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## Energy-Aware and Stable Cluster-Based Multipath Routing Protocol for Wireless Ad Hoc Networks

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**Abstract:** Ad hoc networks are wireless networks that can operate without infrastructure and without centralized network management; they may contain nodes with limited battery power. Hence, energy conservation is a critical requirement in the design of routing protocols for ad hoc networks. Clustering has been proposed as a promising method for simplifying the routing process in mobile ad hoc networks when network size increases. In this paper, we propose an energy-efficient multipath routing protocol, called ES-CMR (Energy aware and Stable Cluster-based Multipath Routing protocol), which preserves the residual energy of nodes and increases the network lifetime. To achieve this goal, we use an objective model to select energy-efficient paths with stable links. Simulation results demonstrate that ES-CMR has better performance in terms of energy consumption, network lifetime and end-to-end delay.

**Keywords:** Ad hoc network; wireless networks; clustering; multipath routing; energy efficiency; link stability.

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## **1 Introduction**

An ad hoc network is characterized by frequent changes in network topology, limited bandwidth availability, and limited power of nodes. Ad hoc network topology changes frequently as nodes are able to move collectively or individually and often in an unpredictable way. Hence these characteristics make route discovery more complex. Several research studies have focused on routing protocols for ad hoc networks (Murthy and Garcia-Luna-Aceves, 1995; Duyen et al., 2007; Jayakumar and Gopinath, 2008; Rivano et al., 2010; Radwan et al., 2011), these protocols have some relevant characteristics, but they also have some limitations in the case of high mobility of nodes or high network density. Traditional approach of routing in wireless ad hoc networks adopts a single active route between a source node and a destination node for a given communication. This usually uses proactive (Belding-Royer et al., 2004; Perkins and Bhagwat, 1994) or reactive (on demand) (Mueller et al., 2004; Perkins et al., 2003) routing protocols. In (Brown et al., 2001), it is shown that proactive protocols are very expensive in terms of energy consumption compared to the reactive protocols, because of the large routing overhead incurred in the former. However, reactive protocols suffer from latency during the discovery process of fresh paths, especially in large networks and dense networks. In recent years, the research community has focused on the improvement of ad hoc routing, with the development of several routing techniques. Multipath routing - which resists to frequent network topology changes - seems to be an effective mechanism in ad hoc networks with high mobility and high load, mobility which mainly caused wireless link failures. In multipath routing, the source node is given the choice between multiple paths to reach a given destination. The multiple paths can be used alternately; in this case the data traffic can follow either a single path at one time or several paths simultaneously. The major disadvantage of multipath routing is the additional cost which increases significantly with the growth of network density, thus the scalability is compromised. Existing routing protocols for ad hoc network cannot solve this problem. A commonly proposed solution is to organize nodes into groups, called clusters. Clustering is a virtual organization of the network into groups of geographically close nodes. In clustering, usually there are three types of node: cluster head nodes which represents the clusters, gateway nodes that serve as intermediates between clusters for inter-cluster communication and ordinary nodes. Several clustering techniques in wireless and mobile networks have been proposed in the literature (Agarwal and Motwani, 2009; Anupama and Sathyanarayana, 2011; Agarwal et al., 2012; Conceição and Curado, 2013; Neethu and Singh, 2015; Tavakoli et al., 2015; Shen and Bing, 2015). The main problem of these techniques is to maintain their cluster structure as stable as possible while the network topology may change. Moreover, each node in mobile ad hoc networks has a power battery and a limited energy supply.

Over time, nodes deplete their energy supplies and are eventually removed from the network, which constrains the network connectivity in fast moving distributed dynamic networks (Smys and Bala, 2012).

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Some exhausted nodes may be critical for packet transmission mainly if they are cluster head node, because they are more suitable for supporting the ad hoc network functions (e.g., routing) than other nodes. A good routing protocol with clustering scheme will tend to preserve its structure by preserving its nodes energy power, principally the cluster head and the gateway nodes. Few protocols which simultaneously are based on multipath routing and use clustering to improve the performances of ad hoc networks in terms of energy, can be found in the literature. The main of them are cited in (Bheemalingaiah et al., 2009)<sup>1</sup>.

In this paper, we propose a novel routing protocol, called ES-CMR (Energy aware and Stable Cluster- based Multipath Routing protocol), for wireless ad hoc networks based on the multipath principle, in order to use the energy of nodes efficiently, and to minimize the end-to-end delay. It uses a clustering structure to decrease routing control overhead. The ES-CMR protocol is designed primarily for wireless ad hoc networks with battery-limited nodes, networks where link failures and path breaks may occur frequently. The main idea of this protocol is to discover multiple paths between a source and a destination in a clustered wireless ad hoc network and to select the path that ensures the least energy consumption with stable links. In order to combine energy efficiency and stability of links, we used an objective function.

The paper is organized as follows. Section 2 provides a review of related works on multipath routing and known clustering techniques in wireless ad hoc networks. Section 3 presents a description of our proposed cluster creation and maintenance schemes. Section 4 gives the design details of the ES-CMR protocol. Section 5 provides the simulation results of its performance evaluation. Section 6 concludes this paper.

## **2 Related work**

In this section, we present a review of multipath routing protocols and clustering technique-based routing protocols in wireless ad hoc networks.

### *2.1 Multipath routing*

Multipath routing in ad hoc networks is a promising technique that allows to solve the problems of instability, limited bandwidth, and conservation of energy. The use of multiple paths decreases the effect of network link failures. This contributes considerably to improve network performance. Nevertheless, multipath computation remains a more complex task than single path computation. Its difficulty lies in searching for the (best) multiple paths. The multipath approach makes it possible to compute multiple paths in a distributed and independent way. It is based on the principle of disjunction of the multiple paths between the same source–destination pair the disjunction may be partial or not (Lou et al., 2005). The purpose of this disjunction principle is to ensure the independence of the paths; that is, if a link of one of the paths fails, this failure will not affect the other paths. Any path of a multipath can be used to transmit a data packet between a source and a destination. Thus to maximize the data flow, and to get a larger share of the network bandwidth, the data packets of a flow between a source and a destination can be split between the paths. Multipath routing is highly suitable for multimedia applications, to ensure reliable transmission and it is proposed for industrial ad hoc networks for improving reliability and determinacy of data transmission. Another

benefit of multipath routing protocols is the potential reduction of the routing overhead. Several multipath routing protocols have been developed (Tarique et al., 2009; Periyasamy and Karthikeyan, 2013; Zuo et al., 2013). Let us note that there are two types of path disjunction: for the links and for the nodes. The first type, link-disjoint paths, does not share common links but a node can participate in several paths; the failure of a shared node (for instance, battery depletion) affects all paths that share this node. The second type of path disjunction, node-disjoint paths, has no node (and thus no link) in common, and therefore depletion of a node affects only the path containing this node. In our protocol we chose this type of disjunction to ensure the complete independence of the paths. Most of the proposed multipath protocols, like AOMDV (Marina and Das, 2001) and SMR (Lee and Gerla, 2001), are based on an original single path version (AODV (Perkins and Royer, 1999) or DSR (Johnson et al., 2007)). These protocols are reactive routing protocols. In fact, reactive multipath routing protocols improve network performance (load balancing, delay and energy efficiency). Several studies (Bagwari et al., 2011; Misra and Manda, 2005) have shown that AODV outperforms DSR under various performance metrics. Simulation results confirm that AODV protocol provides better end-end delay values and better connectivity with less data loss and good throughput. This is why our ES-CMR routing protocol shares some of its concepts with AODV.

