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1 **Evidence for arrested succession in a liana-infested Amazonian forest**

2

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23

24 * Correspondence author. E-mail:blaise.tymen@gmail.com **Running headline:** Liana-infested
25 forests as an arrested succession stage

26 **Summary**

27 **1.** Empirical evidence and modelling both suggest that global changes may lead to an increased
28 dominance of lianas, and thus to an increased prevalence of liana-infested forest formations in
29 tropical forests. The implications for tropical forest structure and the carbon cycle remain poorly
30 understood.

31 **2.** We studied the ecological processes underpinning the structure and dynamics of a liana-infested
32 forest in French Guiana, using a combination of long-term surveys (tree, liana, seedling and
33 litterfall), soil chemical analyses and remote sensing approaches (LiDAR and Landsat).

34 **3.** At stand scale and for adult-trees, the liana-infested forest had higher growth, recruitment, and
35 mortality rates than the neighbouring high-canopy forest. Both total seedling density and tree
36 seedling recruitment were lower in the liana-infested forest. Stand scale above-ground biomass of
37 the liana-infested forest was 58% lower than in the high-canopy forest.

38 **4.** Above-ground net primary productivity (ANPP) was comparable in the liana-infested and high-
39 canopy forests. However, due to more abundant leaf production, the relative contribution of fast
40 turnover carbon pools to ANPP was larger in the liana-infested forest and the carbon residence time
41 was half that of the high-canopy forest.

42 **5.** Although soils of the liana-infested forest were richer in nutrients, soil elemental ratios suggest
43 that liana-infested forest and high-canopy forest soils both derive from the same geological
44 substrate. The higher nutrient concentration in the liana-infested forest may therefore be the result
45 of a release of nutrients from vegetation after a forest blow down.

46 **6.** Using small-footprint LiDAR campaigns, we show that the overall extent of the liana-infested
47 forest has remained stable from 2007 to 2012 but about 10% of the forest area changed in forest
48 cover type. Landsat optical imagery confirms the liana-infested forest presence in the landscape for
49 at least 25 years.

50 **7. Synthesis:** Because persistently high rates of liana infestation are maintained by the fast dynamics
51 of the liana-infested forest, liana-infested forests here appear to be the result of an arrested tropical
52 forest succession. If the prevalence of such arrested succession forests were to increase in the
53 future, this would have important implications for the carbon sink potential of Amazonian forests.

54

55 **Key words:** Above-ground productivity; Biomass; Carbon turnover; Determinants of plant
56 community diversity and structure; Forest dynamics; Forest structure; French Guiana; Remote
57 sensing.

58

59 **Introduction**

60 Lianas are an ecologically important plant functional group in tropical forests. They constitute less
61 than 10% of the forest above-ground biomass (Putz 1984a; DeWalt & Chave 2004), but represent a
62 significant share of the plant taxonomic diversity (Gentry 1988; Schnitzer et al. 2012) and they also
63 play an important role in tropical forest ecosystem functioning (Wright et al. 2004; Schnitzer,
64 Bongers & Wright 2011). For instance, they represent up to 40% of leaf net primary productivity in
65 some forests (Putz 1983). Lianas may be favoured competitively by the increase in atmospheric
66 CO₂ concentration (Granados & Körner 2002; Phillips et al. 2002; Wright et al. 2004), by long-
67 term increases in tree dynamics (Phillips & Gentry 1994), and by higher potential
68 evapotranspiration rates associated with longer and warmer dry seasons (Schnitzer 2005; Heijden &
69 Phillips 2008; Schnitzer & Bongers 2011). Understanding the function of lianas in tropical
70 rainforests is therefore an important challenge in community ecology and ecosystem science
71 (Schnitzer & Bongers 2002; van der Heijden & Phillips 2009; Schnitzer et al. 2014).

72 Some forest areas are currently dominated by lianas (henceforth ‘liana-infested forests’)
73 both in Central Africa and Amazonia (Caballé 1978; Pérez-Salicrup 2001). Lianas abundance

74 increases with forest disturbance (Schnitzer & Bongers 2011; Dalling et al. 2012). They respond to
75 light availability faster than trees, and find more support for growth in secondary forests (Putz
76 1984a; Letcher & Chazdon 2012). In some large liana-infested treefall gaps, lianas have been
77 shown to suppress the regeneration of trees (Schnitzer & Carson 2010), to the extent that liana-
78 infested forests have been interpreted as arrested stages of ecological succession after past
79 disturbance (Schnitzer et al. 2000; Foster, Townsend & Zganjar 2008). Alternatively, liana-infested
80 forests could result from some localized difference in the natural environment, notably since lianas
81 occur more frequently on more fertile soils (Schnitzer & Bongers 2002 but see Dalling et al. 2012).

82 However, these two scenarios are not exclusive. If a forest blow-down has occurred recently,
83 large amounts of nutrients previously held in the living biomass should be released to the topsoil.
84 Thus, soil nutrient content of a disturbed area may differ from that of an undisturbed forest on the
85 same geological substrate. Unravelling causal factors in the establishment of a liana-infested forest
86 is therefore a challenging task.

87 In the present study, we seek to identify the ecological mechanisms underpinning the origin
88 and the maintenance of liana-infested forests. To do so, we combine data on a liana-infested forest
89 patch of about 20ha from repeated field censuses of seedlings, long-term monitoring of trees and
90 lianas, extensive soil chemical analyses, litterfall surveys, and repeated airborne LiDAR coverage
91 and Landsat data. Because of competition, we expect a negative correlation between liana
92 infestation and tree growth and survival (Clark & Clark 1990; van der Heijden & Phillips 2009;
93 Ingwell et al. 2010). Litterfall rate is expected to be greater in the liana-infested forest than in the
94 high-canopy forest because lianas allocate proportionally more resources to leaves (van der Sande
95 et al. 2013). Also, we expect that liana-infested forests to be more fertile than the neighboring high-
96 canopy forests. However, we propose to test whether these differences in soil fertility are caused by
97 the underlying substrate or by disturbance history. Finally, we expect that the spatial extension of
98 the liana-infested forest has remained stable over the past years if it was an arrested stage of
99 succession.

100 **Material and Methods**

101 **STUDY SITE**

102 The study site is a 136-ha area within the old-growth tropical moist forest of the Nouragues
103 Ecological Research Station, in central French Guiana, located ca. 100 km south of Cayenne
104 (Latitude: 4° 04' 27.986" N, Longitude: 52° 40' 45.107" W). The area is part of the Nouragues
105 Natural Reserve, within a zone delineated for scientific research. The terrain is gently rolling with
106 small hills and with an elevation ranging between 50 and 175 m asl. Annual rainfall is typical of
107 equatorial evergreen tropical forests with 2861 mm year⁻¹ (1992-2012 average) with a two months
108 dry season (precipitation below 100 mm month⁻¹) in September and October. High-canopy forest,
109 liana-infested forest and bamboo thickets are the three main vegetation types in the study area
110 (Réjou-Méchain et al. under revision). The Nouragues forest shows no obvious evidence of recent
111 anthropogenic disturbances. The presence of an Amerindian tribe consisting of less than 1000
112 people, called 'Nouragues' was noted in the region by maps of the 17th century, and most notably
113 by the writing of two priests of the Company of Jesus, Jean Grillet and François-Jean Béchamel
114 (Béchamel 1682; Coudreau 1893). Human presence is also attested by the discovery of artefacts
115 found in the vicinity of the scientific camp (Bongers et al. 2001). However, the study area is remote
116 from a major river tributary (15 km as the crow flies from the Approuague river), and neither the
117 soil nor the topography are particularly suitable for slash-and-burn agriculture. The Nouragues
118 Amerindians had departed the area and moved further south by the mid-18th century. Subsequent
119 scattered human presence occurred during the 19th and 20th centuries (gold rushes, latex harvesting
120 of the 'balata' tree, *Manilkara* spp.) but these were limited in scale and concerned mostly the more
121 accessible areas surrounding major river tributaries. For instance, *Manilkara* trees show no evidence
122 of past exploitation in or nearby our study area.

