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## Phenotypic and Functional Plasticity of Murine Intestinal NKp46+ Group 3 Innate Lymphoid Cells

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1 **Phenotypic and functional plasticity of murine intestinal NKp46<sup>+</sup> ILC3**

2

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26

27 **Abstract**

28

29 Type 3 Innate Lymphoid Cells (ILC3) actively participate in mucosal defense and  
30 homeostasis through prompt secretion of IL-17A, IL-22 and IFN- $\gamma$ . Reports identify  
31 two ILC3 lineages : a CCR6<sup>+</sup>T-bet<sup>-</sup> subset that appears early in embryonic  
32 development and promotes lymphoid organogenesis, and a CCR6<sup>-</sup>T-bet<sup>+</sup> subset that  
33 emerges after microbial colonization and harbors NKp46<sup>+</sup> ILC3. Here, we  
34 demonstrate that NKp46 expression in ILC3 subset is highly unstable. Cell fate-  
35 mapping using *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice revealed the existence of an intestinal  
36 RFP<sup>+</sup> ILC3 subset (*Ncr1*<sup>FM</sup>) lacking NKp46 expression at the transcript and protein  
37 level. *Ncr1*<sup>FM</sup> ILC3 produced more IL-22 and were distinguishable from NKp46<sup>+</sup>  
38 ILC3 by differential CD117, CD49a, DNAM-1, and surprisingly, CCR6 expression.  
39 *Ncr1*<sup>FM</sup> ILC3 emerged after birth and persisted in adult mice following broad-  
40 spectrum antibiotic treatment. These results identify an unexpected phenotypic  
41 instability within NKp46<sup>+</sup> ILC3 that suggests a major role for environmental signals  
42 in tuning ILC3 functional plasticity.

## 43 **Introduction**

44 Innate lymphoid cells (ILCs) comprise an evolutionarily conserved and related  
45 set of innate immune effectors. Three ILC groups can be distinguished on the basis of  
46 their transcription factors profiles and cytokine production (1–3). T-bet-expressing  
47 ILC1 are involved in responses against viruses, intracellular pathogens and tumors  
48 and include Eomes-dependent cytotoxic ILC1 (NK cells) and Eomes-independent  
49 ILC1, both of which produce interferon- $\gamma$  (IFN- $\gamma$ ). ILC2 participate in protection  
50 against helminthes and viruses via Gata-3-dependent production of interleukins (IL)-  
51 5, IL-13 and amphiregulin, but may also aggravate allergic responses. ILC3 are  
52 enriched at mucosal sites, drive lymphoid tissue organogenesis during fetal life, and  
53 maintain intestinal homeostasis in adults through IL-22 production downstream of the  
54 transcription factor ROR $\gamma$ t. Two ILC3 sublineages have been proposed (4, 5): a  
55 CCR6<sup>+</sup>T-bet<sup>-</sup> subset that includes Lymphoid Tissue inducer (LTi) cells, and CCR6<sup>-</sup>T-  
56 bet<sup>+</sup> subset that develops post-natally in response to microbial stimulation and gives  
57 rise to cells expressing the natural cytotoxic receptor (NCR) NKp46. These two ILC3  
58 subsets share strong functional similarities (6), but occupy distinct niches (7–10). As  
59 such their respective specific versus redundant roles in mucosal defense remain  
60 unclear.

61 While NKp46 crosslinking triggers cytotoxicity in human and mouse NK cells,  
62 the functional role for NCR on ILC3 is poorly understood. Cytokine production in  
63 human ILC3 can be stimulated by anti-NKp44 antibodies (11) although the ligands  
64 that can mimic this activity *in vivo* remain unknown. In the mouse, *Ncr1* ablation (in  
65 *Ncr1*<sup>GFP/GFP</sup> mice) has little impact on ILC3 homeostasis and cytokine responses to  
66 pathogenic *Citrobacter rodentium* are unaffected (12). Modulation of NKp46  
67 expression on NK cells occurs during MCMV infection (13) and may ‘tune’ ILC3

68 responses in a fashion similar to that proposed for ‘licensing’ of cytokine and  
69 cytotoxic responses of NK cells via NKp46 (14).

70 To address dynamics of NKp46 expression in mucosal ILC3, we have  
71 performed *in vivo* fate-mapping studies using *Ncr1*<sup>CreGFP</sup> mice (15) that harbor a  
72 transgene containing the *Ncr1* proximal promoter driving expression of a Green  
73 Fluorescent Protein/Cre-recombinase fusion protein (CreGFP). Analysis of *Ncr1*<sup>CreGFP</sup>  
74 x *Rosa26*<sup>RFP</sup> mice (in which NKp46-expressing cells are indelibly marked with RFP  
75 expression) revealed the existence of an unusual NKp46<sup>-</sup>GFP<sup>-</sup>RFP<sup>+</sup> cells uniquely  
76 within the intestinal mucosa. Here we report the phenotypic and functional  
77 characterization of these cells (referred to here as ‘*Ncr1* Fate-Mapped’ ILC3 or  
78 *Ncr1*<sup>FM</sup> ILC3) and discuss the potential implications for NKp46 plasticity in mucosal  
79 immunity.

80 **Material and Methods**

81 *Mice*

82 Tg(Ncr1-cre/EGFP)#Cajv mice ( $Ncr1^{CreGFP}$ ) were generated and crossed to  $Rosa26^{RFP}$   
83 mice as described (15).  $Ncr1^{GFP/+}$  mice (16) were the kind gift from O. Mandelboim  
84 (Jerusalem, Israel). Unless specified otherwise, adult mice were used between 7 and  
85 13 weeks of age. For E18.5 embryos, mice were co-housed overnight, female mice  
86 with a vaginal plug were separated the next day, with embryos considered as E0.5.

87

88 *Antibiotic treatment*

89 An antibiotic cocktail was used to deplete intestinal commensal microbiota as  
90 previously described (4). Ampicillin (1g/L), colistin (1g/L), streptomycin (5g/L) and  
91 sucrose (20%; Sigma Aldrich) were diluted into drinking water and then filter  
92 sterilized (0.22 $\mu$ m). Plugged female mice were treated with antibiotics; the drinking  
93 water was changed each week. Four weeks after birth, pups were weaned and  
94 treatment continued until sacrifice 3 weeks later.

95

96 *Isolation of Lamina Propria Lymphocytes*

97 Small intestine were extracted and perfused with cold RPMI-1640 medium to remove  
98 feces. Peyer's patches were removed and the intestine cut open longitudinally, then  
99 washed again. Intestine were cut into 1cm pieces and agitated in pre-warmed RPMI-  
100 1640 medium (Gibco) containing 10mM EDTA (Sigma-aldrich) at 37°C for 15 min,  
101 followed by 15 min wash with RPMI-1640 alone at 37°C. Pieces of intestine were  
102 harvested, cut into small pieces and digested in RPMI (Gibco) containing 5% FCS  
103 and Collagenase VII (0.75mg/mL; Sigma-Aldrich) (twice for 20 min each). After  
104 each extraction, supernatants were collected, filtered (100  $\mu$ m), pooled and

105 centrifuged (300g; 10 min). Lymphocytes were enriched by Percoll 80/40% gradient  
106 centrifugation. For stimulation,  $1-2 \times 10^6$  cells were incubated at 37°C with IL-1 $\beta$   
107 (R&D;100 ng/ml), IL-2 (Peprotech; 100ng/ml), IL-6 (R&D; 20ng/ml), IL-23 (R&D  
108 system; 50ng/mL) in RPMI-1640 medium containing 10% FCS, penicillin (Gibco),  
109 streptomycin (Gibco) for 1 hour. PMA (50ng/ml) and ionomycin (500ng/ml) were  
110 added together with BD GolgiPlug<sup>TM</sup> (BD Pharmingen; 555029) for the last 3 hours  
111 before analysis of intracellular cytokines by FACS.

