



HAL
open science

Electrostatics and Polarity in 2D Oxides

Claudine Noguera, Jacek Goniakowski

► **To cite this version:**

Claudine Noguera, Jacek Goniakowski. Electrostatics and Polarity in 2D Oxides. Netzer, FP; Fortunelli, A. OXIDE MATERIALS AT THE TWO-DIMENSIONAL LIMIT, 234, SPRINGER-VERLAG BERLIN., pp.201-231, 2016, Springer Series in Materials Science, 978-3-319-28332-6; 978-3-319-28330-2. 10.1007/978-3-319-28332-6_7 . hal-01403907

HAL Id: hal-01403907

<https://hal.science/hal-01403907v1>

Submitted on 7 Jul 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Contents

Electrostatics and polarity in 2D oxides	3
Claudine Noguera and Jacek Goniakowski	
1 Introduction	3
2 Polarity concepts	4
3 Polarity scenarios in thin films	7
3.1 Electronic reconstruction in polar films	8
3.2 Uncompensated polarity	9
3.3 Structural transformation	10
3.4 Support effects	11
4 Interface polarity	13
4.1 Criterion of compensation	14
4.2 Electronic reconstruction at polar/polar interfaces	15
4.3 Finite size effects	16
5 Polarity in 2D nano-ribbons and nano-islands	18
5.1 Electrostatic characteristics	18
5.2 Unsupported polar ribbons	19
5.3 Finite size effects	22
5.4 Interfaces between polar ribbons	23
5.5 Support effects	23
5.6 Polar nano-islands	25
6 Conclusion	27
References	27
Index	33

Electrostatics and polarity in 2D oxides

Claudine Noguera and Jacek Goniakowski

Abstract We review the manifestations of electrostatic interactions, and in particular polarity effects, in oxide ultra-thin films and two-dimensional nano-objects. We discuss the efficiency of various compensation mechanisms such as overall structural transformations, strong lattice relaxations, inhomogeneous charge redistributions, support effects, and/or the formation of 2D or 1D electron/hole gases.

1 Introduction

Due to its relevance in mineralogy, geochemistry, catalysis, electrochemistry, electronics, magnetic recording, etc, in the past 25 years the field of oxide surfaces has enormously evolved. While the characteristics and properties of most binary oxide surfaces are now well-established, in the last decade, the main focus has been on ultra-thin oxide films. Usually grown on metallic supports, these systems may represent oxygen reservoirs, inverse catalysts, patterned supports for the formation of catalytically active size-selected clusters, or device constituents in the emerging field of all-oxide electronics. When the film thickness is no more than one or two atomic layers, depending upon preparation conditions, these two-dimensional (2D) oxides may display structures, stoichiometries and properties that are not seen in bulk crystals [1, 2, 3, 4, 5, 6]. The same is expected in 2D small objects, such as

Claudine Noguera
CNRS, Institut des Nanosciences de Paris, UMR 7588, 4 place Jussieu, 75005 Paris, France
Sorbonne Universités, UPMC Univ Paris 06, Institut des NanoSciences de Paris, F-75005, Paris,
France
e-mail: claudine.noguera@insp.jussieu.fr

Jacek Goniakowski
CNRS, Institut des Nanosciences de Paris, UMR 7588, 4 place Jussieu, 75005 Paris, France
Sorbonne Universités, UPMC Univ Paris 06, Institut des NanoSciences de Paris, F-75005, Paris,
France
e-mail: jacek.goniakowski@insp.jussieu.fr

nano-ribbons or nano-islands, whose controlled fabrication and growth nowadays remain a difficult challenge.

The present chapter focuses on the manifestations of electrostatic interactions in oxide ultra-thin films and 2D nano-objects, and in particular on polarity effects. Indeed, numerous oxide properties cannot be understood without taking into account the strong Coulomb interactions which result from their ionic character. Along certain crystallographic directions, a macroscopic polarization induces a surface instability. These *polar* orientations have been thoroughly studied at semi-infinite surfaces [7, 8]. But in polar ultra-thin films, especially when their thickness reaches the monolayer (ML) limit, new questions arise, which are related to the role of electrostatic interactions and dimensionality. Compared to semi-infinite surfaces, new mechanisms of polarity compensation exist at the nanoscale, involving, among others, complete changes of structures, strong lattice relaxations, inhomogeneous charge redistributions. The interplay between polarity and substrate effects, interfacial charge transfers, and lattice mismatch, have also to be considered since most 2D-objects are grown on (metallic or insulating) substrates. Finally, the possibility to stabilize 2D or 1D electron or hole gases (2DEG, 2DHG, 1DEG, 1DHG) at polar interfaces or at polar island edges in new layered materials has recently generated excitement in the physics community.

The aim here is to present an overview of the consequences of the strong Coulomb interactions and polarity effects which exist in low dimensional oxide structures. We will outline how polarity concepts, which have been developed for surfaces and which are recalled in Section 2, apply or have to be modified at the nanoscale. For this purpose, they will be successively reviewed in the cases of ultra-thin films (Section 3), interfaces (Section 4), and 2D ribbons or islands (Section 5).

2 Polarity concepts

In Tasker's classification [9], compound surfaces are differentiated according to whether or not the structural repeat unit starting from vacuum bears a non-vanishing dipole moment. The presence of a dipole moment is characteristic of *polar* surfaces.

In the simplest capacitor-type representation of a crystal cut along a polar orientation (Fig. 1), with alternating positively and negatively charged plates (charge densities $\pm\sigma$, successive inter-plate distances R_1 and R_2), an electrostatic instability — sometimes called polar catastrophe — develops due to the existence of a macroscopic electric field perpendicular to the surface. According to Gauss law, this instability can be healed by an excess charge density $\pm\delta\sigma$ on the external plates, such that:

$$\delta\sigma = \sigma\mathcal{R} \quad (1)$$

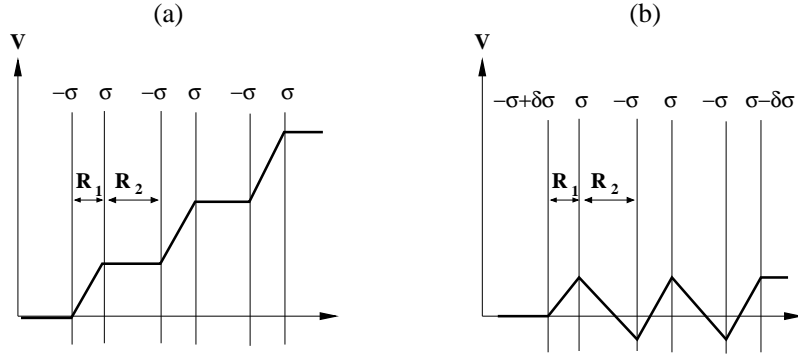


Fig. 1 (a): Capacitor model of a polar surface with alternating layers of charge densities $\pm\sigma$ and spatial variation of its electrostatic potential V . (b): Same but with excess charges $\pm\delta\sigma = \sigma R_1/(R_1 + R_2)$ on the outer layers which suppress the monotonic variation of V .

with $\mathcal{R} = R_1/(R_1 + R_2)$ [7, 8, 9]. $\sigma\mathcal{R}$ represents the dipole moment per unit volume of the repeat unit. The electrostatic field due to $\delta\sigma$ precisely cancels the average macroscopic electric field in the bulk of the material.

Beyond this simplistic picture, in realistic compounds, the charge density σ of the atomic layers is delocalized and differs from the value Σ obtained by assigning formal charges to the atoms. It involves an electronic contribution σ_{el} due to covalent effects, so that $\sigma = \Sigma + \sigma_{el}$. Whatever the partitioning scheme adopted to define σ and σ_{el} , the electrostatic criterion, Eq. 1, defines the modification of surface charge density $\delta\sigma$ required for surface stability, which is then the sum of two contributions $\delta\sigma = \delta\sigma_{el} + \delta\sigma_{comp}$. The first one, $\delta\sigma_{el}$, comes from the modification of covalent effects at surfaces, due to the change in local environment of the atoms, associated, for example, to bond breaking. It exists whatever the surface orientation and is not specific to polarity. The second contribution, $\delta\sigma_{comp}$, represents the compensating charge which has to be provided by processes other than covalency effects, for example partial filling of surface bands, surface adsorbates, vacancies, non-stoichiometric reconstructions, or non-isoelectronic substitutions, in order to stabilize the surface. It is specific to polarity and, using Eq. 1, its value has to be equal to:

$$\delta\sigma_{comp} = \Sigma\mathcal{R} + (\mathcal{R}\sigma_{el} - \delta\sigma_{el}) \quad (2)$$

It consists of an ionic term $\Sigma\mathcal{R}$ plus an electronic one $\mathcal{R}\sigma_{el} - \delta\sigma_{el}$.

The Modern Theory of Polarization [10, 11, 12] provides a formal derivation of this result. It relates the compensating charge, $\delta\sigma_{comp}$ to the bulk polarization P_{bulk} of the system, i.e. its dipole density, and writes the latter as:

$$\delta\sigma_{comp} = P_{bulk} \quad ; \quad P_{bulk} = \Sigma\mathcal{R} + P_{el} \quad (3)$$

The electronic contribution P_{el} , which depends on the center-of-gravity positions of the valence band (VB) Wannier functions, corresponds to the terms $\mathcal{R}\sigma_{el} - \delta\sigma_{el}$

written in Equation 2. P_{el} is non-zero in non-centro-symmetric structures in which the electronic density presents an asymmetry [10, 12, 13, 14].

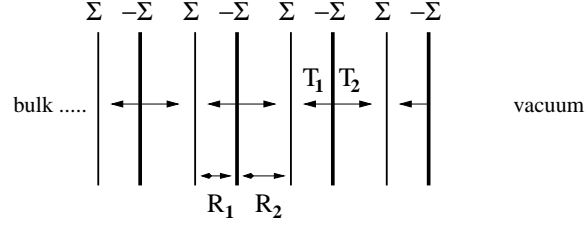


Fig. 2 Succession of layers along a direction perpendicular to a polar surface. T_1 and T_2 represent the interlayer electron transfers associated to R_1 and R_2 interlayer distances, respectively. $\pm\Sigma$ are the layer formal charge densities. Due to bond breaking, T_2 is missing at the surface.

Some qualitative insight into P_{el} is given by a simple tight-binding approach which accounts for electron delocalization through inter-layer transfers T_1 and T_2 per 2D unit cell (T_1 and T_2 positive), as shown in Fig. 2. In this particular partitioning of space, the electronic contribution to σ is thus $\sigma_{el} = -T_1 - T_2$. At the surface T_2 is missing, which yields $\delta\sigma_{el} = -T_2$. Local charge redistributions modifying the mixed ionic and covalent character of the bonding in the surface region, and thus T_1 and T_2 , are irrelevant, since they induce no global charge variation. In this simple scheme, $\delta\sigma_{comp}$ and the electronic contribution to P thus read:

$$P_{el} = \sigma_{el} \left(\mathcal{R} - \frac{T_2}{T_1 + T_2} \right) \quad (4)$$

This expression, not intended to be used for quantitative evaluation, shows that symmetry considerations alone can tell whether or not $P_{el} = 0$. Indeed, the key issue for its cancellation is in the difference between $T_2/(T_1 + T_2)$ and \mathcal{R} . It vanishes, for example, in rock salt (111) or zinc blende (100) compounds where layers are equidistant ($R_1 = R_2$ and $\mathcal{R} = 1/2$) and $T_1 = T_2$ by symmetry. Similarly, it vanishes in zinc blende (111) compounds where $R_2 = 3R_1$ and, by symmetry $T_1 = 3T_2$.

In wurtzite compounds, whether or not their structure is ideal, a spontaneous polarization of electronic origin exists, due to the absence of inversion symmetry in their lattice. Whenever $\Sigma\mathcal{R} \neq 0$, the electronic contribution P_{el} is often negligible, so that relying on a formal charge analysis most of the time allows a correct assignment of polarity. However, when $\Sigma\mathcal{R} = 0$, either because $\Sigma = 0$ or because $\Sigma\mathcal{R}$ is exactly canceled out by external charges, P_{el} remains the sole factor which drives polarity effects. An illustration of the case $\Sigma = 0$ is given in Fig. 3, which displays the value of P_{el} and its consequences on surface polarity, in an hypothetical distorted ($R_1 \neq R_2$) perovskite bulk. In thin films or nano-objects, interfacial strain and global symmetry lowering may also induce non-vanishing and non-negligible P_{el} , so that a formal charge analysis may not always be sufficient to assess the polar character of these nanoscale objects.

