $x_1x_4x_5$	6 points

The structure of this paper is as follows. In §1 we set up the basic notation, and show that any polarized automorphism of the Fano variety comes from a finite order automorphism of the cubic fourfold. Then in §2 we reinterpret the assumption of being symplectic into a numerical equation by using Griffiths' theory of residue. Finally we do the classification in §3. The basic observation is that the generic smoothness of the family of cubics imposes strong combinatoric constrains.

Throughout this paper, we work over the field of complex numbers with a fixed choice of $\sqrt{-1}$.

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I wish to thank Giovanni Mongardi for informing me about his related classification work in his upcoming thesis.

1. FANO VARIETIES OF LINES OF CUBIC FOURFOLDS

First of all, let us fix the notation and make some basic constructions. Let V be a 6-dimensional \mathbf{C} -vector space, and $\mathbf{P}^5 := \mathbf{P}(V)$ be the corresponding projective space of 1-dimensional subspaces of V . Let $X \subset \mathbf{P}^5$ be a smooth cubic fourfold. The following subvariety of the Grassmannian $\mathrm{Gr}(\mathbf{P}^1, \mathbf{P}^5)$

$$(1) \quad F(X) := \{[L] \in \mathrm{Gr}(\mathbf{P}^1, \mathbf{P}^5) \mid L \subset X\}$$

is called the *Fano variety of lines*² of X . It is well-known that $F(X)$ is a 4-dimensional smooth projective variety. Throughout this paper, we always equip $F(X)$ with the polarization \mathcal{L} , which is by definition the restriction to it of the Plücker line bundle on the ambient Grassmannian $\mathrm{Gr}(\mathbf{P}^1, \mathbf{P}^5)$.

Consider the incidence variety (*i.e.* the universal projective line over $F(X)$):

$$P(X) := \{(x, [L]) \in X \times F(X) \mid x \in L\},$$

and then the following natural correspondence:

$$\begin{array}{ccc} P(X) & \xrightarrow{q} & X \\ p \downarrow & & \\ F(X) & & \end{array}$$

we have the following

Theorem 1.1 (Beauville-Donagi [4]). *Keeping the above notation,*

- (i) $F(X)$ is a 4-dimensional irreducible holomorphic symplectic projective variety, *i.e.* $F(X)$ is simply-connected and $H^{2,0}(F(X)) = \mathbf{C} \cdot \omega$ with ω a no-where degenerate holomorphic 2-form.
- (ii) *The correspondence*

$$p_*q^* : H^4(X, \mathbf{Z}) \rightarrow H^2(F(X), \mathbf{Z})$$

is an isomorphism of Hodge structures.

By definition, an automorphism ψ of $F(X)$ is called *polarized*, if it preserves the Plücker polarization: $\psi^*\mathcal{L} \simeq \mathcal{L}$. Now we investigate the meaning for an automorphism of $F(X)$ to be polarized.

Lemma 1.2. *An automorphism ψ of $F(X)$ is polarized if and only if it is induced from an automorphism of the cubic fourfold X itself.*

Proof. See [8, Proposition 4]. □

Define $\mathrm{Aut}(X)$ to be the automorphism group of X , and $\mathrm{Aut}^{pol}(F(X), \mathcal{L})$ or simply $\mathrm{Aut}^{pol}(F(X))$ to be the group of polarized automorphisms of $F(X)$. Then Lemma 1.2 says that the image of the natural homomorphism $\mathrm{Aut}(X) \rightarrow \mathrm{Aut}(F(X))$ is exactly $\mathrm{Aut}^{pol}(F(X))$. This homomorphism of groups is clearly injective (since for each point of X there passes a 1-dimensional family of lines), hence we have

²In the scheme-theoretic language, $F(X)$ is defined to be the zero locus of $s_T \in H^0(\mathrm{Gr}(\mathbf{P}^1, \mathbf{P}^5), \mathrm{Sym}^3 S^\vee)$, where S is the universal tautological subbundle on the Grassmannian, and s_T is the section induced by T using the morphism of vector bundles $\mathrm{Sym}^3 H^0(\mathbf{P}^5, \mathcal{O}(1)) \otimes \mathcal{O} \rightarrow \mathrm{Sym}^3 S^\vee$ on $\mathrm{Gr}(\mathbf{P}^1, \mathbf{P}^5)$.

Corollary 1.3. *The natural morphism*

$$\mathrm{Aut}(X) \xrightarrow{\cong} \mathrm{Aut}^{\mathrm{pol}}(F(X))$$

which sends an automorphism f of X to the induced (polarized) automorphism \hat{f} of $F(X)$ is an isomorphism.

Remark 1.4. This group is a *finite* group. Indeed, since $\mathrm{Pic}(X) = \mathbf{Z} \cdot \mathcal{O}_X(1)$, all its automorphisms come from linear automorphisms of \mathbf{P}^5 , hence $\mathrm{Aut}(X)$ is a closed subgroup of PGL_6 thus of finite type. On the other hand, $H^0(F(X), T_{F(X)}) = H^{1,0}(F(X)) = 0$, which implies that the group considered is also discrete, therefore finite.

By Corollary 1.3, the classification of polarized symplectic automorphisms of $F(X)$ is equivalent to the classification of automorphism of cubic fourfolds such that the induced action satisfies the symplectic condition. The first thing to do is to find a reformulation of this *symplectic condition* purely in terms of the action on the cubic fourfold:

2. THE SYMPLECTIC CONDITION

The content of this section has been done in my paper [10, Section 1]. For the sake of completeness, we briefly reproduce it here. Keeping the notation in the previous section. Suppose the cubic fourfold $X \subset \mathbf{P}^5$ is defined by a polynomial $T \in H^0(\mathbf{P}^5, \mathcal{O}(3)) = \mathrm{Sym}^3 V^\vee$. Let f be an automorphism of X . By Remark 1.4, f is the restriction of a finite order linear automorphism of \mathbf{P}^5 preserving X , still denoted by f . Let $n \in \mathbf{N}^+$ be its order. We can assume without loss of generality that $f : \mathbf{P}^5 \rightarrow \mathbf{P}^5$ is given by:

$$(2) \quad f : [x_0 : x_1 : \cdots : x_5] \mapsto [\zeta^{e_0} x_0 : \zeta^{e_1} x_1 : \cdots : \zeta^{e_5} x_5],$$

where $\zeta = e^{\frac{2\pi\sqrt{-1}}{n}}$ is a primitive n -th root of unity and $e_i \in \mathbf{Z}/n\mathbf{Z}$ for $i = 0, \dots, 5$.

It is clear that X is preserved by f if and only if the defining equation T is contained in an eigenspace of $\mathrm{Sym}^3 V^\vee$. More precisely: let the coordinates x_0, x_1, \dots, x_5 of \mathbf{P}^5 be a basis of V^\vee , then $\{\underline{x}^\alpha\}_{\underline{\alpha} \in \Lambda}$ is a basis of $\mathrm{Sym}^3 V^\vee = H^0(\mathbf{P}^5, \mathcal{O}(3))$, where \underline{x}^α denotes $x_0^{\alpha_0} x_1^{\alpha_1} \cdots x_5^{\alpha_5}$. Define

$$(3) \quad \Lambda := \{\underline{\alpha} = (\alpha_0, \dots, \alpha_5) \in \mathbf{N}^5 \mid \alpha_0 + \cdots + \alpha_5 = 3\}.$$

We write the eigenspace decomposition of $\mathrm{Sym}^3 V^\vee$:

$$\mathrm{Sym}^3 V^\vee = \bigoplus_{j \in \mathbf{Z}/n\mathbf{Z}} \left(\bigoplus_{\underline{\alpha} \in \Lambda_j} \mathbf{C} \cdot \underline{x}^\alpha \right),$$

where for each $j \in \mathbf{Z}/n\mathbf{Z}$, we define the subset of Λ

$$(4) \quad \Lambda_j := \left\{ \underline{\alpha} = (\alpha_0, \dots, \alpha_5) \in \mathbf{N}^5 \mid \alpha_0 + \cdots + \alpha_5 = 3 \text{ and } e_0 \alpha_0 + \cdots + e_5 \alpha_5 = j \pmod{n} \right\}.$$

and the eigenvalue of $\bigoplus_{\underline{\alpha} \in \Lambda_j} \mathbf{C} \cdot \underline{x}^\alpha$ is thus ζ^j . Therefore, explicitly speaking, we have:

