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Regularization of Nonlinear Decomposition of Spectral X-ray Projection Images

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Abstract

Purpose: Exploiting the X-ray measurements obtained in different energy bins, spectral computed tomography (CT) has the ability to recover the 3D description of a patient in a material basis. This may be achieved solving two subproblems, namely the material decomposition and the tomographic reconstruction problems. In this work, we address the material decomposition of spectral x-ray projection images, which is a non-linear ill-posed problem.

Methods: Our main contribution is to introduce a material-dependent spatial regularization scheme in the projection domain. The problem is efficiently solved iteratively using the Gauss-Newton algorithm and a linear solver that exploits the sparse nature of operators. The framework is validated on numerical experiments of a thorax phantom made of soft tissue, bone and gadolinium scanned with a 120 kV source and a 4-bin photon counting detector. Different scenarios are created to evaluate the method performance by varying the number of incident photons and the concentration of the marker. Convergence of the proposed method and its dependence on the regularization parameter are also studied.

Results : We show that material decomposition is feasible with the proposed method and that it converges in few iterations. For the assessed experiments, material decomposition was possible when the number of incident photons was equal or larger than 10^5 or when the marker concentration was equal or larger than 0.01 g.cm^{-3} for 10^6 photons.

Conclusions : The proposed method efficiently solves the nonlinear decomposition problem for spectral CT, which opens up new possibilities such as material-specific regularization in the projection domain and a parallelization framework, in which projections are solved in parallel.

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I. INTRODUCTION

30 Spectral computed tomography (CT) is raising increasing attention. Exploiting X-ray measurements acquired at multiple energies, this new imaging modality has the ability to recover the concentration maps of the constituents of the tissues in a quantitative manner. While the principle of dual-energy CT has been known for more than 30 years, recent developments in energy selective detector have boosted the research in this area [1–8]. In particular, it has been shown that photon counting detectors can be used to image high 35 Z contrast agents by exploiting the K-edge energy discontinuity of their linear attenuation coefficient (LAC) [9–11]. Therefore, coupling spectral CT acquisition to the injection of contrast agent is promising not only to increase the sensitivity of standard CT but also to open new clinical applications for X-ray imaging as a functional imaging tool, e.g., for the 40 characterization of the atherosclerotic plaque[12–14].

As in standard CT, spectral CT reconstruction requires to solve an inverse problem. However, spectral CT reconstruction can exploit measurements in different energy channels, which allows to recover the concentration of different materials [15]. A wide variety of reconstruction approaches have been investigated. Basically, spectral CT reconstruction can 45 be divided into image-based, direct inversion, and projection-based approaches.

The image-based approach is a pragmatic approach that consists in the tomographic reconstruction of each of the energy sinogram followed by a decomposition step. Although it provide valuable results for multi-material decomposition [16, 17], it makes substantial assumptions concerning the forward model that may results in image artifacts and quantifi- 50 cation inaccuracy. Development of sophisticated correction schemes to alleviate this problem is a topic of ongoing research [18–20].

Direct inversion and projection-based approaches both rely on a mathematical description of the physics of image formation. Direct inversion methods recover the material density directly from the measured energy-resolved sinograms while the projection-based methods 55 first decompose the energy-resolved sinograms into material-specific sinograms and then perform a tomographic reconstruction of each of the decomposed sinograms. Direct inversion is probably the most elegant approach [21–24]. However, the resulting inverse problem is computationally demanding since data from all views have to be processed at the same time. On the contrary, the projection-based approach allows the projection views to be decomposed

60 independently, which can be parallelized and is computationally more tractable.

The decomposition step is specific to spectral CT and has led to various approaches, some rely on parametric models while the others are based on a physical model. On the one hand, parametric model avoids measuring the source spectrum and the energy responses of the detector, which may be difficult [25]. Despite its excellent decomposition quality
65 [26–29], this approach is more difficult to implement when three materials or more are to be recovered. This can be a serious drawback for K-edge imaging applications. On the other hand, approaches relying on a physical model of the imaging setup can be more easily applied to arbitrary numbers of materials [10].

In this paper, we present a new decomposition framework based on a physical model.
70 The main contribution of this work is to introduce material-specific regularization in the projection domain.

Regularization is not a new idea in spectral CT which is an ill-posed inverse problem. Different regularization strategies have been investigated in order to improve the signal-to-noise ratio of the reconstructions, e.g., statistical penalties and spatial priors. Direct
75 inversion approaches have the ability to incorporate spatial prior in the image domain. Previous works include non-linear edge-preserving statistical reconstruction [21], Gaussian Markov random field regularization with positivity constrains [22], extension of the ART algorithm [23], and TV-regularized primal-dual algorithm [24]. However, it may be noted that these approaches may be computationally expensive in terms of memory requirements
80 and computation time, and have mainly been limited to the reconstruction of 2D slices exploiting a low number of energy bins. Moreover, simplified forward models are often used to moderate the computational cost of the reconstruction. Similarly, projection-based approaches focused on penalties in the image domain which are imposed regularizing the tomographic problem. Edge preserving regularization based on the Huber potential function
85 was considered in [11, 30] while total variation/ ℓ_1 sparsity constrains were used in [31]. However, to the best of our knowledge, regularization of the decomposition step, which is nonlinear and perhaps more difficult to solve than the tomographic step, was only recently addressed [32, 33].

Similarly to what was proposed by Schlomka *et al.* [10], our forward model is non-linear
90 and takes into account the spectral response of the detector but we also regularize each material projection image. Instead of considering the processing of a single projection line,

we consider the decomposition of a 2D projection which is of interest for multi-line and 2D energy resolved detectors. In order to take into account the noise statistics, we have used a weighted least square data term. The inverse problem is solved using a Gauss-Newton
95 algorithm that exploits second-order information, which converges faster than the most commonly used first order algorithm. Gauss-Newton minimization was successfully applied to several medical imaging inverse problems [34–37]. In this paper, it is implemented using explicit computation of the Hessian, which is a sparse and structured matrix. Hence, our algorithm can benefit from efficient linear equation solvers. The formulation is presented
100 in a general framework, providing analytical formulas for general regularization functionals. The method is validated on synthetic data and the projection of a real thorax phantom, and we provide results for different scenarios created by varying the number of incident photons and the amount of contrast agent.

II. THEORY

105 As depicted in figure 1, we consider a 3-dimensional (3-D) object in a bounded domain Ω that is imaged by a 2-D detector with a surface \mathcal{S} . A summary of the notation used throughout the paper is provided in table I.

A. X-ray/matter interaction

Let $n(E, \mathbf{u})$ denotes the number of photons of energy E that reaches the detector at the pixel position $\mathbf{u} \in \mathcal{S}$. Neglecting scattering within the object, n follows the Beer-Lambert law. Mathematically,

$$n(E, \mathbf{u}) = n_0(E, \mathbf{u}) \exp \left[- \int_{\mathcal{L}(\mathbf{u})} \mu(E, \mathbf{x}) d\ell \right] \quad (1)$$

where $n_0(E, \mathbf{u})$ is the source spectrum, $\mathcal{L}(\mathbf{u})$ is the acquisition line that depends on the source emission geometry (parallel, cone-beam, etc), and $\mu(E, \mathbf{x})$ is the local LAC of the object at energy E and point $\mathbf{x} \in \Omega$. For simplicity, the source spectrum is assumed to be the same at each pixel, i.e.,

$$n_0(E, \mathbf{u}) = n_0(E), \forall \mathbf{u} \in \mathcal{S} \quad (2)$$

TABLE I. Main mathematical notations

Variable	Meaning	Dimension
a	Projected mass density (our unknown)	$\mathbb{R}(\mathcal{S})$
d	Detector response function	$\mathbb{R}(\mathbb{R}, \mathbb{R}, \mathcal{S})$
i	Output energy bin index	\mathbb{N}
j	input energy bin index	\mathbb{N}
k	iteration index	\mathbb{N}
m	Basis functions index	\mathbb{N}
n	Number of input photons	$\mathbb{R}(\mathbb{R}, \mathcal{S})$
s	Number of output photons	$\mathbb{R}(\mathbb{R}, \mathcal{S})$
E	Input energy	\mathbb{R}
I	Number of output energy bins	1
J	Number of input energy bins	1
M	Number of basis functions	1
P	Number of pixels	1
μ	Linear attenuation	$\mathbb{R}(\mathbb{R}, \Omega)$
ρ	Material mass density	$\mathbb{R}(\Omega)$
τ	Material basis function	$\mathbb{R}(\mathbb{R})$
Ω	Object volume	\mathbb{R}^3
\mathbf{u}	Detector pixel location	\mathbb{R}^2
\mathbf{x}	Object voxel location	\mathbb{R}^3
\mathbf{a}	Projected mass density image	\mathbb{R}^{PM}
\mathbf{s}	Photon counts image	\mathbb{R}^{IP}
\mathbf{H}	Hessian of \mathcal{R}	$\mathbb{R}^{MP \times MP}$
\mathbf{J}	Jacobian of \mathcal{F}	$\mathbb{R}^{IP \times MP}$
\mathcal{E}	Output energy	\mathbb{R}
\mathcal{R}	Regularizer	$\mathbb{R}(\mathbb{R}^{PM})$
\mathcal{S}	Detection surface	\mathbb{R}^2
\mathcal{F}	Forward model	$\mathbb{R}^{IP}(\mathbb{R}^{PM})$