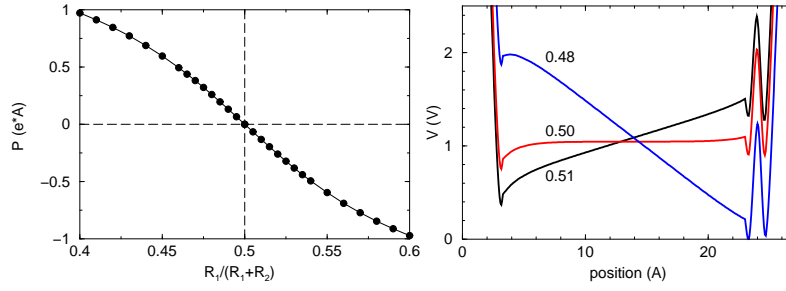


Fig. 3 Left: Polarization in an hypothetical distorted SrTiO_3 bulk with flat, formally-neutral (001) layers, for various values of \mathcal{R} . Only when $\mathcal{R} = 0.5$ does the polarization vanish. When $\mathcal{R} \neq 0.5$, since $\Sigma = 0$, its non-zero value solely comes from its electronic contribution P_{el} . Right: Electrostatic potential profile across a distorted $\text{SrTiO}_3(001)$ slab for $\mathcal{R}=0.48, 0.5$ and 0.51 . The non-zero slope when $\mathcal{R} \neq 0.5$ is the signature of polarity.

One can remark that polar semi-infinite surfaces are characterized by a *polarization discontinuity* δP between a polar compound ($P_{bulk} \neq 0$) and vacuum, which is a medium with zero polarization ($P = 0$). The same is true for polar surfaces in contact with any non-polar medium [15], to which all previous considerations apply.

In order to provide the compensating charge densities $\delta\sigma_{comp} = P_{bulk}$ required for surface stabilization, several mechanisms may be at work, whose relative efficiency is strongly dependent on the oxide, the surface atomic structure, the chemical environment or the thermodynamic conditions (temperature, oxygen or water partial pressures). As reviewed in Refs. [7, 8], a modification of the surface region composition by an adequate density of charged vacancies, or the adsorption of an adequate density of charged foreign species, in particular protons or hydroxyl groups resulting from the dissociation of water molecules, are the most commonly met. Whenever $P_{el} \neq 0$, it should be kept in mind that these required densities are close to, but not equal to \mathcal{R} . This is for example the case at wurtzite (0001) surfaces, whether ideal or not. There are also examples of surface configurations consistent with a metallization mechanism, sometimes called electronic reconstruction, in which a modification of the surface band filling (Zener breakdown) induces the presence of 2DEG/2DHG at the surface.

3 Polarity scenarios in thin films

As reviewed in Refs. [8, 16], the physics of polar thin films is very rich in new electronic and structural features, due to finite size effects, structural flexibility, softening of the electrostatic interactions and interaction with the substrate. In particular, at variance with semi-infinite surfaces, the stability of polar film does not necessar-

ily require $\delta\sigma_{comp} = P_{bulk}$. We will successively describe several scenarios that may take place.

3.1 Electronic reconstruction in polar films

As evidenced for example in MgO(111) [17, 18], CoO(111) [19] or ZnO(0001) [20, 21] films, two qualitatively different thickness regimes may be differentiated. At large thicknesses, the polarity stabilization mechanisms are qualitatively similar to those occurring at semi-infinite surfaces. However, the electronic reconstruction scenario displays finite size characteristics, that are specified below.

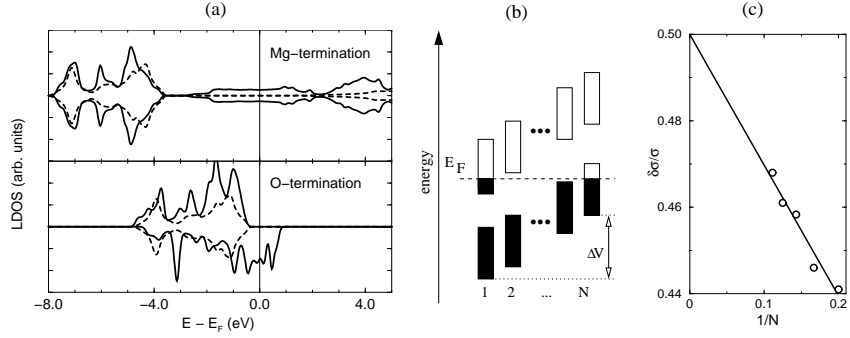


Fig. 4 (a): Spin resolved LDOS on the magnesium (top) and oxygen (bottom) terminations of a MgO(111) film, highlighting the metallization of both surfaces and the magnetic moment on the oxygen termination. (b): Sketch of the electronic structure across a polar thick film. (c) Thickness dependence of $\delta\sigma/\sigma$, deduced from a Bader analysis, in thick MgO(111) films, evidencing its $1/N$ asymptotic behavior.

Simulations of the electronic structure of thick polar films evidence metallization and spin polarization at their surfaces (Figure 4a). The local VB on one side of the film and the local conduction band (CB) on the other side intersect the Fermi level. This allows a reduction of charge density $\pm\delta\sigma$ on both terminations, through electron exchange between them (Zener breakdown). More precisely, the local densities of states (LDOS) are monotonically shifted across the film, due to a residual polarization coming from the opposing effect of the film polarization P and $\delta\sigma$ (Figure 4b). The associated total band shift ΔV , no longer proportional to the film thickness, remains of the order of the surface gap (G) to allow band overlap and electron transfer.

The self-consistent relationship between ΔV and $\delta\sigma$ constrains the latter to vary with the film thickness $N(R_1 + R_2)$ (N the number of repeat units), according to the asymptotic law [22, 23] (ϵ^∞ the film dielectric constant):

$$\delta\sigma_{comp}(N) \approx P_{bulk} - \frac{\Delta V \epsilon^\infty}{4\pi N(R_1 + R_2)} \quad (5)$$

An example of the $1/N$ dependence of $\delta\sigma$, found in MgO(111) films, is shown in Fig. 4c. Thus, in these finite size systems, $\delta\sigma_{comp}$ differs from the bulk polarization P_{bulk} :

$$\delta\sigma_{comp}(N) \neq P_{bulk} \quad (6)$$

whenever G is non-zero. Only in the $N \rightarrow \infty$ limit is the equality recovered.

3.2 Uncompensated polarity

Under specific conditions, the total shift of electrostatic potential ΔV may be insufficient to induce band overlap. This happens, either at extremely low thickness without much structural distortion ($P \approx P_{bulk}$), or at low thickness if the film structure is flexible enough to strongly reduce its polarization with respect to the bulk value ($P \ll P_{bulk}$). Whatever the case, $\delta\sigma_{comp} \neq P$ since

$$\delta\sigma_{comp} = 0 \quad (7)$$

For this reason, this regime has been called "uncompensated polarity" [18]. In another context, it is referred to as the subcritical regime [24]. The associated electronic structure is sketched in Fig. 5a.

This scenario was discovered in a theoretical study of stoichiometric MgO(111), ZnO(0001) and NaCl(111) ultra-thin films, which display a metastable zinc blende phase at low thickness with strongly size-dependent properties [18]. As shown in Fig. 5b, \mathcal{R} is extremely reduced thanks to a drastic decrease of the inter-layer distance R_1 . The total dipole moment grows linearly with N and simultaneously, G decreases. The electronic structure remains insulating but only up to a critical thickness N_c . At N_c , a metal-insulator transition takes place with a strong discontinuity in \mathcal{R} and $\delta\sigma$. Above N_c , all film properties follow the general trends of compensation by metallization described in the previous section

Kaolinite films have also been predicted to display the same scenario, although the uncompensated mechanism was not referred to [25]. Kaolinite $\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4$ is a layered silicate compound, whose (0001) layers are composed of two sublattices, made of a silica and a gibbsite sheet, respectively. Due to this asymmetry, the repeat unit along the (0001) orientation bears a finite dipole moment, making this orientation polar. According to the simulation, kaolinite (0001) films display a metal insulator transition when the thickness reaches about three repeat units.

A nearly identical scenario has been invoked in $\text{LaAlO}_3(100)$ and $\text{KTaO}_3(100)$ films grown on a SrTiO_3 substrate to rationalize experimental results [26, 27, 28, 29, 30]. It gave rise to an intense debate on whether the 2DEG originates from polarity or/and from the presence of oxygen vacancies [31, 32]. Indeed, these oxides are insulating, but the $\text{LaAlO}_3(100)$ and $\text{KTaO}_3(100)$ orientations are polar. For example,

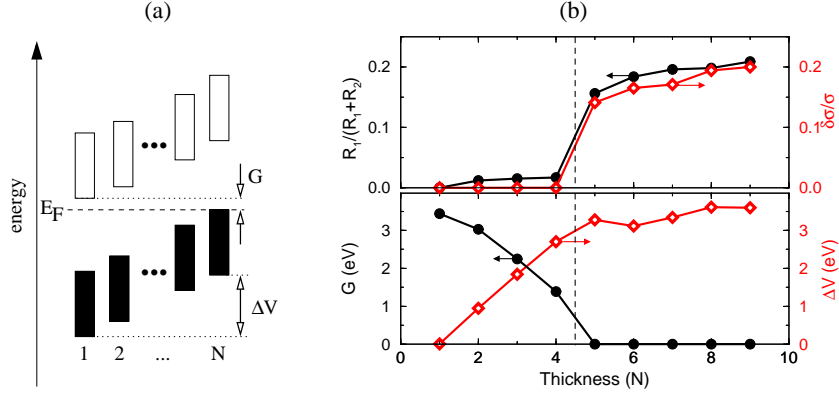


Fig. 5 (a): Sketch of the electronic structure across a polar uncompensated film for $N < N_C$; (b) thickness dependence of unsupported MgO (111) film properties in the metastable zinc blende phase. Top panel: $\mathcal{R} = R_1/(R_1 + R_2)$ (black symbols) and excess Bader charge densities $\delta\sigma/\sigma$ (red open symbols); Bottom panel: gap G (black symbols) and total voltage ΔV (red open symbols).

in LaAlO_3 , a metal-insulator transition occurs at a critical thickness of four repeat units [24, 26]. This transition was assigned to the shift of the LaAlO_3 bands, which, beyond N_C , allows its top of VB to overlap the SrTiO_3 CB minimum.

3.3 Structural transformation

The literature review on polar ultra-thin films [8, 16] evidences another scenario at low thickness, in which a global structural transformation takes place in order to make the film orientation non-polar. In that case, the equality $\delta\sigma_{comp} = P$ is fulfilled, but in the specific limit where:

$$P = 0 = \delta\sigma_{comp} \quad (8)$$

Such transformation results from a competition between surface and bulk energy terms, in which the surface term wins. The new structure being different from the bulk ground state, there is a cost of energy for each additional repeat unit when the thickness N increases. However, as long as N remains small, the gain in surface energy in having a non-polar orientation is able to overcome this cost. Thus, up to a critical thickness, ultra-thin polar films may sustain a structure which is not their bulk ground state but allows a non-polar termination.

This generic scenario was first proposed in Ref. [17], accompanying simulations of MgO(111) films (Figure 6a). It rationalizes theoretical or experimental findings made in many polar binary oxide films, such as MgO [33], FeO [34, 35], CoO [36, 37], ZnO [38, 39], BeO [40, 41], other wurtzite type compounds [42], and

AlO_x [43], which evidence nearly flat repeat units and expanded in-plane lattice parameters compared to bulk. Among the reported results, a frequently-met feature is the existence of flat layers with a graphene-like honeycomb structure made of six-member rings with equal number of cations and oxygens (Figure 6b). When such layers are stacked together, a non-polar (0001) film of an hexagonal boron nitride structure (h-BN) is produced. This scenario is relevant for binary compounds which, in the bulk, crystallize in the rock salt, zinc blende or wurtzite structures. More generally, the stabilization of polar films by a strong transformation of the bulk equilibrium structure appears to be a quite general scenario at extremely low thickness, due to the structural flexibility of ultra-thin films.