Lemma 2.1. *A cubic fourfold X is preserved by the f in (2) if and only if there exists a $j \in \mathbf{Z}/n\mathbf{Z}$ such that its defining polynomial $T \in \bigoplus_{\underline{\alpha} \in \Lambda_j} \mathbf{C} \cdot \underline{x}^\alpha$.*

Then we deal with the symplectic condition. Note that Theorem 1.1 (ii) says in particular that

$$p_* q^* : H^{3,1}(X) \xrightarrow{\cong} H^{2,0}(F(X))$$

is an isomorphism. If X is equipped with an action f as before, we denote by \hat{f} the induced automorphism of $F(X)$. Since the construction of $F(X)$ as well as the correspondence $p_* q^*$ are both functorial with respect to X , the condition that \hat{f} is *symplectic* i.e. \hat{f}^* acts on $H^{2,0}(F(X))$ as identity, is equivalent to the condition

that f^* acts as identity on $H^{3,1}(X)$. Work it out explicitly, we arrive at the congruence equation (5) in the following

Lemma 2.2 (Symplectic condition). *Let f be the linear automorphism in (2), and X be a cubic fourfold defined by equation T . Then the followings are equivalent:*

- f preserves X and the induced action \hat{f} on $F(X)$ is symplectic;
- There exists a $j \in \mathbf{Z}/n\mathbf{Z}$ satisfying the equation

$$(5) \quad e_0 + e_1 + \cdots + e_5 = 2j \pmod{n},$$

such that the defining polynomial $T \in \bigoplus_{\underline{\alpha} \in \Lambda_j} \mathbf{C} \cdot \underline{x}^{\underline{\alpha}}$, where as in (4)

$$\Lambda_j := \left\{ \underline{\alpha} = (\alpha_0, \dots, \alpha_5) \in \mathbf{N}^5 \mid \begin{array}{l} \alpha_0 + \cdots + \alpha_5 = 3 \\ e_0 \alpha_0 + \cdots + e_5 \alpha_5 = j \pmod{n} \end{array} \right\}.$$

Proof. Firstly, the condition that f preserves X is given in Lemma 2.1. As is remarked before the lemma, \hat{f} is symplectic if and only if f^* acts as identity on $H^{3,1}(X)$. On the other hand, by Griffiths' theory of the Hodge structures of hypersurfaces (cf. [16, Chapter 18]), $H^{3,1}(X)$ is generated by the residue $\text{Res} \frac{\Omega}{T^2}$, where $\Omega := \sum_{i=0}^5 (-1)^i x_i dx_0 \wedge \cdots \wedge \widehat{dx_i} \wedge \cdots \wedge dx_5$ is a generator of $H^0(\mathbf{P}^5, K_{\mathbf{P}^5}(6))$. f being defined in (2), we find $f^* \Omega = \zeta^{e_0 + \cdots + e_5} \Omega$ and $f^*(T) = \zeta^j T$. Hence the action of f^* on $H^{3,1}(X)$ is the multiplication by $\zeta^{e_0 + \cdots + e_5 - 2j}$, from where we obtain the equation (5). \square

3. CLASSIFICATION

We now turn to the classification. Retaining the notation of §2: (2),(3),(4),(5). We define the parameter space

$$(6) \quad \overline{B} := \mathbf{P} \left(\bigoplus_{\underline{\alpha} \in \Lambda_j} \mathbf{C} \cdot \underline{x}^{\underline{\alpha}} \right).$$

Let $B \subset \overline{B}$ be the open subset parameterizing the smooth ones.

In this paper we are only interested in the *smooth* cubic fourfolds, that is the case when $B \neq \emptyset$, or equivalently, when a general member of \overline{B} is smooth. The easy observation below (see Lemma 3.1) which makes the classification feasible is that this non-emptiness condition imposes strong combinatoric constraints on the defining equations.

Lemma 3.1. *If a general member in \overline{B} is smooth then for each $i \in \{0, 1, \dots, 5\}$, there exists $i' \in \{0, 1, \dots, 5\}$, such that $x_i^2 x_{i'} \in \overline{B}$.*

Proof. Suppose on the contrary that, without loss of generality, for $i = 0$, none of the monomials $x_0^3, x_0^2 x_1, x_0^2 x_2, x_0^2 x_3, x_0^2 x_4, x_0^2 x_5$ are contained in \overline{B} , then every equation in this family can be written in the following form:

$$x_0 Q(x_1, \dots, x_5) + C(x_1, \dots, x_5),$$

where Q (resp. C) is a homogeneous polynomial of degree 2 (resp. 3). It is clear that $[1, 0, 0, 0, 0, 0]$ is always a singular point, which is a contradiction. \square

Since a finite-order automorphism amounts to the action of a finite cyclic group, which is the product of some finite cyclic groups with order equals to a power of a prime number, we only have to classify automorphisms of *primary* order, that is $n = p^m$ for p a prime number and $m \in \mathbf{N}_+$. To get general results for any order from the classification of primary order case, see Remark 3.3. We thus assume $n = p^m$ in the sequel.

For the convenience of the reader, we summarize all the relevant equations:

$$(7) \quad \begin{cases} e_0 + e_1 + \cdots + e_5 = 2j \pmod{p^m}; \\ \alpha_0 + \cdots + \alpha_5 = 3; \alpha_i \in \mathbf{N}; \\ e_0\alpha_0 + \cdots + e_5\alpha_5 = j \pmod{p^m}; \\ (*) \forall i, \exists i' \text{ such that } 2e_i + e_{i'} = j \pmod{p^m} \end{cases}$$

where the last condition (*) comes from Lemma 3.1.

We associate to each solution of (7) a diagram, *i.e.* a finite oriented graph, as follows:

- (i) The vertex set is the quotient set of $\{0, \dots, 5\}$ with respect to the equivalence relation defined by:
 $i_1 \sim i_2$ if and only if $e_{i_1} = e_{i_2} \pmod{p^m}$.
- (ii) For each pair (i, i') satisfying $2e_i + e_{i'} = j \pmod{p^m}$, there is an arrow from i to i' .

Clearly the arrows in (ii) are well-defined cause we have taken into account of the equivalence relation in (i). It is also obvious that each vertex can have at most one arrow going out. Thanks to the condition (*) in (7), we know that each vertex has exactly one arrow going out.

If $p \neq 2$, it is easy to see that each vertex has at most one arrow coming in. Since the total going-out degree should coincide with the total coming-in degree, each vertex has exactly one arrow coming-in. As a result, the diagram is in fact a disjoint union of several cycles³ in this case.

Before the detailed case-by-case analysis, let us point out that a cycle in the diagram would have some congruence implications:

- Lemma 3.2.**
- (i) *There cannot be cycles of length 2.*
 - (ii) *If $p \neq 3$, there are at most one cycle of length 1.*
 - (iii) *If there is a cycle of length $l = 3, 4, 5$ or 6, then p divides $\frac{(-2)^l - 1}{3}$.*

Proof. (i) It is because $2e_i + e_{i'} = 2e_{i'} + e_i \pmod{p^m}$ will imply $e_i = e_{i'} \pmod{p^m}$, contradicts to the definition of a cycle.

(ii) A cycle of length 1 means $3e_i = j \pmod{p^m}$, and when $p \neq 3$, e_i is determined by j .

(iii) Without loss of generality, we can assume that the cycle is given by:

$$2e_0 + e_1 = 2e_1 + e_2 = \cdots = 2e_{l-2} + e_{l-1} = 2e_{l-1} + e_0 = j \pmod{p^m}.$$

This system of congruence equations implies that

$$(8) \quad ((-2)^l - 1)e_0 = \frac{(-2)^l - 1}{3} \cdot j \pmod{p^m}.$$

If p does not divide $\frac{(-2)^l - 1}{3}$, then by (8), we have $j = 3e_0 \pmod{p^m}$, and hence $e_0 = e_1 = \cdots = e_{l-1}$, contradicting to the definition of a cycle. \square

Then we work out the classification case by case. The result is summarized in Theorem 0.1.

