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# Theoretical mechanistic study of self-sensitized photooxygenation and singlet oxygen thermal release in a dimethyldihydropyrene derivative

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#### **Abstract**

The self-sensitized photo-oxygenation and singlet oxygen thermal release mechanisms in a pyridinium-substituted dimethyldihydropyrene (DHP) derivative have been investigated using quantum chemical calculations. First, the main photophysical pathway for intersystem crossing was identified, allowing the production of a DHP triplet state. Second, the energy transfer pathway between this triplet state and the oxygen triplet ground state was computed revealing a very efficient route for the photosensitized generation of singlet oxygen. Finally, the thermal pathway for the formation of a metacyclophanediene endoperoxide and the singlet oxygen release was characterized. A concerted and a stepwise mechanism were identified, the first one being lower in energy. All these results are consistent with recent experimental results and confirm that this type of system could be attractive oxygen carriers and singlet oxygen delivery agents.

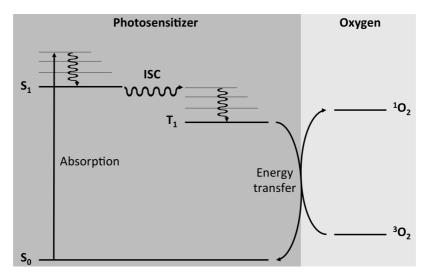
# **Keywords:**

Computational chemistry
Density functional theory calculations
Dimethyldihydropyrene
Energy transfer
Photosensitizer
Endoperoxide

#### 1. Introduction

Singlet oxygen ( $^{1}O_{2}$ ) is one of the most important reactive oxygen species. Due to its remarkable reactivity and oxidizing property, it can be exploited in various applications ranging from chemical synthesis, waste water treatment, atmospheric chemistry, materials science, optical imaging and therapy [1,2,3,4,5,6,7,8,9,10,11,12,13,14]. Not surprisingly then, the control of trapping and releasing  $^{1}O_{2}$  has become a very important topic of research in the past decades.

 $^1O_2$  is the first electronic excited state of molecular oxygen and the direct transition from its triplet ground state  $^3O_2$  is spin forbidden. To facilitate this transition, an indirect mechanism can be achieved using photosensitizers, which are light-absorbing molecules capable of transferring a part of the photonic energy to another molecule (e.g.,  $^3O_2$ ) to induce an electronic transition (e.g.,  $^3O_2 \rightarrow ^1O_2$ ). As schematized in Fig. 1, in the present context, the photosensitizer in its ground electronic state  $S_0$  absorbs light to produce a singlet excited state  $S_1$ , which can decay non-radiatively by intersystem crossing (ISC) to a triplet state  $T_1$ . Provided that this triplet state is sufficiently high in energy and that the photosensitizer is physically close enough to  $^3O_2$ , an energy transfer process can occur producing  $^1O_2$  and regenerating the photosensitizer in its original ground state.



**Fig. 1.** Schematic diagram depicting the photosensitized excitation of oxygen in its ground triplet state ( ${}^{3}O_{2}$ ) to its lowest singlet excited state ( ${}^{1}O_{2}$ ).

One way to control the release of  ${}^{1}O_{2}$  is to produce chemical species incorporating molecular oxygen and capable of releasing  ${}^{1}O_{2}$  in a controlled way. This aim can be achieved by the use of specific aromatic organic compounds [8,9,15,16,17,18,19,20,21,22,23,24] that can chemically trap  ${}^{1}O_{2}$  in the form of endoperoxides (EPO) following a cycloaddition reaction. These EPOs exhibit the exceptional feature of releasing oxygen, often in its singlet excited state  ${}^{1}O_{2}$ , under heating or UV irradiation.

