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**Linking Growth Mode to Lengths of Single-Walled Carbon Nanotubes**

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**Abstract**

Elucidating the key factors that determine the lengths of single-walled carbon nanotubes (SWCNTs) is of great importance for understanding the origin of chiral selectivity. We use transmission electron microscopy to thoroughly investigate as-grown SWCNTs. The lengths and growth modes of SWCNTs were decided by bright-field imaging. Their respective chiral angles were calculated on the basis of nanobeam diffraction patterns. Systematic investigations reveal that there is no correlation between the SWCNT length and its chiral angle. Instead, it shows that SWCNT lengths depend more on their growth mode, i.e. the link between SWCNT and its seeding catalyst particle. Atomistic computer simulations demonstrate that low carbon fractions in the catalyst lead to so-called tangential growth, with a partial wetting of the metal in the tube, where metal catalyst tends to be deactivated by graphite layer encapsulation and results in short SWCNTs. In contrast, a high carbon concentration inside metal particle favors perpendicular growth modes, where only the tube lip is in contact with the catalyst. Catalysts adopting perpendicular mode could have a longer lifetime, thus catalyze the growth of long SWCNTs. Finally, the carbon concentration related growth mode was applied to interpret diverse SWCNT growth results.

**Keywords:** single-walled carbon nanotube, growth kinetics, growth mode, SWCNT length, chirality selectivity

**Introduction**

Searching for chiral selectivity during the chemical vapor deposition (CVD) growth of single-walled carbon nanotubes (SWCNTs) is a much coveted goal that has been partially reached in a number of experiments [1-6]. However, the rationale behind these successful achievements has

never been fully understood, precluding coherent synthesis strategies. So far, two theories have been proposed to interpret the chirality selectivity: one is nucleation control, where SWCNTs with specific chirality nucleate on the catalyst nanoparticles [2, 3, 5], accounting for the controlled growth. The other is SWCNT growth kinetic control [7-9], where SWCNTs with certain structure grow faster and longer than others, leading to their selective growth characterization results. In this context, SWCNTs length, diameter and chirality together with the size of the nanoparticle from which they grow appear as important quantities to investigate, in order to gain a better understanding of SWCNT growth mechanisms.

In order to clarify possible factors that affect SWCNT lengths, a prominent screw-dislocation model has been proposed [7], with the main result that the nanotube growth rate should be proportional to its chiral angle. This model was extended by further taking the nanotube/catalyst interface thermodynamics into account [8]. Based on this approach, SWCNT growth rate and possibly length should be correlated with its chiral angle. However, the finding of a link between SWCNT growth rate/length and chiral angle is at odds with the overall conclusions of some studies [10, 11]. Using *in situ* Raman spectroscopy, Rao *et al.*[10] measured the growth rates of nine individual tubes and found them proportional to their chiral angles, in agreement with the above model [7]. However, recent Raman mapping on SWCNTs with five kinds of chiralities showed no length dependence on tube chiral angle [11].

The discrepancy in experimental findings is likely due to the limited amount of data acquired in the above experiments [10, 11]. In both cases, Raman spectroscopy is used to follow isolated SWCNTs grown on surfaces. As the resonance window of Raman scattering for a

bare-isolated SWCNT is very narrow ( $\sim 10$  meV) [12, 13], for a given laser wavelength, the probability to satisfy the resonant condition for observing an individual SWCNT is less than 1%. Moreover, the relatively low spatial resolution of Raman spectroscopy, which is limited by diffraction to about half the wavelength of the excitation light, challenges researchers to measure tubes which are not very long [11]. Consequently, in order to precisely measure tube lengths and explore the factors that could affect them, we should resort to other more reliable techniques.