Case 0. When $p \neq 2, 3, 5, 7$ or 11.

If we have a cycle of length $l \geq 3$, since in Lemma 3.2, $\frac{(-2)^l - 1}{3}$ could only be $-3, 5, -11, 21$, all of which are prime to p , this will lead to a contradiction. Therefore we only have cycles of length 1. As $p \neq 3$, $3^{-1}j \pmod{p^m}$ is well-defined, hence we have $e_0 = e_1 = \cdots = e_5$. As a result, f is the identity action of \mathbf{P}^5 , which

³Here we use the terminology ‘cycle’ in the sens of graph theory: it means a loop in a oriented graph with no arrow repeated. The *length* of a cycle will refer to the number of arrows appearing in it.

is Family 0 in Theorem 0.1.

Case I. When $p = 11$.

As in the previous case, by Lemma 3.2, cycles of length 2,3,4 or 6 cannot occur. Thus the only possible lengths of cycles are 1 and 5. If there is no cycle of length 5, then as before, since $p \neq 3$, all e_i 's will be equal and f will be the identity. Let the 5-cycle be

$$2e_0 + e_1 = 2e_1 + e_2 = 2e_2 + e_3 = 2e_3 + e_4 = 2e_4 + e_0 = j \pmod{11^m}.$$

As in (8),

$$33e_0 = 11j \pmod{11^m}.$$

There thus exists $r \in \mathbf{Z}/11\mathbf{Z}$, such that $j = 3e_0 + r \cdot 11^{m-1} \pmod{11^m}$, and

$$\begin{cases} e_0 = e_0; \\ e_1 = e_0 + r \cdot 11^{m-1}; \\ e_2 = e_0 - r \cdot 11^{m-1}; \\ e_3 = e_0 + 3r \cdot 11^{m-1}; \\ e_4 = e_0 - 5r \cdot 11^{m-1}; \\ e_5 = e_0 + 4r \cdot 11^{m-1}; \end{cases} \pmod{11^m}$$

where the last equality comes from the first equation in (7). Clearly, r cannot be 0, otherwise $f = \text{id}_{\mathbf{P}^5}$. One verifies easily that it is indeed a solution: $3e_5 = j$. As a result, remembering that we are in the projective space \mathbf{P}^5 ,

$$f = \begin{pmatrix} 1 & & & & & \\ & \zeta & & & & \\ & & \zeta^{-1} & & & \\ & & & \zeta^3 & & \\ & & & & \zeta^{-5} & \\ & & & & & \zeta^4 \end{pmatrix}$$

where $\zeta = e^{\frac{r}{11} \cdot 2\pi \sqrt{-1}}$ and $1 \leq r \leq 10$. That is, $p = 11, m = 1, (e_0, \dots, e_5) = (0, 1, -1, 3, -5, 4)$. Going back to (7), we easily work out all solutions for α_i 's:

$$\begin{aligned} (\alpha_0, \dots, \alpha_5) = & (2, 1, 0, 0, 0, 0), (0, 2, 1, 0, 0, 0), (0, 0, 2, 1, 0, 0), (0, 0, 0, 2, 1, 0), \\ & (1, 0, 0, 0, 2, 0), (0, 0, 0, 0, 0, 3). \end{aligned}$$

Thus the corresponding family

$$\overline{B} = \mathbf{P}(\text{Span}\langle x_0^2 x_1, x_1^2 x_2, x_2^2 x_3, x_3^2 x_4, x_4^2 x_0, x_5^3 \rangle).$$

In order to verify the smoothness of a general member, it suffices to give one smooth cubic fourfold in \overline{B} . For example, $x_0^2 x_1 + x_1^2 x_2 + x_2^2 x_3 + x_3^2 x_4 + x_4^2 x_0 + x_5^3$ is smooth. This is Family I in Theorem 0.1. We would like to mention that this example has been discovered in [13].

Case II. When $p = 7$.

As before, by Lemma 3.2, cycles of length 2,3,4 or 5 cannot occur. Thus the only possible lengths of cycles are 1 and 6; and except the trivial Family 0, there must be a 6-cycle:

$$2e_0 + e_1 = 2e_1 + e_2 = 2e_2 + e_3 = 2e_3 + e_4 = 2e_4 + e_5 = 2e_5 + e_0 = j \pmod{7^m}.$$

As in (8),

$$63e_0 = 21j \pmod{7^m}.$$

There thus exists $r \in \mathbf{Z}/7\mathbf{Z}$, such that $j = 3e_0 + r \cdot 7^{m-1} \pmod{7^m}$, and

$$\begin{cases} e_0 = e_0; \\ e_1 = e_0 + r \cdot 7^{m-1}; \\ e_2 = e_0 - r \cdot 7^{m-1}; \\ e_3 = e_0 + 3r \cdot 7^{m-1}; \\ e_4 = e_0 + 2r \cdot 7^{m-1}; \\ e_5 = e_0 - 3r \cdot 7^{m-1}; \end{cases} \pmod{7^m}$$

Clearly, r cannot be 0, otherwise $f = \text{id}_{\mathbf{P}^5}$. One verifies easily that it is indeed a solution: $e_0 + \cdots + e_5 = 2j$. As a result,

$$f = \begin{pmatrix} 1 & & & & & \\ & \zeta & & & & \\ & & \zeta^{-1} & & & \\ & & & \zeta^3 & & \\ & & & & \zeta^2 & \\ & & & & & \zeta^{-3} \end{pmatrix}$$

where $\zeta = e^{\frac{r}{7} \cdot 2\pi \sqrt{-1}}$ and $1 \leq r \leq 6$. That is, $p = 7, m = 1, (e_0, \dots, e_5) = (0, 1, -1, 3, 2, -3)$. Going back to (7), we easily work out all solutions for α_i 's:

$$\begin{aligned} (\alpha_0, \dots, \alpha_5) = & (2, 1, 0, 0, 0, 0), (0, 2, 1, 0, 0, 0), (0, 0, 2, 1, 0, 0), (0, 0, 0, 2, 1, 0), \\ & (0, 0, 0, 0, 2, 1), (1, 0, 0, 0, 0, 2), (1, 0, 1, 0, 1, 0), (0, 1, 0, 1, 0, 1). \end{aligned}$$

Thus the corresponding family

$$\bar{B} = \mathbf{P}(\text{Span}\langle x_0^2 x_1, x_1^2 x_2, x_2^2 x_3, x_3^2 x_4, x_4^2 x_5, x_5^2 x_0, x_0 x_2 x_4, x_1 x_3 x_5 \rangle).$$

As before, to show that a general member of this family is smooth, we only need to remark that $x_0^2 x_1 + x_1^2 x_2 + x_2^2 x_3 + x_3^2 x_4 + x_4^2 x_5 + x_5^2 x_0$ is smooth. This accomplishes Family II in Theorem 0.1.

Case III. When $p = 5$.

As before, by Lemma 3.2, cycles of length 2,3,5 or 6 cannot occur. Thus the only possible lengths of cycles are 1 and 4; and except the trivial Family 0, there must be a 4-cycle:

$$2e_0 + e_1 = 2e_1 + e_2 = 2e_2 + e_3 = 2e_3 + e_0 = j \pmod{5^m}.$$

As before, by (8) we get

$$15e_0 = 5j \pmod{5^m}.$$

There thus exists $r \in \mathbf{Z}/5\mathbf{Z}$, such that $j = 3e_0 + r \cdot 5^{m-1} \pmod{5^m}$, and

$$\begin{cases} e_0 = e_0; \\ e_1 = e_0 + r \cdot 5^{m-1}; \\ e_2 = e_0 - r \cdot 5^{m-1}; \\ e_3 = e_0 - 2r \cdot 5^{m-1}; \end{cases} \pmod{5^m}$$

Since $e_0 \neq e_1$, r cannot be 0. Since 2-cycle does not exist, for $i = 4, 5$, either e_i takes the same value as e_0, \dots, e_3 , or it is a 1-cycle, i.e. $3e_i = j$. In any case, we can write

$$\begin{cases} e_4 = e_0 + ar \cdot 5^{m-1}; \\ e_5 = e_0 + br \cdot 5^{m-1}, \end{cases} \pmod{5^m}$$

where $a, b \in \mathbf{Z}/5\mathbf{Z}$. Taking into account of the first equation in (7), we obtain

$$a + b = 4 \pmod{5}.$$

As a result,

$$f = \begin{pmatrix} 1 & & & & & \\ & \zeta & & & & \\ & & \zeta^{-1} & & & \\ & & & \zeta^{-2} & & \\ & & & & \zeta^a & \\ & & & & & \zeta^{4-a} \end{pmatrix}$$

where $\zeta = e^{\frac{r}{5} \cdot 2\pi \sqrt{-1}}$ for $1 \leq r \leq 4$ and $a \in \mathbf{Z}/5\mathbf{Z}$.