The properties of molecular switches can also be used to regulate the production of <sup>1</sup>O<sub>2</sub> [25,26]. Supramolecular species and solid materials based on spiropyran [27] and dithienylethene [11,28,29] photochromic compounds associated with an external <sup>1</sup>O<sub>2</sub> photosensitizer (e.g., a metal complex or a porphyrin) were used for the reversible control of <sup>1</sup>O<sub>2</sub> generation. In these systems, the production of <sup>1</sup>O<sub>2</sub> is governed by the state ("on" or "off") of the photochromic unit. Very recently, photochromic dimethyldihydropyrene [30,31,32,33,34,35] (DHP) derivatives were also found to be efficient singlet oxygen carriers and releasing agents [36,37]. The main advantages of these DHPs over the spiropyran and dithienylethene compounds is that they do not need an external 102 photosensitizer, as they photosensitize oxygen themselves, and they work using low energy (red) light. As illustrated in Scheme 1, a pyridinium-appended DHP 1 can be switched to its open-ring cyclophanediene (CPD) isomer **2** by irradiation at  $\lambda \ge 630$  nm [38]. The reverse conversion can be achieved either by irradiation in the UV range or thermally. A solution of 1 in the absence or presence of air and exposed to such an irradiation produces compounds 2 and 2-O<sub>2</sub> quantitatively. Upon heating at 35 °C the thermal release of <sup>1</sup>O<sub>2</sub> was observed from  $2-0_2$ .

tBu

tBu

$$\lambda \ge 630 \text{ nm}$$
 $\lambda \ge 630 \text{ nm}$ 
 $\lambda \ge 630 \text{ nm}$ 

**Scheme 1.** Conversion processes between **1**, **2** and **2-0**<sub>2</sub>. Adapted from ref. [36].

These experimental observations were interpreted with the mechanism proposed in Eqs. (1)-(4). Red visible irradiation of the closed isomer  $\mathbf{1}$  in its ground state  $S_0$  produces its singlet excited state  $\mathbf{1}(S_1)$ , which isomerizes to  $\mathbf{2}$  (Eq. (1)). However, the excited state  $\mathbf{1}(S_1)$  can also non-radiatively decay by ISC to produce the triplet  $\mathbf{1}(T_1)$  (Eq. (2)). The DHP in its lowest triplet state can then undergo an energy transfer process with molecular oxygen  $O_2(T_0)$  producing singlet oxygen  $O_2(S_1)$ . Thus,  $\mathbf{1}$  also plays the role of  $O_2$  photosensitizer (Eq. (3)). The photogenerated  ${}^1O_2$  rapidly reacts with  $\mathbf{2}$  to form the corresponding EPO  $\mathbf{2}$ - $\mathbf{0}_2$ , which can release singlet oxygen upon warming (Eq. (4)).

$$\mathbf{1}(S_0) + h\nu \to \mathbf{1}(S_1) \to \mathbf{2} \tag{1}$$

$$\mathbf{1}(S_1) \to \mathbf{1}(T_1) \tag{2}$$

$$\mathbf{1}(T_1) + O_2(T_0) \to \mathbf{1}(S_0) + O_2(S_1)$$
 (3)

$$O_2(S_1) + 2 \xrightarrow{\Lambda} 2 \cdot O_2 \tag{4}$$

The purpose of the present study is to bring some mechanistic information on this mechanism using theoretical chemistry. While the photoswitching mechanism between the closed-ring DHP and the open-ring CPD isomers (Eq. (1)) has been investigated from a computational point of view for a number of DHP derivatives [39,40,41], including the pyridinium-appended DHP shown in Scheme 1 [38], no theoretical study has focused on the self-sensitized photo-oxygenation and singlet oxygen thermal release of dimethyldihydropyrene derivatives (Eqs. (2-4)). In this article, we report a theoretical study based on density functional theory (DFT) of the singlet to triplet intersystem crossing pathway of compound 1 (Eq. (2)), the subsequent energy transfer process occurring with oxygen (Eq. (3)), and the thermal pathway for the corresponding EPO formation (Eq. (4)).