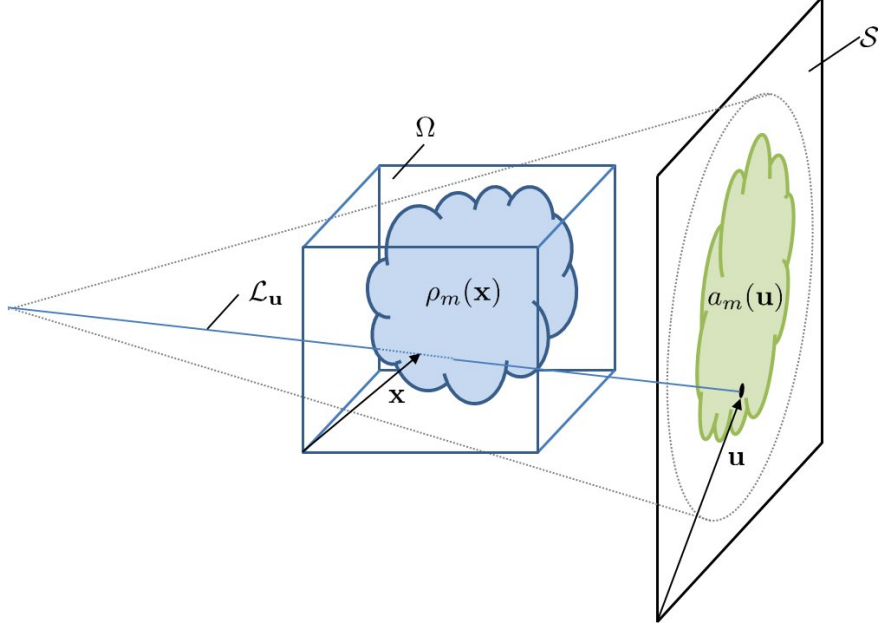


FIG. 1. Principle of acquisition and notations. Here, a conical geometry is chosen as an illustration. Ω is the object volume, \mathcal{S} is the detector surface, ρ_m is the mass density of the m th material, and a_m is projected mass density of the m th material.

B. Detection model

The mean signal recorded by a photon counting detector may be modelled by

$$\bar{s}(\mathcal{E}, \mathbf{u}) = \int_{\mathbb{R}} d(\mathcal{E}, E) n(E, \mathbf{u}) dE \quad (3)$$

where $d(\mathcal{E}, E)$ accounts for the detector response function and is the probability density function for an x-ray photon hitting the detector with energy E to be detected at energy \mathcal{E} . The photons detected within the i -th energy bin $[\mathcal{E}_i, \mathcal{E}_{i+1}]$ are accumulated electronically thanks to a counting circuit. The mean number of photons detected within the i -th energy bin is given by

$$\bar{s}_i(\mathbf{u}) = \int_{\mathbb{R}} d_i(E) n(E, \mathbf{u}) dE \quad (4)$$

where

$$d_i(E) = \int_{\mathcal{E}_i}^{\mathcal{E}_{i+1}} d(\mathcal{E}, E) d\mathcal{E} \quad (5)$$

is the response function of the i -th bin of the detector. Note that the detection model assumes that the value measured at a given pixel is not correlated to the value measured at neighbor pixels, i.e. charge sharing can be neglected or is corrected for.

C. Object decomposition

It is assumed that the LAC can be decomposed as the sum of M basis functions that are separable in energy and space. We have

$$\mu(E, \mathbf{x}) = \sum_{m=1}^M \rho_m(\mathbf{x})\tau_m(E), \quad \forall \mathbf{x} \in \Omega \quad (6)$$

where the τ_m are some well-chosen basis functions and the ρ_m are the corresponding weights in the decomposition. Following the work of Alvarez and Macovski [15], two approaches have emerged for the choice of the basis functions: i) physics-based where τ_m models the physical effects, e.g. photoelectric, Compton scattering, and, ii) material-based where τ_m is the mass attenuation of the constituents of the objects (in $\text{cm}^2 \cdot \text{g}^{-1}$). In the latter approach, ρ_m is the mass density (in $\text{g} \cdot \text{cm}^{-3}$) of material m . For the sake of simplicity but with no loss of generality, ρ_m is referred to as *mass density* in the following. K-edge materials may be added with the two types of basis [10].

D. Forward model

Substituting (6) into (1) and the obtained result into (4), the mean measured signal may be written

$$\bar{s}_i(\mathbf{u}) = \int_{\mathbb{R}} n_0(E) d_i(E) \exp \left[- \sum_{m=1}^M a_m(\mathbf{u}) \tau_m(E) \right] dE \quad (7)$$

where

$$a_m(\mathbf{u}) = \int_{\mathcal{L}(\mathbf{u})} \rho_m(\mathbf{x}) d\ell \quad (8)$$

is the projection of the mass density ρ_m along the line $\mathcal{L}(\mathbf{u})$ (see figure 1) that is expressed in $\text{g} \cdot \text{cm}^{-2}$ when a material-based decomposition is performed. In the following, a_m is referred to as the *projected mass density* (PMD).

E. Discretization

Let us assume that the detector consists of an array of P pixels located at \mathbf{u}_p , $p \in \{1, \dots, P\}$. Each of the pixels counts photons in I different energy bins. Let $\bar{\mathbf{s}} \in \mathbb{R}^{PI}$ and

$\mathbf{s} \in \mathbb{R}^{PI}$ be the mean and measured photon counts vector, which are defined by

$$\bar{\mathbf{s}} = \left[\bar{s}_{1,1} \dots \bar{s}_{I,1} \dots \dots \bar{s}_{1,P} \dots \bar{s}_{I,P} \right]^\top \quad (9)$$

$$\mathbf{s} = \left[s_{1,1} \dots s_{I,1} \dots \dots s_{1,P} \dots s_{I,P} \right]^\top \quad (10)$$

130 In a similar way, let $\mathbf{a} \in \mathbb{R}^{PM}$ be the (unknown) vector containing the PMD of each material in each pixel, which is defined by

$$\mathbf{a} = \left[a_{1,1} \dots a_{M,1} \dots \dots a_{M,P} \dots a_{M,P} \right]^\top. \quad (11)$$

The discrete forward model can be formalized as

$$\bar{\mathbf{s}} = \mathcal{F}(\mathbf{a}) \quad (12)$$

135 where $\mathcal{F}(\mathbf{a})$ denotes the non-linear mapping induced by (7).

The material decomposition problem is to recover the PMD vector \mathbf{a} from the measured data \mathbf{s} given the known forward mapping \mathcal{F} . This is an ill-posed problem that requires prior knowledge about the solution to stabilize the inversion in the presence of noise.

F. Noise model

140 The measurements are assumed to be corrupted by independent Poisson noise, i.e.,

$$s_{i,p} \sim \mathbf{P}(\lambda = \bar{s}_{i,p}), \quad (13)$$

where $\mathbf{P}(\lambda)$ denotes the Poisson distribution of mean λ .

In order to investigate the influence of noise which is related to the value of $\bar{s}_{i,p}$, we introduce the total number of photons emitted by the source during the acquisition. It is
145 defined by

$$N_0 = \int n_0(E) dE \quad (14)$$

The larger N_0 is, the better the signal-to-noise ratio of the measurements.

