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Thomas Barrès, Bernard Tribollet, O. Stephan, H. Montigaud, M. Boinet, et al.. Characterization of the porosity of silicon nitride thin layers by Electrochemical Impedance Spectroscopy. Electrochimica Acta, 2017, 227, pp.1 - 6. 10.1016/j.electacta.2017.01.008 . hal-01452732

HAL Id: hal-01452732

https://hal.science/hal-01452732

Submitted on 2 Feb 2017

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Characterization of the porosity of silicon nitride thin layers by Electrochemical Impedance Spectroscopy

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ABSTRACT

Silicon nitride thin films are widely used as diffusion barriers within stacks in the glass

industry but turn out to be porous at the nanometric scale. EIS measurements were conducted

on SiNx thin layers deposited on a gold layer. An electrochemical model was established to fit

the EIS measurementsmaking use of data from other complementary techniques. In particular,

Transmission Electron Microscopy was performed on these thin layers to determine the

diameter and the qualitative morphology of the pores. A quantitative determination of the

through-porosity of the layer was deduced from the EIS model and was in good agreement

with TEM measurements. Moreover, combining EIS with local observations enabled

inhomogeneities in the layer to be probed by highlighting a specific region in the layer.

Keywords

Electrochemical Impedance Spectroscopy; Transmission Electron Microscopy; Porosity;

Silicon Nitride; Thin Layer.

1. Introduction

Dielectric thin films have found many applications, including as insulators or diffusion masks inmicroelectronics [1] and antireflection coatings, beam splitters or polarizing elements in optical elements[2]. Their use is widespread as stacks of functionalized glazing layers in the glass industry[3] to adjust the optical properties, prevent corrosion or act as barrier layers to diffusion. Silicon nitride thin layers are widely used for their good barrier properties [4, 5], and we focus on this material for our study.

The deposition of silicon nitride layers can be performed using several techniques. Dense and homogeneous layerscan be obtained by Plasma-Enhanced Chemical Vapor Deposition (PECVD)[6], but suffer hydrogen inclusion related to the precursors which are involved. Magnetron sputtering is extensively used in the glass industryand furnisheshigh-quality silicon nitride thin layers on large substrates (3.2 x 6m²) with reduced costs [7].

However, SiNx thin layers deposited by magnetron sputtering turn out to be porous at the nanometricscale [8]. The determination of this porosity is crucial to understanding the barrier properties of the layer. In the case of larger pores (micrometric scale), Ahn*et al.*have given a quantitative description of through-coating porosity using Electrochemical Impedance Spectroscopy (EIS) [9].

The aim of this study is to give a quantitative description of the structure at the nanometer scale of these silicon nitride thin layers deposited by magnetron sputtering. The investigation of the porosity is carried outby Electrochemical Impedance Spectroscopy (EIS). We

combineEIS with local observations by Transmission Electron Microscopy (TEM) allowing us to determine the morphology of the pores in order to validate the electrochemical model.

2. Experimental

2.1. Material deposition

The working electrode studiedwas composed of a Glass/SiNx/NiCr/Au/SiNxmultilayer deposited on glass by magnetron sputtering. The base pressure in the chamber was 2 x 10⁻⁶ mbar. The under layers of silicon nitride and nickel chromium prevent the diffusion of alkali from the glass and promote the adhesion of gold respectively. The gold layer is deposited by magnetron sputtering using a high purity gold target under a total pressure of 8 µbar of Ar. Its thickness is set to 10 nm. The deposition of 30 nm of SiNxon the gold is executed by reactive magnetron sputtering in the same chamber as for the gold. A2%-aluminum-doped silicon target is sputtered in a gas mixture of Ar and N2at a total pressure of 14 µbar with fluxes of 180 sccm and 52sccm respectively (5.4 W/cm², 100 kHz). Thealuminum-doped targets do not crack under sputtering and generate fewer undesired particles in the chamber, thus reducing the brittleness of SiNx. Widely used in industrial production lines, they generateSiNx layers whose properties do not change from the case of deposition using a pure silicon target. [10] The SiNx thin film characteristics such as layer thickness, composition, surface roughness and optical index have been measured. The layer thickness was estimated by masking a part of the substrate then measuring the step obtained after deposition by Atomic Force MicroscopyAFM (ICON Bruker). We measured a thickness dof 30 nm for the SiNx layer. The surface

