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# Performance and Implementation of UFA: a SIP-based Ultra Flat Mobile Network Architecture

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**Abstract**—Due to their centralised design, current mobile network architectures as well as IMS layer will not be able to handle the increasing number of mobile users consuming high bitrate services. An Ultra Flat Architecture (UFA), based on SIP and designed with the primary goal of being scalable, has been introduced in a previous paper. In this paper, the limitations of current 3GPP architectures are discussed and detailed explanations of UFA interest and procedures are provided. UFA is entirely controlled by the operator and integrates QoS in its establishment and mobility procedures. To prove its concept and assess the performance of its mobility procedure, UFA is implemented on a testbed. UFA HO delay measured at the application level is 100ms in average; it is 12 times smaller than in a classic SIP-based mobility scheme.

**Keywords-component:** flat architecture, UFA, SIP, IMS, QoS, HO.

## I. INTRODUCTION

In recent years, advances in mobile device capabilities and radio technologies have been quite remarkable. This has enabled mobile subscribers to access from mobile networks the same variety of broadband services experienced on fixed networks. Same as for fixed networks, it is expected that a high number of mobile users will consume these services; causing a high traffic volume and scalability issues. To face this problem, new network architectures have been designed with fewer nodes; they are called flat architectures. Despite this effort, scalability issues are still not entirely solved since these architectures remain centralised. IMS [1], the service control layer on top of fixed and mobile networks, is also centralised and is subject to scalability issues. We previously introduced in [2] a new flat architecture named UFA (Ultra Flat Architecture) that aims at solving simultaneously mobile networks and IMS scalability issues. UFA is considered as an ultimate step towards flat architectures, its principle is to distribute into the Base Stations (BSs), the single nodes of this architecture, data forwarding plane functions and control plane functions including IMS ones. In [2] we studied the impact of anchor-based mobility management protocols on network scalability and defined the principles of UFA establishment and mobility procedures, ending with an analytical evaluation of handover (HO) performance. In this paper, we consider the 3GPP mobile architectures and the IMS network and show their limitations when faced with a high number of users. We based our analysis on the location of the first IP router seen by the Mobile Node (MN) and the number of user related contexts maintained by each of IMS and mobile network nodes. Regarding UFA, we thoroughly describe the mobility procedure taking into account the case of mono and multi interfaced MNs. We prove the UFA concept through testbed-

based implementation and analyse the measured performance results, which was not performed in [2].

## II. MOBILE NETWORKS AND IMS SCALABILITY ISSUES

Many criteria can be used to measure network scalability. They are: the location of the first IP router seen by the MN, the number of intermediate nodes on the data forwarding path between the MN and the first IP router, the number of nodes on the signalling path, the number of network interfaces, etc. The first IP router is responsible for allocating an IP address to the MN. It is considered as the first IP hop for the MN and anchors traffic between the internet and MNs and even between MNs attached to the same network.

1) **UMTS**: UMTS [3] is a 3GPP mobile network composed of four specific nodes that are from top to down: the GGSN, the SGSN, the RNC and the NodeBs. The GGSN is the first IP router. The SGSN performs user authentication, stores for each user ciphering keys, and forwards data to the MN according to the routing and QoS (Quality of Service) information built during bearer establishment. The RNC is the intelligent part of the radio access network (UTRAN) and performs data ciphering, data compression as well as radio resource allocation. All of these four nodes maintain a per-user context and are on the forwarding data path, which makes them sensitive to the user number and traffic increase. To reduce scalability issues incurred by this hierarchical and centralised design, 3GPP has introduced in [3] a new option called "Direct Tunnel" where data on the forwarding plane does not need to pass through the SGSN; it is directly tunnelled from the GGSN to the RNC. Flat HSPA+ [4] is another evolution of UMTS that has been introduced to enhance UMTS scalability by removing the RNC and distributing its functions in the NodeBs.