## *2.2 Clustering techniques-based routing protocols*

We present below different protocols based on clustering techniques for ad hoc networks. They are categorized into different approaches based on their distinguished features. We focus on mobility and energy of nodes because in ad hoc networks, the nodes are mobile with limited battery; when they move out of range of their neighbours or they run out of battery power, the wireless links between nodes fail. These failures increase the intensity of changes in the network topology and make routing difficult. Two clustering techniques are considered: single metric and combined metric based clustering.

### *2.2.1 Clustering mobility-based routing protocols*

This technique considers only one performance factor for clustering decisions. The main clustering techniques for this scheme have been listed below.

CBMCRP (Cluster Based Mobility Controlled Routing Protocol) (Saha and Chaki, 2011) obtains efficient communications among an ad hoc network and achieves scalability in large networks by using the clustering technique. The new algorithm takes into consideration the mobility factor during routing and as well as computational overhead is also diminished. CBMCRP characterizes mobile nodes according to their mobility. This protocol uses the self-organizing principles for binding a node to a cluster and to minimize the explicit message passing in cluster formation. The cluster head of each cluster acts as a local coordinator for its cluster, performing inter-cluster routing, data forwarding and has to undertake heavy tasks so that it might be the key point of the network. CBMCRP achieves the scalability in large network where nodes are mobile. In this protocol no consideration for the inter-cluster links provided by the gateways is made. Therefore the choice of an inappropriate gateway affects these links and compromises the inter-cluster connectivity. AMOBIROUTE (An Advanced Mobility Based Ad Hoc Routing Protocol for Mobile Ad Hoc Networks) (DasGupta and Chaki,

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2009) is based on mobility control to address connectivity, link availability and consistency issues. According to this algorithm, mobility of node  $k$  of cluster  $i$  is based on  $loc_{k,i}^{t_j}$ , the position of the node at time  $t_j$ . Here, every node maintains only neighbor's data. After the calculation of node mobility, it only considers the least mobile nodes. For the next hop selection, it takes low mobility as a criterion, i.e., it selects the least mobile node as next hop. The main objective of AMOBIROUTE (DasGupta and Chaki, 2009) is to reduce overhead produced by routing. For this purpose, this algorithm maintains information about the next neighbor and maintains information about the least mobile nodes. The mobility node model used is rather simple since it is based on the location of the node and its speed without any indication of the movement model and, moreover the inter-cluster links are not covered by this protocol. In (Dana et al., 2008) a new clustering-based routing protocol, named Cross-CBRP, has been designed. In the proposed approach, the interaction between physical, MAC and routing layers are exploited. Hence it adapts the clustering algorithm to link instability and network condition due to mobility. The proposed Cross-CBRP algorithm uses signal power information coming from the physical layer at the network routing so that the stability of the formed clusters can be maximized. In this proposed approach, cluster creation and cluster maintenance are based on the node mobility towards its neighbours. The node with lowest mobility in the pre-specified period of time will be elected cluster head. The drawback of this technique is that the announced stability is not measured, and is not compared with any other competitive technique in the literature.

### *2.2.2 Clustering energy-based routing protocols*

In ad hoc networks, energy efficiency is of paramount importance. Energy-aware clustering optimization has been addressed in recent years. Below, we present the main clustering algorithms that address this problem.

In (Tarique and Tepe, 2009) the HMEDSR (Hierarchical Minimum Energy Dynamic Source Routing) protocol is proposed. The objective of this protocol is to reduce energy consumption in MANET while maintaining connectivity in the network and mastering the overhead. HMEDSR is a combination of two protocols, HDSR (Tarique and Tepe, 2006) and MEDSR (Tarique and Tepe, 2009), which have their own advantages. On one hand, HDSR protocol reduces the number of control packets significantly, and the nodes consume less energy in the transmission of control packets. Therefore, they will have more remaining energy for transmission of data packets. On the other hand, MEDSR protocol economizes nodes energy while transmitting data packets. MEDSR protocol consists of two basic mechanisms: multiple power level route discovery, and link-by-link power adjustment. However, the drawback is that the time of the forwarding nodes (cluster heads or gateways) may not be a good indicator of energy consumption of a mobile node; a particular interest must be reserved for these types of nodes, because when this type of node fails, the entire routing structure falls apart. ECCRP (energy-efficient coding-aware cluster based routing protocol) (Kanakala et al., 2014) is a protocol that applies network coding at cluster heads to reduce number of packet transmissions. It ensures the election of best cluster head and improves the cluster life time by using an energy efficient scheme. Network coding is a popular mechanism to increase throughput of wireless networks. Hence, it reduces the number of packet transmissions. Therefore, it also reduces redundant transmissions that occur due to broadcasting. ECCRP used flow based queue policy while performing coding at

intermediate nodes to increase coding opportunities. Simulation results show that the proposed ECCRP algorithm reduces energy consumption and increases life time of the network. The weakness of this technique is that it is applied to clustered networks of reduced size and performance is not compared to other recent protocols from literature. A hybrid routing protocol, named Power Saving (PS) (Jiang, Yang et al., 2005), is proposed for mobile ad hoc network. The concept of dual-channel and dual-transmission-range clustering is used in the proposed protocol. In this work, all the network nodes are partitioned into clusters. The synchronous PS protocol is operated within an individual cluster and the quorum-based asynchronous power saving (QAPS) protocol (Jiang, Tseng et al., 2005) is operated among cluster heads. The PS protocol operates on the basis of neighbourhood information so it adapts to changes in network topology as quickly as possible. Two non-interfering communication channels are used: A and B. The cluster head uses channel A with long transmission range to communicate with other cluster heads, and uses channel B with lower transmission range to communicate with its cluster members. It is shown by simulation that the proposed protocol is more scalable than related protocols. However, the election of cluster heads is an unclear procedure and the proposed protocol is based on AODV (Perkins and Royer, 1999) for data packet routing but AODV is not suitable for hierarchical routing, this produces an additional cost of routing overhead. Moreover, it is difficult to assume the availability of two channels with different ranges in any node.