That is, $p = 5, m = 1, (e_0, \dots, e_5) = (0, 1, -1, -2, a, 4 - a)$. Going back to (7), we work out the solutions for α_i 's depending on the value of a :

Subcase III (i). When $a = 0$.

$p = 5, m = 1, (e_0, \dots, e_5) = (0, 1, -1, -2, 0, -1)$, and

$$f = \begin{pmatrix} 1 & & & & & \\ & \zeta & & & & \\ & & \zeta^{-1} & & & \\ & & & \zeta^{-2} & & \\ & & & & 1 & \\ & & & & & \zeta^{-1} \end{pmatrix}$$

where $\zeta = e^{\frac{r}{5} \cdot 2\pi \sqrt{-1}}$ for $1 \leq r \leq 4$. Solving α_i 's from the equation (7):

$$\begin{aligned} (\alpha_0, \dots, \alpha_5) = & (2, 1, 0, 0, 0, 0), (0, 2, 1, 0, 0, 0), (0, 0, 2, 1, 0, 0), (1, 0, 0, 2, 0, 0), \\ & (0, 1, 0, 0, 2, 0), (0, 0, 0, 2, 1, 0), (0, 2, 0, 0, 0, 1), (0, 0, 0, 1, 0, 2), \\ & (1, 1, 0, 0, 1, 0), (0, 0, 1, 1, 0, 1). \end{aligned}$$

Thus the corresponding family

$$\bar{B} = \mathbf{P} \left(\text{Span} \langle x_0^2 x_1, x_1^2 x_2, x_2^2 x_3, x_3^2 x_0, x_4^2 x_1, x_3^2 x_4, x_1^2 x_5, x_5^2 x_3, x_0 x_1 x_4, x_2 x_3 x_5 \rangle \right).$$

However, there is no smooth cubic fourfolds in this family: in fact each member would have two singular points in the line $(x_0 = x_1 = x_3 = x_4 = 0)$.

Subcase III (ii). When $a = 1$.

$p = 5, m = 1, (e_0, \dots, e_5) = (0, 1, -1, -2, 1, -2)$, and $\zeta = e^{\frac{r}{5} \cdot 2\pi \sqrt{-1}}$ for $1 \leq r \leq 4$

$$f = \begin{pmatrix} 1 & & & & & \\ & \zeta & & & & \\ & & \zeta^{-1} & & & \\ & & & \zeta^{-2} & & \\ & & & & \zeta & \\ & & & & & \zeta^{-2} \end{pmatrix}$$

By the transformation $\zeta \mapsto \zeta^3$ (which amounts to let $r \mapsto 3r$), this f is exactly the one in Subcase III(i).

Subcase III (iii). When $a = 2$.

$p = 5, m = 1, (e_0, \dots, e_5) = (0, 1, -1, -2, 2, 2)$, and

$$f = \begin{pmatrix} 1 & & & & & \\ & \zeta & & & & \\ & & \zeta^{-1} & & & \\ & & & \zeta^{-2} & & \\ & & & & \zeta^2 & \\ & & & & & \zeta^2 \end{pmatrix}$$

where $\zeta = e^{\frac{r}{5} \cdot 2\pi \sqrt{-1}}$ for $1 \leq r \leq 4$. Solving α_i 's from the equation (7):

$$\begin{aligned} (\alpha_0, \dots, \alpha_5) = & (2, 1, 0, 0, 0, 0), (0, 2, 1, 0, 0, 0), (0, 0, 2, 1, 0, 0), (1, 0, 0, 2, 0, 0), \\ & (0, 0, 0, 0, 2, 1), (0, 0, 0, 0, 1, 2), (0, 0, 0, 0, 0, 3), (0, 0, 0, 0, 3, 0), \\ & (1, 0, 1, 0, 1, 0), (1, 0, 1, 0, 0, 1), (0, 1, 0, 1, 1, 0), (0, 1, 0, 1, 0, 1). \end{aligned}$$

Thus the corresponding family

$$\bar{B} = \mathbf{P} \left(\text{Span} \langle x_0^2 x_1, x_1^2 x_2, x_2^2 x_3, x_3^2 x_0, x_4^2 x_5, x_5^2 x_4, x_5^3, x_4^3, x_0 x_2 x_4, x_0 x_2 x_5, x_1 x_3 x_4, x_1 x_3 x_5 \rangle \right).$$

Moreover, a general cubic fourfold in this family is smooth. Indeed, we give a particular smooth member: $x_0^2 x_1 + x_1^2 x_2 + x_2^2 x_3 + x_3^2 x_0 + x_4^3 + x_5^3$. This is Family III in Theorem 0.1.

Subcase III (iv). When $a = 3$.

By the symmetry between a and b , it is the same case as Subcase III(ii), hence as Subcase III(i).

Subcase III (v). When $a = 4$.

By the symmetry between a and b , it is the same case as Subcase III(i).

Case IV. When $p = 3$.

Still by Lemma 3.2, we know that cycles of length 2, 4 or 5 cannot occur. Thus the only possible lengths of cycles are 1, 3 and 6. We first claim that 6-cycle cannot exist. Suppose on the contrary that the diagram is a 6-cycle:

$$2e_0 + e_1 = 2e_1 + e_2 = 2e_2 + e_3 = 2e_3 + e_4 = 2e_4 + e_5 = 2e_5 + e_0 = j \pmod{3^m},$$

then we have as in (8) that $63e_0 = 21j \pmod{3^m}$. There thus exists $r \in \mathbf{Z}/3\mathbf{Z}$, such that $j = 3e_0 + r \cdot 3^{m-1} \pmod{3^m}$, and

$$\begin{cases} e_0 = e_0; \\ e_1 = e_0 + r \cdot 3^{m-1}; \\ e_2 = e_0 - r \cdot 3^{m-1}; \\ e_3 = e_0; \\ e_4 = e_0 + r \cdot 3^{m-1}; \\ e_5 = e_0 - r \cdot 3^{m-1}. \end{cases} \pmod{3^m}$$

This contradicts to the assumption that e_i 's are distinct. Therefore, there are only 1-cycles and 3-cycles. A 1-cycle means $3e_i = j \pmod{3^m}$. On the other hand, a 3-cycle $2e_0 + e_1 = 2e_1 + e_2 = 2e_2 + e_0 = j \pmod{3^m}$ would imply $9e_0 = 3j$. In particular, $9e_0 = 9e_1 = \dots = 9e_5 = 3j \pmod{3^m}$. Without loss of generality, we can demand $e_0 = 0$. As a result, f has the form $f = \text{diag}(1, \zeta^{a_1}, \dots, \zeta^{a_5})$ where $\zeta = e^{\frac{2\pi \sqrt{-1}}{9}}$. In particular, f is of order 3 or 9.

Subcases IV (i). If f is of order 3.

Let $\omega := e^{\frac{2\pi \sqrt{-1}}{3}}$. Then up to isomorphism, f is one of the following automorphisms:

where $\zeta = e^{\frac{r}{5} \cdot 2\pi \sqrt{-1}}$ for $r \in \{1, 2, 4, 5, 7, 8\}$. Solving α_i 's from the equation (7):

$$(\alpha_0, \dots, \alpha_5) = (2, 1, 0, 0, 0, 0), (0, 2, 1, 0, 0, 0), (1, 0, 2, 0, 0, 0), (0, 0, 0, 2, 1, 0), \\ (0, 0, 0, 0, 2, 1), (0, 0, 0, 1, 0, 2).$$

Thus the corresponding family

$$\overline{B} = \mathbf{P} \left(\text{Span} \langle x_0^2 x_1, x_1^2 x_2, x_2^2 x_0, x_3^2 x_4, x_4^2 x_5, x_5^2 x_3 \rangle \right).$$

Clearly, the cubic $x_0^2 x_1 + x_1^2 x_2 + x_2^2 x_0 + x_3^2 x_4 + x_4^2 x_5 + x_5^2 x_3$ is smooth, hence so is the general cubic fourfold in this family. This is Family IV-(4) in Theorem 0.1.

