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# *On Śaiva Terminology: Some Key Issues of Understanding*

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## On Śaiva Terminology: Some Key Issues of Understanding

Lyne Bansat-Boudon

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**Abstract** The goal of this paper is to reconsider some key concepts of nondualist Kashmirian Śaivism whose interpretation and translation have generally been the subject of some sort of silent consensus. Through the close examination of a particular text, the *Paramārthasāra* of Abhinavagupta and its commentary by Yogarāja, as well as of related texts of the system, I shall attempt to improve upon the understanding and translation of terms such as *ghana* (and the compounds derived therefrom), the roots *sphar*, *sphur*, *pra]kāś* etc., and their derivatives, *bhavanakartṛtā*, and the names of the three *malas*. Further, *bhāvanā* will be discussed in its Śaiva acceptance and a more suitable translation suggested.

**Keywords** Śaivism · Śaiva terminology · Kashmirian Śaiva philosophy · Nondualism · Trika · Abhinavagupta

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This paper was first presented at the special panel on ‘Śaiva Philosophy’ that Judit Törzsök and I organized for the 15th World Sanskrit Conference, held in Delhi in January 2012. It offers a developed version of various observations scattered in the notes and appendices to my translation of Abhinavagupta’s *Paramārthasāra* (see n. 2). I would like to express my gratitude to Edwin Gerow, whose suggestions on the first section of this paper greatly improved its readability. I am also grateful to Judit Törzsök for her reading of a first draft of this essay and her insightful contribution on the points that were raised in our discussions.

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This paper will deal with Śaiva terminology, chiefly that of the nondualist Pratyabhijñā during what is traditionally called the ‘age of the Exegetes’,<sup>1</sup> and will propose what I believe are more accurate translations for some of its key notions— notions whose interpretation and translation have generally been the subjects of a silent consensus.

Through the close examination of a particular text, the *Paramārthasāra* [PS] of Abhinavagupta, and its commentary by Yogarāja, the *Paramārthasāravivṛti* [PSV],<sup>2</sup> but often expanded by reference to related texts of the system, I shall attempt to improve upon the understanding and translation of terms such as *ghana* (and the compounds derived therefrom), the roots *sphar*, *sphur*, [*pra*]kās etc., and their derivatives, *bhavanakarṭṛtā*, and the names of the three *malas*. Further, *bhāvanā* will be discussed in its Śaiva acceptation and a more suitable translation suggested.

My main hope is to render more precisely the philosophical sense or purpose of some of these terms by according greater weight, when appropriate, to contextual intelligibility than to a presumed literalness based on etymology (which, needless to say, must still be the first stage of a translation exercise)—even though such translation would incur the cost of abandoning an original metaphor (as is the case with my propositions for the roots conveying the sememe of light).

In any case, I hope to avoid, as much as possible, the double temptation of a periphrasis that appropriates elements of the term’s Sanskrit gloss (see infra, p. 49, some translations of *sphuraṇa*, etc.) or the kind of hybrid morphology that consists in suffixing a Sanskrit stem with an element taken from the target language, which may be considered an easy way out, apart from the inevitable usage of such hybrids in designating philosophical and religious systems (Hindu-ism, Shaiv-ism, etc.).

A final desideratum would be the selection of a term or an expression that is recognized as natural in the target language and is fitted to its syntactical context.

One might suspect that the principle of avoiding periphrasis is far from being observed in the terms I propose for the three *malas*, for instance.<sup>3</sup> My answer is that, although my translations for the three *malas* may appear to be expanded, the expansion is not a periphrasis but rather a syntactical suppletion—a suppletion made necessary not only by the transposition from Sanskrit to a language, such as English, which lacks the austere compositional facility of Sanskrit, but also by the philosophical discourse to be read through the terminology, where it is desirable to give preference to the subjective point of view of the bound soul (*paśu*) engaged in the process of achieving its ultimate freedom, sovereignty and purity (see, infra, p. 76).

<sup>1</sup> In his works, Abhinavagupta designates the doctrine of which he is a notable exponent (indeed, the most fecund), namely nondualistic Śaivism, as the ‘Trika’, or ‘Triad’. Therefore, in what follows, I shall also refer to this system of thought with this term. On the Trika, or ‘Triad’ of three principles: Śiva, Śakti and the embodied soul (*nara*), see, for instance, *Tantrāloka* [TĀ] X 1, XIII 348 and (as the periphrastic expression ‘*ṣaḍardha*’, ‘half of six’) TĀ XIII 301 (where is established the supremacy of the Trika over all Śaiva currents), XVI 158, XXXVII 26, 68, etc. On the historical development of the Trika and other symbolic meanings of the term itself, see Sanderson (2007, pp. 370–383). The pre-eminent concern of this essay, ‘nondualistic’ or ‘nondual’ (*advaita*) Śaivism of Kashmir, will be referred to simply as Kashmir Śaivism or even as Śaivism (and the corresponding adjectives) unless the context requires more precision.

<sup>2</sup> I shall refer to the edited text and the translation of PS and PSV given in Bansat-Boudon and Tripathi (2011).

<sup>3</sup> Namely: the ‘impurity of [deeming oneself] finite’ for *ānavamala*, the ‘impurity of [regarding the world as] objective’ for *māyīyamala*, and the ‘impurity of [supposing oneself the agent of] actions’ for *kārmamala*.

From the beginnings of the exegetical period of nondualist Kashmirian Śaivism, we find terminological metaphors in, for instance, a notion that has given its name to one of the two main schools of the system, namely, *spanda*, literally ‘vibration’, ‘pulsation’, but employed as a designation for the supreme principle, conceived of as pure Consciousness animated by Śakti, its Energy.<sup>4</sup>

The translator of the Śaiva corpus is thus more than once required to face the question of whether to preserve or not the original metaphor. There is, of course, no single answer to this question, as will be developed in what follows.

## A Paradoxical Conception of *Cit*, ‘Consciousness’

*Cidrasa*, ‘Fluidity of Consciousness’ vs. *Cidghana*, ‘Mass of Consciousness’

In their attempts to convey the notion of *cit*, ‘consciousness’—fundamental in the system—Śaiva texts oscillate between two opposing analogies, on the one hand, viewing *cit* as *rasa*, ‘sap’, ‘fluidity’, on the other, as *ghana* ‘mass’. These opposites nevertheless coincide in the notion that *cit* is the ‘source’, whether fluid or compact, of every existing thing.

Despite this rivalry, the “dense” analogy of *cit* as *ghana* (with its many variants) predominates as a *topos* in the texts. One observes even a kind of specialization attaching to each image, *cit* as *rasa* occurring when a contrast with the notion of the Lord as *jaganmūrti*, ‘embodied in the shape of the world’, is at issue—in accordance with the inner logic of the Pratyabhijñā system, which justifies the materiality and multiplicity of the phenomenal world in terms of a unique reality, *cit*, manifested therein.

Such a notion of manifestation, or crystallization, of a deity who is consciousness is made necessary by the nondualism of the doctrine itself, which in its own terms is a *svātantryavāda*, a ‘Doctrine of freedom’. Indeed, no gross determinism is implied by this solidification of consciousness, but rather the Lord’s free and sovereign, indeed playful,<sup>5</sup> will to manifest himself without precondition, almost whimsically, in the manner of a ray of light or a bolt of lightning (hence the ubiquitous usage of roots such as *sphur*, *sphuṭ*, etc; see *infra*, p. 49). The Lord’s freedom is itself the one cause of phenomenal manifestation.

The idea of consciousness as fluidity crystallized in the world of phenomena appears in texts like Kṣemarāja’s auto-commentary on *Pratyabhijñāhṛdaya* [PH] 4, pp. 55–56:

*śrīparamaśivaḥ* [...] *cidrasāśyānatārūpāśeṣatattvabhuvana-*  
*bhāvataṭṭatpramātrādyātmatayāpi prathate* /,

‘Paramaśiva [...] manifests [lit., ‘displays’] himself both as the totality of principles, worlds and entities and as their respective experiencers, that are only a solidified form of the essential fluidity of consciousness.’<sup>6</sup>

<sup>4</sup> On *spanda*, see *infra*, p. 76.

<sup>5</sup> It is the recurrent notion of *krīḍā*, as the expression of the Lord’s sovereign freedom; see Yogarāja’s *maṅgala* verse, quoted *infra*, p. 43.

<sup>6</sup> Unless otherwise noted, all translations are mine.

The image is fully developed in the *Cicchaktisaṃstuti*, a non-Śaiva text,<sup>7</sup> which is nevertheless quoted in the *Spandapradīpikā* [SpP], Utpalavaiṣṇava's commentary to the *Spandakārikā* [SpK], which uses the same terminology:

*prāśyānaś cidrasasyoghaḥ sākāratvam upāgataḥ /  
avaśyāyah prabodhārke tūḍite svasvabhāvabhāk //*

'The stream of the essential fluidity of consciousness solidifies, assuming [concrete] forms. However, it recovers its own essential nature [i.e., its fluidity], as does the morning dew, when the Sun of consciousness rises.'<sup>8</sup>

Kṣemarāja's *Spandanirṇaya* [SpN] I 2 also makes use of the metaphor:

*tato 'yaṃ cidātmā bhagavān nijarasāśyānatārūpaṃ jagad unmajjayatīti  
yujyate,*

'Therefore, it is perfectly valid to say that the Lord who is consciousness brings about the emergence of the world by solidifying his own essence.'

Thus, consciousness (or the Lord), when oriented toward objectivity in the process of *bhedavyakti*, 'manifestation of difference', is described in terms of a gradual solidification, or crystallization, which process ends with the grossest element, *prthivītattva*. This process is emphasized through terms such as *śyāna* (or *āśyāna*, or *prāśyāna*) and *mūrti*, which latter, even in its common acceptance, 'form' or 'image', signifies also the materialization of the essential universality of the divinity, as confirmed by the usual derivation from the root *murch*, 'solidify', 'coagulate'.<sup>9</sup>

### *Cidghana*, 'Mass of Consciousness'

The *Paramārthasāra* itself does not use the term *cidghana*, or its variants. Rather we find (v. 35) the upaniṣadic and vedāntic concept of *jñānaghana*—the source being emphasized even in Yogarāja's avataraṇikā [avat.].<sup>10</sup>

It is Yogarāja himself who employs the term in his very first *maṅgala* verse:

*cidghano 'pi jaganmūrtiā śyāno yaḥ sa jayaty ajaḥ /  
pracchādanakriḍāvidagdhāḥ parameśvaraḥ //*

<sup>7</sup> Its author, Yogiṅātha, could have been a non-sectarian Śākta, whose syncretic exposition attempts to integrate both the Vaiṣṇava and the Śaiva points of view; see Dyczkowski (1992, p. 290).

<sup>8</sup> Śāstrī Islāmpurkar (1898, p. 6).

<sup>9</sup> In *murch*, the element *-ch* is the inchoative suffix aggregated to the root by the Pāṇinian tradition—the primary root being *\*mūr*, 'to stiffen up', 'to harden', which is not attested in Sanskrit as a verbal root, but is present in such nominal derivatives as *mūrti*, 'form', or *mūrkhā*, 'stupid'.

<sup>10</sup> *vedāntabhāṣābhīḥ* [...], 'by reference to language taken from Vedāntic [i.e., Upaniṣadic] statements'—be the compound taken as a *tatpuruṣa*—, or 'by reference to commonly used languages and also to Vedāntic statements (the latter drawn from certain Upaniṣads)—be the compound taken as a *dvandva*. The term 'vedānta' here presumably refers to the Upaniṣads directly, rather than the late Vedānta, as one of the six *darśanas*; and *bhāṣā* rather translates as 'worldly' language, which are multiple, as opposed to Vedic-Upaniṣadic language. This then would presumably be a *dvandva*.



‘To the One who, although nothing but a mass of consciousness, is yet solidified in the form of the world, to the unborn One who is proficient in the play (*krīdā*) of concealing his own Self, glory to this Supreme Lord.’

Note that this usage deviates rather from the principle enunciated above, where *cidrasa* was contrasted with *jaganmūrti*: in this case, the text associates “massiveness” with both *cit* and *jagat*, *ghana* to the former, *mūrti* to the latter. The connotations are however different—the “massiveness” of *cit* implies its uniformity and homogeneity rather than its material “solidity”. This compactness, which, understood ontologically, is animated by the subtle pulsation (*spanda*) of *śakti*, is as well the efficient cause of that other compactness, the phenomenal world (*jagat*), now patently endowed with the discursive dynamism of the unfolding thirty-six *tattvas*.

I have opted to translate the image, much exploited in nondualist Kashmirian Śaivism, of consciousness as ‘solid’ or ‘compact’ (*ghana*) more or less literally, though it probably seems quite paradoxical to the Western reader, as ‘solidity’ is normally associated with physical objects, especially weighty ones, not with events of the mind. But it also appears to be the case that Śaiva authors were quite aware of the paradox as well, and had good reason to stress it. Even in modern Sanskrit, the term *ghana* continues to be associated with the gross, rather than the subtle, as for instance ‘ice’ is commonly referred to as ‘*ghanībhūtaṃ jalam*’.

Several rationales can be adduced for this unusual metaphorical usage, among them the fact of paradox itself, which may serve (as it often does in Advaita and Mādhyaṃika explanations) to shock the mind out of its usual habits and to prepare it for unexpected insights.

It may also be the case, especially for nondualist Śaivas—who do not dismiss the “solid” world as a purely illusory phenomenon (as is usually done by Advaitins, and even more radically by Vijñānavādins),<sup>11</sup> but see it as an activity of the Lord himself—that, by this paradox, attributes normally associated with the effect are transferred to the cause, emphasizing thus the cause’s truly substantial reality.

The figure underlying the usage is then a kind of *hypallage*, an *exchange* of qualities between the world and consciousness. Thus the substantive *ghana* functions as a kind of epithet transferred from the world to consciousness.

Which suggests a third rationale for the usage: namely, that what is at issue here are the *connotations* suggested by ‘solidity’ in its literal sense: consciousness is ‘compact’, ‘uniform’, ‘pervasive’, etc.

And finally, in stressing what amounts to the materiality of consciousness, Pratyabhijñā authors may be making a point that is often associated with the ‘material cause’ (in Aristotelian terms), namely, that, quā matter, all form is superadded and *ipso facto* extrinsic. As *Chāndogyopaniṣad* [ChU] says, what is ‘real’ is the clay, not the pot or the dish or the toy formed of it. *Mutatis mutandis*, the clay (as matter) is ‘inexpressible’ except as or through form—one cannot encounter clay as such, and yet all clay objects are nothing but clay.<sup>12</sup>

<sup>11</sup> See *infra*, p. 54ff.

<sup>12</sup> It is Uddālaka’s discourse on the unity of Being (ChU VI 1, 4ff.): *yathā saumya ekena mṛtipiṇḍena sarvaṃ mṛnmayam vijñātaṃ syāt vācārambhaṇam vikāro nāmadheyaṃ mṛttikety eva satyam*, ‘Just as, my dear, by one clod of clay all that is made of clay becomes known, the modification being only a name arising from speech while the truth is that it is just clay’ (transl. Radhakrishnan).



Taken together, these interpretive possibilities present a strong justification for this apparently anomalous metaphor.

However that may be, the formula does have Upaniṣadic antecedents, such as *prajñānaghana* or *vijñānaghana*, ‘massive cognition (or ‘consciousness’)’ applied to *brahman* or *ātman*; for instance in *Bṛhadāraṇyakopaniṣad* [BĀU] IV 5, 13: *evaṃ vā are 'yam ātmā [...] kṛtsnaḥ prajñānaghana eva,*<sup>13</sup> and II 4, 12: *idaṃ mahad bhūtam [...] vijñānaghana eva,*<sup>14</sup> where the connotation to be derived from the parallel analogy of the *mass* of salt (*saindhavaghana* or *saindhavakhilya*) dissolving in water is that of the *immateriality* and *pervasiveness* of what is diluted therein—the same paradoxical formulation aiming at expressing the inexpressible.

Likewise *Āgamaśāstra* [ĀŚ] I 1 uses the term *ghanaprajña*<sup>15</sup> and PS 35 (as well as Yogarāja, its commentator) that of *jñānaghana*, with a slightly different implication, due to the context, which is that of the four *avasthās*.

Thus, in order to emphasize the paradox inherent both in the form and the doctrine, I prefer maintaining the metaphor, and will translate the binary compound *cidghana* as ‘mass of consciousness’. An alternative translation, less literal, yet expressing more directly the metaphysical force of the notion would be ‘unalloyed consciousness’—although the metaphor, drawn from metallurgy, is perhaps more specific to English.

It will be noted that, here and there, I have translated these notions somewhat more freely to avoid burdening the reader with so many “massive” constructions, and to suit the English syntactical context—for example, PSV 6, for the compound *cidekaghana*:

*tathavāyam īśvaraḥ svatantraś cidekaghanah [...],*

‘Likewise, [as the crystal-gem may contain a variety of colors] so the Lord, free, solely formed of consciousness [...],’<sup>16</sup>

or PSV 67:

*aham eva cidghanah,*

‘I am indeed formed [entirely] of consciousness.’<sup>17</sup>

*Cidānandaikaghana*, ‘Uniform Mass of Blissful Consciousness’

The compound *cidghana* is a nucleus to which other units may be added, by a process that is, in principle, unlimited. In such cases, the core metaphor is preserved at all costs, even though the translation of the expanded compound cannot always be a matter of simply adding determinations—whether substantival or adjectival—to

<sup>13</sup> Radhakrishnan translates by: ‘mass of intelligence’, resting his choice on the preceding images, *saindhavaghana*, etc.

<sup>14</sup> Again compared to the lump of salt (here, *saindhavakhilya*)—which obviously takes up again the theme developed in the *Chāndogypopaniṣad*.

<sup>15</sup> Bouy (2000) translates: 86: ‘masse de pure conscience objectale’.

<sup>16</sup> Lit., ‘a mass solely consisting of consciousness’.

<sup>17</sup> See also PSV 74.

the base unit. The meaning of the long compound cannot be deduced from a linear reading of its discrete elements.

*cidānandaikaghana*, an expanded form of the kernel *cidghana*, is a recurrent term in Yogarāja's commentary. Its first occurrence is found in the avat. *ad* PS 1:

*parimitapramāṭṛtādhaspadīkāreṇa cidānandaikaghanaśvātmadevatāsamāveśāsālinīṃ  
samastāśāstrārthasaṅkṣepagarbhāṃ prathamā tāvāt pārameśvarapravaṇatām  
parāṃśati,*

‘[The author] first considers his reverence to the Supreme Lord. The essential meaning of the entire treatise is inherently present in this reverence, and it is through this reverence that is enabled absorption in the divinity who is but one's own Self, a uniform mass of blissful consciousness (*cidānandaikaghana*), once the condition of finite cognizer has been overcome.’

The compound may be literally understood as ‘mass consisting solely of consciousness and bliss’ (if the compound *cidānanda* be understood as a *dvandva*, as is generally the case); or ‘mass consisting solely of the bliss that is consciousness’ or ‘mass consisting solely of the bliss of consciousness’ (if the compound be understood as a *karmadhāraya* or *tatpuruṣa*).

An inventory of more-or-less equivalent expressions gleaned from the commentary (*cidekaghana*, *cidekavapus*, *cidekamūrti*, *cinmūrti*, *cinmūrtatva*, *cinrūpa*, *citsvarūpa*, *cidghana*, *abhinnacidghana*<sup>18</sup>) suggests, beneath the diversity of style, as noted above, that what is being emphasized here is i) the sole *reality* of *cit* (by appropriating qualifications normally associated with its objects, viz., *ghana*, *vapus*, *mūrti*, *rūpa*, etc.); ii) the *materiality* of *cit*, as the sole basis of the visible world.

The mention *ānanda* in the longer versions of the compound seems destined to add a sensory dimension to that uniqueness.

All of which might be alleged to favor the interpretation of the compound itself, not as a *dvandva*, but as a *karmadhāraya* or *tatpuruṣa*—for, precisely, *cit* and *ānanda* are not separable (see also, *infra*, the reasoning on *anavacchinnacidānandaikaghana*); hence my translation: ‘a uniform mass of blissful consciousness’—an understanding which appears to me to be more in line with the basic purport of a nondualistic doctrine such as the Trika, rather, the last stage of the Trika, as expounded by Abhinavagupta.<sup>19</sup>

The Trika may, as well, be distinguished from the more austere currents of Advaita Vedānta in its more positive evaluation of the perceptible world, culminating in a sense of marvel (*camatkāra*), a ‘marveling’ that borders always on joy (*ānanda*), and characterizes both the regard the yogin casts upon this marvelously variegated world and the open-eyed delight with which he contemplates his identity with that world and with the Lord—a posture which has, in this

<sup>18</sup> avat. *ad* 46: °*abhinnacidghanaśiva*°: ‘Śiva, formed of undifferentiated consciousness’.

<sup>19</sup> It is an old question, with which Advaitins and their opponents have dealt *ad libitum*: how to maintain the unicity of *brahman* while attributing to it several epithets, such as *sat*, *cit*, *ānanda*? See also *infra*, p. 73ff.

system, aesthetic implications.<sup>20</sup> In this case, the novelty lies not so much in the vocabulary, or the ideas taken separately, as in the rather paradoxical spirit of synthesis that tries to incorporate into a monistic system concrete aspects of the real world, and to highlight them within it—hence the quasi complete borrowing of the Sāṃkhya cosmology (upon whose twenty-five *tattvas* is superimposed a specific Śaiva apparatus of eleven additional *tattvas*), and the will to use enjoyments proper to the body itself (theatre, tantric practices, etc.) as a propaedeutics for spiritual realization.

PSV 33, in the context of describing the yogin (alias the *jīvanmukta*, ‘liberated while living’<sup>21</sup>) who has sloughed off the conceit that takes the body to be the Self (*dehādyaḥhimāna*) and reaches to the ‘marvelous form of supreme ipseity’ (*parāhantācamatkārarūpa*), observes:

*cidānandaikaghanāḥ svatanthro 'smi,*

‘Then he knows: “I am a uniform mass of blissful consciousness, [hence] I am free”.’

—a text which offers also an occasion for noting the frequently occurring association of the terms *cidānandaikaghana* and *svātantrya*, ‘freedom’ (see also, infra, PSV 39, quoted p. 47ff).

Three variants are found, each developed from the base *cidānandaikaghana*:

*Pūrṇacidānandaikaghana*, ‘Uniform Mass of Blissful Consciousness That is Ever Complete’<sup>22</sup>

The compound is to be found in PSV 33:

*iti prākpratipādītena krameṇa bhagavān svatantraḥ paramasīvaḥ  
pūrṇacidānandaikaghanalakṣaṇaḥ [...] bandhaṃ vidadhāti,*

‘Thus, i.e., through the process expounded earlier, the free Lord, the Supreme Śiva, whose distinguishing feature is that the uniform mass of blissful consciousness of which he is composed is ever complete [...], creates bondage.’

<sup>20</sup> See Bansat-Boudon and Tripathi (2011, pp. 55–56).

<sup>21</sup> On this notion, and on the *Paramārthasāra* as a treatise mainly dealing with *jīvanmukti*, see Bansat-Boudon and Tripathi (2011, pp. 32–48).