roughness was also evaluated by AFMto have anRMS value of 1.6 nm on a 500x500 nm area. The calibration of the deposition and the control of the reproducibility were provided by optical measurements (optical absorption and reflection spectrometry in the [250, 2500 nm] wavelength range). A density of 2.9 g/cm³was obtained for the sample via X-Ray Reflectometry (Bruker D5000, CuK α (1,2) / scintillator, XRR). Finally, the composition of the film was determined by Electron Probe Micro Analysis (CamecaSXFive) on the same layer deposited on a Ge wafer; the layer composition is the following: 54.6 at.% N, 40.9 at.% Si, 3.2 at.% Al, and 1.3 at.% O.

2.2. Electrochemical measurements

A three-electrode electrochemical cell, filled with 0.5 M Na_2SO_4 , was used in the electrochemical impedance measurements. The cell consists of a cylinder of PMMA (poly(methyl methacrylate))fixed on the sample surface,providing a working electrode area of 10 cm². The electrical contact is made through SiNx on the gold layer by ultrasonic welding. A sheet resistance of 2.6 Ω /sq was measured using a four-point probe. The imperfect transport of electrons in the 10 nm gold layer can induce a rather low resistance value which adds on to the electrolyte resistance value. A mercury-mercurous sulfate electrode and a 10 cm² platinum cylindrical sheet are used as reference and counter electrode respectively. A Pt wire is inserted in series with a 47 nFcapacitor connected to the reference electrode to avoid artefacts at high frequency due to the high impedance of the reference electrode [11]. The impedance measurements were performed using a Biologic SP 300 device, operating in

potential mode at the corrosion potential. We used a 14 mV peak-to-peak sinusoidal potential perturbation (10 mV RMS) whose frequency range extends from 100 kHz to 1 mHz with 11 points per decade. The sample was immersed 24 hours before the acquisition of the impedance spectra. The surface state of the sample was investigated by optical microscopy after measurement and showed no corrosion points or delaminated areas.

Data modeling was carried out using non-commercial software developed at the LISE CNRS, Paris, based on a simplex strategy. The program minimizes the function χ^2 given below (equation 1).

$$\chi^{2} = \sum_{i=1}^{nf} \left[\left(\frac{Re(Z_{e,i}) - Re(Z_{c,i})}{0.005.|Z_{e}|} \right)^{2} + \left(\frac{Im(Z_{e,i}) - Im(Z_{c,i})}{0.005.|Z_{e}|} \right)^{2} \right]$$
(1)

With nf the number of frequencies in the experimental spectra, Z_e and Z_c the experimental and calculated impedance respectively, and the 0.005 coefficient standing for an acceptable error of 0.5% between the experimental and the calculated spectra.

The reproducibility of the measurements was tested. Deviations from reproducibility can be observed between several deposited samples and the inhomogeneity of the deposition can be measured by EIS on the sample itself. Thus, the samples presented in this study come from the same batch and the tendencies emerging from the experimental results exceed the reproducibility errors.

2.3. Transmission Electron Microscopy

The SiNx layers were observed in cross section by Transmission Electron Microscopy (TEM) in bright-field mode after preparation of a thin lamella by Focused Ion Beam (FIB). These observations were carried out on an Akashi Topcon EM-002B microscope at 100 kV with under-focused conditions (up to 50 nm in defocus).

The deposition of silicon nitride on commercial membranes (15 nm of silicon nitride support film deposited on a silicon wafer with nine windows of $100x100~\mu\text{m}^2$) allowed us to observe the layers in plan view. Scanning Transmission Electron Microscopy (STEM) measurements were performed in planview with a spherical aberration-corrected NION USTEM 200 instrument operated at an accelerating voltage of 100 kV. High-Angle Annular Dark-Field (HAADF) images were acquired.

