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Numerical simulations of internal solitary waves interacting with uniform slopes using an adaptive model

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Abstract

Two-dimensional, non-linear, Boussinesq, non-hydrostatic simulations of internal solitary waves breaking and running up uniform slopes have been performed using an adaptive, finite volume fluid code "Gerris". It is demonstrated that the Gerris dynamical core performs well in this specific but important geophysical context. The "semi-structured" nature of Gerris is exploited to enhance model resolution along the slope where wave breaking and run-up occur. Comparison with laboratory experiments reveals that the generation of single and multiple turbulent surges ("boluses") as a function of slope angle is consistently reproduced by the model, comparable with observations and previous numerical simulations, suggesting aspects of the dynamical energy transfers are being represented by the model in two dimensions. Adaptivity is used to explore model convergence of the wave breaking dynamics, and it is shown that significant cpu memory and time savings are possible with adaptivity.

Key words: Internal wave breaking; modelling.

1 1 Introduction

- ² Breaking internal solitary waves (ISWs) are considered to be important con-
- ³ tributors to the energy required for mixing in the coastal zone (see, e.g., the

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review by Helfrich and Melville (2006) and references therein). This is sup-4 plemented by recent observations (Bourgault et al 2007) of a train of ISWs 5 impacting a coastline; integral to the study is a model comparison since it 6 is noted that the general nature and predictability of ISWs remain largely unquantified. Furthermore, parameterisation of such energetic overturning for large scale models is hampered without detailed process scale modelling allied g to field work. To that end this paper considers how high resolution modelling 10 of such processes might be efficiently obtained using adaptive simulation tech-11 niques. 12

In a previous paper (Popinet and Rickard, 2007) an extension of an existing 13 adaptive fluid code "Gerris" (Popinet, 2003 and 2006) for use with geophysical 14 flows was described. The main issue was to demonstrate (via a series of test 15 cases) that an "A-grid" formulation in Gerris comprising spatially collocated 16 variables that allows for the use of adaptivity would not seriously compromise 17 geophysical requirements (in particular geostrophic balance); the nature of the 18 spatial operations ("approximate projection method") was shown to introduce 19 a small amount of damping to the inertia-gravity waves while leaving the large 20 scale flows relatively unhindered. Popinet and Rickard (2007) showed that 21 Gerris was more than competitive in this regard in comparison to other non-22 standard ocean models. The test cases also demonstrated how the finite volume 23 grid structure provided a significant advantage when it came to resolution and 24 representation of the interaction with boundaries. 25

Although Popinet and Rickard (2007) showed the potential for a three di-26 mensional geophysical extension of Gerris, the adaptivity was limited to the 27 horizontal plane only, with depth projection through vertical z-level stacked 28 layers. Furthermore, most of the test cases focussed on the horizontal geophys-29 ical responses of the model. In this paper the aim is to look in more detail 30 at the vertical properties, in particular the integration of Boussinesq accelera-31 tions into the model in the context of non-hydrostatic simulations of internal 32 solitary wave (ISW) breaking as an overall "assessment" of Gerris in the spirit 33 suggested by Berntsen et al (2006). In this paper adaptivity operates in both 34 the horizontal and vertical, removing the limitation of stacked layers. 35

Adaptive methods have long been recognised as potentially allowing efficient 36 access to the multi-scale nature of geophysical flows. Examples for structured 37 models include Blayo and Debreu (1999), and Burchard and Beckers (2004) 38 and Hanert et al (2006) for vertical processes, Behrens (2005) in the context 39 of atmospheric flows, and for unstructured models Piggott et al (2008) and 40 references therein. The examples and tests in Piggott et al (2008) illustrate 41 the adaptive potential, including both two and three dimensional flows, and 42 the issues described (particularly geophysical scale pressure interacting with 43 buoyancy) are those considered for the "semi-structured" Gerris here and by 44 Popinet and Rickard (2007). 45

The approach we will take with Gerris is that of Bourgault and Kelley (2004) (as advocated by Berntsen et al, 2006) in that a non-hydrostatic model intended for use at coastal scales first be validated against existing observational data, in this instance the laboratory experiments of Helfrich (1992) and Michallet and Ivey (1999). Confidence in a model at these scales provides for a potential benchmark when assessing model performance at geophysical scales.

The motivation for this paper is to demonstrate that the collocated Gerris 52 A-grid can indeed function readily with vertical Boussinesq accelerations and 53 viscosity included. For the inter-model comparisons a "standard run" (see 54 later) is used in order to allow reasonable coverage of the parameter space. In 55 these standard runs the semi-structured Gerris grid is used to provide for en-56 hanced (but fixed) resolution along the sloping surface where boundary layers 57 are anticipated to evolve. A series of simulations will also be used to explore the 58 resolution dependence of the model solutions (both fixed and adaptive grids), 59 and to quantify the relative efficiency of the adaptivity. The comparisons show 60 that Gerris represents an efficient modelling tool for the investigation of the 61 nature of bolus generation, propagation, and eventual decay as a result of the 62 ISW breaking. 63

⁶⁴ 2 Problem definition and model tests

In this study the coordinates are (x, y, z, t), where (x, y) and z are the horizontal and vertical coordinates, respectively, and t is time. With the Boussinesq approximation, the incompressible, non-hydrostatic equations in a Cartesian reference frame become,

$$\partial_t \boldsymbol{U} + \boldsymbol{U} \cdot \boldsymbol{\nabla} \boldsymbol{U} = -\frac{1}{\rho_0} \boldsymbol{\nabla} p - \frac{\rho'}{\rho_0} \boldsymbol{g} + \nu \nabla^2 \boldsymbol{U}$$
(1)

for momentum,

$$\boldsymbol{\nabla} \cdot \boldsymbol{U} = 0 \tag{2}$$

for continuity,

$$\partial_t \rho + \boldsymbol{U} \cdot \boldsymbol{\nabla} \rho = K \nabla^2 \rho \tag{3}$$

for density $\rho(x, y, z, t) = \rho_0 + \rho'(x, y, z, t)$ for constant ρ_0 , with $U \equiv (u, v, w)$ the components of the velocity, and the vector g = (0, 0, -1)g with g the constant acceleration due to gravity.

.

The initial model density $\rho(x, y, z, t = 0) = \rho_0 + \rho'(x, y, z)$ is set with $\rho_0 = 1000.0 \text{ kgm}^{-3}$, and

$$\rho'(x, y, z) = \frac{\Delta \rho}{2} \left(1 + \tanh\left[\frac{z - z_i - \zeta(x)}{\Delta h}\right] \right), \tag{4}$$

where,

$$\zeta(x) = 2a_i \operatorname{sech}^2\left(\frac{x}{2W}\right), \qquad a_i W^2 = \frac{4(d_-d_+)^2}{3(d_--d_+)},\tag{5}$$

where x is the distance from the left hand edge of the domain. With $\zeta = 0$, 68 equation 4 establishes a pycnocline of characteristic width Δh centred about a 69 distance z_i below the top of the domain, with $\Delta \rho$ defining the change in density 70 across the pycnocline. For finite ζ a perturbation of amplitude $2a_i$ is added (see 71 the sketch in Figure 1). The aim is to establish an ISW of amplitude $a_0 = a_i$ 72 propagating toward the slope. The profile mimics the initial conditions in the 73 Helfrich (1992) and Michallet and Ivey (1999) experiments, and uses functional 74 forms considered by Segur and Hammack (1982), Bogucki and Garrett (1993), 75 and Bourgault and Kelley (2003). 76

⁷⁷ Insert Figure 1 here

The numerical scheme used by the adaptive model to solve these equations is described in Appendix A. Most of the details have been presented previously (e.g. Popinet (2003), and Popinet and Rickard (2007)); however, the introduction of the Boussinesq terms interacting with viscosity in this particular context represents a new extension of the adaptive model, and so it is relevant to summarise the numerics.

For the present experiments, no normal flux conditions apply to the side and 84 bottom surfaces. No-slip boundary conditions apply on the bottom boundary 85 (both the level run-up of length L_R in Fig. 1, and the slope), while free slip 86 conditions apply to the left hand boundary. There is no bottom friction. The 87 top boundary is a rigid lid. Unlike Bourgault and Kelley (2007) we do not 88 consider the impact of a sidewall friction that they are able to use in their 89 laterally-averaged model. To that end our runs are purely two dimensional 90 (i.e., the "free-slip side walls" as described by Bourgault and Kelley (2007)). 91

92 2.1 Model "standard run"

To be able to explore the parameter space observed by Helfrich (1992) and Michallet and Ivey (1999), and to be able to provide comparison with other model solutions, a "standard run" (see below) is defined. Adaptivity will be used later to explore convergence properties of our solutions. The impact of the use of adaptivity in Gerris will be more completely explored in a companion paper examining the lock-release experiment (O'Callaghan et al, 2009, pers comm).

¹⁰⁰ For reference, a "standard run" will use constant viscosity and diffusivity ¹⁰¹ values of $1.0 \times 10^{-6} \,\mathrm{m^2 s^{-1}}$, and will not use adaptivity. Spatially, most of the ¹⁰² domain will be covered with a level of resolution of 7, i.e., the grid spacing (the same in the x and z directions) will be "H" the depth of the fluid (see Figure 1) divided by 2^7 , so that in this case with H = 0.15 m, the grid spacing is 1.17×10^{-3} m. At this level of resolution, Gerris has grid spacing comparable to that used by Berntsen et al (2006) and Bourgault and Kelley (2007). In anticipation of boundary layers along the slope, the semi-structured Gerris grid is exploited to give a level of resolution of 9 there. This spatial distribution is fixed in time for the standard runs.