112

### 113 *Flow cytometry*

114 Cell suspensions were incubated for 40 minutes with cold PBS (Gibco) containing  
115 10% FCS, Fc receptor block (2.4G2), a viability dye (eFluor® 506, eBioscience #65-  
116 0866-14) and fluorochrome bound antibodies. To detect intracellular antigens, cells  
117 were fixed for 40 minutes with 2% paraformaldehyde followed by a  
118 permeabilization/staining step for transcription factors and/or cytokines with the  
119 Foxp3 permeabilization kit (eBioscience). The following antibodies were used: CD3  
120 (17A2), CD4 (RM4-5), NK1.1 (PK136), CD127 (A7R34), CD117 (2B8), ROR, IL-22  
121 (IL22JOP), NKp46 (29A1.4), CCR6 (29-2L17), T-bet (ebio4B10), MHC-II  
122 (M5/114.15.2), CD49a (Ha31/8), Ki67 (SoIA15), DNAM (10E5). All the samples  
123 were acquired on a LSR FORTRESSA (BD), and data were analyzed with FlowJo  
124 (TreeStar, version 9.8.5 & 10.0.8).

125

### 126 *Cell Culture of ILC3*

127 ILC3 from the SI-LP of *Ncr1*<sup>GFP/+</sup> mice were isolated as CD45<sup>int</sup> CD90<sup>hi</sup> NK1.1<sup>-</sup>, and  
128 dissected according to GFP, CD49a and CCR6 expression. Cells were sorted using a  
129 FACS Aria II (BD) and were cultured on OP9 or OP9-DL1 stromal cells (kind gift of

130 A. Cumano, Institut Pasteur) in IMDM (Lonza) containing 10% FCS, 1×  
131 penicillin/streptomycin (Gibco), and 60μM 2-mercaptoethanol (Sigma-Aldrich), rIL-7  
132 (20ng/mL) and rSCF (20ng/mL). 2 and 4 days later, cells were harvested and stained  
133 (as stated above) for CD45, CD90, NK1.1, CD49a, CCR6, NKp46. Expressions  
134 (along with GFP) of these marker were then analyzed by FACS.

135

136 *Statistical analysis*

137 Data were compiled and analyzed with Graphpad Prism software (version 6). Paired  
138 and unpaired Student's t-test were used to determine statistical differences between  
139 groups. All graphs display mean + S.E.M., p values < 0.05 were considered  
140 significant.

141

142



143 **Results**

144 *In vivo fate-mapping of Ncr1<sup>+</sup> innate lymphoid cell subsets*

145 *Ncr1*<sup>CreGFP</sup> mice express a Cre-recombinase-GFP fusion protein under the  
146 control of a minimal *Ncr1* promoter and have been shown to faithfully report GFP  
147 expression and Cre recombinase activity in known NKp46<sup>+</sup> cells, including NK cells,  
148 ILC1 and NKp46<sup>+</sup> ILC3 (15). We crossed *Ncr1*<sup>CreGFP</sup> mice with *Rosa26*<sup>RFP</sup> Cre  
149 reporter mice in order to genetically mark NKp46<sup>+</sup> cells (RFP) that would allow us to  
150 investigate the stability of NKp46 expression (Supplemental Figure 1A). In agreement  
151 with our previous results (15), RFP<sup>+</sup> cells isolated from bone marrow, spleen, lymph  
152 nodes, salivary glands, liver, and lung of *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice uniformly  
153 expressed cell surface NKp46 and NK1.1<sup>+</sup> (Figure 1A, Supplemental Figure 1B) thus  
154 identifying these RFP<sup>+</sup> cells as group 1 ILC.

155 In contrast, RFP<sup>+</sup> cells isolated from gut-associated tissues, including small  
156 intestine lamina propria (SI-LP) and Peyer's Patches (PP) were clearly different with  
157 a clear fraction of cells lacking cell surface NKp46 and GFP expression (Figure 1A,  
158 and Supplemental Figure 1C). These NKp46<sup>-</sup>GFP<sup>-</sup> cells represented about 30% of the  
159 total RFP<sup>+</sup> cells within the SI-LPL or PP, were uniformly NK1.1<sup>-</sup> and highly  
160 expressed RORγt (Figure 1A and Supplemental Figure 1C). As RORγt and Eomes  
161 expression in CD3<sup>-</sup>CD19<sup>-</sup> intestinal cells are mutually exclusive (Supplemental  
162 Figure 1D), the NKp46<sup>-</sup>GFP<sup>-</sup>RFP<sup>+</sup> cells are not related to Eomes<sup>+</sup> ILC1. As such,  
163 RFP<sup>+</sup>NKp46<sup>-</sup>GFP<sup>-</sup> cells appeared as "ex-NKp46-ILC3" that had lost NKp46  
164 expression. We purified NKp46<sup>-</sup>GFP<sup>-</sup>RFP<sup>+</sup> cells and confirmed that they significantly  
165 lacked mRNA for *Ncr1* that encodes NKp46 (Figure 1C). We refer to the NKp46<sup>-</sup>  
166 GFP<sup>-</sup>RFP<sup>+</sup> cells in *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice as '*Ncr1* Fate-Mapped' ILC3 or

167 *Ncr1*<sup>FM</sup> ILC3. *Ncr1*<sup>FM</sup> ILC3 were not detected in the large intestine under steady-state  
168 conditions (Supplemental Figure 1C).

169         Since NKp46 expression levels are lower (yet overlapping) in ILC3 compared  
170 to NK/ILC1, a clear separation of these subsets by antibody staining alone is not  
171 possible. We therefore examined NKp46 cell surface versus GFP expression in  
172 ROR $\gamma$ t<sup>+</sup> ILC3 within total RFP<sup>+</sup> cells (Figure 1B). This approach allowed us to  
173 unambiguously identify *Ncr1*<sup>FM</sup> ILC3 as NKp46<sup>-</sup>GFP<sup>-</sup>RFP<sup>+</sup> cells within the ROR $\gamma$ t<sup>+</sup>  
174 gate. ILC3 subsets co-express CD117 (c-kit) and CD127 (IL-7R $\alpha$ ), albeit at varying  
175 levels (Figure 1D). For example, previous studies have shown that CCR6<sup>+</sup> ILC3 were  
176 CD117<sup>hi</sup> CD127<sup>hi</sup> as opposed to NKp46<sup>+</sup>CCR6<sup>-</sup> ILC3 that express lower CD117 and  
177 CD127 levels (4). We found that *Ncr1*<sup>FM</sup> ILC3 expressed significantly higher levels  
178 of CD127 and CD117 as compared to NKp46<sup>+</sup> ILC3 (Figure 1E). Further analyses  
179 revealed that a fraction of *Ncr1*<sup>FM</sup> ILC3 expressed CCR6 (Figure 1F). On average, we  
180 found 4 x 10<sup>4</sup> *Ncr1*<sup>FM</sup> ILC3 in the SI-LP from *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice, of which  
181 33.5  $\pm$  5.7 % expressed CCR6 (Figure 1G). Thus, CCR6<sup>+</sup> *Ncr1*<sup>FM</sup> ILC3 represented  
182 about 10% of total CCR6<sup>+</sup> ILC3 pool (Figure 1G). Some of these CCR6<sup>+</sup> *Ncr1*<sup>FM</sup>  
183 ILC3 expressed major histocompatibility complex class II molecules at the cell surface  
184 (Supplemental Figure 2A) but these represented a minute fraction of total MHC class  
185 II<sup>+</sup> ILC3 pool. The remaining *Ncr1*<sup>FM</sup> ILC3 lacked CCR6 and CD4 expression  
186 (Supplemental Figure 2B) and would be contained within the ‘double negative’  
187 (NKp46<sup>-</sup>CCR6<sup>-</sup>) or ‘DN’ ILC3 gate. Within the total DN ILC3 population, *Ncr1*<sup>FM</sup>  
188 ILC3 accounted for about 17% of cells. Thus, a substantial fraction of previously  
189 identified DN ILC3 are in fact, *Ncr1*<sup>FM</sup> ILC3 that have lost NKp46 expression.