123 **FOREST STRUCTURE AND DYNAMICS**

124 The liana-infested forest is spatially localized and characterized by a high density of small lianas
125 and a large number of leaning and slanting trees. It is also characterized by a lower canopy height.
126 To compare the dynamics of the high-canopy forest and the liana-infested forest we relied on three
127 datasets collected in the field: (i) long-term inventory for trees and lianas ≥ 10 cm in diameter at
128 breast height (DBH); (ii) long-term inventory of seedlings ≤ 1 cm in diameter; (iii) quantification of
129 litterfall using permanent collecting traps, regularly emptied and dried.

130 A large permanent sample plot of 10ha (1000 x 100 m²) was established in 1993 to study the
131 transition from high-canopy forest to the liana-infested forest (Chave, Riéra & Dubois 2001; Chave
132 et al. 2008). All trees and lianas ≥ 10 cm DBH were measured and mapped. The plot was re-
133 censused for trees and lianas in 2000, then again in 2008 and 2012, following the RAINFOR
134 protocol (Phillips et al. 2010). During the 2008 census, plot limits were corrected, and all points of
135 measurement (POM) were marked with a paint line, allowing a more accurate measurement of tree
136 growth between 2008 and 2012. The diameter of stilt-rooted or buttressed trees was measured 50
137 cm above the last root or buttress. Trees and lianas were individually tagged. In 1992 and 2000,
138 liana diameters were measured at 130 cm above-ground. In 2008 and 2012, they were measured at
139 four points following the recommendations of Schnitzer, DeWalt & Chave (2006): (1) the largest
140 point on the stem, devoid of such stem abnormalities as large growths, knots, fissures, or wounds;
141 (2) 20 cm along the stem from the last substantial root; (3) 130 cm from the last substantial root;
142 and (4) 130 cm above-ground (DBH). Data are available from the ForestPlots.net online database
143 (<http://www.forestplots.net/> - accessed 13 June 2013; Lopez-Gonzalez et al. 2011). For each liana
144 with DBH ≥ 10 cm, hereafter referred to as large lianas, the host tree was recorded and referred to
145 as an infested tree (possibly several trees were the hosts of one liana). We note that trees were
146 frequently infested by smaller lianas, so our estimate of infested trees is conservative.

147 To address potential underestimation of liana infestation, a quantification of the liana leaves
148 in tree crowns was performed during the 2012 field campaign through the use of the crown
149 occupation index (COI) (Clark & Clark 1990). This index ranks trees from 0 to 4 according to the

150 infestation rate of their crown: (0) no lianas leaves in the crown, (1) 1–25%, (2) 26–50%, (3) 51–
 151 75%, and (4) >75% of the tree crown covered by liana leaves (Appendix S1). This index of liana
 152 infestation has been shown to be accurate and repeatable at individual and plot levels (van der
 153 Heijden et al. 2010).

154 Annual trunk diameter growth rate for census i (g_i in cm year^{-1}) was computed for each tree
 155 from the DBH measurements assuming a constant growth during the census interval. Population
 156 demographic parameters were computed in $25 \times 25\text{-m}^2$ subplots. Mortality rate (M_i in year^{-1}) was
 157 calculated as proposed by Sheil & May (1996): $M_i = -\frac{1}{\Delta t} \ln\left(\frac{N_i - N_{ri}}{N_{i-1}}\right)$ with N_i being the number of
 158 trees in the census i , Δt the time interval between the two censuses, and N_{ri} the number of recruits
 159 between censuses $i-1$ and i . Likewise, annual recruitment (K_i in tree per hectare) was computed
 160 using the following equation (Sheil & May 1996): $K_i = M_i N_{ri} / A(1 - e^{-M_i \Delta t})$ with N_{ri} the number
 161 of recruits at time t_i , A the plot area (in ha), and M the mortality rate. To facilitate comparison
 162 among forest types that differed in stem density, we reported annual recruitment in $\% \text{ year}^{-1}$ (see
 163 Table 1).

164 Tree and liana seedlings were monitored in 250 plots of $1 \times 1 \text{m}^2$ established in cluster of 2-3
 165 plots in 100 regularly spaced locations (Fig. 1c, Norden et al. 2007, 2009). Each seedling plot was
 166 censused at least four times between 2004 and 2013 except three of them which were removed from
 167 the analyses because they experienced treefall or other problems. During each census, recruits were
 168 counted, identified whenever possible and measured. Overall, 19.5 % of the seedlings were
 169 identified as lianas and 58.2 % as trees. The rest could not be identified with confidence. Mortality
 170 and recruitment rates of seedlings were large and therefore we didn't make the assumptions made
 171 for trees and estimated these rates from empirical data as follows. Seedling mortality rate (m_i in
 172 year^{-1}) at census i was quantified as: $\ln(1 - m_i) = \frac{1}{\Delta t} \ln\left(\frac{N_i - N_{ri}}{N_{i-1}}\right)$ and seedling recruitment (in m^{-2}
 173 year^{-1}) as $k_i = \frac{m_i N_{ri}}{A - A(1 - m_i)^{\Delta t}}$, with N_{ri} being again the number of recruits at time t_i and $\Delta t = t_i - t_{i-1}$ in
 174 years. We then averaged the rates to obtain a 9-year average at each location (2 to 3 plots per

175 location). These analyses were performed for tree and liana separately and also for all seedlings
176 together (including undetermined).

177 Litterfall was collected from 100 0.5-m² litter traps at the seedling plots locations (Fig. 1.c,
178 Chave et al. 2008, 2010). From February 2001 to February 2003, these traps were collected twice
179 monthly, their content separated into leaves, twigs, flowers and fruits, and the fractions oven-dried
180 and weighed. We contrasted total litterfall and its fractions (leaf, twigs, and reproductive organs)
181 between the high-canopy forest and the liana-infested forest.

182 Tree above-ground biomass (AGB) was estimated using a pantropical biomass equation (eq.
183 4 in Chave et al. 2014) combined with locally-adjusted height-diameter models (Réjou-Méchain et
184 al. under revision). Liana AGB was computed using the allometric equations from Schnitzer et al.
185 (2006) using the diameter measured at 130 cm from the last substantial root when available (2008
186 and 2012 censuses) or the diameter measured at 130 cm above-ground (1992 and 200 censuses).
187 Above-ground net primary productivity (ANPP) was computed as the AGB stock increment
188 induced by recruitment and growth of trees with DBH \geq 10 cm plus total litterfall production. Other
189 ANPP components, although they may be important (Clark et al. 2001) were not considered in the
190 present study. Residence time of carbon in the above-ground vegetation was computed as the ratio
191 AGB/ANPP.

192 AIRBORNE DATA ACQUISITION, PROCESSING, AND ANALYSES

193 Two airborne LiDAR acquisitions were conducted in 2007 and 2012 by a private contractor
194 (<http://www.altoa.fr/>, for more details see Appendix S4). LiDAR datasets consisted of a cloud of
195 laser echoes originating from ground and vegetation. Ground points were identified using the
196 TerraScan (TerraSolid, Helsinki) ‘Ground’ routine’. Based on this dataset, we constructed a 1-m
197 resolution elevation model using the “GridSurfaceCreate” procedure implemented in FUSION
198 (McGaughey 2009).