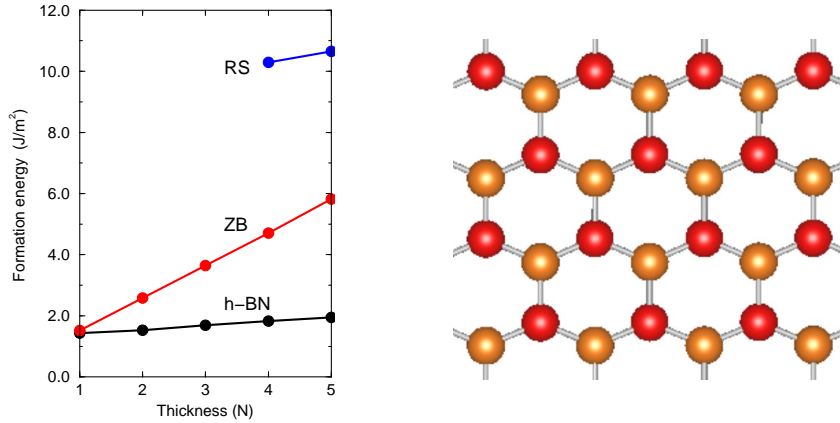


Fig. 6 (a): Structural phase diagram of $\text{MgO}(111)$ unsupported films as a function of thickness, indicating the relative stability of the rock salt (RS), zinc blende (ZB) and h-BN phases. (b): Top view of the honeycomb structure of the h-BN ML.

3.4 Support effects

While previous scenarios are intrinsic to (unsupported) polar thin films, the interaction with a support can significantly modify the film structure, their stability and their electronic characteristics, depending on their thickness.

At the interface between a thick polar film and a metal substrate, the cost of polarity is strongly reduced by a transfer of the compensating charge from the oxide to the metal [44, 45]. The interfacial oxide bands recover their normal filling, and it is the filling of the metal bands in contact with the oxide which changes with respect to that of the clean metal surface. This transfer avoids electron excitations across the oxide gap and replaces them by low-energy excitations in the vicinity of the metal Fermi level. The associated gain of energy is reflected in a strong interfa-

cial adhesion. This process was invoked to support the experimental evidence of a structurally and chemically abrupt Cu/MgO(111) interface [46, 47].

When the oxide film is thin and especially when polarity effects are weak or absent (case of uncompensated polarity or structural transformation), an interfacial charge transfer of a different origin takes place between the film and the metal substrate, which is no longer driven by the requirement of polarity compensation. Due to interfacial hybridization and/or penetration of the MIGS (Metal Induced Gap States), its amount and sign depend on the relative position of the metal Fermi level and the oxide point of zero charge. The associated dipole moment contributes to the Schottky barrier height [48, 49, 50]. For example, deposition of a MgO(111) film on a simple metal (Mg, Al) of low electronegativity results in an electron transfer from the substrate to the film, while on transition metal substrates (Ag, Mo, Pt) an opposite transfer occurs. Such charge transfer is unrelated to polarity as it may also occur at the interface between a metal and a non-polar surface.

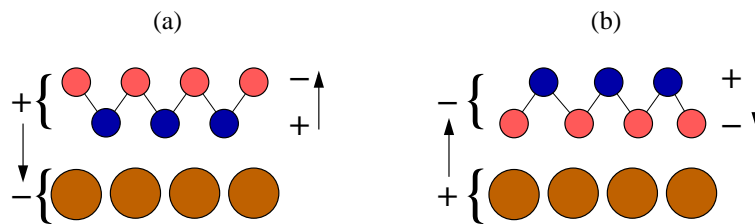


Fig. 7 Schematic representation of the two dipoles associated to the charge transfer between an oxide film and its metal support and to the rumpling inside the oxide film (shown by arrows), for the two cases of a negative (a) and a positive (b) metal charging. In the first case, oxygen atoms of the oxide film are repelled by the negative charge of the metal and pushed outwards. In the second case, they are attracted by the substrate. Cations, oxygens, and metal atoms are represented as blue, red and large brown balls, respectively.

As a *response* to the electrostatic field produced by the interfacial charge transfer, thin films undergo a structural distortion as schematized in Fig. 7 in the case of an oxide ML of honeycomb structure deposited on a metal substrate. While perfectly flat when unsupported, the ML gets rumpled upon deposition, in such a way that the dipoles associated to charge transfer and rumpling have opposite sign and thus partially compensate each other [51]. This argument based on a generic electrostatic coupling between charge transfer and structural distortion rationalizes the small and large rumpling values in MgO/Ag(111) and FeO/Pt(111) ML films, respectively.

A similar electrostatic coupling between charge transfer and structural distortion applies to adsorbates on thin oxide films supported on a metal support. Upon deposition, the adsorbates become charged, in a way which is mainly determined by the electronic characteristics (e.g. the work function) of the oxide-on-metal support [52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62]. In response to this charge transfer, especially at low film thickness, a polaronic distortion of the oxide film takes place around the charged adsorbates, which significantly stabilizes them [63] and has im-

plications on the growth, chemical, optical, and magnetic properties of adsorbed metal particles, their self-organization [3, 64] and their wetting on the oxide film [54, 58].

It has furthermore been demonstrated that this mechanism allows to incorporate oxygen atoms into ultra-thin oxide films supported on a metal, producing oxygen-rich oxide phases, with no bulk equivalent, which may serve as oxygen reservoirs in low temperature oxidation reactions [65, 66]. A prototypical example is the FeO ML on Pt(111) which, upon interaction with an oxygen atmosphere, transforms into O-Fe-O trilayer islands stabilized by a strong interfacial charge transfer [67, 68]. A similar mechanism is also likely active for other O-M-O trilayers, such as IrO₂ [69], PdO₂ [70], RhO₂ [71], RuO₂ [72] and MnO₂ [73, 74].

4 Interface polarity

Oxide/oxide interfaces have recently focused the attention of the research community, due to the entirely new properties they display compared to their parent materials, and to their much wider variety of behaviors compared to traditional semiconductor/semiconductor interfaces [75, 76]. The possibility of producing a confined 2DEG/2DHG, subject of enhanced correlation effects, and magnetic or even superconducting instabilities, represents a particularly exciting issue. This section focuses on the compensation scenarios which may take place at polar/polar interfaces. We will not consider polar/non-polar interfaces for which, as stated in Section 2, the absence of polarization in one of the compounds makes their polarity characteristics similar to those described at the interface between polar films and vacuum.

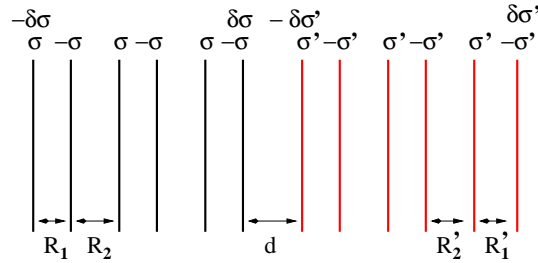


Fig. 8 Capacitor model of a polar/polar interface, assuming contact between two layers of opposite character. In a heterostructure, the two outer layers (extreme left and right layers) are free surfaces in contact with vacuum. In superlattices, they are in contact with each other, via the application of periodic boundary conditions. In that case, the outer interface charge density is equal and opposite to that in the central interface.

4.1 Criterion of compensation

A straightforward extension of Equations 1 and 3 to polar/polar interfaces in the large thickness limit (Figure 8), yields the following electrostatic criterion for the stability of the interface:

$$\delta\sigma = \mathcal{R}\sigma \quad ; \quad \delta\sigma' = \mathcal{R}'\sigma' \quad (9)$$

The excess charge densities $\delta\sigma$ and $\delta\sigma'$ are required at the polar terminations of each medium, leading to an excess interface charge density:

$$\delta\sigma^I = \delta\sigma - \delta\sigma' \quad (10)$$

Aside from those provided by covalent contributions, the remaining compensating charges leading to the cancellation of the polarizations P and P' present in the core of each subsystem read:

$$\delta\sigma_{comp} = P = \mathcal{R}\Sigma + P_{el} \quad ; \quad \delta\sigma'_{comp} = P' = \mathcal{R}'\Sigma' + P'_{el} \quad (11)$$

The interfacial compensating charge densities $\pm\delta\sigma^I_{comp}$ are equal to the polarization discontinuity $\delta P = P - P'$ between the two media:

$$\delta\sigma^I_{comp} = \delta\sigma_{comp} - \delta\sigma'_{comp} = \delta P \quad (12)$$

At a polar/polar interface, both polarizations P and P' are different from zero. Using Equation 11, the polarization discontinuity can be written under the following form [77]:

$$\delta P = P - P' = \mathcal{R}(\Sigma - \Sigma') + \Sigma'(\mathcal{R} - \mathcal{R}') + \delta P_{el} \quad (13)$$

which highlights its three contributions:

- the term $\mathcal{R}(\Sigma - \Sigma')$ depends on the difference of formal charge densities $\Sigma - \Sigma'$ between the two parts of the system. It represents the contribution from *valence discontinuity*.
- the term $\Sigma'(\mathcal{R} - \mathcal{R}')$ represents the contribution from *structural discontinuity*.
- the term δP_{el} depends on the difference of the electronic contributions to P and P' . It thus represents the *electronic discontinuity*. It is non-zero when one or both compounds are non-centro-symmetric.

Polarity compensation mechanisms at polar/polar interfaces may be extrapolated from those already mentioned at polar surfaces. They may involve interface non-stoichiometry (including charged vacancies or interfacial mixing [78]), structural reconstructions [79] or electronic reconstruction. In the field of oxide/oxide interfaces, the latter mechanism has been experimentally evidenced at the polar/polar $\text{ZnO}(0001)/\text{Zn}_x\text{Mg}_{1-x}\text{O}(0001)$ interface [80].

4.2 Electronic reconstruction at polar/polar interfaces

In this section, we specify the conditions under which a 2DEG may exist at the interface between two stoichiometric polar compounds [77].

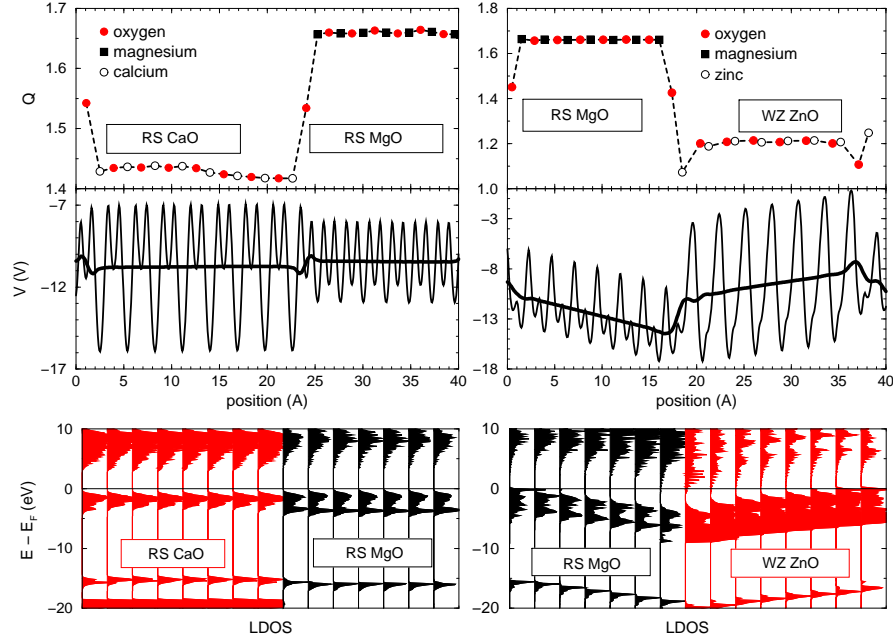


Fig. 9 Electronic properties of rock salt CaO(111)/rock salt MgO(111) (left panels) and rock salt MgO(111)/wurtzite ZnO(0001) (right panels) superlattices. From top to bottom: Bader charges Q (absolute values), electrostatic potential profiles V , and LDOS. The thin line in the potential profiles represent its lateral average \bar{V} , while the thick one corresponds to its cell average \bar{V} .

A first situation arises when $\delta P = 0$. Although, in that case, $\delta\sigma_{comp}^I = 0$, non-negligible charge modifications may take place at the interface, which reveal the electronic contribution to $\delta\sigma^I$. There is no need of electronic or structural reconstruction at the interface, which remains insulating. The profile of the electrostatic potential across the system is flat and there is no residual electric field on both sides of the interface. The interface is thus *non polar*, although each sub-system on its own is polar. For this condition to be fulfilled, there needs to be no structural discontinuity, no valence discontinuity and no electronic contribution to polarization on both sides of the interface. $\delta P = 0$ occurs at ideal zinc blende/zinc blende interfaces when the two compounds have the same valency, at ideal rock salt/rock salt (RS) interfaces (as exemplified in Fig. 9 in the particular case of MgO(111)/CaO(111) interface [77]), at ideal perovskite/perovskite interface such as $\text{KSbO}_3(001)/\text{KNbO}_3(001)$

[81]. The SrTiO₃(110)/LaAlO₃(110) interface studied in Refs. [82, 83] between two perovskites aligned along the polar (110) direction represents a special case. If stoichiometric, this interface is non-polar, since no polarization discontinuity exists between the two materials. However, in the experiment, a metal-insulator transition was evidenced and assigned to polarity effects induced by an interfacial mixing.