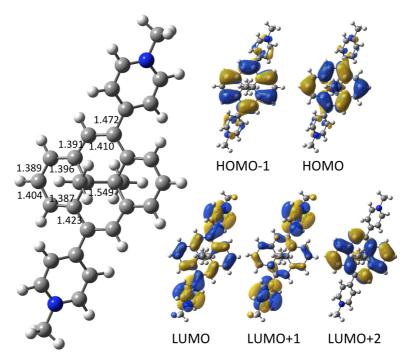
# 2. Computational details

DFT and time-dependent DFT (TD-DFT) have been used to perform calculations on the ground and first excited singlet and triplet states of the isolated system  $\bf 1$ . A model system was used by simply replacing the bulky tert-butyl groups by hydrogen atoms in order to reduce the computational cost. The B3LYP functional [42] was used throughout along with the 6-311G(d,p) basis set [43], unless otherwise specified. Open-shell singlet biradical structures were computed at the broken-symmetry unrestricted B3LYP level. To account for the spin contamination, spin-projected energies have been calculated with the approximate spin-correction procedure proposed by Yamaguchi and coworkers [44,45]. Broken-symmetry DFT calculations combined with such a spin-correction procedure was successfully used to describe the  $^1O_2$  thermal release pathway in a model EPO [46]. The spin-orbit coupling (SOC) between a pair of intersecting singlet and triplet states was computed at the complete active space self-consistent field (CASSCF) level using the four most relevant orbitals involved in the main electronic transitions (Fig. S1 in Supplementary material). All the optimized Cartesian coordinates and energies are collected in Table S1 in Supplementary material. All calculations were performed with Gaussian 09 [47].

#### 3. Results and discussion

#### 3.1 Electronic transitions to singlet and triplet excited states

The nature and vertical transition energies of the lowest singlet and triplet excited states of compound 1 are first described in this subsection. The optimized structure of compound 1, which belongs to the  $C_i$  symmetry point group, is presented in Fig. 2 along with the molecular orbitals involved in the main electronic transitions describing these excited states. Table 1, which collects the TD-DFT results, shows that the first two singlet excited states  $S_1$  and  $S_2$  correspond mainly to a HOMO $\rightarrow$ LUMO and a HOMO $-1\rightarrow$ LUMO transition, respectively. These states display substantial charge transfer character due to the electron withdrawing character of the pyridinium substituents and are located respectively at 1.720 and 2.122 eV vertically above the ground state S<sub>0</sub>. The corresponding absorption wavelengths are 721 nm for  $S_1$  and 584 nm for  $S_2$ , in good agreement (error < 0.3 eV) with the experimental first absorption bands centered at 680 and 528 nm [38]. These results are also in good agreement with previous TD-DFT results performed with a range-separated CAM-B3LYP functional [48], for which absorptions at 657 and 548 nm were computed for these two singlet states [38]. Two triplet states were located below S<sub>1</sub>. T<sub>1</sub> corresponds to a HOMO $\rightarrow$ LUMO transition like S<sub>1</sub>, whereas T<sub>2</sub> is mainly described by a HOMO-1 $\rightarrow$ LUMO transition like S2. The next two triplet states T3 and T4 are intercalated between S1 and S2 and correspond respectively to HOMO→LUMO+2 and HOMO→LUMO+1 transitions.



**Fig. 2.** Optimized structure of model compound **1** and relevant molecular orbitals. Bond lengths are in Å.

**Table 1**TD-DFT lowest energy electronic transitions in model compound **1**.

State	Nature	Excitation energy /eV	$\lambda_{abs}$ /nm	Oscillator strength (f)	Main transitions and amplitudes <sup>a</sup>
$T_1(A_u)$	$(\pi, \pi^*)$	1.039	n.r.	0.000	0.60(H→L)
$T_2(A_u)$	$(\pi,\pi^*)$	1.474	n.r.	0.000	0.55(H-1→L)
$S_1(A_u)$	$(\pi,\pi^*)$	1.720	721	0.101	0.66(H→L)
$T_3(A_u)$	$(\pi,\pi^*)$	1.831	n.r.	0.000	$0.56(H\to L+2)$
$T_4(A_g)$	$(\pi,\pi^*)$	1.919	n.r.	0.000	$0.70(H\rightarrow L+1)$
$S_2(A_u)$	$(\pi,\pi^*)$	2.122	584	0.344	0.62(H-1→L)