199 For both 2007 and 2012 cloud point datasets, 1-m and 5-m canopy models were built after
200 outlier extraction, using the “CanopyModel” procedure implemented in FUSION. This procedure
201 subtracts the elevation model from the height of each return and then uses the highest return value
202 to compute the canopy surface model. A 3x3 cell median filter was applied to smooth the surface
203 and avoid local unrealistic maxima

204 In our study zone, large areas of known liana-infested forest formations had a canopy height
205 typically ranging between 10 and 20 m, while surrounding forests had a significantly taller canopy
206 (25 to 35 m). Thus, we used the LiDAR canopy model to identify all pixels having a top-of-canopy
207 height comprised between 10 and 20 m and connected to the known liana-infested forests patches.
208 These low-canopy pixels were considered as liana-infested. A 5-m buffer around the liana-infested
209 forest class was also assigned to the liana-infested forest class, and pixels entirely surrounded by
210 liana-infested forest were included in it. In a second step, this forest classification was validated
211 using ground truthing and aerial photographs and was found to be highly accurate (Fig. 1; Appendix
212 S1). Next, we defined a 50-m transition zone surrounding the liana-infested zone (Fig. 1); this zone
213 was removed from the analyses because we assumed it to be influenced by both forest types.
214 Finally, we removed the area covered by a 1-ha bamboo thicket and a 30-m buffer zone around it
215 (total of 2.7ha, Fig. 1). Aerial photographs of the study site were taken in 2008 (Fig. 1). They were
216 used to qualitatively check the accuracy of our delineation of the liana zone and bamboo thickets.

217 LiDAR-derived variables were assessed within a 50x50-m² grid based on the 1-m resolution
218 LiDAR canopy model. Gaps were defined as areas where canopy elevation was lower than 5 m, a
219 convention similar to that in Hubbell et al. (1999). We did not define any minimal area for such
220 gaps; tree-fall gaps, branch-fall gaps and other openings in the canopy are thus included in our
221 definition of gap. From the canopy height distribution, mean and coefficient of variation (standard
222 deviation divided by the mean) were computed within each 50x50-m² grid cell. Grids cells were
223 assigned to the different vegetation types (liana-infested forest, transition zone, high-canopy forest)
224 when at least 50% of the grid area contained the vegetation.

225 SOIL CHEMICAL ANALYSES

226 We first tested if the soil of the liana-infested forest was more fertile than the high-canopy forest
227 soil. To do so, we used two different datasets. The first dataset was collected as part of a previous
228 project aiming to assess the influence of environment on seedling dynamics (Norden et al. 2007,
229 2009). A total of 100 soil samples were collected in the study area and analyzed (Fig. 1.c). Of these,
230 eight soil samples came from the liana-infested forest and 62 from the high-canopy forest; 30
231 samples from the transition zone were removed from the analysis. Topsoil (0-10 cm depth) was
232 filtered in a 2-mm mesh sieve after removing litter and was then acid-digested. Total concentrations
233 of major elements were measured by inductively coupled plasma optical emission spectroscopy
234 (ICP-OES). In addition, concentrations of carbon and nitrogen were measured by a CHN elemental
235 analyzer (NA 2100 Protein, CE Instruments®) and soil pH was measured in a standard solution
236 made up of one volume of soil diluted in three volumes of water (Norden et al. 2009). The second
237 dataset was collected in 2011 and included seven soil samples from the liana-infested forest and 21
238 from the high-canopy forest; 12 samples from the transition zone were removed from the analysis
239 (JWD and BLT, unpublished results). Exchangeable cations were measured, and metals were
240 extracted in 0.1 M BaCl₂ solution in a 1:30 soil to solution ratio for 2 hours. Detection was
241 performed by inductively-coupled plasma optical-emission spectrometry on an Optima 7300 DV
242 (Perkin-Elmer Ltd, Shelton, CT; Hendershot, Lalonde & Duquette 1993; Schwertfeger &
243 Hendershot 2009). Total exchangeable bases (TEB) were calculated as the sum of the
244 concentrations of Ca, K, Mg and Na; effective cation exchange capacity (ECEC) was calculated as
245 the sum of Al, Ca, Mg, Mn, H and Na. Base saturation (BS, %), a measure of soil cation fertility
246 was calculated as: $BS = \frac{100 \times ECEC}{TEB}$. Exchangeable phosphorus concentration was measured by
247 adsorption on anion-exchange resins (Turner & Romero 2009).

248 For both datasets, a principal component analysis (PCA) was performed on concentration
249 values (mg kg^{-1} of soil). Sample scores on the PCA axes were compared between high-canopy
250 forest and liana-infested forest soils.

251 We then tested whether the occurrence of the liana-infested forest is related to the nature of
252 the bedrock. We analyzed the concentration of a range of rare elements in the soil that are tracers of
253 substrate heterogeneity at the site. Geochemical tracers are now commonly used to distinguish the
254 nature of rocks, the origin of sediments or sedimentary recycling processes (McLennan et al. 1993;
255 Lahtinen 2000) because they are sensitive to chemical reactions over geological timescales
256 (McLennan et al. 1993). Ratios of some of these tracers are useful to explore substrate homogeneity
257 since they are conserved between the source (rock) and the weathered product (soil). We collected
258 surface soil samples with an auger at six sites, two within the liana forest, and four in the high-
259 canopy forest (Fig. 1). To measure the elemental concentrations, all samples were digested by acid
260 attack in Teflon Savillex® vessels. We also digested the samples by alkali fusion to check that all
261 refractory minerals have been dissolved during the acid attack digestion. In both procedures, a GA
262 standard (granite, CRPG-CNRS Nancy, France) was included in the analyses for control. Trace
263 elements were analyzed on an ICP-MS (7500 ce, Agilent Technologies). After calibration, the
264 certified reference materials (SLRS-5, NRCC, Canada and ION-915, Environment Canada),
265 together with the GA standard, were analyzed to assess the validity and the reproducibility of the
266 procedure. We then calculated the chemical ratios at all six sites (see Appendix S2 for further
267 information). Ratios analyzed here are: Cr/Th, Cr/V, Zr/Y and Eu/Eu^* , with $\text{Eu}^* = \frac{\text{Eu}}{\text{Eu}_{ref}} \times \frac{\text{Sm}_{ref}}{\text{Sm}} \times$

268 $\sqrt{\frac{\text{Tb}_{ref}}{\text{Tb}}}$ (McLennan et al. 1993).

269 LANDSAT DATA PROCESSING AND ANALYSES

270 Cloud-free 30-m resolution Landsat Thematic Mapper (TM) data were rare in the study area. We
271 found three high-quality images, acquired on 18 July 1988, 24 July 1990 and 8 October 2006. Geo-

272 referencing of the Landsat images was adjusted using the LiDAR data in Qgis 2.2-Valmiera.
273 Radiometric corrections were applied to the 1990 and 1988 data relatively to the 2006 data using
274 the histogram matching algorithm implemented in the ‘landsat’ R package.

