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1 Affine Geometry, Visual Sensation, and Preference for Symmetry of Things in a

2 Thing - Article

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6 Abstract: Evolution and geometry generate complexity in similar ways. Evolution drives natural 7 selection while geometry may capture the logic of this selection and express it visually, in terms of 8 specific generic properties representing some kind of advantage. Geometry is ideally suited for 9 expressing the logic of evolutionary selection for symmetry, which is found in the shape curves of 10 vein systems and other natural objects such as leaves, cell membranes, or tunnel systems built by 11 ants. The topology and geometry of symmetry is controlled by numerical parameters, which act in 12 analogy with a biological organism's DNA. The introductory part of this paper reviews findings 13 from experiments illustrating the critical role of two-dimensional design parameters and shape 14 symmetry for visual or tactile shape sensation, and for perception-based decision making in 15 populations of experts and non-experts. Thereafter, results from a pilot study on the effects of 16 fractal symmetry, referred to herein as the symmetry of things in a thing, on aesthetic judgments and 17 visual preference are presented. In a first experiment (psychophysical scaling procedure), 18 non-expert observers had to rate (scale from 0 to 10) the perceived beauty of a random series of 2D 19 fractal trees with varying degrees of fractal symmetry. In a second experiment (two-alternative 20 forced choice procedure), they had to express their preference for one of two shapes from the series. 21 The shape pairs were presented successively in random order. Results show that the smallest 22 possible fractal deviation from "symmetry of things in a thing" significantly reduces the perceived 23 attractiveness of such shapes. The potential of future studies where different levels of complexity of 24 fractal patterns are weighed against different degrees of symmetry is pointed out in the conclusion.

Keywords: Visual symmetry; affine projection; fractals; visual sensation; aesthetics; preference
 26

27 1. Introduction

28 Brain evolution has produced highly specialized processes which enable us to effectively 29 exploit the geometry of visual perceptual space. Some data suggest that the human brain is 30 equipped with an in-built sense of geometry (e.g. Amir et al., 2012; Amir et al., 2014), which is a key to 31 conscious knowledge about specific object properties and associations between two-dimensional 32 projections and their correlated three-dimensional structures in the real world (e.g. Biederman, 1987; 33 Wilson & Wilkinson, 2002; Pizlo et al., 2010; Li et al., 2013). These associations favour structural 34 regularities and, above all, symmetry (Li et al., 2009; Li et al., 2013). Thus, it is not surprising that 35 symmetry plays an important role in conceptual processes and the design geometry of complex 36 spatial structures, and is abundantly exploited by engineers and architects. The use of the symmetry 37 of curvature, for example, dates back to the dawn of building shelter and vernacular architecture, 38 which relies, by the nature of the materials and construction techniques used, almost entirely on 39 symmetrical curves (Figure 1, left). In the middle ages, descriptive geometry was used for the 40 planning and execution of building projects for which symmetric curves were the reference model, 41 as in the design of arched hallways and corridors (Figure 1, middle). In the last century, the Spanish 42 designer and architect Gaudi exploited the same kind of geometry for the design of the Sagrada 43 Familia in Barcelona (Figure 1, right) and many of his other fabulous structures, which can be 44 appreciated by taking a walk through the Guëll Park, or by visiting the Guëll museum in Barcelona. 45

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Figure 1. The importance of the symmetry of curves for human endeavour dates back to the dawn of building shelter and to vernacular architecture (left). Symmetric curve geometry is currently used in contemporary free-form architecture (middle), which has been much inspired by the Spanish architect Gaudi, who largely exploited symmetry of curvature for the design of the hall and archways of the *Sagrada Familia* in Barcelona (right).