### *2.2.3 Combined metric clustering based routing protocols*

Combined metric based clustering or weight based clustering takes several metrics into account for cluster configuration. One advantage of this clustering technique is that it can flexibly adjust the weighting factors of each metric to adjust to different scenarios. We choose two metrics (energy and mobility of nodes) because they affect the reliability of the packet delivery service of the network. The Weighted Clustering Algorithm (WCA) proposed in (Chatterjee et al., 2002) takes into consideration the node degree, transmission power, mobility, and battery energy of nodes. Depending on specific applications, any or all of these parameters can be used to elect the cluster heads. This method could be implemented as a fully distributed system where all the nodes in the mobile network share the same responsibility and act as cluster heads. The cluster head election procedure is not periodic and is invoked as rarely as possible. The cluster head election procedure is invoked when a cluster head is disconnected from any neighbor cluster head, and also when the current cluster head set is unable to cover all the nodes. If a node (ordinary) detaches itself from its current cluster head, a reattachment occurs. In this case, the amount of information exchange between the node and the corresponding cluster head is local and relatively small. Hence, cluster head service time will be prolonged and elected cluster heads will soon suffer from battery exhaustion. WCA has improved performance compared with other previous clustering algorithms. However, it fails to capture the correlation that may exist among the movements of neighbouring mobile hosts as in the case of group movement. Another drawback of this method is that the high mobility of nodes will lead to high frequency of cluster re-association that will increase network overhead. To solve this problem, an entropy-based WCA (EWCA) was proposed (Wang and Bao, 2007), and it can improve the stability of the network. In (Mohindra and Gandhi, 2014), the authors propose a Weight Based Energy-Aware Hierarchical Clustering protocol (WBEHC) that is energy aware and provides stability. It is based on node grouping according to relative mobility and node merging depending on

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the mobility pattern, in order to provide minimum energy wastage and stability in the network and to be able to achieve all the tasks assigned to the nodes. In addition, they also use different weights for different system parameters like energy of nodes, mobility, size of the cluster, degree of nodes, etc. for choosing the cluster head depending on the requirement. This procedure of cluster head election minimizes the need of re-attachment and re-clustering. Also, the protocol WBEHC considers relationship between the energy requires to perform the application tasks and the energy requires to forward packets. This helps in minimizing the exhaustion of energy and its wastage. The weakness of this protocol is that routing (I.e. path discovery and maintenance) and gateway management are not described. LIDAR (Pantziou et al., 2007) is a protocol that represents a major improvement over other clustering algorithms: the node IDs (Identifiers) are regularly re-assigned to the nodes with low mobility, and high energy capacities are assigned values with a low ID and, therefore, are likely to serve as cluster heads. LIDAR explicitly separates cluster creation and cluster maintenance phases through employing two distinct algorithms. LIDAR forms stable clusters with a balanced distribution of energy consumption on mobile nodes. This protocol significantly reduces control traffic volume during the maintenance phase of clusters. Drawback of this protocol is that, the choice of gateways and their maintenance are not described and parameters are not justified. In (Karunakaran and Thangaraj, 2008) the authors proposed an adaptive weighted cluster-based routing for mobile ad-hoc networks. The cluster head selection is performed by assigning a weight value ( $W$ ) based on the following factors: energy level, connectivity and stability. The node having the minimum  $W$  is chosen as the cluster head. When a node is elected as a cluster head, the node or the nodes member of the node cluster is marked as "considered". Then the election process is carried out with all the "unconsidered" nodes. Once all the nodes have been considered, the election algorithm is terminated. AWCBRP is an energy efficient adaptive weighted cluster-based routing protocol which amends swiftly to the topological changes and establishes the routing efficiently. When link failure rate is high, then the partitioning rate of the network becomes high, and this may increase the computational overhead. EACMR (Energy Aware Clustered-Based Multipath Routing) (Bheemalingaiah et al., 2009)<sup>1</sup>, proposes a novel approach without using GPS; this approach is applicable for cluster based mobile ad hoc networks. The Combined Higher Connectivity Lower ID (CONID) clustering algorithm is used to generate the clusters in the network. It is an extension of the lowest ID algorithm. CONID uses node degree as the primary key and ID as the secondary key in cluster decisions. EACMR is designed to find energy aware node-disjoint multiple routes from a source to a destination through cluster heads. It increases the network lifetime by using optimal routes, as compare to on demand multipath routing protocols, it significantly reduces the total number of route request packets using clustering technique, decreases the end-to-end delays for the data packets and the energy consumption. We will use EACMR as a reference for our performance evaluation because it aims to improve the lifetime of ad hoc networks and has the same characteristics as our protocol, namely its reactivity, multipath character, and use a combined metric clustering technique. Considering the existing problems (such as excessive energy consumption and high number of control packets) in both single-path routing and multipath routing schemes, for ad hoc networks, this paper proposes a novel Energy aware and Stable Clustered based Multipath Routing protocol (ES-CMR). In the ES-CMR protocol, the clusters are formed by using combined metric based approach, because it is the most efficient for cluster head selection and the weight estimation include two metrics stability and residual energy,



with the introduction of management of the gateways for inter-cluster links. The main idea of our proposition is to find disjoint multiple paths from a source to the destination; these paths are stable, and consume less energy. The process of paths selection is based on an objective model using two parameters: stability and energy. Our ES-CMR protocol preserves residual energy, increases the network lifetime and reduces the end-to-end delay, by selectively choosing more efficient paths.

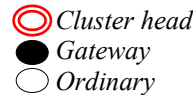
### 3 Cluster creation and cluster management

In this section we describe the clustering scheme. Before proceeding with the presentation of the various steps of the algorithm we describe the network model.

#### 3.1 Network model

An ad hoc wireless network is represented by an undirected graph,  $G = (V, E)$ , where  $V$  is the set of network nodes and  $E$  is the set of network bidirectional links. Node  $s$  is the source node and the node  $d$  is the destination node. In our scheme, there are three possible states for nodes: cluster head, gateway and ordinary. A cluster is denoted by  $C_i = \{N_{ij}\}$ , where  $N_{ij}$  is the  $i^{\text{th}}$  member of the cluster  $i$ .  $CH_i$  is the cluster head of cluster  $C_i$  and  $CG_i$  is the gateways set of the cluster  $C_i$ . Ordinary nodes are described in the graphs by a simple circle, gateway nodes by a filled circle, and cluster head nodes by a double circle, see Figure 1.