The timestep in Gerris is dynamically adjusted in order to verify the CFL con-110 dition to satisfy advection scheme stability. A maximum timestep of 1.5×10^{-3} s 111 is used, and for all but the runs with the finest grids suffices. For example, for 112 the adaptive runs described later that allow maximum levels of resolution of 113 10, 11, and 12, the timestep drops to around 80, 60, and 40 per cent, respec-114 tively, relative to the maximum timestep, generally over the last 30 per cent 115 of the test run time reflecting the increase of activity during wave breaking 116 allied to on-going grid refinement. 117

Gerris maintains second order accuracy for spatial operators by a consistent 118 grid mapping from the high resolution grid along the slope to the relatively 119 coarser grid covering most of the domain (see Popinet, 2003 for details). An 120 example of how the grid is laid out next to the sloping boundary is illustrated 121 in Fig. 2. Note that the lower boundary in Fig. 2 is that given by the line 122 showing the slope. Gerris uses a "cut-cell" technique to determine the best 123 boundary fit within a cell, and boundary fluxes are calculated normal to this 124 boundary (see A.3). 125

126 Insert Figure 2 here

¹²⁷ The standard model test runs are initialised using the parameters describing ¹²⁸ model experiment (d) in Table 1, in particular having a slope of 0.217, and an ¹²⁹ initial pychocline depth of $z_i = 0.024$ m below the upper rigid lid of the model.

¹³⁰ 2.2 Model test : transport coefficient variation

Confidence in our standard model set-up was obtained from a convergence 131 test where the initial grid resolution is the same, but the viscosity and diffu-132 sivity coefficients are changed. Here, the diffusivity and viscosity coefficients 133 are set equal to one another, giving a Prandtl number (the ratio of viscos-134 ity to diffusivity) of 1 for the present set of experiments. This will go some 135 way to demonstrating that the implicit viscous and diffusive effects from the 136 numerical discretisation are not dominating the overall model solution at the 137 transport values used in the majority of the runs reported. 138

¹³⁹ Figure 3 shows density contours at time 7.5 s into each run for different values

of the diffusivity and viscosity coefficients. As the coefficients are reduced from 140 Fig. 3(a) to (d) it is apparent that the model solution at this time is different in 141 each case. In Fig. 3(a) the relatively large coefficients overly diffuse the density 142 profile over the time of the ISW propagation. Figure 3(b) is the reference case, 143 showing contours comparable to Bourgault and Kelley (2004) (see their Figure 144 10), with lighter fluid being drawn down towards the slope as the wave breaks. 145 Upstream, downslope flow has compressed the pycnocline onto the slope itself; 146 this downstream flow then separates where it meets the upslope flow of denser 147 water, resulting in the circulation driving the lighter fluid down toward the 148 slope. 149

150 Insert Figure 3 here

A further reduction in the diffusivity and viscosity coefficients results in Fig. 151 3(c). The first thing to note is that the pycnocline to the left of the breaking 152 wave is tighter than that in Fig. 3(b); even in our standard case there has been 153 some diffusion acting to spread the initial profile. The upstream pycnocline 154 is also tighter and further down the slope than Fig. 3(b), suggesting that the 155 downslope flow has been relatively intensified. It is also clear that the top of 156 the breaking wave is now able to overshoot, forming a loop of lighter material 157 ahead of the upslope flow of dense material. As a result, the lighter fluid being 158 drawn down behind the wave appears more restricted. 159

The final reduction in the diffusivity and viscosity coefficients gives the con-160 tours in Fig. 3(d); the upstream downslope flow now appears even greater, 161 such that the upstream pycnocline extends even further down the slope. It 162 would seem that the overshoot of lighter fluid is being drawn by this down 163 slope flow under the wave in such a way that instead of the upslope flow of 164 denser fluid recirculating clockwise, it is now forced up and around counter-165 clockwise instead. The overall change in the solution from Fig. 3(c) to (d) 166 shows that the model is still responding to changes in the coefficients, rather 167 than being dominated by the numerical truncation errors. 168

169 2.3 Model test : impact of adaptivity

Using adaptivity, solution convergence for a specific case of a model initialised 170 as the standard run can be explored. Now each of the model initial condi-171 tions are the same, but the maximum allowable level of resolution is changed 172 for each run. This strategy assesses solution dependence on the grid resolu-173 tion. Adaptivity is based on resolution of selected spatial scales, e.g., vorticity 174 norms, tracer gradients, as quantified by equations (A.13) and (A.14), respec-175 tively. As a run proceeds, these spatial scales are diagnosed, and if deemed 176 to be under-resolved, a grid refinement then operates until either the spatial 177

scales are resolved or the maximum allowable resolution has been reached. The 178 scheme also allows for coarsening so as not to waste resources as gradients relax 179 (except along solid boundaries where resolved scales must not change). The 180 refinement preserves the space and time second order accuracy of the model. 181 The parameters determining this time-adaptive behaviour then become extra 182 model convergence parameters, in this case the maximum allowable resolution 183 and the parameter ϵ in the adaptivity criteria, where equations A.13 and A.14 184 define ϵ in section A.4 in the Appendix. For the majority of adaptive runs, a 185 single value of $\epsilon = 5.0 \times 10^{-2}$ is used, and the adaptive criteria are here applied 186 to the density and vorticity. In cases of multiple adaptative criteria, cells are 187 refined whenever they violate either of the refinement criteria, and coarsened 188 whenever all the coarsening criteria are met. 189

An example is shown in Fig. 4. Standard run density contours at time 7.5 s 190 into the run are shown in Fig. 4(a). An adaptive run that is initialised exactly 191 as for the standard run, but is allowed to adapt up to a maximum level of 192 resolution of 12 (based on the local density gradient and/or the vorticity), is 193 shown at the same time in Fig. 4(b). The difference between these frames is 194 contoured in Fig. 4(c). By comparing Figs. 4(a) and (b), it is apparent that 195 the adaptive run results in some different features, notably the tightening of 196 the contours for the downslope flow as it is forced to rotate around the denser 197 fluid, the extended counter-clockwise roll-up of lighter fluid, and the sharper 198 finger to its right. Figure 4(c) contours the density differences; these differences 199 range from -16 to $10 \, \mathrm{kgm^{-3}}$ relative to the constant background density of 200 $1000 \,\mathrm{kgm^{-3}}$, so there are percentage changes of around 1% or so. Since the 201 majority of contours between Figs. 4(a) and (b) are similar, it would seem 202 that most of these changes represent a shift in position of features, rather 203 than gross amplitude errors. Regardless, it is clear that the standard run is 204 different when adaptivity in time is used. 205

206 Insert Figure 4 here

To illustrate the relationship between the adaptivity and the dynamics, Fig. 5 207 contours the resolution levels underlying the solution in Fig. 4(b). The range 208 of levels chosen for this solution range from 7 (the coarsest) to 12 (the finest). 209 The dynamically evolving resolution is determined by the refinement criteria, 210 and the maximum allowed level of resolution. For this instance, these criteria 211 combine to cover the wave breaking region with levels 8 to 10, with most of the 212 domain remaining at the original value of 7. The level 10 regions are further 213 extended to cover the boundary layers along the slope to resolve the evolving 214 flows. 215

²¹⁶ Insert Figure 5 here

²¹⁷ To better quantify the convergence consider the above experiment run out to

7.5 s using adaptive runs that allow for maximum levels of refinement of 10, 11, 218 and 12. In these runs the initial grid is at level 7 nearly everywhere, except for 219 close to the solid boundary where the maximum level is set. At each timestep 220 the adaptive criteria are checked, and if violated grid refinement takes place. 221 The maximum differences in density and speed at time 7.5 s for the adap-222 tive sequence for (10 - standard, 11 - 10, 12 - 11) were (16.6, 3.5, 2.5) kgm⁻³ 223 and $(4.8, 1.06, 0.6) \,\mathrm{cms}^{-1}$, respectively. The runs were still converging as the 224 maximum level of resolution was increased, but there has been a relatively 225 significant change between refinement levels 10 and 11. 226

Adaptivity is only useful if it proves to be more efficient than the equivalent 227 non-adaptive run initialised everywhere with the finest resolution obtained 228 in the adaptive run, and if the adaptive and constant resolution solutions 229 converge. To that end constant resolution versions have been run as above 230 to time 7.5 s using initial uniform levels of refinement of 5 to 10. For these 231 two dimensional runs, the total cpu memory increases by 4 for each increase 232 in level, here 1 Mb for level 5 up to 1002 Mb for level 10. For the single cpu 233 workstations used in this study, levels 11 and 12 at regular resolution exceeded 234 the resources, and could not be run. However, cpu timings showed that for 235 each level change, the cpu increases by around 5, going from 0.007 days for 236 level 5 to 10.3 days for level 10, so that levels 11 and 12 would require at least 237 50 and 250 days, respectively, to get to time 7.5 s. 238

In comparison, the adaptive run with maximum level of 10 requires 0.79 days 239 to reach time 7.5 s, and uses a maximum of 45 Mb of memory. For refinements 240 up to 11 and 12, the cpu times and maximum memories are 2.2 and 4.9 days, 241 and 57 and 81 Mb, respectively. For each maximum level change, the cpu 242 time increases by between 2 and 3, not only due to memory increases but also 243 because the timestep starts to decline to satisfy the advective CFL condition 244 for the finest resolution. In comparison to its constant resolution counterpart 245 (recalling the values for 11 and 12 are conservative estimates), the adaptive 246 run uses factors of 22, 70, 198 and 13, 23, 50 less for memory and cpu time, 247 respectively, for levels 10, 11, and 12. In terms of total numbers of grid cells, 248 the adaptive runs use maximums of 116974, 145203, and 197613 for levels 249 10, 11, and 12, respectively, factors of 23, 76, and 222 times less than the 250 respective constant resolution runs, reflecting the memory changes. 251

So, if the adaptive run at a given level is accessing all the scales of its constant resolution counterpart, then it's clear that the adaptivity will provide great cpu time efficiency, here increasing up to a factor of 50. These gains are case dependent, and will increase as the volume of fine scales reduces compared to the domain volume. As Fig. 5 shows, the high resolution region is mainly confined to the wave breaking zone.