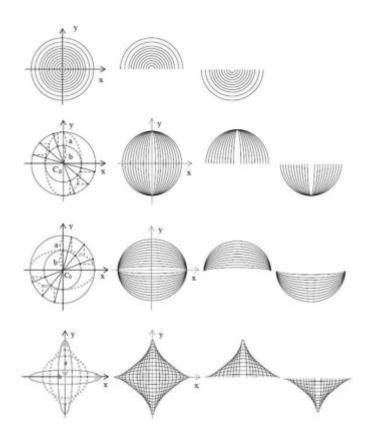
53 Gaudi's structures were largely inspired by nature, which abounds with curved shapes and 54 features (see also Ghyka, 1946), and our perception uses these features as cues to shape or object 55 recognition and image interpretation (e.g. Stevens 1981a and b; Foley et al., 2004; Dresp, Silvestri and 56 Motro, 2007; Dresp-Langley 2013, 2015; Mustonen et al., 2015; Strother, Killebrew and Caplovitz, 57 2015). In biology, curvature guides physical, chemical, and biological processes, such as protein 58 folding, membrane binding, and other biophysical transformations (Grove, 2009). The 59 representation and cognition of curvature ranges from the biochemical level of living organisms 60 capable of sensing this property in their near or distant physical environments (Hatzakis, 2009) to 61 perceptual properties extracted from physical stimuli by the human brain (Dresp, 1998; Bonnet and 62 Dresp, 2001; Dresp and Fischer, 2001; Dresp and Langley, 2005; Dresp-Langley and Durup, 2009; 63 2012), the ultimate product of evolution. In terms of a mathematical property of the physical world, 64 curve symmetry can be directly linked to affine geometry (see also Gerbino and Zhang, 1991).

65 1.1. Affine geometry and visual sensation

66 In affine geometry, curves derived from circles and ellipses share certain properties, the circle 67 being a particular case of the ellipse. Projective geometry permits generating symmetric curves from 68 ellipses by affinity with concentric circles (Figure 2). Their perception is grounded in biology in the 69 sense that most natural objects can be represented in 2D as symmetrically curved shapes with 70 Euclidean properties of ellipses. Studies comparing between visually perceived curvature by experts 71 in geometry (architects and design engineers) and non-experts (Dresp, Silvestri and Motro, 2006), 72 using symmetric curves derived from concentric circles by affine projection have shown that their 73 perceived magnitude is determined by a single geometric parameter, the curves' aspect ratio. The 74 perceptual responses to such curves are independent of both expertise and sensory modality, given 75 that tactile sensing by sighted blindfolded and congenitally blind observers produces the same 76 results (Dresp-Langley, 2013). The symmetry of the curves, however, is a critical factor to these 77 geometry-based perceptual responses (Dresp-Langley, 2015). The aspect ratio relates the height 78 (sagitta) to the width of a curve, and in symmetric curves of variable size but constant aspect ratio 79 directly taken from concentric circles (no projection by affinity), perceived curvature is also constant, 80 in both vision and touch. This observation is directly linked to the phenomenon of scale-invariance 81 in visual curvature discrimination (cf. Whitaker and McGraw, 1998) and in the detection and 82 recognition of shapes in general (cf. Pizlo, 1994).

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Figure 2. Projective geometry permits generating symmetric curves from ellipses by affinity with
 concentric circles .Their perception is grounded in biology in the sense that most natural objects can
 be represented in images as symmetrically curved shapes with the Euclidean properties of ellipses.
 Symmetric curves yield visual and tactile sensations of curvature which increase exponentially with
 the *aspect ratio* of the curves (e.g. Dresp-Langley, 2013; 2015)



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91 *1.2. Reflection and rotational shape symmetry*

92 The role of reflection symmetry in visual perception was pointed out by Gestalt psychologists at 93 the beginning of the 20th century (Bahnsen, 1928; Koffka, 1935) as a major factor in shape perception. 94 It refers to specific transformations by transition of points in Euclidean space resulting in mirrored 95 representations. Axial symmetry (e.g.), which results from point-by-point mirroring across an axis (f 96 (x, y, z) = f(-x, y, z), is an important factor in visual recognition (e.g. Braitenberg, 1990; Beck, Pinsk & 97 Kastner, 2005; Tjan & Liu, 2005). Reflection or mirror symmetry is detected fast (Barlow and 98 Reeves, 1979; Wagemans, et al., 1991), in foveal and in peripheral vision (Barrett et al., 1999). Vertical 99 mirror symmetry facilitates face recognition by human (e.g. Thornhill & Gangestad, 1999) and 100 non-human primates (Anderson et al., 2005), and is used by the human visual system as a 101 second-order cue to perceptual grouping (Machilsen et al., 2009).

