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# Virtual Patient for Training Doctors to Break Bad News

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## ABSTRACT

The way doctors deliver bad news has a significant impact on the therapeutic process: disease evolution, adherence with treatment recommendations, litigation possibilities (Andrade *et al.*, 2010). However, both experienced clinicians and medical trainees consider this task as difficult, daunting, and stressful. Nowadays, training health care professional to break bad news, recommended by the French *Haute Autorité de la Santé* (HAS), is organized as workshops during which doctors disclose bad news to actors playing the role of patient. In our project, we are developing an embodied conversational agent simulating a patient to train doctors to break bad news. The embodied conversational agent is incorporated in an immersive virtual reality environment (a CAVE) integrating several sensors to detect and recognize in real time the verbal and non-verbal behavior of the doctors interacting with the virtual patient. The virtual patient will adapt its behavior depending on the doctor's verbal and non-verbal behavior. The methodology used to construct the virtual patient behavior model is based on a quantitative and qualitative analysis of corpus of doctors training sessions.

## Keywords

Embodied conversational agent; virtual reality; virtual patient; training platform

## 1. INTRODUCTION

The way doctors deliver bad news has a significant impact on the therapeutic process: disease evolution, adherence with treatment recommendations, litigation possibilities (Andrade *et al.*, 2010). However, both experienced clinicians and medical trainees consider this task as difficult, daunting, and stressful. Nowadays, training health care professional to break bad news, recommended by the French *Haute Autorité de la Santé* (HAS), is organized as workshops during which doctors disclose bad news to actors playing the role of patient. This training solution requires a huge amount of human resources as well as high level of preparation (each 30 mn session requires an hour of preparation), not to speak about funding. It is then difficult to propose the student a large variety of situation or patient profiles. It is then necessary to make use of information technologies applied to learning.

In this project, we aim at developing an embodied conversational agent (ECA) simulating a patient. Such a platform would play a decisive role for institutions involved in training (hospitals, universities): the needs concern potentially thousands of doctors/students. Organizing such training at this scale is not

realistic with human actors. A virtual solution would be then an adequate answer.

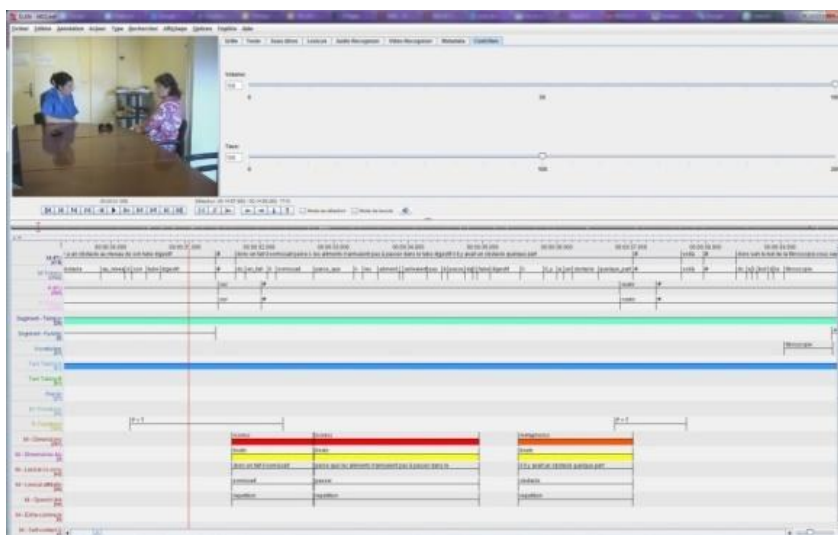
Our objective is to develop an *immersive* platform that enables doctors to train to break bad news with a virtual patient. For this purpose, we adopt a multidisciplinary approach in the project gathering computer scientists, linguists, psychologists and doctors. The objective of the paper is to present the global project (motivation, scientific challenges, and methodology). In the following, after a presentation of a state of art in this domain (Section 2), we present the corpus-based approach used to model the virtual patient (Section 3) and we introduce the training platform and its different components (Section 4).

## 2. STATE OF ART

For several years, there has been a growing interest in Embodied Conversational Agents (ECAs) to be used as a new type of human-machine interface. ECAs are autonomous entities, able to communicate verbally and nonverbally. They can attract and maintain the attention of users in an interaction, to make the interaction more expressive and more socially adapted. Indeed, several researches have shown that embodied conversational agents are perceived as social entities leading users to show behaviors that would be expected in human-human interactions (Krämer, 2005).