**Figure 1** Graphical representation of nodes.



Routing for large-scale ad hoc network requires  $k$ -hop clusters, where  $k$  represents the maximum number of hops between any node from a cluster and its cluster head. We denote by  $k$  the cluster radius. By adjusting the parameter  $k$ , we can control the number of cluster heads. A large value of  $k$  means fewer cluster heads. This reduces the number of cluster numbers which, in turn, may minimize the inter-cluster connectivity problems. To facilitate the description of the clustering scheme and routing protocol,  $k = 2$  is adopted throughout the remainder of this study.

#### 3.2 Cluster creation

In clustering scheme, a representative node of each cluster is elected as a *cluster head*. Cluster heads hold routing and topology information, relaxing ordinary mobile hosts from such requirement; however, cluster heads represent network bottleneck points and are prone to fast battery exhaustion. Thus, the choice of cluster head is crucial for the cluster stability and its lifetime. For our cluster formation, we have selected the Entropy-based Weighted Clustering Algorithm (EWCA) (Wang and Bao, 2007), which is an improvement of the basic protocol WCA (Chatterjee et al., 2002). It introduces gateways between clusters to enable a better management of routing. To elect and maintain the most suited nodes as a cluster head (in term of stability and energy), the clustering algorithm

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takes into account its nodal degree, transmission power, mobility and battery power of nodes. The following points are considered:

- The cluster head election procedure is not periodic and it is invoked as rarely as possible. The clustering algorithm is not invoked when the relative distances between the nodes and their cluster head do not change.
- Each cluster head can ideally support only a specific number of nodes to ensure efficient medium access control (MAC) functioning.
- The battery power is the most efficiently used when transmission is within a certain range, i.e., it will take less power for a node to communicate with other nodes when they are within close distance to each other. A cluster head consumes more battery power than an ordinary node since a cluster head has extra responsibilities to carry out for its cluster members.
- Mobility is an important factor in deciding the cluster heads. In order to avoid frequent cluster head changes, it is desirable to elect a cluster head that does not move very quickly.

We have selected this algorithm because an entropy-based model is considered a very good indicator of the stability and mobility of the ad hoc network (An and Papavassiliou, 2002). In the case of a high mobility of the nodes, a reorganization of the network is required causing considerable overhead, EWCA reduces this cost compared to most other techniques, producing more stable clusters. We denote the position of node  $m$  at time  $t$  as  $\bar{p}(m,t)$ . The positions of nodes are calculated periodically every time interval  $\Delta t$ . The distance between node  $m$  and  $n$  at time  $t$  is defined as:

$$\bar{p}(m,n,t) = \bar{p}(m,t) - \bar{p}(n,t) \quad (1)$$

The relative position between two nodes,  $m$  and  $n$  is defined as

$$a_{m,n,t} = \frac{1}{N} \sum_{i=1}^N \left| \bar{p}(m,n,t_i) \right| \quad (2)$$

Where  $t_i$  refers to the time instant of the  $i$ -th calculation and  $N$  is the number of discrete times  $t_i$  within the time interval  $\Delta t$ . Then the entropy of  $Fm$  at time  $t$  is denoted as  $H_{Fm}(t, \Delta t)$ . We have

$$H_{Fm}(t, \Delta t) = \frac{-\sum_{k \in Fm} P_k(t, \Delta t) \log P_k(t, \Delta t)}{\log(\overline{Fm})} \quad (3)$$

where  $P_k(t, \Delta t) = (a_{m,k,t} / \sum_{i \in Fm} a_{m,i,t})$ .

$Fm$  denotes the set of the neighbour nodes of node  $m$ , and by  $\overline{Fm}$  the cardinality of set  $Fm$  (and degree node of  $m$ ).  $Fm$  refers to the potential cluster centred on node  $m$ , hence  $H_{Fm}$  presents the stability of this cluster, the entropy produced by the set of all nodes that can reach node  $m$  in one hop. It should be noted that the entropy, as defined here, is small when the change in the given region is severe and large when the change is small. In consequence, one term is replaced in the combined weighted sum of node  $v$  ( $W_v$ ) described in (Wang and Bao, 2007): the average speed of nodes  $M_v$  is replaced by the

entropy  $H_{F_v}$ , defined in (3). Simulation study given in Performance Evaluation section will indicate that this replacement can effectively reduce the frequency of cluster re-association, especially for those networks consisting of high-speed moving nodes (Tarique et al., 2009). Hence, the formula to calculate  $W_v$  becomes:

$$W_v = c_1 G_v + c_2 D_v + c_3 (-H_v) + c_4 P_v \quad (4)$$

where  $G_v$  is the ratio between the number of members of the cluster centred on cluster head  $v$  and the number it can handle under ideal condition,  $D_v$  is the sum of the distances of the cluster members to cluster head  $v$ ,  $H_{F_v}$  is the entropy of the node, and  $P_v$  is measured as the total (cumulative) time a node acts as a clusterhead. We assume that the energy consumption of a cluster head is more than an ordinary node.  $c_1, c_2, c_3, c_4$  are the respective weighting factors. The node with the minimum  $W_v$  is chosen to be the cluster head. Once a node becomes a cluster head, this node and all its cluster members are marked as “considered”. Then, the election process is reapplied on all “unconsidered” nodes (Initially, all nodes are “unconsidered”). The election algorithm will terminate once all the nodes have been considered.

### 3.3 Maintenance of inter-cluster links

A routing path may break due to a broken link, especially due to the high mobility of nodes. In the case of an ad hoc network organized in clusters, the most critical links are the links connecting the clusters. Thus, we need an effective mechanism for maintaining connectivity between clusters despite of disruptions of some of these critical links to ensure the success of routing. We propose two variants: distributed and centralized solutions.

#### 3.3.1 Distributed solution

When the moving of a gateway node breaks the inter-cluster link, the gateway node sends a *RELG* query (Request Error Link Gateway) to the gateway node of the neighbour cluster associated with the link. *RELG* query contains information of the new gateway nodes, knowing that each gateway node knows all gateway nodes in the same cluster. Finally the cluster head node is informed of this update.

#### 3.3.2 Centralized solution

When a gateway node moves, the inter-cluster link may break. The moving gateway node sends a request to its cluster head so that it has to replace the gateway node (in this case the gateway node has no information about the topology of the cluster). In case of a broken inter-cluster link, the gateway node sends an error packet *RERR* (Request Error) to its cluster head node. The cluster head designates a new gateway node which has a link which replaces the broken inter-cluster link.