III. PROPOSED DECOMPOSITION METHOD

A. A variational approach

150 In this manuscript, we propose to recover the projected mass vector within a variational framework, i.e., minimizing the cost function

$$\mathcal{C}(\mathbf{a}) = \|\mathbf{s} - \mathcal{F}(\mathbf{a})\|_{\mathbf{W}}^2 + \alpha \mathcal{R}(\mathbf{a}) \quad (15)$$

where $\mathcal{F}(\mathbf{a})$ \mathbf{W} is a weighting matrix, \mathcal{R} is the regularization functional, and α is a global regularization parameter. The weighting matrix is chosen as

$$155 \quad \mathbf{W} = \text{diag} \left(\frac{1}{\mathbf{s}} \right) \quad (16)$$

such that $\|\mathbf{s} - \mathcal{F}(\mathbf{a})\|_{\mathbf{W}}^2$ is a weighted least squares fidelity term adapted to data corrupted by Poisson noise.

One main difficulty of the inverse problem is the non linearity of the forward mapping, 160 which is addressed by means of the Gauss-Newton algorithm described in Section III C.

B. Regularization functional

Priors must be suited to the images of materials to be recovered. For instance, a soft tissue image is expected to be smoother than a bone image. We adopt the following regularizing functional, which allows the prior of the different materials to be tuned independently:

$$165 \quad \mathcal{R}(\mathbf{a}) = \sum_{m=1}^M \beta_m \mathcal{R}_m(\mathbf{b}_m), \quad \text{with } \mathbf{b}_m = \mathbf{L}_m \mathbf{a}_m, \quad (17)$$

where β_m , \mathcal{R}_m , $\mathbf{a}_m = [a_{m,1} \dots a_{m,P}]^\top \in \mathbb{R}^P$, and $\mathbf{L}_m \in \mathbb{R}^{Q_m \times P}$ are the regularization parameter, the regularization functional, the PMD, and a linear transform of the m -th basis function (e.g., the derivative or the laplacian), respectively.

Many different regularizing functionals, together with many different transforms have 170 been considered in the past. In this manuscript, we limit our study to the following cases.

a. Material regularization functional We consider the functionals that can be modeled by

$$\mathcal{R}_m(\mathbf{b}_m) = \sum_{q=1}^{Q_m} \psi(b_q) \quad (18)$$

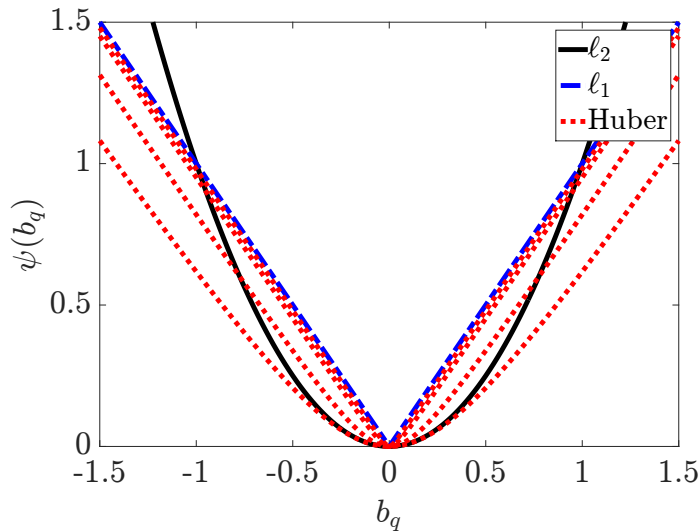


FIG. 2. Potential functions used in this manuscript. The quadratic potential function leads to the ℓ_2 , while the Huber function approximates the ℓ_1 -norm. The Huber function is depicted for $\epsilon = \{0.5, 0.2, 0.05, 0.02\}$. Note that $\psi^{\text{huber}}(b_q)$ behaves as $\frac{1}{2} \frac{b_q^2}{\epsilon}$ for $b_q \ll \epsilon$ and as $|b_q| - \epsilon$ for $b_q \gg \epsilon$

where $\psi: \mathbb{R} \mapsto \mathbb{R}_+$ is a twice differentiable potential function chosen to promote some image prior and $b_q = (\mathbf{b}_m)_q$ is the q -th component of \mathbf{b}_m . In particular, the following two potential functions, illustrated in figure 2, are considered:

- *Quadratic.* The quadratic potential function

$$\psi^{\text{quad}}(b_q) = b_q^2 \quad (19)$$

can be chosen to promote smooth solutions. It leads to the standard ℓ_2 penalization also known as Tikhonov regularization:

180

$$\mathcal{R}_m^{\text{quad}}(\mathbf{b}_m) = \|\mathbf{b}_m\|_2^2 \quad (20)$$

- *Huber.* The Huber penalty functional can be chosen to preserve edges in the solution. In particular, its smooth approximation is defined by

$$\psi_m^{\text{huber}}(b_q) = \sqrt{b_q^2 + \epsilon^2} - \epsilon. \quad (21)$$

185

where ϵ is a parameter that can be tuned knowing the order of magnitude of the b_q 's. This choice for ψ results in a ℓ_1 -like penalization term:

$$\mathcal{R}_m^{\text{huber}}(\mathbf{b}_m) \approx \|\mathbf{b}_m\|_1 \quad (22)$$

b. Linear transform The choice for the linear operator \mathbf{L}_m is restricted to discrete differential operators up to second order, i.e.,

$$\mathbf{L}_m \in \{\mathbf{D}^{(0)}, \mathbf{D}^{(1)}, \mathbf{D}^{(2)}\} \quad (23)$$

where $\mathbf{D}^{(0)} \in \mathbb{R}^{P \times P}$ is the identity matrix, $\mathbf{D}^{(1)} \in \mathbb{R}^{2P \times P}$ is the 2-D discrete gradient, and $\mathbf{D}^{(2)} \in \mathbb{R}^{P \times P}$ is the 2-D discrete Laplacian. Any other linear operators could be used as well. However, these choices lead to sparse \mathbf{L}_m matrices, which constitute a computational advantage as detailed in Section VI.

195 C. Optimisation algorithm

We propose to minimize (15) using Gauss-Newton (GN)'s method, which is a classical iterative method for non-linear minimization. It starts with an initial guess $\mathbf{a}^{(0)}$ and builds new estimates with the following update rule:

$$\mathbf{a}^{(k+1)} = \mathbf{a}^{(k)} + \lambda^{(k)} \delta \mathbf{a}^{(k)}. \quad (24)$$

200 where $\delta \mathbf{a}^{(k)} \in \mathbb{R}^{PM}$ is the so-called GN step and $\lambda^{(k)} \in \mathbb{R}$ is the step length.

The GN step is obtained solving

$$(2\mathbf{J}^{(k)\top} \mathbf{J}^{(k)} + \alpha \mathbf{H}^{(k)}) \delta \mathbf{a}^{(k)} = -\mathbf{g}^{(k)}, \quad (25)$$

where $\mathbf{J}^{(k)}$ is the Jacobian matrix of \mathcal{F} at the iterate $\mathbf{a}^{(k)}$, $\mathbf{H}^{(k)}$ is the Hessian matrix of \mathcal{R} at $\mathbf{a}^{(k)}$, and $\mathbf{g}^{(k)}$ is the gradient of \mathcal{C} at $\mathbf{a}^{(k)}$ that is given by:

$$\mathbf{g}^{(k)} = -2\mathbf{J}^{(k)\top} [\mathbf{s} - \mathcal{F}(\mathbf{a}^{(k)})] + \alpha \nabla \mathcal{R}(\mathbf{a}^{(k)}). \quad (26)$$

The step length is obtained minimizing the cost function along the direction provided by the GN direction. Mathematically, it is defined as

$$\lambda^{(k)} = \arg \min \mathcal{C}(\mathbf{a}^{(k)} + \lambda \delta \mathbf{a}^{(k)}) \quad (27)$$

D. Computation of the Jacobian

The Jacobian matrix of the forward model introduced in (25) is the $IP \times MP$ real-valued matrix that maps variations in the unknown vector \mathbf{a} onto variations in the forward model

$\bar{\mathbf{s}} = \mathcal{F}(\mathbf{a})$. It is defined by

$$\mathbf{J} = \frac{\partial \bar{\mathbf{s}}}{\partial \mathbf{a}} \quad (28)$$

Differentiating the forward model given in (7), it can be shown that

$$\frac{\partial \bar{s}_{i,p}}{\partial a_{m,p'}} = \begin{cases} 0, & \text{for } p \neq p' \\ j_{i,m,p}, & \text{for } p = p' \end{cases} \quad (29)$$

with

$$j_{i,m,p} = \int_{\mathbb{R}} \tau_m(E) n(E, \mathbf{u}_p) d_i(E) dE \quad (30)$$

As a result, the Jacobian can be expressed as the block diagonal matrix

$$\mathbf{J} = \text{diag} \left(\left[\mathbf{J}_1 \ \dots \ \mathbf{J}_P \right] \right). \quad (31)$$

where $\mathbf{J}_p \in \mathbb{R}^{I \times M}$ is such that $(\mathbf{J}_p)_{i,m} = j_{i,m,p}$. It is noteworthy that \mathbf{J} is a sparse matrix having only IMP non-zero entries out of IMP^2 . Physically, the many zeros of \mathbf{J} indicate that a change of the PMD in a particular pixel does not affect the value measured at another pixel.