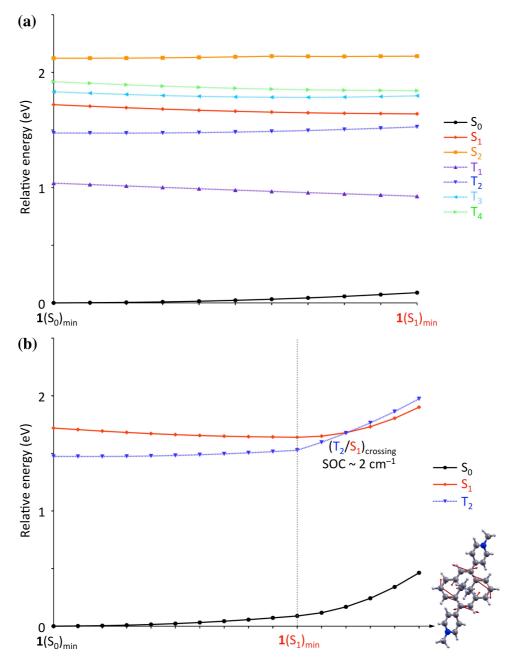
<sup>&</sup>lt;sup>a</sup> H and L represent the HOMO and LUMO, respectively. Amplitudes > 0.5 are given.

#### 3.2 Singlet to triplet intersystem crossing pathway

Upon visible irradiation, DHPs are excited to singlet excited states, which decay either by fluorescence or internal conversion, formation of the corresponding CPD, and ISC to the triplet state [33]. The formation of a triplet excited state is necessary for the photosensitization of oxygen. Thus, we have investigated the main photophysical pathway for ISC in compound 1.

In Fig. 3a, we report the potential energy profiles of the first three singlet and four triplet electronic states computed at the TD-DFT level along the main relaxation coordinate of the  $S_1$  state initially populated. This coordinate mainly involves a stretching of the transannular central C–C bond along with a loss of the planarity of the DHP core. The minimum on the  $S_1$  potential energy surface (PES) corresponds to a CPD precursor for CPD formation, thus associated with the initial part of the photoisomerization mechanism [38]. Because the ISC probability will mainly depend on the energy gap between this singlet state and the triplet states, and the associated SOCs, the search for singlet/triplet crossings is interesting as they provide efficient ISC funnels provided that the SOC is non negligible. In Fig. 3a, it is clear

that the  $T_1$  state remains energetically well below the  $S_1$  state at all relaxation geometries. However, the  $T_2$  state increases slightly in energy to become close to the  $S_1$  state ( $\sim 0.1$  eV) at the  $S_1$  minimum. As a consequence, the  $T_2$ - $S_1$  energy gap is reduced along the  $S_1$  relaxation coordinate and this behavior opens the opportunity to find a  $T_2/S_1$  crossing in the vicinity of the  $S_1$  minimum. Indeed, following the  $T_2$  gradient, the  $T_2$  state crosses the  $S_1$  state only 0.04 eV above the  $S_1$  minimum, as shown in Fig. 3b, making this funnel for ISC easily accessible energetically.



**Fig. 3.** Potential energy profiles for (a) the first three singlet  $(S_0, S_1 \text{ and } S_2)$  and four triplet  $(T_1, T_2, T_3, T_4)$  electronic states along the  $S_1$  relaxation coordinate, and for (b) the first two singlet  $(S_0 \text{ and } S_1)$  and second triplet  $(T_2)$  electronic states along the  $S_1$  relaxation coordinate and along the  $T_2$  gradient (beyond the vertical dotted line).

CASSCF calculations of the SOC between the  $S_1$  and  $T_2$  states at the  $T_2/S_1$  crossing geometry reveals that it is small (2 cm<sup>-1</sup>) but sufficient to induce the expected ISC between  $S_1$  and  $T_2$ . Thus, upon irradiation of  $\mathbf{1}(S_0)$  at  $\lambda \geq 630$  nm, the system is excited to its first

singlet excited state  $\mathbf{1}(S_1)$ , which can undergo an ISC to  $\mathbf{1}(T_2)$ . Further relaxation by internal conversion to the lowest  $T_1$  state will then proceed efficiently, as this non-radiative transition is not spin-forbidden. Thus, the photophysical pathway for triplet DHP formation can be summarized as follows:

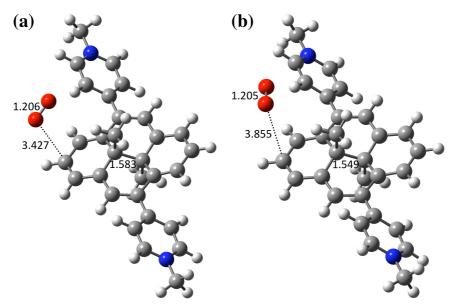
$$1(S_0) + hv \rightarrow 1(S_1) \rightarrow 1(T_2) \rightarrow 1(T_1)$$
 (5)

#### 3.3 Photosensitized generation of singlet oxygen

As observed experimentally,  $\mathbf{1}(T_1)$  can be quenched by molecular oxygen to produce singlet oxygen (Eq. (3)). This quenching process requires an energy transfer between the triplet excited state of DHP and the triplet ground state of molecular oxygen so that DHP is deactivated back to its singlet ground state and oxygen is excited to its singlet state. To be efficient, this energy transfer step will need to be favorable both thermodynamically and kinetically. Moreover, the two reactants (i.e.,  $\mathbf{1}(T_1)$  and  $O_2(T_0)$ ) will need to approach each other close enough, as the energy transfer efficiency decreases upon increasing the distance between the two partners.

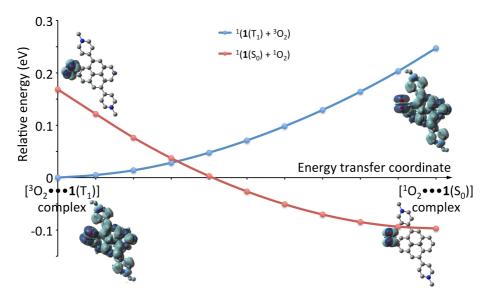
Our DFT study provides information only on the energetics of a possible physical quenching pathway (Eq. (3)), its potential energy profile and the activation energy involved. Other factors governing the energy transfer such as vibronic couplings are not considered here. Thus, our study gives an indication of the feasibility of the process based on the existence of an energetically favorable route connecting the triplet–triplet ( $\mathbf{1}(T_1)$ ) and  $O_2(T_0)$ ) starting reactants to the singlet–singlet ( $\mathbf{1}(S_0)$ ) and  $O_2(S_1)$ ) products.

To determine a possible energy transfer path, we have performed a linear interpolation between two optimized electrostatic complexes, the relaxed triplet-triplet complex  $[^3O_2$ ···1 $(T_1)]$ , which represents the starting reactant, and the relaxed singlet-singlet complex  $[{}^{1}O_{2}\cdots 1(S_{0})]$ , which represents the final product. These optimized electrostatic complexes are shown in Fig. 4 and provide stable structures allowing the two partners (i.e., DHP and molecular oxygen) to be in close contact to proceed with the energy transfer. The initial position of the oxygen molecule in the two electrostatic complexes has been chosen to be consistent with the observed EPO 2-O2 where the oxygen is only observed on one exo side of the cyclophane for steric reasons [36]. The structure of the DHP core in the triplettriplet  $[{}^{3}O_{2}\cdots 1(T_{1})]$  complex is consistent with the optimized structure of  $1(T_{1})$  (compare with Fig. S2 in Supplementary material), where the transannular bond length is increased by 0.035 Å compared to the ground-state structure. On the other hand, the transannular bond length in the singlet-singlet  $[{}^{1}O_{2}\cdots \mathbf{1}(S_{0})]$  complex is similar to the one in  $\mathbf{1}(S_{0})$ , as expected (compare with Fig. 1). Note that the relaxed structures of <sup>3</sup>O<sub>2</sub> and <sup>1</sup>O<sub>2</sub> remain almost identical, reflecting the fact that the interatomic distance in both states is very similar, but the distance and orientation between the oxygen molecule and the DHP core is very different in both complexes.



**Fig. 4.** DFT optimized structures for (a) the triplet–triplet  $[{}^3O_2 \cdots 1(T_1)]$  complex and (b) the singlet–singlet  $[{}^1O_2 \cdots 1(S_0)]$  complex. Bond lengths are in Å.