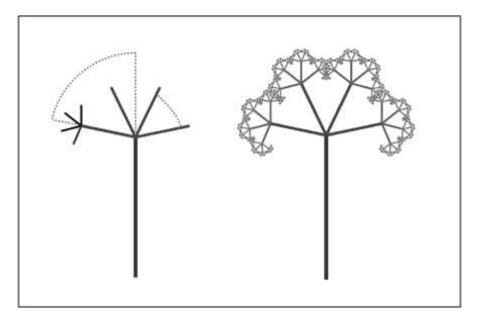
102 Rotational symmetry of shape plays an important role in architecture and design (e;g. Arnheim, 103 1969). The design of complex modern spatial structures is a domain of contemporary relevance. 104 Visual-spatial experiments on expert architects as well as novices have shown that perceiving the 105 rotational symmetry of partial shapes which constitute the simplest possible *tensegrity* (*tensile* 106 integrity) structure (Figure 4) is an important part of our understanding how they are put together. 107 Only once this symmetry is perceived by the expert or novice, will he/she be able to draw the 108 structure from memory into axonometric or topological reference frames provided to that effect 109 (Silvestri, Motro, Maurin and Dresp-Langley, 2010). Tensegrity structures have inspired current 110 biological models (e.g. Levin, 2002), from the level of single cells to that of the whole human body. 111 They posses what Mandelbrot (1982) called "fractal consistency across spatial scales", or "fractal 112 iterations", like those seen in large trees that appear composed of many smaller trees of the same 113 structure.

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116 Fractal geometry is also inspired by nature (Mandelbrot, 1982), with its many symmetric visual 117 structures like those found in cells, trees, butterflies and flowers. A fractal may be defined as a 118 complex whole (object or pattern) that has the same structural characteristics as its constituent parts. 119 The structural symmetry that results from fractal iterations may be described as the symmetry of 120 things in a thing. The radial symmetry of a sunflower is a choice example of fractal symmetry as it 121 exists in nature. Behavioural studies have shown that various animal species are naturally attracted 122 to two-dimensional representations of objects exhibiting flower-like radial symmetry (Lehrer et al., 123 1995; Giurfa et al., 1996). In complex 3D fractal trees, single fractals ("things") have a symmetrical 124 counterpart within the whole structure (the thing), which may possess radial symmetry, reflection 125 symmetry and manifold rotational symmetries, like many objects in nature (plants, snowflakes, etc.) 126 are bound by both reflection and rotational symmetry, and exhibit multiples of one and the same 127 shape (*things*) repeated in all directions.

128 Nature-inspired design occupies an important place in contemporary graphic art, and 129 symmetry has been identified as a major defining feature of visual beauty, compositional order, and 130 harmony. Symmetry directly determines aesthetic preferences and the subjectively perceived beauty 131 of two-dimensional visual images and patterns (Eisenman, 1967; Berlyne, 1971; Jacobsen et al., 2002, 132 2003, 2006; Tinio & Leder, 2009), and symmetrical visual patterns are also more easily remembered 133 and recognized (Deregowski, 1971, 1972; Kayert & Wagemans, 2009) compared with asymmetrical 134 ones. Sabatelli et al. (2010) suggested that natural and artistic creative processes rely on common, 135 possibly fractal, transformations. Fractal transformations may describe iterative transitions from 136 simplicity and order (symmetry) to complexity and chaos (asymmetry). Again, fractal trees seem to 137 be a pertinent example here, where simple 2D mirror trees (Figure 3) with reflection and/or radial 138 symmetry open an almost infinite number of possibilities for adding complexity through further 139 transformations leading to complex projections of 3D structures with multiple rotational symmetries 140 (not shown here).

Figure 3. Fractal geometry and affine geometry share principles of projection in Euclidean space.
Fractal trees, inspired by nature (Mandelbrot, 1982), may be defined as complex wholes where every
part repeats itself across multiple fractal iterations, producing *symmetry of things in a thing*. In the
simple fractal mirror-tree shown here, concentric circles are the mathematical basis for describing
structural regularities with vertical reflection (mirror) symmetry, which has been identified as a
major determinant of the visual attractiveness of image configurations (e.g. Eisenman, 1967).