E. Computation of the gradient of the regularizer

Computation of the gradient of the regularizer \mathcal{R} is required by (26). By linearity of the gradient, we obtain from the definition of the regularizer (17) that

$$\nabla \mathcal{R}(\mathbf{a}) = \sum_{m=1}^M \beta_m \nabla \mathcal{R}_m(\mathbf{L}_m \mathbf{a}_m), \quad (32)$$

where ∇ is the gradient with respect to \mathbf{a} , which is defined by

$$\nabla = \left[\frac{\partial}{\partial a_{1,1}} \ \dots \ \frac{\partial}{\partial a_{M,1}} \ \dots \ \dots \ \frac{\partial}{\partial a_{1,P}} \ \dots \ \frac{\partial}{\partial a_{M,P}} \right]^\top. \quad (33)$$

Since regularization is performed on each material independently, i.e. \mathcal{R}_m only depends on \mathbf{a}_m , we have $\frac{\partial \mathcal{R}_m}{\partial a_{m',p}} = 0$, $\forall m' \neq m$. It is therefore convenient to introduce the partial gradient w.r.t. the PMD of the m -th material

$$\nabla_m = \left[\frac{\partial}{\partial a_{m,1}} \ \dots \ \frac{\partial}{\partial a_{m,P}} \right]^\top. \quad (34)$$

and remark that the full gradient $\nabla \mathcal{R}_m \in \mathbb{R}^{MP}$ is simply obtained by inserting zeros into the partial gradients $\nabla_m \mathcal{R}_m \in \mathbb{R}^P$. Precisely, $\nabla \mathcal{R}_m = \nabla_m \mathcal{R}_m \otimes \mathbf{e}_m$, where $\mathbf{e}_m \in \mathbb{R}^M$ is the natural basis vector given by $\mathbf{e}_m = [\delta_{1,m} \dots \delta_{M,m}]^\top$ where $\delta_{m',m}$ is the Kronecker delta. Therefore, we have

$$\nabla \mathcal{R}(\mathbf{a}) = \sum_{m=1}^M \beta_m \nabla_m \mathcal{R}_m(\mathbf{L}_m \mathbf{a}_m) \otimes \mathbf{e}_m, \quad (35)$$

The latter equation is of particular interest when closed-form formulas for $\nabla_m \mathcal{R}_m$ are available. Applying the appendix formula (A2) to the potential functions considered in (19) and (21), the following simple identities are obtained:

$$\nabla_m \mathcal{R}_m^{\text{quad}}(\mathbf{b}_m) = 2\mathbf{L}_m^\top \mathbf{b}_m \quad (36)$$

and

$$\nabla_m \mathcal{R}_m^{\text{huber}}(\mathbf{b}_m) = \mathbf{L}_m^\top \left[\frac{b_1}{\sqrt{b_1^2 + \epsilon^2}} \dots \frac{b_Q}{\sqrt{b_Q^2 + \epsilon^2}} \right]^\top \quad (37)$$

where $b_q = (\mathbf{L}_m \mathbf{a}_m)_q$.

F. Computation of the Hessian of the regularizer

The Hessian of the regularizer is required to compute the GN update that solves (25). It is defined by:

$$\mathbf{H} = \frac{\partial^2 \mathcal{R}}{\partial \mathbf{a} \partial \mathbf{a}^\top}. \quad (38)$$

With the same reasoning as in the previous section, we have

$$\mathbf{H}(\mathbf{a}) = \sum_m \beta_m \mathbf{H}_m(\mathbf{b}_m) \otimes \mathbf{e}_m \otimes \mathbf{e}_m^\top. \quad (39)$$

where $\mathbf{H}_m \in \mathbb{R}^{P \times P}$ is a shorthand for the Hessian of \mathcal{R}_m w.r.t. \mathbf{b}_m . At this point it is important to notice that \mathbf{H} is built from the M matrices \mathbf{H}_m , each of size $P \times P$.

Moreover, closed-form equations for \mathbf{H}_m are obtained applying the appendix equation(B2) to the potential functions given in (19) and (21). We have :

$$\mathbf{H}_m^{\text{quad}}(\mathbf{b}_m) = 2\mathbf{L}_m^\top \mathbf{L}_m \quad (40)$$

and

$$\mathbf{H}_m^{\text{huber}}(\mathbf{b}_m) = \epsilon^2 \mathbf{L}_m^\top \text{diag} \left(\left[(b_1^2 + \epsilon^2)^{-\frac{3}{2}} \dots (b_Q^2 + \epsilon^2)^{-\frac{3}{2}} \right] \right) \mathbf{L}_m \quad (41)$$

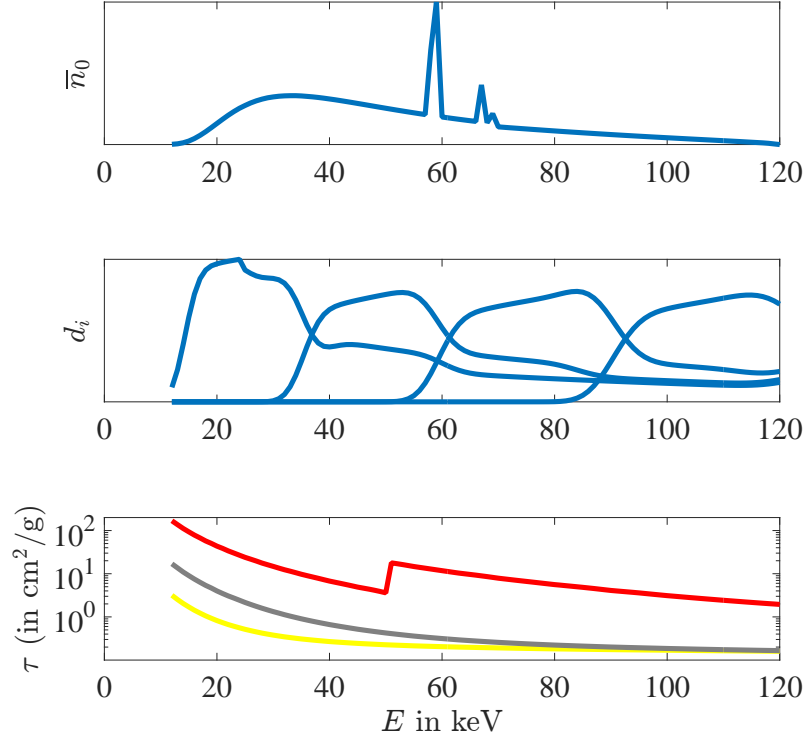


FIG. 3. Top row: Spectrum of the x-ray source. Middle row: detector response for each energy bin. Bottom row: mass attenuation of the three materials considered in the numerical experiments (soft tissue in yellow, bone in grey, gadolinium in red).

235 where $b_q = (\mathbf{L}_m \mathbf{a}_m)_q$.

IV. NUMERICAL EXPERIMENTS AND IMAGE ANALYSIS

A. Acquisition parameters

The source spectrum $n_0(E)$ was simulated using the `spekCalc` software [38, 39], con-
 240 sidering a tube voltage of 120 kV, a 12° anode angle, and a filter of 1.2 mm of Al. It is
 depicted in the top row of figure 3. Measurements were performed in $I = 4$ energy bins.
 The response function $d_i(E)$ of each of the 4 bins was computed according to (5), where
 $d(\mathcal{E}, E)$ was simulated using the parametric model provided by Schlomka *et al.* [10, (see
 appendix A.2)] and choosing the following energy thresholds: $\mathcal{E}_1 = 15$ keV , $\mathcal{E}_2 = 36$ keV,

245 $\mathcal{E}_3 = 60$ keV, and $\mathcal{E}_4 = 91$ keV (see figure 3). In order to investigate the robustness of our decomposition method to noise, different total numbers of photons were considered in the range $N_0 \in [10^4, 10^7]$ photons.