<sup>22</sup> Or: ‘uniform mass of blissful and ever complete consciousness’. The compound is for the most part understood as a *bahuvrīhi*, lit., ‘whose uniform mass of blissful consciousness is ever complete’. Yogarāja ad PS 1, glosses *para*, ‘transcendent’ as *purṇa* ‘full’, which he further explains as: *paraṃ pūrṇaṃ cidānandecchājñānakriyāśaktinīrbharam anuttarasvarūpam*, ‘[The Lord who is] replete with all five energies—Consciousness, Bliss, Will, Knowledge and Action—, and who has thus the nature of the Unsurpassed.’ Same exegesis in PSV 43 (Bansat-Boudon and Tripathi 2011, p. 201). The term *pūrṇa* is used elsewhere as an epithet for *brahman*—in the Dvaita school, for instance—to point out the error inherent in the notion of the Absolute that is commonly attributed to Advaitins: *brahman* is not devoid of qualities (*nirguṇa*); rather, it possesses them all (*saguṇa*), even those that the common man fails to imagine. It is to be assumed that the epithet *pūrṇa* has become customary in anti-Śaṅkarian systems, of whatever monist or dualist persuasion (although the opposition is somewhat artificial).

*Paramādvayacidānandaikaghana*, 'Uniform Mass of Blissful Consciousness, Supreme and Nondual'

As in PSV 18:

*asau mārgaḥ paramādvayacidānandaikaghano 'smi [...] yā svātmasvarūpa-vibhūtipratyavamarśarūpā saraṇiḥ [...]*,

'The path, that is, the method whereby one arrives at<sup>23</sup> the awareness that one's own essence is resplendence (*vibhūti*), which takes the form: "I am a uniform mass of blissful consciousness, supreme and nondual" [...].'

*Anavacchinnacidānandaikaghana*, 'Undifferentiated Mass of Uniform Blissful Consciousness'<sup>24</sup>

This is another recurrent variant, as in PSV 29:

*na punaḥ paramārthataḥ svātmano 'navacchinnacidānandaikaghānasya dharmādharmaḍikam kiṃcid vidyāta iti*,

'However, merit and demerit, etc., do not exist ultimately for the Self, which is an undifferentiated mass of uniform blissful consciousness.'

The epithet *avacchinna* is here understood in its scholastic sense of 'differentiated', 'qualified', 'conditioned'—the *avacchedaka* being the quality or particularity that distinguishes one individual (or type) from another (as its dewlap differentiates the Indian cow from other animals). The term *navacchinna* here indicates that the terms *cit* and *ānanda* are in this sense not to be differentiated, inasmuch as they cannot be limited by anything whatsoever, including each other—they *are* the absolute itself; supposing otherwise would contradict their absoluteness.<sup>25</sup>

See the use of the positive *avacchinna* in PSV 58:

*sarvaṃ hy abhimānasāraṃ kāryatvena pratibhāsamānam idantāvacchinnam utpadyate kṣīyate ca*,

'For everything that appears as something to be effected or is determined [or qualified] (*avacchinna*) as "this" or "that" [viz., as *ecceity*]—[everything] whose essence is conceit of self (*abhimāna*)—originates and perishes.'

We find also a variant in PSV 39, where *navacchinna* occurs outside the compound at issue:

*aḥam cidānandaikaghano 'navacchinnavabhāvaḥ svatantraś cety akṛtrimāhantāsphuraṇayā*,

<sup>23</sup> Lit., 'whose form is ...'.

<sup>24</sup> Cf. PS 29 in Bansat-Boudon and Tripathi (2011, p. 164), where I proposed a variant: 'uniform and unqualified mass of blissful consciousness', in which *navacchinna* is rendered as 'unqualified'—a variant that can nevertheless be considered to put too much emphasis on the strength of '*eka*'.

<sup>25</sup> Note that a similar problem is resolved in the Advaita Vedānta in a similar way.

[...] thanks to the irruption (*sphuraṇā*) [into consciousness] of non-adventitious ipseity, such that one now judges: “I am a uniform mass of blissful consciousness; my nature is unconditioned (*anavacchinnavabhāva*); I am free” [...].’

### *Sphurattā and Bhavanakartṛtā*

The idea that the sovereign exercise of the Lord’s essential freedom is experienced as ‘play’ (*krīḍā*) and assumes material form as palpable manifestation has as its corollaries two notions that nondualist Śaiva texts present as closely related: *sphurattā* (with its doublets, *sphurattva*, *sphuraṇa(-ṇā)*, etc.) and *bhavanakartṛtā*—which one might even consider quasi-synonyms for they intend to explain the same (idea of) reality, which conforms to the dynamic ontology of the system.

They are nevertheless, at first sight, different notions by virtue of their derivations: the former, an analogy based on the primary meaning of the root *sphur*; the latter, an abstract noun formed from the agent noun (*kartṛ*, ‘doer’) derived from the universal” verbal root *kṛ* (‘to do’), as modified by an action noun (*bhavana*) derived from the “universal” stative verb *bhu*, ‘to be’, ‘to become’. Those roots are understood as “universal” (although with some reservation about the root *bhū*; see n. 28) according to Pāṇinian grammatical philosophy, which considers that every verbal root divides into two elements that are called *vyāpāra*, ‘activity’, ‘effort’, ‘function’, and *phala*, ‘fruit’. Similarly, Mīmāṃsaka grammar sees in every verbal ending (common to all verbs) the evocation of a generalized transformation that expresses itself abstractly by means of the root *kṛ*, which conveys the verbal idea as such (see the Mīmāṃsaka analysis, *yajate = yāgam karoti*). *Vyāpāra* is therefore nothing else but the effective or transforming power, which, under a given circumstance, produces the expected result (*phala*).<sup>26</sup> As such, this power is equivalent to the abstract meaning of the root *kṛ*.<sup>27</sup>

It may be concluded that the compound *bhavanakartṛtā* merges the two most general verbal ideas, those of the “verb” (expressing change), namely *kṛ*, and of the “non-verb” (expressing the unchanged condition), namely *bhū*,<sup>28</sup> so much so that,

<sup>26</sup> See a later work, Nāgeśa’s *Paramalaghumañjūṣā* [PLM], accounting for the Mīmāṃsaka position (p. 85): *phalānukulo yatanasahito vyāpāro dhāvarthaḥ [...]*, ‘The meaning of the verbal root is an operation (*vyāpāra*) conducive to the production of a result (*phala*) and accompanied by a volition or effort (*yatna*)’ (transl. K. Kunjunni Raja, in Coward and Kunjunni Raja (2001, p. 330).

<sup>27</sup> My understanding of the topic has greatly benefited from a thorough discussion with Edwin Gerow.

<sup>28</sup> It is noteworthy that some grammarians treat the root *kṛ* (‘to do’) as what could be called a “generalized verbal root”, but not the root *bhū* (‘to be’), which they somehow consider as a suspect root, inasmuch as it does not express a transformation and its object remains in the nominative, and could thus be conceived of as the “non-verb”; on this suspicion, see the modern commentary of Kalikprasad Shukla *ad* PLM: *nanv evam astyādīnām dhātutvānāpattis teṣāṃ sattāmātrārthatvena vyāpārarūpakriyāvācakatvābhāvād ata āha [...]*, quoted *infra*, p. 73. That the root *kṛ* may be considered as the generalized verbal root is further evidenced by grammatical terminology itself: *kriyā(pāda)* is the ‘verb’ seen as an ‘action’; the *kāraḥ* (or ‘notions of a case’) are the very *notions* of the syntactical relations between the verb and the noun, by which is realized the potential sense of the root (on *kāraḥ*, see *infra*, p. 70ff); the ‘subject’

whereas *sphurattā* functions immediately as a quasi-poetic term, *bhavanakartṛtā* contains in its very formation the elements of a philosophical discourse: it is the ‘quality of being the agent of being’—viz., the agency implicit in what is, will be, or has been.

In other words, the nominal composition articulates the intimate connection of doing and being, making the supreme principle—Consciousness as deity—the agent of both being and becoming; it thus epitomizes the salient feature of this system, namely that this Agent, the Subject *par excellence*, is that cause or source of that which, from the point of view of the bound *paśu*, appears to be other than subject. Such an Agent, so comprehended, is, in fact, the entirety of the phenomenal world, which has taken on the appearance of exteriority. In the dynamic ontology of such a nondualism, the Subject must be an Agent, inasmuch as the external world is his work, rather, himself as so manifest. I shall take up the issue again while examining *bhavanakartṛtā*.

### The Sememe of Light: The Root *Ṣphur* and Other Related Verbs

Mayrhofer, Monier-Williams, and other authorities consider the roots *sphur*, *sphar*, *sphāy*, *sphuṭ* more or less related; the various senses that may attach individually to each root are often confounded in the usage of the others—extending from ‘be enormous’ to ‘explode’, and including, by semantic extension, such senses as ‘blossom’, ‘shine forth’, ‘flash forth’ and ‘vibrate’.

On the other hand, all of the roots [*pra-*]*jkāś*, [*pra-*, *vi-*, *ava-*]*jbhā*, *bhās*, *sphur*, *sphuṭ*, *sphar*, etc.—which occur with great frequency, given the importance of the notion of light in this doctrine—appear to be employed without major differentiation of meaning.<sup>29</sup>

Given these considerations, I have preferred to render these terms more or less indifferently with translations such as ‘appear’, ‘emerge’, ‘become evident’,

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Footnote 28 continued

is the ‘agent’: *kartṛ*; that by which an action is effected, mainly the instrumental case, is *karāṇa*, etc. Ultimately, nevertheless, orthodox tradition (as reflected in PLM quoted infra, p. 72: *astibhavadivartatividyatānām arthaḥ sattā [...] satteha ātmadhāraṇam*) accepts the “verbality” of the roots *as* and *bhū*, ‘to be’, for a certain effort is required to continue in the same state, to persist in one’s own being; on this question, see also infra, p. 73ff.

<sup>29</sup> See especially Jayaratha’s *Tantrālokaivēka* [TĀV] ad TĀ V 123, where, applied to Śakti and its manifestation of the universe, the terms *bhāsate*, *prathate* and *sphāra* (in *viśvasphāra*) are equivalent: *atrānandapūrṇe dhāmi asaṃkocavikāsino nistarāṅgajaladhīprakhyasya pūrṇasya prakāśasya asaṃkocavikāsikā sadaiva sṛṣṭisamhāramayī, ata eva durghaṭakārīṇī svātantryākhyā śaktiḥ bhāsate svātmaikātmyena prathate, yan māhātmyādiyān viśvasphāraḥ sadaiva sṛṣṭisamhāradaśādhiśāyitām eṭīy arthaḥ*, ‘“There” [means] in that place overflowing with delight;—“[Bhairava] who never expands or contracts” [refers to] all-encompassing Light, said to be [like] an ocean without waves;—“in expansion and contraction” [means] eternally composed of creative and destructive [forces].—For this very reason, [his] “energy” [is said to] “accomplish the impossible”, in virtue of which it may also be termed “freedom”;—[and so, that energy] “manifests itself” (*bhāsate*), [that is] it extends itself (*prathate*) [as everything visible] inasmuch as it is [ever] identical with itself [viz., incapable of abolishing its own nature]—because of whose grandiose work, the emergence of the universe (*viśvasphāra*) [is attested] in such a form (*iyān*—viz., “takes on the limited form that we apprehend”), eternally tending toward being governed by conditions of creation and destruction’.

'become patent', 'be present', 'manifest', 'become manifest', etc. While these terms may seem rather anodyne, my goal has been to privilege a more philosophical rendering, one that is better suited to the philosophical context and argument, whereas the more literal translations, 'shine forth', 'surge forth' (or 'fulgurer' in French), etc., give, in my view, too much weight to the underlying metaphor.

Indeed, the metaphor cannot be wished away, inasmuch as variations on the idea of luminosity are an essential feature of the doctrine, as illustrated by the famous dictum:

*nāprakāśaḥ prakāśate,*

'That which is not luminous cannot manifest itself,'<sup>30</sup>

or the maxim recurrent in nondualist Śaiva texts :

*sakṛd vibhāto 'yam ātmā,* (or even: *sakṛd vibhātaḥ*),

'The Self has appeared once (and for all).'<sup>31</sup>

These are as many formulae, Upaniṣadic antecedents of which can be found, as for instance *Śvetāśvataropaniṣad* [ŚvU] VI 14:

*na tatra sūryo bhāti na candratārakaṃ nemā vidyuto bhānti kuto 'yam agniḥ /  
tam eva bhāntam anubhāti sarvam, tasya bhāsā sarvam idaṃ vibhāti //,*

'The sun does not shine there nor the moon and the stars, nor these lightnings, much less this fire. After Him, when He shines, everything shines, by His light all this is illumined.'<sup>32</sup>

Still, the analogy of luminosity, perhaps because it is not reinforced by an intentionally driven paradox, as is the case with *cidghana*, turns out to be largely ineffective if literally rendered.

In any case, the analogy itself as well as its more or less literal translations long misled me as I began pondering the Śaiva corpus. What did phrases such as 'The Lord shines forth, etc.' really signify? The image, of course, was more or less confusedly perceived, but what were its function and meaning within the philosophical discourse? The propositions made earlier attempt to answer the question.

It is no less legitimate, though, that many specialists should retain (some quite insistently), the original metaphor, insofar as it cannot be denied that these roots,

<sup>30</sup> Lit., 'the absence of light cannot itself shine forth', quoted in Yogarāja *ad* PS 30. In other words, consciousness contains everything within its fold; that is, apart from consciousness, nothing can be conceived. Whatever is not an object of knowledge does not exist—moreover, the very idea of something that 'cannot be known' is self-contradictory. See also ĪPK I 5, 3: *bhinne prakāśe cābhinne saṃkaro viśvayasya tat / prakāśātmā prakāśyo 'rtho nāprakāśaś ca sidhyati*, 'If light were undifferentiated (in itself) and differentiated (from objects), then objective reality would be confused. The object that is illuminated must itself be light; that which is not light cannot be established.' (transl. Torella 1994).

<sup>31</sup> The maxim is quoted in PSV 11. It is an abridged form of *sakṛd vibhāto 'yam ātmā pūrṇasyāsya na kvāpy aprakāśanasambhavaḥ*, 'Once this Self has appeared [, its possibility of not appearing is nowhere (i.e., is not possible), for it is complete,' quoted by Abhinavagupta in his *Īśvarapratyabhijñānavivṛtī-mārśinī* [ĪPVV] II 1, 6–7 (vol. 3, p. 23), and attributed to the Śaiva *Sārasvatasamgraha*. On the notion and its non-Śaiva antecedents, see Bansat-Boudon and Tripathi (2011, pp. 13–14, and 110–111) (n. 455).

<sup>32</sup> Transl. Radhakrishnan (1978). Also, *Muṇḍakopaniṣad* [MuU] II 2, 11, *Kathopaniṣad* [KU] II 3, 15.



most notably *sphur*, *sphuṭ*, etc., do connote light or illumination, itself taken to imply a vibration, a pulsation<sup>33</sup>—an active phase unknown to the rival nondualism, the Advaita Vedānta.

Yet, the various periphrases that are used for rendering *sphurattā*, such as ‘the luminous vibrating’ (or ‘the shining-vibrating’)<sup>34</sup> are at least flawed by seeming quite unnatural in the language of translation. The flaw might somewhat be mitigated if a verb could be found corresponding to the French ‘fulgurér’—which conveys the two meanings at issue and can be glossed accordingly, and thus offers a suitable translation of these Sanskrit terms. (The English version of this verb, ‘to fulgurate’ is of little help, being both exceedingly arcane and limited to senses based on its Latin etymology, to ‘behave like lightning’; see Oxford English Dictionary.) But whether in French or in English, adopting a literal translation of the metaphor would incur the risk, already mentioned, of diverting the reader’s attention from what is philosophically at stake in such usages. A further argument being that one should avoid overloading this kind of technical language, when there is little risk of ambiguity for the reader.<sup>35</sup>

Therefore, I have preferred to render the expressions at issue with an equivalent that is both conceptually justified and terminologically explicit—a preference which, however, is not inflexible, and may even be disregarded at times, depending on the context and other factors, notably, the occurrence of the form at issue in prose or verse.

In effect, even a partial survey of the occurrences of the root, made at random in the texts, brings out the fact that opting for a “conceptual” translation (‘appear’, ‘be present’, ‘manifest’, etc.) is suitable to prose philosophical discourse, particularly exegetic discourse, notably when the context is that of the externalization of the supreme principle, of the Lord’s manifestation as the external world. It is generally so in the many occurrences of *sphur* in the commentary by Yogarāja on the *Paramārthasāra*.

By contrast, in the source texts in verse, particularly when the doctrine is exposed by means of mystic references and attempts to express the ineffable, one can observe that the metaphoric use of *sphur*, whichever of its connotations is to be emphasized (‘blossoming’, ‘sparkling’, ‘pulsation’) is sometimes better able to convey its meaning.

Such is obviously the case with the related root *bhā*, in PS 56cd:

*gatajanmabandhayogo bhāti śivārkaḥ svadīhitibhiḥ //,*

‘Once the connection with the bondage of birth is severed, the sun of Śiva shines with its rays unhindered.’<sup>36</sup>

<sup>33</sup> See infra, *spanda* and *sphurattā*, p. 52ff.

<sup>34</sup> Or, for the verb, such translations as ‘shines-vibrates’; see Torella (1994, p. 121, and n. 28).

<sup>35</sup> For instance, in the translation of *bhāvanā*, I have opted for a somewhat expanded translation, namely, ‘meditative realization’, for ‘meditation’ or ‘realization’ alone would not have accounted for the complexity of the notion; see infra.

<sup>36</sup> Lit., ‘with its very own rays’.

in which, while translating 'bhāti', glossed as 'sphurati', I have maintained the original image, inasmuch as *svadīdhitibhiḥ*, the complement in the instrumental, makes it an extended metaphor.

Whatever may be the value of these observations, it should be stressed, however, that no constant rule can be inferred therefrom: every register shades into another, the context being, for the two languages at issue, the ultimate criterion.

### *Sphur*; *Sphurattā* / *Sphuraṇa*

Thus, according to the context and the line of philosophical argument, the noun *sphurattā* ('sparkling', 'effulgence', 'scintillation', on the one hand, 'trembling', 'throbbing', 'shaking', on the other), abstract noun formed from the present participle of the root *sphur*, may assume, not only the philosophical meanings of 'manifestation' (rather 'capacity of manifesting [oneself as the world]'), or even 'awareness'—that 'awareness' without which no phenomenal 'manifestation' is possible —, but also, at times, any of the metaphoric connotations of the verbal root.

The comparative grammar of Indo-European contributes to the understanding of the Sanskrit root, by postulating *sphur* : \**sp<sup>her</sup>H* ('mit dem Fuß') stoßen', 'to push with the foot' (Mayrhofer 1956–1980, KEWA, s. v.; Pokorny 1959, pp. 992–993; Rix (2001, p. 532), 'to make a sudden move, repel abruptly, run away', giving the Vedic *sphurāti* ('to make a sudden move, to move fast'), the ancient Greek *σπαίρω*, *spairō* ('to pant, to tremble') and *ασπαίρω*, *aspairō* (*id.*), the Latin *sperno*, 'to move (something) away, to push aside', *asper*, 'uneven' (of a ground surface which 'repels' footsteps, for example), the English *spurn*.

The stem of this family of words seems to be \**per-* ('dissipate'), which produces the ancient Greek *πίμ-πρη-μι*, *pim-prê-mi*, 'to burn, set on fire', the Slavonic *para* ('steam', 'vapour'), and which may be at the origin of the Sanskrit meaning 'to radiate'.<sup>37</sup>

The semantic derivation, in Latin and English, which gives 'to despise' (*sperno*, *spurn*) is easy to trace from 'to push with the foot' or 'repel abruptly'. The Sanskrit acceptations, particularly the Śaiva usages of the root, can be derived from the primary meaning 'to make a sudden move'; hence, the idea of 'trembling' attached to the root by Śaiva speculations, and also present in Greek *σπαίρω*, *spairō* ('to pant, tremble') and *ασπαίρω*, *aspairō*.

The sememe of light seems to be absent from those original meanings. Yet, it could have been derived from 'to push with the foot', inasmuch as one can suppose that there has been a shift from the image of something that bursts out when being kicked (a lump of clay, an overripe fruit) to the idea of a radiance; even more, it is a trembling/pulsating radiance, according to one of the primary meanings of the root: 'to make an abrupt move', therefore, 'to tremble' (see Greek).<sup>38</sup> Such a semantic derivation is supported by the postulated stem \**per-*, 'dissipate' (see above).

<sup>37</sup> Some features of this development have been borrowed from Wiktionnaire, s.v. *sperno*. The rest of it is mine.

<sup>38</sup> As for the root *sphuṭ* (whose nominal derivative is *sphuṭa*), which, according to Mayrhofer KEWA, s. v., could be onomatopoeic, it is not attested outside Indo-Iranian.

In any case, to understand fully the connotations of these Śaiva usages of the root requires a full exposition of Śaiva doctrine—which is beyond the scope of this paper. I will therefore limit myself to a few examples likely to corroborate my initial proposition for translating *sphur* and related roots as ‘manifest’, ‘appear’, etc.

Thus, it is noteworthy that, in the passage of TĀV V 123 (quoted above, n. 29), where the context is a discussion of phenomenal manifestation (*viśvasphāra*), the form *bhāsate* (root *bhās*—used interchangeably with the root *sphur*)—is glossed by *prathate* (root *prath*). Commenting on *bhāsate durghaṭā śaktiḥ* of the verse, Jayaratha then says:

*bhāsate svātmaikātmyena prathate,*

‘[And so, that energy] “manifests itself” [lit., ‘shines forth’] (*bhāsate*), [that is] it “extends itself” (*prathate*) [as everything visible] inasmuch as it is [ever] identical with itself [viz., incapable of abolishing its own nature].’<sup>39</sup>

Similarly, TĀV IV 14 glosses the quasi-synonym *sphuṭayet* as *sākṣātkuryāt*, ‘It should be made directly perceptible’.

In PSV, the first occurrence of the notion conveyed by the root *sphur* is to be found *ad* PS 1:

*‘tvam’ sarvaprāmāṭr sphurattāsāraṃ svātmadevatārūpam eva [...],*

‘“You”, that is, one whose form is the god that is my own Self, one whose essence is that [capacity of] awareness (*sphurattā*) present in every cognizer [...].’

And further on, in the same passage:

*[...] na punas tattadavasthāvaicitryeṇāpi sphuratas tataḥ parasmāt pūrṇāt svarūpāt tasya pracyāvo bhavati,*

‘And although he manifests himself (*sphurat*) in marvellously varied states of consciousness, he does not deviate from his transcendent nature, which is all-encompassing.’<sup>40</sup>

Many are the occurrences of the root *sphur* and its derivatives in Yogarāja’s commentary, especially when the exegete assays to convey the *jñānin*’s spiritual experience, as in PS 62:

*aham eva itthaṃ viśvātmanā sphurāmi,*

‘It is *I* who appear as the Self of everything’

—an experience that Yogarāja presents as the handiwork of *citiśakti*, the ‘energy of consciousness’.<sup>41</sup>

It is precisely while defining *citi*, ‘consciousness’, or rather ‘dynamic consciousness’, that *Īśvarapratyabhijñānakārikā* [ĪPK] employs the term *sphurattā* for the first time (ĪPK I 5, 14).

<sup>39</sup> See the complete text, n. 29.

<sup>40</sup> An idea that is present in SpK I 3, quoted immediately after by PSV 1, and in PS 74.

<sup>41</sup> See Bansat-Boudon and Tripathi (2011, p. 233).