Subcase IV (iii). If the diagram contains only one 3-cycle:

$$2e_0 + e_1 = 2e_1 + e_2 = 2e_2 + e_0 = j \pmod{9}.$$

As before, we can assume $e_0 = 0$, then $e_1 = j$, $e_2 = -j$ and $3j = 0 \pmod{9}$. In particular, $3|j$. Since $j \neq 0 \pmod{9}$ (otherwise $e_0 = e_1 = e_2$ is a contradiction), $j = \pm 3$. For $i = 3, 4, 5$, e_i either takes value in $\{e_0, e_1, e_2\}$, or $3e_i = j$.

If $j = 3$, then f has the form

$$f = \text{diag}(1, \zeta^3, \zeta^{-3}, \zeta^a, \zeta^b, \zeta^c),$$

where $a, b, c \in \{0, 3, 6, 1, 4, 7\}$. By the first equation in (7),

$$a + b + c = 6 \pmod{9}.$$

Thus either $a, b, c \in \{0, 3, 6\}$, or $a, b, c \in \{1, 4, 7\}$. While the former will make f of order 3, which has been treated in Subcases IV(i). Therefore $a, b, c \in \{1, 4, 7\}$ and $a + b + c = 6$. There are only three possibilities (up to permutations of a, b, c): $(a, b, c) = (1, 1, 4)$ or $(4, 4, 7)$ or $(7, 7, 1)$. However these three corresponds to the following same automorphism

$$f = \begin{pmatrix} 1 & & & & & \\ & \zeta^3 & & & & \\ & & \zeta^{-3} & & & \\ & & & \zeta & & \\ & & & & \zeta & \\ & & & & & \zeta^4 \end{pmatrix}$$

Back to (7), we solve the corresponding α_i 's to get the following basis for \overline{B} :

$$\overline{B} = \mathbf{P} \left(\text{Span} \langle x_0^2 x_1, x_1^2 x_2, x_2^2 x_0, x_3^2 x_4, x_4^2 x_3, x_3^3, x_4^3, x_5^3 \rangle \right).$$

As we have a smooth member $x_0^2 x_1 + x_1^2 x_2 + x_2^2 x_0 + x_3^3 + x_4^3 + x_5^3$ is this family, the general one is also smooth. This is Family IV-(5) in Theorem 0.1.

If $j = -3$, it reduces to the $j = 3$ case by replace ζ by ζ^{-1} , thus already included in Family IV-(5) of the theorem.

Subcase IV (iv). If the diagram has only 1-cycles, *i.e.* for any $0 \leq i \leq 5$,

$$3e_i = j \pmod{9}.$$

In particular, $3|j$. First of all, $j \neq 0$, otherwise, f is of order 3, which is treated in Subcases IV(i).

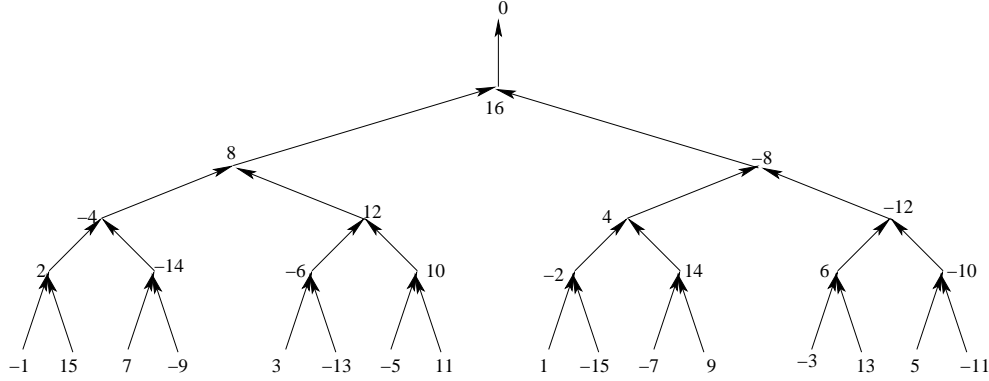
If $j = 3$. Then $e_i \in \{1, 4, 7\}$ for any i . Taking into account the first equation of (7), we find all the solutions for (e_0, \dots, e_5) , up to permutations:

$$(e_0, \dots, e_5) = (1, 1, 1, 4, 4, 4), (1, 1, 1, 7, 7, 7), (4, 4, 4, 7, 7, 7) \\ (1, 1, 1, 1, 4, 7), (4, 4, 4, 4, 1, 7), (7, 7, 7, 7, 1, 4) \\ (1, 1, 4, 4, 7, 7)$$

where the automorphisms in the first line is equal to $\text{diag}(1, 1, 1, \omega, \omega, \omega)$, which has been done in Family IV-(2); the automorphisms in the second line is equal to $\text{diag}(1, 1, 1, 1, \omega, \omega^2)$, which has been done in Family IV-(1); the last automorphism is equal to $\text{diag}(1, 1, \omega, \omega, \omega^2, \omega^2)$, which has been done in Family IV-(3) in Theorem 0.1.

Case V. When $p = 2$.

By Lemma 3.2, we find that the associated diagram has only 1-cycles. The new phenomenon is that the coming-in degree in this case is not necessarily 1. Firstly, we claim that the order of f divides 32. Indeed, for any 1-cycle, say, $3e_0 = j \pmod{2^m}$, then e_0 is in fact well-determined $\pmod{2^m}$. Without loss of generality, we can assume that all the 1-cycles are 0, *i.e.* $j = 0$. As a result, a vertex pointing to a 1-cycle is divisible by 2^{m-1} , and a vertex pointing to a vertex pointing to a 1-cycle is divisible by 2^{m-2} , *etc.* . As there is no cycle of length ≥ 2 , every vertex, after at most 5 steps, arrives at some 1-cycle vertex. Therefore, every vertex is divisible by 2^{m-5} , hence we can reduce everything modulo 32: namely $n = 32$ and $e_i \in \mathbf{Z}/32\mathbf{Z}$.



Let us put $\mathbf{Z}/32\mathbf{Z}$ into the above complete binary tree, then clearly, our associated diagram is a sub-diagram of this tree, satisfying two properties:

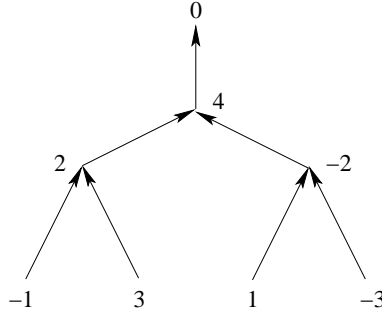
- If a vertex belongs to the diagram then so do its ancestors;
- The sum of vertices (multiplicities counted) is zero modulo 32.

It is immediate that the leaves (vertices on the bottom sixth level) cannot appear in the diagram: since by the parity of their sum, if there are leaves, there are at least two. But we already have five ancestors to include, while we have only six places in total. Next, we remark that the vertices in the fifth level cannot belong to the diagram neither: since the sum is divisible by 4, there are at least two vertices from the fifth level if there is any, and they should have the same father (otherwise we need to include at least five ancestors, and it will be out of place). Therefore we only have four possibilities, and it is straightforward to check that none of them has sum zero as demanded.

As a result, we have a further reduction: since only the first four levels can appear, the order of f always divides 8. We can assume now $n = 8$ and $e_i \in \mathbf{Z}/8\mathbf{Z}$. Similarly, we put $\mathbf{Z}/8\mathbf{Z}$ into the following complete binary tree:

Then our diagram is a sub-diagram of this tree which is as before ‘ancestor-closed’ and of multiplicities counted sum zero modulo 8. We easily work out all the possibilities as follows. It is worthy to point out that when $p = 2$, for a given automorphism f , there may be two possible values of j , which would correspond to two different families of cubic fourfolds.