3. Theory

The impedance of a dielectric layer can be represented by the series connection of several sets of Voigt elements (a resistor in parallel with a capacitor). [Figure 1]The schematic representation in figure 1 shows that the impedance tends towards a resistance at low frequency and towards a pure capacitance at high frequency.

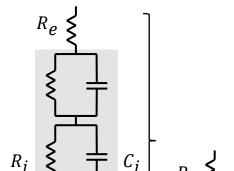


Figure 1 – Electrical circuit modelling the impedance of a dielectric layer. On the left is represented a set of Voigt elements (a resistance in parallel with a capacitance) in series. This set can be written as the sum of each Voigt element (right part of the figure).

To each of these Voigt elements is associated a slice of a given thickness dx. Thus the local resistance can be written as a function of the local resistivity $\rho(x)$ and of the thickness of the correlated slice, while the local capacitance can be expressed as a function of the local permittivity $\varepsilon(x)$, the vacuum permittivity ε_0 , and of the thickness of the slice (equation2). The variable x is set equal to 0 at the electrode/layer interface.

$$R_n = \rho(x)dx$$
 $C_n = \varepsilon(x)\varepsilon_0/dx$ (2)

The local impedance can be written as afunction of the local resistivity and permittivity, and then the global impedance Z is deduced for a layer of thickness d (equation 3).

$$dZ = \frac{\rho(x)dx}{1+j\omega\rho(x)\varepsilon(x)\varepsilon_0} \qquad \qquad Z = \int_0^d \frac{\rho(x)dx}{1+j\omega\rho(x)\varepsilon(x)\varepsilon_0}$$
(3)

If the permittivity is independent of position, the impedance becomes:

$$Z = \int_0^d \frac{\rho(x)dx}{1 + j\omega \, \rho(x)\varepsilon\varepsilon_0} \tag{4}$$

The impedance depends of the resistivity distribution in the layer. Two main distributions of resistivity are commonly presented in literature: the Young model [12] and the power-law distribution which was proposed by Hirschorn*et al.* [13, 14].

Young proposed an exponential decay of the resistivity as a function of the local position along the direction normal to the surface x. The resistivity for x = 0 corresponds to the intrinsic value of the material ρ_0 and a characteristic length l is introduced (equation 5).

$$\rho(x) = \rho_0 \exp(-x/l) \tag{5}$$

With this distribution the integral can be written in the analytical form called the Young model (equation 6). This expression was used first by Schiller *et al.* [15] for the study of coatings and later by Nguyen *et al.* [16].

$$Z_c(\omega) = \frac{-l}{j\omega \, \varepsilon \varepsilon_0} \ln \left[\frac{1 + j\omega \, \varepsilon \varepsilon_0 \rho_0 e^{-d/l}}{1 + j\omega \, \varepsilon \varepsilon_0 \rho_0} \right] \tag{6}$$

Since the Young model presents a variable phase across the range of frequency, this model cannot explain the Constant Phase Element (CPE) behavior found in some experiments. Thus Hirschorn*et al.* [13, 14] proposed another model based on a power-law distribution of resistivity in the layer. The resistivity is expressed as a function of the thickness of the layer

d, the depthx in the layer and the limit values of the resistivity ρ_0 and ρ_d at the interfaces x=0 and x=d respectively (equation 7).

$$\frac{\rho}{\rho_d} = \left(\frac{\rho_d}{\rho_0} + \left(1 - \frac{\rho_d}{\rho_0}\right) \left(\frac{x}{d}\right)^{\gamma}\right)^{-1} \tag{7}$$