In ĪPK I 5, 13, consciousness (*citi*), being essentially reflective awareness (*pratyavamarśa*), is represented also as ‘supreme Speech’ (*parā vāc*), the ‘freedom’ (*svātantrya*)—‘in the absolute sense’ (*mukhyam*)—and the ‘sovereignty’ (*aiśvarya*) of the supreme Self (*paramātmān*):

*citiḥ pratyavamarśātmā parā vāc svarasoditā /  
svātantryam etan mukhyaṃ tad aiśvaryaṃ paramātmanaḥ //.*

In his *Īśvarapratyabhijñāvimarśinī* [ĪPV] *ad loc.*, Abhinavagupta explains:

*yā citiḥ citikriyā tasyāḥ pratyavamarśaḥ svātmacamatkāralakṣaṇa ātmā  
svabhāvaḥ,*

‘By *citi*, he means the *activity* that is called *citi* (*citikriyā*), whose essence (*ātman*), i.e., nature, is the capacity of self-reflection [or: is reflective awareness] (*pratyavamarśa*), characterized by one’s capacity of marvelling at one’s own Self.’

In the following verse (ĪPK I 5, 14), *citi* is again described as *sphurattā*, the ‘manifestation’, or rather, the ‘capacity of manifesting [the world]’, *mahāsattā*, the ‘absolute being’, and *hṛdaya*, the ‘heart’, of the Supreme Lord:

*sā sphurattā mahāsattā deśakālāviśeṣinī /  
saiṣā sāratayā proktā hṛdayaṃ parameṣṭhinaḥ //.*

Those so-called equivalents enumerated in ĪPK I 5, 13–14 are in fact autonomous concepts, which would deserve an individual and thorough treatment, even though they function as closely related within a very well-knitted conceptual web.<sup>42</sup>

It is not here the place to do so. I will only underline that the use of the root *sphur* in the sense of ‘manifest’ is significant, for the Trika’s phenomenal world is not an illusory appearance (*vivarta*), as some Advaitins hold, while making it the handiwork of *māyā*, and, as also claimed, even more radically, by the Vijñānavādins, who altogether deny the reality of the empirical world; nor is it a real transform (*pariṇāma*), as stated by followers of the Sāṃkhya and the Pāñcarātra, and with reservations by the Bhāmatī school of Advaita—the latter rejecting both the “idealism” of the *vivartavāda* and the extreme “realism” of the *pariṇāmavāda*, while attributing a conditional, namely, non-absolute, reality to the world of experiences.<sup>43</sup>

Rather, in the Trika, that world of experiences is but the luminous manifestation of the Lord (or *spanda* principle) that is implicit in every act of consciousness and which ‘surges forth’ periodically as the insight determining even the possibility of awareness. Moreover, as we shall see below (see Chart), Utpaladeva’s *Ṛtti* glosses *sphurattā* as *bhavanakartrtā*, which implies in all probability, at least in this context, the Lord’s *capacity* of manifestation.

It should be noted, however, that occasionally the context in which the root *sphur* and its derivatives are used, as well as certain requirements in the syntax and lexicon of English, or the content of commentaries have suggested that I should maintain some trace of the original metaphor, as, for instance, in TĀ IV 184–186a:

<sup>42</sup> See *infra*, p. 76.

<sup>43</sup> Reference is made here to the “reality” subordinated to the law of causality, inasmuch as the “reality” of every thing is determined by the conditions presiding over its advent.

*kiṃcicalanam etāvad ananyasphuraṇam hi yat /*  
 ‘[That pulsation (*spanda*)]<sup>44</sup> is imperceptible movement, autonomous scintillation (*sphuraṇa*).’

The verse seems to emphasize the autonomy of the movement, which results in the phenomenal appearance: *spanda* is a certain sort of movement that has no other source than itself, which is independent of any condition whatsoever.

Thus, *spanda* and *sphurattā* are made more or less synonymous, exchanging their respective qualities of luminosity (*sphurattā*) and dynamism (*spanda*)<sup>45</sup>—the main purport of both notions consisting probably in their conveying the autonomy and spontaneity of that very first (and divine)<sup>46</sup> movement that is the only cause of phenomenal appearance. As noted in Jayaratha’s commentary, which glosses explicitly ‘*sphuraṇa*’ with ‘*prakāśa(na)*’:

[...] *ananyāpekṣam sphuraṇam prakāśanam / parato 'sya na prakāśaḥ api tu svaprakāśa evety arthaḥ / idam eva hi nāmāsyā jaḍebhyo vailakṣaṇyam /*  
 ‘[...] autonomous “scintillation” (*sphuraṇa*) means “light”; not the light emanating from another, but that emanating from oneself—this is the purport. It is that indeed which distinguishes [the deity whose *spanda* manifests the world] from inanimate entities.’

Elsewhere, where the context is not that of the Lord manifesting himself as the external world, but that of describing the inner experience of the *jñānin*, I have translated *sphuraṇa* as ‘irruption’, retaining one of the connotations of the original image, namely, the suddenness and spontaneity of appearance implied in such terms as ‘explode’, ‘surge forth’, ‘flash forth’, etc. (cf. PSV 39, cited supra, p. 47)

Another example can be found in the first line of TĀ I 1—an eminently esoteric one, which, with much resort to *śleṣas*, offers a condensed version of the Trika’s metaphysics, intimately permeated with mystical approach.<sup>47</sup> There the devout wish of the author, Abhinavagupta, is that his ‘heart should burst out’ (*saṃsphuratāt*) in the overpowering fervour of mystic attainment:

[...] *hṛdayam [...] mama saṃsphuratāt.*

How to translate this? ‘Let my heart burst out!’, ‘let my heart shine forth!’<sup>48</sup> Whatever the case may be, Jayaratha glosses *saṃsphuratāt*, a rare imperative form, by the imperative of the root *vikas*, ‘blossom’:

[...] *kālatrayāvacchedasūnyatvena vikatāt,*

<sup>44</sup> A *spanda* described as *svātmāny ucchalanātmakaḥ*, ‘That which springs upward in one’s own self’, in the previous verse (TĀ IV 183); on *spanda*, see infra, p. 75.

<sup>45</sup> This is further evidenced by the fact that Abhinavagupta, when commenting on the *sphurattā* of ĪPK I 5, 14, does not explain that very notion, but, in great detail, that of *spanda* (see, infra, p. 76).

<sup>46</sup> It amounts to being the ‘play’ (*krīḍā*) of the God, as the expression of his sovereign freedom.

<sup>47</sup> Same opening verse in all but one of Abhinavagupta’s expositions of the Trika; for a translation and a detailed interpretation of it, as occurring in the *Tantrasāra*, see Sanderson (2005), who does not examine the meaning of *sphur*.

<sup>48</sup> Sanderson (2005, p. 89) translates: ‘May my heart shine forth!’.

‘[...] let it blossom, since it is exempt from the division of the triple temporality.’

Yet this is only the first layer of meaning. Indeed, further on (KSTS, p. 8), Jayaratha again describes that ‘heart’ as ‘*prasphuradrūpa*’, ‘radiant’, ‘sparkling’, and develops the content of the intimate substance of that spiritual experience, in which the ‘heart’ refers this time to the indissoluble Śiva/Śakti dyad. So much so that, Jayaratha concludes, *hṛdayam [...] mama saṃsphuratāt* is a way, for Abhinavagupta (as for the deity that he does not differ from), to say: *tādātmye-naikaḥ syām*, ‘May I be one, by virtue of the coexistence [of the śaktis with myself].’

Jayaratha explains (p. 4–5) another occurrence of *sphur* in the same first verse, namely the compound *sphuritabhāva*, ‘of a scintillating nature’, an epithet for the creation, in the sense of procreation (lit. ‘emission’, *visarga*) of the phenomenal world by the divine couple, Śiva-Śakti (alias, through *śleṣas*, Abhinavagupta’s mother and father, Vimalā and [Nara]siṃhagupta; see Sanderson (2005, pp. 89, 137, 139)

This time he glosses the root *sphur* by the root *ul-las*, whose primary sense is ‘shine forth’, ‘beam’, ‘radiate’, and therefore metaphorically means ‘come forth’, ‘appear’, ‘become visible’, but, just like other roots conveying the meaning of light (such as *sphuṭ*, a derivative of which is the term *sphoṭa*), also connotes the idea of resonance. To those meanings of *ul-las* are added the acceptance of ‘play’ (*krīḍā*)—which also refers to dramatic acting, as in the technical term *lāsya*, as opposed to *tāṇḍava*—,<sup>49</sup> as well as that of the ‘pleasure’ derived from playing.

It is this meaning of ‘surging forth’—and, implicitly, that of ‘playing’ (and ‘joy of playing’, interpreted here as the divine couple’s sexual play)—that is Jayaratha’s focus, at that stage in his commentary.

In order to gloss *sphur*, in that context, he uses the root *ul-las* on two occasions—on the second one, in its desiderative form (*ullilasiṣā*), which is the source of alliterations evoking the different acceptations of the root: scintillation, play and pleasure:

[...] *tataḥ sphuritabhāvaḥ parānapekṣatvena svata evollasitasattāko yo 'sau*  
[...] *visargo bahir ullilasiṣāsvabhāvaḥ [...]*,

‘[...] This “emission” [the creation, brought forth by the divine couple] is “of a radiating nature” (*sphuritabhāva*), which means that its existence surges forth/manifests itself (*ullasitasattāka*) spontaneously, in the absence of any desire for something else. [...] This [“procreation” of the phenomenal world] consists in the desire [on the divine dyad’s part] to surge forth/to manifest itself (*ullilasiṣā*) externally.’

<sup>49</sup> Note that, according to the *Dhātupāṭha* IV 1, ‘play’ (*krīḍā*) is also one of the acceptations of the root *div*, ‘shine forth’, which produces the derivative *deva*; see also PSV 15, in Bansat-Boudon and Tripathi (2011, p. 127).

*Bhavanakartṛtā*, 'Agency of Being' / 'Quality of Being the Agent of Being'

Utpaladeva seems to have been the initiator of the notion, to be understood as 'agency of being' / 'quality of being the agent of being'. The term occurs, for the first time, in the texts of the Pratyabhijñā, in Utpaladeva's commentary on his own ĪPK, and in his *Vṛtti* [ŚDvṛ] on Somānanda's *Śivadṛṣṭi* [ŚD] III 56, with incidentally the same sequence of terms in both cases: *sattā*, *bhavattā*, *bhavanakartṛtā*, presented as quasi-synonyms, and a gloss of *sphurattā* in the *kārikā* (see Chart).

Following in the footsteps of his master, Abhinavagupta makes use of the notion in his *Vimarśinī* on the same passage, ĪPK I 5, 14 (Chart), and on ĪPK IV, 6 (Chart), where it is again associated with *sattā* and *sphurattā*. In his ŚDvṛ III 59, Utpaladeva resorts to another quasi-synonym of *bhavanakartṛtā*, namely *bhavanakriyā*, the 'act that consists [for him] in being', which sheds light on the core meaning of the term *bhavanakartṛtā* (Chart).

I shall examine in detail what is to be understood by 'agency of being' / 'quality of being the agent of being', and what are the implications of the notion.

Likewise, the *Bhāskarī* [Bh] *ad* ĪPV is quite clear on the meaning of the term. While commenting on ĪPV IV 6, in which Abhinavagupta glosses *sattā* of the *kārikā* with two equivalents: *bhavanakartṛtā* and *sphurattā* (in the form of the adjective *sphurattārūpa* qualifying *bhavanakartṛtā*), Bhāskara explains:

*sattā iti padam vyācaṣṭe bhavana iti / bhavanakartṛtā bhūkriyākartṛtvam astūti sat tasya bhāvah sattā iti nyāyāt iti bhāvah /*

'He [Abhinavagupta] explains the word "sattā" by "bhavana".<sup>50</sup> Hence "bhavanakartṛtā" means "agency of the action of being" (*bhūkriyākartṛtva*) by virtue of the rule: "sat" ("being"), meaning "astī" ("he is"); the condition of that ["being"] is *sattā*; such is the purport.'

In a first stage, a fact stands out incontrovertibly: one has to infer from the comparison between occurrences of the term, as they are collected, for instance, in the comparative chart, that the context in which *bhavanakartṛtā* and its quasi-synonyms are used is that of the creation of the phenomenal world by the Lord (particularly, ĪPvṛ and ĪPV II 4, 20, ĪPK II 4, 21, and *Vṛtti ad loc.*, ĪPV IV 6, which refers to the *viśvapati*, and ŚDvṛ III 56; see Chart). And generally these notions are invoked by the nondualist Śaivas as arguments in the polemic that pits them against the Vedāntins on the question of the *śāntabrahman* (see, particularly, ĪPK II 4, 20 and the *Vṛtti* and *Vimarśinī ad loc.*, as well as ŚD III 55–59 and *Vṛtti ad loc.*; see Chart).

<sup>50</sup> Bhāskara thus glosses a derivative of *as* by a derivative of *bhū*.



Chart

<p>ĪPK I 5, 13–14</p>	<p><i>citiḥ [...] I 5, 13</i> <i>sā sphurātā mahāsattā</i> <i>deśakālāvesiṅṅ / I 5, 14ab</i></p>	<p>ĪPv I 5, 13–14</p>	<p>ad I 5, 14 : <i>sphuradrūpātā sphuraṇakarṭṭā abhāvāprativoginī abhāvavyāpīnī sattā bhavattā bhavanakarṭṭā nityā deśakālāsparsāt [...] ,</i> ‘<i>sphurattā</i>, “scintillation”, in the sense of “awareness” and “manifestation”, is] the “fact of being a scintillating form”, the “fact of being the agent of scintillation”; it is [again] the “Being” (<i>sattā</i>), which is not the opposite reality of the “non-Being”, inasmuch as it pervades also the non-Being [= here is the definition of <i>mahāsattā</i>]; [again, such a] “Being” (<i>sattā</i>) is “Existing” (<i>bhavattā</i>), the “fact of being the agent of the act of being” / “Agency of being” (<i>bhavanakarṭṭā</i>), [that is] it is eternal, being free from space and time [...].’ [<i>sphurattā</i> = <i>sattā</i> = <i>bhavattā</i> = <i>bhavanakarṭṭā</i>] [<i>sphuranakarṭṭā</i> = <i>bhavanakarṭṭā</i>]</p>
<p>ĪPV I 5, 13–14</p>	<p>‘Dynamic consciousness (<i>citi</i>) [...] is “scintillation” (<i>sphurattā</i>), Great Being (<i>mahāsattā</i>), beyond the limitations of space and time [...].’</p>	<p>ĪPV I 5, 13–14</p>	<p>ad I 5, 14 : [...] <i>mana sphurattā ko ’rīhah madīyaṃ sphuraṇaṃ spandanam āviṣṭa iti [...] sattā ca bhavanakarṭṭā sarvakriyāsu svātantryam / sā ca kḥapuspādīkam api vyāpnoṭī mahatī,</i> ‘[...] What does mean: “[the jar] manifests itself to me”? [It means that] it has entered in my own “awareness” (<i>sphurana</i>), which is “imperceptible pulsation” (<i>spandana</i>) [...]. And “<i>sattā</i>”, “Being”, is but “being the agent of the act of being” / “Agency of being” (<i>bhavanakarṭṭā</i>), that is, freedom in all actions. And this [Being] is “Great” [that is, it is <i>mahāsattā</i>, as well] for it pervades even the flower in the sky.’ [<i>sphurattā</i> = <i>spanda</i> = <i>sattā</i> = <i>bhavanakarṭṭā</i> = <i>mahāsattā</i>] <i>sāntam api hi tava vastu / vastu ca sattāmayaṃ sattā bhavattā bhavanakarṭṭā / svātantryātmavaṇ ca karṭṭvaṃ cidrūpaśechedāśakāmata iti sarvaṃ vastu śivaḥ I,</i> ‘Even though he is quiescent, he is, in your eyes, that entity (<i> vastu</i>) [that the universe is], and that entity is endowed with “being” (<i>sattāmaya</i>); and “being” (<i>sattā</i>) is “existing” (<i>bhavattā</i>), which is [again] “agency of being” (<i>bhavanakarṭṭā</i>); and [such] agency consists in the freedom of the one whose form is consciousness, and who is endowed with the energies of Will, etc. Therefore every entity is Śiva.’</p>
<p>ŚD III 56</p>	<p>[...] <i>sānte kinḥ vastutā na te / vastutā cet</i> <i>tathābhūtasakaitritayasamgamah //</i></p>	<p>ŚDv III 56</p>	<p>‘Does not the fact of being [all] entities exist, in your eyes, when he is quiescent? [i.e., is he not the universe in <i>posse</i>, even in his quiescent state?][You admit that, even in his quiescent state,] he is [all] entities, it is because in himself is actuated the conjunction of the three energies (<i>śakti</i>), each with its own characteristics.’</p>

Chart continued

<p>ĪPK II 4, 20</p>	<p>[...] na syād [...] / cikāśālakṣaṇāikataparāmarśam vinā kriyā //,</p>	<p>ĪPv II 4, 20</p>	<p>[...] jaḍasyāpy asti bhavaty aśi bhavaty aśyāṅ api sattātkriyāyāṅi bubhūśyogena svātantryābhāvāḍ akartṛyam / tena pramātaiva taṅ bhāvayati tena tena vā himācalādinā rūpeṅa sa bhavaty atra paramārthah /,</p>
<p>There cannot be action without awareness of the unity [of the one and the many] characterized by the desire to act [proper to the Agent].</p>	<p>[...] There is no agency (akartṛva) for the insentient entity, since it is not free [lit. in the absence of freedom] regarding this “action of being” (sattātkriyā) expressed by the roots <i>as</i> and <i>bhū</i>, and attained through the “desire for being” (bubhūśā). This is why the ultimate truth in this regard is that it is the cognizing subject who “causes to be” [that insentient reality], or [in other terms], it is he who “is” in the guise of various forms, such as mount Himācala, etc.’</p>		
<p>ĪPK II 4, 21</p>	<p>iṭham tathā ghaṭapāṭyādyābhāśajagadāmanā / tiśhāsor evam icchaiva hetutā kariṭā kriyā //,</p>	<p>ĪPV II 4, 20</p>	<p>tasmāt vāstavam cidēkatvam abhyupagamyāpi tasya kartṛvalakṣanā bhimmarūpasamāvesāṅnikā kriyā nopapadyate / parāmarśasvarūpam tu svātantryam yaḍi bhavati tadopapadyate sarvam / paramārśo hi cikāśārūpeccā tasyāṅ ca sarvam antarbhūtaṅ nirmātavyam abhedakalpenāste / [...] tena [...] viśvam [...] prakāśaparamārthenaiva bhedena bhāśayati mahēśvaraḥ / iti tadevāyātūrgatacakārīvalakṣaṇam svātantryam aiśvaryam ucyate /,</p>
<p>There cannot be action without awareness of the unity [of the one and the many] characterized by the desire to act [proper to the Agent].</p>	<p>Therefore, even though consciousness (cīṭ) is admitted to be really one, yet its action, characterized by agency, which consists in assuming different forms is not possible. But all this becomes possible if there is freedom, which is essentially self-awareness. Self-awareness itself is Will in the form of the desire to act; and within that [Will] resides whatever is to be created internally as if one with [consciousness]. Therefore [...] the Supreme Lord manifests the universe in diverse forms, the ultimate truth of which consists in Light/consciousness. Therefore, his freedom, which consists in accomplishing that which is extremely difficult to achieve, is said to be his sovereignty.’</p>		
<p>ĪPK II 4, 21</p>	<p>iṭham tathā ghaṭapāṭyādyābhāśajagadāmanā / tiśhāsor evam icchaiva hetutā kariṭā kriyā //,</p>	<p>ĪPv II 4, 21</p>	<p>cidvapuṣaḥ svatantrya viśvāmanā śhātum icchaiva jagat prati kāraṇatā kariṭārūpā śaiva kriyāśaktih / evam cidrūpasyaikasya kartur eva cikāśākyā kriyā mukhyā nākartṛkaṅ karmāsti karmāḍmāṅ kartṛmukhenopacāratāh /,</p>

Chart continued

<p>‘Thus it is the desire (<i>icchā</i>) of the [Lord], who wishes to manifest himself as the world of appearances (as a pot or a piece of cloth, for example), that is indeed his capacity for being the efficient/impelling cause (<i>hetutā</i>) [that is, the impulsion behind such manifestations], his capacity for being an agent (<i>karṭṛā</i>) [that is, the direct agent behind them] as well as action itself (<i>kriyā</i>) [that is, their effective realization].’</p>	<p>IPV IV 6</p>	<p><i>sattānandaḥ kriyā pāyus [...]</i>, ‘Being, felicity and action belong to the Lord [...].’</p>	<p>‘It is the Will (<i>icchā</i>) of the [Lord] – free and made of consciousness alone – to manifest as the universe that constitutes his capacity for being the [impelling] cause of the world (<i>jagat prati kāraṇatā</i>), which itself consists in agency (<i>karṭṛtā</i>); and that [capacity for being an agent, which stems from Will itself] is but the “energy of Action” (<i>kriyāśakti</i>). Thus, action (<i>kriyā</i>) in the primary sense – another name of which is “desire of action” (<i>chikīrṣā</i>) – pertains only to the unique Agent (<i>karṭṛ</i>) whose form is consciousness. [Likewise] there is no “object of the action” (<i>karman</i>) without an “agent” (<i>akarṇka</i>), because, as concerns those [other “factors”, which are] <i>karman</i>, etc. – they are [designated as such] by analogy with [the only true “factor”] the “agent” (<i>karṭṛ</i>).’</p>
<p>ĪPK IV 6</p>	<p>IPV IV 6</p>	<p><i>īśvarasyānantakarṭṛtā camatkārarūpā kriyoktā paramapraśāsānandamayī [...]</i> <i>tasya viśvapater yā sattā bhavanakarṭṛtā sphuratārūpā pūrvaṇi vyākhyātā sā sphuratā mahāsattayī</i> [= ĪPK I 5, 14] <i>atra / saiva vimarśāmakacamatkārarūpā satī kriyāśaktir ucyate [...]</i> <i>svāmavisṛāntirūpatvāc ca satvānandaḥ</i>,</p>	
<p>‘The infinite agency of the Lord, which is essentially wonderment, is said to be “action” [i.e., “energy of action” (<i>kriyāśakti</i>)] and consists of supreme Light and felicity [...]. This “Being” (<i>sattā</i>) of the Lord of the universe, which is “agency of being” (<i>bhavanakarṭṛtā</i>) – which itself consists in <i>sphuratā</i>, as it has been said earlier [ĪPK I 5, 14]: “<i>sā sphuratā mahāsattā</i>” –, which again consists in the wonderment that is self-awareness, is said to be the “energy of action” (<i>kriyāśakti</i>) [...] and, that same [Being] is felicity (<i>ānanda</i>) since it consists in repose in one’s own self (<i>ātmanvisṛānti</i>).’</p>	<p>‘The infinite agency of the Lord, which is essentially wonderment, is said to be “action” [i.e., “energy of action” (<i>kriyāśakti</i>)] and consists of supreme Light and felicity [...]. This “Being” (<i>sattā</i>) of the Lord of the universe, which is “agency of being” (<i>bhavanakarṭṛtā</i>) – which itself consists in <i>sphuratā</i>, as it has been said earlier [ĪPK I 5, 14]: “<i>sā sphuratā mahāsattā</i>” –, which again consists in the wonderment that is self-awareness, is said to be the “energy of action” (<i>kriyāśakti</i>) [...] and, that same [Being] is felicity (<i>ānanda</i>) since it consists in repose in one’s own self (<i>ātmanvisṛānti</i>).’</p>		
<p><i>Bhāskarī ad</i> ĪPV IV 6</p>	<p><i>sattā iti padam vyācāṣe bhavana iti / bhavanakarṭṛtā bhūkriyākarṭṛtvam asītī sat tasya bhāvāḥ sattā iti nyāyā iti bhāvāḥ /</i> ‘He [Abhinavagupta] explains the word “<i>sattā</i>” by “<i>bhavana</i>”. Hence “<i>bhavanakarṭṛtā</i>” means “agency of the action of being” (<i>bhūkriyākarṭṛtvam</i>) by virtue of the rule: “<i>sat</i>” (“being”), means “<i>astī</i>” (“he is”); the condition of that [“being”] is <i>sattā</i>; such is the purport.’</p>		

## Chart continued

ŚD III 59

[...] *naiṣā kriyā bhavati kiṃ nirīcche*  
*kiṃ kriyā bhavet |*  
*nirjñāne vā tato jñeyam nāśaktiḥ*  
*kācana sthitiḥ //.*

‘[To the opponent who objects that Śiva, as *ajegadnīpa* and *sānta*, is devoid of will, cognition and action, that is, devoid of powers/energies, the Śaiva reply is: It is not so. Does the action come into being in one who is devoid of will? And could action arise in one devoid of cognition? Hence the object of cognition never exists as that which is devoid of powers/energies [it is Śiva possessed of powers/energies, who appears as the object of cognition].’ (transl. Nemeč, J. (2011), slightly modified).