275 We characterized the pixels of Landsat images using the tasseled-cap indices (Crist &
276 Cicone 1984). These indices are commonly used because they are scene-invariant and summarize
277 vegetation characteristics: brightness, greenness and wetness and were used in a previous study on
278 liana-infested patches (Foster et al. 2008). Before any classification, a low-pass filter was applied
279 on all three Landsat images to reduce their variance following Hill (1999). Pixels of the modified
280 2006 Landsat image were classified as belonging to the liana-infested forest or not using the area
281 identified with the 2007 LiDAR dataset (see above). A Principal Component Analysis (PCA) was
282 then performed on the tasseled-cap indices values of the 2006 image (Fig. S3). To allow direct
283 comparisons between images, the PCA scores of the pixels from the 1988 and 1990 images were
284 calculated using the scalar product associated to the row weightings of the PCA performed on the
285 2006 image (function ‘suprow’ in ade4 R package). A hierarchical classification following Ward’s
286 method was performed based on the pixel’s scores of the two first PCA axes. Classes of this
287 unsupervised method were mapped and compared to the liana-infested forest defined based on
288 LiDAR data.

289 All statistical analyses were performed with the R statistical software v3.1.1 (R Core Team
290 2012). Raster manipulation, spatial analyses, and hierarchical clustering were performed using the
291 ‘raster’, ‘maptools’, ‘sp’, ‘fields’ and ‘ade4’ packages in R.

292

293 **Results**

294 **IMPACT OF LIANA INFESTATION ON FOREST STAND STRUCTURE AND DYNAMICS**

295 We first compared the stand structure parameters (Table 1). We found no significant difference in
296 tree stem density within and outside of the liana-infested forest (Wilcoxon test, $P=0.78$); however,

297 mean stand-level basal area of trees was 50% lower and above-ground biomass (AGB) stock 58%
298 lower in the liana-infested forest than in the high-canopy forest (Fig. S2, Table 1). Liana AGB was
299 slightly higher in the liana-infested forest. The liana-infested forest canopy was also more irregular
300 with five times more canopy gaps (Fig. 2).

301 Next, we contrasted stand dynamics parameters (Table 1). Both recruitment and mortality
302 rates of trees >10 cm DBH were more than twice as high in the liana-infested forest as outside of it.
303 Over the 1992-2012 period, the liana-infested forest lost biomass carbon with its AGB stock
304 dropping from $185 \pm 21 \text{ Mg}\cdot\text{ha}^{-1}$ in 1992 to $163 \pm 22 \text{ Mg}\cdot\text{ha}^{-1}$ in 2012. Conversely, during the same
305 period, the high-canopy forest gained biomass carbon with its AGB stock increasing from $386 \pm$
306 $21\text{Mg}\cdot\text{ha}^{-1}$ in 1992 to $438 \pm 29 \text{ Mg}\cdot\text{ha}^{-1}$ in 2012 (Fig. S7). In contrast, the increase in liana AGB was
307 1.5 times higher in the liana-infested forest than in the surrounding forest (Table 1).

308 Litterfall production was higher in the liana-infested forest than in the high-canopy forest
309 (Table 1). The difference was due to leaf fall, which represented about 70% of the litterfall, while
310 fruit and flower falls were higher in the high-canopy forest. However, above-ground net primary
311 productivity (ANPP) was comparable between the liana-infested forest and the high-canopy forest.
312 Owing to a lower AGB stock, the estimated residence time of carbon (i.e. the ratio of AGB to
313 ANPP) in the liana-infested forest was therefore half that of the high-canopy forest.

314 Seedling mortality was consistently higher in the liana forest than in the high-canopy forest
315 without detectable difference between lianas and trees (Table 2). However, unlike adult tree
316 recruitment, tree seedling recruitment was lower in the liana forest while liana-seedling recruitment
317 was similar in both forest types. Of the identified seedling recruits, 45% were lianas in the liana-
318 infested forest versus 25% in the surrounding high-canopy forest.

319 SOIL CHEMISTRY IN THE LIANA-INFESTED FOREST

320 Soil chemistry differed substantially between the liana-infested and high-canopy forest (Table 3).
321 For total nutrients extracted from surface soils, liana-infested forest samples had significantly

322 different PCA scores than sample from the high-canopy forest (Wilcoxon test, $p=0.001$ for the two
323 first axes, Fig. S5). In the liana-infested area, surface soil was richer in macro-elements (C, Ca, K,
324 Mg, and N) and had a higher pH than in the high-canopy area (Table 3). In addition, soil samples
325 from the liana-infested forest had different scores than the high-canopy forest on the first axis of the
326 PCA (Wilcoxon test, $p=0.036$, Table 3, and Fig. S6). Base saturation was higher in the liana-
327 infested forest than in the high-canopy forest (Wilcoxon test, $p=0.027$, Table 3).

328 According to trace elements ratios, the underlying substrate of the Nouragues forest was
329 typical of comparable substrates of the Guiana Shield (Fig. 3 and Appendix S3, Table S1). The
330 samples from the liana-infested forest did not differ from those collected in the surrounding high-
331 canopy forest (Fig. 3 & S4). These results suggest that the soils within and outside of the liana-
332 infested forest most likely derive from a similar lithology.

333 CHANGES IN THE SPATIAL FOOTPRINT OF THE LIANA-INFESTED FOREST

334 Between 2007 and 2012, the liana-infested area declined by 3.4%, from 23.6 ha to 22.8 ha. In total,
335 21.1 ha (89.5%) remained in the liana-infested forest class while 2.5 ha (10.5%) was grown over by
336 tall trees, and 1.7 ha was recruited as new liana-infested forest (7.5% of the 2012 liana-infested
337 forest). Losses of liana-infested areas were due to trees outgrowing the height threshold, while gains
338 were due to additional tree falls in the liana-infested transition area.

339 The unsupervised segmentation of the Landsat 2006 image produced a forest classification
340 where a single class showed a good agreement with the liana-infested area as delineated by the 2007
341 LiDAR dataset (Fig. 4 & S3). The liana-infested forest was characterized by higher greenness and
342 brightness indices and by a lower wetness index based on tasseled-cap indices (Fig. S3). Over 68%
343 of the liana-infested forest was classified as liana-infested forest type either in the 1988 or in the
344 1990 Landsat scenes. Our analysis indicates a fair degree of stability of the liana-infested forest for
345 at least 25 years (Fig. 4).

346

347 **Discussion**

348 Long-term forest monitoring allowed a detailed analysis of the structure, dynamics, and above-
349 ground net production of a naturally liana-infested tropical formation. At stand level, liana
350 infestation was associated with faster forest dynamics, both in terms of demography and of carbon
351 turnover. Soils in the liana-infested forest were nutrient-poor by Amazonian standards but they were
352 richer in nutrients than high-canopy forest soils. However, the underlying substrates were not
353 detectably different in their chemical composition. Finally, the extent of the liana-infested area was
354 found to be fairly stable over at least the past 25 years. Overall, these results suggest that the liana-
355 infested forest is in an arrested stage of ecological succession. Below, we discuss evidence for this
356 claim and its implications.

357 **INFLUENCE OF LIANAS ON FOREST DYNAMICS**

358 We found that lianas have a profound impact on the stand-level dynamics of the liana-infested
359 forest. They induced a faster tree dynamics than in the surrounding high-canopy forest. This was
360 probably due to a greater proportion of fast-growing, high-mortality tree species, which are better at
361 shedding and avoiding lianas (Putz 1984b; Schnitzer & Bongers 2002). Thus, direct competition
362 between trees and lianas probably had a strong influence in favouring the high-turnover dynamics.
363 Because of high tree mortality rate, we also observed more frequent gap openings which is expected
364 to favour liana maintenance and establishment (Dalling et al. 2012; Ledo & Schnitzer 2014).