Single-point energy calculations have been performed along the interpolated energy transfer pathway. Spin-projection on the singlet-singlet  ${}^{1}(\mathbf{1}(S_0) + {}^{1}O_2)$  wavefunction is required to obtain a correct energy profile but for the triplet-triplet coupling (globally a singlet state wavefunction), no decontamination is needed. Due to the abrupt change in the singlet state wavefunction passing from a triplet-triplet coupling  ${}^{1}(\mathbf{1}(T_{1}) + {}^{3}O_{2})$  to a singletsinglet  ${}^{1}(\mathbf{1}(S_0) + {}^{1}O_2)$  coupling description, it was possible to follow each coupling situation all along the linearly interpolated pathway. In this way, we have determined the diabatic components of the path as well as the singlet ground-state and the singlet excited-state potential energy profiles along the energy transfer path. The results are collected in Fig. 5 and suggest that the energy transfer process (physical quenching) is energetically favorable, as the product complex is located 0.091 eV below the reactant complex. In addition, the energy transfer occurs along an almost barrierless pathway with an upper limit to the barrier of only about 0.03 eV (a simple linear interpolation provides an upper boundary to the energy). Along the linear interpolation path, going from a triplet-triplet to a singlet-singlet coupling through the diabatic state crossing point, a change in the spin densities of the system occurs (see Fig. 5). Of course, our linear interpolation provides only an approximate pathway that connects reactants to products. However, the fact that we have obtained a very small barrier is a demonstration of the efficiency of such a process (at least from an energetic point of view). Thus, we can expect a very fast process for the singlet oxygen production.



**Fig. 5.** Potential energy profiles along the energy transfer process. The energy transfer coordinate has been approximated by linear interpolation between the optimized structures of the triplet–triplet  $[{}^3O_2 \cdots 1(T_1)]$  reactant complex and the singlet–singlet  $[{}^1O_2 \cdots 1(S_0)]$  product complex. The blue and red curves correspond to the triplet–triplet coupling  ${}^1(1(T_1) + {}^3O_2)$  and to the singlet–singlet coupling  ${}^1(1(S_0) + {}^1O_2)$  diabatic states, respectively. The spin densities are given for each diabatic state (positive spin density in blue and negative spin density in cyan).

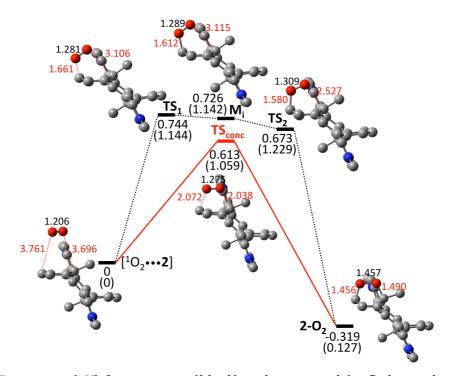
### 3.4 Thermal pathway for endoperoxide formation and singlet oxygen release

Experimentally, the DHP compound **1** irradiated with red light ( $\lambda \ge 630$  nm) under aerobic conditions is quantitatively converted into an EPO derivative, which can then thermally release singlet oxygen to regenerate the starting compound (Scheme 1) [36]. In this subsection, we investigate the thermal pathway for EPO formation between the photoproduced CPD isomer **2** and singlet oxygen (Eq. (4)). It is important to note that **2** does not absorb the irradiation red light and thus can only react in its ground electronic state with  ${}^{1}O_{2}$ . This is confirmed by our TD-DFT calculation of the electronic transitions in **2**, as the lowest energy  $\pi \rightarrow \pi^{*}$  bright transition is computed at 438 nm with CAM-B3LYP (Table S2 in Supplementary material) [49], in good agreement with the experimental data [38].

To investigate the cycloaddition reaction of  ${}^{1}O_{2}$  with CPD, an electrostatic complex [ ${}^{1}O_{2}$ ••••2] was first optimized (Fig. 6 and Table S1 in Supplementary material). This complex represents the starting reactant and provides a stable structure allowing the two partners (i.e., CPD and singlet oxygen) to be in close contact to proceed with the EPO formation. The CO bond lengths are ca. 3.7 Å and the OO bond length is 1.2 Å corresponding to that of  ${}^{1}O_{2}$ . The **2-O**<sub>2</sub> EPO product has also been optimized (Fig. 6 and Table S1 in Supplementary material). The two CO bond lengths are 1.46 and 1.49 Å. The X-ray structure gives bond lengths of 1.51 and 1.79 Å, respectively [36]. The much longer distance for the CO bond adjacent to the methyl group is not reproduced by the DFT calculation. The OO bond length is shortened to 1.46 Å in fairly good agreement with the 1.50 Å distance found experimentally.