²⁵⁸ Without an analytic solution, model consistency is checked using convergence.

- The maximum density and speed differences for (6-5) down to (10-9) for
- $_{\rm 260}$ $\,$ the constant resolution runs were
- $_{261}$ (34.0, 28.0, 20.0, 16.0, 8.0) kgm⁻³ and (14.0, 11.0, 8.0, 3.7, 3.2) cms⁻¹
- ²⁶² for density and speed, respectively. As with the adaptive sequence above, con-
- ²⁶³ vergence is clear. Finally, taking the level 10 constant resolution as
- $_{264}$ $\,$ a reference, the differences between it and the standard run and the level 10, $\,$
- $_{265}$ 11, and 12 adaptive runs are
- $_{266}$ (15.0, 5.0, 4.0, 4.0) kgm⁻³ and (4.7, 1.05, 1.05, 1.5) cms⁻¹
- $_{\rm 267}~$ for density and speed, respectively. Again, convergence is clear, but some sat-
- ²⁶⁸ uration as the adaptive models are accessing some finer scales.

Could we have started with a relatively low level of resolution and then allowed 269 adaptivity to fill in evolving scales as required? In general no, as the initial 270 conditions and the boundaries need to be adequately resolved at the outset; 271 adaptivity cannot in general compensate for initially unresolved scales implied 272 by the boundaries or initial stratification. For a uniform medium at rest with 273 regular boundaries, this strategy works well (see Popinet (2006) for examples), 274 and maximises the adaptive gain. In these ISW simulations, under resolution 275 of the initial stratification will result in cumulative errors later in time. The 276 resolution of the standard run is perhaps a compromise between adequately 277 capturing the initial scales, and spanning the parameter space in reasonable 278 time. 279

- ²⁸⁰ In summary, these model tests show that;
- (1) the implicit viscosity and diffusivity of the numerical discretisation is not dominating the overall solution for values of $1 \times 10^{-6} \,\mathrm{m^2 s^{-1}}$ for the viscosity and diffusivity for the standard run;
- (2) differences of around 1 per cent remain in the density as a result of under
 resolution when not using the adaptivity in time for the standard run;
- (3) adaptivity can provide factors of up to 50 times saving in cpu time relative
 to the equivalent constant resolution run at the maximum resolution of
 the adaptive run. This scaling arises here because the wave breaking
 volume is comparatively compact, and the efficiency of the adaptive grid
 scheme in Gerris.
- To explore a wider parameter space, model results using the standard run 291 configuration are compiled and discussed. To provide comparison with the 292 Helfrich (1992) and Michallet and Ivey (1999) observations, and the numerical 293 results of Bourgault and Kelley (2007), slopes as shallow as 0.034 relative to 294 the standard run's of 0.217 will be simulated. The shallowest slope requires 295 a domain 5 times larger and needs to run for at least 5 times as long as the 296 standard run in order to capture the wave breaking. From a resource point, 297 adaptive runs may have been feasible, but given that the percentage differences 298 might be around 1 per cent, and that the wider context is testing the Gerris 299

dynamical core, the standard run configuration will be presented. As we shall see, experimentally observed turbulence at the time of wave breaking does not occur in the simulations, and so there is clear scope for future adaptive runs to capture finer scales and the third dimension.

304 **3** Model Results

In this section, model results from the experiments listed in Tables 1 and 2 are described. The intention is to compare Gerris to the laboratory experiments of Helfrich (1992) and Michallet and Ivey (1999). Comparisons with the laterally-averaged non-hydrostatic model of Bourgault and Kelley (2007) (as described in Bourgault and Kelley (2004)) are also made. Each run in this section is initialised as per the standard run in terms of grid resolution, with no adaptivity, and with diffusivity equal to viscosity of $1.0 \times 10^{-6} \,\mathrm{m^2 s^{-1}}$.

The phenomena of interest are the wave breaking process and subsequent turbulent vortex ("bolus") generation, bolus propagation, and the energetics resulting from the wave breaking, in particular what proportion of the incoming energy flux is reflected (and hence how much is left for mixing processes up-slope).

317 3.1 Wave breaking turbulence

In the Michallet and Ivey (1999) experiments they identify instability associated with three-dimensional motion. This instability and subsequent turbulence is apparent in the sequence of frames in their Figure 3, from the time that the initial wave breaks until later as the bolus runs upslope. Helfrich (1992) similarly identifies an initial patch of wave instability (or "break point") from shadowgraphs, and consequently defines the position of this break point to be the "maximum offshore location of the initial patch of turbulence".

Gerris does not produce such instability or turbulence as the initial wave 325 breaking takes place, in common with Bourgault and Kelley (2004). Even as 326 the model resolution and viscosity and diffusivity are varied (see Figs. 3 and 4 327 for example), the pycnocline to the left of the breaking wave remains relatively 328 coherent, with none of the clear filamentary structure seen in Michallet and 329 Ivey (1999). The most likely explanation – as proffered by Bourgault and 330 Kelley (2004) – is that the two dimensional models are missing important 331 three-dimensional processes. 332

³³³ This is not to imply that the model pycnocline is not "dynamic". Indeed, as the

slope is reduced and the number of boluses increases, a complex structure of
vortices and density develops from which each bolus emerges (not shown). As
the overturning and wave breaking processes diminish so does the pycnocline
complexity, slowly returning toward a stable, final structure.

We identify a model break point by comparison with Figures 3c and 4a in 338 Michallet and Ivey (1999), and their observation that "the first sign of breaking 339 seems to be a gravitational instability, following the separation of the flow in 340 the lower layer". Diagnosis of model flow vectors and vorticity allied to density 341 contours shows when the lower layer flow first separates from the slope, leading 342 to the formation of a clear counter-clockwise circulation beneath the wave; this 343 we identify as our break point. Beyond this point, the counter-clockwise vortex 344 intensifies and enlarges, such that at later times a bolus is formed; this follows 345 the sequence of Figures 3c to 3e and 4a to 4c in Michallet and Ivey (1999). 346

347 3.2 Bolus Identification

Using model experiment 2 in Table 1 as an example, Figure 6 shows a snapshot 348 at time 37.5 seconds into the run. In Fig. 6(a) for density contours there 349 are three distinct and discrete features with core densities labelled as 1016.0 350 and $1028.0 \,\mathrm{kgm^{-3}}$ above that of the surrounding material. Following Helfrich 351 (1992), each of these counts as a bolus since they exist beyond the undisturbed 352 pycnocline-slope intersection (indicated by the horizontal line in the model 353 domain in each frame). Note that the vertical scale is stretched four times 354 relative to the horizontal for clarity. 355

356 Insert Figure 6 here

Figure 6(b) shows horizontal flow, with positive (up-slope flow) contours within 357 each bolus (with peak values of 4.0 and $5.0 \,\mathrm{cms}^{-1}$), and negative (down-slope 358 return flow) contours extending from just above each bolus to the model do-359 main rigid lid at the top (indicated by the $2.0 \,\mathrm{cms}^{-1}$ contour). This shows that 360 these density anomalies are not stationary. Lastly, Fig. 6(c) contours vorticity, 361 showing discrete structures for each bolus comprising a positive vorticity "cap" 362 indicating local counter-clockwise circulation (labelled with the $1.34 \,\mathrm{s}^{-1}$ con-363 tours) overlying negative vorticity locally clockwise circulation (the $-3.34 \,\mathrm{s}^{-1}$ 364 contours). 365

However, the Helfrich (1992) experiments showed that boluses are generated below the undisturbed pycnocline-slope intersection as a result of the ISW breaking process. In Fig. 6 signatures of a fourth bolus are apparent within the bound of the pycnocline-slope intersection; there is discrete density (but not as obvious as those further up the slope indicated by the 1032 kgm⁻³ contour), there is upslope flow of around 3.0 cms⁻¹ with return downslope flow above, and a consequent positive vorticity cap with less clear negative vorticity
below. This is typical of the model bolus structure below the pycnocline-slope
intersection – and particularly so for the emergence of subsequent boluses after
the first one – in that the bolus signatures tend to be less distinct.

Nevertheless, by identifying each new bolus in terms of its density, upslope 376 flow, and vorticity, it is possible to build up a picture of their history from close 377 to initial wave breaking until they are well up the slope. An example of such a 378 time history is plotted in Fig. 7 for model experiment 2 showing the evolution 379 of four boluses moving upslope, the first identified with crosses, the second 380 squares, the third and fourth triangles and diamonds, respectively. Each bolus 381 trajectory is plotted in terms of its position relative to the pycnocline-slope 382 intersection $(x/X_{BP}=0)$ as a function of time. The character of these trajec-383 tories compare well with those described and plotted by Helfrich (1992) for his 384 Figure 8, in particular the fact that each bolus is generated for $x/X_{BP} < 0$ (the 385 horizontal dashed line), that subsequent boluses first appear further up the 386 slope than their predecessors, and that boluses can be overtaken and absorbed 387 by boluses following on from behind. 388

389 Insert Figure 7 here

In summary, bolus identification in these two dimensional model runs can be done using density (relative to local background values), zonal velocity, and/or vorticity signatures. Bolus identification below the undisturbed pycnoclineslope intersection is undoubtedly messy, especially for any subsequent boluses, but by tracking backwards from where they emerge identification can be made. These techniques have been used to compile the bolus statistics for the model experiments in this paper.