Moreover, recent research showed that virtual agents could help human beings improve their social skills. For instance, in (Finkelstein *et al.*, 2013), a virtual agent is used to train kids to adapt their language register to the situation. In the European project TARDIS (Anderson *et al.*, 2013), an ECA endowed the role of a virtual recruiter is used to train young adults to job interview. The E-circus project offers children a role playing environment with expressive virtual agents to learn how to deal with bullying situations at school (Weiss *et al.*, 2007). This research shows that embodied conversational agent can be used for social training since users will react to the ECA in a similar way that to another person and the socio-emotional responses of the agents will help them practice and improve their social skills.

Several ECAs embodied the role of virtual patients have already been proposed for use in clinical assessments, interviewing and diagnosis training (Cavazza and Simo, 2003; Andrade *et al.*, 2011; Johnsen *et al.*, 2005; Kenny *et al.*, 2008; Lock *et al.*, 2006). Indeed, previous research has shown that doctors demonstrate non-verbal behaviors and respond empathetically to a virtual patient (Deladisma *et al.*, 2006). In this domain, the research has mainly focused on the anatomical and physiological models of the virtual patient to simulate the effects of medical interventions or on models to simulate particular disorder. For instance, *Justina* is a virtual patient simulating Post Traumatic Stress Disorder



**Figure 1: Corpus annotation with Elan**

(PTSD) to train medical students' interview skills and diagnostic acumen for patient with such disorder (Kenny et al., 2008). DIANA (DIgital ANimated Avatar) is a female virtual character playing the role of a patient with appendicitis (Lock et al., 2006). In the eViP European project (<http://www.virtualpatients.eu>), the objective is specifically to develop a large amount of virtual patients simulating different pathologies. In our project, we focus on a virtual patient to train doctors to deliver bad news.

A first study (Andrade et al., 2010) has analyzed the benefits of using a virtual patient to train doctors to deliver bad news. The results show significant improvements of the self-efficacy of the medical trainees. The participants consider the virtual patient as "excellent instructional method for learning how to deliver bad news". The major limit of the proposed system, highlighted by the participants, is the lack of non-verbal behaviors of the patients simulated in the limited environment Second Life (Linden Labs, San Francisco, CA). Our objective in this project is to simulate the non-verbal emotional expression of the virtual patient to improve the believability of the virtual character and the immersive experience of the doctor.

Most of the embodied conversational agents used for health applications have been integrated in 3D virtual environment on PC. Virtual reality in health domain is particularly used for virtual reality exposure therapy (VRET) for the treatment for anxiety and specific phobias. For instance, people with a fear of public speaking may speak to an audience of virtual characters in virtual reality environment to reduce their anxiety in reality (Parsons and Rizzo, 2008). In our project, in order to offer an immersive experience to the doctor, we have integrated the virtual patient in a virtual reality environment.

Several researches have been conducted to simulate emotional state through the non-verbal behavior of embodied conversational agent. However, expression of emotions in virtual agents (e.g. Pandzic & Forcheimer 2002) often relies on the six prototypical expressions of emotions (joy, fear, anger, disgust, sadness, and surprise). Moreover, in the context of breaking bad news, the virtual agent has to be able to display specific panel of strong emotions and feelings (such as tears) through particular speech, postures, gestures and facial expressions. To our knowledge, no existing computational model has been developed to simulate the

effect of strong negative emotions on the behavior (verbal and non-verbal) of a virtual character.

Moreover, a virtual patient should be able to display verbal and non-verbal reactions appropriately according to the doctor's behavior. During an interaction, the interlocutors in fact coordinate or align their verbal and non-verbal behavior (e.g. feedback, mimicry). According to the Communication Accommodation theory (CAT), the interlocutors adapt the coordination of their behavior to express different social attitudes (Gilis et al., 1991). Recent research in human-machine interaction confirms this hypothesis: the coordination of the virtual agent's behavior on the one of the user or the divergence of its behavior reflects the agent's social attitude (e.g. appreciation, cold, mutual understanding, etc.) (e.g. Bailenson, et al., 2005). In the project described in this paper, sensors will be used to automatically detect in real-time the verbal and non-verbal behavior of the doctors during their interaction with the virtual patient. These inputs will then be used by the virtual patient to coordinate its behavior on the doctor's one depending on the social attitude to express. The methodology used to define the virtual agent's behavior is based on the analysis of a corpus of doctor-patient interaction to extract rules on its verbal and non-verbal reactions