ŚDvṛ III 59

[...] *atha sakriye śaktisambhavaḥ chivatāstu sāmātā tu niṣkriyā jñeyajñānarahitā [...]*  
*cikīrṣālakṣaṇecchāsnyā ca tena na śivah, / naiḥvam śakyate vaktuṃ kadūcana sarvadā*  
*bhavanakriyāvīradhāt / [...]* *kriyā ca karṭṣvarūpabhūtā karīā ca svatantrās cetana eva / svecchātāḥ*  
*pravṛtīḥ svātantryam yataḥ [...]* *atra bubhūṣāṣīḥśāśūlakṣaṇecchāsny eva / [...]* *icchāśāśaktimattā*  
*ca śivatā / ghaṭo ‘sti himālayo’ sti jagad astīti ca yathā nādāhikyam śivatāyāḥ karoti*  
*tathēsvarapratyabhijñāṇam viracitam.*

Now, you might argue: even if we admit that Śiva-ness exists in the one who acts, because the powers/energies make action possible, yet, the state of being peaceful, on the other hand, is devoid of action, devoid of cognitions of the objects of cognition [...] and devoid of will, which is the desire to act; therefore, it is not Śiva. [We, Śaivas reply:] He [Śiva] may never be spoken of in this way, because he is never separated from the act that consists [for him] in being (*bhavanakriyā*). [...] And action (*kriyā*) by nature involves an agent (*karṭṛ*), and an agent is free, as well as fully sentient. Since freedom (*svātantrya*) is activity that accords with one’s own will (*icchā*) [...], it follows that there is will here [in Śiva], itself characterized by his desire to be (*bubhūṣā*) and to stand [as the world] (*tiṣṭhāsā*). [...] And Śiva-ness consists in being the possessor of the energies of Will, etc. It is taught in the *Īśvarapratyabhijñā*, moreover, how [Śiva] creates (*karoti*) nothing different from Śiva-ness when a jar exists, the Himalayas exist, the world exists.’ (transl. Nemeč, J. (2011), slightly modified).

It is not by chance that, in Yogarāja's commentary on the *Paramārthasāra*, the term occurs first (*ad* 4) in a cosmogonical context, that of the Lord's manifestation in the form of the four *aṅḍas*, '[cosmic] spheres', themselves encompassing an infinite variety of worlds and their inhabitants (see PS 5), and whose main function is to circumscribe and delimit the Lord's infinite subjectivity, or rather 'ipseity' (*ahantā*), conceived of as his sovereign freedom, the very exercise of which postulates his omnipotent agency (*kartṛtā*):

[*idam aṅḍacatuṣṭayam*] '*prabhāvitam*' *prakāśitam bhavanakartṛtayā vā prayuktam / kasmād ity āha 'nijaśaktivaibhavabharāt'*,  
 '[This tetrad of *aṅḍas*] "has been brought forth" (*prabhāvita*), [by the Lord], that is, has been made manifest (*prakāśita*), or rather made effective (*prayukta*) by his agency of being/becoming (*bhavanakartṛtā*). How? The master says: "By display of the glorious superabundance of his own energies".'

Described further on as fitted within one another, these four *aṅḍas* represent, not only the whole of Creation, but the progressive constriction of pure, free, ever-radiating consciousness—a process which, resulting in the different grades of experience, could rightly be seen as a 'genesis of bondage',<sup>51</sup> itself likely to be reversed, according to the soteriological aim of the doctrine, and to become a 'genesis of liberation'.

It is but secondarily that those *aṅḍas* pertain to the realm of materiality, insofar as they have for their efficient cause the display of the Lord's energies—in the first place, the display of his energy of freedom,<sup>52</sup> then of the entire wheel of his innumerable energies—and are therefore of an "energetic" essence (a truth further evidenced by the name of the first of them, *śaktyaṅḍa*).<sup>53</sup> So much so that the commentary concludes:

*bhagavataḥ kila svaśaktivikāśasphāra eva jagannirmāṇam*,  
 'Thus, the confection of the world<sup>54</sup> is indeed but the sudden bursting into bloom of the Lord's own energies.'

Here is indeed a strange construct: far from being entirely reducible to the materiality of the world (*viśva*, *sarva*) the notion of *aṅḍa*—an innovation, in its Śaiva sense, and whose source, moreover, is the *Mālinīvijayottaratantra* [MVT], the Āgama considered as authoritative for the Trika—contains in itself the

<sup>51</sup> On this notion, borrowed from Hulin (1978), and the opposite notion of 'genesis of liberation', see Bansat-Boudon and Tripathi (2011, pp. 77, 323–326).

<sup>52</sup> See *avat. ad* PS 4, Bansat-Boudon and Tripathi (2011, pp. 76–77): '[...] it is the supreme freedom of the Supreme Lord alone that constitutes the source of [his] agency, [made manifest in] conjoining and disjoining [his innumerable energies].'

<sup>53</sup> Same reasoning in TĀ VIII 171–172, commenting on TĀ VIII 169 (itself a quotation from the *Raurava[āgama]*): *vastuṅḍa iti proktaṃ śivaśaktisamūhabhāk / aṅḍaḥ syād iti tadvyaktau saṃmukhībhāva ucyate // tathāpi śivamagnānām śaktinām aṅḍatā bhavet / tadarthaṃ vākyam aparaṃ tā hi na cyutaśaktitah //*, 'Since this *aṅḍa*, which is [essentially] an assemblage of Śiva's energies, has been described as "aggregate of entities" (*vastuṅḍa*), it is spoken of as their [energies'] objectification (*saṃmukhībhāva*), in the process of manifestation. Even so [one may object], the condition of being *aṅḍa* could be predicated of the energies that are immersed in [i.e., that are one with] Śiva. For that reason [i.e., in order to avoid the fault of a too wide definition], another syntagm [qualifying *aṅḍa*, has been given (in VIII 169a), namely, '*pracyutaḥ śaktirūpataḥ*', from which it may be inferred that] those [energies] have not deviated from their nature as energies [viz., being one with Śiva].'

<sup>54</sup> Same expression in PSV 27, quoted, *infra*, p. 64: *viśvanirmāṇahetuḥ*.

philosophical and theological justification of the existence of a world in an idealistic, therefore monistic, doctrine of pure consciousness; this notion of *aṇḍa* is hence the result of an essentially philosophical effort.

The novelty of the Traika treatment of the notion consists, in the first place, in the utilization of the connotations of the term itself, whose primary meaning, 'egg' or 'envelope', refers to a form which is both impenetrable and constrictive.<sup>55</sup>

On the other hand, the supreme ingenuity is to have conceived no less than a tetrad of *aṇḍas*, furthermore a tetrad structured as a set of forms interlocking within one another, capable of spatially representing the very idea of a progressive and ineluctable constriction of the fluidity and the original universality of the sole reality, consciousness. Thus the four *aṇḍas* are conceived of as increasing constrictions put upon the Lord's absolute pervasiveness and freedom, so much so that the infinite is reduced to the finite. Hence this constriction of an ontological nature, being in essence dynamic—in the image of the supreme principle or Lord, endowed with his *śaktis* (*śaktimant*) and animated by them—successively brings into being the finite subject (*śaktyaṇḍa* and *māyāṇḍa*) and the objects of his experience (*prakṛty-* and *prthivy-aṇḍas*).

As such, these *aṇḍas* are not only cosmic spheres, but metaphors for the different grades of experience or subjectivity—whether this experience takes place at the level of pure manifestation, or pure subjectivity, which is that of *śaktyaṇḍa*, or at the level of the phenomenal manifestation and embodied subjectivity which *māyāṇḍa*, *prakṛtyaṇḍa* and *prthivyāṇḍa* account for.<sup>56</sup>

Everything starts with *śaktyaṇḍa*, lit., the 'sphere of Energy'. In fact, Śakti, the very power of the Lord, once transformed by him, out of his absolute freedom, into the power of negating his own essential nature, which is plenitude, gives rise to the other three levels of experience which are, as *śaktyaṇḍa* itself, as many levels of bondage.

Thus, the theory of the four *aṇḍas* is an ontological requirement of the system, and this is the reason why its exposition is placed at the very outset (verses 1–3 being only the "myth of origin" of the text) of the *Paramārthasāra*. As an epitome of the nondualist Śaiva doctrine, whose main purport is the defence of the *jīvanmukti*, the *Paramārthasāra* considers the main problem of empirical being to be that of delimitation, and aims at explaining how diversity takes place so as to enable the reversal of the process and the recognition (*pratyabhijñā*) and re-experience of one's own fundamental plenitude.

This long exposition on the theory of the *aṇḍas* is not a digression. It is essential (as shown by the exposition strategy of the *Paramārthasāra*) to understand the significance of the concept of *bhavanakartṛtā*, in its relation to the supreme principle on the one hand, and to the materiality of the world, on the other. One of the keys to the comprehension of this is to be found in PSV 4 and 27. Here is the first text, avat. *ad* PS 4:

<sup>55</sup> As an 'egg' or an 'envelope', the *aṇḍa* is seen as a form given to the formless, hence as a cover, veiling the pure Light (*prakāśa*) of the Self/consciousness, and further covering the world that it encompasses. Thus, the other aspect of the definition of *aṇḍa* consists in its being a cover (*ācchādaka*), a sheath (*kośa*), as explained by PSV 4.

<sup>56</sup> See PS 4 and its detailed commentary in Bansat-Boudon and Tripathi (2011, pp. 76–82).

*adhunā [...] viśvavaicitryacitre asmin jagati pārameśvaram anuttamaṃ svātantryam ekam eva saṃyojanaviyojanakartṛtvahetuḥ iti tacchaktivikāsam eva viśvam aṅḍacatuṣṭayamukhena āvedayan grantham avatārayati,*  
 ‘Now, [...] he [the author, Abhinavagupta] starts the text [proper] by stating that it is the supreme freedom of the Supreme Lord alone that constitutes the source (*hetu*) [lit., the ‘impelling cause’] of his agency (*kartṛtva*) as regards this world [hence, the Lord’s freedom is the impelling cause of that world, whether it is created or dissolved], marvelous with the diversity of everything in it, [made manifest in] conjoining or disjoining [the host of his energies, bringing about, on the one hand, the dissolution, on the other, the creation of the world] – thus making it known that this world is nothing but the blossoming of his energies through the intermediary of the four [concentric] spheres/envelopes.’

This text is to be compared with PSV 27, which, while examining the conceptions of the Self propounded by the Vijñānavāda and the Śāntabrahmavāda, refutes them both in keeping with the following line of argument:

*atrobhayatrāpi vedanasya svātantryaṃ jīvitabhūtaṃ viśvanirmāṇahetuḥ<sup>57</sup> iti na cetitam,*

‘[We, however, reply:]—In both these [doctrines, though the conscious principle has been formulated as supreme], what has not been recognized is the freedom of that conscious principle,<sup>58</sup> which, endowed with life, becomes the efficient cause (*hetu*) of the construction of the universe.’

In both passages, emphasis is put on the Lord’s (energy of) freedom, (*svātantrya* [*śakti*]) considered to be the *hetu*, the ‘efficient (or impelling) cause’, of the universe. Moreover, the Lord’s freedom is not directly the impelling cause of the world, but that of its ‘construction’ (*nirmāṇa*) or ‘making’ (*kartṛtva*, *siddhi*), as also taught in PH 1:

*citiḥ svatantrā viśvasiddhihetuḥ,*

‘Free consciousness is the cause bringing about the universe.’

Based on the usage of grammarians (P. I 4, 54–55), *hetu* is the ‘causative agent’ as contrasted with the ‘agent’ (*kartṛ*) of its embedded base verb.

In the sense that it is one of the realizations of that energy of freedom, *kartṛtā*, the Lord’s ‘agency’ (as it is said in the *avat. ad* 4, quoted above), or more accurately, *bhavanakartṛtā*, the Lord’s ‘agency of being’ (as specified further on in the same passage) must be understood on two different levels, depending on whether it concerns being the agent of the world or of oneself, as we shall see.

The grammatical logic underlying Śaiva speculations can be read even in the very notion of *svātantrya*, the prevalent *śakti* of the Lord, regularly associated to the notion of *hetu*, which is both ‘efficient cause’, and, as seen above, ‘subject’ of the

<sup>57</sup> See supra, n. 54.

<sup>58</sup> See also ĪPvṛ II 4, 20 and its criticism of the *śāntabrahmavāda*: *ekasmiṃś cittatve 'pi [...] na ca tatra kriyātvam*, ‘Even if one posits the conscious principle as the only reality [...], there is no action in this conscious principle’ (transl. Torella 1994).



causative verb. Indeed, in the grammatical terminology, the term *svātantrya* refers to the agent's (or grammatical subject's) characteristic 'autonomy'.<sup>59</sup>

Thus, the first text (PSV's avat. *ad* 4, supra, p. 64) refines further the logic underlying Śaiva cosmogony by introducing, between divine freedom, viewed as the pre-eminent efficient cause, and the effects it induces, i.e., the creation, the necessary link in the form of the Lord's capacity for action (*karṭṛtā*), which consists in his dual capacity for *being* and for *causing to be* that is inherent in the notion of *bhavanakarṭṛtā*, as will be shown.

By contrast with the quiescent *brahman* of the Advaita Vedānta,<sup>60</sup> the Lord, in the Trika, is not only an Agent, but the sole Agent, since sentient beings are nothing but materializations of himself (or, by reference to a recurrent metaphor in the Trika, they are as many theatrical impersonations, *bhūmikās*,<sup>61</sup> which he chooses to play, in his capacity as Naṭarāja), and since insentient objects only exist by and through him. Better still, he is agency itself, which is the very expression of his essential freedom. It means that he is the *capacity* for being all beings, be they sentient or not: not only is he the agent of the world's 'action of being', insofar as he is the one who *causes the world to be* (the *existence* of a second "reality" has to be explained somehow in a monistic doctrine), but also he *causes himself to be*, by being continuously conscious of his being, as expressed by the notions of *vimarśa* (or *spanda*, or *sphurattā*, in some of their acceptations), and supported by the traditional grammatical reasonings which consider that 'to be' is 'to persist in one's own being' (see, infra, p. 72ff.).

In the cosmogonic context of the exposition of the *aṅḍas* (PSV 4, as already examined), the notion of *bhavanakarṭṛtā* implies that the causative underlying the compound has an object, the world, external to the grammatical subject, the Lord. In other contexts, when the exposition remains at the level of transcendence, the underlying causative verb is reflexive: the complement of this "self-effectuation" (he *causes himself to be*) is the same as the grammatical subject: the Lord brings into being, not the world, but himself, since he is the sole reality. Such is the purport of ĪPK I 5, 17 (and its *Vṛtti*),<sup>62</sup> in which the notion of *ahamṃśyatā*, 'self-awareness of the "I" ' (or 'I-experience'), conveys the idea of the identity, in the I-experience, of the subject and the object.

That the Lord should *cause himself to be* is, after all, one of the two necessary correlates of the *effervescence* (that consists of the *śakti*-s) constituting the essence of the *śaktimant*, the Possessor of Energies, also seen as the 'Lord of the wheel of energies' (*śakticakreśvara*); the other correlate of that *effervescence* being the manifestation of the empirical world.

In this way, 'being' is tantamount to manifesting oneself, *in oneself (to oneself)* and *outside oneself*. Thus, manifestation is a mode of being that is subordinated to the desire of being, understood as an "action", whether it is "self-effectuation" or "creation" of the external world—which is nevertheless nothing else than the external

<sup>59</sup> See Renou (1942), s.v.

<sup>60</sup> See PSV 27 quoted infra, n. 66.

<sup>61</sup> On this acceptance of *bhūmikā*, see PSV 5, 26, Bansat-Boudon and Tripathi (2011, p. 161, n. 689, 330–331).

<sup>62</sup> See Chart.

manifestation of one's own self (*bubhūṣā* in ĪPvṛ II 4, 20 = *tiṣṭhāsā* in ĪPK II 4, 21 = *cikīrṣā* in ĪPvṛ II 4, 21). Such a dynamic process is consubstantial with the supreme principle, the *śaktimant*: he is the effervescent Agent whose vocation is to exceed the limits of his being (hence the concepts of *spanda* and *sphurattā*), within the movement of externalization impelled by the triad of the *śaktis*—*icchā*, *jñāna* and *kriyā*.

As said in a famous verse in the *Sarvamaṅgalāsāstra*, often quoted in the Pratyabhijñā's texts:

*śaktiś ca śaktimāṃś caiva padārthadvayam ucyate /*  
*śaktayo 'sya jagat sarvaṃ śaktimāṃś tu maheśvaraḥ //,*  
 'Energy and the Possessor of energy[ies] are said to be the [only] two entities.  
 His energies constitute the entire world, but the Possessor of energies is the  
 Great Lord.'<sup>63</sup>

ŚD III 56 (Chart) and ŚD III 59 (Chart), as well as their *Vṛtti*, emphasize that the *śaktimattā* of Śiva is the doctrinal point that solves the aporia of the *sāntabrahmavāda*, although Somānanda and his commentator provisionally accept a distinction between a 'quiescent' (*śānta*) Śiva (when he is conceived of as the supreme principle, namely, as *ajagadrūpa*) and a 'gross' (*sthūla*) Śiva (when he assumes the form of the external world: *jagadrūpa*) in order to refute their adversaries.<sup>64</sup> Moreover, Utpaladeva (ŚDvṛ III 56 and 59) makes the notion of *bhavanakartṛtā*<sup>65</sup>—which, as already observed, he has "invented"—an irrefutable argument against the Advaitin notion of a *sāntabrahman*. Possessor of the three *śaktis* (*icchādiśaktimattā ca śivatā* in ŚDvṛ III 59), Śiva is continuously involved in the essential 'act that consists [for him] in being' (*bhavanakriyā*)—being in oneself (to oneself) and being as the world.

This is what ŚDvṛ III 59 teaches:

[...] *atha sakriye śaktisaṃbhavāc chivatāstu śāntatā tu niṣkriyā jñeyajñānarahiitā* [...] *cikīrṣālakṣaṇecchāsūnyā ca tena na śivaḥ / naivam śakyate vaktum kadācana sarvadā bhavanakriyāviraḥāt /* [...] *kriyā ca kartṛsvarūpabhūtā kartā ca svatantraś cetana eva / svecchātaḥ pravṛtīḥ svāntryam yataḥ* [...] *atra bubhūṣātiṣṭhāsālakṣaṇecchāsty eva /* [...] *icchādiśaktimattā ca śivatā* [...],

<sup>63</sup> PSV 4 quotes it; see Bansat-Boudon and Tripathi (2011, p. 79, n. 311). It is implicitly present in PSV 47–50; see Bansat-Boudon and Tripathi (2011, p. 212, n. 954).

<sup>64</sup> Such is the purport of the long discussion that takes place in ŚD III 53–59 and its *Vṛtti*. The Śaivas temporarily accept the position of their opponents, namely that there is a *śānta* Śiva, when he is *ajagadrūpa*, 'not in the form of the world', and a *sthūla* Śiva, when he is *jagadrūpa*, 'in the form of the world' (on this intricate discussion, see Nemeč (2011), *ad loc.*); nevertheless, it is to better refute such an erroneous opinion and re-establish the ontological unity of the Supreme Lord: Śiva is *śānta* and *sthūla* indifferently, and at his will, assuming both the conditions as would an actor with his roles, without ever swerving from his very nature. Thus the provisional distinction between the two conditions is a matter of mere convention; see ŚD I 41 cd–43, where Somānanda and Utpaladeva describe Śiva as 'as if' peaceful: *svaśivatvam ivājānan paśvātmavyapadeśataḥ // tadrūpatvena vā paśyan sthitaḥ śānta iva kvacit /*, 'As if ignoring his own Śiva-nature, he exists as one who is designated a bound soul, or, seeing himself as having that nature, he sometimes exists as if he were peaceful.' All translations from ŚD are those of Nemeč (2011), sometimes modified.

<sup>65</sup> And its synonym, *bhavanakriyā*, in ŚDvṛ III 59.

[...] Now, you might argue: even if we admit that Śiva-ness exists in the one who acts, because the powers/energies make action possible, yet, the state of being peaceful, on the other hand, is devoid of action, devoid of cognitions of the objects of cognition [...] and devoid of will, the desire to act; therefore, it is not Śiva. [We, Śaivas reply :] He [Śiva] may never be spoken of in this way, because he is never separated from the act that consists [for him] in being (*bhavanakriyā*). [...] And action by nature involves an agent, and an agent is free, as well as fully sentient. Since freedom is activity that accords with one's own will [...], it follows that there is will here [in Śiva], itself characterized by his desire to be (*bubhūṣā*) and to stand [as the world] (*tiṣṭhāsā*). [...] And Śiva-ness consists in being the possessor of the energies of Will, etc.,'

as well as ŚDvṛ III 56:

[...] *sattā bhavattā bhāvanakartṛtā / svāntryātmatvaṃ ca kartṛtvaṃ cidrūpasyecchādīśaktimata [...]*,

'[...] "being" is "existing", which is [again] "agency of being"; and [such] agency consists in the freedom of the one whose form is consciousness, and who is endowed with the energies of Will, etc.'

Again, in this context, like the return of the subject in the fugue's tonic key, the motive of the Lord's essential freedom reappears, this time associated with the two notions of *śaktimattā* and *bhavanakartṛtā*. As an omnipotent (*śaktimant*) Agent (an Agent of his own being as well as the others' being—*bhavattā*, *bhavanakartṛtā*), the Lord is free.<sup>66</sup>

In conclusion, one should interpret the concept of *bhavanakartṛtā* as the nominal transposition of the causative *bhāvayati* (with the underlying presence of the embedded base verb *bhavati*). Such an analysis implies, in its turn, the Trika reasonings on sentience and insentience—a crucial issue in a nondualist system, and which is again related to the major concept of *mahāsattā*, as will be developed further on, while commenting on ĪPK I 5, 14ab and its commentaries.<sup>67</sup>

This is the purport of ĪPvṛ II 4, 20:<sup>68</sup>

[...] *jaḍasya [...] tena pramātaiva taṃ bhāvayati tena tena vā himācalādinā rūpeṇa sa bhavātīy atrā paramārthaḥ,*

<sup>66</sup> In the same way, PSV 27 makes the freedom of the Lord the criterium that distinguishes the Trika from those other nondualisms that are the Vijñānavāda and the Advaita Vedānta: *atrobhayatrāpi vedanasya svāntryaṃ jīvitabhūtaṃ viśvanirmāṇaheturiti na cetitam*, '[We, however, reply:]—In both these [doctrines, though the conscious principle has been formulated as supreme], what has not been recognized is the freedom of that conscious principle, which, endowed with life, becomes the efficient cause of the construction of the universe.'