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149 Whether nature-inspired fractal design appeals to our senses in the same way as the real objects150 found in nature remains an open question. However, on the basis of previous findings summarized

151 here above, we may assume that the symmetry of things in a thing in fractal design plays a decisive role 152 in our perception of their aesthetic content and thereby influence certain preference judgments. 153 Given the multiple levels of complexity of fractal objects, trying to address this question requires 154 starting with simple examples. For this pilot study here, we created a series of fractal mirror trees 155 based on geometric transformations as shown in Figures 2 and 3. In two psychophysical 156 experiments, one using a subjective aesthetic rating procedure, the other a preference judgment 157 design, we tested whether the subjective attractiveness of such trees is affected by different degrees 158 of violation of symmetry, from an almost imperceptible lack of mirror detail to massive asymmetry.

159 *2. Materials and methods*

The experiments were conducted in accordance with the Declaration of Helsinki (1964) and with the full approval of the corresponding author's institutional (CNRS) ethics committee. Informed written consent was obtained from each of the participants. Experimental sessions were organized following conditions of randomized, trial-by-trial free image viewing using a computer with a keyboard and a high resolution monitor. 15 mirror tree images were generated using a comprehensive vector graphics environment (Adobe Illustrator CC) and computer shape library.

166 *2.1. Subjects*

30 observers, ranging in age between 25 and 70 and unaware of the hypotheses of the study,participated in the experiments. All subjects had normal or corrected-to-normal visual acuity.

169 *2.2. Stimuli*

170 The stimuli for the two experiments were generated on the basis of 15 images of fractal trees 171 (Figure 5) drawn in a vector graphics environment (Adobe illustrator CC) using simple principles of 172 2D geometry, as shown here above in Figure 3. Five of these images (Figure 4, top row) were mirror 173 trees with vertical reflection symmetry and perfect symmetry of things in a thing. Five of them (Figure 174 4, middle row) were imperfect mirror trees in the sense that their vertical reflection symmetry 175 excluded one of the elementary parts, which was not mirrored on the right side of the tree. In the 176 remaining five, asymmetrical images Figure 4, bottom row), elementary shapes "growing" on the 177 branches of the left side of the trees were not mirrored on the right side. The luminance contrast 178 between figures and backgrounds was constant in the 15 images (same RGB (200, 200, 200) for all 179 figures, same RGB (20, 20, 20) for all backgrounds). The height of a fractal tree on the screen was 10 180 cm, the widest lateral expansion in the vertical direction of any given tree was 4 cm.

181 *2.3. Task instructions*

In the aesthetic rating experiment, subjects were instructed to rate the beauty of each of the fifteen individual images on a subjective psychophysical scale from 0 (zero) for "very ugly" to ten (10) for "very beautiful". In the preference judgment experiment, subjects were instructed to indicate whether they spontaneously preferred the left or the right of an image pair. Hitting the response key initiated the next image pair. Half of the subjects started with the rating experiment, the other half with the preference judgment experiment.

188 2.4. Procedure

Subjects were seated at a distance of 1 meter from the screen and looked at the center of the screen. The images were displayed centrally and presented in random order. In the aesthetic rating experiment, each of the 15 images was presented once to each of the 30 subjects. In the preference judgment experiment, each image from a group of five was paired with its counterpart from the two other groups of five and spatial position in a pair (left/right) was counterbalanced (Figure 5).

Figure 4. Stimuli from the aesthetic rating and visual preference experiments described herein.Fifteen images of fractal mirror trees were designed using some of the principles of transformation

shown in Figures 2 and 3. The first five trees (top) possess perfect *symmetry of things in a thing* across the vertical axis. In the next set of five (middle), the smallest of fractal details is missing on the right. The remaining five trees (bottom) are asymmetrical.



200 This yielded 30 image pairs with 20 presentations for each single figure (10 times on the left, 201 and 10 times on the right). The image pairs were displayed in random order and each pair was 202 displayed twice in an individual session, yielding 60 preference judgments from each of the 30 203 subjects. Individual responses were coded and written into text files, which were imported into the 204 data analysis software for further processing. The intervals between stimulus presentations were 205 observer controlled. They typically varied from one to three seconds, depending on the observer, 206 who initiated the next image presentation by striking a given response key ("1" for "left", "2" for 207 "right") on the computer keyboard.