### **3. A CORPUS-BASED APPROACH TO SIMULATE A VIRTUAL PATIENT**

For ethical reasons, it is not possible to videotape real breaking bad news situations. Instead, simulations are organized with actors playing the role of the patient. A corpus of such interactions has been collected in different medical institutions (the Institut Paoli Calmette and the hospital of Angers). Simulated patients are actors trained to play the most frequently observed patients reactions (denial, shock...). The actor follows a *pre-determined scenario*. The doctor (*i.e.* the trainee) receives details of a medical case before the simulated interaction starts (patient medical history, family background, surgery, diagnosis, etc.). On average, a simulated consultation lasts 30 minutes. The collected corpus is currently composed of 23 videos of patient-doctor interaction with different scenario (e.g. patient aggressive or accommodating). These simulated interactions of the collected corpus are transcribed and annotated (with Elan software – Figure 1) on *several levels*: at the discourse level (*e.g.* dialog phases, turn-



**Figure 2: Virtual reality environment for training doctors to break bad news**

taking) and at non-verbal levels (e.g. feedback, gaze, gestures, etc.).

The coding scheme has been defined by linguists in collaboration with doctors, based on a preliminary analysis of the corpus (Saubesty and Tellier, 2015). The objective of the annotation is to highlight the necessary information to model and implement a virtual patient and reproduce this kind of interaction.

The method used to extract information from the annotated corpus is based on a *multidisciplinary approach* combining (1) a manually analysis of the data by linguists for a qualitative analysis and (2) automatic requests (using the SPPAS software (Bigi, 2015)) and datamining algorithms (e.g. (Rabatel et al., 2010) on the data for a more quantitative study performed by computer scientists.

#### **4. VIRTUAL REALITY PLATFORM OF TRAINING**

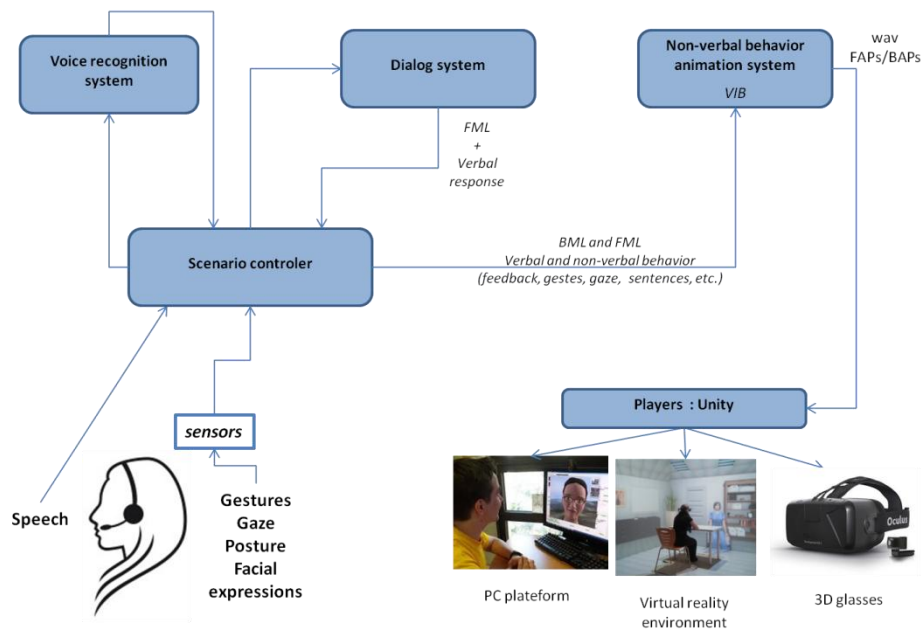
The tool we use to animate the virtual patient is the Greta System (Pelachaud, 2009). Greta offers several modules, each dedicated to particular functionality to both design new facial expressions and gestures and to animate in real-time 3D virtual agents in virtual environments. The lexicon of gestures of Greta is enriched by specific gestures and facial expressions of patients identified in the corpus.