We opted for a centralized solution because it is compatible with the proposed clustering technique, which is based on a cluster management by an elected node, called cluster head.

#### 4 The ES-CMR multipath routing protocol

In this section, we present our efficient routing protocol, named ES-CMR (Energy aware and Stable Clustered based Multipath Routing protocol). It is based on the topology constructed by the clustering algorithm (Wang and Bao, 2007). Our protocol has two parts: intra-cluster and inter-cluster routing. In case of a request for a path between a source  $s$  and destination  $d$ , the cluster head associated to the cluster of the source  $s$  checks if the destination node  $d$  is a member of its cluster. If this is the case, an intra-cluster routing is launched. Otherwise, cluster external research is initiated to locate  $d$ , it is the inter-cluster routing. In all cases, two packets are used: RREQ (Route Request) is sent from the node source for the path discovery and RREP (Route Reply) is sent by the destination as a response to the request.

The RREQ packet has the following structure:

$RREQ = (src\_id, dest\_id, b\_id, seq\_nbr\_s, n\_id, gats\_id, seq\_d, ttl)$  with

- $src\_id$  : source node identifier
- $dest\_id$  : destination node identifier
- $b\_id$  : broadcast identifier
- $seq\_nbr\_s$  : sequence number of the transaction given by source node
- $n\_id$  : neighbor identifier
- $gats\_id$  : gateway node identifier
- $seq\_d$  : sequence of identifiers of the intermediate nodes toward the destination node
- $ttl$  : time to live

The RREP packet has the following structure:

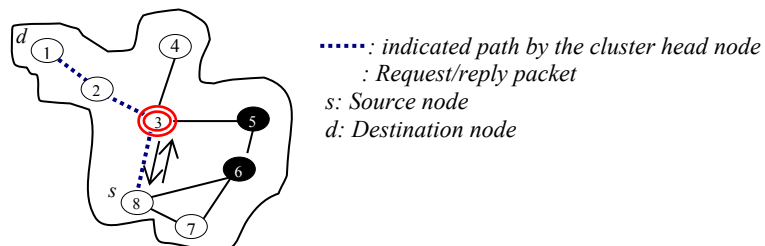
$RREP = (src\_id, dest\_id, seq\_nbr\_d, seq\_r, e_{ij\_cumul}, s_{ij\_cumul}, ttl)$  with

- $src\_id$  : source node identifier
- $dest\_id$  : destination node identifier
- $seq\_nbr\_d$  : sequence number of the transaction (given by the source node)
- $seq\_r$  : sequence of identifiers of the intermediate nodes toward the node source  $s$
- $e_{ij\_cumul}$  : cumulative value of the energetic coefficient
- $s_{ij\_cumul}$  : cumulative value of the stability coefficient
- $ttl$  : time to live

##### 4.1 Intra-cluster routing

When a source  $s$  of a cluster  $C_i$  searches to establish a path with a destination  $d$  of the same cluster  $C_i$ , node  $s$  sends first a  $RREQ$  packet to the cluster head  $CH_i$ . Since the cluster head contains information about its cluster member nodes,  $CH_i$  responds to the request of node  $s$  by sending a reply packet  $RREP$  containing the sequence of intermediate nodes between  $s$  and  $d$ . Thus, the node  $s$  can communicate with the node  $d$  using this path.

**Figure 2** Intra-cluster routing





For example in Figure 2, if source node 8 wants to send a data packet to destination node 1, source node 8 first sends a request packet RREQ to node 3 (node 3 is the cluster head of node 8). Node 3 responds to node 8 by a reply packet RREP containing the sequence of intermediate nodes that forms the path to the requested destination: 8-3-2-1.

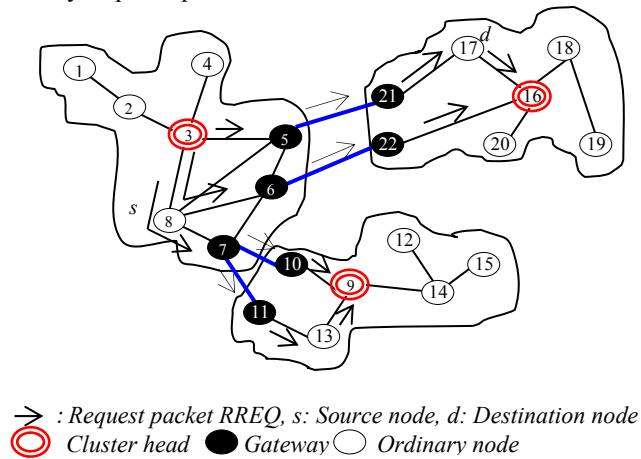
#### 4.2 Inter-cluster routing

Inter-cluster routing allows a node source  $s$  to reach a destination  $d$  belonging to another cluster. The cluster head and gateways manage the communications between clusters.

##### 4.2.1 Multipath routing discovery

When a cluster head receives a request for a path from a source  $s$  of its cluster  $C_i$  to a destination  $d$ , it first consults its cluster node set containing information about all nodes belonging to its cluster  $C_i$ . It notes that destination node  $d$  does not belong to cluster  $C_i$ . In this case, it sends a request RREQ to each gateway node listed in its gateway set  $CG_i$ . Gateway nodes receiving the request RREQ forward the requests to neighboring gateway nodes (gateways of the neighboring clusters). Then gateway neighboring nodes forward the request RREQ to their cluster head which verifies the presence of the destination node in its own cluster.

**Figure 3.** Discovery requests paths



In Figure 3, node 8 sends a request RREQ to its cluster head node 3. Cluster head 3 broadcasts the request to all gateway nodes, i.e. nodes 5, 6 and 7. Each gateway node forwards the request to their neighboring gateway nodes. Thus, gateway nodes 21, 22, 10

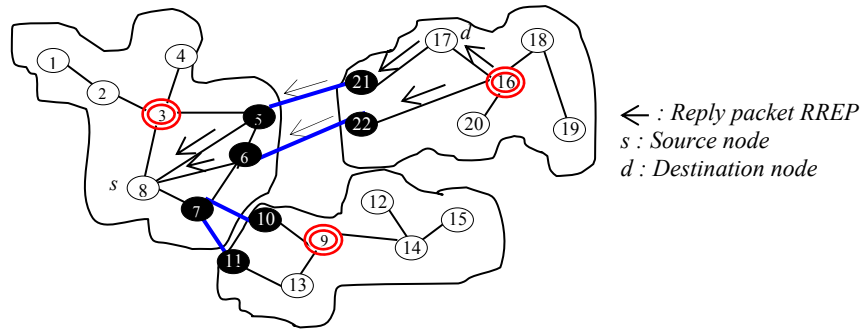
## Energy-Aware and Stable Cluster-Based Multipath Routing Protocol for Wireless Ad Hoc Networks

and 11 receive the request, and they send it to their respective cluster head nodes 16 and 9. The path sequence is inserted in the request RREQ and is updated at each intermediate node. This solution guarantees loop-free paths, since a RREQ request is deleted by a node when the node identifier is listed into the path parameter of the RREQ request.