The projections were simulated with RTK[40] using a point x-ray source at 1.5 m from a 41×41 cm² flat panel with 256×256 pixels. The ray casting used bi-linear interpolation in
 250 each slice of the phantom following and 16×16 rays were calculated and averaged per pixel.

B. Phantom generation

We used the thoracic-abdominal CT scan depicted in figure 4a), which is part of the 3D-IRCADb database [41]. It is a $480 \times 370 \times 167$ volume with a $0.961 \times 0.961 \times 1.8$ mm³ voxel size and a 8-bit grayscale. We also used the anatomical atlas represented in figure 4b). It was
 255 obtained and provided by K echichian *et al.* [42] who segmented the previously mentioned CT scan via vicinity prior. Given both the CT and atlas volumes, the material mass density volume depicted in figure 4c) was created as follows. First, all the segments corresponding to soft tissue[43] were grouped into the same segment Ω_{soft} , while the bone segment Ω_{bone} remained unchanged. Second, the mass density in each voxel was estimated from the CT
 260 images. A linear mapping between the 8-bit grayscale of the CT scan and the mass density was assumed, i.e., $\rho_m = \lambda \mu_{\text{CT}}$. The constant λ (in g.cm⁻³) was calibrated such that mean value of the mass density of visceral fat is set to 0.95 g.cm⁻³. Third, the portal vein segment Ω_{portal} was marked a uniform concentration c_{gd} of gadolinium. The concentration was set to $c_{\text{gd}} = 1$ g.cm⁻³, unless otherwise specified. The previous three steps results in
 265 the computation of three volumes that were each projected onto the detector according to (8) and the acquisition geometry $\mathcal{L}(\mathbf{u})$ described in Section IV A. The resulting projected material mass density image is displayed in figure 4d).

The mass attenuations of soft tissue, bone, and gadolinium, as required in (7), were taken
 270 from the ICRU report 44 [44]. They are depicted in figure 3 (bottom row).

C. Image quality metrics

The quality of the decomposed images has been assessed by means of two different objective performance metrics. First, the normalized euclidean distance has been considered.

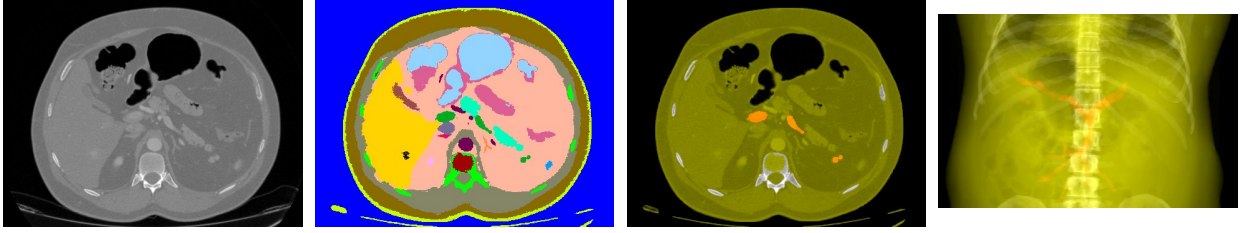


FIG. 4. Numerical phantom. a) Slice of the 3D-IRCADb[41] thoracic-abdominal CT scan (in Hounsfield units). b) Same slice of the anatomical atlas (different colors indicate different labels) obtained segmenting the 3D-IRCADb volume via vicinity prior [42]. c) Same slice of the material mass density ρ_m ($\text{g}\cdot\text{cm}^{-3}$) volume indicated soft tissue in yellow, bone in gray, and gadolinium in red. d) projected material mass density a_m ($\text{g}\cdot\text{cm}^{-2}$) in the coronal plane with the color code used in c); the projection was computed using [40].

Given the ground-truth image $\mathbf{a}_m^{\text{true}}$ and the decomposed image $\mathbf{a}_m^{\text{dec}}$, the normalized Euclidean error for the m -th material is defined as

$$\text{error}_m = \frac{\|\mathbf{a}_m^{\text{dec}} - \mathbf{a}_m^{\text{true}}\|_2}{\|\mathbf{a}_m^{\text{true}}\|_2}. \quad (42)$$

The lower the normalized Euclidean error, the better. We also define the total normalized Euclidean error as

$$\text{error}_{\text{tot}} = \frac{1}{M} \sum_{m=1}^M \text{error}_m. \quad (43)$$

Second, the contrast-to-noise ratio (CNR) has been considered. Given a region of interest $\mathcal{S}_{\text{roi}} \in \mathcal{S}$ and a background region $\mathcal{S}_{\text{back}} = \mathcal{S} \setminus \mathcal{S}_{\text{roi}}$, we define the CNR of the decomposed image $\mathbf{a}_m^{\text{dec}}$ as

$$\text{cnr}_m = \frac{\bar{a}_{\text{roi}} - \bar{a}_{\text{back}}}{(w_{\text{roi}}\sigma_{\text{roi}}^2 + w_{\text{back}}\sigma_{\text{back}}^2)^{1/2}}, \quad (44)$$

where \bar{a}_{roi} (\bar{a}_{back}) and σ_{roi} (σ_{back}) are the average and standard deviation of $\mathbf{a}_m^{\text{dec}}$ in the region-of-interest \mathcal{S}_{roi} (background $\mathcal{S}_{\text{back}}$) and w_{roi} (w_{back}) is the partial surface of \mathcal{S}_{roi} ($\mathcal{S}_{\text{back}}$) in the image. The larger the CNR, the better.

D. Algorithm parameters

The quality of the reconstructed images depends dramatically on the choice of the regularization parameters α introduced in (15). In order to fairly compare decompositions obtained from different acquisition scenarios, each decomposition was performed for at set

of regularization parameters in the range $\log(\alpha) \in [-2, 2]$, with a 0.5 step. The best regularization parameter α^* has been chosen to obtain the lowest reconstruction error. The material specific regularization parameters were set to $\beta_{\text{soft}} = \beta_{\text{bone}} = \beta_{\text{gd}} = 1$. This simple empirical choice was found to provide good results. The hyperparameter ϵ was set to a small value $\epsilon = 0.01$.

Our Gauss-Newton algorithm has two stopping criteria. It is interrupted either if i) the length step is too small, i.e., $\lambda^{(k)} < \lambda_{\text{stop}}$ or ii) the cost function decrease is too small, i.e., $1 - \mathcal{C}^{(k)}/\mathcal{C}^{(k-1)} < \delta_{\text{stop}}$. We chose $\lambda_{\text{stop}} = 5 \times 10^{-3}$ and $\delta_{\text{stop}} = 10^{-3}$. The initial guess \mathbf{a}^0 was chosen as constant images with values in the range of the expected values for each material, i.e., $\mathbf{a}_{\text{soft}}^0 = 20 \text{ g.cm}^{-2}$, $\mathbf{a}_{\text{bone}}^0 = 10 \text{ g.cm}^{-2}$, and $\mathbf{a}_{\text{gd}}^0 = 0 \text{ g.cm}^{-2}$.

V. RESULTS

A. Convergence of the algorithm

Ground truth images of the PMD of soft tissue, bone and gadolinium are shown in top row in figure 5. Material decomposed images provided by the proposed method as well as the difference between decomposition and ground truth are given on middle and bottom rows in figure 5 for the best case scenario (number of incident photons of 10^7 and concentration of the marker 1 g.cm^{-3}). Decomposed images correspond to the regularization parameter ($\alpha = 10^{-0.5}$) that led to the lowest error. The difference between decomposition and ground truth shows that materials are well separated, that the gadolinium image presents the lowest error, and that the largest error occurred at borders of the spine.

Convergence of the algorithm is shown in figure 6. The method converged in around ten iterations where convergence was defined when the cost function decrease was lower than 10^{-3} or the step length was lower than 0.05. The step length was above one in almost all iterations and the decrease of the cost function was close to one in all except for the last iterations. Number of iterations for convergence was between 10 and 15 for all scenarios considered in this work.