2) **EPS**: EPS (Evolved Packet System) or SAE/LTE [5] has been defined in Release 8 of 3GPP, it provides an IP-based packet core network (SAE, (System Architecture Evolution)) that enables users to access operator's 3GPP IP services, whatever the access network (3GPP and non-3GPP), and to ensure mobility between these access networks. In this framework, 3GPP has also defined an evolved radio access network called LTE (Long Term Evolution) [6] that offers high radio bitrates. As shown in Figure 1, SAE architecture mainly introduces three new entities: the P-GW (Packet Data Network Gateway), the S-GW (Serving Gateway) and the MME (Mobility Management Entity). The P-GW is the first IP router for all users, it uses anchor-based mobility management protocols (e.g. PMIP [7]) to manage the mobility of users between 3GPP access systems and non-3GPP access systems,

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it can be assimilated to the GGSN in terms of functions. The S-GW manages the mobility of users between 3GPP access systems (UTRAN, LTE) and is equivalent to the SGSN data forwarding plane functions. The MME contains the SGSN control plane operations and a few control plane security functions performed by the RNC in UMTS. LTE is considered as a flat access network since it consists only of one node type, the eNodeBs (LTE BSs) containing all the radio intelligence.

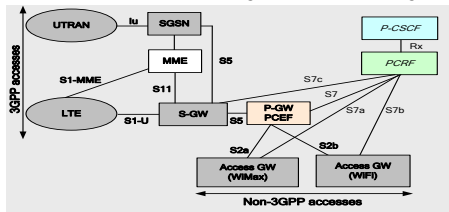


Figure 1. LTE/SAE architecture

3) *IMS*: IMS (IP Multimedia Subsystem) [1] is defined within 3GPP as a service framework and as a mean for fixed and mobile network operators to provide the adequate QoS for transported services on the one hand, and perform a service-based differentiated charging on the other hand. IMS introduces independent network components based on SIP [8]; they are the Proxy Call Service Control function (P-CSCF), the Interrogating CSCF (I-CSCF), and the Serving CSCF (S-CSCF). The S-CSCF is located in the home network, it performs user authentication and implements the actual SIP registrar functionality and session control. The P-CSCF acts as a SIP proxy between the MN and the I-CSCF/S-CSCF and is located behind the first IP router of mobile networks. It stores for each terminal accounting and security association data. During IMS authentication procedure, the P-CSCF establishes an IPsec tunnel with the MN to provide integrity and ciphering for the transported SIP signaling. To ensure permanent MN reachability, this tunnel shall be maintained along the MN attachment period, imposing the need of an always-on IP connectivity in the mobile network. The P-CSCF also stores the Service Information (*SI*) deduced during session establishment. To enforce the QoS corresponding to *SI* on the mobile network, a new entity called PCRF (Policy and Charging Rules Function) has been introduced by the PCC architecture [9]. The P-CSCF sends *SI* to the PCRF. The PCRF deduces *IP Authorized QoS* and transfers it to the first IP router in the mobile network, called PCEF (Policy and Charging Enforcement Function). The PCEF deduces *Access Specific QoS* and ensures that the bearer established on the network level to handle the session traffic does not exceed this QoS. Figure 2 shows the number of contexts maintained in IMS and PCC architectures. Application of PCC architecture to SAE architecture is given in Figure 1. As the mobility protocol (e.g. PMIP) between the P-GW and the access gateways is not a bearer establishment protocol, new interfaces (see *S7x* in Figure 1) have been added by 3GPP between the PCRF and these gateways; increasing thus scalability risks. IMS and PCC architectures have other limitations regarding signaling cost and QoS management [10]. Indeed, PCC architecture role is limited to the enforcement of a given QoS on the mobile network independently of whether resources are available or

not. PCC entities could react to resource availability information in order to adapt the service accordingly; however as this information is distributed in all mobile network nodes reporting this information to PCC nodes could not be ensured without consequent modifications on the specified architectures.