### 4.2.2 Reverse paths

Once a cluster head node locates in its own cluster the destination node  $d$ , it completes the path sequence by the path segment from the gateway node to the destination node  $d$  and then it sends reply packets RREP to the sender gateway nodes. Return paths used by the reply packet RREP are not necessarily the same paths used by the request RREQ, as the RREQ requests are sent by the intermediate cluster head/gateway node to the destination node  $d$ , but the reply RREP packets using a path indicated by the cluster head could be better than the paths of the RREQ requests.

**Figure 4** Reverse reply paths



Using the previous example, in Figure 4, cluster head node 16 finds destination node  $d$  in its own cluster and sends a reply packet RREP to gateway nodes 21 and 22. These nodes broadcast reply packets to their neighbouring gateway nodes. In our example, these are gateway nodes 5 and 6. The reply packets follow the sequence of the intermediate nodes indicated in the *path seq r* field to reach the source node 8. Cluster head node 9 does not return RREQ requests to gateway nodes 10 and 11 as they are RREQ requests sender. In consequence, a source node may not receive any reply packet; in this case, when *RREP wait time* times out (*RREP wait time* has been initialized after sending the first packet *RREQ*), the source node initiates the path discovery process and repeats the path discovery process until a path is found. After three attempts, the path discovery process is canceled.

### 4.3 Multipath routing selection

This section describes path selection routing. When the node source receives the first RREP packet, it waits for a given amount of time (*RREP\_Wait\_Time*) to receive more *RREPs* before selecting the best path. The choice of the best path between a source node  $s$  and destination node  $d$ , is done according to energy consumption and path stability. In

this approach, two functions are defined: the cost function  $fep_j(t)$  which characterizes a path  $j$  at time  $t$  from an energetic point of view and the cost function  $fsp_j(t)$  which represents the stability of path  $j$  at time  $t$ .

#### 4.3.1 Energy aware function

Let  $fep_j(t)$ , the minimum residual energy of nodes constituting the path  $j$  for a source node  $s$  to destination node  $d$  at time  $t$ , be expressed as

$$fep_j(t) = \min_{i=1}^{n-1} (fen_{i,j}(t)) \quad (5)$$

Where  $fen_{i,j}(t)$  represents the energy cost function of node  $i$  belonging to the path  $j$ , formally:

$$fen_{i,j}(t) = \frac{Elev_{i,j}(t)}{DR_{i,j}(t)} \cdot w_{i,j} \quad (6)$$

$Elev_{i,j}(t)$  denotes the energy level of node  $i$  belonging to the path  $j$  at time  $t$  during a discovery process between a source node  $s$  and a destination node  $d$ , given by:

$$Elev_{i,j}(t) = \frac{E_{i,j}(t)}{E_{average}} \quad (7)$$

Where  $E_{i,j}(t)$  represents the node  $i$  residual energy belonging to the path  $j$  at time  $t$  and  $E_{average}$  is the average residual energy of  $m$  nodes that participated in the multipath discovery process between one source node  $s$  and one destination node  $d$ , defined as follows:

$$E_{average} = \frac{\sum_{i=1}^m E_i}{m} \quad (8)$$

$w_{ij}$  is the weight factor of node  $i$  belonging to the path  $j$ , which depends upon various factors, like battery quality, battery capacity, battery life time.  $DR_{ij}(t)$  is the drain rate of the node  $i$  belonging to the path  $j$  at time  $t$ , which is defined as the rate at which energy is consumed at a given node, usually when this node is used by others data paths as an intermediate node.

#### 4.3.2 Link stability aware function

To calculate the link stability in mobile ad hoc networks, we consider the mobility of nodes as the main metric. The protocols based on node mobility use some criteria inherent of the node mobility, such as node coordinates, node direction of movement or node speed, into the calculation of the stability metric value. We use the node coordinates for our protocol due to its simplicity compared to other approaches. We exploit discoveries messages and hello messages for collecting coordinate information of neighbor nodes, to avoid the overhead of new send specific messages to collect these node coordinates.

**Figure 5** Structure of a HELLO packet for ES-CMR.

Destination	Destination sequence number	Hop count	$D_{i,j}$	Expiration timeout
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Each node wanting to measure the link stability adds its destination, hop count to the destination and destination sequence number to the original hello packet. A new field *Distance* is added to the hello packet in order to collect distances that separate the node  $i$  and the node  $j$ , is noted by  $d_{ij}$ , see Figure 5. To calculate the stability of the link between two nodes  $i$  and  $j$ , the node  $i$  periodically sends message to the node  $j$ , when the node  $j$  receives the message, it detects its coordinates then sends it to the node  $i$ . Based on this information, the node  $i$  calculates the distance between itself and the node  $j$ , noted by  $d_{i,j}$ . The stability cost function of the link  $ij$  at time  $t$ , is denoted by:  $fsl_{i,j}(t)$ , given by:

$$fsl_{i,j}(t) = \frac{SDl_{i,j}(t)}{ML_{i,j}(t)} \quad (9)$$

Where  $ML_{i,j}(t)$  represents the mean of the  $n$  distances recorded between the node  $i$  and node  $j$ , defined as follows:

$$ML_{i,j}(t) = \frac{\sum_{t=t}^m d_{i,j}(t)}{n} \quad (10)$$

$SDl_{i,j}(t)$  denoted the standard deviation of the distances recorded between the node  $i$  and node  $j$ ,  $SDl_{i,j}(t)$  is given by:

$$SDl_{i,j}(t) = \sqrt{\frac{1}{n} \sum_{t=t}^m (d_{i,j}(t) - ML_{i,j}(t))^2} \quad (11)$$

The function  $fsl_{i,j}(t)$  represents the coefficient of variation, also known as relative standard deviation. It is a standardized measure of dispersion of a probability distribution or frequency distribution. The coefficient of variation formulated by  $fsl_{i,j}(t)$  is used to quantify the measurement accuracy. In our case, it is the measurement of distances between two neighboring nodes. If the  $fsl_{i,j}(t)$  tends toward 0, then we have a narrow distribution of distances, which means that the link is stable. If it is high, this corresponds to a large distribution, which means that the link is instable. Finally, we define the path cost function stability  $fsp_j(t)$ , given by:

$$fsp_j(t) = \max_{i=1}^{n-1} (fsl_{i,j}(t)) \quad (12)$$

The path stability is based on the stability of the links constituting this path.  $fsp_j(t)$  is defined as the maximum of the link stability of all links constituting the path  $j$ .