190         As some T cells express NKp46 (17, 18) or ROR $\gamma$ t (19, 20), we assessed  
191 whether *Ncr1*<sup>FM</sup> ILC3 could represent a peculiar T cell subset that had lost surface

192 expression of CD3 and NKp46. However, neither NKp46<sup>+</sup>RFP<sup>+</sup> nor NKp46<sup>-</sup>RFP<sup>+</sup>  
193 ILC3 expressed cell surface CD5 or intracellular CD3 protein (Figure 1H).  
194 Importantly, intracellular NKp46 protein was not detected in *Ncr1*<sup>FM</sup> ILC3, ruling out  
195 that the loss of cell surface NKp46 was due to the protein internalization (Figure 1H).

196 Taken together, analyses of *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice provide clear  
197 evidence for an intestinal ILC3 subset that has modulated NKp46 expression at both  
198 the protein and transcriptional level.

199

#### 200 *Functional capacities of Ncr1*<sup>FM</sup> ILC3

201 *Ncr1*<sup>FM</sup> ILC3 did not stain for Ki67 suggesting that they were primarily resting  
202 cells (Figure 2A, B). Given that one major ILC3 function is the production of IL-22,  
203 we assessed whether *Ncr1*<sup>FM</sup> ILC3 were able to produce this cytokine upon  
204 stimulation *in vitro*. Of note, IL-22 protein was readily detectable in both *Ncr1*<sup>+</sup> ILC3  
205 and *Ncr1*<sup>FM</sup> ILC3 even without IL-23 stimulation (Figure 2C), however, steady-state  
206 IL-22 production by *Ncr1*<sup>FM</sup> ILC3 was significantly greater than that of *Ncr1*<sup>+</sup> ILC3  
207 on a population level as well as on a per cell basis (Figure 2D, E). Nevertheless, upon  
208 stimulation with IL-23, both *Ncr1*<sup>+</sup> ILC3 and *Ncr1*<sup>FM</sup> ILC3 produced similar levels of  
209 IL-22 (Figures 2C-E). These results suggest that *Ncr1*<sup>FM</sup> ILC3 may have received  
210 activating signals *in situ*; such pathways might also be involved in NKp46 down-  
211 modulation, although this remains speculative.

212 We explored the cytokine production capacities of *Ncr1*<sup>FM</sup> ILC3 using various  
213 stimuli. Only group 1 ILC (NKp46<sup>+</sup>GFP<sup>+</sup>RORγt<sup>-</sup>) cells produced IFNγ upon  
214 stimulation with IL-2, IL-12, and IL-18 (Figure 2F), while both *Ncr1*<sup>+</sup> ILC3 and  
215 *Ncr1*<sup>FM</sup> ILC3 produced IL-22 under these conditions (Figure 2F). Interestingly,  
216 around 1 out of 4 of the IL-22 producing *Ncr1*<sup>+</sup> ILC3 and *Ncr1*<sup>FM</sup> ILC3 also produced

217 GM-CSF (Supplemental Figure 3A). The frequencies of both IL-22 producing cells  
218 and IL-22/GM-CSF producing cells increased when stimulated with a cocktail  
219 consisting of IL-1 $\beta$ , IL-2, IL-6, IL-23 and PMA/ionomycin (Figure 2F and  
220 Supplemental Figure 3A). Under these conditions, around half of IL-22<sup>+</sup> *Ncr1*<sup>+</sup> ILC3  
221 produced IFN $\gamma$  whereas *Ncr1*<sup>FM</sup> ILC3 did not (Supplemental Figure 3A). RFP<sup>+</sup> cells  
222 failed to produce IL-17A after stimulation (Supplemental Figure 3B). Consistent with  
223 their ability to produce IFN $\gamma$ , *Ncr1*<sup>+</sup> ILC3 express T-bet (Figure 2G) and require this  
224 transcription factor for development (5, 22). In contrast, *Ncr1*<sup>FM</sup> ILC3 expressed  
225 significantly less T-bet protein when compared with NK1.1<sup>+</sup> NK/ILC1 or with *Ncr1*<sup>+</sup>  
226 ILC3 (Figure 2G and H).

227

#### 228 *Ontogeny of Ncr1<sup>FM</sup> ILC3 and the role of microbiota*

229 We next explored at what stage *Ncr1*<sup>FM</sup> ILC3 emerged during ontogeny.  
230 CCR6<sup>+</sup> ILC3 have been detected as early as E13.5 (23). However, only few RFP<sup>+</sup>  
231 cells were found at E18.5 (Figure 3A) and these cells uniformly expressed NK1.1  
232 (Supplemental Figure 4). One week after birth, RFP<sup>+</sup>NK1.1<sup>-</sup> cells were apparent, the  
233 majority of which were *Ncr1*<sup>FM</sup> ILC3 (Figure 3B), which indicates that NKp46  
234 expression was initiated, but not maintained in these RFP<sup>+</sup> cells. Interestingly, *Ncr1*<sup>FM</sup>  
235 ILC3 exhibited higher IL-22 production capacity at this stage, both under steady state  
236 conditions, and after IL-23 stimulation (Figure 3C), the latter contrasting with the  
237 results obtained in adult mice (Figure 2C, D). We also noted an age-dependent  
238 decrease in the frequency of *Ncr1*<sup>FM</sup> among total ILC3 (13.2  $\pm$  2.9 % and 9.4  $\pm$  2.7%  
239 of total ILC3 in 1 week and 7 week-old mice, respectively). This might point to a  
240 specific function of these cells during the early postnatal period.

241 IL-23 driven T-bet expression in CCR6<sup>-</sup> ILC3 was shown to be induced by  
242 microbiota resulting in the generation of NKp46<sup>+</sup> ILC3 (5). As *Ncr1*<sup>FM</sup> ILC3 emerged  
243 after birth and increased with age (4), we hypothesized that microbiota might also  
244 regulate *Ncr1*<sup>FM</sup> ILC3 homeostasis. To address this point, we treated *Ncr1*<sup>CreGFP</sup> x  
245 *Rosa26*<sup>RFP</sup> mice with broad-spectrum antibiotics that have been shown to largely  
246 ablate commensal microbial communities (9). As expected (9), CD3<sup>+</sup> RORγt<sup>+</sup> T cells  
247 were decreased after antibiotic treatment (Figure 3D). In contrast, we did not observe  
248 any changes in proportions of *Ncr1*<sup>+</sup> ILC3 or *Ncr1*<sup>FM</sup> ILC3 (Figure 3E, F). These  
249 results indicate that antibiotic-sensitive microbiota are not a major driving force in  
250 shaping *Ncr1*<sup>FM</sup> ILC3 homeostasis in adult mice. Nevertheless, future studies using  
251 germ-free *Ncr1*<sup>CreGFP</sup>*Rosa26*<sup>RFP</sup> mice should be performed to confirm whether  
252 homeostasis of the *Ncr1*<sup>FM</sup> ILC3 subset is microbiota independent.