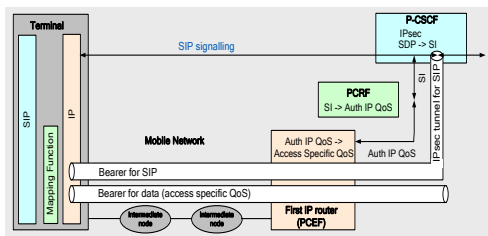


Figure 2. Contexts in IMS and PCC architectures nodes

4) *Discussion on mobile networks and IMS scalability*: The flattening approach appears to be a solution for the expected scalability risks; however the way it is applied for LTE/SAE and UMTS (HSPA+) does not solve all scalability issues. Even if these architectures remove some of the intermediate nodes, the first IP router is still centralised and manages a high number of users. As the PCRF and the P-CSCF entities are both located behind this first IP router they inherit the same kind of scalability issues. Duplicating the first IP routers, the P-CSCFs and the PCRFs can be envisaged when anticipating more and more subscribers in the future. However, as discussed in [11], load-aware algorithms for the selection of the less loaded node as well as a protocol to update the load of each node shall be deployed. Besides, distributing users on the different nodes can not be performed dynamically as these nodes cannot be changed during the lifetime of an established communication.

### III. UFA ARCHITECTURE

An end-to-end architecture solution shall be defined to solve scalability issues. UFA has been proposed with this design objective. It distributes the first IP router, the PCRF and the P-CSCF functions in the BSs, now called UFA\_GW. We choose to use SIP in the UFA\_GW as the main protocol for UFA establishment and mobility procedures for the following reasons: 1) SIP is adopted in 3GPP for IMS 2) it can offer to operators the knowledge of the established applications 3) it is independent of the radio technology making UFA mobility procedures applicable to inter and intra technology HOs 4) it enables at the same time to establish sessions, manage their mobility and transport their related application description (SDP, Session Description Protocol [12]). In the UFA\_GW, the SIP layer acts as a B2BUA (Back-To-Back User Agent) in order to control SIP messages and perform their modification according to the operator policies. Thanks to this control and because the UFA\_GWs simultaneously manage the SIP layer and all the network resource information, adapting applications to resource availability become possible. In this way, establishment/mobility procedure implicitly integrates QoS.

#### IV. UFA ESTABLISHMENT AND MOBILITY PROCEDURES

When MN wants to initiate a service with a CN (Corresponding Node), it sends a SIP INVITE message to this CN to create a SIP session. The B2BUA in the Source UFA\_GW (UFA\_GW\_S) stops this message and creates a second SIP INVITE message towards the CN. This induces the creation of two SIP sessions: one (MN-UFA\_GW\_S) between MN and UFA\_GW\_S and the other one (UFA\_GW\_S-CN) between UFA\_GW\_S and CN; each session is identified by a call ID. The B2BUA binds the two SIP sessions related to the established service. For a SIP message received from MN (resp. CN), From header, To header and MN (resp. CN) IP address in the SDP c field are copied in the corresponding SIP message sent to CN (resp. MN). Call ID is changed, MN (resp. CN) IP address in the contact header is replaced by UFA\_GW\_S IP address, and SDP content can be changed. Indeed, during service establishment, after the MN and the CN agree on a Requested SDP, the UFA\_GW\_S stores the Requested SDP and checks whether it can allocate the resources necessary to satisfy this SDP. If not it proposes another SDP Proposed SDP and sends it to MN and CN so that they begin their call with the corresponding application description, more details can be found in [10]. The Requested SDP can be later used by UFA\_GW\_S to propose a session upgrade when more resources become available.

As shown in Figure 3, when a HO is anticipated by UFA\_GW\_S, (1) it sends to a set of candidate GWs a Resource Query Request that includes Requested SDP. (2) Each of these candidates answers according to its resources with a Proposed SDP. UFA\_GW\_S then selects a target UFA\_GW (UFA\_GW\_T) and begins HO preparation. HO preparation aims at transferring the two SIP sessions (MN - UFA\_GW\_S) and (UFA\_GW\_S - CN) from UFA\_GW\_S to UFA\_GW\_T and pre-determining all the OSI layers configuration required for MN after its HO. The SIP REFER [13] method is not used as it cannot entirely answer to this objective; it is accompanied by the creation of new SIP sessions ((MN-UFA\_GW\_T) and (UFA\_GW\_T-CN)) which would necessitate a supplementary mechanism to bind these two new sessions. (3) Therefore, UFA\_GW\_S sends to UFA\_GW\_T Context Transfer message which includes both SIP sessions description (From, To, Contact, Requested SDP, MN capabilities, etc.). UFA\_GW\_T allocates an IP address for the MN (Add\_IP\_Addr), checks its uniqueness, confirms the Proposed SDP and (4) includes them with other UFA\_GW\_T related information in (ACK) message towards UFA\_GW\_S. (5, 5A) Based on the received message, UFA\_GW\_S builds two SIP re-INVITE messages to send to MN and CN.