A second type of polar/polar interfaces is characterized by $\delta P \neq 0$, when one or several of its three contributions (structural, valence, or electronic discontinuity) are non zero. Such interfaces are *polar*, with a strong voltage across the system, which has to be canceled out, either by interface non-stoichiometry, as in the ZnO/FeO interface [84], or by the formation of a 2DEG, depending upon experimental preparation conditions. For the latter to exist, an overlap between the top of the VB of one compound and the bottom of the CB of the other compound has to take place, as illustrated in Fig. 9 in the case of wurtzite (WZ) ZnO(0001)/rock salt MgO(111)interface [77] and recently recognized in Ref. [15]. The ZnO(0001)/Zn_xMg_{1-x}O(0001) interface, in which Shubnikov-de Haas oscillations and the quantum Hall effect have been observed [80], also belongs to the family of *polar compensated* interfaces.

4.3 Finite size effects

Similarly to what occurs in polar ultra-thin films, polar/polar heterostructures or superlattices involving compounds of finite thickness may display properties modified by finite size effects. The latter may lead to the existence of a subcritical regime or to a structural transformation to avoid polarity.

An uncompensated polarity regime may take place at low thickness, either without much structural distortion (case of a small polarization discontinuity between the two bulk compounds δP_{bulk}), or when the film structure is flexible enough to strongly reduce the polarization discontinuity ($\delta P \ll \delta P_{bulk}$). Under these circumstances, the voltage ΔV due to δP is too small to induce an overlap of the VB of one compound with the CB of the other one at the interface and $\delta \sigma_{comp}^I = 0$. Eq. 12 is thus not fulfilled in this regime ($\delta \sigma_{comp}^I \neq \delta P$). The interface remains insulating up to a critical thickness d_c of the order of $\Delta V / \delta \sigma_{comp}^I$ and displays a metal-insulator transition at d_c [77].

If δP results from a valence discontinuity or a strong structural discontinuity, the critical thickness will be extremely small and the pre-critical regime will likely not be observable. If, however, the polarization discontinuity and thus $\delta \sigma_{comp}^I$ are small enough, d_c may involve up to several tens of repeat units. This may happen at the interface between e.g. two wurtzite compounds with $\Sigma = \Sigma'$. As an example, Fig. 10 displays the interfacial characteristics of an AlN(0001)/GaN(0001) superlattice [77]. Both compounds crystallize in a non-ideal wurtzite structures with slightly different \mathcal{R} . δP thus results from a weak structural discontinuity and from an electronic contribution δP_{el} due to the non-centro-symmetric wurtzite structure. The average potential \bar{V} profile presents a small slope, which yields small band shifts. Below a

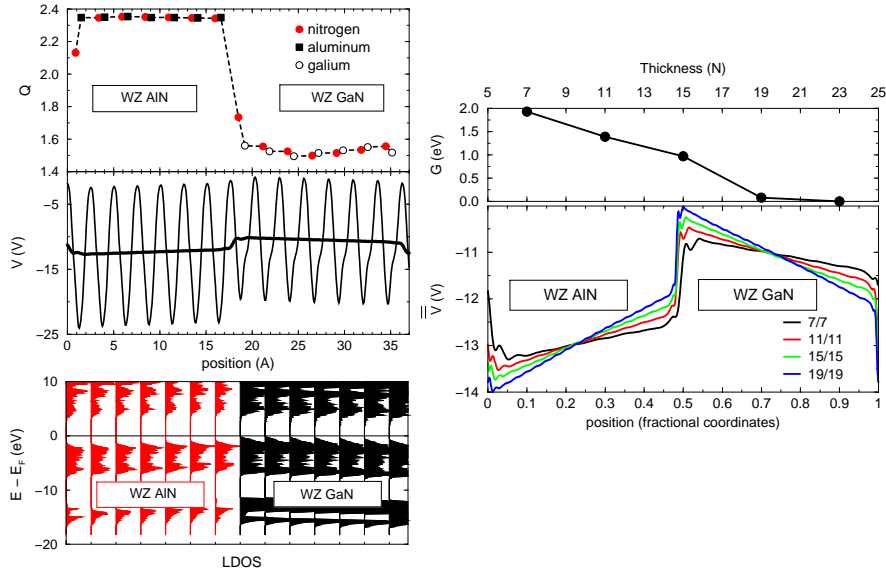


Fig. 10 Left panels: same as in Fig. 9 for a wurtzite AlN(0001)/wurtzite GaN(0001) superlattice. At the thickness under consideration, the interfaces are insulating. Right panels, from top to bottom: Thickness dependence of the gap width G and cell-averaged electrostatic potential profiles (in fractional coordinates), in superlattices of increasing thicknesses.

critical thickness of about twenty repeat units, $\Delta\bar{V}$ is insufficient to induce a band overlap and the interfaces remain insulating. A similar behavior has been found in a simulation of the polar/polar ZnO(0001)/MgO(0001) interface at low thickness, assuming wurtzite structure for MgO [85]. Above d_c , VB and CB overlap, which provides the compensating interfacial charges, and an interfacial 2DEG occurs, as found experimentally in ZnO(0001)/ZnMgO(0001) superlattices in the regime of low Mg doping [80, 86].

Aside from the existence of a subcritical regime, other finite size effects may occur. At small thickness, atomic layers are flexible and may depart from the bulk geometry, either intrinsically or in order to accommodate the interfacial strain. As described in the thin film section, a layer flattening towards a honeycomb structure may allow to avoid polarity at low thickness in thin rock salt or wurtzite films.

These remarks stress that, in superlattices or heterostructures made of thin layers, predicting polarity character from the bulk properties of the two materials may lead to erroneous results. In the case of interfaces with large polarization discontinuity, finite size effects are not likely to alter the polar character of the interface, except if a structural transformation towards non-polar orientations takes place. In other systems, they may modify the structural and the electronic contributions to the polarization discontinuity, and thus the critical thickness of the metal-insulator transition. They may also induce a finite polarization discontinuity in systems in which bulk considerations predict $\delta P = 0$.

5 Polarity in 2D nano-ribbons and nano-islands

While polarity concepts in ultra-thin films are rather well-established, the same is not true in 2D nano-ribbons and nano-islands [16]. A surge of interest in this field has taken place after the discovery of edge states at the Fermi level of graphene zigzag (ZZ) nano-ribbons [87, 88], although, as it will appear clearly, their physical origin is different. It is not often well-recognized that, depending upon the orientation of their edges, 2D objects may have a non-vanishing in-plane polarization. Experimentally, the difficulty in producing them in a well-characterized and reproducible way explains why most information that we have come from atomistic simulations.

5.1 Electrostatic characteristics

Nano-ribbons with infinite edges are the 2D equivalents of thin films. When their 2D repeat unit bears a dipole moment perpendicular to their edges, the latter are polar. The polarity characteristics then depend on the charge density per unit length of the atomic rows $\pm\lambda$, the geometric factor associated to inter-row distances $\mathcal{R} = R_1/(R_1 + R_2)$ and the ribbon width $H = N(R_1 + R_2)$ (N the number of repeat units perpendicular to the edge).

Nano-ribbons may be produced from (001) ML of rock salt structure. Edges along the [110] direction ($\mathcal{R} = 1/2$) are polar, while those along [100] directions are non-polar. Alternatively, starting from the honeycomb-like (0001) ML of the h-BN structure, ribbons with ZZ or armchair (AC) edges can be obtained. The former are polar ($\mathcal{R} = 1/3$) and the latter non-polar. Ribbons cut out of a 1H (0001) trilayer of transition metal dichalcogenides (TMD) may also have ZZ or AC edges. Figures. 11 a and b display top views of polar [110] and ZZ ribbons.

The main electrostatic difference between polar thin films and polar ribbons lies in the logarithmic variation of the electrostatic potential $V(z)$ across a ribbon and the logarithmic dependence of the potential jump ΔV as a function of its width H (Figure 11 c) [89]. Contrary to thin films in which ΔV and the total dipole moment scale linearly with N , in polar ribbons in the absence of compensating charges, ΔV grows as $\ln N$ while the total dipole moment diverges linearly with N . Such logarithmic asymptotic behavior of ΔV and the absence of proportionality between ΔV and the total dipole moment are characteristic of electrostatic fields in 2D.

In the large width limit, the stability of polar ribbons requires edge excess charge densities $\delta\lambda$ (per unit length), whose expression is formally similar to that for $\delta\sigma$ in polar films:

$$\delta\lambda = \mathcal{R}\lambda \quad (14)$$

and the expression of the compensating charges $\delta\lambda_{comp}$, as a function of the 2D polarization P_{ML} (now referred to the full ML) and the formal charge densities Λ borne by the rows, reads:

$$\delta\lambda_{comp} = P_{ML} = \Lambda\mathcal{R} + P_{el} \quad (15)$$

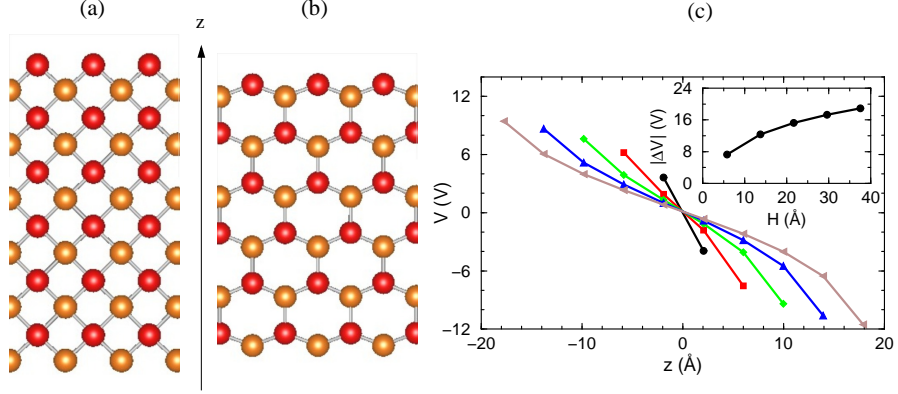


Fig. 11 Top view of polar nano-ribbons cut out of (a) a rock salt (001) ML with [110] edges or (b) an h-BN(0001) ML with ZZ edges. (c): Variations of the electrostatic potential across polar ribbons of increasing width $H = 6, 14, 22, 30,$ and 38 \AA . The inset highlights the logarithmic dependence of $|\Delta V|$ as a function of H .

5.2 Unsupported polar ribbons

Considering the similarities of the electrostatic properties between polar thin films and polar ribbons, it is not surprising that the range of possible behaviors displayed by the latter largely overlaps those described in Section 3. In the following, we will nevertheless highlight some distinctive features of polar ribbons.

In the electronic reconstruction mechanism, due to the increase of ΔV with N , eventually an overlap of the outermost row VB and CB takes place, yielding the compensating charges $\delta\lambda_{comp}$ and edge metallization (1DEG). The LDOS narrowing on edge atoms, due to their reduced coordination number, which is particularly strong on the anion terminations, favors the stabilization of spin polarization together with the edge metallization, as at thin film polar surfaces. ΔV does not vanish but stabilizes at a value of the order of the ribbon gap. This finite value constrains $\delta\lambda_{comp}$ to depart from P_{ML} :

$$\delta\lambda_{comp}(N) \neq P_{ML} \quad (16)$$

and to vary with the ribbon width N according to the following asymptotic law [90]:

$$\delta\lambda_{comp}(N) \approx P_{ML} - \frac{\Delta V \epsilon^\infty}{4 \ln N} \quad (17)$$

This expression bears a close resemblance to the corresponding expression for thin films, Equation 5, except for the logarithmic function of size in the denominator which replaces the linear function in films.