As shown in Fig. 6, two pathways that connect  $[^1O_2\cdots 2]$  with  $\mathbf{2}\mathbf{-0_2}$  were identified corresponding to a stepwise and to a concerted mechanism. This is similar to what was found on a simple benzene EPO model system [46]. The lowest energy path involves the concerted mechanism in which the transition state  $(\mathbf{TS_{conc}})$  displays two simultaneously elongated CO bonds ( $\sim$ 2 Å). The energy barrier of this synchronous activated process

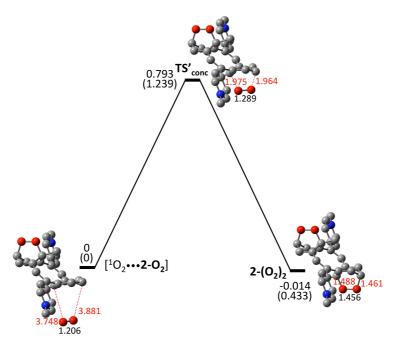
amounts to 0.61 eV, and the  $2\text{-}0_2$  EPO product generated is lower in energy (by 0.32 eV) than the reactant [ $^1\text{O}_2\cdots 2$ ] complex. The second mechanism involving a stepwise process lies slightly higher in energy. In this case, the two CO bonds are broken sequentially. In a first step, one CO bond is formed (1.61 Å), leading to a biradical intermediate denoted  $M_i$  lying 0.73 eV above the reactant. The transition state involved along this step ( $TS_1$ ) lies close in energy to the  $M_i$  intermediate and corresponds to an energy barrier of 0.74 eV. In the second step, the other CO bond is being formed (2.5 Å at  $TS_2$ ). Note however that upon applying the spin-correction procedure, the energy barrier along this step disappears indicating that the second CO bond could be formed in a barrierless manner. Considering the lowest energy concerted mechanism, the cycloreversion process from the  $2\text{-}0_2$  EPO will require an energy barrier of 0.93 eV, which accounts for the thermal release of singlet oxygen upon heating.



**Fig. 6.** DFT energies (eV) for stepwise (*black*) and concerted (*red*) thermal pathways of endoperoxide **2-O**<sub>2</sub> formation. Spin-corrected energies in normal font and non-corrected energies in parentheses. Energies given relative to the starting [ ${}^{1}O_{2}$ •••2] complex. CO and OO bond lengths (in Å) are in red and black font, respectively.

The further addition of singlet oxygen on the endoperoxyde  $\mathbf{2}$ - $\mathbf{0}_2$  could potentially form a bis-endoperoxyde  $\mathbf{2}$ - $(\mathbf{0}_2)_2$ . Experimentally, no such bis-endoperoxyde was identified. The cycloaddition reaction of  ${}^1\mathrm{O}_2$  with  $\mathbf{2}$ - $\mathbf{0}_2$  was investigated considering the lowest energy concerted pathway, as shown in Fig. 7. First, an electrostatic complex  $[{}^1\mathrm{O}_2$ - $\mathbf{0}_2$ - $\mathbf{0}_2$ ] was optimized (Fig. 7 and Table S1 in Supplementary material) to provide the starting reactant. The CO bond lengths are ca. 3.8 Å and the OO bond length is 1.2 Å corresponding to that of  ${}^1\mathrm{O}_2$ . The transition state found along the concerted path ( $\mathbf{TS'_{conc}}$ ) displays two simultaneously elongated CO bonds ( $\sim$ 2 Å). The energy barrier of this synchronous activated process amounts to 0.79 eV, which is 0.18 eV higher than the cycloaddition barrier of  ${}^1\mathrm{O}_2$  with  $\mathbf{2}$  (compare with Fig. 6). In addition, the  $\mathbf{2}$ - $(\mathbf{0}_2)_2$  bis-EPO product generated is almost isoenergetic with the reactant  $[{}^1\mathrm{O}_2$ - $(\mathbf{0}_2)_2$  complex. Thus, the calculations show that the cycloaddition of a second singlet oxygen molecule is energetically less favorable than the first one. However, when it is compared with the