253

#### 254 *CD49a and CD226 expression by ILC3 subsets*

255 CD49a (encoded by *Itgal1*) is highly expressed by Eomes-independent hepatic  
256 ILC1 (DX5<sup>-</sup>NK1.1<sup>+</sup> cells) but is largely absent on Eomes<sup>+</sup> DX5<sup>+</sup> NK cells (24, 25).  
257 Subsequently, CD49a has been proposed as surface marker to identify ILC1 in  
258 various organs (26). As both ILC1 and NKp46<sup>+</sup> ILC3 require T-bet for their  
259 development (5, 22, 25, 26), we assessed whether CD49a might also be differentially  
260 expressed by intestinal ILC3 subsets. We found that CD49a was uniformly expressed  
261 by NKp46<sup>+</sup> and a subset of DN (NKp46<sup>-</sup>CCR6<sup>-</sup>) ILC3 (Figure 4A). Importantly,  
262 CD49a was co-expressed with T-bet in both populations, while CCR6 and CD49a  
263 expression were mutually exclusive (Figure 4A) consistent with previous  
264 transcriptomic analysis of ILC3 subsets (6). Consequently, all *Ncr1*<sup>+</sup> ILC3 expressed

265 CD49a (Figure 4B). In contrast, only a fraction of *NcrI*<sup>FM</sup> ILC3 stained positive for  
266 this marker (Figure 4B).

267 We next examined whether DNAX accessory molecule-1 (DNAM-1 or  
268 CD226) was expressed by *NcrI*<sup>FM</sup> ILC3. CD226 identifies NK/ILC1 subsets with  
269 enhanced IFN $\gamma$  production (27). DNAM ligands - CD112 and CD155 - are expressed  
270 by DCs and other cells (28), and CD226-CD155 interactions have been shown to be  
271 involved in NK-DC ‘crosstalk’ (29). CD226 expression varied on ILC3 subsets: it  
272 was expressed at high levels by all CCR6<sup>-</sup> ILC3 (Figure 4C), while the majority of  
273 CCR6<sup>+</sup> ILC3 expressed intermediate levels, with a small fraction of CCR6<sup>+</sup> ILC3  
274 being CD226<sup>-</sup> (Figure 4C). We found that *NcrI*<sup>+</sup> ILC3 expressed high levels of  
275 CD226, while *NcrI*<sup>FM</sup> ILC3 contained CD226<sup>hi</sup> and CD226<sup>int</sup> cells (Figure 4C). High  
276 level CD226 expression by *NcrI*<sup>FM</sup> ILC3 was associated with CD49a expression  
277 (Figure 4C), while CD49a<sup>-</sup> *NcrI*<sup>FM</sup> ILC3 expressed intermediate levels of CD226 and  
278 harbored the CCR6<sup>+</sup> subset (Figure 4C). Together these data reveal a cluster of  
279 markers (NKp46, CD49a, CD226) that are highly expressed by T-bet<sup>+</sup> ILC3 and are  
280 down-regulated in *NcrI*<sup>FM</sup> ILC3.

281

### 282 *Signals that modulate NKp46 expression on intestinal ILC3*

283 Membrane bound and soluble factors regulate NK cell receptor expression.  
284 Concerning NCRs, Notch has been proposed as a key positive regulator for mucosal  
285 ILC3 in mice (22), while TGF- $\beta$  can modulate human NKp30 expression *in vitro*  
286 (30). NKp46 expression is reduced in cancer patients (31) and during Influenza and  
287 HIV infection (31–33). To begin to investigate the signals that might be involved in  
288 the regulation of NKp46 expression in *NcrI*<sup>FM</sup> ILC3, we used *NcrI*<sup>GFP/+</sup> (16) mice in  
289 which one *NcrI* allele harbors a fluorescent reporter generating high GFP expression

290 by all NKp46<sup>+</sup> cells. We then isolated CD49a<sup>+</sup>GFP<sup>+</sup>, CD49a<sup>+</sup>GFP<sup>-</sup>, and CCR6<sup>+</sup> ILC3  
291 subsets and monitored GFP expression after cell culture on stromal cells that  
292 differentially expressed Notch ligands (22). We could detect a significant decrease in  
293 the GFP expression level (mean fluorescence intensity) between day 2 and day 4 by  
294 CD49a<sup>+</sup> GFP<sup>+</sup> cells cultured on OP9 stromal cells, whereas GFP expression remained  
295 constant on OP9 DL1 cells (Figure 4D). Addition of TGF-β accelerated GFP  
296 downregulation in CD49a<sup>+</sup> GFP<sup>+</sup> cells cultured on OP9 but not OP9 DL1 (Figure 4D).  
297 In contrast, GFP expression was not induced in cultured CCR6<sup>+</sup> or CD49a<sup>+</sup> GFP<sup>-</sup> ILC3  
298 and no changes in CD49a or CCR6 expression were observed under any conditions  
299 (Supplemental Figure 5). These results suggest that TGF-β and Notch-derived signals  
300 regulate NKp46 expression in cultured *Ncr1*<sup>+</sup> ILC3 and may be involved in the  
301 maintenance of NKp46 expression on *Ncr1*<sup>+</sup> ILC3 or the generation of *Ncr1*<sup>FM</sup> ILC3.

302 **Discussion**

303 Using *in vivo* fate-mapping, we have identified an unusual subset of intestinal  
304 ILC3 that have lost previous NKp46 expression; these ‘ex-NKp46 ILC3’ are denoted  
305 *Ncr1*<sup>FM</sup> ILC3. As a result, a fraction of ‘DN’ (CD4<sup>-</sup>NKp46<sup>-</sup>) ILC3 are derived from  
306 *Ncr1*<sup>+</sup> ILC3 and demonstrate that the NKp46<sup>+</sup> ILC3 is not a stable or determinant  
307 phenotype. Our results also indicate that the ‘DN’ ILC3 subset is heterogeneous and  
308 show that at least two pathways are involved in the generation of this population.

309 Interestingly, a small subset of *Ncr1*<sup>FM</sup> ILC3 express CCR6, thus resembling  
310 LTi-like cells. It is currently not clear whether CCR6<sup>+</sup> ILC3 represent a stable  
311 lineage (21). Constantinides et al. identified early ILC precursors using *Zbtb16*<sup>CreGFP</sup>  
312 mice and through fate-mapping, showed that while most NKp46<sup>+</sup> ILC3 were derived  
313 from *Zbtb16*-expressing precursors, a minor fraction of CCR6<sup>+</sup> ILC3 were also fate-  
314 mapped (21). Conceivably, the small subset of CCR6<sup>+</sup> *Ncr1*<sup>FM</sup> ILC3 we identified  
315 might correspond to (or be contained within) the CCR6<sup>+</sup> *Zbtb16*-derived ILC3  
316 population (21). Since NKp46<sup>+</sup> ILC3 are CCR6<sup>-</sup>, this receptor appears to be induced  
317 on a fraction of *Ncr1*<sup>FM</sup> ILC3, possibly in response to environmental cues. As such,  
318 the generation of CCR6<sup>+</sup> *Ncr1*<sup>FM</sup> ILC3 may allow for a specific postnatal positioning  
319 of these IL-22 producing ILC3 cells within the intestine.