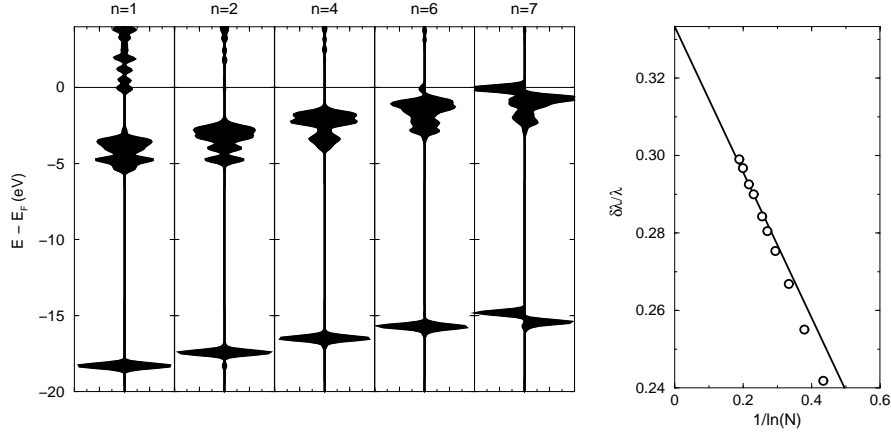


Fig. 12 Left: LDOS through an MgO ZZ ribbon of width $N = 7$, highlighting the metallization of the surfaces and the band shifts across the ribbon. Row $n = 1$ is a cationic row. For simplicity, LDOS of rows $n = 3$ and $n = 5$ are not plotted. Right: Width dependence of $\delta\lambda/\lambda$ represented as a function of $1/\ln N$ to highlight its asymptotic behavior.

Such scenario has been found in polar ribbons of various oxides, such as MgO [90, 91], ZnO [92, 93, 94, 95], BeO [41], V_2O_5 [96], as well as in non-oxide compounds MoS_2 [90, 97, 98, 99, 100, 101, 102, 103], ZnS [104], BN [105, 106, 107], AlN, GaN and SiC [108, 109]. In most cases, the authors did not make reference to polarity. In MgO ZZ ribbons, however, the LDOS and the size variation of the excess charges, displayed in Fig. 12, clearly exemplify the electronic reconstruction scenario and the validity of Equation 17 [90]. By a detailed comparison between ZZ ribbons of MgO and MoS_2 , it was also shown that polar signatures depend only marginally on the degree of ionicity of the compound [90]. It is important to stress that edge metallicity in these polar ribbons results from polarity compensation and is associated to an effective charge transfer between the two opposite edges. This is not the case in graphene ZZ nano ribbons, which are non-polar and in which states at the Fermi level are non-bonding π states localized at low coordinated edge atoms, with no correlation to charge transfer processes across the ribbon.

Compensating charges may also be provided by non-stoichiometry in the outermost rows, or adsorption of charged species such as protons or hydroxyl groups issued from water dissociation. As in polar thin films, these mechanisms are generally more efficient than electronic reconstruction. In Fig. 13, the stability diagrams of MgO(111) polar surfaces [110, 111] and MgO ZZ polar ribbons [91] highlight their similar behavior, despite the difference in dimensionality.

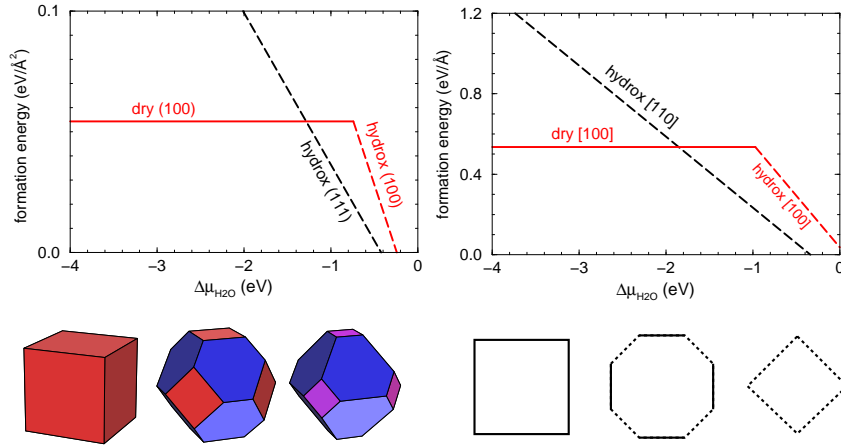


Fig. 13 Left: Formation energies ($\text{eV}/\text{\AA}^2$) of polar MgO(111) and non-polar MgO(001) surfaces as a function of water chemical potential $\mu_{\text{H}_2\text{O}}$. Right: Formation energies ($\text{eV}/\text{\AA}$) of polar MgO [110] and non-polar MgO [100] ribbons, as a function of $\mu_{\text{H}_2\text{O}}$. Bottom panels display the corresponding Wulff shapes at low, medium and high water chemical potentials, from left to right. Dry (100) facets, hydroxylated (100) facets and hydroxylated (111) facets of 3D particles are represented in red, purple and blue, respectively. Dry [100] and hydroxylated [110] edges of 2D islands are represented by plain and dashed lines, respectively.

Edge energies of infinite ribbons enable the determination of large island shapes on the basis of the Wulff construction. Results for unsupported MgO islands (Figure 13) at increasing values of water chemical potential show a progressive transition from dry non-polar [100] to hydroxylated polar [110] edges [91]. This trend is the 2D equivalent of the transition from cubic shapes with dry (100) facets to octahedral shapes with hydroxylated (111) facets found in 3D MgO particles [110, 111].

Interestingly, according to simulations of ZnO ZZ ribbons [92], the metallic character of their edges disappears at 2ML-thickness. Due to the h-BN(0001) stacking sequence in which oxygen atoms are located on-top cations and vice versa, there is a cancellation of polarity effects between the two layers, the potential difference induced by the top layer being equal and opposite to that of the bottom layer. No compensating charges are then needed and the ribbons keep their insulating or semi-conducting character. This reasoning may be extended to other compounds displaying the h-BN structure and to thicker ribbons in which an odd-even alternation of the electronic structure takes place as a function of thickness. It has no equivalent in the case of polar thin films.

5.3 Finite size effects

Polarity compensation cannot be avoided in large width polar ribbons. However, similarly to ultra-thin films, different scenarios may be expected at small width, in which charge compensation $\delta\lambda_{comp}$ may substantially differ from the actual polarization P and/or P may differ from P_{ML} .

A spontaneous transformation towards a structure with non-polar edges pertains to the case where $\delta\lambda_{comp} = P = 0$ and thus $P \neq P_{ML}$. It may occur in small width ribbons due to their important edge-to-central atom ratio. The new structure being different from the ML ground state, there is a cost of energy for each additional 2D repeat unit when the width N increases. However, as long as N remains small, the gain in edge energy in having a non-polar orientation is able to overcome this cost. Thus, up to a critical width, ultra-thin polar ribbons may sustain a structure which is not their ML ground state but allows non-polar edges.

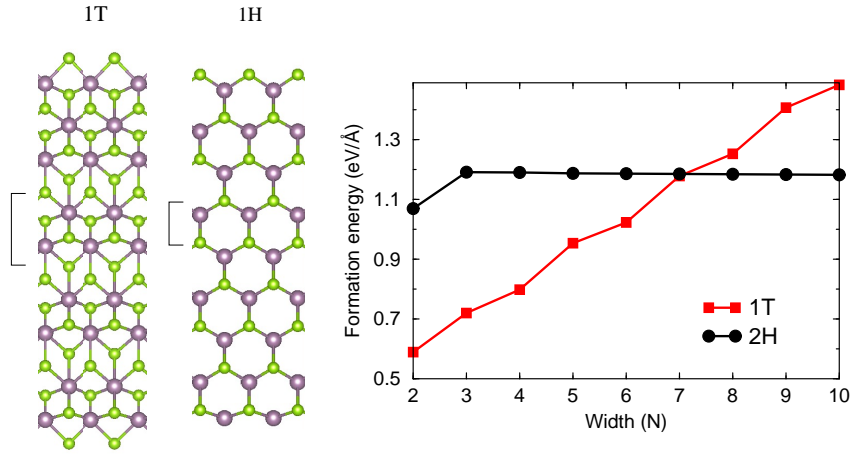


Fig. 14 Left panels: Top views of 1T and 1H MoS₂ ZZ ribbons. Mo and S atoms are represented by large purple and small green balls, respectively. Note that the 1T repeat units bears no dipole moment at variance with the 1H one. Right panel; formation energy of 1T and 1H MoS₂ ZZ ribbons as a function of their width N .

This scenario has been invoked in ZZ ribbons of MoS₂, WS₂ and MoSe₂ [112]. In the infinite trilayer, their structural ground state, labeled 1H, is such that each TM has a trigonal prismatic coordination with the nearby S/Se atoms. Higher in energy, is a metastable 1T phase, in which each TM is octahedrally coordinated to its neighbors. ZZ edges of 1T ribbons are non-polar, while ZZ edges of 1H ribbons are polar (Figure 14). The 1T phase is thus stabilized in narrow ZZ ribbons up to a critical width N_c of the order of a few repeat units. Such structural transformation does not take place in ZrS₂ ZZ ribbons since the ML ground state is already 1T. An interesting outcome of the 1H-to-1T transformation, which is expected to drastically

change the ribbon transport as well as optical properties, is the existence of a sound modification in their electronic properties at N_c , due to the different electronic character of the 1T and 1H MLs: metallic in the 1T phase and semiconducting in the 1H phase.

To our knowledge, there is presently no example of uncompensated polarity (in which $\delta\lambda_{comp} = 0$ and $\delta\lambda_{comp} \neq P$), in polar nano-ribbons. The flexibility of these nano-structures, much stronger than thin films, may give hints for the absence of this regime. For example, in our study of ZZ MgO ribbons [91], we found that below $N = 6$, there is a spontaneous transformation of ZZ ribbons towards a non-polar [100] configuration, preventing the occurrence of uncompensated polarity.

5.4 Interfaces between polar ribbons

In line with the similarities of behaviors between polar films and polar ribbons, the interface characteristics between two polar ribbons are driven by the strength of the polarization discontinuity δP , which, in 2D, reads:

$$\delta P = P - P' = \mathcal{R}(\Lambda - \Lambda') + \Lambda'(\mathcal{R} - \mathcal{R}') + \delta P_{el} \quad (18)$$

In the absence of polarization discontinuity ($\delta P = 0$), the interface will remain insulating, while a finite discontinuity ($\delta P \neq 0$) will lead either to electronic reconstruction at large width or to uncompensated polarity under a critical width.

Such polar/polar interfaces between 2D ribbons have been predicted to accommodate fully spin-polarized 1DEG or 1DHG in the case of AlN/SiC and ZnO/SiC ZZ ribbons [113]. Indeed these interfaces exemplify cases in which the polarization discontinuity is driven by the valence discontinuity with $\Lambda - \Lambda' = 1$ in the former and 2 in the latter, per unit cell length. Consistently, the interfacial compensating charge density is nearly twice larger in ZnO/SiC than in AlN/SiC, the small discrepancy with respect to the ratio 2 being due to the electronic contribution to δP in these non-centro-symmetric honeycomb lattices. Considering the fact that these compounds have not been isolated as ML, the authors of Ref. [114] have suggested several pathways to engineer polar discontinuities, involving selective functionalization of a BN ML or total functionalization of graphene/BN interfaces.

5.5 Support effects

The scenarios described previously apply to (unsupported) polar ribbons. Although interesting because they allow to point out the intrinsic effect of polarity, they can only represent a preliminary step towards a full understanding of the behavior of supported polar ribbons.

Similarly to what happens at the interface between a polar thin film and its metallic support (Section 3.4), compensation of polarity in supported ribbons involves screening effects by the support. A large part of the compensating charges is transferred from the oxide edges to the metal support, thus allowing the oxide ions to recover charges closer to their ML values. In that way, the polar instability is healed and the interfacial electron transfer leads to strong adhesion along the ribbon edges. The efficiency of the metal screening is even stronger for polar ribbons than for polar films, since both ribbon edges interact with the support, while screening occurs at a single film termination, the one in contact with the support.

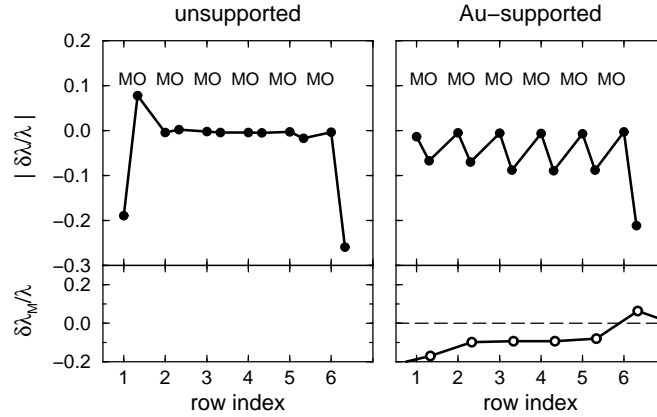


Fig. 15 Bader excess charge $\delta\lambda/\lambda$ at anion and cation sites across unsupported and Au-supported MgO ZZ ribbons. Bottom panels represent the modification of charge $\delta\lambda_M/\lambda$ in the metal substrate underneath the ribbon. Note that screening at the Mg edge (row #1) is more efficient than at the O edge, due to the large electronegativity of gold.