In this work, we combine high-resolution transmission electron microscopy (TEM) and nanobeam electron diffraction (ED) to thoroughly characterize SWCNTs synthesized on porous SiO<sub>2</sub> membrane. We stress that the strength of our approach is first, to measure, on a given tube, its chiral angle, length and the nature of its link to seed particle from which it has grown and second, to perform these measurements in a statistical way, independently of the nature and morphology of the tubes inspected. First of all, the tube length and chiral angle were determined, with the result that we found no correlation between the SWCNT length and its chiral angle. Secondly, we demonstrated the SWCNT length could be related with the tube growth mode, depending on links between tubes and their seeding particles. Finally, computer simulation was applied to show that catalyst particles growing SWCNTs by a tangential mode, tend to be deactivated by fully wetting the inner part of the tube, accounting for the shorter SWCNTs obtained in these CVD conditions.

## 2. Materials and methods

### 2.1 Preparation of Fe catalyst

Iron hydroxide nanoparticles were prepared by hydrolysis of FeCl<sub>3</sub> [14]. In brief, FeCl<sub>3</sub> with a mass of 0.08g was first dissolved in 5.0 mL H<sub>2</sub>O. The solution was added dropwise to 180 mL boiling

water under stirring. The prepared Fe-containing colloid was diluted in ethanol and then dispersed onto TEM grid coated by porous silicon oxide layer. The dispersed Fe catalyst was calcined in air to remove possible contaminations.

### *2.2 Growth of carbon nanotubes by CVD*

Growth of carbon nanotube was carried out in a horizontal CVD system equipped with a quartz tube (inner diameter: 40 mm). The TEM grid with Fe nanoparticles was heated to 900 °C with a flow of He (200 sccm). After reaching the desired temperature, CH<sub>4</sub> with a flow rate of 200 sccm was introduced into the reactor to replace He. After reaction for 2 min, CH<sub>4</sub> was switched off and the system was cooled down under the protection of He.

### *2.3 Characterizations of carbon nanotubes and catalyst particles by TEM*

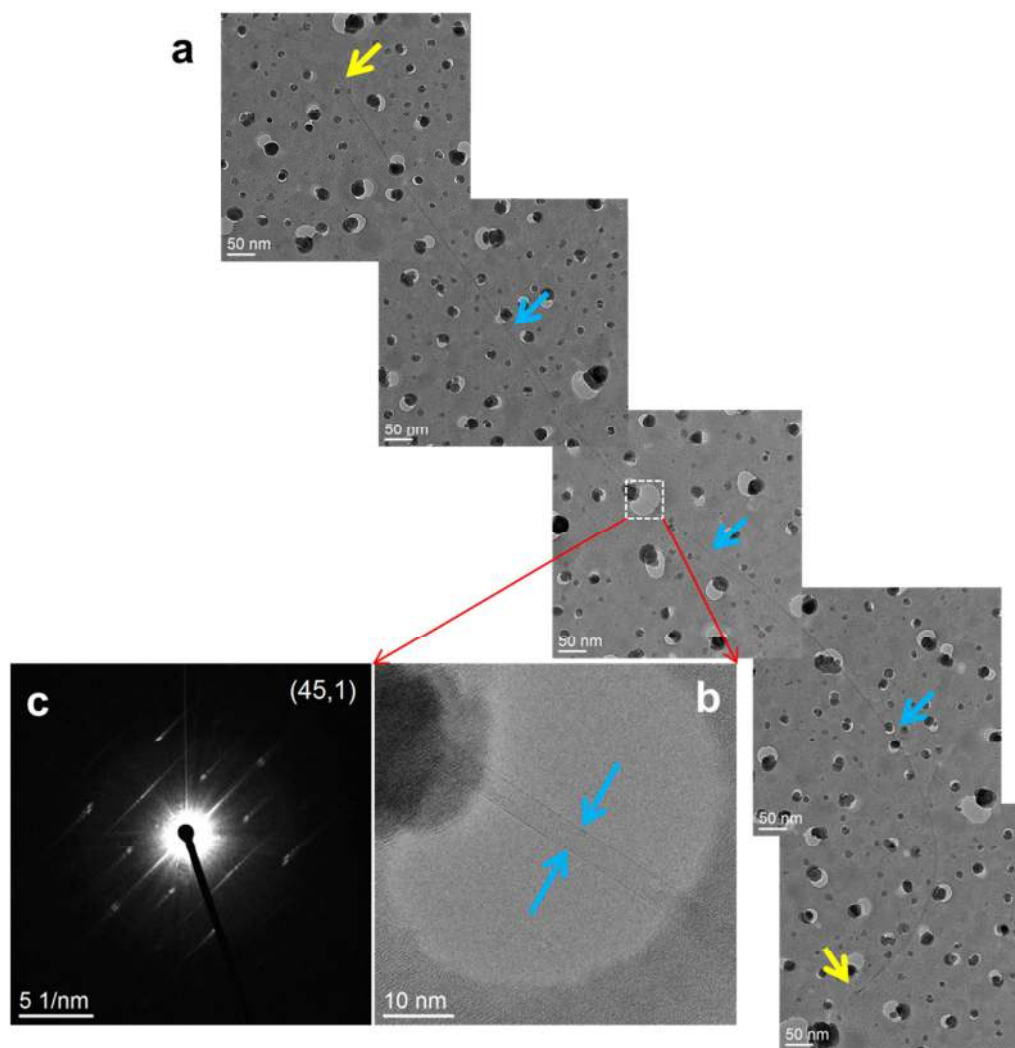
The morphology and structure of both catalyst and carbon nanotubes were characterized by a JEOL-2200FS FEG TEM/STEM and JEOL aberration-corrected ARM 200 Cold-FEG microscope operated at 80 kV. The TEM micrographs were used to measure the lengths of SWCNTs. Structural assignments of the SWCNTs are done by analyzing their nanobeam electron diffraction patterns [15].