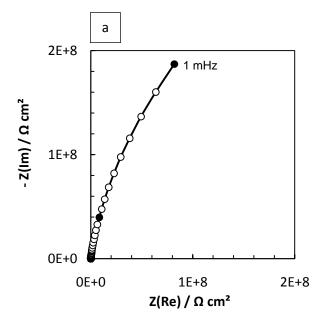
For a frequency $f < (2\pi\varepsilon\varepsilon_0\rho_d)^{-1}$, the corresponding impedance is expressed as:

$$Z_c(\omega) = (1 + 2.88\gamma^{-2.375}) \frac{d\rho_d^{1/\gamma}}{(\rho_0^{-1} + j\omega\varepsilon\,\varepsilon_0)^{(\gamma - 1)/\gamma}}$$
(8)

This expression shows a CPE behaviorwith a coefficient $\alpha = \gamma - 1/\gamma$ in the frequency domain $(2\pi\varepsilon\varepsilon_0\rho_0)^{-1} < f < (2\pi\varepsilon\varepsilon_0\rho_d)^{-1}$.

4. Results and discussion

The Nyquist and Bode diagrams of the impedance measurements of SiNxfilms on gold are presented in figure 2[Figure 2].



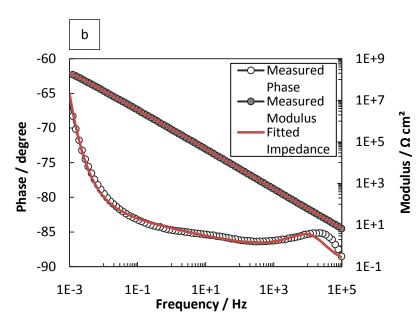


Figure 2 – Impedance spectra for 30 nm SiNx on gold obtained in 0.5 M Na₂SO₄ at the corrosion potential. **a.**Nyquist plot of the impedance showing the dependence of -Z(Im) vs Z(Re). In insert, an enlargement of the scale showing the electrolyte resistance value. **b.** The experimental Bode plot is presented corrected for the ohmic drop in white and gray circles for the phase and the modulus of the impedance respectively. The fitted Bode plot obtained from the optimization (based on a simplex method) of the electrical circuit model parameters is represented in red lines.

The Bode diagram obtained shows a slow decrease in the phase across the [100 mHz, 1 kHz] frequency range. The electrolyte resistance value was determined by the intersection of the Nyquist plot with the real axis (insert in figure 2a).

4.1. Cole-Cole plot

In order to obtain the permittivity of the SiNx, the layer was considered as a complex capacitance and studied in a Cole-Cole representation [17]. The Cole-Cole plot presented in figure 3 [Figure 3] was obtained from the impedance spectra and the electrolyte resistance determination (equation 9).

$$C(\omega) = \frac{1}{j\omega(Z(\omega) - R_e)} \tag{9}$$

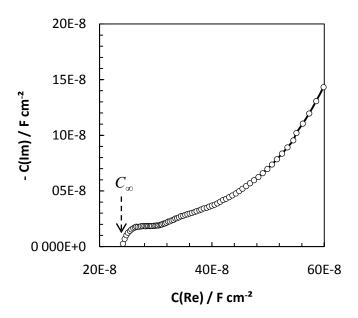


Figure 3 – Cole-Cole plot obtained from the impedance measurement of a 30 nm SiNx layer representing the complex capacitance C as -C(Im) vs C(Re). The C_{∞} value stands for the extrapolation to high frequency of the plot (intersection with the real axis).

The extrapolation of the real part of the capacitance to high frequency leads to a value of $0.24\mu F/cm^2$. The thickness of the layer is well determined from the calibration of the deposition (30 nm), so the permittivity value is easily deduced from the capacitance using equation 10. [18]

$$\varepsilon = \frac{dC_{\infty}}{\varepsilon_0} \tag{10}$$

with ε the permittivity of the layer, ε_0 the vacuum permittivity, d the thickness of the layer, and C_{∞} the extrapolation at high frequency of the capacitance.

We found a permittivity of 8.1 for this 30 nm SiNx layer, which is in good accordance with the literature.[7, 19]

4.2. Electrical equivalent model

Since the phase across the [100 mHz, 1 kHz] frequency range is not constant and does not correspond to a CPE, the Young model seems to be more appropriate than a power-law model to fit the our datain this frequency range. Thus, the global model used is composed of a Young impedance in parallel with a branch composed of a pore resistance in series with CPE representing the electrical double layer at the bottom of the through-pores.