- $(e_0, \dots, e_5) = (0, 0, 0, 0, 4, 4)$ or $(0, 0, 4, 4, 4, 4)$. In this case, f is the involution $\text{diag}(1, 1, 1, 1, -1, -1)$ and we reduce to $n = p = 2$ with $(e_0, \dots, e_5) = (0, 0, 0, 0, 1, 1)$. It splits into two cases depending



on the parity of j :

If j is even, then the equation for α_i 's becomes $\alpha_4 + \alpha_5 = 0 \pmod{2}$. This is Family V-(1) in Theorem 0.1, whose generic smoothness is easy to verify: $x_4^2 x_0 + x_5^2 x_1 + x_4 x_5 x_2 + x_0^3 + x_1^3 + x_2^3 + x_3^3$ is smooth. This family of cubic fourfolds has been studied in [7].

If j is odd, then a basis for \overline{B} is given by

$x_5 \cdot$ degree 2 monomials on x_0, \dots, x_3 ; $x_4 \cdot$ degree 2 monomials on x_0, \dots, x_3 ; $x_4^3, x_5^3, x_4^2 x_5, x_5^2 x_4$.

However, any cubic fourfold in this family is singular along the intersection of two quadrics in the projective 3-planes ($x_4 = x_5 = 0$).

- $(e_0, \dots, e_5) = (0, 0, 0, 4, 2, 2)$ or $(0, 4, 4, 4, -2, -2)$. They both correspond to the following automorphism of order $n = 4$:

$$f = \text{diag}(1, 1, 1, -1, i, i).$$

We thus reduce to $n = 4$, and $(e_0, \dots, e_5) = (0, 0, 0, 2, 1, 1)$. Therefore $2j = 0 \pmod{4}$, and thus $j = 0, 2 \pmod{4}$. It also splits into two different cases depending on the value of j .

If $j = 0 \pmod{4}$, the equation (7) for α_i 's becomes $2\alpha_3 + \alpha_4 + \alpha_5 = 0 \pmod{4}$. We easily obtain a basis for \overline{B} :

degree 3 monomials on x_0, x_1, x_2 ; $x_0 x_3^2, x_1 x_3^2, x_2 x_3^2, x_3 x_4 x_5, x_3 x_4^2, x_3 x_5^2$.

Unfortunately, any cubic fourfold in this family is singular on two points on the line defined by $(x_0 = x_1 = x_2 = x_3 = 0)$.

If $j = 2 \pmod{4}$, the equation is $2\alpha_3 + \alpha_4 + \alpha_5 = 2 \pmod{4}$. The following consists of a basis for \overline{B} :

$x_3 \cdot$ degree 2 monomials on x_0, x_1, x_2 ; $x_3^3, x_0 x_4^2, x_1 x_4^2, x_2 x_4^2, x_0 x_5^2, x_1 x_5^2, x_2 x_5^2, x_0 x_4 x_5, x_1 x_4 x_5, x_2 x_4 x_5$.

The general member is singular along a conic in the projective plane ($x_3 = x_4 = x_5 = 0$).

- $(e_0, \dots, e_5) = (0, 0, 0, 4, -2, -2)$ or $(0, 4, 4, 4, 2, 2)$. They both correspond to the same f , which becomes the automorphism of the previous case if we replace i by $-i$.
- $(e_0, \dots, e_5) = (0, 4, 2, 2, 2, -2)$ or $(0, 4, 2, -2, -2, -2)$. They both correspond to the following automorphism of order $n = 4$:

$$f = \text{diag}(1, 1, 1, -1, \sqrt{-1}, -\sqrt{-1}).$$

We thus reduce to $n = 4$ and $(e_0, \dots, e_5) = (0, 0, 0, 2, 1, -1)$. Hence $2j = 2 \pmod{4}$ gives two cases $j = 1, -1 \pmod{4}$.

If $j = 1 \pmod{4}$, the equation for α_i 's becomes $2\alpha_3 + \alpha_4 - \alpha_5 = 1 \pmod{4}$. The basis for \overline{B} is:

$x_4 \cdot$ degree 2 monomials on x_0, x_1, x_2 ; $x_3^2 x_4, x_0 x_3 x_5, x_1 x_3 x_5, x_2 x_3 x_5, x_5^3, x_4^2 x_5$.

Each cubic fourfold in this family is singular along a conic curve in the plane defined by $(x_3 = x_4 = x_5 = 0)$.

If $j = -1 \pmod{4}$, the equation for α_i 's becomes $2\alpha_3 + \alpha_4 - \alpha_5 = -1 \pmod{4}$, all the solutions are exactly the ones when $j = 1$ with x_4 and x_5 interchanged.

- $(e_0, \dots, e_5) = (0, 0, 4, 4, 2, -2)$. It is the following automorphism of order $n = 4$:

$$f = \text{diag}(1, 1, -1, -1, \sqrt{-1}, -\sqrt{-1}).$$

We then reduce to $n = 4$ and $(e_0, \dots, e_5) = (0, 0, 2, 2, 1, -1)$. Therefore $2j = 0 \pmod{4}$, hence we have two cases.

If $j = 0 \pmod{4}$, the equation for α_i 's is $2\alpha_2 + 2\alpha_3 + \alpha_4 - \alpha_5 = 0 \pmod{4}$. It corresponds Family V-(2)(a) in Theorem 0.1. It is easy to find a smooth member, for example, $x_0^3 + x_1^3 + x_2^2 x_0 + x_3^2 x_1 + x_4^2 x_2 + x_5^2 x_3$.

If $j = 2 \pmod{4}$, the equation for α_i 's is $2\alpha_2 + 2\alpha_3 + \alpha_4 - \alpha_5 = 2 \pmod{4}$, we have Family V-(2)(b) in Theorem 0.1. We give an example of smooth cubic fourfold in this family: $x_2^3 + x_3^3 + x_2 x_0^2 + x_3 x_1^2 + x_0 x_4^2 + x_1 x_5^2$.

- $(e_0, \dots, e_5) = (0, 4, 4, 2, -1, -1)$ or $(0, 4, 4, 2, 3, 3)$ or $(0, 4, 4, -2, 1, 1)$ or $(0, 4, 4, -2, -3, -3)$. Although they are *different* automorphisms of order $n = 8$, they correspond to four possible choices of the primitive eighth root of unity ζ in the automorphism:

$$f = \text{diag}(1, -1, -1, \zeta^{-2}, \zeta, \zeta),$$

where $\zeta = e^{\frac{r}{8} 2\pi \sqrt{-1}}$ for $r = \pm 1, \pm 3$. For each choice of r , one of the two possible values of j does not satisfies the last condition (*) in (7). The remaining one gives the equation

$$4\alpha_1 + 4\alpha_2 - 2\alpha_3 + \alpha_4 + \alpha_5 = 0 \pmod{8}.$$

The solutions form a basis for \overline{B} :

$$\overline{B} = \mathbf{P}\left(\text{Span}\langle x_0^3, x_0 x_1^2, x_0 x_2^2, x_0 x_1 x_2, x_2 x_3^2, x_1 x_3^2, x_3 x_4^2, x_3 x_5^2, x_3 x_4 x_5 \rangle\right).$$

However any cubic fourfold in this family is singular on two points on the line defined by $(x_0 = x_1 = x_2 = x_3 = 0)$.

- $(e_0, \dots, e_5) = (0, 0, 4, 2, -1, 3)$ or $(0, 0, 4, -2, 1, -3)$. They are *different* automorphisms of order $n = 8$. In fact the four possible choices of the primitive eighth root of unity ζ collapse into two cases. The automorphism:

$$f = \text{diag}(1, 1, -1, \zeta^2, \zeta^{-1}, \zeta^3),$$

where $\zeta = e^{\frac{r}{8} 2\pi \sqrt{-1}}$ for $r = \pm 1$ (here $r = \pm 3$ will give the same two automorphisms). In this case, one of the two possible values of j does not satisfies the last condition (*) in (7). The remaining one corresponds to the equation

$$4\alpha_2 + 2\alpha_3 - \alpha_4 + 3\alpha_5 = 0 \pmod{8}.$$

We easily resolve it to obtain

$$\overline{B} = \mathbf{P}\left(\text{Span}\langle \text{degree 3 monomials on } x_0 \text{ and } x_1, x_0 x_2^2, x_1 x_2^2, x_2 x_3^2, x_3 x_4^2, x_3 x_5^2 \rangle\right).$$

But each member in this family is singular at least on two points of the line defined by $(x_0 = x_1 = x_2 = x_3 = 0)$.