### *2.4 Calculations of interactions between SWCNTs and metal nanoparticles*

To study the interaction of carbon with nickel at the atomic level, we have developed a tight-binding model based on a description of the local electronic density of states of each atom at the fourth moment level. This provides an efficient tool to calculate the energies of systems containing a few hundreds of Ni and C atoms [16, 17]. The energetic model is then implemented in

a Monte Carlo code using either a canonical or grand canonical algorithm with fixed volume, temperature, number of Ni atoms, and carbon chemical potential [18].

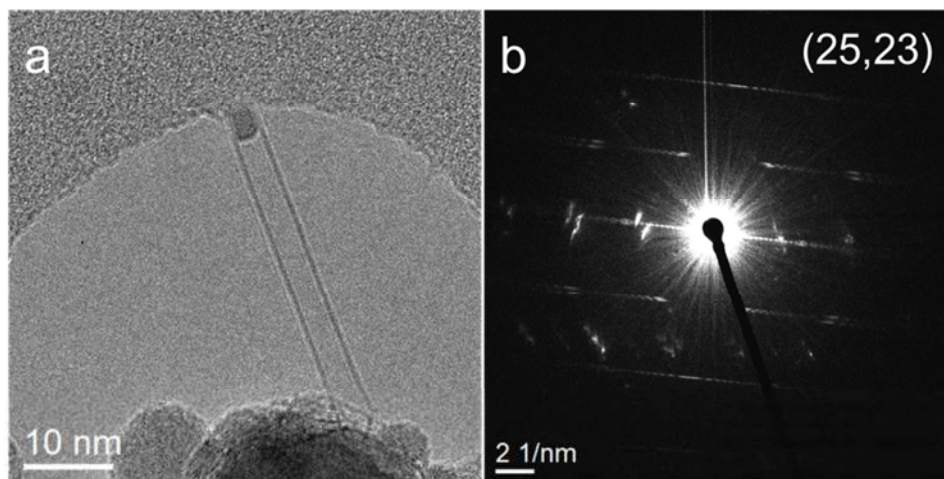
## Results and Discussion



**Figure 1.** (a) TEM images of a 2100 nm-long SWCNT (indicated by pacific light blue arrows), both ends are indicated by yellow arrows. (b) A high resolution TEM image of a SWCNT section crossing a hole. (c) Nanobeam electron diffraction pattern of the SWCNT.