320 We found that CD49a and CD226 are differentially expressed on intestinal  
321 ILC3. *Ncr1*<sup>+</sup> ILC3 express both markers, while *Ncr1*<sup>FM</sup> ILC3 have reduced levels. As  
322 CD49a and CCR6 were exclusively expressed by *Ncr1*<sup>FM</sup> ILC3, we propose the  
323 following sequence: RFP<sup>+</sup>NKp46<sup>+</sup>CD49a<sup>+</sup>CD226<sup>hi</sup>CCR6<sup>-</sup> -> RFP<sup>+</sup>NKp46<sup>-</sup>  
324 CD49a<sup>+</sup>CD226<sup>hi</sup>CCR6<sup>-</sup> -> RFP<sup>+</sup>NKp46<sup>-</sup>CD49a<sup>-</sup>CD226<sup>int</sup>CCR6<sup>+</sup>. The change in  
325 CD49a and CCR6 expression might allow for a differential localization of *Ncr1*<sup>+</sup> and  
326 *Ncr1*<sup>FM</sup> ILC3 within the tissue enabling them to exert their function in the correct



327 cellular context. Indeed, *Ncr1*<sup>FM</sup> ILC3s displayed spontaneous production of IL-22  
328 suggesting that they may have received stimulatory signals *in situ*. Further studies on  
329 the localization of *Ncr1*<sup>+</sup> and *Ncr1*<sup>FM</sup> ILC3 should provide important insights into the  
330 specific roles for these ILC subsets in immune homeostasis.

331 Previous work has revealed that under the influence of environmental cues  
332 ILC3 acquire the expression of T-bet and concomitant ability to produce IFN $\gamma$  (34).  
333 T-bet upregulation is associated with loss of ROR $\gamma$ t expression and IL-22 production  
334 capabilities, allowing ILC3 to acquire an ILC1-like phenotype (“ex-ILC3” ILC1). In  
335 contrast, *Ncr1*<sup>FM</sup> ILC3 do not show signs of ILC1-like plasticity. They retain  
336 characteristics of ILC3 (ROR $\gamma$ t<sup>+</sup>, IL-22 production) in naïve mice and when  
337 stimulated *in vitro*. Furthermore, *Ncr1*<sup>FM</sup> ILC3 expressed lower levels of T-bet than  
338 *Ncr1*<sup>+</sup> ILC3 and were unable to produce IFN $\gamma$ . However, similar to *Ncr1*<sup>+</sup> ILC3, they  
339 can produce GM-CSF. Whether *Ncr1*<sup>FM</sup> ILC3 show further plastic features with  
340 resultant loss of ROR $\gamma$ t expression (similar to this ILC3 to ILC1 differentiation) is not  
341 known. Of note, our analyses demonstrated that all RFP<sup>+</sup> group 1 ILC in *Ncr1*<sup>CreGFP</sup> x  
342 *Rosa26*<sup>RFP</sup> mice co-express NK1.1 and NKp46. Thus, if such a plasticity (*Ncr1*<sup>FM</sup>  
343 ILC3 to ILC1) would occur, it would involve concomitant upregulation of NKp46 and  
344 NK1.1 by those cells, rendering them indistinguishable from “normal” group 1 ILC.

345 Taken together, NKp46 modulation in ILC3 likely reflects an intrinsic  
346 program influenced by environmental cues. AhR activation by dietary ligands may  
347 explain higher CD117 and IL-22 expression in *Ncr1*<sup>FM</sup> (35), while cellular partners  
348 that emerge after birth such as monocytes derived CX3CR1<sup>+</sup> macrophages may  
349 stabilize NKp46 expression by providing Notch ligands (36). To balance their  
350 function, TGF- $\beta$  might dampens an ILC3 pro-inflammatory program dependent on  
351 NKp46 expression. Remarkably, NKp46<sup>+</sup> ILC3 are the only immune cell to express

352 simultaneously Gata-3, ROR $\gamma$ t and T-bet. The interplay between these transcription  
353 factor in ILC3 differentiation is complex and NKp46 expression appears tightly  
354 controlled by Gata-3 and T-bet (37). As such, relative amounts of these transcription  
355 factors, balanced by extrinsic signals, might finely tune the emergence and the  
356 function of *Ncr1*<sup>+</sup> ILC3 and their transition to *Ncr1*<sup>FM</sup> ILC3.  
357

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364

365 **Conflict of Interest Statement**

366 JD is a founder and stakeholder in AXENIS (Paris, France). The other authors declare  
367 no conflict of interest.

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557 **Figure Legends**

558

559 **Figure 1. An unusual ‘ex-NKp46’ ILC3 subset in the small intestine.** (A) Analysis  
560 of NK1.1, ROR $\gamma$ t and NKp46 expression in RFP<sup>+</sup> cells from the indicated organs in  
561 *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice. First column displayed was gated on alive CD45<sup>+</sup> RFP<sup>+</sup>  
562 cells. SI-LP : Small Intestine Lamina Propria. (B) Gating strategy used to identify  
563 RFP<sup>+</sup> NKp46<sup>-</sup> GFP<sup>-</sup> ILC3 in small intestine lamina propria lymphocytes from  
564 *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice. CD4<sup>+</sup> LTi cells (CD3<sup>-</sup> CD127<sup>+</sup> ROR $\gamma$ t<sup>+</sup> CD4<sup>+</sup>) were  
565 used as a control to set the negative gate (NKp46 vs GFP). (C) *Ncr1* mRNA  
566 expression levels by sorted intestinal populations: NK1.1<sup>+</sup> - CD3-  
567 CD45.2+NK1.1+NKp46+GFP+RFP+; *Ncr1*<sup>+</sup> - CD3-  
568 CD45.2intCD90.2hiCD117+NK1.1-NKp46+GFP+RFP+; *Ncr1*<sup>FM</sup> - CD3-  
569 CD45.2intCD90.2hiCD117+NK1.1-NKp46-GFP-RFP+; CD4<sup>+</sup> LTi - CD3-  
570 CD45.2intCD90.2hiCD117+NK1.1-NKp46-RFP-CD4<sup>+</sup>. (D) Representative FACS  
571 plot of CD127 versus CD117 in RFP<sup>+</sup> NK1.1<sup>-</sup> cells. (E) Expression levels (MFI) of  
572 CD127 (left) and CD117 (right) by the indicated populations measured by FACS. (F)  
573 Representative FACS plot of NKp46, GFP, RFP and CCR6 expression in ILC3 (gated  
574 on alive CD45<sup>+</sup> CD3<sup>-</sup> CD127<sup>+</sup> ROR $\gamma$ t<sup>+</sup>). (G) Distribution of RFP<sup>+</sup> among intestinal  
575 ILC3 (gated on CD3<sup>-</sup> CD127<sup>+</sup> ROR $\gamma$ t<sup>+</sup>) in percentages (left) and absolute numbers  
576 (right). (mean + S.E.M.; n = 15). (H) Histograms overlay of the indicated population.  
577 *Ncr1*<sup>+</sup> (black line) and *Ncr1*<sup>FM</sup> (light blue) were gated on as described in (C). T cells  
578 (grey line) as a negative and positive control for intracellular staining of NKp46 and  
579 CD3, respectively.