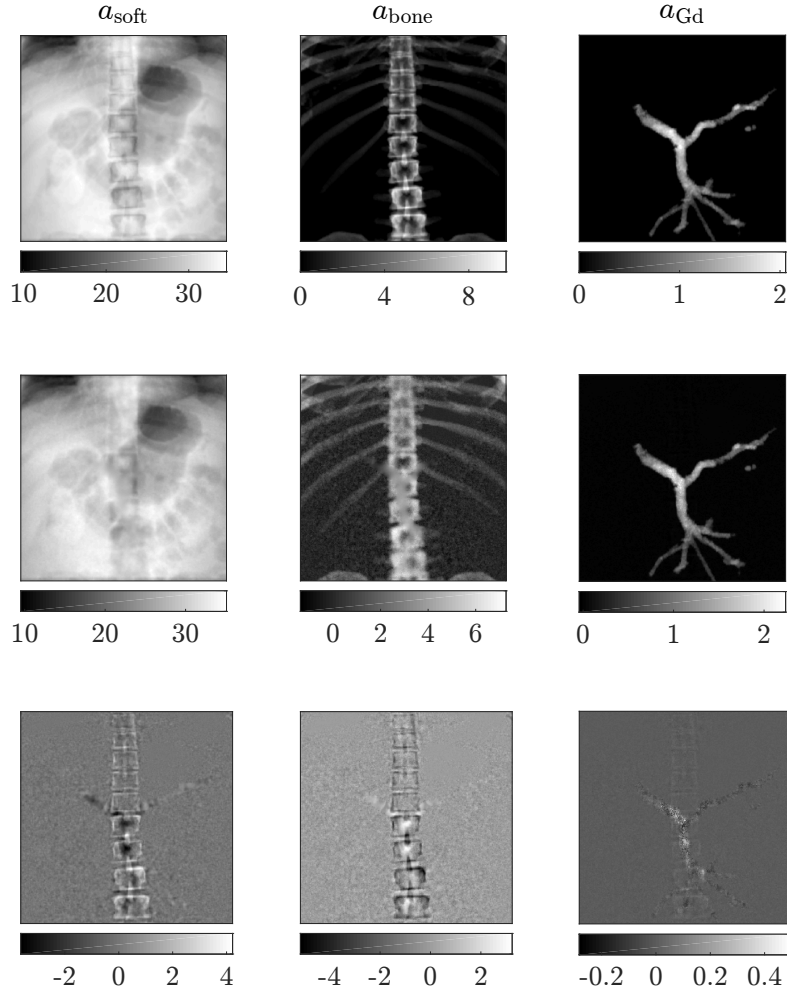


FIG. 5. Typical decomposition of the projection mass density ($\text{g}\cdot\text{cm}^{-2}$) of the materials of the phantom, namely soft tissue, bone, and gadolinium. Top row: ground truth images. Middle row: recovered images for optimal regularization parameter $\alpha^* = 10^{-0.5}$, concentration of the marker $c_{\text{gd}} = 1 \text{ g}\cdot\text{cm}^{-3}$, and $N_0 = 10^7$ photons. Bottom row: difference between decomposition and ground truth.

B. Influence of the regularization parameter

The influence of the regularization parameter α is illustrated in figure 7. The top figure shows the solution error for the different materials as a function of α . The lowest error for all materials was attained for similar values of α in the range $[0.1, 1]$. The bottom figure shows underregularized and overregularized images. When regularization is not sufficient, images are noisy and the method fails to decompose materials. On the contrary, excessive

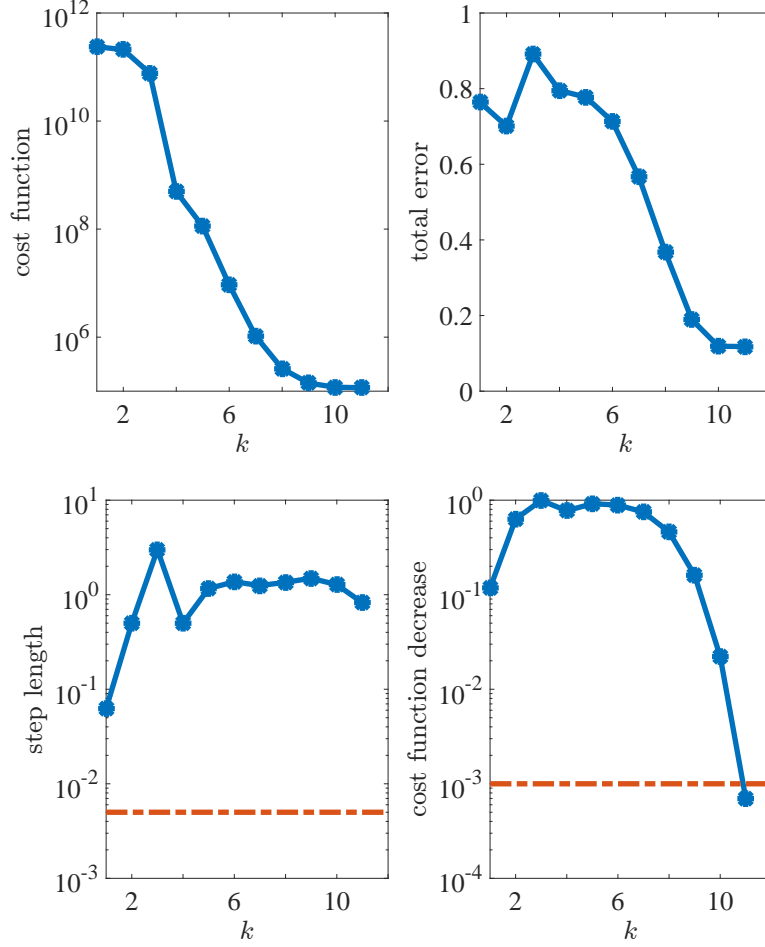


FIG. 6. Convergence of the algorithm for $\alpha = 10^{-0.5}$, $c_{\text{gd}} = 1 \text{ g.cm}^{-3}$, and $N_0 = 10^7$ photons. (a) cost function \mathcal{C} as a function of the iteration number k , (b) total normalized Euclidean error $\text{error}_{\text{tot}}$ as a function of the iteration number k , (c) step length $\lambda^{(k)}$ vs iteration number k , and (d) cost function decrease $\frac{\mathcal{C}^{(k-1)} - \mathcal{C}^{(k)}}{\mathcal{C}^{(k-1)}}$ vs iteration number. The red dashed lines in (c) and (d) indicate the values of the chosen stopping criteria.

regularization leads to oversmoothed images, failing to properly decompose soft and bone tissue. Overall, the gadolinium image presented the best image quality and was more robust to the selection of the regularization parameter, and separation of bone and soft tissue were more sensitive to the selection of α .

The optimal regularization parameter for all scenarios is shown in table III. For a sufficiently large number of photons ($N_0 \geq 10^5$) the optimal value ($\log(\alpha^*) = -0.5$) was found to be independent of the number of photons and concentration of the marker (see table II). For a low number of photons and low marker concentration ($N_0 \leq 10^5$ and $c_{\text{gd}} \leq 0.03$) the

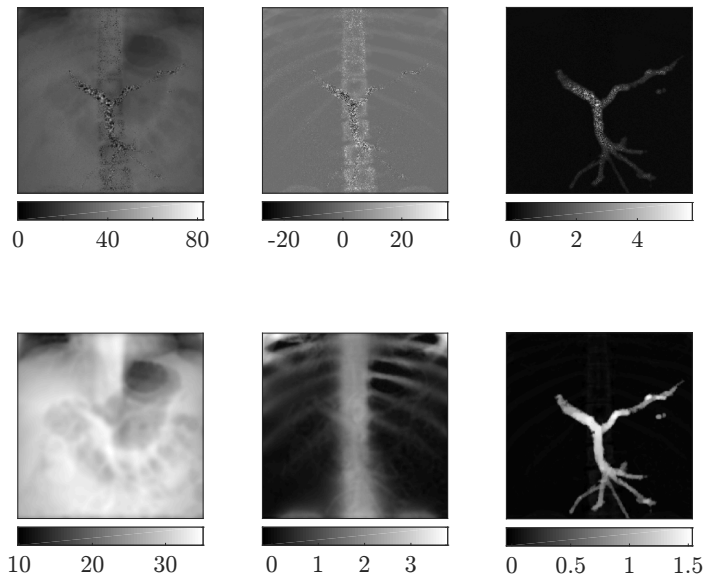
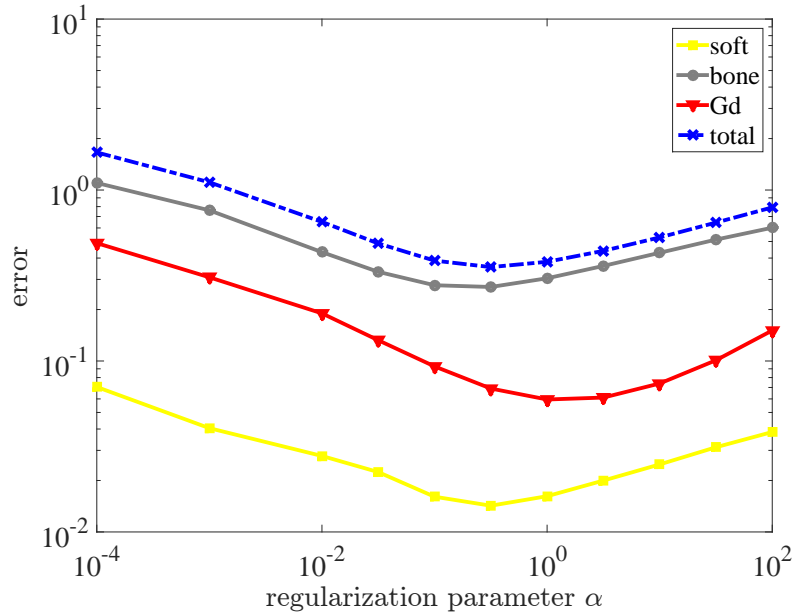