The few theoretical works which have considered metal-supported polar ribbons [90, 91, 115, 116] support this picture. For example, Fig. 15 compares the charge distribution in unsupported and Au-supported MgO ZZ ribbons [91]. It evidences an electron excess in the metal below the ribbon cation edge and an electron depletion under its oxygen edge.

The relative efficiency of electronic reconstruction, non-stoichiometry and hydroxylation in compensating polarity may be strongly influenced by the support. In particular, since the stabilization due to the screening of the compensating charges by the metal on both sides of supported polar ribbons is very efficient, electronic reconstruction becomes competitive with respect to non-stoichiometry and hydroxylation, allowing polar ribbons to remain dry and unreconstructed in a large range of water chemical potentials. These general features are exemplified in the case of Au-supported MgO ZZ ribbons (Figure 16) [91]. It turns out that, at variance with unsupported ribbons, supported dry and unreconstructed ZZ ribbons are more stable than AC ones. At intermediate water chemical potential, where differences between

[100], [110], ZZ, and AC edge energies are tiny, islands of different symmetries may coexist. This is consistent with the recent experimental findings of shape transitions in MgO islands grown on the Au(111) surface [117, 118, 119].

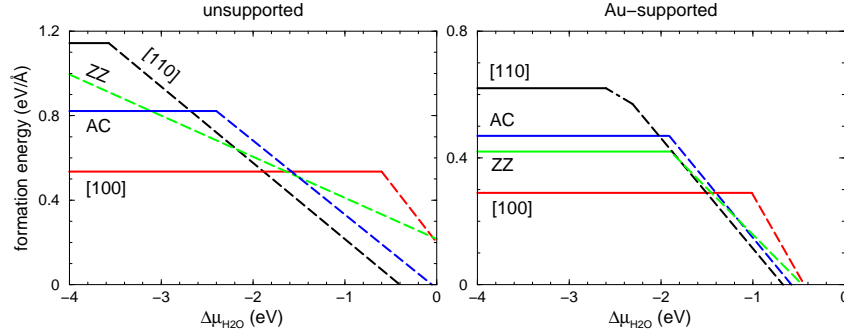


Fig. 16 Formation energies ($\text{eV}/\text{\AA}$) of unsupported and Au-supported polar and non-polar MgO ribbons as a function of water chemical potential $\mu_{\text{H}_2\text{O}}$. Bare or fully hydroxylated states of the ribbons are represented with full and dashed lines, respectively [91].

To our knowledge, there are no experimental results on infinitely long polar ribbons. There are however hints of edge polarity in polar 2D islands in non-oxide compounds such as MoS_2 [120, 121], or BN [105, 106, 107, 122], although no relation to polarity was invoked. For example, STM experiments [120, 121] have evidenced the growth of MoS_2 (0001) islands of triangular shape on Au(111), with edges displaying a pronounced contrast which was interpreted as due to the presence of metallic states [97, 123]. The observation of MgO and NiO mono- or bilayer islands with [110] polar edges, grown on Ag(001) [124, 125, 126] as well as simulations [115, 116] have suggested that these islands might be embedded in the substrate grooves. Let us note that an excess of oxygen in 2D islands stabilized under specific preparation conditions, such as observed in MgO/Ag(100) [127, 128] or $\text{FeO}_x/\text{Pt}(111)$ [65, 66], may alter the relative stability of the various island edges.

This discussion proves that a metallic support may drastically change the properties of polar ribbons — atomic structure, electronic properties and stability — compared to those expected when the ribbons are unsupported. In particular, in the electronic reconstruction scenario, the exciting electronic and magnetic properties of the 1DEG disappear, since the compensating charge, mainly localized in the support, becomes mixed with its metallic states.

5.6 Polar nano-islands

The previous analysis has mainly focused on (infinitely long) ribbons, but also applies to 2D large islands with polar edges, as long as their shape may be predicted

from edge formation energies by the Wulff theorem. However, electrostatic considerations predict some specific finite size effects, driven by the finite length L of the island edges.

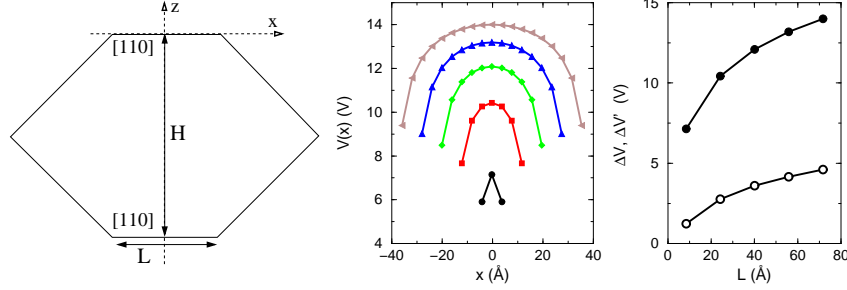


Fig. 17 Left panel: a 2D (001) island with two [110] polar edges. Middle panel: potential profile $V(x)$ along a polar edge in the limit $L \ll H$, for different values of L ($L = 8 \text{ \AA}$, 34 \AA , 40 \AA , 55 \AA , and 71 \AA). Right panel: Potential difference ΔV between the center of the objects and the center of gravity of their polar termination (black symbols), and maximal potential variation $\Delta V'$ within their polar terminations (open symbols).

Across an island, such as the one represented in Fig. 17, the voltage ΔV depends on both the island width H and the edge length L in the following way (a an atomic length) [89]:

$$\Delta V = 2\delta\lambda \ln \left(\frac{LH}{a(L + \sqrt{L^2 + 4H^2})} \right) \quad (19)$$

When $L \gg H$, the behavior of infinite ribbons is recovered, with ΔV increasing as $\ln H$. In the opposite limit $L \ll H$, relevant for nano-islands, ΔV grows as the logarithm of the lateral size L of the polar termination:

$$\Delta V = 2\delta\lambda \ln \left(\frac{L}{2a} \right) \quad (20)$$

It is thus the smallest of the two shape parameters, the width H of the polar island or the length L of its polar edge, which drives the electrostatic behavior. Ultimately, when the size of the polar edge is reduced to a single atom (1D wire), $\Delta V = 2\lambda \ln 2$ remains finite and independent of H .

Another finite size effect affects the potential profile along polar edges. In infinite ribbons, the average potential $V(x)$ along an edge is flat. This is no longer true in finite size islands in the limit $L \ll H$ (Figure 17). $V(x)$ presents large variations $\Delta V'$ between the corners and the center of the edge, which scale as $\ln L$.

From these considerations, one could anticipate that polarity effects are less crucial in islands with small polar edges, and that the compensating charge density $\delta\lambda_{comp}$ in the regime $L \ll H$ might not be homogeneously distributed. As a consequence, vacancy formation energies might drastically depend on the edge length and on the location of the vacancy on the edge. Similarly, adsorption energies of charged

species might depend on the precise adsorption site on the edge. However, these arguments remain speculative and need further confirmation whether by experiment or by simulation.

6 Conclusion

As reviewed in this chapter, electrostatic interactions and polarity effects are key driving forces behind many new compositions, structures and morphologies that oxides may display in the 2D limit. Most of the time, these new oxides have nothing to do with bulk oxides. While an intense activity has been devoted to polar thin films during the last decade, the synthesis of 2D polar nano-objects, such as ribbons or islands, is not as well-controlled and their properties not as well-understood. Several major challenges have to be taken up, such as defining preparation protocols, mastering the kinetics of the first steps of their formation, characterizing their composition and simulating their properties. The importance of the field has long been recognized in chemistry, due to the novel reactivity and selectivity properties displayed by these 2D oxides. Physical properties are nowadays also scrutinized, with a specific interest towards the consequences of confinement on the electronic degrees of freedom: edge/interface metallization, magnetism, and, in some cases, superconductivity. No doubt that a convergent effort of interdisciplinary nature will allow a deeper understanding and mastering of these objects in a near future.

References

1. Weiss W, Ranke W (2002) Surface chemistry and catalysis on well-defined epitaxial iron-oxide layers. *Prog Surf Sci* 70: 1-151
2. Chen MS, Goodman DW (2008) Ultrathin, ordered oxide films on metal surfaces. *J Phys : Condens Matter* 20:264013
3. Freund H-J, Pacchioni G (2008) Oxide ultra-thin films on metals: new materials for the design of supported metal catalysts. *Chem Soc Rev* 37:2224-2242
4. Nilius N (2009) Properties of oxide thin films and their adsorption behavior studied by scanning tunneling microscopy and conductance spectroscopy. *Surf Sci Rep* 64:595-659
5. Wu Q-H, Fortunelli A, Granozzi G (2009) Preparation, characterisation and structure of Ti and Al ultrathin oxide films on metals. *Int Rev Phys Chem* 28:517-576
6. Netzer FP, Allegretti F, Surnev S (2010) Low-dimensional oxide nanostructures on metals: Hybrid systems with novel properties. *J Vac Sci Technol B* 28:1-16
7. Noguera C (2000) Polar oxide surfaces. *J Phys Cond Matter* 12:R367-R410
8. Goniakowski J, Finocchi F, Noguera C (2008) Polarity of oxide surfaces and nanostructures. *Rep Prog Phys* 71:016501
9. Tasker PWJ (1979) Stability of ionic crystal surfaces. *J Phys C* 12:4977-4984
10. King-Smith RD, Vanderbilt D (1993) Theory of polarization of crystalline solids. *Phys Rev B* 47:1651-1654
11. Stengel M, Vanderbilt D (2009) Berry-phase theory of polar discontinuities at oxide-oxide interfaces. *Phys Rev B* 80:241103

12. Stengel M (2011) Electrostatic stability of insulating surfaces: Theory and applications. *Phys Rev B* 84:205432
13. Garcia A, Cohen ML (1993) 1st principles ionicity scales. I Charge asymmetry in the solid-state. *Phys Rev B* 47:4215-4221
14. Belabbes A, Furthmüller J, Bechstedt F (2013) Relation between spontaneous polarization and crystal field from first principles. *Phys Rev B* 87:0335305
15. Bristowe NC, Ghosez P, Littlewood PB, Artacho E (2014) The origin of two-dimensional electron gases at oxide interfaces: insights from theory. *J Phys : Condens Matter* 26:143201
16. Noguera C, Goniakowski J (2013) Polarity in Oxide Nano-objects. *Chem Rev* 113:4073-4105
17. Goniakowski J, Noguera C, Giordano L (2004) Using polarity for engineering oxide nanostructures: Structural phase diagram in free and supported MgO(111) ultrathin films. *Phys Rev Lett* 93:215702
18. Goniakowski J, Noguera C, Giordano L (2007) Prediction of uncompensated polarity in ultrathin films. *Phys Rev Lett* 98:205701
19. Gragnaniello L, Agnoli S, Parteder G, Barolo A, Bondino F, Allegretti F, Surnev S, Granozzi G, Netzer FP (2010) Cobalt oxide nanolayers on Pd(100): The thickness-dependent structural evolution. *Surf Sci* 604:2002-2011
20. Weirum G, Barcaro G, Fortunelli A, Weber F, Schennach R, Surnev S, Netzer FP (2010) Growth and Surface Structure of Zinc Oxide Layers on a Pd(111) Surface. *J Phys Chem C* 114:15432-15432
21. Schennach R, Weber F, Piffl M, Weirum G, Surnev S (2012) Growth and reactivity of Zn and ZnO on Pd(111). *Surf Eng* 28:87-90
22. Noguera C, Goniakowski J (2008) Polarity in oxide ultrathin films. *J Phys : Condens Matter* 20:264003
23. Kresse G, Dulub O, Diebold U (2003) Competing stabilization mechanism for the polar ZnO(0001)-Zn surface. *Phys Rev B* 68:245409
24. Thiel S, Hammerl G, Schmehl A, Schneider CW, Mannhart J (2006) Tunable quasi-two-dimensional electron gases in oxide heterostructures. *Science* 313:1942-1945
25. Hu XL, Michaelides A (2010) The kaolinite (001) polar basal plane. *Surf Sci* 604:111-117
26. Ohtomo A, Hwang HY (2004) A high-mobility electron gas at the LaAlO₃/SrTiO₃ heterointerface. *Nature* 427:423-426
27. Nakagawa N, Hwang HY, Muller DA (2006) Why some interfaces cannot be sharp. *Nat Mater* 5:204-209
28. Pentcheva R, Pickett WE (2006) Charge localization or itineracy at LaAlO₃/SrTiO₃ interfaces: Hole polarons, oxygen vacancies, and mobile electrons. *Phys Rev B* 74:035112
29. Reyren N, Thiel S, Caviglia AD, Kourkoutis LF, Hammerl G, Richter C, Schneider CW, Kopp T, Rüetschi A-S, Jaccard D, Gabay M, Muller DA, Triscone J-M, Mannhart J (2007) Superconducting interfaces between insulating oxides. *Science* 317:1196-1199
30. Gabay M, Gariglio S, Triscone JM, Santander-Syro AF (2013) 2-Dimensional oxide electronic gases: Interfaces and surfaces. *Eur Phys J Special Topics* 222:1177-1183
31. Segal Y, Ngai JH, Reiner JW, Walker FJ, Ahn CH (2009) X-ray photoemission studies of the metal-insulator transition in LaAlO₃/SrTiO₃ structures grown by molecular beam epitaxy. *Phys Rev B* 80:241107
32. Slooten E, Zhong Z, Molegraaf HJA, Eerkes PD, de Jong S, Massee F, van Heumen E, Kruize MK, Wenderich S, Kleibeuker JE, Gorgoi M, Hilgenkamp H, Brinkman A, Huijben M, Rijnders G, Blank DHA, Koster G, Kelly PJ, Golden MS (2013) Hard x-ray photoemission and density functional theory study of the internal electric field in SrTiO₃/LaAlO₃ oxide heterostructures. *Phys Rev B* 87:085128
33. Kiguchi M, Entani S, Saiki K, Goto T, Koma A (2003) Atomic and electronic structure of an unreconstructed polar MgO(111) thin film on Ag(111). *Phys Rev B* 68:115402
34. Ritter M, Ranke W, Weiss W (1998) Growth and structure of ultrathin FeO films on Pt(111) studied by STM and LEED. *Phys Rev B* 57:7240-7251
35. Ranke W, Ritter M, Weiss W (1999) Crystal structures and growth mechanism for ultrathin films of ionic compound materials: FeO(111) on Pt(111). *Phys Rev B* 60:1527-1530