397 3.3 First Bolus Evolution

Typical evolution of the first bolus from close to its emergence until propaga-398 tion well upslope is illustrated in Figs. 8 and 9, again for model experiment 2 390 in Table 1. Figure 8 shows contours of density, while Figure 9 combines con-400 tour lines of vorticity with velocity vectors (the latter at a relatively coarser 401 level of resolution of 6 for clarity) to show the circulation. In each figure the 402 frames (a), (b), (c), and (d) are at times 22.5, 27.0, 30.0, and 37.5 seconds 403 into the run, respectively. The size of each frame is proportional to the height 404 of the bolus at that time (with the bolus heights detailed in the Fig. 8 cap-405 tion for reference). Frames (a) and (b) are for bolus positions downslope of 406 the undisturbed pycnocline-slope intersection, while frames (c) and (d) are 407 upslope. 408

⁴⁰⁹ Insert Figure 8 here Insert Figure 9 here

The initial model bolus tends to have three elements clearly delineated in 410 the density and flow fields. This overall structure is contained by the strong 411 downslope flow ahead of the bolus that separates at its front, then passes 412 over the top of the bolus, with re-circulation at the back of the bolus. The 413 three bolus elements comprise two counter-clockwise rotating cells (at the 414 back and front), with a clockwise rotating cell between them. Note that the 415 velocity vectors have been thinned for clarity. However, the vorticity contours 416 are based on the full resolution, and the tightening of contours close to the 417 slope show the presence of boundary layers. 418

⁴¹⁹ Dense upslope flowing fluid is drawn into the back of the bolus, and entrains ⁴²⁰ with lighter fluid being circulated around the left-most vortex. This fluid then ⁴²¹ circulates and mixes within the core of the bolus. Note that this mixing process ⁴²² continues to dilute the density within the bolus as it propagates upslope, such ⁴²³ that the maximum density in frame (a) of 1047.0 kgm⁻³ steadily reduces to ⁴²⁴ 1017.0 kgm⁻³ by frame (d) in Fig. 8.

A characteristic bolus height and base length can be obtained from the evolv-425 ing bolus structure. The height is the maximum distance from the slope up to 426 where the down slope flow vectors intersect the density contours at the bolus 427 top, locating the transition between the core bolus and background densities. 428 As this density difference reduces as the bolus propagates upslope, the transi-429 tion sharpness declines, but the bolus top is still indentifiable via flow vectors. 430 Similarly, bolus base length can be defined in terms of the distance between 431 the upward flow at the bolus leading nose to downward flow at the bolus rear 432 edge. Again, these locations can be correlated with density gradients to iden-433 tify the bounds of a single bolus. The solid lines on frames (b) in Figs. 8 and 9 434 illustrate these definitions. It is clear that the overall dimensions of the bolus 435 change, with general shrinkage in both height (going from $0.03 \,\mathrm{m}$ in frame (a) 436 to 0.0144 m by frame (d)) and base length (from 0.05 m in (a) to 0.03 m in 437 (d)) as the bolus progresses upslope. 438

It is also clear that the bolus three cell structure changes as it moves upslope. 439 It would seem that the cell at the front of the bolus shrinks (most evidently in 440 density), and that the left-most vortex seems to extend over the middle vortex 441 such that at the later times the typical cap structure in vorticity is obtained. 442 This is perhaps in response to the change in flow field. Note that in frames (a) 443 and (b) in Fig. 9 the velocity vectors show that there is downslope flow into 444 the nose of the bolus. However, in frames (c) and (d), where the bolus is now 445 moving beyond the undisturbed pycnocline-slope intersection, this downslope 446 flow disappears to be replaced by upslope flow. The bolus structure at these 447 later times is consistent with that seen in other models (e.g. Härtel et al (2000) 448 and Venayagamoorthy and Fringer (2007)), and similar to gravity current head 449 structures described by Simpson (1972, 1997). 450

451 3.4 Model bolus validation

The bolus properties examined by Helfrich (1992) in his experiments for a parameter space dependent on the initial densities, pycnocline depths, and slope angle, can be diagnosed from Gerris to provide for a more quantitative evaluation of the model. While particular experiments of Helfrich (1992) are not modelled directly, the observational space in terms of the parameter λ will be explored, where

$$\lambda = (kL)^{-1}, \qquad k^{-1} = \sqrt{\frac{4(d_+d_-)^2}{3(d_+ - d_-)a_0}},\tag{6}$$

where L, d_+ , and d_- are defined in Fig. 1, and a_0 is the maximum displacement of the incoming wave. All of the model experiments in Tables 1 and 2 will be used and plotted against their reference label, i.e., 1-4 and a-i. Note that experiments 1-4 and a-d are parallel in every regard except the initial depth of the pycnocline; this then changes the respective value of λ .

Figure 10 plots breaking location in terms of a_0/d_{BP} as a function of λ for 457 comparison with Helfrich's (1992) Figure 6. Both show a tendency for an 458 increase of a_0/d_{BP} as λ decreases. For the larger range of λ used here, the 459 model suggests that the breaking location actually saturates as λ increases. 460 The model values for a_0/d_{BP} are typically 0.2 or so higher than those found 461 in the experiments. As described earlier, identifying the break point in the 462 model is difficult due to the lack of an initial patch of instability seen in the 463 experiments. 464

465 Insert Figure 10 here

The number of boluses in each experiment as a function of λ is shown in Fig. 466 11 for comparison with Helfrich's (1992) Figure 9. The model captures the 467 dependence with λ well, showing a typical increase in the number of boluses 468 as λ decreases. Furthermore, the multiple-bolus characteristics shown in Fig. 469 7 fit that seen and discussed by Helfrich (1992) with respect to his Figure 8. 470 Note that the model results do not suggest an absolute scaling with respect 471 to λ . Rather, for each sequence of "similar" experiments, i.e., 1-4, a-d, e-i, the 472 scaling with λ indeed holds, but the number of boluses for a given λ can vary. 473

474 Insert Figure 11 here

The initial height of the first bolus in Fig. 12 compares favourably with Helfrich's (1992) result in his Figure 10, both covering the range 1 to 2 over the values of λ sampled. The agreement is relatively good given the uncertainties in estimating the time and then the actual first bolus height (see the definitions and error estimates quoted by Helfrich).

480 Insert Figure 12 here

Frames (a)-(c) in Fig. 13 show the first bolus aspect ratios (H/l_b) as a function 481 of distance for the model experiments for comparison with Figure 11 in Helfrich 482 (1992). The major consistent difference across the models is their tendency to 483 have the aspect ratio somewhat larger than observed; Helfrich shows values 484 in the range 0.2 to 0.4 (given his estimated uncertainties), whereas the model 485 aspect ratios are typically in the range 0.4 to 0.8. Helfrich also notes a tendency 486 for the aspect ratio to increase with distance; this general tendency is not so 487 apparent in the model, but there are certainly individual instances where this 488 does occur. 489

490 Insert Figure 13 here

The decay of the first bolus height with distance is shown in Fig. 13(d)-(f) for comparison with Helfrich's (1992) Figure 12. The near linear decay observed by Helfrich is reproduced by nearly all of the model experiments. In some of the model experiments (particularly in Fig. 13(f)) the bolus height is seen to increase at early times in the bolus evolution; again uncertainties in timing of measurements between the observations and models may contribute to these differences.

498 3.5 Energy Reflectance

As a final consideration, comparisons with energy reflectance results from 490 Bourgault and Kelley (2007) are made, specifically their free slip sidewall re-500 sults shown in their Figure 6. Bourgault and Kelley (2007) covered all of the 501 Michallet and Ivey (1999) experiments in compiling their results. In this study 502 we have focussed on specific experiments labelled e-i in Table 2 corresponding 503 to experiments 4, 8, 12, 7, and 13, respectively, from Table 1 in Michaelt and 504 Ivey (1999); results from the latter are reproduced in Table 3. The Gerris wave 505 amplitudes (a_0) in Table 2 match fairly well, although they tend to typically be 506 a few percent less than measured. The comparison for the characteristic length 507 L_w shows that the model responded a little more erratically, with slight over-508 estimates for shorter L_w but with relatively larger underestimates for longer 509 L_w (experiments h and i). 510

For direct comparison with the model results of Bourgault and Kelley (2007), the energy reflectance "R" was diagnosed, where

$$R = E_R / E_0, \tag{7}$$

where E_0 and E_R (as defined by equations 7 and 8 in Bourgault and Kelley (2007)) are the energy fluxes measured at the base of the slope for the initial

incoming and first reflected waves, respectively. The reflectance provides an important measure of the likely amount of mixing induced by the breaking of these waves, and scaling their behaviour for parameterisation in larger and climate scale models remains relevant. To validate their model, Bourgault and Kelley (2007) compared the dependence of their model R in terms of the Iribarren number (Iribarren and Nogales (1949) ξ where,

$$\xi = s/\sqrt{(a_0/L_w)},\tag{8}$$

where s is the slope, for the Michallet and Ivey (1999) experiments. Bourgault and Kelley (2007) found their free slip sidewall model R values are typically 0.1 larger than the equivalent experimental values. They showed that by including no slip sidewalls close agreement with the laboratory experiments could be obtained. In terms of the free slip sidewall runs, Bourgault and Kelley (2007) performed a curve fit to their results and obtained a parameterisation of the form,

$$R = 1 - e^{-\xi/\xi_0},\tag{9}$$

s11 where a least squares fit returns $\xi_0 = 0.78 \pm 0.02$.

The results from the Gerris experiments are summarised in Figure 14 showing R as a function of ξ .