<sup>67</sup> See infra, p. 71 and n. 81–82.

<sup>68</sup> See the beginning of this text, infra, p. 69 and Chart.

'This is why the ultimate truth in this regard is that it is the cognizing subject who 'causes to be' [that insentient reality], or [in other terms], it is he who 'is' in the guise of various forms, such as mount Himācala, etc.'<sup>69</sup>

In this manner it is demonstrated that insentient objects do not exist, at least not really, or not absolutely. The text above offers two possible formulations of the same idea: i) it is the Subject *who exists as* the object (*bhavati* + instrumental); ii) it is the Subject who *causes* the object to exist (*bhāvayati*).

The existence of the sentient and the insentient implies an agent of this existence, his own and that of the insentient entities. Therefore existence implies, not only agency, but causative agency (whether self-referential or not).

The simple verb *bhavati* is embedded grammatically in the causative *bhāvayati*, as shown by grammatical terminology which designates the subject of *bhavati* as '*kartṛ*', and that of *bhāvayati* as '*hetu*'—as the Lord assumes these two modes of the grammatical subject. Thus ĪPvṛ II 4, 18 observes:

*pariṇāme svatantrasya śaktimataḥ kartṛtaiva hetutā,*

'For him, endowed with *śakti*, who is free to modify himself, to be a cause [of the phenomenal world] is to be an agent.'<sup>70</sup>

For Śiva, the simple *bhavati* only makes sense with reference to the causative: Śiva does not confine himself to being; he causes himself to be as much as he causes the universe to be, failing which he would be the quiescent Absolute of the Vedānta, whereas the phenomenal world would only be explicable by the illusory constructions of a *māyā* conceived of as distinct from the supreme principle, which is nonetheless the sole reality—a *māyā* that is real and non-real, and, in a way, inexplicable (*sadasadanirvacanīyā*), according to the Vedānta.

From the immanent point of view, *bhavanakartṛtā* thus expresses the paradox of an Absolute (Paramaśiva, pure Being, perfect plenitude) which coexists with its own creation, necessarily external to it—rather, every moment internal as much as external to it.<sup>71</sup>

As SpN I 2 explains:

*etad uktaṃ bhavati na prasevakād ivākṣoṣādi tat tasmān nirgatam api,*

'Such is the meaning: that [world of phenomena] has not come out of him [the Lord] as does a walnut, or anything else, from a bag.'

<sup>69</sup> Same image in ŚDvṛ III 59, where Utpaladeva refers to his own ĪPK; see Nemeč (2011, pp. 250, 378): *ghaṭo 'sti himālayo 'sti jagad astīti ca yathā nādhikyam śivatāyāḥ karoti tatheśvarapratyabhijñāyām viracitam*, 'It is taught in the *Īśvarapratyabhijñā*, moreover, how [Śiva] creates nothing different from Śiva-nature when a pot exists, the Himālayas exist, the world exists.' See also Ratié (2011, pp. 684–685), as well as her references to ĪPVV II 4, 20 (n. 93, 94, 95), which evokes a discussion on the 1<sup>st</sup> verse of the *Kumārasaṃbhava*, where Himālaya is the subject of '*asti*' (*asty uttarasyām diśi devātmā himālayo nāma nagādhirāja iti*).

<sup>70</sup> Here one must note the use of this '*pariṇāma*', a paradox in a doctrine that invents the notion of *spanda*, changeless motion, in order to avoid the suspicion of perishability affected to the Absolute. Therefore, '*pariṇāma*' is here divested of its realistic connotations.

<sup>71</sup> See ĪPV II 4, 20 (Chart): [...] *paramārśo hi cikīrṣārūpecchā tasyām ca sarvam antarbhūtaṃ nirmātavyam abhedakalpenāste /*, '[...] Self-awareness itself is will (*icchā*) in the form of the desire to act (*cikīrṣā*); and within that [will] resides whatever is to be created internally as if one with [consciousness].'

In effect, *bhavanakartṛtā* designates the Lord's faculty of exerting his power of being—that is, of manifesting himself as the universe, eternally and permanently present in him. Being free, Parameśvara decides to embody reality, in other words, to become “real”.

Śiva is thus the subject of all the occurrences of the verb ‘to be’, as taught by ĪPV I 5, 14:

[...] *sattā ca bhavanakartṛtā sarvakriyāsu svātantryam*,  
‘[...] and that “being” is “agency of being”, that is freedom in all the actions [of being].’<sup>72</sup>

So much so that *bhavanakartṛtā* is to be understood from both points of view: that of Being as such and that of its “real” manifestation—in other words, that of the principle and that of its productions, whatever their degree of “reality”.

The Agent's freedom is indeed the cornerstone of these disquisitions: it is the absolute sovereign freedom to act, i.e., to be and bring into being, which inanimate entities lack in order to be “agents”, that is to say “subjects” of the verb ‘to be’. The passage of ĪPṽr II 4, 20 immediately preceding the one I quote on p. 67, is explicit in this respect:

*jaḍasyāpy asti bhavatīty asyām api sattākriyāyām bubhūṣāyogena svātantryābhāvād akartṛtvaṃ / tena [...]*,  
‘[...] There is no agency (*akartṛtva*) for the insentient entity, since it is not free [lit. ‘in the absence of freedom’] as regards this “action of being” (*sattākriyā*) expressed by the roots *as* and *bhū*, and attained through the “desire for being” (*bubhūṣā*).’

It is also this freedom that simultaneously controls the desire to be (*bubhūṣā*) and the desire to act (*cikṛṣā*), both of which, in this dynamic ontology, are merged and cannot be conceived one without the other, as shown by the way the *Vṛtti* glosses the *cikṛṣā* of the *kārikā* by *bubhūṣā* (see Chart).

Thus, freedom, agency and sovereignty are equivalent, as the ĪPV II 4, 20 explicitly says:

*iti tad evāsyātidurghaṭakāritvalakṣaṇaṃ svātantryam aiśvaryam ucyate /*,  
‘Therefore, his freedom, which consists in accomplishing that which is extremely difficult to achieve, is said to be his sovereignty.’<sup>73</sup>

ĪPK II 4, 21 and its *Vṛtti* pursue the same line of thought, associating through contiguity the Lord's will (or energy of Will: *icchā[śakti]*) that governs the desire to be or to manifest (to be read in ‘*tiṣṭhāsu*’), his capacity of being the agent (*hetutā* = *kartṛtā*) of the “real” world, and his effective action (*kriyā*):

<sup>72</sup> If one understands: ‘freedom in all the actions [of being]’, this implies the ‘existence’ of sentient objects; if one does not supply ‘of being’, one must understand ‘all the actions (including the action of being)’. Complete text of the *kārikā* supra, p. 54.

<sup>73</sup> See complete text, in the chart. Same phraseology in PS 15 (*paramaṃ yat svātantryam durghaṭasampādanaṃ mahēśasya / devī māyāśaktiḥ svāmāvaraṇaṃ śivasyaita //*, ‘The supreme freedom of the Great Lord, which accomplishes what is difficult to construe, is indeed nothing but the covering of Śiva's own Self [in which phase he appears as] the Goddess Māyāśakti.’) and PSV *ad loc.*

*itthaṃ tathā ghaṭapaṭādyābhāsajagadātmanā /  
tiṣṭhāsor evaṃ icchāiva hetutā kartṛtā kriyā // (ĪPK II 4, 21),*

‘Thus it is the desire (*icchā*) of the [Lord], who wishes to manifest himself (*tiṣṭhāsu*) as the world of appearances (as a pot or a piece of cloth, for example), that is indeed his capacity for being the efficient/impelling cause (*hetutā*) [i.e., the impulsion behind such manifestations], his capacity for being an agent (*kartṛtā*) [i.e., the direct agent behind them] as well as action itself (*kriyā*) [that is, their effective realization].’<sup>74</sup>

Therefore the series of equivalences, in the *kārikā*, is the following: *icchā* = *hetutā* = *kartṛtā* = *kriyā*.

ĪPvṛ II 4, 21 insists on these two notions of causative agency and agency (*kāraṇatā* [= *hetutā*]<sup>75</sup> and *kartṛtā*), where one can discern the underlying presence of the notion of *bhavanakartṛtā*, interpreted here as inseparable from the very idea of cosmogony, and associated with the notion of *kriyāśakti*, ‘energy of Action’, itself resulting from *icchā[śakti]*, ‘energy of Will’:

*cidvapuṣaḥ svatantrasya viśvātmanā sthātum icchāiva jagat prati kāraṇatā  
kartṛtārūpā saiva kriyāśaktiḥ / [...],*

‘It is the Will (*icchā*) of the [Lord]—free and made of consciousness alone—to manifest as the universe that constitutes his capacity for being the [impelling] cause of the world (*jagat prati kāraṇatā*)—a capacity that itself consists in agency; and that [capacity for being an agent, which stems from Will itself] is but the “energy of Action”.’

Here, the series of equivalences is as follows: *jagat prati kāraṇatā* = *kartṛtā* = *kriyāśakti* = *icchā[śakti]*—the same, therefore, as in the *kārikā* (ĪPK II 4, 21); see Chart.

As he completes the process of establishing the Lord as the sole Agent, willing to manifest himself as the “other”, Utpaladeva, in his gloss *ad* II 4, 21, transposes the philosophical argument (expressed in the first part of the sentence: *nākartṛkaṃ karmāsti karmādīnāṃ kartṛmukhenopacārataḥ*) to the grammatical register:

*evaṃ cidrūpasyaikasya kartur eva cikīrṣākyā kriyā mukhyā nākartṛkaṃ  
karmāsti karmādīnāṃ kartṛmukhenopacārataḥ /,*

‘Thus, action (*kriyā*) in the primary sense—another name of which is “desire of action” (*cikīrṣā*)—pertains only to the unique Agent whose form is consciousness. [Likewise] there is no “object of the action” (*karman*) without an “agent” (*kartṛ*), because, as concerns those [other “factors” (*kārakas*), which are] *karman*, etc.—they are [designated as such] by analogy with [the only true “factor”] the “agent” (*kartṛ*).’<sup>76</sup>

<sup>74</sup> Or: ‘Thus it is the desire (*icchā*) of the [Lord], who wishes to manifest himself as the world of appearances (as a pot or a piece of cloth, for example), that is indeed the indirect cause (or impulsion) [behind such manifestations], as well as their efficient cause, and their effective realization.’

<sup>75</sup> The word *kāraṇatā*, derivative of the causative verbal form, is equivalent to *hetutā*.

<sup>76</sup> Lit.: “because of a metaphorical usage, made possible through the agent, of *karman*, etc., [i.e., of the term ‘*kāraka*’, ‘factor’, applied to factors that are other than the agent]”. In other words, in the case of the term ‘*kartṛ*’, there is no metaphor; in the case of the other ‘semantic roles’, there is a metaphor, since they

Indeed, the passage is difficult to understand,<sup>77</sup> nevertheless the interpretation that I propose seems justified to me, mainly, by the *-ādi* element in *karmādīnām*, which indicates the beginning of a list: my view is that *karman* is here to be understood as one of the *kāra*kas, ‘factors’—excluding *karṭṛ*, obviously, since in Utpalaveda’s sentence the *karṭṛ* is left out of the list of the *kāra*kas.

Pāṇinian grammar starts with *kāra*kas, which are structured around a *kriyā*—the ‘action’, or process, expressed by the verb. As a result, the *kāra*kas are ‘semantic roles’, or ‘actants’, to borrow from generative semantics, in other words they are all participants in the process expressed by the verb, all roles played around this process.<sup>78</sup> Not only is the agent one of these actants, but it is first among them, playing the leading role and creating the roles around it, which only exist through it. It is the agent who/which knows that other “actants” exist and causes them to be, as it causes the action to be.<sup>79</sup>

Without an agent, there would be no other *kāra*kas. Likewise, in the philosophical argument in debate in the *Vṛtti*, it is the Agent alone who exists, because he causes all other entities to exist. The grammatical argument is equally valid as an example pertaining to analogy: what holds true for the grammatical agent also does for the supreme Agent.

And—taking even further the virtuosity of this idealistic system, which contrives to resolve the logical impasses that made the rival doctrines stumble, be they monistic or dualistic—the texts add that this ‘being’ (*sattā*), which involves the ‘action of being’ (*sattākriyā*) and ‘the action of causing to be’ (*bhavanakarṭṛtā*)<sup>80</sup>—in other terms, this ‘beingness’ which involves ‘agency’ (and ‘causative agency’)—brings into being, not only the insentient objects with a proven existence, but also imaginary insentient objects, such as the ‘flower in the sky’ (see ĪPV I 5, 14, infra). This is why, borrowing the concept, in particular, from the philosophy of grammar,<sup>81</sup> ĪPK I 5, 14 teaches that this ‘being’ is also said to be a ‘Great being’ (*mahāsattā*); he is “great” insofar as he penetrates all reality, existent and non-

Footnote 76 continued

are not ‘factors’ (*kāra*ka), strictly speaking: it is the agent who is the only ‘factor’, the one which causes action, as well as the other semantic roles, to be.

<sup>77</sup> Torella (1994, p. 188) seems to adopt the same interpretation (see his n. 37), but his translation does not entirely account for the grammatical implication of the sentence.

<sup>78</sup> On these notions, see Kiparsky (1993, p. 2922): ‘A sentence is seen as a little drama played out by an Agent and a set of other actors, which may include Goal, Recipient, Instrument, Location and Source. These roles are systematically related to semantic categories, but the correspondence is not one-to-one. One *kāra*ka can correspond to several semantic relations and one semantic relation can correspond to several *kāra*kas, in ways duly stated in grammar.’

<sup>79</sup> Thus *kāra*kas cannot be mistaken for the syntactic functions expressed by grammatical cases; rather, as stated by Kiparsky (ibid.): ‘*Kāra*kas in turn are the categories in terms of which the assignment of case and other morphological elements is formulated.’ For example, the notion of ‘subject’ is pointless in the Indian context; what matters is the notion of ‘agent’, which occurs under two different forms: as the nominative, in the active; as the instrumental, in the passive. Symmetrically, the ‘object’ occurs as the accusative, in the active; as the nominative, in the passive.

<sup>80</sup> Cf. ĪPvṛ II 4, 20, quoted supra.

<sup>81</sup> On the notion of *mahāsattā*, see, notably, Bansat-Boudon and Tripathi (2011, p. 206 (n. 915)), and 339. ĪPV I 5, 14 glosses again *mahāsattā* as *mahādevī*, through the citation (vol. 1: 261): *mahāsattā mahādevī viśvajīvanam ucyate*.



existent.<sup>82</sup> Such is the exercise of the Lord's sovereign liberty, as he is *citi*, dynamic consciousness.

This is how one can perceive coming through the logic underlying the juxtaposition of notions presented as quasi-synonyms in ĪPK I 5, 14 and in its commentaries (see Chart).

Thus are justified, i) on the one hand, the series of equivalences proposed by ĪPK I 5, 14: *sā [citiḥ] sphurattā mahāsattā [...]*, in which *mahāsattā* glosses *sphurattā* (itself understood, as the *Vṛtti* observes, as *sattā*, *bhavattā* and *bhavanakartṛtā*), which itself glosses the term *citi* in I 5, 13 whose predicate it is; ii) on the other hand, 1. the parallel series of glosses proposed for *sphurattā* by ĪPvṛ *ad loc.*: *sattā bhavattā bhavanakartṛtā* (see Chart); 2. another series proposed by ĪPV *ad loc.*: [...] *sattā ca bhavanakartṛtā sarvakriyāsu svātantryam / sā ca khapuspādīkam api vyāpnoṭī mahati /*, whose last segment (*sā ca khapuspādīkam...*) is a gloss to a '*mahāsattā*' unexpressed in the *Ṭīmarśinī* itself.

This logic, which refers to the notions of 'being', 'great being', 'action of being', 'subject-agent of the action of being', proceeds through channels and reasonings which are those of grammar, at any rate, of orthodox grammatical tradition, in other words that of Brahmanism (as opposed to the Buddhist tradition, which rejects any idea of a Subject which would be a permanent, active Self), for which, even though the verb is theoretically understood as an action, '*kriyā*', stative verbs like *as*, *bhū*, and a few others equally correspond to this idea of "verbality" as being "agency": 'being' is 'action of being'.<sup>83</sup>

Examining some of the reasonings elaborated in the texts, one can construe that that there was some debate about the question of the "verbality" of the roots conveying the meaning of "existence". Taking up the Mīmāṃsaka analysis of the verb, which introduces the notions of *vyāpāra* and *phala*, Nāgeśa, in his PLM (in the *Dhātvartha* section, according to the commentator's segmentation of the text: *atha dhātvarthanirūpaṇam*), teaches (p. 98):

*astibhavativartatīvidyatīnām arthaḥ sattā / sā cānekakālasthāyīnī kālaga-  
gatapaurvāparyeṇa kramavatīti tasyāḥ kriyātvam / satteha ātmadhāraṇam,*  
'The roots *as*, *bhū*, *vṛt*, and *vid* signify "to be" (*sattā*). That [*sattā*] is present in several tenses; therefore, since that [*sattā*] involves temporal continuity, it is

<sup>82</sup> On this point, a distinction must be made, it seems to me, between objects which are imaginary, but could nonetheless exist (were it only in the mind of a poet) and standard examples of logical impossibility (such as a 'barren woman's son'); I must differ on this point from Ratić (2011, p. 687 (n. 101)). Even imaginary constructs are part and parcel of the Lord's phenomenalizing power: the city up above in the air, the flower in the sky, the hare's horn do not refer to a logical impossibility like the notions of 'a square circle' or a 'barren woman's son'. Undeniably, the latter cases can be constructed mentally as contradictory beings, but they cannot "exist"; as postulated by Leibnitz, they are not 'compossible', in that they represent two incompatible possibilities—which is not the case for the 'hare's horn', which happens not have materialized, but which nothing would prevent from materializing. For that matter, these imaginary constructs are the privilege of poets, as stated by Bhaṭṭa Tauta, one of Abhinavagupta's masters: 'The earth with the mountains for teeth, or the sky adorned with the halo of lightning, [what does it matter] that such things do exist or not! For might it not be possible that they do some day, somewhere?' (*Abhinavabhāraṭī ad Nāṭyaśāstra* XIX 129, quoted in Bansat-Boudon (1992, pp. 155–156).

<sup>83</sup> See supra, p. 48, n. 26.

durative [i.e., it is a durative activity]. Therefore, it expresses an action (*kriyātva*). For “to be” (*sattā*) here consists in *persistence* in the Self.’<sup>84</sup>

The argument here is that of persisting in being; the activity referred to by the verb ‘to be’ implies an action, an effort: that of persisting; what is in question is persistence rather than existence.

It is in such a context that the modern commentary of Kalikaprasad Shukla leads us to discern the implicit objection to which PLM’s passage quoted above is an answer:

*nanv evam astyādīnām dhātutvānāpattis teṣāṃ sattāmātrārthatvena vyāpārārūpakriyāvācakatvābhāvāt ata āha [...],*

‘But, if it so, how could *as*, etc., be roots, insofar as they do not express activity (*kriyā*) which consists in “operation” (*vyāpāra*),<sup>85</sup> since they only signify “to be” (*sattā*)? Therefore, he says [...].’

Trika speculations remove this suspicion, in their own way, in agreement with the dynamic ontology that characterizes them.<sup>86</sup> On this point, Śaivas, in the persons of Utpaladeva and Abhinavagupta, stick to Pāṇinian reasonings: whether they are stative or action verbs, all verbs are actions, since they are given “personal” endings denoting the existence of an agent of that action, albeit the ‘action of being’. Such is the meaning of Utpaladeva’s statement, in his ŚDvṛ III 57–59:

*bhavatītyādi tiñantanirdeśaḥ,*

‘[Pāṇini] teaches that verbs like *bhavati* “to be, to become” end with a verbal ending.’<sup>87</sup>

As stated in the *Dhātupāṭha*: *bhū sattāyām*, ‘*bhū* means “to be”’, and one would not be allowed to see there, as the Mīmāṃsaka analysis would have it, either *phala*, or *vyāpāra*. Likewise P. I 3, 1 defines verbs (roots) as those ‘beginning with *bhū*’: *bhvādayo dhātavaḥ*.

To the equation which postulates that ‘being’ is ‘action of being’, ĪPK IV 6 adds a third term: *ānanda*, ‘felicity’:

*sattānandaḥ kriyā patyus,*

‘Being, felicity and activity belong to the Lord.’

It is in the context of defining the ‘Lord of the universe’—*viśvapati*, as said in the ĪPV *ad loc.* (see Chart)—that the triad *sattā-ānanda-kriyā* occurs. If compared to another triad, that of the Advaita, *sat-cit-ānanda*, ‘being, consciousness, felicity’,

<sup>84</sup> Transl. Kunjuni Raja, slightly modified, in Coward and Kunjuni Raja (2001, p. 331).

<sup>85</sup> The activity expressed by the verb (*kriyā*) is analysed in two parts: *vyāpāra* (itself associated with *yatna*, ‘effort’) and *phala*, the ‘aim’ of this activity; see supra, n. 26.

<sup>86</sup> See the use of the root *sthā*, in the desiderative, in ĪPK II 4, 21, and *Vimarsinī ad loc.* *Tiṣṭhāsā*, the ‘desire to be (or to manifest)’, *tiṣṭhāsu*, the ‘one who desires to be’, *viśvātmanā*, ‘in the form of the world’, function as synonyms of the derivatives from *bhū*. This is how, incidentally, I differ in my translation of ŚDvṛ III 59 (see Chart) from Nemeč’s interpretation of *tiṣṭhāsā* (2011, p. 249): ‘his desire to remain in existence’.

<sup>87</sup> Transl. Nemeč (2011, p. 249).

one notices that *kriyā* of the Śaiva list in some way takes the place of *cit*, conceived as *śānta*, in the Advaitin enumeration. Thus, *kriyā* involves the dynamic dimension that the Śaiva system confers to consciousness. Moreover, the context is different, since the triple qualification, *sat-cit-ānanda*, applies to *brahman* (the non-supreme *brahman*, at least), conceived of as *śānta*, whereas the triad *sattā-ānanda-kriyā* qualifies the supreme principle, which is considered as the ‘Lord of the universe’, *viśvapati*, the one whom ĪPK II 21 describes as ‘desirous of manifesting himself in the form of the world’, that is, desirous of immanence and, as such, conceived of as the supreme Agent.