- $(e_0, \dots, e_5) = (0, 4, 2, -2, 1, 3)$ or $(0, 4, 2, -2, -1, -3)$. As in the previous case, although they are *different* automorphisms of order $n = 8$, each corresponds to two possible choices of the primitive eighth root of unity ζ . The automorphism is

$$f = \text{diag}(1, -1, \zeta^2, \zeta^{-2}, \zeta, \zeta^3),$$

where $\zeta = e^{\frac{r}{8} 2\pi \sqrt{-1}}$ for $r = \pm 1$ (here $r = \pm 3$ will give the same two automorphisms). In this case, one of the two possible values of j does not satisfies the last condition (*) in (7). The remaining one is Family V-(3) in Theorem 0.1, where the smoothness of the general member is affirmed by the example: $x_0^3 + x_0 x_1^2 + x_1 x_2^2 + x_1 x_3^2 + x_0 x_2 x_3 + x_3 x_4^2 + x_2 x_5^2$. Since the verification of the smoothness

of this example is a little bit involved, we give the details here. Let $T = x_0^3 + x_0x_1^2 + x_1x_2^2 + x_1x_3^2 + x_0x_2x_3 + x_3x_4^2 + x_2x_5^2$. To find the singular locus of $(T = 0)$, we need to solve the system of equations

$$\frac{\partial T}{\partial x_0} = \frac{\partial T}{\partial x_1} = \dots = \frac{\partial T}{\partial x_5} = 0,$$

that is:

$$\begin{cases} 3x_0^2 + x_1^2 + x_2x_3 = 0; \\ 2x_0x_1 + x_2^2 + x_3^2 = 0; \\ 2x_1x_2 + x_0x_3 + x_5^2 = 0; \\ 2x_3x_1 + x_0x_2 + x_4^2 = 0; \\ x_3x_4 = 0; \\ x_2x_5 = 0. \end{cases}$$

Thanks to the last two equations, we have four cases $(x_2 = x_3 = 0)$, $(x_2 = x_4 = 0)$, $(x_5 = x_3 = 0)$, $(x_5 = x_4 = 0)$. In the first three cases, it is easy to deduce that every variable is zero. In the last case, the system of equations simplifies to:

$$\begin{cases} 3x_0^2 + x_1^2 + x_2x_3 = 0; \\ 2x_0x_1 + x_2^2 + x_3^2 = 0; \\ 2x_1x_2 + x_0x_3 = 0; \\ 2x_3x_1 + x_0x_2 = 0. \end{cases}$$

It is still easy to deduce that every variable is non-zero. And then from the last two equations, we find $x_0 = \pm 2x_1$ and $x_2 = \mp x_3$. Putting these into the first two equations, we get contradictions. As a consequence, $(T = 0)$ is smooth.

The classification is complete and the result is summarized in Theorem 0.1.

Remarks 3.3. We have some explanations to make concerning the usage of our list.

- In the fifth column of the table in Theorem 0.1, we give a basis for the *compactified* parameter space \overline{B} , which contains of course singular members. To pick out the smooth ones (*i.e.* to determine the *non-empty* open dense subset B), we have to apply usual method of Jacobian criterion.
- Strictly speaking, the moduli space of cubic fourfolds is the geometric quotient

$$M := \mathbf{P}(H^0(\mathbf{P}^5, \mathcal{O}(3))) // \mathrm{PGL}_6,$$

and each \overline{B} we have given in the theorem is a sub-projective space of $\mathbf{P}(H^0(\mathbf{P}^5, \mathcal{O}(3)))$, whose image in M is (a component of) the ‘moduli space’ of cubic fourfolds admitting a ‘symplectic’ automorphism of certain primary order.

- For an automorphism f of a given order n , say $n = 2^{r_2}3^{r_3}5^{r_5}7^{r_7}11^{r_{11}}$, where $r_2 = 0, 1, 2$ or 3 ; $r_3 = 0, 1$ or 2 and $r_5, r_7, r_{11} = 0$ or 1 . Then $f = f_2f_3f_5f_7f_{11}$ where f_p is an automorphisms of order p^{r_p} commuting with each other. Thus they can be diagonalised simultaneously. Therefore to classify automorphisms of a given order, it suffices to intersect the corresponding families \overline{B} ’s in the list, after *independent* scaling and permutation of coordinates, inside the complete linear system $\mathbf{P}(H^0(\mathbf{P}^5, \mathcal{O}(3)))$. Of course it may end up with an empty family or a family consisting of only singular members.

Example 3.4. We investigate the example of Fermat cubic fourfold $X = (x_0^3 + x_1^3 + x_2^3 + x_3^3 + x_4^3 + x_5^3 = 0)$. We know that (*cf.* [15], [12]) its automorphism group is $\mathrm{Aut}(X) = (\mathbf{Z}/3\mathbf{Z})^5 \rtimes \mathfrak{S}_6$, which is generated by

multiplications by third roots of unity on coordinates and permutations of coordinates. Using Griffiths' residue description of Hodge structure as in the proof of Lemma 2.2, we find that

$$\mathrm{Aut}^{\mathrm{pol}, \mathrm{symp}}(F(X)) = \{f \in \mathrm{Aut}(X) \mid f^*|_{H^{3,1}(X)} = \mathrm{id}\} = (\mathbf{Z}/3\mathbf{Z})^4 \rtimes \mathfrak{A}_6,$$

where each element has the form:

$$[x_0, x_1, x_2, x_3, x_4, x_5] \mapsto [x_{\sigma(0)}, \omega^{i_1} x_{\sigma(1)}, \dots, \omega^{i_5} x_{\sigma(5)}],$$

where $\omega = e^{\frac{2\pi\sqrt{-1}}{3}}$, $i_1, \dots, i_5 = 0, 1$ or 2 with sum $i_1 + \dots + i_5$ divisible by 3 , and $\sigma \in \mathfrak{A}_6$ is a permutation of $\{0, 1, \dots, 5\}$ with even sign.

Then X is

- not in Family I, II, IV-(4), IV-(5) or V-(3) simply because X does not admit automorphisms of order $11, 7, 9$ or 8 ;
- in Family III, since $[x_0, x_1, x_2, x_3, x_4, x_5] \mapsto [x_1, x_2, x_3, x_4, x_0, x_5]$ is an order 5 automorphism which induces a symplectic automorphism on its Fano variety of lines. The eigenvalues of the corresponding permutation matrix is $1, 1, \zeta, \zeta^2, \zeta^3, \zeta^4$, thus it is exactly the automorphism in the list (up to a linear automorphism of \mathbf{P}^5).
- in IV-(1), IV-(2), IV-(3) obviously;
- in V-(1), V-(2)(a) and V-(2)(b), because $[x_0, x_1, x_2, x_3, x_4, x_5] \mapsto [x_1, x_2, x_3, x_0, x_5, x_4]$ is an order 4 automorphism which induces a symplectic automorphism of its Fano variety. The eigenvalues are $1, 1, -1, -1, \sqrt{-1}, -\sqrt{-1}$, therefore the automorphism is the one given in V-(2) (up to a linear automorphism of \mathbf{P}^5).

4. FIXED LOCI

We calculate the fixed loci of a generic member for each example in the list of Theorem 0.1. Firstly, we make several general remarks concerning the fixed loci:

- For a smooth variety, the fixed locus of any automorphism of finite order is a (not necessarily connected) smooth subvariety. For a proof, cf. [9, Lemma 4.1].
- If furthermore the variety is symplectic and the finite-order automorphism preserves the symplectic form, then the components of the fixed locus are *symplectic* subvarieties. Indeed, for a given fixed point, the automorphism acts also on the tangent space at this fixed point, preserving the symplectic form, where the tangent space of the component of the fixed locus passing through this point is exactly the fixed subspace. However, since the fixed subspace is orthogonal to the other eigenspaces with respect to the symplectic form, it must be a symplectic subspace. In consequence, the fixed locus is a (smooth) symplectic subvariety.