514 Insert Figure 14 here

The Gerris results for R show that experiments e, f, g, and h have reflectance estimates close to those of Bourgault and Kelley (2007) (the bold curve), and with a similar dependence on ξ . The only anomaly is experiment i, which falls closer to the Michallet and Ivey (1999) R value.

For comparison, Fig. 15 plots the individual energy fluxes as a function of time 519 used to calculate the reflectances for model experiments e to i. The bold curve 520 for experiment f in Fig. 15(b) compares favourably with that from Figure 3 in 521 Bourgault and Kelley (2007), and indeed the value obtained for R is close to 522 that found in their model. Note that the character of each energy flux curve 523 changes with slope, the density jump $\Delta \rho$, and the depth of the pycnocline z_i , 524 so that the incoming energy flux and first return pulse can be relatively close 525 or widely separated in time from each other. 526

527 Insert Figure 15 here

It is encouraging that the tendency for increasing R with ξ is reproduced by Gerris and generally in close agreement with Bourgault and Kelley (2007) model results. The only outlier is experiment i. In terms of differences, it is clear that the time history of energy flux for experiment i has quite a narrow time separation between the incoming and first return pulse relative to the other experiments. Experiment i also has a significantly larger value of λ (equation 6) than all the other experiments (see Tables 1 and 2). It is clear, however, that further runs will be needed to cover the full range of Michallet and Ivey (1999) experiments in order to assess and understand how anomalous the experiment i reflectance result is.

538 4 Conclusion

Multi-scale, non-hydrostatic simulations of geophysical flows represent a sig-539 nificant computational challenge. In this paper the potential of an adaptive (in 540 space and time) model, Gerris, is explored, in terms of validation against lab-541 oratory scale experiments, and against other models benchmarked in a similar 542 fashion. Gerris combines a tree-based structure with a finite-volume discretisa-543 tion for efficient adaptivity, thereby allowing for local refinement over fine scale 544 features without the need for excessive refinement over less dynamic parts of 545 the domain. The ability of Gerris to handle largely horizontal geophysical pro-546 cesses was demonstrated in Popinet and Rickard (2007). This paper extends 547 that work by looking in more detail at vertical processes. 548

The flexible grid structure of Gerris allows for selective refinement in the model 549 domain. Here this property is exploited to allow for increased refinement along 550 the sloping boundary to better resolve evolving boundary layers. For most of 551 the runs in this paper this spatially non-uniform grid is fixed in time (for 552 our so-called "standard runs") in order to be able to span the parameter 553 space of interest, and to allow comparison with previously published model 554 output. Similarly, the diffusivity and viscosity coefficients have been restricted 555 for comparison purposes. All the runs in this paper were done on single CPU 556 workstations, but note that Gerris is fully parallelised. 557

For the adaptive runs, efficiency can be measured by comparison with a version comprising constant resolution equal to the finest resolution of the adaptive run. By this measure the adaptive run requires some 10 to 50 times less cpu time, and up to 22 to 200 times less memory (dependent on maximum allowed resolution) than the constant resolution run for the breaking waves. This gain is clearly problem dependent, as it will be proportional to the volume fraction in which the finest scales arise.

The general dynamical features of internal solitary waves breaking on uniform slopes reported by Helfrich (1992) and Michallet and Ivey (1999) are reproduced by Gerris, especially given the experimental uncertainties, and the fact that the model simulations are two-dimensional and use a rigid lid rather than a free surface as a top boundary condition. The absence of turbulent generation at the time of wave breaking in the models has been attributed to the lack of a third dimension (and so will require future simulations). Nevertheless, the overall correspondence between the model and observations suggests that the major energy conversion processes involved in wave breaking leading to bolus generation can be largely captured in two dimensions. Some of the detailed diagnostics show that the model gets the general time evolution correct, but that amplitudes can be wrong (for instance, in terms of the bolus aspect ratio).

For the tests in this paper we have limited ourselves to two dimensions, and 577 so have not further explored the issues of side wall interactions considered by 578 Bourgault and Kelley (2007). The latter find that they need to introduce side 579 wall friction effects into their model in order to reproduce the experimentally 580 obtained energy reflectance values of Michaelt and Ivey (1999). Furthermore, 581 Bourgault and Kelley (2007) find that the Helfrich (1992) reflectance values 582 are 0.1 to 0.4 smaller again than Michallet and Ivey (1999); the reasons for 583 this are unclear, but Bourgault and Kelley (2007) suggest that again side-584 wall effects have an influence, as well as the different Helfrich (1992) tank 585 geometry. Given these uncertainties should we expect to be able to reproduce 586 the Helfrich (1992) bolus evolution results with our present numerical exper-587 iments? Perhaps not, but the similarities between the model and experiment 588 suggest that perhaps the lateral effects are not dominating the wave breaking 589 process; nevertheless, more complete answers will have to wait for fully three 590 dimensional simulations. 591

Aspects of bolus evolution are revealed by the model. The boluses are gener-592 ated below the undisturbed pycnocline-slope intersection, and while the bolus 593 is on this part of the slope, the model suggests a three cell structure to the 594 bolus in which denser up-slope flowing material is mixed into the bolus in-595 terior with the lighter down-slope flowing fluid. As the bolus moves upslope 596 toward the undisturbed pycnocline-slope intersection and beyond it, the bolus 597 changes from three to two cells, eventually forming a structure similar to typ-598 ical gravity current heads. This bolus evolution is consistent across the range 599 of model parameters explored. 600

In terms of Gerris as a geophysical tool, it is encouraging that Gerris performs 601 well in comparison to other non-hydrostatic geophysical models such as that of 602 Bourgault and Kelley (2004, 2007), and the Massachusetts Institute of Tech-603 nology general circulation model (Marshall et al., 1997) and the Bergen Ocean 604 Model (Berntsen (2000)) which are both discussed in Berntsen et al (2006) in 605 terms of wave breaking tests. Adaptivity is presently enabled by collocation of 606 prognostic variables, making the Gerris dynamical core unusual with regard to 607 other geophysical models. The tests in this paper and previously (Popinet and 608 Rickard (2007)) continue to suggest that Gerris and its adaptivity represents 609 an efficient alternative to simulate multi-scale, non-hydrostatic flows. 610

⁶¹¹ With isotropic adaptivity, there will be no vertical stacked layers in general. ⁶¹² Unresolved processes such as vertical mixing, convection etc are parameterised ⁶¹³ locally down each vertical column in many global scale models. The loss of ⁶¹⁴ this vertical structure means parameterisation amendment will be required ⁶¹⁵ in order to convert purely vertical schemes to more general forms. Further, ⁶¹⁶ selection rules will be needed to separate when and where the adaptive model ⁶¹⁷ is actually resolving or not these small scale processes. The challenge will be ⁶¹⁸ to efficiently incorporate such parameterisations without endangering other ⁶¹⁹ adaptivity gains (as discussed by Pain et al (2005) for unstructured models).

Whether adaptivity coupled with a pressure solver for non-hydrostatic flows 620 can maximise the available computational resources needs further study. A 621 stumbling block is likely to be the convergence properties of the pressure 622 solver in the presence of excessive grid aspect ratios, since typical geophysical 623 systems have horizontal scales much larger than in the vertical. This issue 624 has recently been discussed by Matsumura and Hasumi (2008) who suggest 625 that there are potential acceleration techniques that can make non-hydrostatic 626 multi-grid Poisson inversions competitive with their hydrostatic equivalents; 627 this provides further encouragement as a multi-grid pressure solver is integral 628 to Gerris. 629

In this paper, density is treated as the only independent tracer. Future tests 630 with Gerris will need to examine more closely an oceanic equation of state 631 linking potential temperature and salinity tracers to the in-situ density. Fur-632 thermore, as the model is applied to larger geophysical systems, the potential 633 for inaccuracies in the discretisation of the horizontal hydrostatic pressure 634 gradient (especially across a grid that is adapting in the vertical) may exist 635 and will need to be quantified. In the present laboratory scale experiments 636 the influence of such errors is small; maintaining accuracy will become more 637 critical for larger systems. 638

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644 Appendix

645 A Numerical discretisation of adaptive model

The details of the numerical scheme are described in Popinet (2003) and we will only give a summary of the overall procedure.

648 A.1 Temporal discretisation

A staggered in time discretisation of the density and pressure leads to the following formally second-order accurate time discretisation of the momentum, continuity, and density equations (1), (2) and (3), respectively,

$$\frac{\boldsymbol{U}_{n+1} - \boldsymbol{U}_n}{\Delta t} + \boldsymbol{U}_{n+\frac{1}{2}} \cdot \boldsymbol{\nabla} \boldsymbol{U}_{n+\frac{1}{2}} = -\frac{1}{\rho_0} \boldsymbol{\nabla} p_{n+\frac{1}{2}} - \frac{\rho'_{n+\frac{1}{2}}}{\rho_0} \boldsymbol{g} + \frac{\nu}{2} \boldsymbol{\nabla}^2 \left(\boldsymbol{U}_n + \boldsymbol{U}_{n+1} \right),$$
(A.1)

$$\frac{\rho_{n+\frac{1}{2}} - \rho_{n-\frac{1}{2}}}{\Delta t} + \boldsymbol{\nabla} \cdot (\rho_n' \boldsymbol{U}_n) = 0, \qquad (A.2)$$

$$\boldsymbol{\nabla} \cdot \boldsymbol{U}_n = 0. \tag{A.3}$$

This system is further simplified using a classical time-splitting projection method (Chorin, 1968) to give,

$$\frac{\boldsymbol{U}_{\star} - \boldsymbol{U}_{n}}{\Delta t} + \boldsymbol{U}_{n+\frac{1}{2}} \cdot \boldsymbol{\nabla} \boldsymbol{U}_{n+\frac{1}{2}} = -\frac{\rho_{n+\frac{1}{2}}}{\rho_{0}}\boldsymbol{g} + \frac{\nu}{2}\boldsymbol{\nabla}^{2}\left(\boldsymbol{U}_{n} + \boldsymbol{U}_{\star}\right), \qquad (A.4)$$

$$\frac{\rho_{n+\frac{1}{2}}' - \rho_{n-\frac{1}{2}}'}{\Delta t} + \boldsymbol{\nabla} \cdot (\rho_n' \boldsymbol{U}_n) = 0, \qquad (A.5)$$

$$\boldsymbol{U}_{n+1} = \boldsymbol{U}_{\star} - \frac{\Delta t}{\rho_0} \boldsymbol{\nabla} p_{n+\frac{1}{2}}, \qquad (A.6)$$

$$\boldsymbol{\nabla} \cdot \boldsymbol{U}_{n+1} = 0, \tag{A.7}$$

which requires the solution of the Poisson equation

$$\boldsymbol{\nabla} \cdot \left[\frac{\Delta t}{\rho_0} \boldsymbol{\nabla} p_{n+\frac{1}{2}} \right] = \boldsymbol{\nabla} \cdot \boldsymbol{U}_{\star} \tag{A.8}$$

This equation is solved efficiently using the quad/octree-based multilevel solverdescribed in detail in Popinet (2003).