FIG. 7. Influence of regularization. Top: normalized euclidean distance as a function of the regularization parameter α . Middle: underregularized images recovered for $\alpha = 10^{-4}$. Bottom: overregularized images recovered for $\alpha = 10^2$. Measurements were simulated for $N_0 = 10^7$ photons.

method converge to unsatisfying solutions. In addition, it was found that values of α in the range 0.1 and 1 led to similar results in terms of image decomposition and recovery of the concentration of the marker (results not shown).

TABLE II. Best regularization parameter. The table displays $\log(\alpha^*)$

c_{gd} (g.cm ⁻³) \ N_0	1	0.3	0.1	0.03	0.01
10^7	-0.5	-0.5	-0.5	-0.5	-0.5
10^6	0.0	-0.5	-0.5	-0.5	-0.5
10^5	-0.5	-0.5	-0.5	0.0	0.0

TABLE III. Total normalized Euclidean distance $\text{error}_{\text{tot}}$ for different scenarios

c_{gd} (g.cm ⁻³) \ N_0	1	0.3	0.1	0.03	0.01
10^7	0.12	0.32	0.38	0.40	0.41
10^6	0.18	0.37	0.43	0.45	0.46
10^5	0.33	0.48	0.54	0.55	0.57

335

C. Influence of noise

The influence of noise has been assessed by varying the number of incident photons from $N_0 = 10^7$ to $N_0 = 10^4$ for a concentration of the marker of $c_g = 1$. For $N_0 = 10^7$ materials were well separated and quantification of the marker concentration was correct (see figure 5). Lowering photons from $N_0 = 10^6$ to $N_0 = 10^5$ decreased image quality for bone and soft
 340 tissue while the gadolinium image was less affected (see top and middle rows in figure 8). For a number of photons of $N_0 = 10^4$ material decomposition was not feasible.

These results are confirmed by the Euclidean distance error (first column in table III) and contrast-to-noise ratio of the marker (first column in table IV). Lowering the number of photons from $N_0 = 10^7$ to $N_0 = 10^5$ increased the error between two and three times but
 345 did not influence the contrast-to-noise ratio of the marker.

D. Influence of the contrast agent marker

The influence of the contrast agent marker was studied by varying the marker concentration c_g from 1 to 0.01. Decomposed gadolinium images for different marker concentration

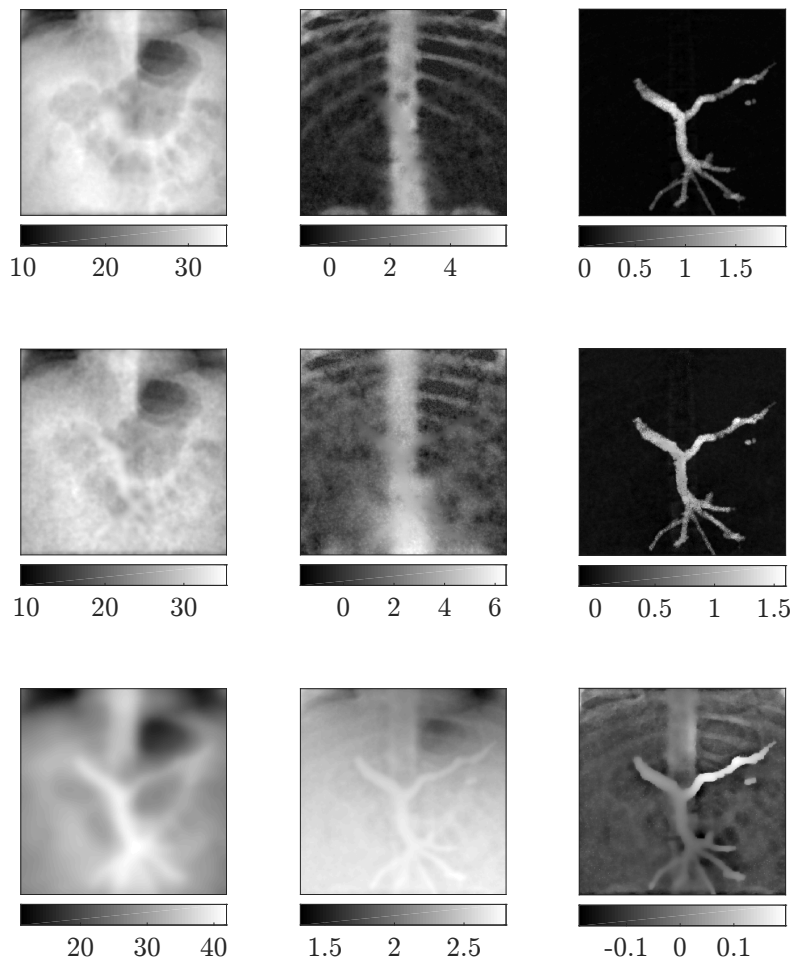


FIG. 8. Influence of noise. Decomposed images for decreasing number of incident photons: $N_0 = 10^6$ photons (top), $N_0 = 10^5$ photons (middle), and $N_0 = 10^4$ photons (bottom). The marker concentration was fixed to 1 g.cm^{-3} .

and number of photons are shown in figure 9. Marker concentrations above 1 g.cm^{-3} were well recovered even for a low number of photons ($N_0 = 10^5$). Lowering the marker concentration to 0.1 had a large effect on image quality even for a large number of photons. For concentrations below 0.01 g.cm^{-3} recovery of the marker was not feasible.

Contrast-to-noise of the decomposed marker shows that the recovery of the marker was more affected by marker concentration than by noise (see figure III). Contrast-to-noise was similar to the best case scenario for $c_g = 1$ when $N_0 \geq 10^5$, $c_g = 0.3$ when $N_0 \geq 10^6$ and for $c_g = 0.1$ when $N_0 \geq 10^7$.

TABLE IV. Contrast-to-noise ratio of the decomposed marker image.

ϵ_{gd} (g.cm ⁻³) \backslash N_0	1	0.3	0.1	0.03	0.01
10^7	3.43	3.41	3.05	1.69	0.69
10^6	3.45	3.31	2.54	1.10	0.42
10^5	3.65	2.77	1.70	0.67	0.26

VI. DISCUSSION AND CONCLUSION

This work presented a new and efficient method to solve the nonlinear decomposition problem in spectral X-ray imaging. The problem was solved using a Gauss-Newton step that exploited sparsity of Jacobian and Hessian operators.

It has been validated on numerical experiments of a thorax phantom. We showed that the proposed method solves the nonlinear decomposition problem in an efficient manner. The algorithm converged in around ten iterations for all scenarios. It was also shown to improve the accuracy of the projected material maps. Decomposed material images presented high image quality, low error and accurate concentration of the marker. For the assessed experiments, material decomposition was not feasible for a number of incident photons lower than $N_0 = 10^4$ or a marker concentration below 0.01 g.cm^{-3} . In the context of spectral CT, our method could exploit data redundancy in the projection domain and be parallelized across projections.