365 The liana-infested forest stored 2.4 times less AGB than in the high-canopy forest, a figure
366 comparable to that described by Schnitzer et al (2014) who compared liana-infested and liana-free
367 tree gaps. However, ANPP in the liana-infested forest was similar to that of the surrounding high-
368 canopy forest, in spite of the very different structure and biomass. Also, litterfall production was
369 slightly higher in the liana-infested than in the surrounding high-canopy forest and was greater than
370 typical values for both secondary and old-growth forests (Chave et al. 2010). This was presumably
371 due to a greater allocation of resources to leaves in lianas than in trees. As a result, carbon residence

372 time in the liana-infested forest was half that of the high-canopy forest. At global scales, carbon
373 residence time is strongly controlled by climate (Carvalhais et al. 2014). Yet our findings
374 demonstrate that at landscape scale the biological composition and structure of forests is a strong
375 determinant of carbon residence times in agreement with Malhi et al. (2004).

376 We were able to study the differential regeneration of trees and lianas in the liana-infested
377 forest, and we found a relative advantage of liana over tree seedlings. This suggests that liana
378 regeneration is promoted in the liana-infested forest environment, either through higher seed
379 availability or more suitable habitat for germination and seedling establishment. In contrast, tree
380 seedling recruitment was lower than liana seedling recruitment in the liana-infested forest (Table 2).
381 This may either be due to lower seed arrival rates, lower seed germination, or to early seedling
382 mortality (i.e. occurring between germination and the census date). In liana-infested tree-fall gaps,
383 Schnitzer & Carson (2010) showed a high seedling recruitment limitation for shade-tolerant tree
384 species but not for pioneer species. We expect the same effect in the Nouragues liana-infested forest
385 and predict that liana infestation should enhance the recruitment of pioneer tree species thus
386 maintaining high forest turnover (Schnitzer & Bongers 2002). However, we were unable to assess
387 this prediction quantitatively, because too few seedlings were identified to species in our dataset.

388 We also found that total seedling mortality was higher in the liana-infested forest.
389 Consistently, Norden et al. (2007) found lower seedling survival in sites with higher light
390 availability and higher soil fertility, some conditions met in liana-infested forest as shown in our
391 study. They interpreted this pattern as the consequence of a more intense competition in resource-
392 rich environments. However, higher seedling mortality in the liana-infested forest could also result
393 from the higher leaf litterfall because leaf litter cover seedling and hence lowers their emergence
394 and increase their mortality (Guzman-Grajales & Walker 1991; Dalling & Hubbell 2002)

395 THE LIANA-INFESTED FOREST AS AN ARRESTED STAGE OF SUCCESSION

396 A most remarkable feature at Nouragues is that the liana-infested forest appears to be an arrested
397 stage of ecological succession. LiDAR surveys revealed that the liana-infested forest was spatially
398 stable during a five-year interval, with no notable net gain or loss over the surrounding high-canopy
399 forest. This result was extended by an analysis of long-term Landsat series, starting in 1988, and by
400 previous observations by Sabatier & Prévost who mentioned the presence of a liana-infested forest
401 with similar location in 1987 and suggested considering this formation as ‘homeostatic’ (Sabatier &
402 Prévost 1990). The well-documented spatial stability of the liana-infested forest at Nouragues now
403 provides solid evidence that such forest formations can persist for decades with no apparent
404 evidence of transition towards a different structural state.

405 One possible explanation for the apparent stability of the liana-dominated forest could be the
406 association of lianas with more fertile soils as found in Asian tropical forests (reviewed in Schnitzer
407 & Bongers 2002). We confirmed that soil in the liana-infested forest was more fertile with higher
408 base saturation and phosphorus than the surrounding forest (Sollins 1998; Phillips et al. 2003;
409 Tuomisto et al. 2003). Because the liana-infested forest has lower AGB, and therefore less nutrient
410 quantities stored in live organs, the amount of nutrients available in the soil would be higher if the
411 soil had the same characteristics. Higher base saturation of the soil in the liana-infested forest than
412 in the high-canopy forest would then be due to differences in forest structure rather than differences
413 in rock weathering.

414 To disentangle the relative role of rock substrate and above-ground versus below-ground
415 nutrient storage, we used geological tracers that are typical of substrate type but are not strongly
416 affected by the biochemical cycling over ecological time scales. While there are differences in
417 chemical element contents, tracer ratios, supposed to be more stable through pedogenesis, did not
418 reveal striking differences in the lithology from which the soils derive through weathering (Fig. 3 &
419 Supplementary Information 2). The similar bedrock chemical composition within and without the
420 liana-infested forest shown by tracer analyses suggest that geology is not a causal factor for liana
421 dominance in the study zone.

422 Overall, our results support the hypothesis of a release of nutrients into the soil due to a past
423 disturbance and maintained by the fast vegetation turnover of the liana-infested forest. Such
424 disturbance may have been either natural (i.e., convectional storm) or human-mediated (i.e., human
425 settlement). The size of the area, its location, and previous knowledge on human settlements in this
426 area all suggest that a human cause is less likely. Surveys found no human artefacts (pottery or
427 charcoal) in the liana-infested forest (B. Hérault, M. van der Bel, S. Barthe, unpublished results). A
428 few years ago, a blow-down of a similar size caused by a micro-tornado was discovered a few km
429 north of the study area (C. Bienaimé, P. Gaucher, pers. comm.). A similar event may have also
430 triggered the establishment of our liana-infested forest. After the completion of this manuscript it
431 has come to our attention that a study conducted in the Imataca Forest Reserve in Eastern
432 Venezuela has proposed the same hypothesis for the origin of a local liana-infested forest (Lozada
433 et al. 2015).

434 Our analysis focuses on a single patch of liana forest, and therefore lacks replication. It
435 would be useful to compare the dynamics of the liana-infested forest as reported in this study with
436 that of other liana-dominated formations of similar sizes in other parts of the Amazon. However we
437 suspect that many of our findings are likely to extend beyond our study site. In particular, as
438 discussed above, we suspect that the ability of lianas to significantly slow down ecological
439 succession will hold at other sites. Alternative pathways of treefall gap regeneration caused by liana
440 infestation have already been detected at Barro Colorado Island in Panama. Schnitzer et al. (2000)
441 and Schnitzer & Carson (2010) have shown that liana density was positively correlated with pioneer
442 tree density and that canopy height remained low for over 13 years in those gaps. Foster et al.
443 (2008) also showed that liana-infested forests demonstrated that liana-infested patches did not
444 recover even after 14 years, and may therefore be considered as an arrested succession in the Noel
445 Kempff Mercado National Park in eastern Bolivia. Also, it is likely that nutrient-rich soils in liana
446 formations generally are a consequence of pre-liana disturbance rather than be associated to
447 geomorphology. Finally, we believe the disturbance event that resulted in lianas overtaking this area

448 was not directly related to human occupation. It seems that other liana-dominated forests are of non-
449 anthropogenic origin in the Amazon, but human-induced disturbances are expected to favour lianas
450 infestation (Schnitzer & Bongers 2011). It would be important to better document the extent and
451 origins of liana-infested forests at a regional scale. We conclude by discussing the possible
452 implications of liana dominance at regional scale.