The first branch of the model is described by the Young impedance. This impedance is related to a resistivity gradient due to the distribution of non-through-pores in the axial direction x in the layer (equation 5).

The second branch of the model describes the impedance in the through-pores, using a CPE (Q_{dl}, α_{dl}) for the double layer at the bottom of the pores in series with a pore resistance. [Figure 4].The gold electrode is considered as a blocking electrode since the value of the charge transfer resistance is substantially higher than the impedance of the CPE in the frequency range of the measurements.

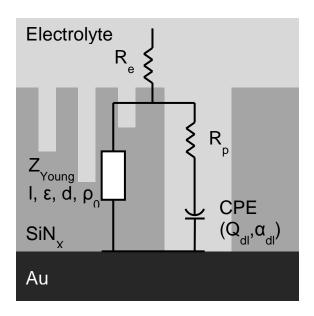


Figure 4 – Equivalent circuit used to describe the impedance of a 30 nm SiNx layer. The Young parameters are the intrinsic resistivity of the layer ρ_0 , a characteristic length l, the permittivity of the layer ε , and the thickness d. The right branch of the impedance is constituted by a pore resistance (R_p) in series with the electrical double layer CPE (Q_{dl}, α_{dl}) .

The experimental data and the result of the fittingmodel of the impedance are represented in a Bode plot (Figure 2b): the model is in good agreement with the data, with $a\chi^2$ value of 1.08. The high frequency region (from 10 kHz to 100 kHz) is less compatible with the model, since the bump on the phase is not well fitted. However, the impedance modulus corrected for the ohmic drop tends to zero at high frequency, which implies a concomitant reduction of the signal-to-noise ratio. Hence, the confidence in the goodness of the fit in the high frequency region is hard to evaluate due to these low values of the impedance modulus.

The parameters used in the regression are given in the table 1. [Table 1] The value of 4.1 10^{14} Ω cm determined for the intrinsic resistivity corresponds to those found in literature for magnetron-sputtered Si_3N_4 [20]. The pore resistance shows a relatively low value which may indicate a high through-porosity – see next section.

d (nm)	$R_e \over (\Omega cm^2)$	3	$ ho_0 \ (\Omega cm)$	l (cm)	$Q_{dl} \over (\Omega^{-1} cm^{-2} s^{\alpha})$	α_{dl}	R_p (Ωcm^2)	χ^2	C _{dl} (F.cm ⁻ ²)
30	43.8	8.1	4.1 10 ¹⁴	3.0 10 ⁻⁶	2.2 10 ⁻⁷	0.85	280	1.08	3.9.10 ⁻⁸
AFM	Nyquist	Cole-Cole	Fit	Fit	Fit	Fit	Fit		Brug

Table 1 – Results of the measured impedance fitting for SiNx in 0.5 M Na₂SO₄. The layer thickness was measured by AFM, the electrolyte resistance was determined from the Nyquist diagram and the permittivity was obtained from the extrapolation of the Cole-Cole representation at high frequency. All the other parameters are fitted with the electrical equivalent circuit presented in figure 4. The value of χ^2 is obtained for an estimated error of 0.5 %. The effective value of the electrical double layer determined with the Brug formula is reported in the last column.

4.3. Quantification of the through-porosity

The CPE used for the double layer model is assumed to correspond to a surfacevariation of the time constant. Indeed, the electric double layer is located at the bottom of throughporosity on the conductive accessible electrode surface. Thus, the formula proposed by Brug et al. [21] can be applied to this system in order to extract the effective capacitance value of the electric double layer. By dividing the effective capacitance value obtained at the bottom of the through-pores by the value determined on the gold electrode without the layer, we are able to give the fraction of electrode accessible due to through-porosity.