Therefore, in the case of this paper, the fixed loci must be disjoint unions of (isolated) points, K3 surfaces and abelian surfaces, and we will see that all three types do occur in the list in Theorem 0.1.

We now turn to the calculation of the fixed loci of the examples in our classification. For a cubic fourfold X with an action f , we denote \hat{f} the induced action on $F(X)$. Then the fixed points of \hat{f} in $F(X)$ are the lines contained in X which are preserved by f . Since any automorphism of \mathbf{P}^1 admits two (not necessarily distinct) fixed points, it suffices to check for each line joining two fixed points of f in X whether it is contained in X . In the following, we choose some typical examples in our list to give the argument in detail, while the complete result is presented in the last column of the table in Theorem 0.1.

Denote $P_0 := [1, 0, 0, 0, 0, 0]$, $P_1 := [0, 1, 0, 0, 0, 0], \dots, P_5 := [0, 0, 0, 0, 0, 1]$. We have explicit description of the fixed loci:

For **Family I**, a cubic fourfold in this family has equation of the following form

$$a_0x_0^2x_1 + a_1x_1^2x_2 + a_2x_2^2x_3 + a_3x_3^2x_4 + a_4x_4^2x_0 + a_5x_5^3.$$

The fixed points of f in X are P_0, P_1, P_2, P_3, P_4 . We check the 10 possible lines joining two of them and find that only the following 5 are contained in X : $\overline{P_0P_2}, \overline{P_0P_3}, \overline{P_1P_3}, \overline{P_1P_4}, \overline{P_2P_4}$, where \overline{PQ} means the line joining two points P and Q . Similarly, the same argument applies in the following families and gives:

Family II: the fixed points in $F(X)$ are given by the following nine lines: $\overline{P_0P_2}, \overline{P_0P_3}, \overline{P_0P_4}, \overline{P_1P_3}, \overline{P_1P_4}, \overline{P_1P_5}, \overline{P_2P_4}, \overline{P_2P_5}, \overline{P_3P_5}$.

Family IV-(4): the fixed points of $F(X)$ correspond to the following nine lines: $\overline{P_0P_3}, \overline{P_0P_4}, \overline{P_0P_5}, \overline{P_1P_3}, \overline{P_1P_4}, \overline{P_1P_5}, \overline{P_2P_3}, \overline{P_2P_4}, \overline{P_2P_5}$.

Family V-(3): the fixed points are given by the six lines: $\overline{P_1P_4}, \overline{P_1P_5}, \overline{P_2P_3}, \overline{P_2P_4}, \overline{P_3P_5}, \overline{P_4P_5}$.

For **Family III**, the equation has the following form

$$C(x_4, x_5) + R(x_0, \dots, x_5),$$

where C is a homogeneous polynomial of degree 3, and R is a polynomial with the degrees of x_4 and x_5 at most 1. The fixed points of f in X are P_0, P_1, P_2, P_3 and the line $\overline{P_4P_5}$. On one hand, among the six possible lines joining P_0, P_1, P_2, P_3 , only $\overline{P_0P_2}$ and $\overline{P_1P_3}$ are contained in X ; on the other hand, for $0 \leq i \leq 4$, the line $\overline{P_i}, [0, 0, 0, 0, \lambda, \mu]$ is contained in X if and only if $[\lambda, \mu]$ satisfies the cubic equation C , and therefore we have three for each i . Altogether, the fixed locus in $F(X)$ consists of $2 + 4 \times 3 = 14$ lines. Similar arguments gives the results of the following:

Family IV-(3): the equation has the form $C_1(x_0, x_1) + C_2(x_2, x_3) + C_3(x_4, x_5) + R$, where C_i are of degree 3 while each term of R is square-free. Then the fixed locus of in $F(X)$ corresponds to the 27 lines $\overline{Q_{ik}Q_{jl}}$ for $0 \leq i < j \leq 3$ and $k, l = 1, 2, 3$, where Q_{i1}, Q_{i2}, Q_{i3} are the three points satisfying the equation C_i .

Family IV-(5): the equation writes $C(x_3, x_4) + a_0x_0^2x_1 + a_1x_1^2x_2 + a_2x_2^2x_0 + a_5x_5^3$, where C is of degree 3. Let Q_1, Q_2, Q_3 be the three points on the line $\overline{P_3P_4}$ satisfying C . Then the fixed locus in $F(X)$ correspond to the 9 lines: $\overline{P_iQ_j}$ for $i = 0, 1, 2$ and $j = 1, 2, 3$.

For **Family IV-(1)**, let defining equation of the cubic fourfold be

$$C(x_0, \dots, x_3) + R$$

where C is of degree 3 and each term of R contains x_4 or x_5 . Clearly, the fixed locus of f in X is $\mathbf{P}^3 = (x_4 = x_5 = 0)$. A line in this \mathbf{P}^3 is contained in X if and only if it satisfies C , namely, it is contained in the cubic surface defined by C . It is well-known that there are 27 such lines.

For **Family IV-(2)**, let cubic fourfold be defined by $C_1(x_0, x_1, x_2) + C_2(x_3, x_4, x_5)$, where C_1, C_2 are of degree 3. The fixed locus in X is two disjoint planes: $W_1 = (x_0 = x_1 = x_2 = 0)$ and $W_2 = (x_3 = x_4 = x_5 = 0)$. On one hand, inside each plane it is impossible to have a line contained in X . On the other hand, a line joining a point $Q_1 \in W_1$ and a point $Q_2 \in W_2$ is contained in X if and only if Q_i satisfies the equation C_i for $i = 1, 2$, i.e. Q_i is in the elliptic curve E_i defined by C_i . Thus such lines are parameterized by $E_1 \times E_2$, which is an abelian surface.

Family V-(1) is done also in [7], but we reproduce the argument for the sake of completeness. The equation has the following form:

$$C(x_0, \dots, x_3) + x_4^2L_1 + x_5^2L_2 + x_4x_5L_3,$$

where C is of degree 3, and L_1, L_2, L_3 are linear forms in x_0, \dots, x_3 . The fixed points of f in X is clearly the disjoint union of $\mathbf{P}^3 = (x_4 = x_5 = 0)$ and the line $\overline{P_4P_5}$. First of all, the line $\overline{P_4P_5}$ is contained in X , giving a isolated fixed point in $F(X)$; secondly a line of this \mathbf{P}^3 is contained in X if and only if it satisfies C , i.e. it is contained in the cubic surface defined by C , and we thus obtain another 27 isolated fixed points in $F(X)$; finally, the condition that a line joining a point $Q_1 \in \mathbf{P}^3$ and another point $Q_2 \in \overline{P_4P_5}$ is contained in X is

given by a double cover of the cubic surface ($C = 0$) ramified along the degree 6 curve ($C = L_3^2 - L_1L_2 = 0$), which is a K3 surface. Altogether, the fixed locus of \hat{f} in $F(X)$ is 28 points with a K3 surface.

For **Family V-(2)(a)**, the fixed point set of f consists of the disjoint union of $\overline{P_0P_1}$, $\overline{P_2P_3}$ and P_4, P_5 . The line $\overline{P_4P_5}$ is contained in X ; there are three points $Q_1, Q_2, Q_3 \in \overline{P_0P_1}$ such that $\overline{Q_iP_j}$ is contained in X , for $i = 1, 2, 3$ and $j = 4, 5$; there are two points $Q_4, Q_5 \in \overline{P_2P_3}$ such that $\overline{Q_4P_4}$ and $\overline{Q_5P_5}$ are contained in X ; finally for each $Q_i \in \overline{P_0P_1}$, $1 \leq i \leq 3$, there exists two points on $\overline{P_2P_3}$ such that the joining line is contained in X . Thus \hat{f} has altogether $1 + 3 \times 2 + 2 + 3 \times 2 = 15$ isolated fixed points. Similarly, for **Family V-(2)(b)**, the fixed locus in $F(X)$ also consists of 15 isolated points.

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