**Fig. 1a** presents photograph of a 2.1  $\mu\text{m}$ -long SWCNT measured by superimposing 5 TEM images taken along the tube. Both ends of are marked with yellow arrows. A high resolution image

was taken from the SWCNT across a hole (**Fig. 1b**), with a measured diameter of 3.6 nm. Nanobeam ED was performed on the suspended section of the SWCNT, the pattern of which is shown in **Fig. 1c** (a magnification is presented in ESI **Fig. S1a**). ED pattern of an SWCNT is consisted of many separated layer-lines parallel to each other. Based on the strategy of “intrinsic layer-line spacing” [15], the chirality indices of the SWCNT are assigned to (45, 1), with a chiral angle of  $1.1^\circ$ . Such an assignment is also confirmed by the simulated ED pattern of one (45, 1) SWCNT (ESI **Fig. S1b**). **Fig. 2** depicts TEM micrograph of a short SWCNT (**Fig. 2a**) and its corresponding ED pattern (**Fig. 2b**). The tube has a length of only 40 nm. Analyzing its ED pattern gives chiral indices of (25, 23), a tube with diameter of 3.3 nm and chiral angle of  $28.6^\circ$ . Such an assignment is also verified by the simulated ED pattern of a (25, 23) tube (Supporting Information **Fig. S2**) and the diameter of tube measured from **Fig. 2a**.

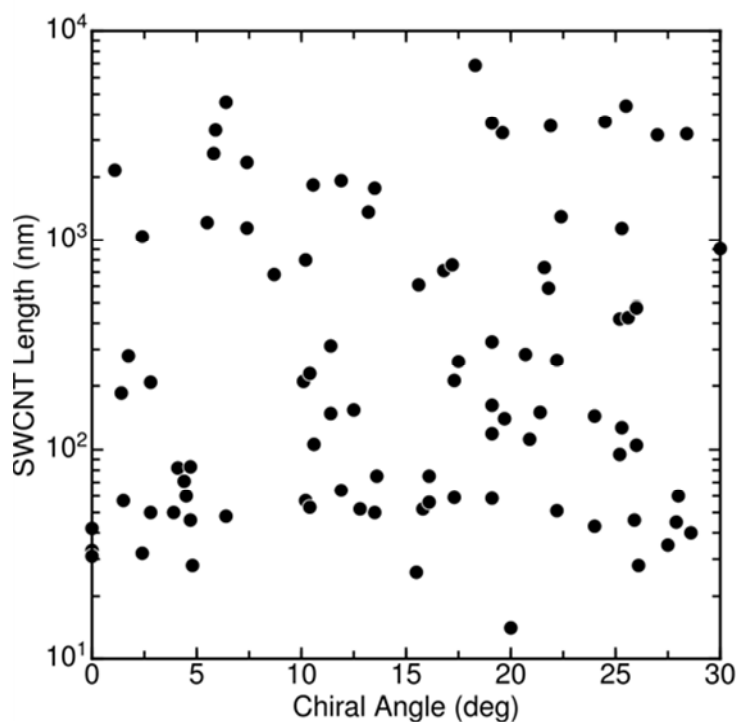


**Figure 2.** (a) TEM images of a 40-nm long SWCNT and (b) its nanobeam electron diffraction pattern. (25, 23) are the chiral indices deduced from the analysis of the diffraction pattern.

In contrast with Raman spectroscopy which can only detect SWCNTs that are in resonance with the laser wavelength, characterizations adopted here not only permit precise determination of