And, even more, if the term *ānanda* is common to both lists, a subtle shift concerning the object of this felicity can be observed: in the Śaiva system, one delights in, not only ‘being’ (*sat*), or ‘being consciousness’ (*sat, cit*), for all eternity, like the *aparabrahman* of the Advaitins, but also in being the agent of one’s and the others’ being—so to speak, in being the universe *in posse* before being that *in esse*. This distinction however makes no sense as concerns ultimate reality (*paramārthataḥ*); it is a conventional distinction, characteristic of ordinary speech, that of the world of transmigration.<sup>88</sup>

Furthermore, in the Trika, the analogy of felicity (or bliss) is extended far beyond the usage found in the Advaita: this felicity is also *camatkāra*, ‘wonderment’, as well as *ātmaviśrānti*, ‘repose in the Self’<sup>89</sup>—notions that Śaiva metaphysics shares with Śaiva aesthetics.<sup>90</sup> This is what states ĪPvṛ IV 6:

*īśvarasyānantakarṭṛtā camatkārarūpā kriyoktā paramaparakāśānamamayī*  
[...],

‘The infinite agency of the Lord, which is essentially wonderment, is said to be “action” [i.e., “energy of action” (*kriyāśakti*)] and consists of supreme Light and felicity [...],’

and the *Vimarśinī ad loc.*:

*tasya viśvapater yā sattā bhavanakarṭṛtā sphurattārūpā pūrvaṃ vyākhyātā sā*  
*sphurattā mahāsattetye* [= ĪPK I 5, 14] *atra / saiva vimarśātmakacamatkārarūpā*  
*satī kriyāśaktir ucyate* [...] *svātmaviśrāntirūpatvāc ca saivānandah*,

‘This “Being” (*sattā*) of the Lord of the universe, which is “agency of being”—which itself consists in *sphurattā*, as it has been said earlier [ĪPK I 5, 14]: “*sā sphurattā mahāsattā*”—, which again consists in the wonderment that is self-awareness, is said to be the “energy of action” (*kriyāśakti*) [...] and, that same [Being] is felicity since it consists in repose in one’s own self.’

<sup>88</sup> Cf. notably—III 47cd (and *Vṛtti*): *saṃjñākaraṇamātraṃ tad vyavahārāya kalpitam* //.

<sup>89</sup> This refers to the recurrent notion of *ahantācamatkāra*, the ‘marvel of supreme ipseity’. See, e.g., PSV 2–3, which explains the name ‘Abhinavagupta’ as the ‘one who is hidden (*gupta*), i.e., who is himself a secret, i.e., who is possessed of secret [wisdom] due to his experiencing the ever new (*abhinava*), supramundane (*alaukika*), sudden burst (*sphāra*) of the state of wonder (*camatkāra*) that is consciousness: [...] *gurur abhinavena alaukikena ciccamatkārasphāreṇa guptaḥ guhyaḥ sarahasya iti*. See also PSV 79–80.

<sup>90</sup> See Bansat-Boudon and Tripathi (2011, pp. 55–56).

And through this felicity associated with agency, one is led back to the notion of sovereign freedom, for this freedom of the Lord, as taught by PSV 10–11, is to be distinguished from that of ‘insentient objects such as crystal-gems, mirrors, etc’. On the contrary, as stated further on by PSV on the same verse:

*svātmani viśrāntito mahānandam iti / svasminsvabhāve 'khaṇḍāhantācamatkārarase viśramān mahānānandaḥ parā nirvyūrtir yasyeti /*  
 ‘[The Lord<sup>91</sup> is] “supremely blissful (*mahānanda*), on account of “reposing in itself”, that is, he is endowed with great bliss, with supreme felicity, due to the fact that it reposes in his own true nature, [informed by] the delight (*rasa*) that arises from the state of unfragmented wonder that is [supreme] ipseity (*akhaṇḍāhantācamatkāra*).’

Interpreted thus, the agency of being appears as the necessary correlate of a theistic system, in which the supreme principle is the Lord (*īśvara* or *pati*, as in ĪPK IV 6)—the Lord’s sovereignty being thus indistinct from his freedom.

If it is so, one might object, why maintain the notion of *māyā*, in Trika philosophy, insofar as a divine Agent suffices to cause the world to be? Answering this question here would be overlong. On the other hand, the answer to the question: ‘Why is it that the Trika maintains the notion of *māyā*?’ would doubtless be that, in the Trika, *māyā* has come to be a *śakti* of the Lord (it is noteworthy that, in the process of enumerating the thirty-six *tattvas*, PS deals with *māyāśakti* in v. 15), more exactly a hypostasis of Śakti, with which he constitutes an indissoluble dyad, one of whose names is *svātantryaśakti*. This is how the Trika elaborates a monism made immune to any risk that some sort of duality (*brahman/māyā*) might be reintroduced surreptitiously—a monism thus explicable through and through.<sup>92</sup>

Again, that *svātantryaśakti*, one of whose realizations is the *bhavanakarṭṛtā*, is said to be nothing else than *spanda*, itself recurrently equated with *sphurattā*.

This is what asserts SpN I 1:

*citsvābhāvvyād acalasyāpi śrībhagavataḥ svātantryaśaktir [...] kiṃcicalattātmakadhātvarthānugamāt spanda ity abhihitā,*  
 ‘The *svātantryaśakti* of the Lord, though he moves not, being of the nature of consciousness, is known as *spanda* in accordance with the root-meaning of the word signifying “imperceptible movement” (*kiṃcicalattā*).’

The Trika postulates self-awareness in the form of a vibration, or a pulsation, that is, a movement that is not a movement, continuous, yet unchanging, and therefore nearly imperceptible. That this movement be imperceptible is required in order to obviate the objection that the dynamism of the ultimate principle, consciousness, implies its perishability (for activity implies the transformation of the cause, hence its perishability). The ‘*kiṃcit*’ tells us rather that the ‘movement’ at issue is not otherwise distinguished, which implies that it would be ascertainable only with difficulty, for to perceive it would be to impute to it some characteristics, a direction, an intensity, etc.

<sup>91</sup> In fact the text refers here to ‘*śivatattva*’.

<sup>92</sup> See Bansat-Boudon (2008, pp. 60–62).

ĪPV I 5, 14 (vol. 1: 256–257) develops this line of thought (apropos *spanda*, referred to as a synonym for the *sphurattā* of the verse):

*spandanaś ca kiṃciccalanam / eṣaiva ca kiṃcidrūpatā yad acalanam api calam ābhāsata iti / prakāśasvarūpaṃ hi manāg api nātiricyate 'iricyata iveti tad acalam evābhāsabhedayuktam iva ca bhāti,*

'*spanda* means "imperceptible movement". And this imperceptibility [of the movement] consists in this, that what is surely motionless appears as if in motion. For, although the essential nature of consciousness is not to change, it appears to change; [in other words,] that [essential nature of consciousness] which is motionless appears as endowed with an infinity of manifestations.'

Thus a vast network of correspondences takes shape, tightly meshed, but with a labile structure, one of whose possible patterns would be:

*svātantrya = spanda = sphurattā = bhavanakartṛtā = bhavattā = sattā = mahāsattā = aiśvarya = ānanda = ātmaviśrānti = svātantrya*—that is, a sovereign, active, beatific freedom.

This sovereignly free agent, animated by his 'energy of action' (*kriyāśakti*), knows no bounds to his actions, even to the most difficult ones: he is the '*atidurghatakārin*' of the ĪPV II 4, 20 (see Chart), and the one who, as *mahāsattā*, causes to be what is not evidenced in ordinary "reality". Nothing is impossible, even the oxymoron—he is the paradigm of the *jīvanmukta*, the one 'liberated while living'—,<sup>93</sup> even the paradox—as *spanda*, he is the one who moves without moving —, whereas, as *bhavanakartṛtā*, he exemplifies the grammatical principle according to which 'being' is an 'activity'.

Almost paradoxically, this continuous activity proceeds from, and culminates in, 'repose in the Self', as if Śaivas, in some sort of reinterpretation of the Advaitins' quiescent *brahman*, conceded that they too have the idea, the notion, of some repose, which then gives rise to wonderment and felicity. They nonetheless differ from the Advaitins on this point, since this 'repose in the Self' is in essence pulsatile, insofar as the Self is *spanda*.

### The Names of the Three *Malas*, 'Impurities'

The notion of the triad of impurities (*malatraya*) appears for the first time in Yogarāja's commentary, while explaining PS 4, which defines the four *aṇḍas*:

*anyac ca malatrayasvabhāvaṃ mohamayaṃ bhedaikapraṇatayā sarva-pramāṭṛñāṃ bandharūpaṃ puṃstattvaparyantadalaṃ māyākhyam aṇḍam ity ucyate,*

'And another sphere is called *māyā[aṇḍa]*, the "[sphere of] Illusion", whose essence is the triad of impurities (*malatraya*). It is composed of delusion (*moha*); its form is the bondage (*bandha*) that affects all varieties of cognizers

<sup>93</sup> See ĪPV IV 16: Chart.

in virtue solely of its propensity to occasion difference. It consists of that part [of the thirty-six principles beginning with *māyā* and] ending with *puruṣa*.’

The three ‘impurities’ (or ‘defects’ or ‘stains’) are the *āṇava*-, the *māyīya*- and the *kārma-malas*, generally rendered in English, as ‘atomic’, ‘māyic’ and ‘kārmic’ impurities (and their equivalents, in French)<sup>94</sup>—the last two of which terms are hardly translations (mere transpositions of the Sanskrit term into English, through the use of an English suffix), and the first is too overwhelmingly “nuclear” for its use here.

Yogarāja enumerates them for the first time in his commentary *ad* PS 9, while explaining that ‘some few cognizers, whose present birth is the last one,’ are able to contemplate their own Self, as it is really, that is, as endowed with all the attributes of the Lord himself (omniscience, etc.), in the mirror, now made clear, of their intellect (*dhī mukura*), namely, in the mirror of their intuition (*pratibhāmukura*). And such a realization, that is, such a clearance of the mirror of their intellect, can take place only when, by means of the grace of Śiva, the latent dispositions (*vāsanā*) left by the three *malas* have been completely removed:

[...] *saṁmārjite pratibhāmukura āṇavamāyīyakārmamalavāsanāprakṣayāt*  
[...].

There, that is in the specific context of the nondualist perspective of the Trika,<sup>95</sup> I have proposed to translate *āṇavamala* as the ‘impurity of [deeming oneself] finite’, the *māyīyamala* as the ‘impurity of [regarding the world as] objective’, and the *kārmamala*, as the ‘impurity of [supposing oneself the agent of] actions’.<sup>96</sup> The translation reflects, for these three notions, the same point of view, which is that of the fettered subject; the three ‘stains’ are certainly erroneous, but at the same time are voluntary, imposed on ourselves by ourselves, and by no other, of whatever sort.

The metaphysical explanation of the *malas* may be thus formulated: once *māyāśakti*, the power of differentiation—which is, by etymology, the energy of delusive construction—<sup>97</sup> begins to operate, engendering the *saṁsāric* world, the five *kañcukas* constitute the subjectivity of an individual soul (*aṇu*) and affect it with the three impurities. This is the purport of PS 15, which defines *māyāśakti* as the ‘covering of Śiva’s own Self’ (*svātmāvaraṇaṁ śivasya*):

<sup>94</sup> See in particular Silburn (1957), Torella (1994, p. 199), Sanderson (1992, pp. 288–289), who translates *āṇavamala* as the ‘Impurity associated with [all] the Unliberated’, *kārmamala* as the ‘Impurity of *karma*’, *māyīyamala* as the ‘Impurity of *māyā*’.

<sup>95</sup> There are other general Śaiva meanings of the terms, for instance, in the Siddhānta, in which *malas* (or rather *pāśas*; see n. 101) are not those ‘suppositions’ that are implied by nondualism itself. On how the notion of *mala* evolved in the early period in which the opposition between the Siddhānta and nondualist Śaivism did not yet exist, see Diwakar Acharya’s paper in this volume.

<sup>96</sup> On those notions, see also PSV 16–18, 24 (quoted *infra*, p. 80), 37, 57, in Bansat-Boudon and Tripathi (2011).

<sup>97</sup> See PSV 15, in Bansat-Boudon and Tripathi (2011): *mīyate paricchidyate dharāntaḥ pramāṭṭraprameyaprapaṅco yayā sā māyā viśvamohakatayā vā māyā* /, ‘*māyā* is so called because by it is constructed/distributed (*mīyate*), that is, delimited (*paricchidyate*), the phenomenal display (*prapaṅca*) of knowers and knowns, culminating in earth; or *māyā* is so called in terms of its capacity to delude everyone [and everything].’

*paramaṃ yat svātantryaṃ durghaṭasampādanaṃ maheśasya /  
devī māyāśaktiḥ svātmāvaraṇaṃ śivasyaitat //*

‘The supreme freedom of the Great Lord, which accomplishes what is difficult to construe, is indeed nothing but the covering of Śiva’s own Self, [in which phase he appears as] the Goddess Māyāśakti—the energy of delusive construction.’

whereas the commentary develops ‘śivasya svātmāvaraṇaṃ’ as:

*svarūpagopanākhyam āṇavādimalatritayam,*

‘[“Covering of the Self of Śiva”] here refers to the triad of impurities—impurity of deeming oneself finite, etc.—and is termed “concealment of his proper form”.’

Thus is demonstrated that *māyā* is responsible for the imposition of the three *malas* on the infinite Consciousness, or Lord. Likewise, ĪPvṛ III 2, 5 observes:

*tanmalatrayanirmāṇe prabhor icchā māyāśaktir ucyate,*

‘The Lord’s will to create these three impurities is called “*māyāśakti*”.’

PS 24 applies again the same metaphor of the ‘covering’ (*āvaraṇa*) to the three *malas* (see *infra*, p. 79) in the context of the Lord’s joyful playing at hiding from himself. Thus *malas* are but another modality of the movement of constriction that the essentially ubiquitous and free Śiva imposes on himself:<sup>98</sup> not only, it is *māyā* and the five *kañcukas*, ‘cloak, sheath’, which establish the triad of the *malas* (PSV 15; ĪPvṛ III 2, 5), but *malas* themselves are described as *kośas*, ‘sheaths’ (PS 24, quoted *infra*) and *saṃkocas*, ‘constrictions’ (PSV 63, quoted *infra*, p. 80; PHvṛ 9, quoted *infra*).

PS 16–17 deals with the *puruṣatattva* (there called *pumstattva*) and the hexade formed of *māyā* and the five *kañcukas*. It is worth noting that Jayaratha quotes PS 16cd–17 in his commentary on the passages of the *Tantrāloka* (TĀV *ad* I 39–40) that expound the doctrine of the double ignorance (TĀ I 36ff.): ‘spiritual’ (*pauruṣājñāna*) and ‘intellectual’ (*bauddhājñāna*)—along with its positive counterpart, the doctrine of the double awakening: ‘spiritual’ (*pauruṣajñāna*) and ‘intellectual’ (*bauddhajñāna*).<sup>99</sup>

Although the *Paramārthasāra* refers not to these symmetric pairings, one may infer, from the citations that Jayaratha makes of PS 16cd–17 in this particular context, that this pair (*pauruṣājñāna/bauddhājñāna*) corresponds in the *Paramārthasāra* to the conjoined placement of finitude (v. 16–17) and double error

<sup>98</sup> This movement of constriction is expressed through recurrent terms such as *kośa*, *saṃkoca*, and other derivatives from the root *kuc*, and through such major notions of the system as *kañcukas* and *aṇḍas*, as well—which, as we have seen, means literally ‘egg, sphere’; see *supra*, p. 62, 63 and n. 55.

<sup>99</sup> The Siddhānta knows the notion; see Sanderson (1992, p. 287; 1995, p. 44). In the context of nondualist Kashmirian Śaivism, if the rationale for these concepts is present in the Śaiva Āgamas, the terminology, which presents overtones of the Sāṃkhya, appears mainly in the *Tantrāloka*. It is noteworthy that, while reinterpreting those concepts in the nondualist perspective of the Trika, Abhinavagupta rearranges them according to a well-organized and symmetrical scheme. On the notions of *pauruṣājñāna / bauddhājñāna* and *pauruṣajñāna / bauddhajñāna* in the Trika, see Bansat-Boudon and Tripathi (2011, pp. 42–43).



(v. 30–31), inasmuch as ‘spiritual ignorance’ (*pauruṣājñāna*) is but the metaphysical ignorance proper to incarnate man, which consists in mistaking the Self for the non-Self—first level (or degree) of error, as developed in PS 24 and 30—, whereas ‘intellectual ignorance’ consists in mistaking the non-Self (such as the body, as when one says: ‘I am slim, I am fat’) for the Self—second level of error, as developed in PS 31.

It thus may be postulated that i) the *pauruṣājñāna* corresponds to the *āṇavamala*, the impurity of deeming oneself finite, that is, the wholly deceitful ‘atomization’ of universal consciousness—itself the product of *māyā* (PS 15)—and to the constitution of the *puruṣa*, finite (or mundane) man (PS 16ab); ii) the *baudhājñāna* corresponds to the quintuple constriction of the *kañcukas* (PS 16cd–17).

It is PS 24 that first offers a complete exposition of the *malas*:

*paramāvaraṇaṃ mala iha sūkṣmaṃ māyādikañcukaṃ sthūlam /  
bāhyaṃ vighraharūpaṃ kośatrayaveṣṭito hy ātmā //*

‘In this world, the supreme covering is the impurity [also termed *āṇavamala*]; the subtle one consists of the [sixfold] sheath, beginning with *māyā* [thus constituting the *māyīyamala*]; the gross covering is external, and has the form of the body [thus constituting the *kārmamala*]. Indeed the Self is enwrapped in a triad of coverings.’

The *āṇavamala*, ‘impurity of deeming oneself finite’, is the fundamental, ontological impurity, that ‘related to the *anu*’ (cf. the ‘atom’ of the Vaiśeṣika—the smallest particle found in “nature”). It represents the reduction of infinite free Consciousness to an infinitesimal, ‘atomic’, state (cf. PS 24), and corresponds to the first degree, or level, of error (see PS 24 and 30, and supra), namely, the fundamental misapprehension of taking the Self for the non-Self, expression of ‘nescience’ (*avidyā*), termed as well ‘ignorance’ (*ajñāna*). In other words, that first level of error consists in Self-forgetfulness (when the cognizing subject forgets he is none other than the Lord, who is full in all respects) and in the advent of subject-object dualism in the form of ‘dualizing thought’ (*vikalpa*, PS 25).<sup>100</sup>

Therefore, in the realm of experience, as stated in the *Śivasūtravimarśinī* [ŚSV] I 4, the *āṇavamala* is the ‘presumption (or intuition) of limitedness’ (*apūrṇamma-nyatā*), which makes the limited soul think: *apūrṇo śmi*, ‘I am not full’ [viz., ‘I am imperfect’] (ibid.)—which is the very content of the first level of error as described in PS 25 and 30 (as well as in PSV *ad loc.*).

The *āṇavamala* is so intrinsic to the finite soul that it is considered as the impurity *par excellence*, so much so that the term *mala* alone is enough to represent it,<sup>101</sup> by synecdoche, as is the case in PS 24, which defines it as the ‘supreme covering’ (*paramam āvaraṇam*). As explained by PSV *ad loc.*:

<sup>100</sup> PS 31 deals with this notion of a double error, the second error expanding on the first: *timirād api timiram idaṃ gaṇḍasyopari mahān ayaṃ sphoṭaḥ [...]*, ‘It is darkness upon darkness, it is a great “pustule upon a boil” [...]’; see Bansat-Boudon and Tripathī (2011, pp. 166ff., 191 (n. 848)).

<sup>101</sup> A usage that occurs already in the Siddhānta (which, nevertheless, knows of the three impurities, usually called the three *pāśas*, namely: *mala*, *karman* and *māyā*), where the notion of *mala* equals the *āṇavamala* of the Pratyabhijñā, yet with the fundamental difference that, in the dualist Siddhānta, the *mala* is conceived of as a material substance (*dravya*), outside consciousness, therefore unperceived

[...] *svaṛṇasya kālikeva param antaraṅgam āvaraṇaṃ tādātmyena sthitatvāt*, 'By "supreme" cover is meant "existentially included [in the finite soul]" (*antaraṅga*), for it remains as coexistent [with consciousness] (*tādātmya*) in the manner of the flaw within the gold (*kālikā*),'

—a recurrent analogy, in PSV, as regards the *āṇavamala* (see PSV 87–88).

The use of the metaphor and of the term *tādātmya* connotes that, even though it cannot be said *why* the flaw is within the gold, its presence therein is both irrefutable and 'given' or 'innate' (*nija*), as stated in PSV 17, in the context of the *kañcukas*' exposition.

The same passage in the *Śivasūtravimarśinī* defines the *māyīyamala*, 'impurity of regarding the world as objective', as the 'display of differentiated objectivity' (*bhinnavedyaprathā*), which makes the finite being consider his body (or his vital breath, *prāṇa* or his wife and sons, as will be stated in PSV 68 quoted infra, p. 82) as if it were his Self, such that he thinks: *kṣāmaḥ sthūlo vāsmi*, 'I am slim or fat' (ibid.)—and this is the very content of the second level of error as described in PS 31.<sup>102</sup>

Again, ŚSV I 4 defines the *kārmamala*, 'impurity of supposing oneself the agent of actions', as the 'impregnating [of consciousness] with the dispositions that result from one's good and bad [actions]' (*śubhāśubhavāsanā*), which makes the finite being think: *agniṣṭomayājy asmi*, 'I am a performer of the *agniṣṭoma* sacrifice' (ibid.)—thus is the *kārmamala* responsible for the endless cycle of transmigration.<sup>103</sup>

As stated in PSV 63:

*bhāvanayeyaṃ sarvataḥ pūrṇāpi citiśaktir āṇavamāyīyamalamūlena karmalenāghrātā saṃkucitā vyāpiny api ghaṭākāśavat ...*,

'It is through this efficient force (*bhāvanā*) [unleashed by ritual acts undertaken in this life, whose result is a future body suitable to the fulfillment of those acts] that the energy of consciousness (*citiśakti*), although replete in all respects, is affected by the impurity of supposing oneself the agent of actions (*kārmamala*), which itself originates in the impurities of deeming oneself finite (*āṇavamala*), and of regarding the world as objective (*māyīyamala*); this energy, though all-pervasive, becomes thus delimited (*saṃkucitā*) just as does the space within the jar.'<sup>104</sup>

The passage makes it clear that the *āṇavamala* is the matrix from which proceed successively the two others—the *māyīyamala* and the *kārmamala*.

Note that, in the context of the exposition of the 'seven Subjects' (*sapta-pramāṭṛs*),<sup>105</sup> ĪPK III 2, 4 introduces a subtle splitting within the *āṇavamala* itself:

*svātantryahānir bodhasya svātantryasyāpy abodhatā /*

Footnote 101 continued

(see Sanderson 1995, pp. 39, 44; Goodall 2012, s.v. *pāśa*). For the formation of the notion of *mala* as removable material impurity, see also Diwakar Acharya's paper in this volume.

<sup>102</sup> Same description of the experience in PSV 31, although the exegete does not relate it explicitly to the *māyīyamala*.

<sup>103</sup> PHvṛ 9 gives the same definitions of the three *malas* as those of the ŚSV.

<sup>104</sup> Text and translation of v. 63, infra, p. 89.

<sup>105</sup> On the 'seven Subjects' (or 'Cognizers'), see Bansat-Boudon and Tripathi (2011, pp. 189, 330).