thermally activated  ${}^{1}O_{2}$  release pathway from **2-O<sub>2</sub>**, this second cycloaddition is a competitive process from an energetic point of view. The fact that the **2-(O<sub>2</sub>)<sub>2</sub>** bis-EPO product is not observed is certainly due to kinetic reasons, as the  ${}^{1}O_{2}$  thermal release is a unimolecular process, while the bis-EPO formation results from a bimolecular reaction. Note also that to undergo the cycloaddition  ${}^{1}O_{2}$  has only one reactive site left in **2-O<sub>2</sub>**, while two sites were present in **2**.



**Fig. 7.** DFT energies (eV) for the concerted thermal pathway of bis-endoperoxide **2-(0<sub>2</sub>)**<sup>2</sup> formation. Spin-corrected energies in normal font and non-corrected energies in parentheses. Energies given relative to the starting [ ${}^{1}O_{2}$ ••••**2-O**<sub>2</sub>] complex. CO and OO bond lengths (in Å) are in red and black font, respectively.

#### 4. Conclusions

In this contribution, we have investigated theoretically the mechanisms of the self-sensitized photo-oxygenation and singlet oxygen thermal release in a dimethyldihydropyrene derivative following the recent experimental work of Royal and coworkers [36]. These authors reported that red light irradiation ( $\lambda \ge 630$  nm) of a colored pyridinium-substituted DHP photochromic compound readily leads to the quantitative formation of the thermodynamically less stable colorless CPD form following the opening of the central C-C bond [38]. In the presence of molecular oxygen, this DHP derivative also plays the role of an  $O_2$  sensitizer. The photogenerated  $^1O_2$  reacts with the CPD isomer to afford the corresponding EPO-CPD species. The EPO-CPD then releases  $^1O_2$  thermally with a high yield of ca. 85% [36].

Our study is based on the computation of the relevant photophysical and chemical pathways using DFT-based methods. The first step involves the formation of a DHP triplet excited state (Eq. (2)), which is required for the photo-sensitization of oxygen. The main photophysical pathway for ISC was identified and involves the non-radiative decay at an easily accessible crossing between the initially excited  $S_1$  state with the  $T_2$  state. This state can then rapidly decay to the lowest  $T_1$  state by efficient internal conversion. The resulting  $T_1$  state can then be quenched by molecular oxygen to produce singlet oxygen. This second step requires an energy transfer between the triplet excited state of DHP and the triplet

ground state of molecular oxygen so that DHP is deactivated back to its singlet ground state and oxygen is excited to its singlet state (Eq. (3)). The potential energy profile computed for this process shows that it is energetically favorable, as it involves a quasi-barrierless energy transfer. Finally, the thermal pathway for the EPO-CPD formation between the photoproduced CPD isomer 2 and singlet oxygen (Eq. (4)) has been computed. Two different pathways were identified, one corresponding to a concerted mechanism, while the other involves a stepwise process. The lowest energy synchronous pathway involves a barrier of 0.61 eV for the EPO formation, while a 0.93 eV barrier needs to be overcome for the thermal release of singlet oxygen by this EPO. These results are consistent with an efficient EPO-CPD formation at low radiant energies and singlet oxygen production upon warming [36] and they confirm that this type of system could be attractive oxygen carriers and singlet oxygen delivery agents.

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#### **Supplementary material**

Fig. S1 for a comparison between TD-DFT and CASSCF electronic structures at the  $T_2/S_1$  crossing. Table S1 for Cartesian coordinates and energies of all the optimized structures. Fig. S2 for the DFT geometry of  $\mathbf{1}(T_1)$ . Table S2 for the TD-DFT lowest energy singlet electronic transitions in  $\mathbf{2}$  using CAM-B3LYP.

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