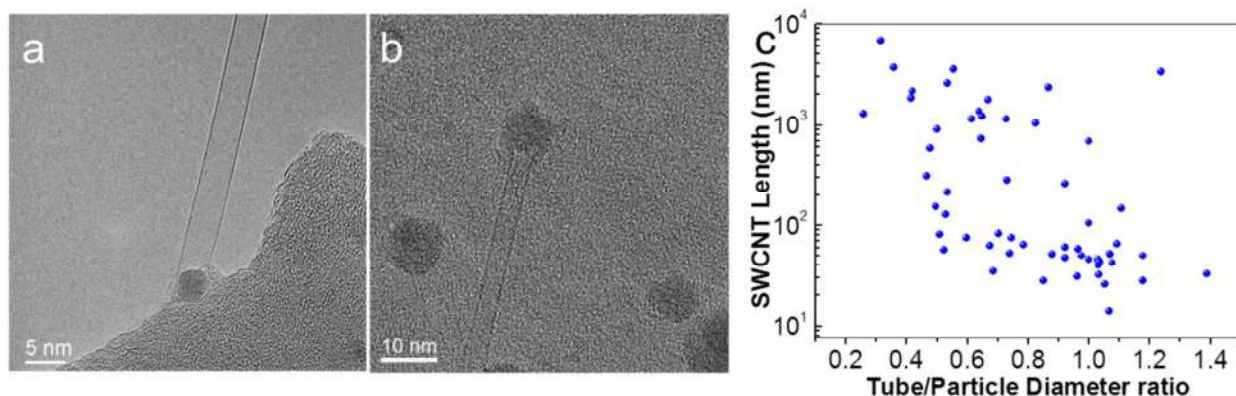
SWCNT lengths by TEM, but facilitate unambiguous assignment of SWCNT chirality using nanobeam ED. Therefore, it allows investigating a number of randomly distributed SWCNTs with different chiralities, and very importantly without any preference. The diameter and chirality measurements were performed on 92 SWCNTs, grown in one experiment using CH<sub>4</sub> as a feedstock. **Fig. 3a** depicts the plot of SWCNT lengths as a function of chiral angles. Obviously, no correlation could be identified between the length of the SWCNT and its chiral angle. For example, a (25, 13) SWCNT (ESI **Fig. S3**) with a chiral angle of 19.7° has a length of only 140 nm, while a (27, 14) one with similar chiral angle (19.6°) exhibits a length of more than 3200 nm (ESI **Fig. S4**). Similarly, the SWCNT length does not depend on the diameter of tube (ESI **Fig. S5a**) or the size of catalyst particle (ESI **Fig. S5b**).



**Figure 3.** Lengths of SWCNTs synthesized by CVD using a Fe catalyst at 1173 K with CH<sub>4</sub> as carbon feedstock, measured by TEM imaging, plotted as a function of their chiral angles.

The conclusion is in agreement with the work of Inoue *et al.*[11], who reported that tube lengths show no clear dependence on chiral angles based on resonance Raman characterizations. However, due to the limitations of Raman spectroscopy, only 5 types of SWCNTs in the  $2n + m = 29$  family were presented in their work [11]. Our work includes more SWCNTs, we can thus more safely conclude that the SWCNT lengths do not correlate with their chiral angles, which affects confidence in the general relevance of the proposed screw dislocation growth model [7], as far as the tube length reflects the growth rate.

Neglecting the role of the catalyst is clearly a rough simplification in the screw dislocation model and the role of the catalyst nanoparticle should be taken into account in more realistic models. Thus, further searching for correlations, we rely on previous work showing that the diameter ratio between SWCNT and its linked catalyst particle ( $R_d = D_{\text{SWCNT}}/D_{\text{NP}}$ ), was a relevant quantity to consider. It enabled classifying the SWCNT/nanoparticle contact into so-called “tangential”, and “perpendicular” growth modes [19]. The stability of these different configurations could be related to the wetting properties of nanoparticles that are driven by their carbon dissolution abilities [20]. Recently, we revealed that the presence of carbon atoms dissolved inside the nanoparticle is required to nucleate and grow a tube [21]. This carbon content favors the dewetting of the nanoparticle with respect to the  $sp^2$  carbon wall, a necessary property to limit catalyst encapsulation and deactivation [20, 21]. Therefore, we now focus on the relation between the diameters of the SWCNT and nanoparticle from which it grew, characterized by the diameter ratio  $R_d$ .