The discretised momentum equation (A.4) can be re-organised as

$$\frac{1}{\Delta t}\boldsymbol{U}_{\star} - \frac{\nu}{2}\nabla^{2}\boldsymbol{U}_{\star} = \frac{\nu}{2}\nabla^{2}\boldsymbol{U}_{n} - \frac{\rho_{n+\frac{1}{2}}^{\prime}}{\rho_{0}}\boldsymbol{g} + \frac{1}{\Delta t}\boldsymbol{U}_{n} - \boldsymbol{U}_{n+\frac{1}{2}} \cdot \boldsymbol{\nabla}\boldsymbol{U}_{n+\frac{1}{2}}, \quad (A.9)$$

where the right-hand-side depends only on values at time n and n + 1/2. This Helmholtz-type equation for U_{\star} can be solved efficiently using a variant of the multilevel Poisson solver. The resulting Crank–Nicolson discretisation of the viscous terms is formally second-order accurate and unconditionally stable.

The velocity advection term $U_{n+\frac{1}{2}} \cdot \nabla U_{n+\frac{1}{2}}$ and the density advection term $\nabla \cdot (\rho'_n U_n)$ are estimated using the Bell–Colella–Glaz second-order unsplit upwind scheme (Bell et al 1989, Popinet 2003). This scheme is stable for CFL numbers smaller than one.

659 A.2 Spatial discretisation

Space is discretised using a graded quadtree partitioning (octree in three dimensions). Second order accuracy is maintained in spatial operators, including all boundaries. We refer the reader to Popinet (2003) and references therein for a more detailed presentation of this data structure.

All the variables (components of the momentum, pressure and passive tracers) 664 are collocated at the centre of each square in 2D (resp. cubic in 3D) discreti-665 sation volume. Consistent with a finite-volume formulation, the variables are 666 interpreted as the volume-averaged values for the corresponding discretisation 667 volume. The choice of a collocated definition of all variables makes momentum 668 conservation simpler when dealing with mesh adaptation (Popinet, 2003). It 669 is also a necessary choice in order to use the Godunov momentum advection 670 scheme of Bell, Colella and Glaz (Bell et al 1989), and it simplifies the imple-671 mentation of the Crank–Nicolson discretisation of the viscous terms; however 672 one has to be careful to avoid the classic problem of decoupling of the pressure 673 and velocity field. 674

To do so, an approximate projection method (Almgren et al 2000, Popinet 675 2003) is used for the spatial discretisation of the pressure correction equa-676 tion (A.6) and the associated divergence in the Poisson equation (A.8). In a 677 first step the auxiliary cell-centred velocity field U^c_{\star} is computed using equa-678 tion (A.9). An auxiliary face-centred velocity field U^{f}_{+} is then computed using 679 averaging of the cell-centred values on all the faces of the Cartesian discreti-680 sation volumes. When faces are at the boundary between different levels of 681 refinement of the quad/octree mesh, averaging is performed so as to guar-682 antee consistency of the corresponding volume fluxes (see Popinet (2003) for 683 details). 684

The divergence of the auxiliary velocity field appearing on the right-hand-side of equation (A.8) is then computed for each control volume as the finite-volume

approximation

$$\boldsymbol{\nabla} \cdot \boldsymbol{U}_{\star} = \frac{1}{\Delta} \sum_{f} \boldsymbol{U}_{\star}^{f} \cdot \boldsymbol{n}^{f}, \qquad (A.10)$$

with n^f the unit normal vector to the face and Δ the length scale of the control volume.

After solving equation (A.8), the pressure correction is applied to the facecentred auxiliary field

$$\boldsymbol{U}_{n+1}^{f} = \boldsymbol{U}_{\star}^{f} - \frac{\Delta t}{\rho_{0}} \boldsymbol{\nabla}^{f} p_{n+\frac{1}{2}}, \qquad (A.11)$$

where ∇^{f} is a simple face-centred gradient operator (consistent at coarse/fine volume boundaries, see section 4.1 of Popinet 2003). The resulting face-centred velocity field \boldsymbol{U}_{n+1}^{f} is exactly non-divergent by construction. This velocity field is used to compute the momentum and density advection terms $\boldsymbol{U}_{n+\frac{1}{2}} \cdot \nabla \boldsymbol{U}_{n+\frac{1}{2}}$ and $\nabla \cdot (\rho'_{n} \boldsymbol{U}_{n})$ so that the resulting scheme conserves mass and momentum exactly.

The cell-centred velocity field at time n + 1 is obtained by applying a cellcentred pressure correction

$$\boldsymbol{U}_{n+1}^{c} = \boldsymbol{U}_{\star}^{c} - \left| \frac{\Delta t}{\rho_{0}} \boldsymbol{\nabla}^{f} \boldsymbol{p}_{n+\frac{1}{2}} \right|^{c}, \qquad (A.12)$$

where the $||^c$ operator denotes averaging over all the faces delimiting the control volume. The resulting cell-centred velocity field U_{n+1}^c is approximately divergence-free.

696 A.3 Representation of solid boundaries

Solid boundaries are represented using the *Cartesian cut-cell* scheme described in Popinet (2003). This scheme uses surface- and volume- fluid fractions to obtain a spatially second-order accurate representation of the solid boundaries irrespective of their orientation relative to the underlying Cartesian discretisation. This scheme has been shown in Popinet (2003), and Popinet and Rickard (2007) to compare very favourably with the simpler first-order accurate *masking* commonly used in Cartesian ocean models.

704 A.4 Adaptivity

The overall scheme allows for space and time-varying spatial resolution. To simplify the implementation the sizes of neighbouring cells can not vary by ⁷⁰⁷ more than a factor of two (this is sometimes referred to as *restricted or graded* ⁷⁰⁸ quad/octree).

One of the advantages of the quad/octree disctretisation is that mesh refinement or coarsening are cheap and can be performed at every timestep if necessary with a minimal impact on overall performance (usually less than 1% of computation time). Interpolation of quantities on newly refined or coarsened cells is also relatively simple and is done conservatively both for momentum and density.

Several refinement criteria can be used simultaneously depending on the prob lem. Combinations of the following criteria have been used in this article:

Vorticity

$$\frac{|\boldsymbol{\nabla} \times \boldsymbol{U}|\Delta}{\max(|\boldsymbol{U}|)} < \epsilon, \tag{A.13}$$

with Δ the mesh size and ϵ a user-defined threshold. This criterion will ensure that a finer resolution is used in areas of high vorticity. The threshold parameter ϵ can be interpreted as the maximum angular deviation from a straight path – due to the local vorticity $\nabla \times U$ – of a massless particle travelling at speed max(|U|) across a cell of size Δ . The threshold ϵ is usually set to a small value, typically 10^{-2} .

Gradient

$$|\boldsymbol{\nabla}c|\Delta < \epsilon, \tag{A.14}$$

with c a field variable. Note that the value of ϵ can be chosen differently if required for each adaptative criteria.