453 REGIONAL-SCALE IMPLICATIONS OF LIANA DOMINANCE

454 Our results confirm that liana infestation limits the net carbon sequestration capacity of
455 tropical forests (Phillips et al. 2002; van der Heijden et al. 2013; Schnitzer et al. 2014). Lianas have
456 already been found to have increased over recent decades in dominance even in undisturbed
457 Neotropical forests, possibly due to climate or atmospheric changes (Phillips et al. 2002; Laurance
458 et al. 2014). Lianas may also be expected to benefit in coming decades, if tree mortality rates
459 continue to rise (Brienen et al. 2015), and/or if disturbances at regional scale become more frequent
460 because of warming, leading potentially to more frequent extreme events (Davidson et al. 2012).
461 These phenomena could favour the emergence of larger areas of liana-infested forest. Importantly,
462 the transition rate from high-canopy to liana-dominated forests has been understudied, since it is
463 difficult to monitor vast expanses of tropical forest as the appropriate spatial resolution (only one
464 study from Foster et al. 2008). Larger-scale airborne LiDAR surveys combined with the
465 development of new satellite Earth observation technologies may radically transform our vision for
466 this problem. We would be able to provide a much finer-grained detail of the canopy structure and
467 dynamics and potentially directly detect the influence of liana infestation.

468 Currently, evidence for forest regeneration following deforestation suggests that tropical
469 forests rapidly accumulate carbon during the early stages of regeneration (Brown & Lugo 1990).
470 Typically, for forests growing in the conditions met in our study site, a recovery of 85% of the
471 carbon stock contained in the initial old-growth forest is expected in about 80 year thanks to a
472 carbon accumulation rate close to $5 \text{ Mg}\cdot\text{ha}^{-1}\cdot\text{year}^{-1}$ (Bonner et al. 2013). By contrast, in the liana-

473 infested forest we studied, carbon stock remained stable at c.a. 40% of the high-canopy forest
474 carbon stock over the past 20 years (Fig.S7). The finding that tropical forests may turn into low-
475 AGB forests for decades is a cautionary tale for carbon cycle modellers because it could have a
476 dramatic impact on the carbon storage ability of these forests in the future as it was already pointed
477 out by van der Heijden (2013).

478

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493

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680 SUPPORTING INFORMATION

681

682 **Appendix S1:** Quantification of liana infestation in tree crowns

683 **Appendix S2:** Impact of liana infestation on individual tree growth

684 **Appendix S3:** Soil analyses

685 **Appendix S4:** Airborne LiDAR data acquisition processing, and analyses

686 **Figure S1:** Crown occupation index (COI).

687 **Figure S2:** Size class distribution of trees in 2012.

688 **Figure S3:** Principal Component Analysis (PCA) performed on the tasseled-cap indices of the 2006

689 Landsat image.

690 **Figure S4:** PCA of the chemical tracers in rocks of the Guiana Shield and in the available soil

691 sample.

692 **Figure S5:** Total soil composition below the different forest types.

693 **Figure S6:** Available elements composition of the soil below the different forest types.

694 **Figure S7:** Changes of above-ground biomass stocks in the study area between 1992 and 2012.

695 **Table S1:** Chemical composition in trace elements of the fraction of soil sample < 2 mm.

696 **Tables**

697 **Table 1: Demography, AGB stock and dynamics across forest types.** Canopy metrics were
698 computed from a 1-m resolution LiDAR canopy model in 50x50m² subplots, 332 of which were in
699 high-canopy forest and 93 in liana-infested forest. Tree demography was inferred from 25x25 m²
700 subplots, 87 in high-canopy forest and 17 in liana-infested forest. Litterfall was measured from 100
701 litterfall traps of 0.5 m², 62 in high-canopy forest and eight in the liana-infested forest. Average
702 values ± standard error are reported. Pairwise comparisons between high-canopy forest and liana-
703 infested forest are reported throughout the table (two-sided Wilcoxon test adjusted by Bonferroni
704 correction, - $p > 0.05$, * $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq 0.001$).

705 **Table 2: Comparison of seedling dynamics across forest types.** Average values ± standard errors
706 are provided. Tree and liana seedlings were monitored in 247 plots of 1x1m² at 1.5m of the litterfall
707 traps (see Table 1). Results are also reported for all seedlings including undetermined (total).
708 Pairwise comparisons between high-canopy forest and liana-infested forest are reported throughout
709 the table (two-sided Wilcoxon test adjusted by Bonferroni correction, - $p > 0.05$, * $p \leq 0.05$, **
710 $p \leq 0.01$, *** $p \leq 0.001$). Average values ± standard error are reported.

711 **Table 3: Comparison of soil characteristics across forest types.** Results of a complete digestion
712 of the soil (Total soil content) and a chemical extraction (Soil exchangeable elements) analyses are
713 presented here. All results are reported in ppm unless specified otherwise. Pairwise tests between
714 high-canopy forest and liana-infested forest were performed only on PCA axis and Base saturation
715 values (two-sided Wilcoxon test adjusted by Bonferroni correction, - $p > 0.05$, * $p \leq 0.05$, ***
716 $p \leq 0.001$).

Variable	High-canopy forest	Liana-infested forest	Significance
Structural variables			
Mean tree density (ha ⁻¹)	486±5	482±10	-
Mean basal area (m ² ha ⁻¹)	29.4±0.6	17.6±0.7	***
Top canopy height (m)	30.4±0.2	16.5±0.28	***
Mean canopy height (m)	31.3±0.5	18.6±0.8	***
CV of canopy height (m)	0.29±0	0.5±0	***
Tree trunk AGB stock (Mg ha ⁻¹)	414±13	172±10	***
Liana AGB stock (Mg ha ⁻¹)	2.89±0.45	2.93±0.66	*
New gaps in 2012 from 2007 (m ² ha ⁻¹)	140±11.6	500±48	***
Demographics (in % year⁻¹)			
Canopy height change	-0.42±0.1	-0.28±0.16	-
Tree diameter growth rate	0.79±0.01	0.93±0.01	-
Tree mortality rate	1.51±0.09	3.03±0.36	***
Tree recruitment rate	1.4±0.1	2.93±0.37	***
AGB dynamics (in Mg ha⁻¹ year⁻¹)			
Mortality-induced loss of ABG	4.95±0.69	5.48±0.52	-
Recruitment-induced AGB gain	0.34±0.03	0.77±0.06	**
Growth-induced AGB gain	7.28±0.31	3.77±0.14	**
Net tree AGB change	2.66±0.81	-0.94±0.56	*
Net liana AGB change	0.12±0.08	0.17±0.09	*
Leaf litterfall	6.2±1.6	7.5±1.3	*
Flower litterfall	0.2±0.33	0.14±0.21	-
Fruit litterfall	0.52±0.46	0.12±0.07	***
Twigs litterfall	2±1.3	2.56±1.66	-
Total litterfall	8.9±2.29	10.4±2.27	-
Net primary productivity	16.5	14.9	
Carbon residence time (year)	25.2	11.7	

719 **Table 2**

Seedling variables	High-canopy forest	Liana-infested forest	Significance
Seedling density (m ⁻²)	17.3±0.7	7.8±0.7	***
Proportion of lianas (%)	23±1	25±5	-
Seedling mortality (% year ⁻¹)			
Trees	12.9±0.7	13.6±2.3	-
Lianas	12.4±1.2	13.9±3.7	-
Total	19.3±0.7	26.7±3.1	*
Seedling recruitment (year ⁻¹)			
Trees	1.75±0.2	0.71±0.11	***
Lianas	0.85±0.15	0.95±0.47	-
Total	3.54±0.25	2.07±0.28	**