A SIP re-INVITE message enables to update information related to an existing dialog (e.g. Contact header, SDP, c field of SDP). As (UFA\_GW\_S-CN) and (MN-UFA\_GW\_S) SIP sessions are transferred from UFA\_GW\_S to UFA\_GW\_T, SIP re-INVITE messages towards CN and MN have a contact header equal to UFA\_GW\_T IP address. They also include Proposed SDP; with a c field for the SIP re-INVITE message towards CN equal to the new IP address of MN after its HO (Add\_IP\_Addr). This indicates to CN to send data to this address. In order to provide better QoS and trigger the MN handover at L2 and L3 using the same messages, two new SIP headers are included in the SIP re-INVITE messages. The first SIP header is UFA\_Appli\_Config and is sent to CN and MN. As shown Table 1, it contains Add\_IP\_Addr and indicates to the application whether data bi-casting to/from the current MN IP address and Add\_IP\_Addr has to be performed during HO period so that data loss can be minimized. The second header is UFA\_Terminal\_Config and is only sent to MN to provide the necessary inputs for L2 and L3 HOs execution. UFA\_Terminal\_Config definition applies to the cases of mono and multi-interfaced MN. As shown in Table 2, it includes information about UFA\_GW\_T and indicates to MN the interface (Interface\_Name\_Target) to attach to UFA\_GW\_T for the session subject to HO and indicated by the SIP INVITE Call ID. It also indicates to MN whether the current IP address (Del\_IP\_Addr) of its current interface (Interface\_Name\_Source) shall continue to be attached to UFA\_GW\_S. In case of hard HO, Interface\_Name\_Target is equal to Interface\_Name\_Source. UFA\_Terminal\_Config concerns the MN network layer configuration; therefore it is duplicated in all SIP re-INVITE messages related to sessions subject to the same network handover (same UFA\_GW\_T).

Table 1. UFA\_Appli\_Config header

Add_IP_Addr	The new address to be considered by the application for data transmission
Bi-cast=0 or 1	Indicates whether bi-casting shall be performed or not.

Table 2. UFA\_Terminal\_Config header

Interface_Name_Target	The interface to be activated on the MN to handle the session subject to handover. This interface will be attached to UFA_GW_T
UFA_GW_T_MAC_Addr	Used for L2 HO
UFA_GW_T_ESSID	
UFA_GW_T_Channel	
UFA_GW_T_IP_Addr	
UFA_GW_T_Netmask	
Add_IP_Addr	Used for IP HO
Interface_Name_Source	
Del_IP_Addr 0 or value	Specifies whether the current IP address (Del_IP_Addr) on the Interface_Name_Source has to be removed or not. If not it is equal to 0.

In case of many MN ongoing sessions, sending multiple SIP re-INVITE messages may overload the radio interface. An alternative solution would be to include the information (UFA\_Terminal\_Config, UFA\_Appli\_Config, UFA\_GW\_T IP address, and Proposed SDP) related to all sessions subject to handover in a single SIP NOTIFY [14] message, supposing the MN has subscribed to a handover event. However this would require complex treatment in the terminal which has to extract the content of NOTIFY message and send internally a SIP re-INVITE message to the corresponding SIP UA (User Agent). A