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802 LIST OF FIGURES

- (1) Experimental and model set-up. Horizontal dashed line is initial undisturbed centre of the pycnocline, with solid line illustrating initial conditions for model perturbed pycnocline. Slope gradient is "s", such that $s = H/L_S = d_-/L$. For reference, horizontal distance from slope bottom to left hand domain edge is L_R .
- (2) Grid layout on slope for standard run (see text). Largest squares show
 volumes associated with coarsest grid at level of resolution of 7. As the
 boundary set here by the slope (the solid line) is approached, the resolution is increased by halving the grid size until the maximum resolution
 (here level 9) is reached. Gerris is finite volume, and accounts for cell
 changes in volume where cut by the boundary in order to return the
 correct boundary fluxes.
- (3) Density contours at time 7.5 s for viscosity and diffusivity values of (a) 6.75×10^{-5} , (b) 1.0×10^{-6} , (c) 1.5×10^{-7} , and (d) $1.5 \times 10^{-8} \text{ m}^2 \text{s}^{-1}$ for model experiment "d" in Table 1 in the (x, z)-plane. The height of each frame is 0.075 m.
- (4) Density contours at time 7.5 s for (a) standard run (see text), (b) adapting
 to a maximum level of resolution of 12, and (c) frame(b) frame(a) in
 the (x, z)-plane. The height of each frame is 0.075 m.
- (5) Contours of resolution levels for frame (b) in Fig. 4 from lowest resolution
 at level 7 to finest resolution at level 12.
- (6) Contours at time 37.5 s for model experiment 2 in Table 1 of (a) density, (b) x component of velocity, and (c) vorticity in the (x,z)-plane, with contour intervals of (a) 4.0kgm^{-3} , (b) 0.01ms^{-1} , and (c) 4.67s^{-1} . The height of each frame is 0.072 m. Vertical scale is four times the horizontal scale for clarity. Vertical lines locate root cells at horizontal spacing of 0.15 m, and horizontal line inside model domain locates initial undisturbed pycnocline.
- (7) Multiple bolus trajectories plotted in terms of its position relative to the pycnocline-slope intersection $(x/X_{BP} = 0)$ as a function of time for model experiment 2 (see Table 1). Four boluses are shown moving upslope, the first identified with crosses, the second squares, the third and fourth triangles and diamonds, respectively. Horizontal dashed line locates horizontal position of undisturbed pycnocline-slope intersection.
- (8) Density contours for first bolus for model experiment 2 in Table 1 at (times (seconds), normalised positions x/X_{BP}) (a) (22.5, -0.28), (b) (27.0, -0.07), (c) (30.0, 0.06), and (d) (37.5, 0.31), respectively. Bolus trajectory shown as crosses in Figure 7. Contour interval for density in kgm⁻³ for frames (a,b) is 4.0, for frame (c) is 3.0, and for (d) is 2.0. Maximum

- (9) Vorticity contours and velocity vectors for first bolus for model experiment 2 in Table 1. Velocity vectors scaled proportional to the maximum speed in each frame, and the contour interval for vorticity is $5.0s^{-1}$. All other details for frames (a)-(d) are the same as in the caption for Fig. 8. Bold lines on frame (b) indicate bolus height and length.
- (10) Breaking location (criterion) as a function of λ . Characters identify individual experiments 1 to 4 and a to i in Tables 1 and 2.
- ⁸⁵³ (11) Number of boluses in a breaking event as a function of λ . Characters ⁸⁵⁴ identify individual experiments 1 to 4 and a to i in Tables 1 and 2.
- (12) Initial height of first bolus scaled by a_0 (maximum displacement of the interface) as a function of λ . Characters identify individual experiments 1 to 4 and a to i in Tables 1 and 2.
- (13) First bolus aspect ratio H/l_B (frames (a)-(c)) and first bolus height (frames (d)-(f)) as a function of upslope distance for model experiments as labelled in Tables 1 and 2. Dashed line in frames (d)-(f) indicates linear decay of bolus height with distance.
- (14) Reflection coefficient R against Iribarren number ξ for the dots (model) and Michallet and Ivey (1999) experiments (crosses). Size of boxes and crosses at each point indicate estimated error bounds. The bold curve is the best fit to model by Bourgault and Kelley (2007) for the free slip sidewalls.
- ⁸⁶⁷ (15) Energy flux (W/m) against time (seconds) for model experiments (e) to ⁸⁶⁸ (i) in Table 2.

Table 1

Initial conditions for eight test model experiments. For each of these model experiments H = 0.15 m, $L_R = 0.05$ m (see Figure 1), $\rho_0 = 1000 \, kgm^{-3}$, and $a_i = 0.027$ m, $\Delta \rho = 47.0 \, kgm^{-3}$, $\Delta h = 0.0035m$, $d_+ = z_i$, and $d_- = 0.15 - z_i$ (see equations 4 and 5). Pairs of experiments differ only in their initial z_i value, which changes subsequent a_0 and λ model values.

Model Label	slope	z_i (m)	$a_0 \mod (\mathrm{cm})$	λ model
1,a	0.034	0.05, 0.024	2.7, 2.6	0.053, 0.018
2,b	0.05	0.05, 0.024	2.8, 2.7	0.077, 0.026
3,c	0.128	0.05, 0.024	2.8, 2.6	0.198, 0.083
4,d	0.217	0.05, 0.024	3.0, 2.9	0.32, 0.12

Table 2

(see Figure 1), $p_0 = 10$	100 k y 111	, anu ∠	$\mathbf{z}p$ is used in eq	juation 4.				
Model Label (Expt)	slope	z_i (m)	$\Delta \rho \; (\mathrm{kgm}^{-3})$	$a_0 (\mathrm{cm})$	L_w (cm)	ξ	λ	
e (4)	0.069	0.033	20.0	2.8	31.0	0.23	0.054	
f (8)	0.169	0.0255	40.0	2.6	28.0	0.55	0.098	
g (12)	0.214	0.024	12.0	2.7	24.0	0.64	0.113	
h (7)	0.169	0.0495	40.0	2.0	41.0	0.77	0.3	
i (13)	0.214	0.06	44.0	1.8	46.0	1.08	0.64	

Model conditions for five selected experiment numbers (in brackets) from Michallet and Ivey (1999). For each of these model experiments H = 0.15 m, $L_R = 1.0$ m, (see Figure 1), $\rho_0 = 1000 \, kgm^{-3}$, and $\Delta \rho$ is used in equation 4.

Table 3

Expt	$a_0 (\mathrm{cm})$	L_w (cm)	ξ
4	3.0	30.0	0.21 - 0.22
8	2.8	24.0	0.48 - 0.51
12	2.5 - 3.1	24.0	0.58 - 0.67
7	2.0	46.0	0.79 - 0.83
13	1.6 - 2.0	56.0	1.1 - 1.3

Experimental data for five selected experiment numbers from Michallet and Ivey (1999). Variation in experimental Iribaren number ξ is based on range of internal wave amplitudes a_0 , and a 5% error estimate in L_w by Michallet and Ivey (1999).

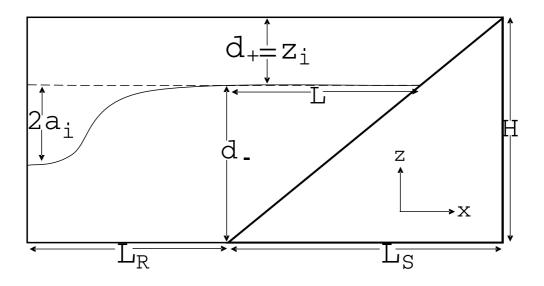


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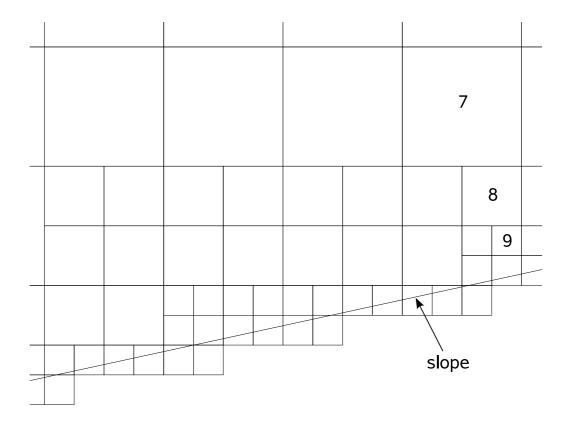


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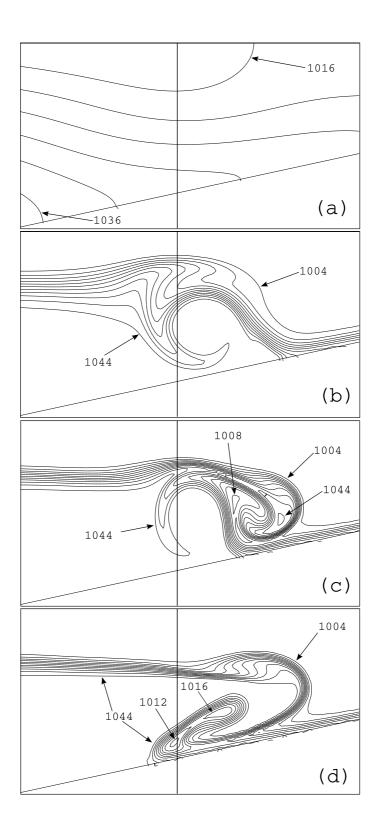


Fig. 3. Density contours at time 7.5 s for viscosity and diffusivity values of (a) 6.75×10^{-5} , (b) 1.0×10^{-6} , (c) 1.5×10^{-7} , and (d) $1.5 \times 10^{-8} \,\mathrm{m^2 s^{-1}}$ for model experiment "d" in Table 1 in the (x, z)-plane. The height of each frame is 0.075 m.