36. Gubo M, Ebersperger C, Meyer W, Hammer L, Heinz K (2009) Substoichiometric cobalt oxide monolayer on Ir(100)-(1 x 1). *J Phys : Condens Matter* 21:474211
37. Ebersperger C, Gubo M, Meyer W, Hammer L, Heinz K (2010) Substrate-induced structural modulation of a CoO(111) bilayer on Ir(100). *Phys Rev B* 81:235405
38. Tusche C, Meyerheim HL, Kirschner J (2007) Observation of depolarized ZnO(0001) monolayers: Formation of unreconstructed planar sheets. *Phys Rev Lett* 99:026102
39. Claeysens F, Freeman CL, Allan NL, Sun Y, Ashfold MN, Harding JH (2005) Growth of ZnO thin films - experiment and theory. *J Mat Chem* 15:139-148
40. Baumeier B, Krüger P, Pollmann J (2007) Structural, elastic, and electronic properties of SiC, BN, and BeO nanotubes. *Phys Rev B* 76:085407
41. Wu W, Lu P, Zhang Z, Guo W (2011) Electronic and Magnetic Properties and Structural Stability of BeO Sheet and Nanoribbons. *ACS Appl Mater Interfaces* 3:4787-4795
42. Freeman CL, Claeysens F, Allan NL, Harding JH (2006) Graphitic nanofilms as precursors to wurtzite films: Theory. *Phys Rev Lett* 96:066102
43. Kresse G, Schmid M, Napetschnig E, Shishkin M, Kohler L, Varga P (2005) Structure of the ultrathin aluminum oxide film on NiAl(110). *Science* 308:1440-1442
44. Goniakowski J, Noguera C (1999) Characteristics of Pd deposition on the MgO(111) surface. *Phys Rev B* 60:16120-128
45. Goniakowski J, Noguera C (2002) Microscopic mechanisms of stabilization of polar oxide surfaces: Transition metals on the MgO(111) surface. *Phys Rev B* 66:085417
46. Muller DA, Shashkov DA, Benedek R, Yang LH, Silcox J, Seidman DN (1998) Atomic scale observations of metal-induced gap states at {222}MgO/Cu interfaces. *Phys Rev Lett* 80:4741-4744
47. Imhoff D, Laurent S, Colliex C, Backhaus-Ricoult M (1999) Determination of the characteristic interfacial electronic states of {111}Cu-MgO interfaces by ELNES. *Eur Phys J A* 5:9-18
48. Tejedor C, Flores C, Louis E (1977) Metal-semiconductor interface- Si (111) and zinblende (110) junctions. *J Phys C* 10:2163-2177
49. Bordier G, Noguera C (1991) Electronic structure of a metal-insulator interface - Towards a theory of nonreactive adhesion. *Phys Rev B* 44:6361-6371
50. Goniakowski J, Noguera C (2004) Electronic states and Schottky barrier height at metal/MgO(100) interfaces. *Interf Sci* 12:93-103
51. Goniakowski J, Noguera C (2009) Polarization and rumpling in oxide monolayers deposited on metallic substrates. *Phys Rev B* 79:155433
52. Pacchioni G, Giordano L, Baistrocchi M (2005) Charging of metal atoms on ultrathin MgO/Mo(100) films. *Phys Rev Lett* 94:226104
53. Giordano L, Pacchioni G (2006) Charge transfers at metal/oxide interfaces: a DFT study of formation of K^+ and Au^- species on MgO/Ag(100) ultra-thin films from deposition of neutral atoms. *Phys Chem Chem Phys* 8:3335-3341
54. Ricci D, Bongiorno A, Pacchioni G, Landman U (2006) Bonding trends and dimensionality crossover of gold nanoclusters on metal-supported MgO thin films. *Phys Rev Lett* 97:036106
55. Honkala K, Häkkinen H (2007) Au adsorption on regular and defected thin MgO(100) films supported by Mo. *J Phys Chem C* 111:4319-4327
56. Frondelius P, Hellman A, Honkala K, Häkkinen H, Grönbeck H (2008) Charging of atoms, clusters, and molecules on metal-supported oxides: A general and long-ranged phenomenon. *Phys Rev B* 78:085426
57. Sterrer M, Risse T, Pozzoni UM, Giordano L, Heyde M, Rust H-P, Pacchioni G, Freund H-J (2007) Control of the charge state of metal atoms on thin MgO films. *Phys Rev Lett* 98:096107
58. Sterrer M, Risse T, Heyde M, Rust H-P, Freund H-J (2007) Crossover from three-dimensional to two-dimensional geometries of Au nanostructures on thin MgO(001) films: A confirmation of theoretical predictions. *Phys Rev Lett* 98:206103
59. Barcaro G, Fortunelli A, Granozzi G (2008) Metal adsorption on oxide polar ultrathin films *Phys Chem Chem Phys* 10:1876-1882
60. Grönbeck H (2006) Mechanism for NO_2 Charging on Metal Supported MgO. *J Phys Chem B* 110:11977-11981

61. Hellman A, Klacar S, Grönbeck H (2009) Low Temperature CO Oxidation over Supported Ultrathin MgO Films. *J Am Chem Soc* 131:16636
62. Gonchar A, Risse T, Freund H-J, Giordano L, Di Valentin C, Pacchioni G (2011) Activation of Oxygen on MgO: O_2^- Radical Ion Formation on Thin, Metal-Supported MgO(001) Films. *Angew Chem Int Ed* 50:2635-2638
63. Goniakowski J, Noguera C, Giordano L, Pacchioni G (2009) Adsorption of metal adatoms on FeO(111) and MgO(111) monolayers: Effects of charge state of adsorbate on rumpling of supported oxide film. *Phys Rev B* 80:125403
64. Benedetti S, Stavale F, Valeri S, Noguera C, Freund H-J, Goniakowski J, Nilus N (2013) Steering the Growth of Metal Ad-particles via Interface Interactions Between a MgO Thin Film and a Mo Support. *Adv Funct Materials* 23:75-80
65. Sun YN, Qin ZH, Lewandowski M, Carrasco E, Sterrer M, Shaikhutdinov S, Freund H-J (2009) Monolayer iron oxide film on platinum promotes low temperature CO oxidation. *J Catal* 266:359-368
66. Sun YN, Giordano L, Goniakowski J, Lewandowski M, Qin ZH, Noguera C, Shaikhutdinov S, Pacchioni G, Freund H-J (2010) The Interplay between Structure and CO Oxidation Catalysis on Metal-Supported Ultrathin Oxide Films. *Angew Chem Int Ed* 49:4418-4421
67. Giordano L, Lewandowski M, Groot IMN, Sun YN, Goniakowski J, Noguera C, Shaikhutdinov S, Pacchioni G, Freund H-J (2010) Oxygen-Induced Transformations of an FeO(111) Film on Pt(111): A Combined DFT and STM Study. *J Phys Chem C* 114:21504-21509
68. Giordano L, Pacchioni G, Noguera C, Goniakowski J (2014) Identification of Active Sites in a Realistic Model of Strong Metal-Support Interaction Catalysts: The Case of Platinum (111)-Supported Iron Oxide Film. *ChemCatChem* 6:185-190
69. He YB, Stierle A, Li WX, Farkas A, Kasper N, Over H (2008) Oxidation of Ir(111): From O-Ir-O trilayer to bulk oxide formation. *J Phys Chem C* 112:11946-11953
70. Rogal J, Reuter K, Scheffler M (2008) CO oxidation on Pd(100) at technologically relevant pressure conditions: First-principles kinetic Monte Carlo study. *Phys Rev B* 77:155410
71. Gustafson J, Mikkelsen A, Borg M, Lundgren E, Köhler L, Kresse G, Schmid M, Varga P, Yuhara J, Torrelles X, Quirós C, Andersen JN (2004) Self-limited growth of a thin oxide layer on Rh(111). *Phys Rev Lett* 92:126102
72. Flege JI, Hrbek J, Sutter P (2008) Structural imaging of surface oxidation and oxidation catalysis on Ru(0001). *Phys Rev B* 78:165407
73. Franchini C, Podloucky R, Allegretti F, Li F, Parteder G, Surnev S, Netzer FP (2009) Structural and vibrational properties of two-dimensional Mn_xO_y layers on Pd(100): Experiments and density functional theory calculations. *Phys Rev B* 79:035420
74. Martynova Y, Soldemo M, Weissenrieder J, Sachert S, Polzin S, Widdra W, Shaikhutdinov S, Freund H-J (2013) CO Oxidation Over Monolayer Manganese Oxide Films on Pt(111). *Catal Lett* 143:1108-1115
75. Hwang HY, Iwasa Y, Kawasaki M, Keimer B, Nagaosa N, Tokura Y (2012) Emergent phenomena at oxide interfaces. *Nature Mater* 11:103-113
76. Mannhart J, Blank DHA, Hwang HY, Millis AJ, Triscone J-M (2008) Two-Dimensional Electron Gases at Oxide Interfaces. *MRS Bulletin* 333:1027-1034
77. Goniakowski J, Noguera C (2014) Conditions for electronic reconstruction at stoichiometric polar/polar interfaces. *J Phys : Condens Matter* 26:485010
78. Harrison A, Kraut EA, Waldrop JR and Grant RW (1978) Polar heterojunction interfaces. *Phys Rev* 18:4402-4410
79. Martin RM (1980) Atomic reconstruction at polar interfaces of semiconductors. *J Vac Sci Technol* 17:978-981
80. Tsukazaki A, Ohtomo A, Kita T, Ohno Y, Ohno H, Kawasaki M (2007) Quantum Hall effect in polar oxide heterostructures. *Science*:1388-1391
81. Das H, Spaldin NA, Waghmare UV, Saha-Dasgupta T (2010) Chemical control of polar behavior in bicomponent short-period superlattices. *Phys Rev B* 81:235112
82. Annadi A, Zhang Q, Wang XR, Tuzla N, Gopinadhan K, Lü WM, Barman AR, Liu ZQ, Srivastava A, Saha S, Zhao YL, Zeng SW, Dhar S, Olsson E, Gu B, Yunoki S, Maekawa S, Hilgenkamp H, Venkatesan T, Ariando (2013) Anisotropic two-dimensional electron gas at the $LaAlO_3/SrTiO_3$ (110) interface. *Nature Comm* 4:1838