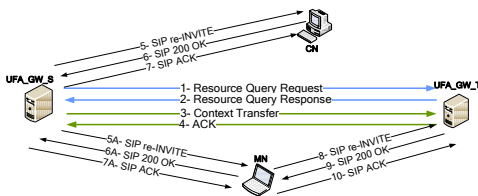


Figure 3. UFA handover procedure

trade-off between radio load and terminal complexity shall be found. In our case, as shown in Figure 3, we choose to use SIP *re-INVITE* messages. When the terminal has many service sessions and in the usecases where *UFA\_Terminal\_Config* indicates that current IP address shall be deleted (for example the case of mono-interfaced terminal performing a hard HO) the application of the content of the first received SIP *re-INVITE* message will delete the current IP address and prevent from the reception of the other SIP *re-INVITE* messages related to the other sessions. To avoid this, we added a third SIP header called *UFA\_Terminal\_Synchronisation* that specifies to the terminal the number of SIP *re-INVITE* messages it has to wait for before executing *UFA\_Terminal\_Config*. To support HO, the terminal implements the architecture shown in Figure 4. An "All-Layer Configuration Module" intercepts SIP *re-INVITE* messages, extracts *UFA\_Terminal\_Config* and *UFA\_Terminal\_Synchronisation* and relays the SIP *re-INVITE* message to SIP UA. It then enforces L2 and L3 HOs according to *UFA\_Terminal\_Synchronisation*.

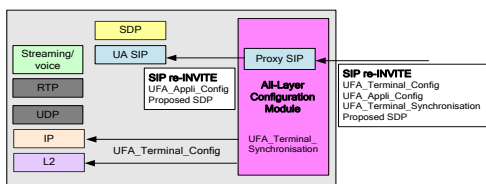


Figure 4. MN architecture

To avoid packet loss during HO execution, *UFA\_GW\_S* can transfer packets received from CN to *UFA\_GW\_T* that buffers them. After HO, the MN sends a SIP *re-INVITE* message (8) to *UFA\_GW\_T*, which activates the MN context and begins data sending.

#### V. UFA PROOF OF CONCEPT AND PERFORMANCE RESULTS

To prove UFA concept and check the applicability of the above mobility procedure, we implemented UFA on a testbed. The testbed is given by the Figure 5. It consists of four computers. A desktop running Fedora Core 7 with kernel version 2.6.23 is used as *UFA\_CN*. Two other desktops running Ubuntu 8.10 with kernel version 2.6.28.2 act as two *UFA\_GWs*, they are equipped with 3com Wireless a/b/g PCi adapters. A laptop running Ubuntu 8.10 with kernel version 2.6.28.2 is the MN, it has a wireless Netgear a/b/g card. The testbed is based on IPv6.

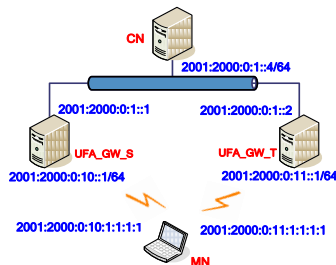


Figure 5. UFA testbed

We implemented the B2BUA, the *UFA\_Terminal\_Config* and *UFA\_Appli\_Config* headers and all UFA HO messages excluding messages 1 and 2. Data transfer between the GWs and data buffering in the *UFA\_GW\_T* during HO are let for a further step of the testbed development. After session establishment, CN sends a streaming voice file to MN. We evaluated UFA performance regarding **messages lengths and HO delays**. The length of messages 5A, 6A, 7A, 5, 6, 7 are respectively 1229, 544, 494, 929, 535, 485 bytes. We considered the hard HO case as it is the most restricting one. The HO delay is measured at the application level (*Appli\_HO\_Delay*), it represents the delay between the last received data (D) packet before HO and the first received data packet after HO, its average value *Appli\_HO\_Delay(UFA)* on the testbed is 100ms. To show the relative gain of our solution, we implemented the classic SIP-based mobility scheme (reference case, (REF)) shown in Figure 6. The measured *Appli\_HO\_Delay(REF)* is 1258 ms in average for a configuration with 50ms-periodic Router Advertisements (RA) [15] and an activated Duplicate Address Detection (DAD) [15]. *Appli\_HO\_Delay(REF)* is 12 times larger than *Appli\_HO\_Delay(UFA)*. Figure 6 and Figure 7 show respectively the HO delay components for (REF) and (UFA) cases. Table 3 defines these components and gives their measured values. *Appli\_HO\_Delay(REF)* includes at least the delay components due to L2 and L3 HO and IP path update signaling. During tests, we observed for (REF) case that MN does not consider the first received RA, which explains the obtained 130 ms for D2 instead of 50 ms maximum.