Most previous studies in spectral CT included regularization in the tomographic problem [11, 30, 31]. These methods decompose the data in a previous step and then perform the tomographic problem by regularizing in the image domain, which is a simpler but computationally more expensive problem as it requires to project and backproject the data at each iteration. In contrast, in this work we tackle regularizing the nonlinear decomposition problem, which has not been widely addressed. This problem is nonlinear, harder to solve and it presents some differences but it also offers some advantages that can be exploited. The two main advantages are that the problem is smaller (i.e. one projection at a time) and that the forward operator (and also gradient and Hessian operators) is very sparse. For instance the number of nonzero elements in the Hessian is of the order of the number

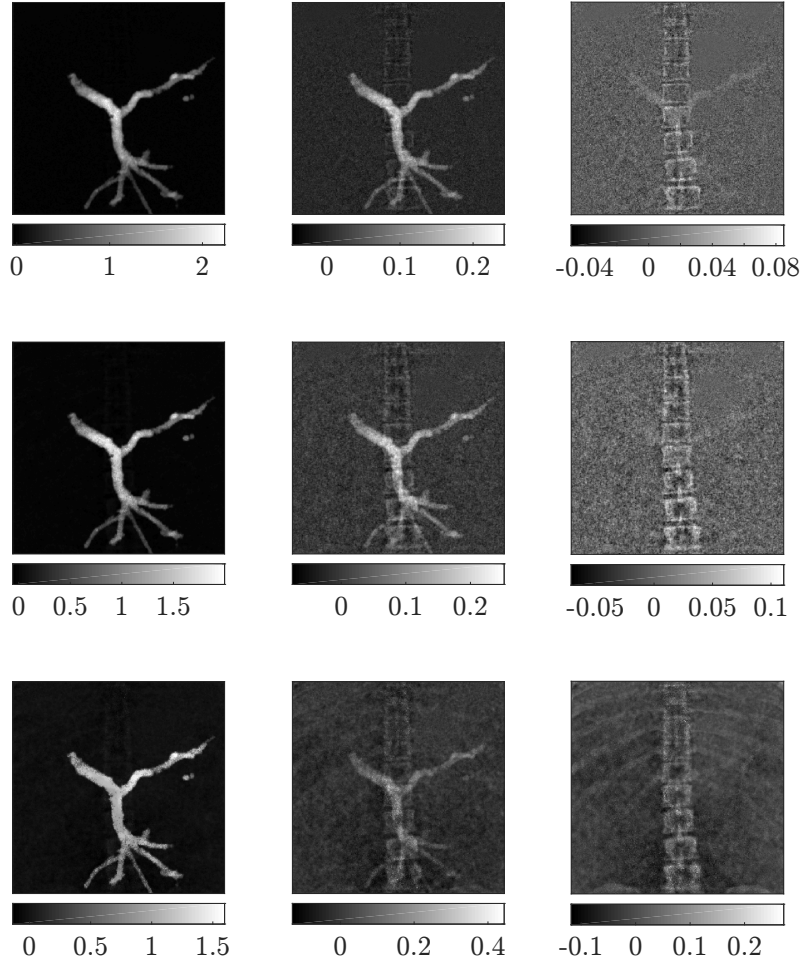


FIG. 9. Decomposed gadolinium images obtained for decreasing marker concentrations $c_{\text{gd}} = \{1, 0.1, 0.01\}$ g.cm $^{-3}$ (from left to right) and decreasing number of incident photons $N_0 \in \{10^7, 10^6, 10^5\}$ from top to bottom.

380 of pixels instead of the square of the number of pixels. The proposed algorithm exploits these features by using sparse matrices to explicitly represent gradient and Hessian operator and a Gauss-Newton step, which has a higher convergence rate than the most widely used linearized methods. At each iteration, the linear system (24) can be efficiently solved using a Cholesky decomposition in . Fast solver for the factorization sparse matrix are available.

385 Their complexity depends on the sparsity (percentage of non-zero entries) of the matrix and also the also the sparsity pattern (location of the non zero entries). These solvers are perfectly adapted to the resolution of (24) since the matrix $\tilde{\mathbf{H}} = 2\mathbf{J}^\top \mathbf{J} + \alpha \mathbf{H}$ is both sparse and structured. Indeed, it follows from (31) that $\mathbf{J}^\top \mathbf{J} = \text{diag} \left(\left[\mathbf{J}_1^\top \mathbf{J}_1 \ \dots \ \mathbf{J}_P^\top \mathbf{J}_P \right] \right)$, i.e., $\mathbf{J}^\top \mathbf{J}$

is block diagonal with a sparsity of $M^2P/M^2P^2 = 1/P$. Considering $P = 256 \times 256$ pixels,
390 the sparsity of $\mathbf{J}^\top \mathbf{J}$ is 0.0015 %. Moreover the matrix \mathbf{H} is build from the products of sparse
banded matrices \mathbf{H}_m , hence it is also sparse banded.

This work has focused on the decomposition of one projection only. Although our ap-
proach is primarily designed for spectral CT imaging, it may find some direct applications,
e.g., in international radiography where projection images are considered. In this context,
395 it would be useful to avoid the need of image subtraction that is subject to motion artifact.
In the context of spectral CT, its main advantage is that it can be parallelized, processing
each projection in parallel. This has the potential to provide a fast method to solve both
the decomposition and tomographic problem but this needs further investigation.

One of the main differences with previous studies in the literature is that image-based
400 approaches regularize in the spatial domain and very few works have addressed regularization
in the projection domain. The proposed algorithm included regularization on the projection
domain and allowed the use of specific regularization functional for each material. The
problem was solved using a Gauss-Newton step with efficient computation of operators.
The selection of the regularization parameter was studied and the improvement brought by
405 regularization was highlighted for different experimental scenarios.

The proposed method allows the use of different regularization functionals for each ma-
terial and it is formulated in a general framework for any choice of the operator. In this
study, we selected Total Variation for the contrast material and first-order Tikhonov and
second-order Tikhonov for bone and soft-tissue, respectively. These choices are based on
410 physiological assumptions that gadolinium projection images should be piecewise constant
and projection image for soft tissue is smooth, as depicted in figure 5. Nevertheless, other
choices of regularization functionals could be more suitable and provide better results, and
should be investigated in further work.

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Appendix A: Gradient of the regularizer

We seek an expression for the gradient of the regularizer $\mathcal{R}(\mathbf{b})$, $\mathbf{b} = \mathbf{L}\mathbf{a}$, with respect to \mathbf{a} . Applying the chain rule leads to:

$$\nabla_{\mathbf{a}}\mathcal{R}(\mathbf{b}) = \mathbf{L}^{\top}\nabla_{\mathbf{b}}\mathcal{R}(\mathbf{b}), \quad (\text{A1})$$

where $\nabla_{\mathbf{a}}$ is the gradient w.r.t. the variable \mathbf{a} and $\nabla_{\mathbf{b}}$ is the gradient w.r.t. \mathbf{b} . Differentiating $\mathcal{R}(\mathbf{b}) = \sum_q \psi(b_q)$ with respect to \mathbf{b} , we obtain

$$\nabla_{\mathbf{a}}\mathcal{R}(\mathbf{b}) = \mathbf{L}^{\top}[\psi'(b_1) \dots \psi'(b_Q)]^{\top}. \quad (\text{A2})$$

Appendix B: Hessian of the regularizer

Applying the chain rule twice, it may be shown that the Hessian of $\mathcal{R}(\mathbf{b})$ with respect to the variable \mathbf{a} , for a linear change of variable $\mathbf{b} = \mathbf{L}\mathbf{a}$, is given by (see equation 2.1 of Lebl [45])

$$\mathbf{H}_{\mathbf{a}}[\mathcal{R}](\mathbf{b}) = \mathbf{L}^{\top}\mathbf{H}_{\mathbf{b}}[\mathcal{R}](\mathbf{b})\mathbf{L} \quad (\text{B1})$$

where $\mathbf{H}_{\mathbf{b}}[\mathcal{R}]$ is the Hessian of $\mathcal{R}(\mathbf{b})$ w.r.t. the variable \mathbf{b} . Since $\mathcal{R}(\mathbf{b}) = \sum_q \psi(b_q)$ we have

$$\mathbf{H}_{\mathbf{a}}[\mathcal{R}](\mathbf{b}) = \mathbf{L}^{\top}\text{diag}([\psi''(b_1) \dots \psi''(b_Q)])\mathbf{L}. \quad (\text{B2})$$

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