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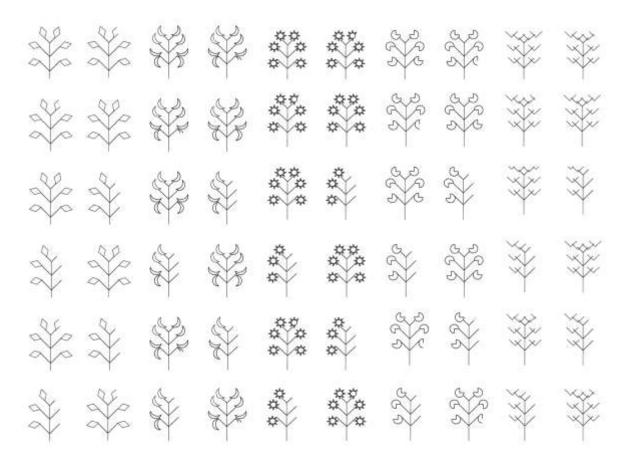
209 3. Results

The raw data from the two experiments were analyzed using *Systat 11*. Data plots showing medians and variances of the rating distributions were generated. Means and their standard errors of the subjective aesthetic ratings and the total number of "preferred" responses from the preference judgment task were plotted for comparison between figure types. One-way analyses of variance testing for statistical significance of differences in means observed for the three figure types: 'symmetrical', 'single detail missing on right' and 'asymmetrical' were performed.

216 *3.1. Subjective aesthetic ratings*

The medians and variance of the subjective aesthetic ratings between zero and ten produced by the 30 subjects in response to the 15 images were plotted as a function of the three-level figure type factor (Figure 5). With five figures per factor level and 30 individual ratings per figure, we have a total of 150 observations for each level of this factor, and a total of 450 observations. The distribution of observations satisfies criteria of normality and equality of variance for further parametric testing, outliers were not removed from the dataset.

Figure 5. 30 image pairs with 20 presentations for each single figure (10 times on the left, and 10 times on the right). The image pairs were displayed in random order and each pair was displayed twice in an individual session, yielding 60 preference judgments from each of the 30 subjects..



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227 One-way ANOVA signaled a significant effect of figure type on raw data for subjective beauty 228 ratings (F(2, 449)=79.47; p<.001). The differences between the means, plotted here in terms of the 229 average subjective rating and its standard error for each figure type (Figure 6), reveal that perfectly 230 symmetrical figures score higher for subjective beauty than figures with a detail missing (t(1, t))231 149)=7.15; p<.001), post hoc Holm-Sidak comparison), and that figures with a detail missing score 232 higher than asymmetrical figures (t(1, 149)=5.42; p<.001), post hoc Holm-Sidak comparison). The 233 largest difference in average aesthetic ratings is observed between symmetrical and asymmetrical 234 figures (t(1, 149)=12.57; p<.001, post hoc Holm-Sidak comparison). When average beauty ratings are 235 plotted as a function of the individual figures (Figure 7), we see that none of the three figure types 236 produced an average score in the extremes ("very beautiful" or "very ugly"). The five symmetrical 237 ones (1 to 5 on the x-axis) produced average ratings between '5' and '8', the five with a small detail 238 missing on the right (6 to 10 on the x-axis) produced average scores between '4' and '6', and the five 239 asymmetrical figures (11 to 15 on the x-axis) scored between '3' and '4' on average.

240 *3.1. Preference judgments*

241 The total number of times each figure of the 15 was chosen as "preferred" in a pair of images in 242 the preference judgment task was counted. One-way ANOVA on the total number of preferences for 243 a figure of each type (N=5 per factor level) signaled a significant effect of figure type on preference 244 (F(2, 14)=368.12; p<.001). The differences between means, plotted here in terms of the average 245 number of "preferred" and its standard error for each figure type (Figure 8), reveal that perfectly 246 symmetrical figures yield larger preferences than figures with a detail missing (t(1, 4)=19.00; p<.001), 247 post hoc Holm-Sidak comparison), and that figures with a detail missing yield larger preferences than 248 asymmetrical figures (t(1, 4)=7.28; p<.001), post hoc Holm-Sidak comparison). The largest difference 249 in number of "preferred" is observed between symmetrical and asymmetrical figures (t(1, 4)=26.28; 250 p<.001, post hoc Holm-Sidak comparison). When the total number of "preferred" responses is plotted 251 as a function of the 15 individual figures (Figure 9), we see that the five symmetrical figures (1 to 5

on the x-axis) produced almost identical high-preference totals, while the other figures producedmore variable ones in the lower preference range.