### 4.3.3 Objective problem formulation

We design our multipath selection principle on the ordering of paths according to the energy consumption of their path nodes and the link stability of their path links. To satisfy this, we use a model using arbitrary importance weights for each criterion ( $\alpha$  and  $\beta$ ). The corresponding objective function  $fp_j(t)$  is defined by combining the formulas (5) and (12):

$$fp_j(t) = \alpha \times fep_j(t) + \beta \times fsp_j(t) \quad (13)$$

Our idea is based on sorting all paths between a source node  $s$  and destination node  $d$  by the ascending value of  $fp_j(t)$ . The path with the minimum  $fp_j(t)$  is chosen to forward the data packets. We note that our model can be used to address many applications, with different constraints when we choose appropriate values for the parameter weights  $\alpha$  and  $\beta$ . For instance, with applications that require energy saving, more importance is given to the coefficient  $\alpha$  ( $\alpha \gg \beta$ ), since it is the weight associated to the path energy in the model. Finally, we note that the weights  $\alpha$  and  $\beta$  are chosen such that the condition  $\alpha + \beta = 1$  is satisfied.

### 4.4 Route management

Route error detection in ES-CMR is launched when a link fails between two nodes along a path from a source to a destination. When a neighbor node does not respond to three successive HELLO packets sent by a node, the link is considered to have failed. If a node detects a failure of a link in an active path, it erases the route from its routing table and then sends an RERR (Route ERRor) packet to the source node of the path to select another path. Each intermediate node forwards this RERR packet along the reverse path to the source node. When a source node receives an RERR packet, it erases the path from its routing table and looks for an alternative path towards the destination node, if one is available; otherwise it initiates a path discovery process to resume the data transmission. An alternative path is selected as described in Section 4.3.

### 4.5 Complexity analysis

The main drawbacks of multipath routing protocols are, first, they may take too long to converge and second, they may have a high complexity in their number of messages. Because of the limited bandwidth of wireless links in an ad hoc network, message complexity must be kept low. In addition, in mobile networks, the rapid changes in network topology require that the routing protocols must find routes quickly.

Wireless routing protocols are mainly classified into three categories: proactive, reactive and hybrid. For large networks, we propose a hybrid routing protocol which combines the merits of proactive and reactive approach and overcome their demerits. We measure the performance of our routing protocol, mainly by its message complexity, expressed as functions of the number of nodes ( $m$ ) in the network. The message complexity is the maximum number of messages used by the routing algorithm in the worst case. For

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networks (as ours) with tree based routing structures, we assume that message complexity is  $O(m)$  size. The time complexity is the maximum number of steps required to establish the routes, in the worst case. Indeed we assume that internal computation time is dominated by the message transmission time. The main processes of our algorithm are the paths discovery and their maintenance, these processes are based on the messages broadcasting which takes  $O(m)$  time complexity. Finally, in our solution, the destination node and the source node wait for a certain period of time before replying to queries and starting the multipath selection procedure. These two waiting periods generates an additional time cost for the path selection, which is constant and independent of  $m$ .

### **5 Performance Evaluation of ES-CMR**

In this section, we present simulation results to demonstrate the efficiency of our proposed routing protocol. First we present the metrics used for performance evaluation and then we evaluate our protocol by comparing it with a well-known protocol in the literature, namely EACMR. This evaluation comes with an analysis and discussion of results.

#### *5.1 Performance metrics*

We evaluate three key performance metrics: energy consumption, network lifetime and end-to-end delay. Energy consumption is the average of the energy consumed by nodes participating in packet transfer from the source node to the destination node during the whole simulation. The network lifetime can be defined in three ways (Bheemalingaiah et al., 2009)<sup>2</sup>: the time taken to exhaust the battery of the first network node, the time taken to exhaust the battery for a number network nodes, and the time when the battery of the last network node is exhausted. We choose the second way; this allows to see the evolution of the lifetime of the network. End-to-end delay is the average transmission delay of data packets that are delivered successfully over the total duration of the simulation.

#### *5.2 Performance evaluation*

In our protocol, the choice of the path made by a source node to transmit data packets toward a destination node is based on the energy of nodes and the path stability. This is mainly affected by the value of two weights  $\alpha$  and  $\beta$ . We carried out simulations to determine the effectiveness of our protocol. The principal goal of these simulations is to analyze our protocol by comparing it with the protocol EACMR (Bheemalingaiah et al., 2009)<sup>1</sup>.

We have chosen as values for the coefficients of the clustering protocol, the values proposed by the original paper (Wang and Bao, 2007), i.e.  $c_1=c_2=c_4=0$ ,  $c_3=1$ . The values of simulation parameters are summarized in Table 1.

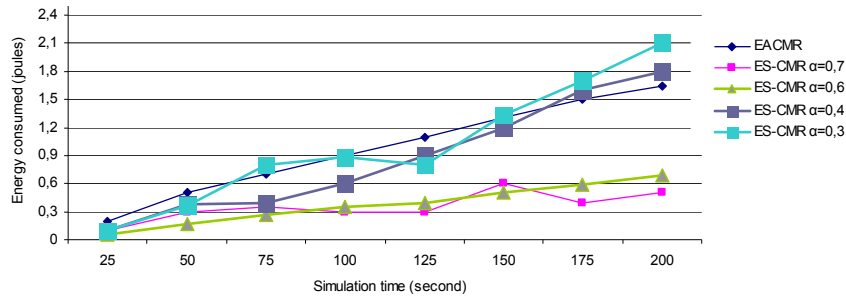
**Table 1** Simulation parameters

Communication Model	Constant Bit Rate (CBR)
MAC type	IEEE 802.11
Mobility model	Random Waypoint
Terrain range	840 m × 840 m
Transmission range	250 m
Number of mobile nodes	60, 90, 120, 150 and 180
Data payload	512 bytes
RREQ Wait Time	0.3 s
RREP Wait Time	0.3 s

To evaluate ES-CMR, we use the network simulator ns-2 (Network Simulator ns-2). Simulation time equals 200 seconds, this duration gives significant results. During each simulation, constant bit rate (CBR) connections are generated, each of which produces four packets per second with a packet size of 512 bytes. The values of *RREQ\_Wait\_Time* and *RREP\_Wait\_Time* are set to 0.3 seconds.