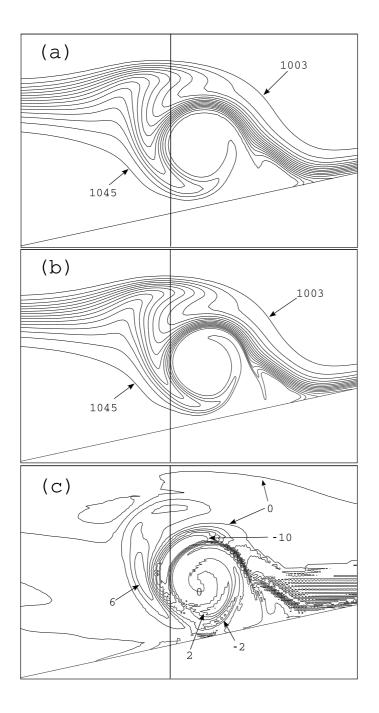


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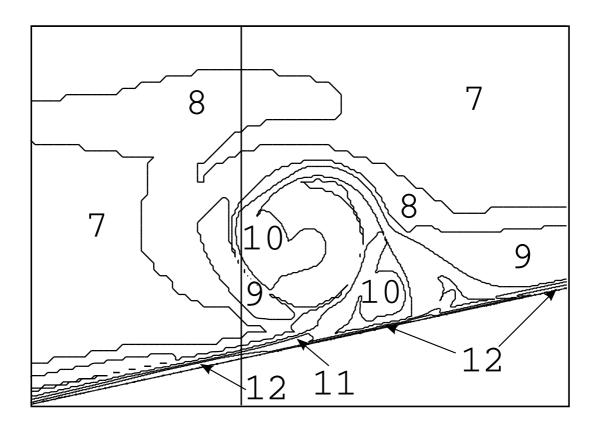


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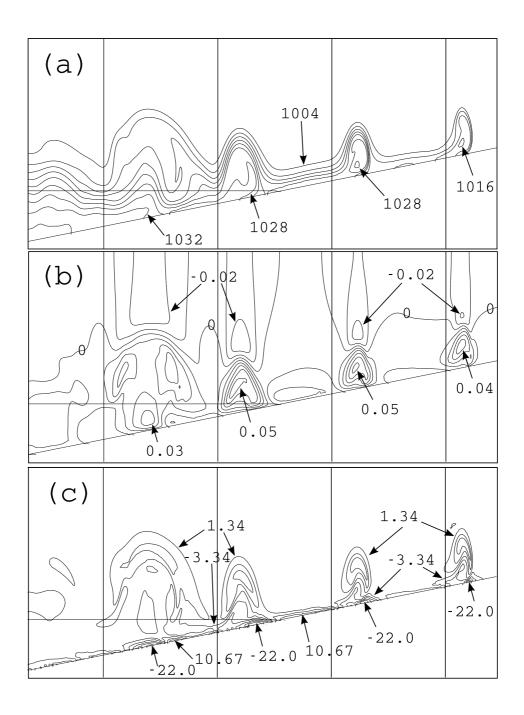


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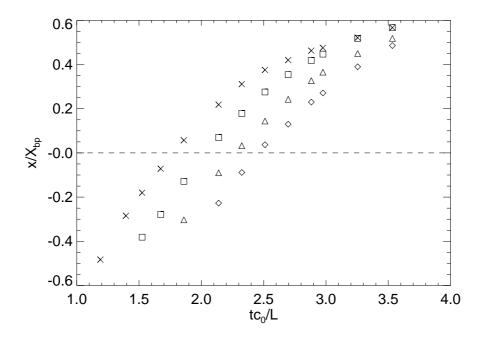


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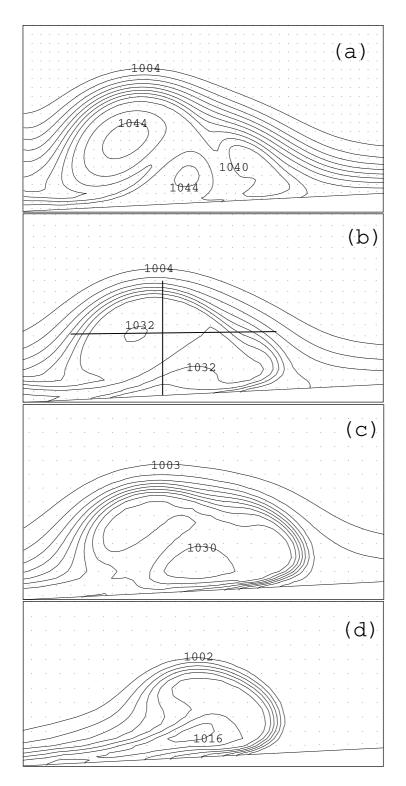


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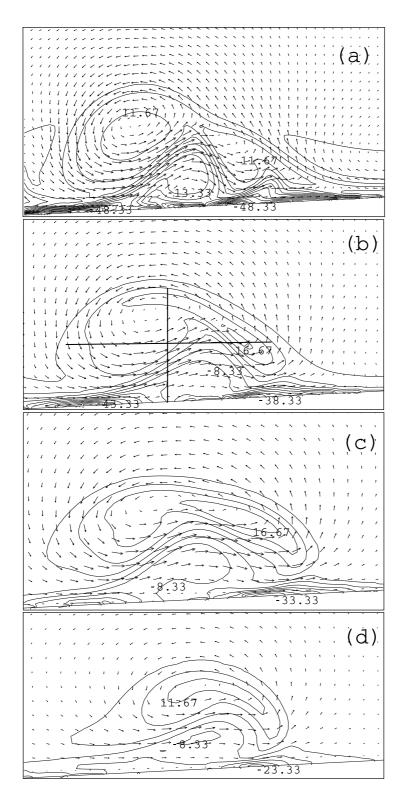


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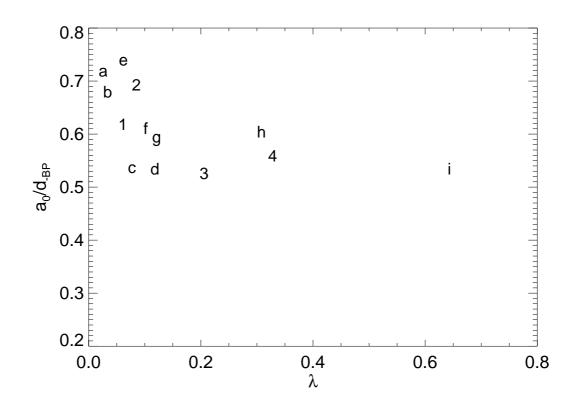


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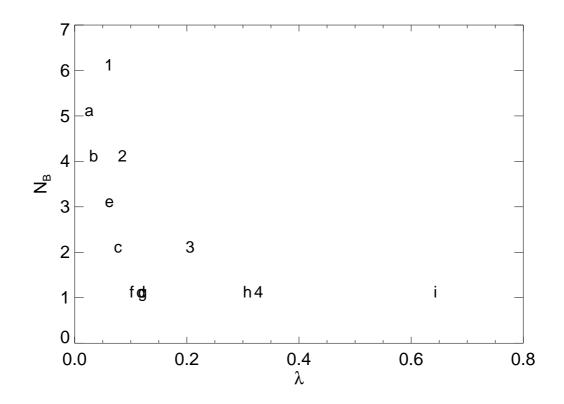


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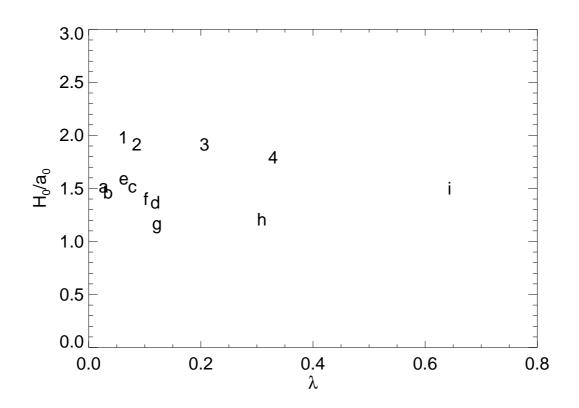


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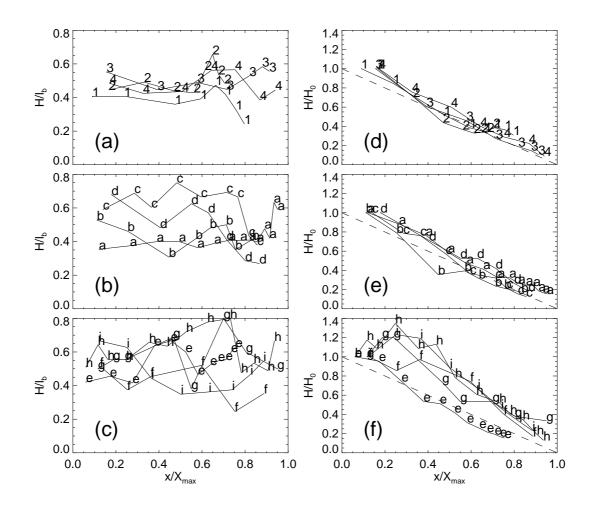


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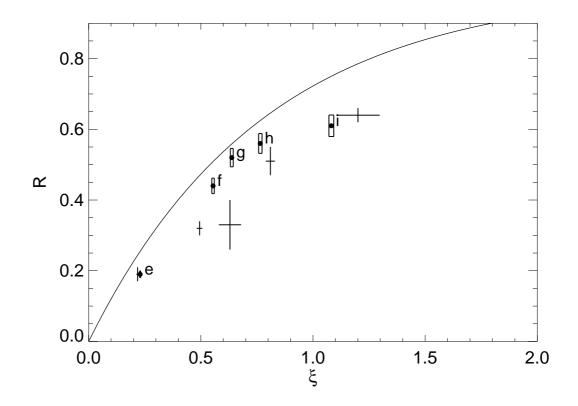


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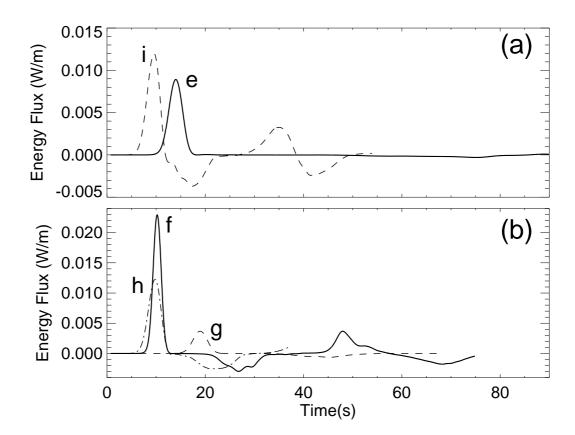


Fig. 15. Energy flux (W/m) against time (seconds) for model experiments (e) to (i) in Table 2.