*dvidhānavaṃ malam idaṃ svasvarūpāpahānitaḥ //*,

‘Consciousness that is devoid of freedom and, *vice versa*, freedom devoid of consciousness: these are the two forms of the impurity named *āṇava*—so called because it obliterates one’s own true nature.’<sup>106</sup>

—a splitting that accounts for the two levels of error inherent in the finite condition: when there is omniscience without omnipotence, one is led to take the Self as the non-Self, that is, to consider oneself as incomplete (*apūrṇammanyatā*); when there is omnipotence without omniscience, one is led to consider the non-Self (body, breath, etc.) as the Self (*anātmany ātmatābhimānaḥ*). This is, in effect, the exegesis which ŚSV I 2 gives of ĪPK III 2, 4 before quoting the passage. Despite being very elliptic, ŚSV I 2 does shed light on the rather cryptic wording of ĪPK III 2, 4:

*tatra cidātmany api [...] apūrṇammanyatāmātrātmanā rūpeṇa / svātantrye 'pi dehādau abodharūpeṇa anātmany ātmatābhimānātmanā rūpeṇa dviprakāram āṇavamalam [...].*<sup>107</sup>

Having referred to ŚSV I 4 in order to justify my translation of each of the terms affected to the three *malas*, I should point out that ŚSV I 4 is only a condensed rewording of ĪPK III 2, 5 and its *Vṛtti*: Kṣemarāja is paying tribute to his *parameṣṭhīn*, Utpaladeva. I shall just quote ĪPvṛ III 2, 5:

*atraiva dvidhānave vedyam abhinnaṃ api bhedenā yadā bhāti tadāto 'pi viparyasād nāmnā māyīyaṃ malam / ahetūnām api karmaṇām janmādihe-tubhāvaviṣayaviparyāsād abodhātmakakarṭṛgatam kāmam /,*

‘When, there being this twofold *āṇava*[*mala*], objective reality, though not different [from the subject], yet appears as different from him, it follows that indeed the *māyīyamala* is due to that error. And the *kārmamala*, which pertains to the cognizing subject characterized by his lack of consciousness, is due to the error that consists in considering his actions as the impelling cause of [the endless cycle of] rebirths, etc., whereas such actions are not causes, by all means [the only cause of bondage or liberation being the sole Lord].’<sup>108</sup>

Such a text allows one to understand that the *māyīyamala* is the ‘impurity of regarding the world as objective’, and that the *kārmamala* is the ‘impurity of supposing oneself the agent of actions’, as I propose to translate, inasmuch as the cause of transmigration is not the acts, whatsoever, of the finite soul, but the Lord himself who plays at subjecting himself to transmigration, as a finite soul.

Kṣemarāja, commenting on his PH 9, goes even further, presenting the three *malas* as limitations (*saṃkoca*, or *parimitatā*) of the *icchā*, *jñāna* and *kriyā śaktis*, respectively:

*icchāśaktiḥ saṃkucitā satī apūrṇammanyatārūpaṃ āṇavaṃ malam / jñāna-śaktiḥ [...] antaḥkaraṇabuddhīndriyatāpattipūrvam atyantam saṃkocagrahaṇena bhinnavedyaprathārūpaṃ māyīyaṃ malam / kriyāśaktiḥ [...]*

<sup>106</sup> Transl. Torella (1994), slightly modified.

<sup>107</sup> See Singh (1988, p. 19).

<sup>108</sup> Translation is mine. There is no other impelling cause (*hetu*) of whatever action than the Lord, as we have seen apropos the notion of *bhavanakarṭṛtā* (see supra, p. 64).

*karmendriyarūpasamkocagrahaṇapūrvam atyantam parimitatām prāptā śubhāśubhānuṣṭhānamayaṃ kārmaṃ malam /, 'icchāśakti, once contracted, becomes āṇavamala, which consists in considering oneself imperfect; jñānaśakti, assuming the extreme contraction that begins with the acquisition of the inner organ and cognitive organs, becomes māyīyamala, which consists in the "display of differentiated objectivity" [i.e., of the apprehension of objects as different from one another and from the Self]; kriyāśakti, once contracted in the form of organs of action, becomes extremely limited, assuming the form of kārmamala, which consists in doing good and evil.'*

It is noteworthy that such a term-for-term correspondence of the three *malas* to the three *śaktis* constitutive of Śiva's *triśūla* implies as its background the correspondence of both the triads to a particular distribution of the *tattvas*: *puruṣa* and *kañcukas* corresponding to the *icchāśakti* and the *āṇavamala*, the *antaḥkaraṇa* and the *buddhīndriyas*, to the *jñānaśakti* and the *māyīyamala*, the *karmendriyas* to the *kriyāśakti* and the *kārmamala*.

Moreover, the PHV<sub>1</sub> quoted above confirm that the three *malas* proceed from one another, as do the three *śaktis*, according to the *Mālinīvijayottaratantra* [MVT] (III 5–9a).<sup>109</sup>

PSV 68 introduces an interesting variant in the basic formulation of the *paśu*'s experience corresponding to each *mala*:

[...] '*sakalavikalpān*' *paśur asmi karmabandhabaddho deharūpī mamedam putradārādy amunā karmanā svargo nirayo vā bhaviṣyatītyādi sarvāḥ kalpanā aham evedam sarvam iti parāmarśaśeṣābhūtāḥ* [...] '*juhvat*',  
 '[...] "by pouring" (*juhvat*) "all thought-constructs" (*sakalavikalpa*), [the author] means that all such suppositions (*kalpanā*) as "I am a bound soul, tied up in the bondage of actions", "I am my body, these are my sons, my wife, etc.", or "this act will lead to heaven or hell, etc.", are set aside in the [*jñānin*'s] awareness (*parāmarśa*) that "It is I who am all this".'

In Yogarāja's exegesis, these contents of experience stand respectively for the *āṇavamala* ('I am a bound soul, tied up in the bondage of actions'), the *māyīyamala*

<sup>109</sup> MVT III 5–9a, quoted in both SpN III 13 and ŚSV III 19, clearly develops: *yā sā śaktir jagaddhātuh kathitā samavāyīnī / icchātvaṃ tasya sā devī sisṛkṣoḥ pratīpadyate // saikāpi saty anekatvaṃ yathā gacchati tac chṛṇu / evam etad iti jñeyam nānyatheti sunīcitam // jñāpayantī jagaty atra jñānaśaktir nīgadyate / evaṃ bhavaty idaṃ sarvam iti kāryonmukhī yadā // jātā tadaiva tad vastu kurvaty atra kriyocyate / evam eṣā dvirūpāpi punar bhedair anantatām / arthopādhipvaśād yāti cintāmaṇir iveśvari //*, 'When the Master of the world wishes to create, his [supreme] Śakti, the Goddess who is said to be inherent in him, becomes Will [viz., energy of Will]. Listen how she, though one, becomes many. Similarly, when she makes the knowable known as definitely "this" and not as something else, she is named in this world "energy of Knowledge". Similarly, when she becomes intent on acting, considering: "Let all this come to be [just as I have willed and known it]", [that same energy], arisen at the very moment she creates the object, is then named the "[energy of] Action". Thus, though [already] of two forms [Knowledge and Action], she differentiates herself again, becoming innumerable, thanks to those objects, which [function as her] contingent attributes. Therefore, this sovereign Goddess is to be compared to the thought-gem that yields all desires.'

(‘I am my body, these are my sons, my wife, etc.’) and the *kārmamala* (‘this act will lead to heaven or hell, etc.’).<sup>110</sup> Additionally, the three *malas* appear there as the very example of the *vikalpas* which the yogin pours as an oblation into the blazing fire of his consciousness, fanned by the winds of his *bhāvanā* (see the text of PS 68, infra, p. 87). This establishes a connection between the three *malas* and the experience of *bhāvanā*, defined here as ‘the awareness (*parāmarśa*) that “It is I who am all this” (*aham evedam sarvam*)’,<sup>111</sup> which is discussed in the following section.

Now, a hypothesis: might it be possible to see in these three terms, displaced to a more concrete level, references to the three forms of being identified above, while examining *bhavanakartṛtā*—viz., *sattā*, *bhavattā*, *bhavanakartṛtā*? The *āṇava* defect relates, in effect, to the individual subject [= *sattā*]; the *māyīya*- to the objective universe [= *bhavattā*]; and the *kārma*- to the cycle of existences, which, by means of the notion of the act, explains the fashion in which the two other modes of being enter into contact, entwine together [= *bhavanakartṛtā*]<sup>112</sup>.

### ***Bhāvanā*, ‘Meditative Realization’**

There are two main meanings of the term *bhāvanā* based on its derivation from the causative *bhāvayati* (*bhāvanā* is ‘that which causes to be’, ‘that which brings into being’), namely:

i) In the Mīmāṃsā, the technical and restricted meaning of *bhāvanā* is ‘efficient force’ when referring to an action, especially one of a ritualistic nature, where *bhāvanā* is generally viewed as instrumental in bringing about the result of that action (so Edgerton, F., *Mīmāṃsānyāyaprakāśa*, q.v.). Yet this “practical” function of *bhāvanā* takes second place. Being an ‘exegesis’ of the Vedas and a philosophy of ritual action, the Mīmāṃsā first concerns itself with the injunction to act (*vidhi*), in the context of ritualistic actions. Therefore *bhāvanā* is primarily the efficient force inherent in injunctive utterances, for instance in the form of the optative affix—a linguistic force, as it were, that causes the addressee to obey the injunction. Accordingly this first level of *bhāvanā* is called ‘verbal’ (*śābdī bhāvanā*).

Secondly, *bhāvanā* also brings into being the fruit promised by the injunction, as a reward for implementing the enjoined action—this is ‘real’ *bhāvanā* (*ārthī bhāvanā*). For example, in *svargakāmo jyotiṣtomena yajeta* (‘Let he who aspires to heaven make a sacrifice by performing the *jyotiṣtoma* ritual’), the fruit expected of the prescribed sacrifice is heaven; and, as the Mīmāṃsā points out, although it may take some time, the arrival of the fruit is assured.<sup>113</sup>

The Mīmāṃsaka analysis therefore looks at the whole process: what guarantees the *ultimate* efficacy of prescriptive speech—i.e., beyond the performance of the

<sup>110</sup> See my analysis of the text in the context of examining *bhāvanā*, infra, p. 87.

<sup>111</sup> An experience also expressed as ‘*sarvam brahmāsmi*’, ‘It is I who am all this,’ as stated in PSV 71.

<sup>112</sup> See supra, p. 8, my analysis of the notion of *bhavanakartṛtā* as that which articulates the intimate connection of doing and being, making the supreme principle—Consciousness as deity—the agent of both being and becoming [i.e., becoming the phenomenal world].

<sup>113</sup> See Kane (1962, pp. 1235–1240).

ritual itself, the fact that the fruit promised by the injunction is actually awarded—is the force intrinsic to the act of speech, a coercive, illocutionary force which owes as much to the presence of injunctive morphemes as to the explicit naming in the injunction of the desired fruit (in this instance, heaven) at the source of the ritual process. Indeed, in the final analysis, the efficacy of the action is measured by obtaining (even with some delay) the reward for which that action has been performed.

The *bhāvanā* is therefore regarded as a power, a sort of energy intrinsic to the text itself and its injunctive modalities expressed by the optative affix morphem. The conception of *bhāvanā* as energy intrinsic to language (what pragmatics would call its 'illocutionary' force) made it particularly suited to integration within the essentially dynamic ontology of nondualist Kashmirian Śaivism, characterized by the omnipotence of Energy and its countless manifestations. This will be the point of this section of my paper.

ii) Outside the Mīmāṃsā, *bhāvanā* has the general and wider meaning of 'meditation', in the sense that meditation is what 'brings into being' the object of the meditative practice, what 'causes it to be'. Śaiva speculations go even further: *bhāvanā* is not merely the meditation that makes its object appear, it is that which causes one to be the object of one's own meditation. In other words, the Śaivite *bhāvanā* is a reflexive notion where the object of meditation is absorbed into the meditating subject, so that the process culminates in the 'realization of nonduality' (*advaitabhāvanā*) as stated in PS 41. Furthermore, although it is the result of a practice, realization comes abruptly, like a sudden, illuminating revelation. This is connoted by the recurrent use of the roots *spḥur* / *spḥuṭ* to describe an experience that borders on wonderment, in particular the 'wonderment of supreme ipseity' (*parāhantācamatkāra*),<sup>114</sup> the final stage of the quest for liberation. This is why I suggest that *bhāvanā* should be translated as 'meditative realization'.<sup>115</sup>

Interestingly, Abhinavagupta's *Paramārthasāra* contains the two main meanings of the term: 1. 'meditative realization' in vv. 41 (as *bhāvana*, in neuter), 52 and 68 (see the respective texts, infra, p. 85–87), in the context of liberation, more precisely of 'liberation in this life' (*jīvanmukti*), and 2. 'efficient force' in v. 63, in the opposite context of bondage and the *paśu*'s submission to the law of *karman* and transmigration.

iii) Outside the Mīmāṃsā and the nondualist Śaiva corpus, the notion also occurs for instance in the *Paramārthasāra* attributed to Ādiśeṣa [ĀPS], which is claimed by Abhinavagupta, in his own *Paramārthasāra*, to be a Śaiva rewriting on the grounds that the earlier text constitutes a kind of pre-Śāṅkara Vedānta, a conflation of Sāṃkhya dualism and the nondualism of the Vedānta, in addition to its professed devotion to Viṣṇu.

From the viewpoint of history of ideas, it would be interesting to establish how the Śaiva notion of *bhāvanā*, such as it occurs in the second *Paramārthasāra*, was directly inherited from the earlier text of Ādiśeṣa, irrespective of its subsequent transformations. Leaving that aside, we shall see that what is at play in the transition

<sup>114</sup> On this notion, see Bansat-Boudon and Tripathi (2011, p. 71 (n. 272)).

<sup>115</sup> On *bhāvanā* in other systems of thought, see Chenet (1987).

from the first to the second *Paramārthasāra* is a process of refinement and added emphasis (to the point of theorisation) rather than an actual transformation.

Let us examine the uses of the notion in the earlier *Paramārthasāra*, where the means by which one accedes to the ultimate knowledge of the Self (or of *brahman*) is ‘meditation’ (*bhāvanā*), according to the usual translation (see Danielson). My suggestion is that, in the first *Paramārthasāra*, the term *bhāvanā* should be understood as ‘meditative realization’, as is the case in the second *Paramārthasāra*. It is worth noting that Danielson himself, in his translation of the earlier *Paramārthasāra*, was somewhat indecisive as he chose to translate the verb *bhāvayati* as ‘to realize’ (v. 57) but the noun *bhāvanā* as ‘meditation’ (v. 58–59).

Ādiśeṣa’s *Paramārthasāra* devotes three verses (57–59) to the *bhāvanā*:

*evaṃ dvaitavikalpaṃ brahmasvarūpāṃ vimohanīm māyām /*  
*utsṛjya sakalaniṣkalam advaitaṃ bhāvayed brahma //*  
*yadvat salile salilaṃ kṣīre kṣīraṃ samīraṇe vāyuh /*  
*tadvad brahmaṇi vimale bhāvanayā tanmayatvam upāyati //*  
*itthaṃ dvaitasamūhe bhāvanayā brahmabhūyam upayāte /*  
*ko mohaḥ kaḥ śokaḥ sarvaṃ brahmāvalokayataḥ //*

‘After one has discarded Illusion, which, being delusive, has the nature of fallacy [in that it produces] the idea of plurality, let him realize (*bhāvayet*) *brahman*, which is without plurality, being both with and without parts. As water becomes one with water, milk with milk, wind with wind, so, by meditative realization (*bhāvanā*) on the spotless *brahman*, [man] becomes one with it. If in that way, the sum total of plurality has receded into the state of *brahman* by meditative realization (*bhāvanā*), no delusion, no sorrow [remains] for him, as he looks on everything as *brahman*.’<sup>116</sup>

The *bhāvanā* is also the means privileged in the Śaiva *Paramārthasāra*, to the extent that it is prevalent in the *śāktopāya*, the ‘way of energy’—that of the four ‘ways’ whose perspective is preferred by Abhinavagupta in his *Paramārthasāra*.<sup>117</sup> There, the term *bhāvanā* first occurs in v. 41 in the compound *advaitabhāvana*, where the neuter (*bhāvana*) appears instead of the more common feminine form, both in the verse (probably for metric reasons) and the commentary. It should be noted that v. 41, where *bhāvana* (-*nā*) occurs for the first time, has no parallel in ĀPS, probably because the notions at issue there, like the whole context (vv. 41–46), pertaining to Śaiva mantric practice (*mantrasampradāya*; avat. ad 43), are purely Śaiva.<sup>118</sup>

*prthivī prakṛtir māyā tritayam idaṃ vedyarūpatāpatitam /*  
*advaitabhāvanabalād bhavati hi sanmātrapariśeṣam //*

<sup>116</sup> ĀPS 57–59. Transl. Danielson, modified as for the rendering of *bhāvanā* (1980).

<sup>117</sup> On the *śāktopāya* and its association with *bhāvanā*, see Bansat-Boudon and Tripathi (2011, pp. 49, 193 (n. 858), 243 (n. 1099)).

<sup>118</sup> On mantric practice and its association with *bhāvanā*, see notably Bansat-Boudon and Tripathi (2011, p. 198 (n. 880)).



‘For the triad of Earth, Nature and Illusion, erroneously consigned to the status of “that which is to be known”, becomes, by the force of the realization of nonduality (*advaitabhāvana*), a residue of pure Being.’

A few verses after the encomium of *bhāvana* (-*nā*) in v. 41, Abhinavagupta condenses the teaching given by his predecessor (in vv. 57–58, quoted above) into a single verse (v. 51):

*itthaṃ dvaitavikalpe galite pravilaṅghya mohanīm māyām /  
salile salilaṃ kṣīre kṣīram iva brahmaṇi layī syāt //*

‘Thus, once the postulation of duality has ceased, [the adept] after overcoming the bewildering power of illusion, should merge in *brahman* as milk merges in milk, and water in water,’

and in concatenation he reproduces (in v. 52) verse 59 of the first *Paramārthasāra*, verbally modified to suit Śaivite metaphysics:

*itthaṃ tattvasamūhe bhāvanayā śivamayatvam abhīyāte /  
kaḥ śokaḥ ko mohaḥ sarvaṃ brahmāvalokayataḥ //*

‘Thus, once the host of principles has been reintegrated into Śiva through meditative realization, what sorrow is there, what delusion for him who views everything as *brahman*?’<sup>119</sup>

The PSV comments:

[...] *galitakañcukabandhasya yoginaḥ ‘tattvasamūhe’ bhūtaviṣayendriyavrāte ‘bhāvanayā’ sarvaṃ idam ekā svasaṃvid iti dṛḍhapratipattiyā ‘śivamayatvam’ prāpte paramādvayarūpatāṃ ‘yāte’ [...],*

‘[...] when—for the yogin whose bonds, the sheaths, have fallen off—the “host of principles”, namely, the group of sense-organs [as instruments of subjectivity] and their domains, the elements, “has been reintegrated into Śiva”, “through meditative realization” (*bhāvanā*)—that is, through the firm understanding that this universe is [identical with] his own unfragmented (*ekā*) consciousness—[when, in other words, the yogin] has attained the state of ultimate nonduality (*paramādvayarūpatā*) [...].’

For its part, the first *Paramārthasāra* returns (v. 64) to the notion of *bhāvanā*, in the guise of the causative verb *bhāvayati*, which it associates with the idea of liberation (*parameśvarībhūtaḥ*, ‘he becomes the Supreme Lord’):

*ātmaivedaṃ sarvaṃ niṣkalasakalaṃ yadaiva bhāvayati /  
mohagahanād viyuktas tadaiva parameśvarībhūtaḥ //*

<sup>119</sup> Note especially the substitutions *śiva* for *brahman*, *tattva*<sup>o</sup> for *dvaita*<sup>o</sup>. The second hemistich, in both texts, recalls *Īsopaniṣad* 6–7—the first PS being somewhat closer to its source, since it respects the Upaniṣadic order of the words (*ko mohaḥ kaḥ śokaḥ*): *yas tu sarvāṇi bhūtāny ātmany evānupaśyati / sarvabhūteṣu cātmanaṃ tato na vijugupsate // yasmin sarvāṇi bhūtāny ātmaivābhūd vijānata / tatra ko mohaḥ kaḥ śoka ekatvam anupaśyataḥ //*, ‘And he who sees all beings in his own self and his own self in all beings, he does not feel any revulsion by reason of such a view. When, to one who knows, all beings have, verily, become one with his own self, then what delusion and what sorrow can be to him who has seen oneness?’ (transl. Radhakrishnan).

‘Only when one realizes [this Self] as both having and not having parts, does one become free from the impenetrable darkness of Delusion, and become Supreme Lord at the same time.’

And, although it does not mention it explicitly, ĀPS 66 gives a good etymology of the term:

*sarvākāro bhagavān upāsyate yena yena bhāvena /  
taṃ taṃ bhāvaṃ bhūtvā cintāmaṇivat samabhyeti //*

‘By whichever appearance the Lord, who has all forms, is meditated upon, that appearance he adopts, as he is like a jewel [fulfilling all] wishes.’<sup>120</sup>

Similarly, in v. 68, the second *Paramārthasāra* again associates the notion of *bhāvanā* with that of liberation:

*itthaṃ sakalavikalpān pratibuddho bhāvanāsamīraṇataḥ /  
ātmaḥjyotiṣi dīpte juhvaj jyotirmayo bhavati //*

‘Thus awakened by the winds of his meditative realization, as he pours an oblation of all his thought constructs into the blazing Fire of the Self, he becomes Fire itself.’

The PSV *ad loc.* comments:

[...] *yā bhāvanā aham eva caitanyamaheśvaraḥ sarvātmanā sarvadaivam  
sphurāmīti yātmani vimarśarūḍhiḥ śaiva [...]*,

‘[The term] meditative realization (*bhāvanā*) is used [here by us, Śaivas] as conventionally synonymous with ‘awareness’ (*vimarśa*), in the context of the Self [as justified by the insight]: “It is I who am the Great Lord in the form of consciousness, who manifest (*sphurāmi*) ever thus, intensely” [...],’

then goes on to define *bhāvanā* in nearly identical terms (see the passage quoted, *supra*, p. 82), by describing *bhāvanā* as ‘the awareness that “It is I who am all this”’ (*aham evedaṃ sarvam iti parāmarśaḥ*).

It should also be noted that, in Abhinavagupta’s *Paramārthasāra*, the metaphor of the ‘winds of meditative realization’, which serves as a matrix for the extended metaphor in the verse, is possibly a reuse of a segment from v. 58 of Ādiśeṣa, not otherwise utilized—[...] *samīraṇe vāyuh*, ‘As [...] wind becomes one with wind’—given that v. 51 of the Śaiva *Paramārthasāra* has only kept the two initial images of water and milk in its exercise of transposition.

The Śaiva *Paramārthasāra* thus puts equal emphasis on the idea of *bhāvanā*, with the difference that here the notion is placed among practices of an Āgamic yoga, by which the Trika sets great store. Associated with mantric practice, *kuṇḍalinīyoga* and the practice of the *mudrās*, *bhāvanā* is the spiritual exercise *par excellence*, through which the *mumukṣu* simultaneously accedes to knowledge and liberation while still alive.<sup>121</sup>

The aim and result of mantric practice and *bhāvanā* are the conversion of the discursive mode of thought into intuitive and non-discursive awareness focused (if that

<sup>120</sup> This verse lacks a correspondent in the later *Paramārthasāra*.