580

581 **Figure 2. *Ncr1*<sup>FM</sup> ILC3 demonstrate elevated IL-22 production and reduced T-**  
582 **bet expression. (A).** Representative FACS plot of Ki67 versus NKp46 in RFP<sup>+</sup>  
583 NK1.1<sup>-</sup> cells and CD3<sup>+</sup> T cells (control). **(B)** Statistical evaluation of Ki67 data  
584 obtained from analyses as in (A). **(C)** Representative FACS plot of IL-22 expression  
585 by the indicated subsets (among RFP<sup>+</sup> NK1.1<sup>-</sup>) after 4h stimulation with or without  
586 IL-23 (50 ng.ml<sup>-1</sup>). NS = Non Stimulated. **(D)** Percentages of IL-22 positive cells in  
587 RFP<sup>+</sup> NKp46<sup>+</sup> (empty bar) and RFP<sup>+</sup> NKp46<sup>-</sup> (black bar) (mean + S.E.M.; n=9), \*\*\*  
588 p < 0.001. **(E)** Expression levels (MFI) of intracellular IL-22 protein in unstimulated  
589 or IL-23-stimulated cells from the indicated populations. **(F)** Representative FACS  
590 plot of IFN $\gamma$  and IL-22 expression after stimulation with various cytokine mixes as  
591 indicated. Of note, cells were first incubated for 1h with IL-1 $\beta$ , IL-2, IL-6, and IL-23  
592 before PMA and ionomycin were added for the remaining 3hrs of incubation. **(G)**  
593 Histograms overlay of T-bet expression in RFP<sup>+</sup> NK1.1<sup>+</sup> (red), RFP<sup>+</sup> NKp46<sup>+</sup> (grey),  
594 RFP<sup>+</sup> NKp46<sup>-</sup> (light blue) and CD4<sup>+</sup> LTi (dark grey). Mean fluorescence intensity  
595 (MFI) were normalized to RFP<sup>+</sup> NK1.1<sup>+</sup> and are displayed in **(H)**. (mean + S.E.M.;  
596 n=4), \*\* p < 0.01.

597

598 **Figure 3. Impact of ontogeny and microbiota on *Ncr1*<sup>FM</sup> ILC3 generation. (A)**  
599 Representative FACS plot of CD4, RFP, NKp46 and GFP expression in ILC3 (gated  
600 on alive CD45<sup>+</sup> CD3<sup>-</sup> CD127<sup>+</sup> ROR $\gamma$ t<sup>+</sup>) from the SI-LP of *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup>  
601 mice at the indicated time points. **(B)** Percentages of *Ncr1*<sup>+</sup> and *Ncr1*<sup>FM</sup> ILC3 among  
602 NK1.1<sup>-</sup> cells, (mean + S.E.M.; n  $\geq$  9, \*\*\*\* p < 0.0001). **(C)** Percentages of IL-22  
603 positive cells in *Ncr1*<sup>+</sup> (empty bar) and *Ncr1*<sup>FM</sup> (black bar) in SI-LPL from 1 week-old  
604 mice (mean + S.E.M.; n=9), \*\* p < 0.01 stimulated or not with IL-23 as indicated.  
605 **(D)**. Percentages of ROR $\gamma$ t<sup>+</sup> among CD3<sup>+</sup> T cells with or without antibiotic treatment

606 in control (empty bars) or antibiotic treated (black bars) mice (mean + s.d., n = 3), \*\*  
607  $p < 0.01$ . Antibiotics were administered in drinking water to pregnant mice and mice  
608 after birth until sacrifice (6-7 weeks old). (E) Representative FACS plot of RFP<sup>+</sup>  
609 NK1.1<sup>-</sup> ILC3 with or without antibiotic treatment. (F) Percentages of *Ncr1*<sup>+</sup> and  
610 *Ncr1*<sup>FM</sup> ILC3 among NK1.1<sup>-</sup> RFP<sup>+</sup> (E) in control (empty bars) or antibiotic treated  
611 (black bars) mice (mean + s.d., n = 3).

612

613 **Figure 4. Phenotype and plasticity of *Ncr1*<sup>FM</sup> ILC3.** (A) Representative FACS plot  
614 of CD49a and T-bet expression in the indicated ILC3 subsets from the SI-LP of  
615 C57BL/6 mice. (B) Representative FACS plot of CD49a versus CCR6 expression in  
616 *Ncr1*<sup>+</sup> (left) and *Ncr1*<sup>FM</sup> (right) from the SI-LPL of *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice. (C)  
617 Representative FACS plot of DNAM-1 versus CD49a and CCR6 expression by cells  
618 from the indicated subsets from *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice. (D) CD45<sup>int</sup> CD90<sup>hi</sup>  
619 NK1.1<sup>-</sup> CD49a<sup>+</sup> GFP<sup>+</sup> were sorted from the SI-LP of *Rag2*<sup>-/-</sup> *Ncr1*<sup>GFP/+</sup> mice and  
620 cultured on OP9 or OP9-DL1 expressing stromal cells in the presence (grey bar) or  
621 absence (black bar) of TGF- $\beta$  (100 ng.ml<sup>-1</sup>) for up to four days. Mean Fluorescence  
622 Intensity (MFI) of GFP in sorted CD49a<sup>+</sup> GFP<sup>+</sup> cells was assessed by flow cytometry  
623 at the indicated time points. (mean + s.d.; n=3), \*  $p < 0.05$ , \*\*  $p < 0.01$ .

624

625

626 **Supplemental Figure Legends**

627 Supplemental Figure 1. Relates to Figure 1. **(A)** Experimental approach for fate-  
628 mapping NKp46<sup>+</sup> cells. **(B)** CD45<sup>+</sup> RFP<sup>+</sup> cells of the indicated organs were stained  
629 for NKp46 and NK1.1. Dotplots on the left show NKp46 versus RFP expression of  
630 these cells isolated from the indicated organs. Histograms on the right show the  
631 expression of NK1.1 by the same cells. BM- bone marrow, SG- salivary glands, aLN-  
632 axial lymph nodes, mLN- mesenteric lymph nodes. Histograms overlays show NK1.1  
633 expression by CD19<sup>+</sup> cells (grey, negative control) and RFP<sup>+</sup> cells (black). **(C)**  
634 Analysis of NK1.1, ROR $\gamma$ t and NKp46 expression in RFP<sup>+</sup> cells from the indicated  
635 organs in *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice. First column displayed was gated on alive  
636 CD45<sup>+</sup> RFP<sup>+</sup> cells. PP : Peyer's Patches; LI-LP : Large Intestine Lamina Propria. **(D)**  
637 FACS plots showing the expression of NKp46 versus intracellular Eomes (left) and  
638 intracellular ROR $\gamma$ t versus intracellular Eomes (right) by CD3<sup>+</sup>CD19<sup>-</sup> SI-LP from  
639 C57BL/6 mouse.

640

641 Supplemental Figure 2. Relates to Figure 1. **(A)** Expression of MHC-II versus CCR6  
642 by the indicated cell populations. **(B)** Expression of CD4 versus CCR6 by the  
643 indicated cell populations.

644

645 Supplemental Figure 3. Relates to Figure 2. **(A)** Related to Figure 2F. Intracellular IL-  
646 22 versus GM-CSF expression by the indicated cell populations and stimulated as  
647 indicated. Cells were stimulated as detailed in figure legend 2F. **(B)** SI-LPL from  
648 *Ncr1*<sup>CreGFP</sup> x *Rosa26*<sup>RFP</sup> mice were stimulated for 4hrs with PMA and ionomycin and  
649 then stained for intracellular IL-17. Shown are IL-17 versus RFP (left; of note, there

650 are no double positive cells) and IL-17 versus CD3 (right; 25% of CD3<sup>+</sup> cells express  
651 IL-17).

652

653 Supplemental Figure 4. Relates to Figure 3A. **(A)** FACS plots showing the expression  
654 of NKp46 and NK1.1 by total RFP<sup>+</sup> cells (left) and ILC3 (right) in E18.5 fetal livers.

655 **(B)** Representative FACS plots of expression of CCR6 and CD49a by cells cultured  
656 for 4 days on OP9 feeder cells with or without the Notch-ligand DL-1 and with or  
657 without TGF $\beta$  as indicated. Grey overlay represents RFP<sup>-</sup>CCR6<sup>+</sup> ILC3, red - *Ncr1*<sup>+</sup>  
658 ILC3, blue overlay represents *Ncr1*<sup>FM</sup> ILC3.