720

	High canopy forest	Liana infested forest	Difference
Total soil composition (ppm)			
Al	38.9±0.64	35.48±1.1	
Ca	0.09±0.01	0.24±0.03	
K	0.09±0.01	0.1±0.04	
Fe	46.5±0.68	52.94±2.29	
Mg	0.14±0	0.17±0.02	
Na	-0.05±0	0±0.01	
N	0.29±0.01	0.34±0.03	
C	3.48±0.13	3.5±0.36	
pH	4.83±0.04	5.33±0.11	
PCA axis 1	-0.5±0.18	0.66±0.75	*
PCA axis 2	-0.4±0.15	1.42±0.43	***
Soil exchangeable elements(ppm)			
P	0.3±0.05	0.37±0.1	
Fe	0.41±0.12	0.24±0.16	
Al	108±11.3	58±21.3	
Ca	179±28	322±79.7	
K	27.31±9.85	31.43±15.52	
Mg	45.64±5.73	88.73±20.16	
Mn	11.32±1.41	14.05±2.66	
Na	5.43±0.98	4.71±1.77	
pH	4.28±0.03	4.41±0.1	
TEB (cmolc kg ⁻¹)	1.36±0.19	2.44±0.53	
ECEC (cmolc kg ⁻¹)	2.66±0.17	3.2±0.37	
Base saturation (%)	0.71±0.04	0.89±0.08	*
PCA axis 1	0.84±0.33	-1.16±1.02	*
PCA axis 2	-0.09±0.35	0.07±0.63	-

723 **Figure captions**

724 **Figure 1: Map of the study area.** Panel a: LiDAR-derived canopy height model for a 2000-ha area
725 of the Nouragues Ecological Research Station; panel b: enhancement of panel a, zooming in the
726 focal study area of 136 ha; panel c: aerial photography of the focal study area. Thick dashed line:
727 zone of interest, thin dashed line: permanent plots, thin solid line: liana forest, and transition zone
728 (thick solid line) in 2007. In white: zone removed from analyses (bamboo thicket). Black triangles
729 in panel b show the site where soil was collected for trace element analysis (panel b). Circles in
730 panel c indicate places where soil was collected for complete digestion (red) or partial digestion
731 (yellow). Red points also indicate positions of litterfall traps and seedling monitoring plots. Black
732 rectangle on panel c shows the position of the transect shown as an example in figure 2.

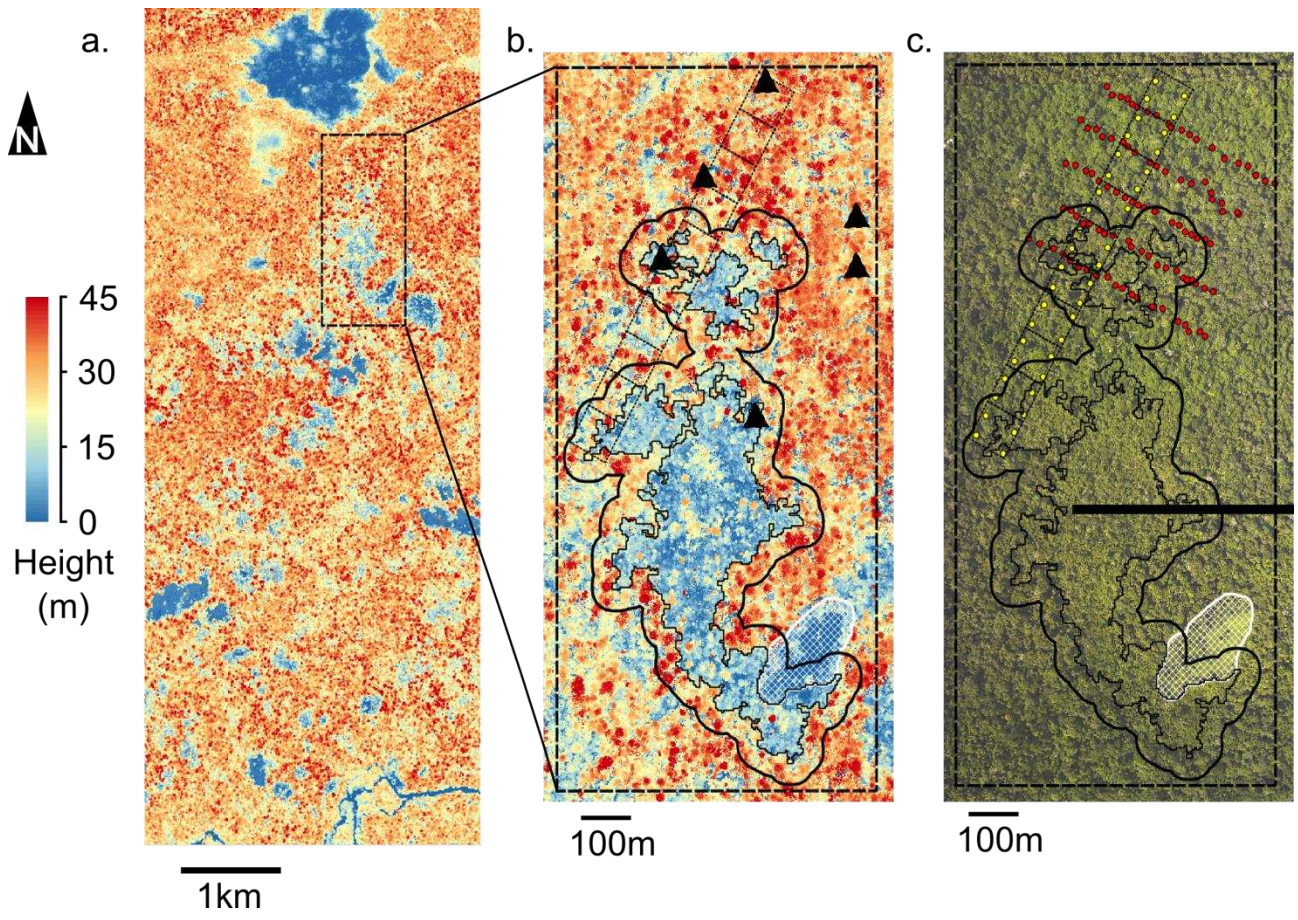
733 **Figure 2: Transition from liana-infested to high-canopy forest.** Panels a and b: photography of
734 the liana-infested forest and of the high forest taken from the top of the neighbouring granitic
735 outcrop (inselberg). Panels c and d: Three dimension view of the canopy model (top of canopy
736 height) of two 64x64 m² areas in (c) and out (d) of the liana-infested forest. Panel e: Height of the
737 canopy model in a 20x500 m² transect. Two dashed lines are drawn at the median canopy top height
738 in each formation (16.5 and 30.4 m). Canopy gaps are defined by a top canopy height below 5m
739 (dotted lines). Position of the transect is shown Fig.1.

740 **Figure 3: Ratios of trace elements.** Averages were calculated for liana-infested and high-canopy
741 forest soil samples. Values were divided by the average value for all measured points (value on the
742 left for each graphics) giving the relative plotted values. These ratios were compared to literature
743 values for rocks present in French Guiana (open symbols ; (Vanderhaeghe et al. 1998)). Values on
744 the left side of each graphics are averages for all soil samples.

745 **Figure 4: Spatial dynamics of the liana-infested forest.** Landsat-derived maps of vegetation,
746 obtained by a unsupervised hierarchical classification (see Methods). The class 4 can be
747 conservatively identified to the liana-infested forest (88% accuracy, 72% precision). Solid line
748 represents the extent of the liana-infested forest as defined from the 2007 LiDAR canopy model
749 (with the transition zone shown as a dotted line, see Fig. 1). The bamboo thicket was removed from
750 the classification (area masked in white).