254 4. Discussion

255 As illustrated by examples from the introduction here above, shape sensation and perception 256 can be related to affine design geometry (e.g. Bahnsen, 1928; Koffka, 1935; Braitenberg, 1990; Gerbino 257 and Zhang, 1991; Dresp-Langley, 2015). Similarly, the topology and geometry of fractal objects may 258 be controlled by a few simple geometric parameters, as in the fractal mirror trees that were used as 259 stimuli here. The term "fractal" was first introduced by Mandelbrot (1982) based on the meaning 260 "broken" or "fractured" (*fractus*), with reference to geometric <u>patterns existing in nature</u>. The findings 261 from this study here show that the smallest "fractal" deviation from perfect symmetry of things in a 262 thing in basic mirror trees (any computer shape library can generate them) with vertical reflection 263 symmetry when no fractals are removed, significantly diminishes subjectively perceived beauty and 264 visual preference. These results confirm previous observations from aesthetic perception studies 265 using different two-dimensional configurations (Eisenman, 1967; Berlyne, 1971; Jacobsen et al., 2002, 266 2003, 2006; Tinio & Leder, 2009). Perfectly symmetrical trees also produced the strongest consensual 267 results, for both subjective aesthetic ratings and visual preferences, while the ones with a small detail 268 missing and the asymmetrical trees produced more disparate data, indicating higher uncertainty 269 (i.e. less confidence) in the subjects' perceptual responses.

270 In nature, it is indeed difficult to find things which do not have at least one axis of mirror or 271 reflection symmetry, such as palm trees and sunflowers or broccoli and snowflakes (cf. Mandelbrot, 272 1975), for example. Also, most human beings are basically symmetric around the vertical axis when 273 standing up, and it is therefore almost unsurprising that our aesthetic preferences would mostly go 274 for symmetrical objects (see also Tinio & Leder, 2009, on massive familiarization). However, results 275 from earlier studies (Eisenman & Gellens, 1968) lead to suggest that things may not be that simple 276 when complexity and symmetry are weighed against each other, and when socio-cultural factors are 277 brought into the equation. Personality and creativity (Eisenman and Rappaport, 1967; Arnheim, 278 1969; Cook and Furnham, 2012) have been identified as two such variables, and highly creative 279 individuals may have a stronger tendency to prefer asymmetrical objects, especially when these 280 exhibit high levels of complexity, as in the case of fractal objects with multiple rotational symmetries, 281 for example. As pointed out previously (Sabatelli et al., 2010), symmetry and asymmetry coexist in 282 many natural and human processes, and the critical role of symmetry in art has been well 283 demonstrated; the complementary role of asymmetry maybe less . Fractal objects offer new perspectives for 284 research on complementary aspects of symmetry and asymmetry in processes of increasing complexity, 285 including processes of visual perception.

286 Fractals are different from other geometric figures because of the way in which they scale across 287 multiple iterations, yielding increasingly complex repetitive structures which are symmetrical by 288 nature. Fractal symmetry is also referred to as expanding symmetry or evolving symmetry, especially if 289 replication is exactly the same at every scale, as in a detailed pattern that repeats itself across 290 multiple fractal iterations. For the visual scientist, this opens many perspectives as it permits the 291 finely controlled manipulation of each and every shape detail in a given configuration and thereby 292 allows to create visual stimuli where variations in complexity and symmetry can be effectively 293 weighed against each other in further studies.

294 5. Conclusion

The visual attractiveness of 2D fractal design shapes closely depends on the *symmetry of things in a thing* in configurations with simple geometry, as shown in this pilot study here on the example of a few very basic fractal mirror-trees. In these simple displays, the smallest "fractal" deviation from a perfect *symmetry of things in a thing* is shown to have significantly negative effects on subjectively perceived beauty and preference judgments. These findings are to encourage further studies, using more sophisticated fractal design objects with increasingly large number of fractal iterations, producing more and more complex 2D mirror designs and shapes with increasingly multiple

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