The virtual patient has been integrated in the CRVM (Centre de Réalité Virtuelle de Marseille, platform of the ISM partner). The visualization system consists of a high-end platform called "CAVE™". CAVE™ is composed of four projection screens: frontal, ground and lateral projections. Each frontal and lateral screen has a projection surface of 3 meters wide by 4 meters high (Figure 2). The speech of the doctor is recognized by an

automatic speech recognition system (Nocera *et al.*, 2002). We are improving the system by learning the lexicon and language model of the speech recognition system on the transcript corpus of patient-doctor interactions. For this project, we have defined with doctors specialized in this kind of training, a specific use case that reflects a real situation in which doctors may train their social competences in delivering bad news. It is based on real scenario of training (a case of intestinal perforation) including some possible variations (e.g. patient aggressive or accommodating). The use case has been defined based on a common scenario written by experts at a medical simulation center for the formation of doctors with actors simulating patients. The dialog model of the patient is based on this scenario. We are defining the dialog rules that depend on the profile of the patient (aggressive or accommodating) and the discourse of the trainee (e.g. level of details, use of medical terms, etc.). The dialog rules and more generally the behavior of the virtual patient (e.g. reaction to the doctor's recognized speech, backchannels) is ongoing work that is based on the corpus analysis (as described in the previous section).

To consider the non-verbal behavior of the trainee, on the table in the CAVE, we will install a Kinect to automatically detect some non-verbal signals of the doctors (e.g. the gaze direction, head movements, posture, and facial expressions). The objective is to coordinate or in contrary discoordinate the non-verbal behavior of the virtual patient on those of the trainee depending on the attitude that the virtual patient should convey (e.g. aggressive versus accommodating).

The general architecture of the system is illustrated Figure 3. In this architecture, the *speech recognition system* (Nocera *et al.*, 2002) receives as input the doctor's speech captured from the microphone and transmits to the scenario controller the



**Figure 3: Global architecture of the system**

recognized speech. The *scenario controller* contains all the information on the verbal (turn taking, responses, interruptions, etc.) and non-verbal (socio-emotional reactions) behavior that the virtual patient should adopt to react to the doctor's behavior. This information is defined based on a predefined scenario. The *Scenario controller* receives as input the verbal response of the virtual patient through the dialog system. This information (virtual patient verbal reaction and socio-emotional state) is transferred to the *Non-verbal behavior animation system Greta* in the XML standard language FML (Functional Markup Language). The *Non-verbal behavior animation system Greta* (recently called *VIB*) computes the animation parameters (Facial Animation Parameters – FAP – and Behavioral Animation Parameters – BAP) to animate the face and body of the virtual patient. The *scenario controller* also informs the *Non-verbal behavior animation system Greta* when the doctor is speaking in order to generate listener's non-verbal behavior (e.g. head node). The *Non-verbal behavior system Greta* receives as inputs the detected non-verbal behavior of the doctor (gaze, head movements and facial expressions) to be able to coordinate its non-verbal behavior on the one of the doctor. Moreover, the *Non-verbal behavior system Greta* contains a text-to-speech system (Aylett and Pidcock, 2007) to generate the speech synchronizes with the non-verbal behavior (including the lips animation). The virtual patient is finally animated through different players on different platforms: *PC*, *Virtual reality environment* and *3D glasses*. Several modules of the architecture are currently under development.

To evaluate the platform, two types of evaluation are considered: the one, intrinsic to the system, aiming at estimating the quality of the conversational virtual patient, in terms of communication skills, the other proposing a measurement of the trainee performance. As for the first evaluation, different measures will be done, according to the component to be evaluated. Speech recognition will be measure with classical techniques (recal/precision), against the general corpus. The virtual patient will be evaluated thanks to user perceptive tests concerning the verbal and non-verbal behavior of the agent: the relevance of its

behaviors, the coherence of the attitude, the adaptation to the input information, etc. The trainee evaluation is an entire research subject. An evaluation grid will be defined, starting from the existing one currently used in the hospitals: the « Affective Competency Score » (Quest, Ander *et al.* 2006). ). The ACS will be scored by the trainees to measure their self-efficacy before and after a session with the virtual patient. Professional observers from the CHU will also rate the ACS to evaluate the trainees' performances. Moreover, a presence test questionnaire (such as the Witmer questionnaire (Witmer *et al.*, 1998)) will be used to assess the interactive experience of the trainees.

## 5. ACKNOWLEDGMENTS

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