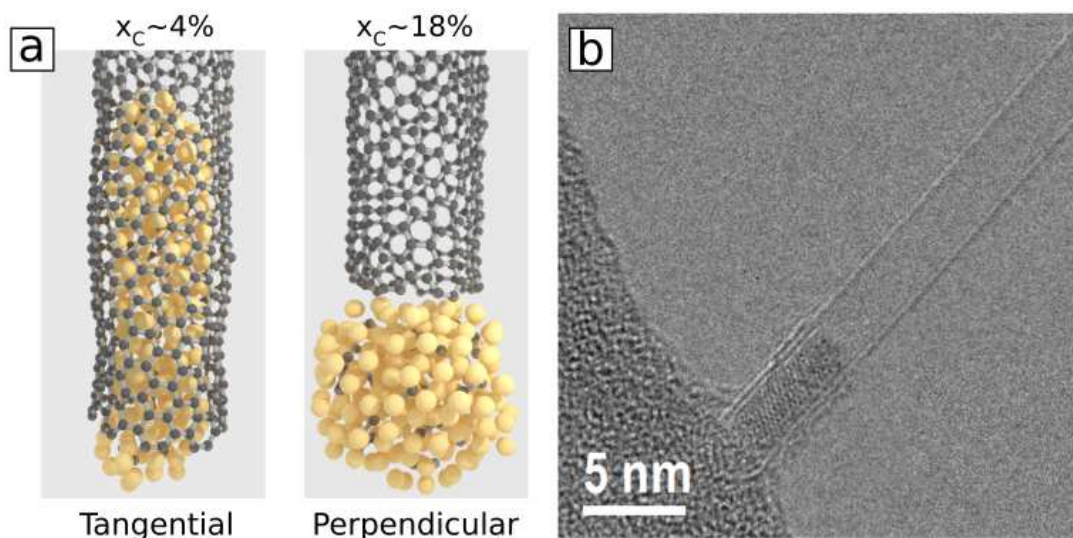
**Figure 4.** TEM images of SWCNTs grown by (a) tangential mode and (b) perpendicular mode from  $\text{CH}_4$  at 1173 K for 2 min. (c) SWCNT length plots as a function of tube/particle diameter ratio.

**Fig. 4a** and **4b** present TEM images of two SWCNTs and catalyst particles attached to them. As defined by Fiawoo *et al.* [19], SWCNT growth configurations in **Fig. 4a** has an  $R_d$  of  $\sim 1$ , which can be identified as tangential mode. In contrast, SWCNT shown in **Fig. 4b** displays a perpendicular mode, with an  $R_d$  of 0.44. **Fig. 4c** presents the lengths of SWCNTs as a function of  $R_d$ . Bearing in mind that the logarithmic scale squeezes the one or two orders of magnitude difference between the lengths of the tubes we clearly see that tubes grown in perpendicular mode are much longer than those in tangential mode, with  $R_d \approx 1$ . Our TEM analysis thus clearly emphasizes a correlation between the length of SWCNTs and their growth modes, showing that perpendicular tubes grow longer and tangential ones grow shorter.

Computer simulations are applied to help figure out why tube length depends on tube growth mode. The nature of the metallic catalyst is assumed not to be essential, since both growth modes have been characterized for Fe and Co catalyst [19]. Consequently, the tight-binding model developed for the Ni-C system [16] was used to perform atomistic computer simulations. Such an interatomic energy model is implemented in a Monte Carlo code using (grand) canonical algorithms

and has been applied to surface segregation of carbon and the catalytic nucleation/growth of SWCNTs [17, 20-22]. To study the differences in structure and stability of catalyst particles connected to SWCNTs in perpendicular and tangential modes at an atomic scale that cannot be accessed experimentally, we thus resort to these computer simulation tools.