EIS measurementswere made on the gold electrode without the SiNx layer. [Figure 5] The Bode diagram is presented across the frequency range [0.01; 100 Hz]. The frequency domain was selected in order to model the electrical double layer formed on the gold electrode. Furthermore, the high frequency limit was set such that the measured modulus value corresponds to the electrolyte resistance, to avoid any artefact. The result is a constant phase in this frequency range which is modelled by a CPE for the electric double layer.

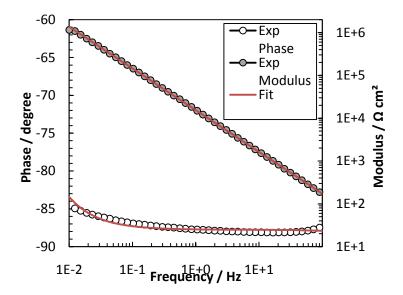


Figure 5 – Bode plot of the measured impedance spectra in 0.5 M Na₂SO₄ of a 10 nm gold layer deposited on glass. The white and gray circles stand for the experimental phase and modulus respectively. The fitted plot is represented in red lines.

We applied the following Brug formula on gold with and without the SiNx layer, neglecting the charge transfer resistance in the system.

$$C_{eff} = Q_{dl}^{1/\alpha} R^{(1-\alpha)/\alpha} \tag{11}$$

R stands for the electrolyte resistance (R_e) in the case of the gold electrode without coating and for the pore resistance (R_p) in the case of the silicon nitride-coated electrode.

We found effective capacitances of $3.9.10^{-8}$ F.cm⁻² and $8.9.10^{-6}$ F.cm⁻² for coated and uncoated gold respectively, corresponding to 0.44 % of accessible surface of the electrode at the bottom of through-pores. When considering the pore resistance value of $280 \ \Omega \ cm^2$ and the

thickness of the layer (30 nm), the accessible surface of the electrode determined by Brug allows us to calculate an electrolyte resistivity value inside the through-pores of 4.2 $10^4 \,\Omega$ cm. This value is rather high compared to the electrolyte resistivity in the rest of the cell (around 7 $\,\Omega$ cm), probably due to a lower ionic concentration in the pores than in the solution expected for systems of nanometric size.

The nanostructure of the layeris clear from STEM-HAADF images acquired in plan-view mode [Figure 6]. The diameter of pores was estimated with a good precision and is comprised between 0.5 and 2.0 nm. Additionally, the porous surface is estimated from such images by applying an intensity threshold which corresponds to the absence of the nitride layer: the obtained value between 1% and 2% of the total surface. Since the fraction of pores which reach the electrode cannot be measured in plan-view observation, the porous surface calculated from STEM acquisition is certainly higher than the accessible surface of the electrode.

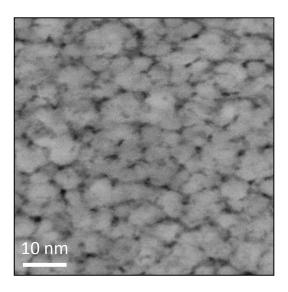


Figure 6 – HAADF-STEM image of 30 nm SiNx deposited on a silicon nitride commercial membrane. The pores appear darker than the solid nitride.

4.4. Inhomogeneity in the thickness direction

The impedance of the non-through-pores is described by the Young model. The resistivity of the layer decreases exponentially from the substrate interface to the upper layer surface in contact with the electrolyte (equation 5). Samples of different thicknesses were deposited by magnetron sputtering. Thus, the intrinsic resistivity is equivalent for all these layers. The following figure [Figure 7] presents the resistivity profiles obtained from the Young model for these samples normalized to the intrinsic resistivity. Error bars were estimated from the study of the reproducibility and homogeneity of the deposition. Indeed, several samples (up to 9) with the same thickness and from the same deposition conditions were measured by EIS and fitted with the same model as previously. The resistivity values obtained from the fit were compared in order to give a standard deviation for the resistivity parameter and to provide the error bars presented in figure 7. For each depth value, a gap separates the profiles of the thinnest layers (10 and 20 nm) from the others (30 to 100 nm), while the profiles seem superimposed between 30 and 100 nm. Higher values of resistivity for thicker layers at a given depth could mean that the ionic concentration in the pores is lower. Increasing the thickness of the layer from 20 to 30 nm appears to block the ions' access to the electrode.