<sup>121</sup> See PSV 9, 61, 62, 64–66, 83, 86, 96.

is the appropriate word) on ultimate reality—an awareness of ‘difference-and-non-difference’ (*bhedābheda*).<sup>122</sup> In the same way, mantric practice and *bhāvanā* are concerned with the internal sacrifice (*antaryāga*) as inherited from the Kaula tradition,<sup>123</sup> which involves the promise of liberation in this life.<sup>124</sup> ‘Internal sacrifice’, extolled by the *śāktopāya*,<sup>125</sup> defies description and can at best be described by analogy. Thus vv. 74–80 of the Śaiva *Paramārthasāra* metaphorically transform the processes of the ‘mundane’ ritual into their internal counterparts—in other words, from practices specific to the *āṇavopāya* into ones suitable to the *śāktopāya*.<sup>126</sup>

The Śaiva meaning of *bhāvanā* is thus firmly established in Abhinavagupta’s *Paramārthasāra* and its commentary. The following chart lists the various formulations of the content of the experience and clearly establishes that *bhāvanā* = *vimarśa* / *parāmarśa* (v. 68) = *sphurattā* (in the form of the corresponding verb; PSV 68):<sup>127</sup>

Verse	PS	PSV
41	[...] <i>advaitabhāvanābalāt</i> [...]	
52	<i>itthaṃ tattvasamūhe bhāvanayā śivamayatvam abhiyāte / kaḥ śokaḥ ko mohaḥ sarvaṃ brahmāvalokayataḥ //</i>	[...] ‘tattvasamūhe’ [...] ‘bhāvanayā’ sarvam idam ekā svasaṃvid iti dṛḍhapratīpatyā ‘śivamayatvam’ prāpte paramādvayarūpatāṃ ‘yāte’ [...]. [...] when the host of principles has been reintegrated into Śiva “through meditative realization” ( <i>bhāvanā</i> )—that is, through the firm understanding that this universe is [identical with] his own unfragmented consciousness—[when, in other words, the yogin] has attained the state of ultimate nonduality ( <i>paramādvayarūpatā</i> ) [...].’
68	<i>itthaṃ sakalavikalpān pratibuddho bhāvanāsamīraṇataḥ / āmajyotiṣi dīpte juhvaj jyotirmayo bhavati //</i>	1. [...] <i>yā bhāvanā aham eva caitanyamaheśvaraḥ sarvātmanā sarvadaivaṃ sphurāmīti yātmani vimarśarūḍhiḥ saiva</i> [...]. [The term] meditative realization ( <i>bhāvanā</i> ) is used [here by us, Śaivas] as conventionally synonymous with ‘awareness’ ( <i>vimarśa</i> ), in the context of the Self [as justified by the insight]: “It is I who am the Great Lord in the form of consciousness, who manifest ( <i>sphurāmi</i> ) ever thus, intensely” [...]. 2. [...] <i>aham evedaṃ sarvam iti parāmarśaśaḥ</i> , [The <i>bhāvanā</i> ; to be supplied] is the awareness that “It is I who am all this”.

<sup>122</sup> On this notion, see PS 12–13 (and its commentary), as well as avat. *ad* PS 41 and 46.

<sup>123</sup> The *antaryāga* exists everywhere as internal worship. But it becomes the only way of worship in some Kaula branches.

<sup>124</sup> See TĀ IV 211 (*antaryāga*) and 212 (description of the *jīvanmukta*).

<sup>125</sup> See TĀ IV 211 and PS 41–46, 74–80.

<sup>126</sup> See the analysis of the metaphoric process in Bansat-Boudon and Tripathi (2011, pp. 28, 252–280).

<sup>127</sup> See also TĀ IV 14 and TĀV IV 13, *infra*, p. 92.

Nevertheless, in v. 63, Abhinavagupta does retain the Mīmāṃsaka meaning of the term *bhāvanā*, that of ‘efficient force’, given the context of the exposition of the law of *karman*, which results in the endless cycle of transmigration:

*parimitabuddhitvena hi karmocitabhāvidehabhāvanayā /  
saṃkucitā citir etaddehadhvamse tathā bhāti //*

‘Indeed, energy of consciousness, delimited by the efficient force (*bhāvanā*) [unleashed by ritual acts undertaken in this life, whose result] is a future body suitable to [the fulfillment of] those acts—acts that are themselves made possible by limiting the intellect [to egocentric purposes]—comes into possession of a new body, once this present body has fallen away.’

My interpretation is therefore different from those of previous translators (my italics for their following translations of *bhāvanā*): cf. Barnett (1910): ‘Owing to the *conception* of a future body corresponding to [present] works, [a conception] arising from limitation of intelligence, the Thought becomes accordingly contracted on the dissolution of the present body;’ Silburn (1957), echoing Barnett: ‘En effet, grâce à la *faculté qu’elle possède d’imaginer* un corps futur conforme à l’acte (qu’elle accomplit) en mettant en œuvre un intellect limité, la conscience se contracte proportionnellement à la dissolution du corps actuel;’ Pandit (1991): ‘An individual finite I-consciousness, having a deep rooted conception of finitude with regard to itself, is lead (*sic*) by the *impression* of its future body, formed in accordance with its deeds, to the consequent position after the end of its current form.’

Ignoring (deliberately?) both the specifically Śaiva meaning of *bhāvanā* as the spiritual realization preceding *mokṣa* itself and its Mīmāṃsaka acceptance, these three interpretations appear to retain the more general meaning (further on developed in Indian poetics) of ‘conception’, ‘imagination’. Nevertheless, the Mīmāṃsaka acceptance, here, in v. 63, is beyond doubt, since the notion appears in the context of the law of *karman* and transmigration. For, according to the Mīmāṃsā, the act does not end with its material result (which may be nothing more than the sacrificial ashes): it goes on through its ‘efficient force’ until the moment of “real” fruition when the wish of the performer is granted. Even this “real” result is dependent on the ‘efficient force’ generated by the preceding ritualistic act.

It should nevertheless be pointed out that those who question this sacrificial model (cf. BĀU VI 2, 15–16; MuU I 2, 5–11) have noted that, because the preceding (ritualistic) act is occasional and impermanent, its ‘efficient force’—necessary to keep the sacrificer ‘in heaven’, for example—cannot in itself be regarded as permanent and must in turn be destined to exhaustion, thereby becoming but another element in an endless series of impermanent causes and effects.

Further on, PSV 63 emphasizes that, in the context of the finite soul subjected to transmigration, the ‘efficient force’ is articulated through the *vāsanās* produced in the mind by ritualistic actions:

*[...] citiśaktir [...] karmānugūṇaphalabhokṭṛśarīravāsanāvachedavatī saṃ-  
pannā satī ‘etaddehadhvamse’ ‘tathā bhavati’ /,*

‘And so, this energy of consciousness, “once the [present] body has fallen away”, still affected by limiting factors such as the latent dispositions (*vāsanā*) belonging [properly] to the body that enjoys the results following from its actions, “becomes again thus” [that is, comes into possession of a new body].’

In presenting both the Śaiva and Mīmāṃsaka meanings of *bhāvanā* (in v. 41, 52, 68, and in v. 63, respectively), Abhinavagupta’s *Paramārthasāra* offers an opportunity to understand them not only as different but also as symmetrical. Indeed it is to be inferred from a close reading of the commentary that v. 68 combines the two meanings, although here the reference to *bhāvanā* in the Mīmāṃsaka sense is implicit and should be seen in the mention of the *vikalpas* as well as in the metaphor of ritual fire. On the one hand, as already noted when discussing the three *malas* (see supra, p. 82), the *vikalpas* consumed in the fire of consciousness by the *bhāvanā* described as a wind fanning the flames are but the three *malas* responsible for bondage and transmigration. On the other hand, *bhāvanā* in its Mīmāṃsaka sense is the efficient force underlying the third *mala*, namely *kārmamala*, the experience of which is described in PSV 68 as ‘this act will lead to heaven or hell, etc.’.<sup>128</sup> As such, like the *malas*, *bhāvanā* as ‘efficient force’ is responsible for bondage and transmigration.

Thus interpreted, v. 68 teaches that one *bhāvanā*—*bhāvanā* as meditative realization—dissolves, or rather consumes, the other—*bhāvanā* as the efficient force of the action, an irresistible power to which the *paśu* is inevitably subjected—according to the metaphoric wording of the verse. In other words, the yogin’s awareness of nonduality consumes the efficient force of the act, in the sense that it annihilates the *paśu*’s subjection to the law of *karman* and transmigration. In this movement of combustion, the *mumukṣu* accedes to liberation, seen in this system as ‘liberation in this life’.

The allusion to fire rituals in v. 68 is obvious (whether the *homa* referred to here is Vedic or Śaiva). Mīmāṃsakas have discussed at length the question of the ‘efficacy’ of the ritual fire, for its consequences were deemed to extend far beyond the cinders that were its only visible result. In PS 68, the ‘fire’ is interiorized, transformed into pure consciousness and becomes the ‘remains’ of the combustion of “transitory” states of mind, or *vikalpas* or *malas*; in this way, it plays the part of the Mīmāṃsaka’s ‘*apūrva*’ or ‘*adṛṣṭa*’ that had been regarded as the mechanism through which the ‘*karman*’ of the sacrifice (i.e., the sacrifice itself) worked itself out. But this ‘*adṛṣṭa*’ has one quality that the Mīmāṃsaka’s argued ‘*adṛṣṭa*’ most significantly lacked: the self-evidence of consciousness.

Additionally, it is remarkable that vv. 63 and 68 form a diptych: in v. 63, *bhāvanā* in its Mīmāṃsaka sense brings about the three *malas*, resulting in bondage, whereas, in v. 68, *bhāvanā* meaning ‘meditative realization’ dispels them (referred to as ‘*vikalpas*’ in the verse), thus also dispelling the ‘efficient force’ of actions,

<sup>128</sup> See text and translation of PSV 68, supra, p. 82.

*bhāvanā*. Not only have we come full circle, but Abhinavagupta's mention of the two *bhāvanās* in his *Paramārthasāra* is of some interest for the history of ideas, in that it reveals (admittedly in a somewhat cryptic way) the relationship between the Śaiva and the Mīmāṃsaka doctrines. Undoubtedly, the nondualist perspective of the Trika and its proclaimed soteriological intent alter the notion of *bhāvanā* towards the meaning of the spiritual realization leading one to identify with the supreme principle—the Lord, Consciousness or *brahman*—, thus making *bhāvanā* a cause of liberation.

This is probably the reason why Śāṅkara also understands the term *bhāvanā* occurring in *Bhagavadgītā* [BhG] II 66 (= II 68, in the Kashmirian recension edited by Gnoli) as: *na cāsty ayuktasya bhāvanā ātmajñānābhiniveśah*, 'There is no "*bhāvanā*", meaning that there is no entry into [or, aspiration to enter] the knowledge of the Self, for the undisciplined'—which is similar to the Śaiva usage of *bhāvanā*. Incidentally, Gnoli (admittedly himself the translator of the Śaiva recension of the *Gītā*) renders the term as 'realization'.

The consequence is that Edgerton's reading of *bhāvanā* as 'efficient force', in BhG II 66, should undoubtedly be understood in a more spiritual sense. One can object to Edgerton's interpretation for two reasons: i) in the technical sense, the Mīmāṃsaka notion of *bhāvanā* applies to the act (and to the 'act of speech'), not to the subject/agent of the act: the 'force' being inherent to the act, it is not legitimate to shift, as Edgerton suggests in his note *ad loc.*, from the idea of 'efficient-force' (*sic*) to that of 'religious effort', which wrongly involves the subject;<sup>129</sup> ii) in the *Gītā*, the context is not about ritual efficiency but about the attainment of 'peace' (*śānti*, *prasāda*; see vv. 64–66, 70–71 = 66–67, 72–73 in Gnoli), i.e., the liberation referred to at the very end as *brahmanirvāṇa* (v. 72 = 74 in Gnoli). It is worth noting in this regard that, although Abhinavagupta's *Gītārthasaṃgraha* [GAS] on the *Gītā* does not comment on the *bhāvanā* of II 66 [= II 68 in Gnoli], its meaning as 'realization' is suggested, in the text and its commentary, by the recurring use of the term *sthīraprajñā* (and its variants: *sthītaprajñā*, or *sthīradhī*),<sup>130</sup> which, in Abhinavagupta's understanding, refers to the yogin 'having attained full knowledge/realization'—equivalent to the Śaiva notion of *jñānin* (see *infra*, p.).<sup>131</sup> Accordingly, Abhinavagupta (GAS II 61–65, in the Kashmirian recension) distinguishes him from the *tapasvin* or "ordinary" ascetic whose "register" is that of the mere *dhyāna*, the 'meditation' where the result—the

<sup>129</sup> 'The undisciplined has no (right) mentality, / And the undisciplined has no efficient-force; / Who has no efficient-force has no peace; /For him that has no peace how can there be bliss? /'. Edgerton adds this note to his translation: 'Here, "effective religious impulse"; the word *bhāvanā* means 'bringing to be, tendency to produce something (here religious effort).' It is a technical word of the Mīmāṃsā system [...].'

<sup>130</sup> A term which the GAS borrows from the *Gītā* (e.g. v. 54, 55, 56 = 56, 57, 58).

<sup>131</sup> Yet, the term *bhāvanā* occurs in the first *maṅgala* of the GAS, undoubtedly in its Śaiva technical sense.

true advent of the object of meditation, that is, himself as not different from the Lord—is never certain.<sup>132</sup>

It should also be noted that, although developed in the texts of the Śaiva exegetes, the notion of *bhāvanā* was inherited from the Āgamas of the Śaiva system,<sup>133</sup> for instance *Svacchandatantra* [SvT] VII 259 quoted in SpN (see infra, p. 93) and MVT XVII 20cd quoted in TĀV IV 14 (see infra, p. 93). *Vijñānabhairava* [VBh] 145 (as quoted in ŚSV III 27, and in *Svacchandatantrōddyota* [SvTU II] 139a) also uses the notion and plays with its etymology (*bhāvanā bhāvvyate*):

*bhūyo bhūyaḥ pare bhāve bhāvanā bhāvvyate hi yā /  
japaḥ so 'tra svayaṃ nādo mantrātmā japyā īḍṛśaḥ //*  
'Indeed, the realization (*bhāvanā*) that is realized again and again within ultimate reality is the [true] recitation (*japa*); there [viz., within that *japa*] of itself the sonic resonance (*nāda*) of this sort is to be recited, being of the nature of a *mantra*.<sup>134</sup>

It should be emphasized, in order to further corroborate my understanding of the notion and its translation, that TĀ II 12–13 distinguishes between *bhāvanā*, 'realization', and *avadhāna*, 'concentration', whereas TĀ IV 14cd defines it as 'illumination' or 'revelation' by using the verb *sphuṭayet*.<sup>135</sup>

*sphuṭayed vastu yāpetam manorathapadād api //*  
'[*bhāvanā*], which reveals ['discloses suddenly'] a reality exceeding the realms of desire [that is, a reality that transcends anything one might imagine] ...'

As TĀV IV 14 points out:

*tarka eva hi parām kāṣṭhām upagato bhāvanety ucyate,*  
'When reasoning reaches its ultimate limit, it is called *bhāvanā*.'

This means that *bhāvanā* is nothing but the 'ultimate term [or limit]' (*parā kāṣṭhā*) of reasoning (*tarka*, in TĀV IV 14, or *sattarka*, in TĀ IV 14), i.e., the 'ultimate term [or limit]' of certainty (*niścaya*, in v. 13) peculiar to 'those who know' (*kovidāḥ*, in IV 14), meaning that it consists in knowing that nonduality is the ultimate reality, as stated in PS 41 (*advaitabhāvana*), quoted supra.

Similarly, TĀV IV 13 (vol. 3: 629) teaches:

*sa eva hi mahātmanām dehādyaḥlocaṇena yathāyathamabhyāsātīśayāt vi-  
kalpaśuddhim ādadhānaḥ, parām kāṣṭhām upagataḥ san / bhāvanātmakatām  
yāyāt / yenāspuṭam api samvidrūpaṃ sphuṭatām āśādayet /*

<sup>132</sup> GAS II 65: *tapasvīno viṣayatyāga eva viṣayagrahaṇe paryavasyati / dhyātvā hi te tyajyante / dhyānakāla eva ca saṅgādaya upajāyante /*, 'In the case of a *tapasvīn*, the abandoning of an object of the senses culminates in the grasping of others, which are in turn abandoned by virtue of his meditation. Thus, at the very time of meditation, attachments, etc., arise.'

<sup>133</sup> On a possible relative chronology of such texts, see Bansat-Boudon and Tripathi (2011, pp. 39–41).

<sup>134</sup> On *japa*, see Bansat-Boudon and Tripathi (2011, pp. 261–265).

<sup>135</sup> See supra, p. 49 and 52, n. 38, the usages of *sphuṭ* and other related roots, such as *sphar*, *sphur*, etc. TĀV IV 13 makes also use of derivatives from the root *sphuṭ* while commenting on the notion of *bhāvanā*.



‘Indeed, after [reasoning] has effected the purification of the thought constructs (*vikalpaśuddhi*) through one or another of the ways of reaching the end of the stage of repeated practice—[in other words] by studying the revered great Masters’ [teachings] concerning the body, etc.—[that reasoning,] thereby reaching its ultimate limit, becomes *bhāvanā*, by which [process] what was unclear (*asphuṭa*), even though of the form of consciousness, reaches clarity (*sphuṭatā*).’

This passage of TĀV sheds light on the textual organization of PS 39–41: ‘The revered great Masters’ [teachings] concerning the body, etc.’ is an allusion to the eradication of the double error expounded in PS 39–40;<sup>136</sup> once the process of eradication is complete, in other words, once reasoning has reached its ultimate limit, annulled all idea of difference and become an inner certainty (*niścaya*, in TĀ IV 13), this certainty in turn becomes *bhāvanā* (the matter at issue in PS 41), the dazzling awareness of one’s own essence as consciousness. In Yogarāja’s commentary (PSV 39), the experience that transforms the yogin into a *jīvanmukta* is expressed as: *aham eva eko viśvātmanā sphurāmi*, ‘I alone manifest myself as the Self of the universe’—an inner discourse echoed in PSV 68 (supra, p. 87).

In the same line of thought, SpN II 6–7, quoting the SvT, defines *bhāvanā* as follows:

[...] *sarvaṃ śivaśaktimayaṃ smaret* [v.l. (KSTS 44) *sarvaṃ śivamayaṃ smaret*] (SvT VII 244cd) //

[...] *jīvaṇṇ eva vimukto 'sau yasyeyaṃ* [v.l. (KSTS 44) *yasyaiṣā*] *bhāvanā sadā / yaḥ śivaṃ bhāvayen nityaṃ na kālaḥ kalayet tu tam* [v.l. (KSTS 44) *śivo hi bhāvito nityaṃ na kālaḥ kalayec chivam*] (SvT VII 259) //

‘“One should consider everything as made of Śiva and Śakti”. [...] He becomes liberated, even in this life, who gives himself over once and for all to that meditative realization (*bhāvanā*), for time could not act on him who would realize (*bhāvayet*) Śiva continuously.’

Describing *bhāvanā* as the realization that everything is made of Śiva and Śakti (SvT VII 244cd, as quoted in the above passage from the SpN), amounts to considering it as perfect knowledge (as stated by MVT XVII 20cd, quoted in TĀV IV 14), i.e., knowledge beyond words and the discursivity of reasoning:

*taḍ eva paramaṃ jñānaṃ bhāvanāmayam iṣyate,*  
‘Supreme knowledge consists in *bhāvanā*.’<sup>137</sup>

As such, the *bhāvanā* is characterized as instrumental in reaching the state of *jīvanmukta* or *jñānin*, ‘he who knows [the Self]’. Specifically, it is an instrument of one of the ‘ways’ (*upāya*), the *śāktopāya*.<sup>138</sup> This is emphasized by the textual economy of the *Paramārthasāra*: immediately after the metaphorical description of *bhāvanā* as the winds fanning the blazing fire of the yogin’s consciousness, v. 69

<sup>136</sup> See supra, n. 100.

<sup>137</sup> Compare with Śaṅkara *ad* BhG II 66, quoted, supra, p. 91.

<sup>138</sup> Also Silburn (1981, p. 191) and Chenet (1987).

gives a vivid depiction of the *jīvanmukta* as living a carefree, utterly liberated existence:

*aśnan yadvā tadvā saṃvīto yena kenacic chāntaḥ /  
yatra kvacana nivāsī vimucyate sarvabhūtātma //*

‘Eating whatever he finds, clad in whatever is available, tranquil, inhabiting anywhere at all, he is liberated who is the Self of all beings.’

As stated in PSV *ad loc.*:

*yataḥ sa jñānī ‘sarvabhūtātma’ sarveṣāṃ bhūtānām ātmā sarvaṇi ca bhūtāni  
tasyātmēti kṛtvā na kiṃcid bandhakatayā bhavati sarvaṃ vimuktaye śya  
saṃpadyata iti,*

‘Because the knower of the Self knows himself as “the Self of all beings”—the compound *sarvabhūtātman* meaning [both] that he is the Self of all beings, and that all beings are his own Self—nothing exists for him as bondage; everything is conducive to his liberation.’

The *śāktopāya* thus culminates in *bhāvanā*, which in turn culminates in *jīvanmukti*, the main issue of the *kārikās* preceding and following PS 68, and particularly, *kārikās* 61<sup>139</sup> and 69–73.

From all the sources presented here, it appears that the Śaiva notion of *bhāvanā* has two faces: one which is “practical”, inasmuch as, being a *means* in the *śāktopāya*, *bhāvanā* also pertains to yogic—or, more specifically, mantric—practice, as implied in PS 41;<sup>140</sup> and another which is “spiritual”, and to which this “practical” function is subordinated;<sup>141</sup> as such the Śaiva *bhāvanā* has two main characteristic features: i) the suddenness of spiritual revelation, emphasized by the recurrent use of the roots *sphur / sphuṣ* and their derivatives, and ii) its uninterrupted nature. Once attained, spiritual revelation is attained once and for all<sup>142</sup> and this justifies the metaphor of the *japa* or whispered recitation, in essence a continuous process, in VBh 145 quoted above, as well as that of the wind ceaselessly fanning the fire of consciousness, as in PS 68.<sup>143</sup> As such, indeed, the Śaiva *bhāvanā* partakes of the esoterism of the doctrine.

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### Abbreviations

EFEO École française d’Extrême-Orient.

GOS Gaekwad’s Oriental Series.

HOS Harvard Oriental Series.

IFP Institut français de Pondichéry.

<sup>139</sup> [...] *vigrahayoge ‘py asau muktaḥ*, ‘[...] he is liberated though still joined with his body.’

<sup>140</sup> See supra, p. 85.

<sup>141</sup> As is the case with the practical function of the Mīmāṃsaka *bhāvanā*; see supra, p. 83.

<sup>142</sup> This is the famous statement, quoted in PSV 11: *sakṛd vibhāto ‘yam ātmā*; see supra, n. 31.

<sup>143</sup> Note also the use of *sadā* in SvT VII 259b, of *nityam* in SvT VII 259c, supra, p. 93.

IsMEO Istituto per lo studio del Medio ed Estremo Oriente.  
 JRAS Journal of the Royal Asiatic Society [of Great Britain and Ireland], Londres.  
 KSTS Kashmir Series of Texts and Studies.  
 Publ. EFEO Publications de l'EFEO.  
 Publ. ICI Publications de l'Institut de civilisation indienne (Collège de France).  
 SOR Serie orientale Roma.  
 SUNY State University of New York.  
 TSS Trivandrum Sanskrit Series.

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 [KU = *Kathoṇiṣad*] see BĀU.  
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