We vary the number of network nodes from 60 to 180 to get different scenarios in an 840 m × 840 m environment. The radio model uses characteristics similar to a commercial radio interface, Lucent's Wave LAN. Wave LAN (Feeney and Nilsson, 2001) it is a shared-media radio with a channel bandwidth of 2 Mbps, a free space radio propagation model and a nominal radio range of 250 m. Each node is equipped with a single network interface card. The distributed coordination function of IEEE 802.11 is assumed at MAC layer. Each simulation is carried out under a different number of network nodes and the performance metrics are obtained by averaging over 10 simulation runs. We assume that a node consumes 281.8 mW while receiving and 281.8 mW while transmitting (Jung and Vaidya, 2002), the energy consumption during the idle state is not considered because no real node energy optimization can be achieved in the idle state (Kim et al., 2003). In our simulations, we initialized the energies of the nodes randomly between 10 and 60 Joules (uniform distribution), which corresponds to the usual capacity of a battery. The Random Waypoint model is used to simulate node movement; the nodes could move in all possible directions with displacement varying uniformly between 0 to a maximum value, per unit time. The nodes moved randomly in all possible directions with a maximum displacement of 15 m/s, along each coordinate axis. The weighting factor  $w_{ij}$  is set to 1, considering that all the nodes have the same battery parameters. The drain rate  $DR_{ij}(t)$  is chosen randomly between 1 and 3. Finally the source and destination nodes are randomly selected as well as the distribution of the network nodes (uniform distribution).

Figure 6 shows the energy consumed by the ES-CMR and EACMR protocols for different values of  $\alpha$  for a number of network nodes equal to 180 nodes. Four  $\alpha$  values are presented: 0.3, 0.4, 0.6 and 0.7. We note that when  $\alpha$  equals or greater than 0.6, ES-CMR produces effective results. In consequence, we set  $\alpha= 0.6$  for the rest of our simulations. Initially, ES-CMR is not better than EACMR, because initially the majority of packets are not yet transmitted, so the total energy of sending and receiving packets is not important. But as time increases, there is some energy imbalance which is noted and then the impact of our protocol is significant. The energy consumed in ES-CMR is less than the energy consumed by EACMR. In Figure 6 (with  $\alpha= 0.6$ ) the energy consumed by ES-CMR is reduced by 39% when compared to EACMR, when simulation ends (at time 200 s).

**Figure 6** Energy consumed over time

ES-CMR with  $\alpha \geq 6$ , consumes less energy than EACMR, because ES-CMR favours paths with a high energy based on paths selection (a heavy weight is associated to the energy aware metric). The network life depends on the node expiration which in turn depends of the energy consumption. The network lifetime metric is shown in Figure 7 at different times for a number of network nodes equal to 180 nodes. The network lifetime of ES-CMR is longer than EACMR, at every time, ES-CMR has a smaller number of nodes that is exhausted compared to EACMR. Thus, ES-CMR balances the energy among all the nodes and using stable paths, this extends the path lifetime and hence the network lifetime.

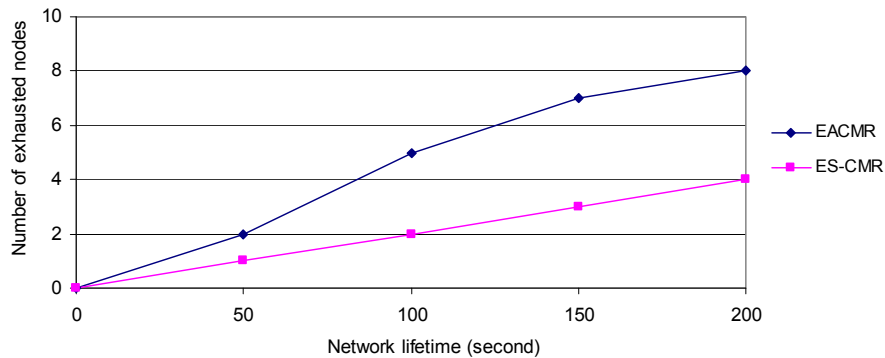
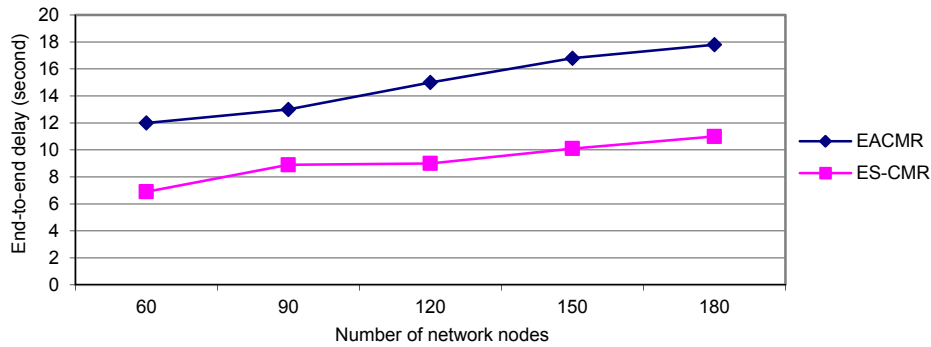
**Figure 7** Number of exhausted nodes over time

Figure 8 shows the average end-to-end delay. The average end-to-end delay for all tested protocols increases as the network size increases, but the average end-to-end delay of ES-CMR is lower than EACMR. When the number of nodes of a network is between 60 and 120, the delay of the ES-CMR protocol is nearly 58% lower than that of the EACMR protocol and nearly 61% lower when the network number of nodes is between 120 and 180.

**Figure 8** End-to-end delay versus number of nodes



Indeed our ES-CMR protocol prefers energy efficient paths, which ensures less path failures. Moreover, ES-CMR is a routing protocol that can use alternative paths when a link path is broken. The alternative path is chosen between the most stable paths with enough energy. This minimizes links failures, and reduces the delay of rerouting and overhead consumption.

## 6 Conclusion

In this paper, we propose ES-CMR which is an energy aware and stable clustered-based multipath routing protocol for wireless ad hoc networks, in order to reduce node energy consumption, end-to-end delay and extend network lifetime. ES-CMR is a cluster-based protocol that improves the performance of ad hoc networks. The proposed protocol is a multipath routing protocol that uses an energy-aware mechanism which exploits the residual energy of nodes and link stability to select the best discovered paths. We conducted simulation experiments to evaluate the performance of our protocol and to demonstrate that it performs significantly better than a well-known protocol proposed in literature such as EACMR. ES-CMR reduces energy consumption by at least 39% compared to EACMR for a network size of 180. ES-CMR outperforms EACMR by extending the node lifetime and has a lower average end-to-end delay, because paths are computed depending on the energy of their nodes and their stable links.

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