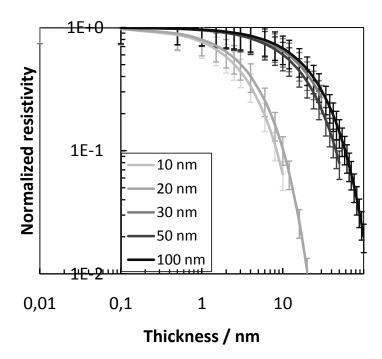


Figure 7 – Resistivity normalized by the intrinsic resistivity ρ_0 vs depth x in log-log representation for layers of different thicknesses. The variable e is 0 at the electrode and is equal to the value of the total thickness at the surface. These profiles were obtained from the Young model used in the fitting of the experimental impedance spectra. Error bars were added with respect to the reproducibility of the measurements.

This specific area near the substrate highlighted by EIS was investigated using TEM. The cross section bright-field observation of an FIB-prepared lamella from a 60 nm SiNx layer is presented in the following figure [Figure 8]. In this mode of acquisition, the voids or pores in the layer appear in lighter shades than the matter. The layer seems to be particularly inhomogeneous in the thickness direction. Indeed, at least three areas of different porosity seem to be distinguished across the thickness. The first 10 nm exhibit a dense nanostructure

while the 10 nm to 30 nm area seems highly porous. From 30 nm to 60 nm, the pores appear fewer. This TEM observation qualitatively confirms the discontinuity of pores ascertained from impedance measurements between 20 and 30 nm, moving from a highly porous zone to a less porous zone.

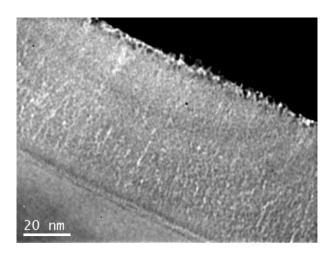


Figure 8 – TEM image in bright-field mode of a cross section of a 60 nm SiNx layer. The pores appear brighter than the layer.

5. Conclusions

This study shows that impedance spectroscopy is a technique well suited to giving a global description of nanometer-scale pores in silicon nitride thin layers. Quantitative physical parameters are obtained by means of EIS, such as the intrinsic resistivity and the permittivity of the SiNx layer, the electrolyte resistivity in the through-pores and the accessible electrode surface area at the bottom of the pores. The layer is represented by an equivalent model composed of a Young impedance representing non-through-pores in parallel with the charge

transfer at the bottom of the through-pores. The impregnation of the non-through-pores of the layer by the electrolyte is described by an exponential gradient of resistivity. The quantification of the accessible electrode fraction was realized using the Bruget al. formula [21] with the parameters of the electrical double layer and gives a value of accessible electrode area of 0.44 % for a 30 nm SiNx layer deposited on gold. A STEM HAADF observation in plan view of the layer was used to determine a diameter of the pores ofbetween 0.5 and 2.0 nm and showedan upper value of 2 % for the global porosity, compatible with the accessible surface area determined by EIS.

Additionally, the representation of the resistivity profile for layers with different thicknesses highlighted a specific area in the layers near the electrode. This result was confirmed by TEM bright-field observation of the cross section of a 60 nm layer showing discontinuous pores in the layer.

Acknowledgements

The authors gratefully acknowledge the support of Saint-Gobain Recherche (Aubervilliers, France), the "Laboratoire Interfaces et SystèmesElectrochimiques" (UMR 8235, Paris, France), the "Laboratoire de Physique des Solides" (UMR 8502, Orsay, France) and the ANR - "Programmeinvestissementd'avenir" with the Equipex TEMPOS-NANOTEM (for the FIB preparation).

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