83. Herranz G, Sánchez F, Dix N, Scigaj M, Fontcuberta J (2012) High mobility conduction at (110) and (111) LaAlO₃/SrTiO₃ interfaces. *Scientific Reports* 2:758
84. Xue M, Guo Q, Wu K, Guo J (2009) Epitaxial growth of ZnO films on thin FeO(111) layers. *J Cryst Growth* 311:3918-3923
85. Betancourt J, Saavedra-Arias JJ, Burton JD, Ishikawa Y, Tsymbal EY, Velev JP (2013) Polarization discontinuity induced two-dimensional electron gas at ZnO/Zn(Mg)O interfaces: A first-principles study. *Phys Rev B* 88:085418
86. Ohtomo A, Kawasaki M, Ohkubo I, Koinuma H, Yasuda T, Segawa Y (1999) Structure and optical properties of ZnO/Mg_{0.2}Zn_{0.8}O superlattices. *Appl Phys Lett* 75:980-982
87. Dutta S, Pati SK (2010) Novel properties of graphene nanoribbons: a review. *J Mater Chem* 20:8207-8223
88. Acik M, Chabal YJ (2011) Nature of Graphene Edges: A Review. *Jap J Appl Phys* 50:070101
89. Goniakowski J, Noguera C (2011) Polarity at the nanoscale. *Phys Rev B* 83:115413
90. Güller F, Llois AM, Goniakowski J, Noguera C (2013) Polarity effects in unsupported polar nanoribbons. *Phys Rev B* 87:205423
91. Goniakowski J, Giordano L, Noguera C (2013) Polarity compensation in low-dimensional oxide nanostructures: The case of metal-supported MgO nanoribbons. *Phys Rev B* 87:035405
92. Botello-Mendez AR, Martinez-Martinez MT, Lopez-Urias F, Terrones M, Terrones H (2007) Metallic edges in zinc oxide nanoribbons. *Chem Phys Lett* 448:258-263
93. Botello-Mendez AR, Lopez-Urias F, Terrones M, Terrones H (2008) Magnetic behavior in zinc oxide zigzag nanoribbons. *Nano Lett* 8:1562-1565
94. Topsakal M, Cahangirov S, Bekaroglu E, Ciraci S (2009) First-principles study of zinc oxide honeycomb structures. *Phys Rev B* 80:235119
95. Wang Y, Wang B, Zhang Q, Shi D, Yunoki S, Kong F, Xu N (2012) A simple capacitor model and first-principles study of carbon-doped zigzag ZnO nanoribbons. *Solid State Comm* 152:534-539
96. Tang Q, Li F, Zhou Z, Chen Z (2011) Versatile Electronic and Magnetic Properties of Corrugated V₂O₅ Two-Dimensional Crystal and Its Derived One-Dimensional Nanoribbons: A Computational Exploration. *J Phys Chem C* 115:11983-11990
97. Bollinger MV, Lauritsen JV, Jacobsen KW, Nørskov JK, Helveg S, Besenbacher F (2001) One-dimensional metallic edge states in MoS₂. *Phys Rev Lett* 87:196803
98. Li Y, Zhou Z, Zhang S, Chen Z (2008) MoS₂ Nanoribbons: High Stability and Unusual Electronic and Magnetic Properties. *J Am Chem Soc* 130:16739-16744
99. Botello-Mendez AR, Lopez-Urias F, Terrones M, Terrones H (2009) Metallic and ferromagnetic edges in molybdenum disulfide nanoribbons. *Nanotechnology* 20:325703
100. Ataca C, Sahin H, Akturk E, Ciraci S (2011) Mechanical and Electronic Properties of MoS₂ Nanoribbons and Their Defects. *J Phys Chem C* 115:3934-3941
101. Erdogan E, Popov IH, Enyashin AN, Seifert G (2012) Transport properties of MoS₂ nanoribbons: edge priority. *Eur Phys J B* 85:33
102. Pan H, Zhang YW (2012) Edge-dependent structural, electronic and magnetic properties of MoS₂ nanoribbons. *J Mater Chem* 22:7280-7290
103. Yang SQ, Li DX, Zhang TR, Tao ZL, Chen J (2012) First-Principles Study of Zigzag MoS₂ Nanoribbon As a Promising Cathode Material for Rechargeable Mg Batteries. *J Phys Chem C* 116:1307-1312
104. Zhang S, Ma J (2011) Width- and Edge-Dependent Stability, Electronic Structures, and Magnetic Properties of Graphene-Like and Wurtzite ZnS Nanoribbons. *J Phys Chem C* 115:4466-4475
105. Ding Y, Wang Y, Ni J (2009) The stabilities of boron nitride nanoribbons with different hydrogen-terminated edges. *Appl Phys Lett* 94:233107
106. Chen W, Li Y, Yu G, Li C-Z, Zhang SB, Zhou Z, Chen Z, Chen J (2010) Hydrogenation: A Simple Approach To Realize Semiconductor-Half-Metal-Metal Transition in Boron Nitride Nanoribbons. *J Am Chem Soc* 132:1699-1705
107. Lopez-Bezanilla A, Huang J, Terrones H, Sumpter BG (2011) Boron Nitride Nanoribbons Become Metallic. *Nano Lett* 11:3267-3273

108. Wu M, Wu X, Pei Y, Zeng XC (2011) Inorganic Nanoribbons with Unpassivated Zigzag Edges: Half Metallicity and Edge Reconstruction. *Nano Res* 4:233-239
109. Li H, Dai J, Li J, Zhang S, Zhou J, Zhang L, Chu W, Chen D, Zhao H, Yang J, Wu Z (2010) Electronic Structures and Magnetic Properties of GaN Sheets and Nanoribbons. *J Phys Chem C* 114:11390-11394
110. Finocchi F, Goniakowski J (2007) The effects of exchange and correlation on the computed equilibrium shapes of wet MgO crystallites. *Surf Sci* 601:4144-4148
111. Geysersmans P, Finocchi F, Goniakowski J, Hacquart R, Jupille J (2009) Combination of (100), (110) and (111) facets in MgO crystals shapes from dry to wet environment. *Phys Chem Chem Phys* 11:2228-2233
112. Güller F, Llois AM, Goniakowski J, Noguera C (2015) Prediction of structural and metal-to-semiconductor phase transitions in nanoscale MoS₂, WS₂, and other transition metal dichalcogenide zigzag ribbons. *Phys Rev B* 91:075407
113. Bristowe NC, Stengel M, Littlewood PB, Artacho E, Pruneda JM (2013) One-dimensional half-metallic interfaces of two-dimensional honeycomb insulators. *Phys Rev B* 88:161411
114. Gibertini M, Pizzi G, Marzari N (2014) Engineering polar discontinuities in honeycomb lattices. *Nature Comm* 5:5157
115. Ferrari AM, Casassa S, Pisani C (2005) Electronic structure and morphology of MgO sub-monolayers at the Ag(001) surface: An ab initio model study. *Phys Rev B* 71:155404
116. Ferrari AM, Casassa S, Pisani C, Altieri S, Rota A, Valeri S (2005) Polar and non-polar domain borders in MgO ultrathin films on Ag(001). *Surf Sci* 588:160-166
117. Benedetti S, Nilius N, Torelli P, Renaud G, Freund H-J, Valeri S (2011) Competition between Polar and Nonpolar Growth of MgO Thin Films on Au(111). *J Phys Chem C* 115:23043
118. Pan Y, Benedetti S, Noguera C, Giordano L, Goniakowski J, Nilius N (2012) Compensating Edge Polarity: A Means To Alter the Growth Orientation of MgO Nanostructures on Au(111). *J Phys Chem C* 116:11126
119. Nilius N, Benedetti S, Pan Y, Myrach P, Noguera C, Giordano L, Goniakowski J (2012) Electronic and electrostatic properties of polar oxide nanostructures: MgO(111) islands on Au(111). *Phys Rev B* 86:205410
120. Helveg S, Lauritsen JV, Lægsgaard E, Stensgaard I, Nørskov JK, Clausen BS, Topsøe H, Besenbacher F (2000) Atomic-scale structure of single-layer MoS₂ nanoclusters. *Phys Rev Lett* 84:951-954
121. Lauritsen JV, Bollinger MV, Lægsgaard E, Jacobsen KW, Nørskov JK, Clausen BS, Topsøe H, Besenbacher F (2004) Atomic-scale insight into structure and morphology changes of MoS₂ nanoclusters in hydrotreating catalysts. *J Catal* 221:510-522
122. Liu Y, Bhowmick S, Yakobson BI (2011) BN White Graphene with "Colorful" Edges: The Energies and Morphology. *Nano Lett* 11:3113-3116
123. Schweiger H, Raybaud P, Kresse G, Toulhoat H (2002) Shape and edge sites modifications of MoS₂ catalytic nanoparticles induced by working conditions: A theoretical study. *J Catal* 207:76-87
124. Valeri S, Altieri S, del Pennino U, di Bona A, Luches P, Rota A (2002) Scanning tunnelling microscopy of MgO ultrathin films on Ag(001). *Phys Rev B* 65:245410
125. Caffio M, Atrei A, Cortigiani B, Rovida G (2006) STM study of the nanostructures prepared by deposition of NiO on Ag(001) *J Phys : Condens Matter* 18:2379-2384
126. Steurer W, Allegretti F, Surnev S, Barcaro G, Sementa L, Negreiros F, Fortunelli A, Netzer FP (2011) Metamorphosis of ultrathin Ni oxide nanostructures on Ag(100). *Phys Rev B* 84:115446
127. Cabailh G, Lazzari R, Cruguel H, Jupille J, Savio L, Smerieri M, Orzelli A, Vattuone L, Rocca M (2011) Stoichiometry-Dependent Chemical Activity of Supported MgO(100) Films. *J Phys Chem A* 115:7161-7168
128. Pal J, Smerieri M, Celasco E, Savio L, Vattuone L, Ferrando R, Tosoni S, Giordano L, Pacchioni G, Rocca M (2014) How Growing Conditions and Interfacial Oxygen Affect the Final Morphology of MgO/Ag(100) Films. *J Phys Chem C* 118:26091-26102

Index

- 1D electron/hole gas, 19, 23, 25
- 2D electron/hole gas, 7–9, 13, 15
- Armchair edges, 18, 25
- Charge density, 4, 18
 - compensating, 5–8, 11, 14, 17–20, 24, 26
 - excess, 4, 14, 18
 - formal, 5, 6, 14, 18
 - interfacial transfer, 12, 24
- Critical thickness, 9, 10, 16, 17
- Critical width, 22, 23
- Dipole moment, 4, 9, 12, 18
- Finite size effects, 8–10, 16, 22, 26
- Gap, 8, 11, 17, 19
- Graphene, 18, 20, 23
- Hydroxyl groups, 7, 20, 24
- Interfaces, 11, 13–15, 24
- Metal Induced Gap States, 12
- Metal-insulator transition, 9, 16, 17, 23
- Metallic supports, 4, 11, 24
- Metallization, 7–9, 16, 19
- Nano-islands, 18, 25
- Nano-ribbons, 18
- Point of zero charge, 12
- Polar
 - catastrophe, 4
 - interfaces, 13–17, 23
 - orientation, 4, 13, 18
 - ribbon/island edges, 18, 22, 25
 - ribbons, 18–20, 23, 24
 - surfaces, 4, 7, 19, 20
 - thin films, 7–11, 18, 21
- Polarity, 4–6
 - compensation, 5, 12, 14, 20, 22, 24
 - dimensionality effects, 4, 18, 20
 - stability criterion, 5, 7, 14, 18
 - uncompensated, 9, 12, 16, 23
- Polarization, 5, 13, 14, 18, 22
 - covalent effects, 5, 14
 - discontinuity, 7, 14, 16, 23
 - electronic, 5, 6, 14, 16
 - modern theory of, 5
 - spin polarization, 8, 19
- Polaronic distortion, 12
- Reconstructions
 - electronic, 7, 8, 14, 15, 19, 23, 24
 - non-stoichiometric, 5, 14, 24
- Schottky barrier height, 12
- Subcritical regime, 9, 16
- Surfaces, 4, 8, 10, 11, 14
- Thin films, 7–13, 17
- Transition metal dichalcogenides, 18, 20, 22, 25
- Wannier function, 5
- Wulff shapes, 21, 26
- Zener breakdown, 7, 8
- Zigzag edges, 18, 20–24