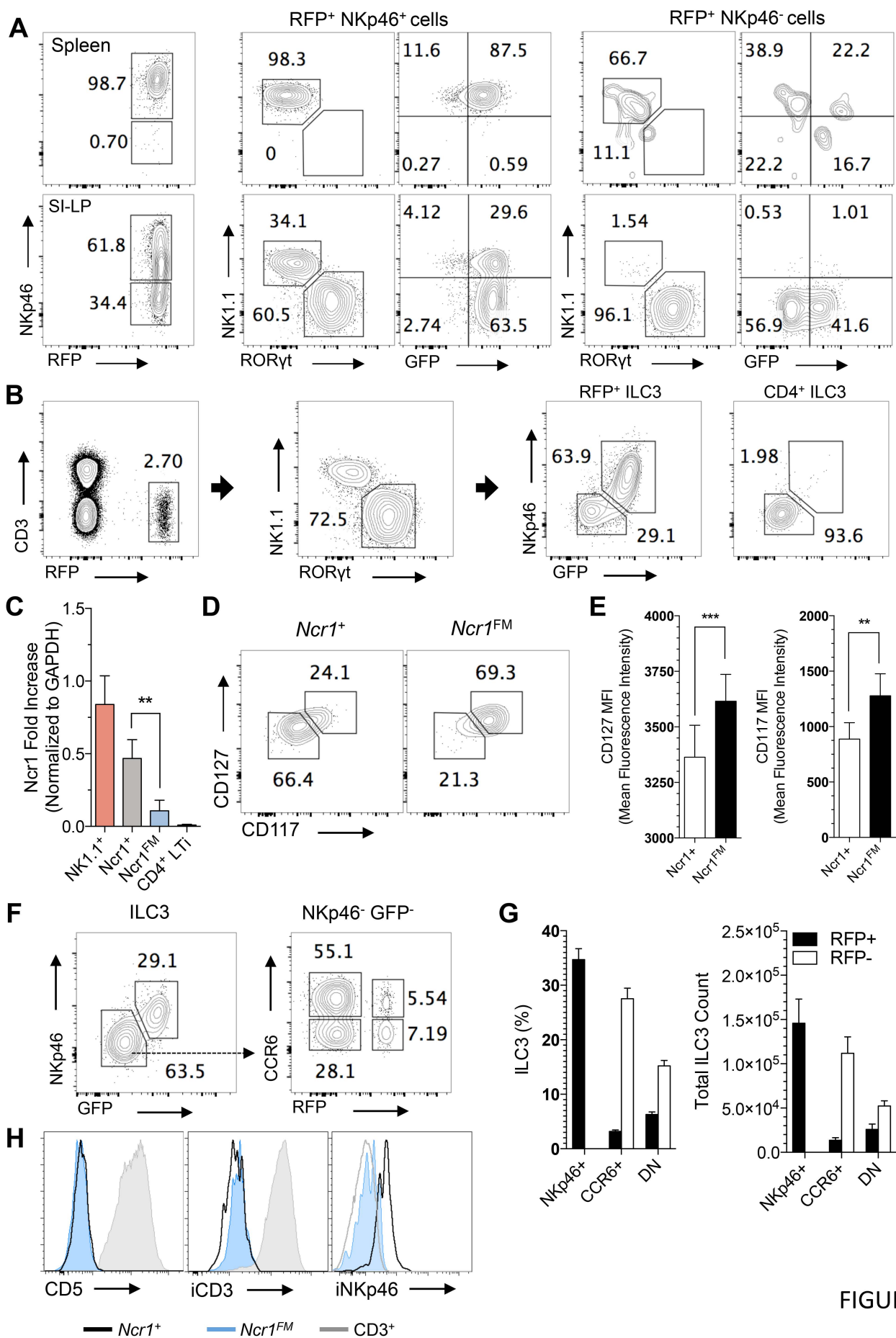


FIGURE 1

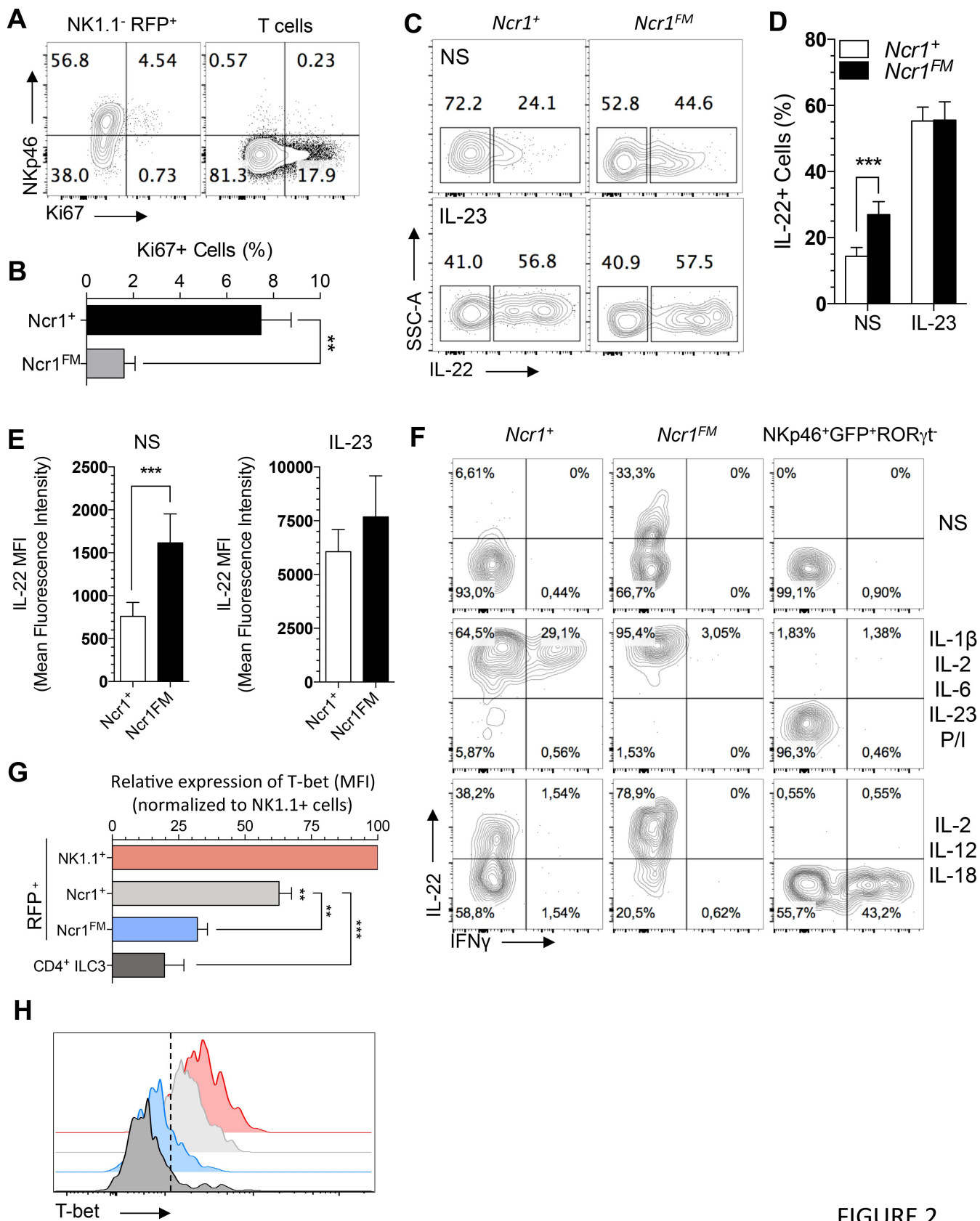


FIGURE 2