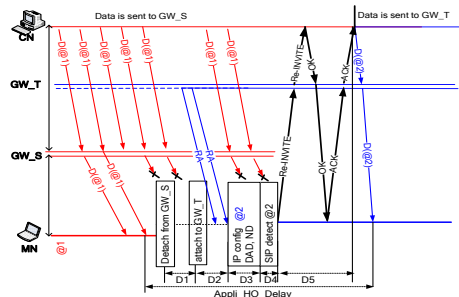


Figure 6. Reference (REF) case HO delays

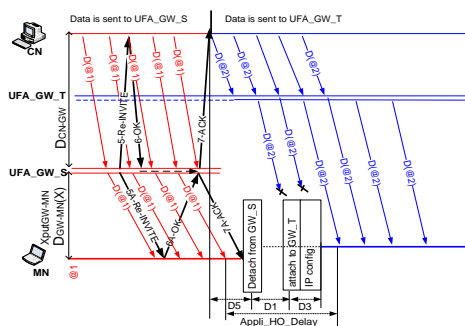


Figure 7. UFA HO delays

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Comparing (UFA) and (REF) cases: D1 is the same for (UFA) and (REF); D2 in (UFA) does not exist since the MN address is proactively determined before HO execution order; D3 in (UFA) is very low given the fact that DAD has been performed proactively by UFA\_GW\_T and L2 and L3 configurations are simultaneously performed; D4 does not exist in (UFA) as SIP signaling is sent by UFA\_GW\_S. D5 is due to SIP signaling delay; in (UFA) it corresponds to the time difference between the reception of message 7 on CN and the reception of message 7A on MN. Despite the very low delay links considered for these measurements, D5 is not null for (REF). This is explained by the fact that when GW\_T receives the OK message from CN towards MN, it needs to perform ND (Neighbor Discovery) [15] towards MN to determine its MAC address. This does not occur in (UFA) for first data received by the UFA\_GW\_T towards MN as we configured the UFA\_GW\_T neighbor table during the HO preparation phase. Note that Appli\_HO\_Delay(REF) and Appli\_HO\_Delay(UFA) are slightly different from (D1+D2+D3+D4+D5) and (D1+D3) respectively as voice packets are not sent continuously but spaced by 20 ms.

Table 3. Appli\_HO\_Delay components

Appli_HO_Delay components	REF	UFA
D <sub>1</sub> : the time necessary for MN to perform L2 HO (ms)	60	60
D <sub>2</sub> : the time necessary to receive Router Advertisement (ms)	130	-
D <sub>3</sub> : the time necessary for MN to be able to use its interface at IP level, it is due to DAD procedure, interface configuration and ND (ms)	1000	20
D <sub>4</sub> : the time necessary for MN to detect IP HO at SIP level and build the corresponding re-INVITE message (ms)	13	-
D <sub>5</sub> : the time necessary to exchange SIP signaling messages (SIP re-INVITE message, SIP OK, SIP ACK) (ms)	41	0

We also measured Appli\_HO\_Delay(UFA) for different network configurations by varying D<sub>CN-GW</sub> and D<sub>GW-MN(X)</sub> parameters. D<sub>CN-GW</sub> is the propagation delay on the CN-GW link. We assumed that packets on this link are only impacted by this delay and not by the link throughput. D<sub>GW-MN(X)</sub> is the transmission delay of a packet X over the MN-GW wireless link. It is due to the throughput X<sub>putGW-MN</sub> of this link. D<sub>CN-GW</sub> and X<sub>putGW-MN</sub> are varied on the testbed thanks to Linux *tc qdisc* commands. According to Figure 7, the content of SIP re-INVITE messages are considered by CN and MN only after the reception of message 7 (ACK) and 7A (ACK) respectively. When (D<sub>GW-MN</sub>(5A+6A+7A) >> 3\*D<sub>CN-GW</sub>), the CN may begin data transmission towards Add\_IP\_Addr very earlier than the MN attachment to UFA\_GW\_T causing thus a considerable data loss. To optimize the solution performance, UFA\_GW\_S does not send ACK message (7) to CN before the reception of OK message (6A) from MN (see Figure 7), this reduces the time difference between message 7 and 7A reception on CN and MN to |D<sub>GW-MN</sub>(7A) - D<sub>CN-GW</sub>|. When (D<sub>GW-MN</sub>(5A+6A+7A) << 3\*D<sub>CN-GW</sub>), to avoid losing the connectivity with MN in hard HO situation, UFA\_GW\_S does