We start by fixing long enough nanotubes with about 500 carbon atoms on Ni nanoparticles with 219 atoms. Two carbon fractions of 4% and 18% are chosen for the simulation. A full study of the effect of the fraction of carbon dissolved in the NP on the growth mode will be presented elsewhere. With fixed carbon fraction inside the nanoparticle, the system is relaxed to determine the equilibrium structures of Ni nanoparticles located at the tip of SWCNTs. **Fig. 5a** presents a visual inspection of the resulting structures. Nanoparticle with 4% carbon concentration tends to wet the inner part of the tube, in tangential mode geometry. A typical experimental evidence of such geometry is demonstrated in **Fig. 5b**. It is noted that although the configuration of metal particles shown here is a bit different from that presented in **Fig. 4a**, we assign both configurations as tangential mode [19]. As revealed by Diarra *et al.* [20], the morphology of a particle could change during SWCNT growth. The wetting tendency is more pronounced in the confined geometry of the tube, than on a flat graphene layer, where the contact angle was found to be around  $80^\circ$  [23]. In the case of the largest possible carbon fraction inside Ni (18%, Supporting Information Video 2), all Ni atoms stay outside and the tube wall becomes more perpendicularly oriented with respect to the surface of the nanoparticle. The results show that the equilibrium diameter ratio  $R_d$  depends on the dissolved carbon atoms in particle: low carbon concentration in the nanoparticle leads to tangential growth with  $R_d \approx 1$ . In contrast, at the high carbon fraction, no Ni penetrates the tube cavity, and thus  $R_d < 1$ , corresponding to the perpendicular mode situation.



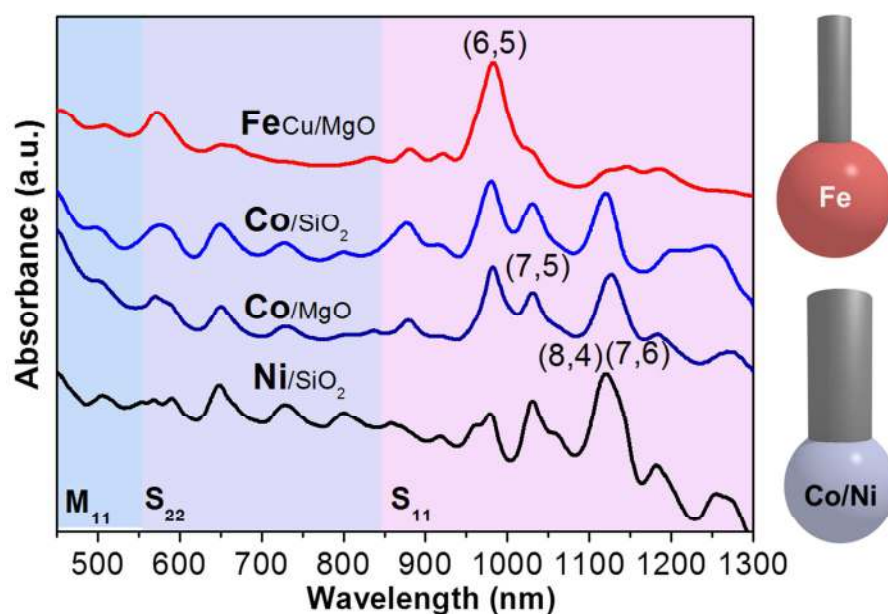
**Figure 5.** (a) Sketch of nanotube/nanoparticles geometries resulting from our Monte Carlo relaxations at 1200 K, ranging from tangential (left) to perpendicular (right). (b) A TEM image of nanoparticle encapsulated in the tip of the SWCNT.

Besides determining tube growth mode, the carbon fraction inside liquid shell of catalyst particle [22] also affects the adhesion energy between carbon walls and the particle. As discussed by previous Monte Carlo calculations in which carbon atoms are incrementally added to a Ni (111) slab covered by graphene layer [17], a decrease of adhesion energy was observed with increasing carbon concentration within the Ni metal. In the case of SWCNT tangential growth where carbon concentration inside nanoparticle is low, the adhesion energy between  $sp^2$  carbon wall and catalyst nanoparticle is higher, thus prohibiting the dewetting of carbon nanotube wall on catalyst. As carbon wall dewetting is a necessary step for SWCNT nucleation and prolongation [20], the relatively strong adhesion energy favors the adhesion and wetting of the carbon  $sp^2$  wall on the surface of the nanoparticle, causing the catalyst particle deactivation and subsequent carbon layer encapsulation with further addition of carbon atoms to the SWCNT open ends. A typical example of such encapsulation is shown in **Fig. 5b**, where a graphite layer encapsulation is clearly seen. Indeed,