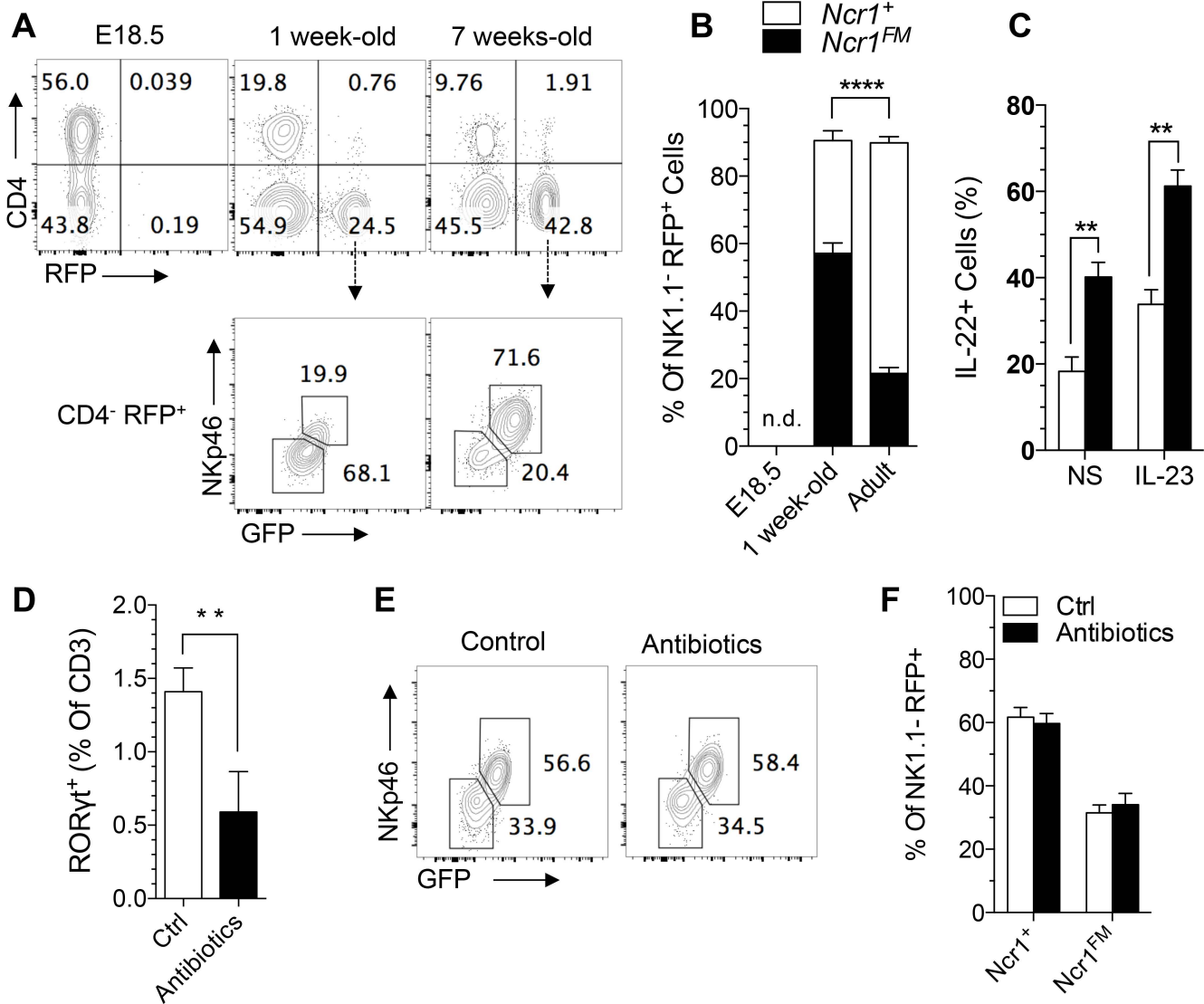


FIGURE 3

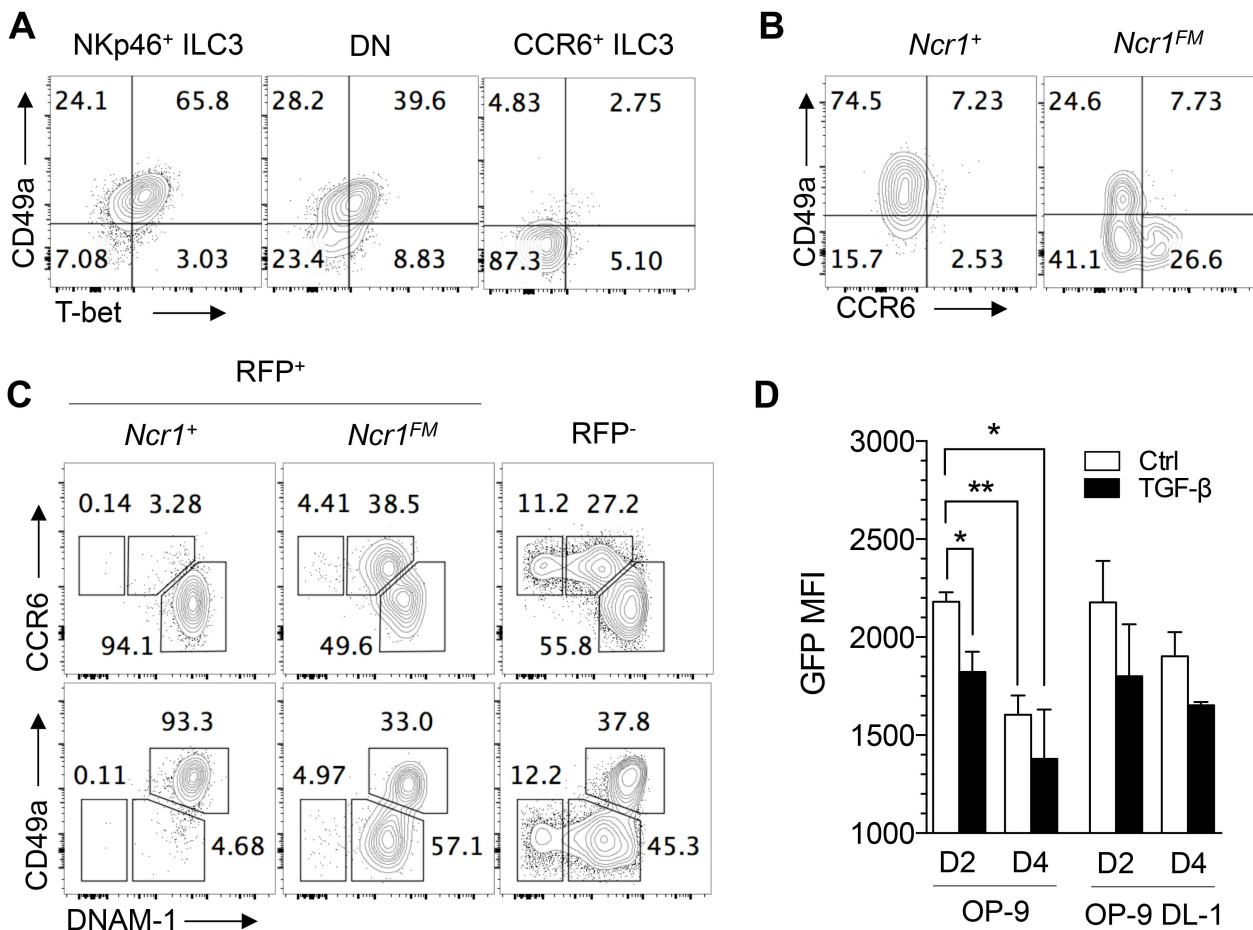


FIGURE 4