not delay the sending of message 7A to MN until the reception of message 6 from CN. Given these assumptions, Appli\_HO\_Delay(UFA) can be calculated as follows:

```

if (2*DCN-GW < DGW-MN(5A+6A)) then
if (DCN-GW > DGW-MN(7A))
Delay = max((D1+D3), (2*DCN-GW + DGW-MN(D) - DGW-MN(7A)))
if (DCN-GW < DGW-MN(7A))
Delay = max((D1+D3) + DGW-MN(7A) - DCN-GW, (DGW-MN(7A) + DCN-GW))
Else if (2*DCN-GW > DGW-MN(5A+6A))
Delay = max((D1+D3), (4*DCN-GW + DGW-MN(D) - DGW-MN(5A+6A+7A)))

```

Figure 8 shows Appli\_HO\_Delay(UFA) measured on the testbed for different radio bitrates (X<sub>putGW-MN</sub>). The obtained values are consistent with the analytical values calculated with the above equations as shown also on the same figure. We observe that Appli\_HO\_Delay(UFA) with high-delay links remains inferior to Appli\_HO\_Delay(REF) with 0 ms-delay links. The irregularity of the obtained curves in (UFA) is due to the asynchronous consideration of messages 7 and 7A on CN and MN sides which occur when D<sub>GW-MN</sub>(X) is very different from on D<sub>CN-GW</sub>. For D<sub>CN-GW</sub> = 10 ms and for a high radio bitrate, D<sub>GW-MN</sub>(X) is small and inferior to D<sub>CN-GW</sub> which gives a minimal Appli\_HO\_Delay(UFA) close to (D1+D3).

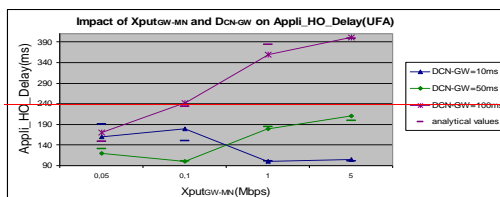
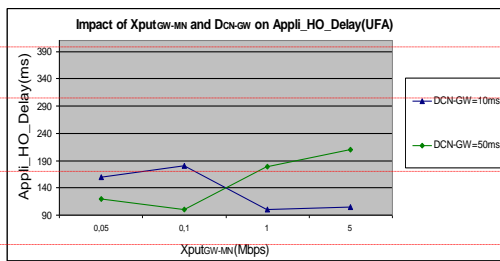


Figure 8. Appli\_HO\_Delay(UFA) measured on the testbed

## VI. CONCLUSION

UFA is a new mobile network architecture specified with the goal of reducing scalability issues expected for IMS and current mobile networks in case of a high number of users consuming high bitrate services. The main principle of UFA is to distribute IMS and mobile network functions into the base stations. UFA establishment and mobility procedures integrate QoS and are network-controlled allowing an optimal use of network resources. UFA implementation on a testbed has

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enabled the validation of its concept and allowed to measure the HO delay for different network delays. The minimum HO value is 100 ms for hard HO. This shows that UFA respects the requirements of the most restricting applications. Next work will also concern the interest of UFA and SIP use for non-SIP based applications.

#### NOTICE

UFA is being studied in P1857 Eurescom project and interests France Telecom, Portugal Telecom, Mobile Innovation Centre Hungary.

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