such kind of carbon layer encapsulation is also displayed in TEM images of **Fig. 2a** and **Fig. 4a**. In contrast, nanoparticles, catalyzing SWCNT growth by perpendicular mode, has a weak adhesion with tube wall and cannot be easily deactivated by carbon encapsulation, resulting in a longer catalyst lifetime and therefore long tubes (ESI **Fig. S6**). It is noted that although the morphology of catalyst particle could fluctuate before or during CVD growth because of factors like Ostwald ripening [24], subsurface diffusion inside substrate [25] and tube-particle interaction [20], SWCNTs could preserve their chiralities along their whole lengths. Whether SWCNTs with different growth modes have different growth rates is still an open question and further *in situ* studies, like environmental TEM experiments are required to clarify this issue.

Based on the above discussions, we can now revisit some papers and interpret their experimental results using growth mode. As raising the carbon content inside the catalyst promotes a perpendicular growth mode, approaches that could increase carbon fraction should favor small diameter SWCNTs, hence narrow chirality distributions. Using a carbon source, that yields a high carburization of the catalyst, like CO, has been reported to favor the growth of SWCNTs enriched with near-armchair and small diameter species [4, 26-32]. During CVD, Co, Ni or Fe are generally adopted as the catalytically active components. **Fig. 6** shows the UV-vis-NIR absorption spectra of SWCNTs grown on different catalysts under the same growth conditions as in our previous work [26, 31, 32]. Predominant growth of small diameter (6, 5) SWCNTs are obtained on Fe-based catalyst at ambient reaction pressure [26]. The chirality distributions of SWCNTs grown on Co and Ni-based catalysts are generally much broader and the diameters are larger. Besides (6, 5) species, the products include relatively large fractions of (7, 5), (8, 4) and (7, 6) species with larger diameters [31, 32]. Such growth results can be explained by the carbon solubility differences in the

metal nanoparticles: Fe nanoparticles possess the highest carbon solubilities, facilitating perpendicular mode growth with smallest aspect ratio of  $R_d$ . It is also understandable that the gradual incorporation of Fe into Ni nanoparticles, from monometallic Ni to  $\text{Ni}_{0.27}\text{Fe}_{0.73}$  alloy, shifts the most abundant species from 0.90 nm -corresponding to a (9, 4) tube- for Ni, to 0.82 nm -(7, 5) tube for  $\text{Ni}_{0.27}\text{Fe}_{0.73}$  alloy [30].



**Figure 6.** UV-vis-NIR adsorption spectra of SWCNTs grown on catalysts with different active components. All growth experiments are performed at 873K using CO as the carbon precursor. Owing to the fact that high carbon solubility favoring perpendicular growth mode, SWCNTs grown on Fe nanoparticles [26] have much smaller diameters than those grown on Co [32] or Ni [21], as sketched in the schemes on the right.

## Conclusions

To conclude, on the basis of a detailed TEM and ED analysis of a statistical number of SWCNTs, we unambiguously show that there is no correlation between SWCNT lengths and their

chiral angles. Instead, the tube length depends more on the diameter ratio between SWCNT and catalyst particle, i.e. the SWCNT growth mode. Atomistic computer simulations demonstrate that low carbon fractions in the catalyst lead to tangential growth, where the metallic nanoparticle wets the tube inner wall and can be easily encapsulated by the graphitic layers, facilitating the deactivation of the catalyst and the cessation of SWCNT growth. On the contrary, catalyst nanoparticles with a high carbon fraction nucleate SWCNTs by perpendicular mode and can keep their activity for much longer time, accounting for the generation of long SWCNTs. In addition, since different growth modes correspond to different catalyst-tube contact regimes (line contact for perpendicular mode, and essentially surface contact in the tangential mode), they could lead to different ways of controlling the tube diameter and possibly structure. Our work thus provides a rational understanding of the key factor that determines SWCNT lengths and diameters, shedding more light on chirality-controlled synthesis of SWCNTs.

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### Supplementary data

Extensive characterization results on nanoparticles and carbon nanotubes as described in the text.

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