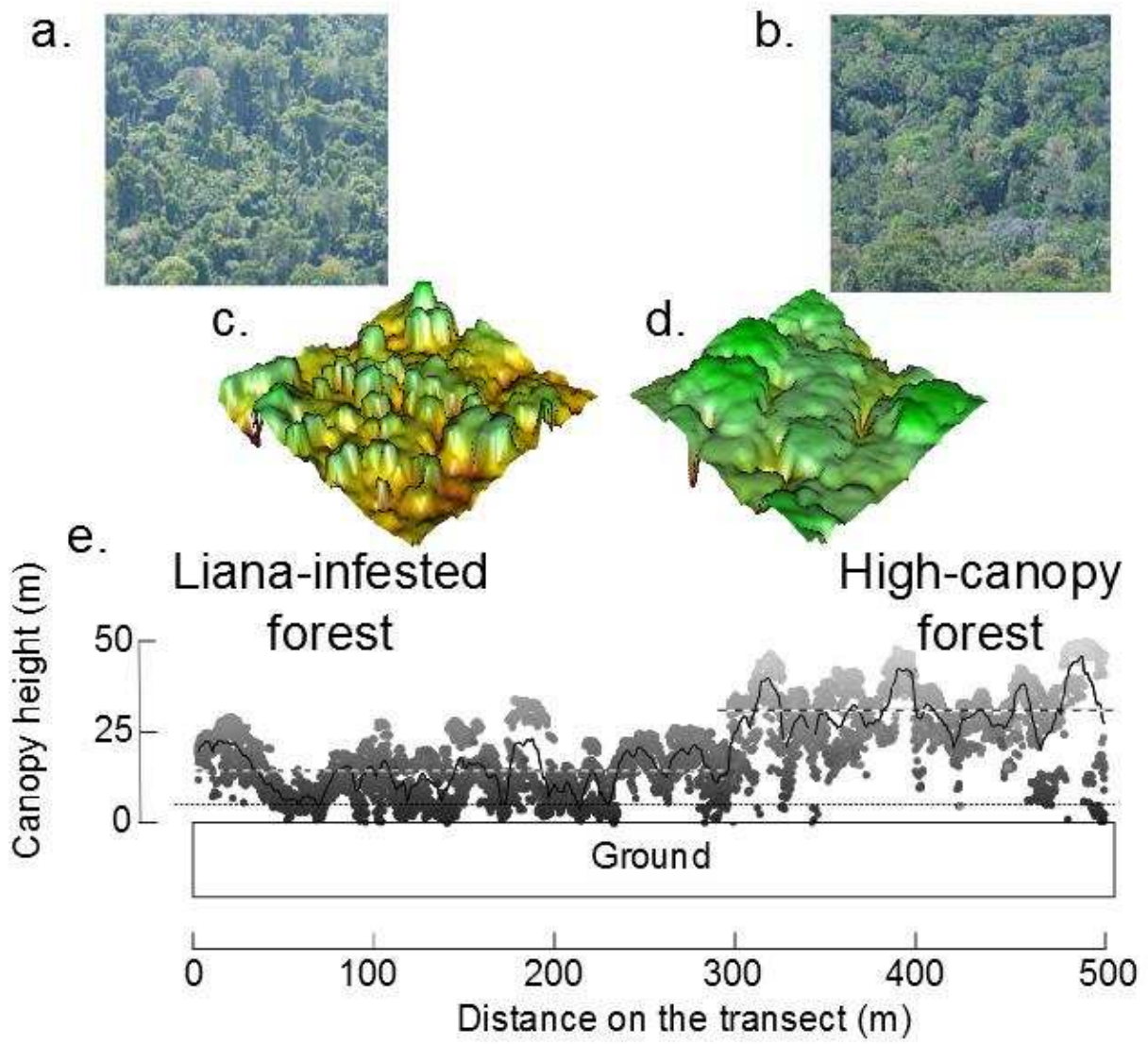
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752 **Figures:**



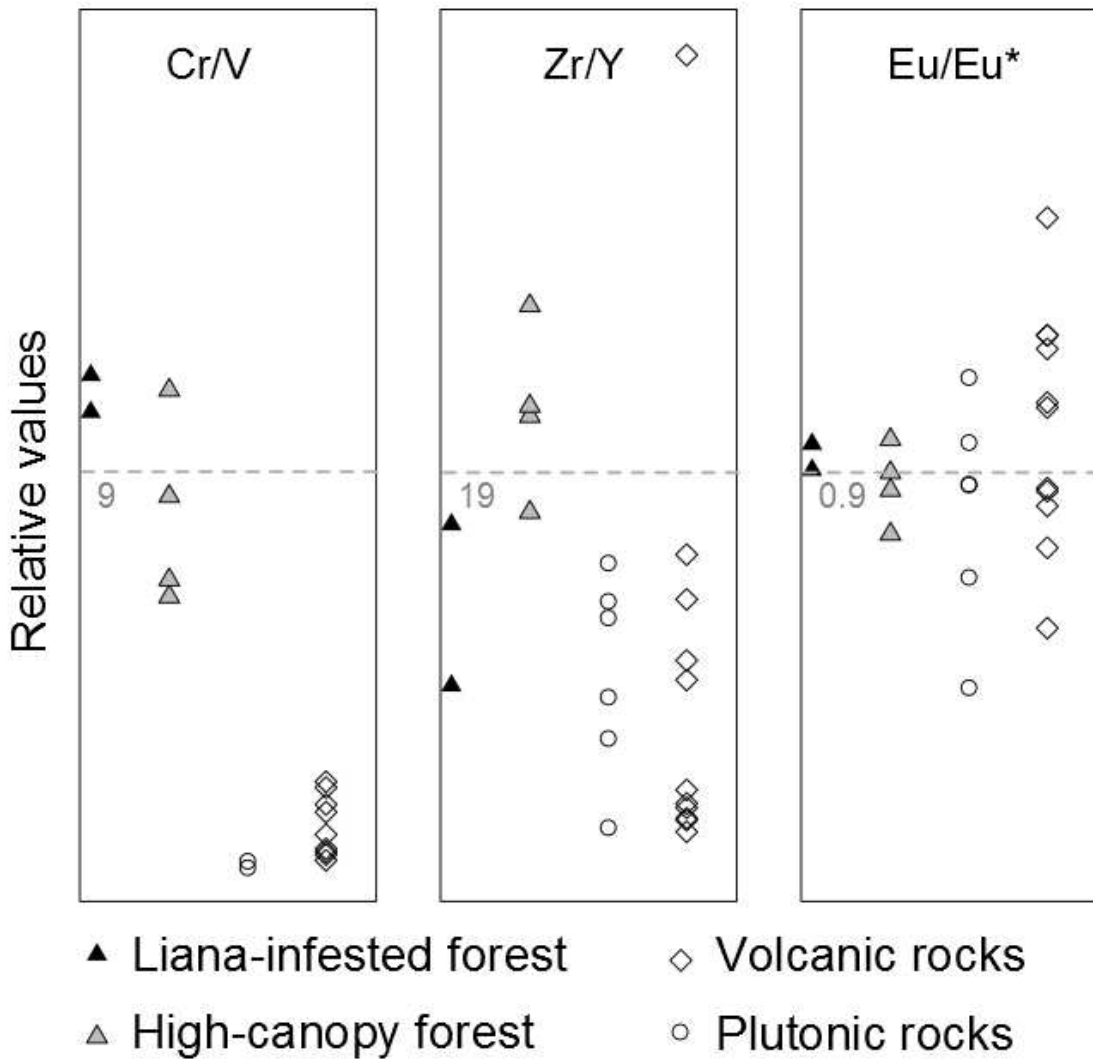
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754 **Figure 1**



755

756 **Figure 2**



758

759 **Figure 3**

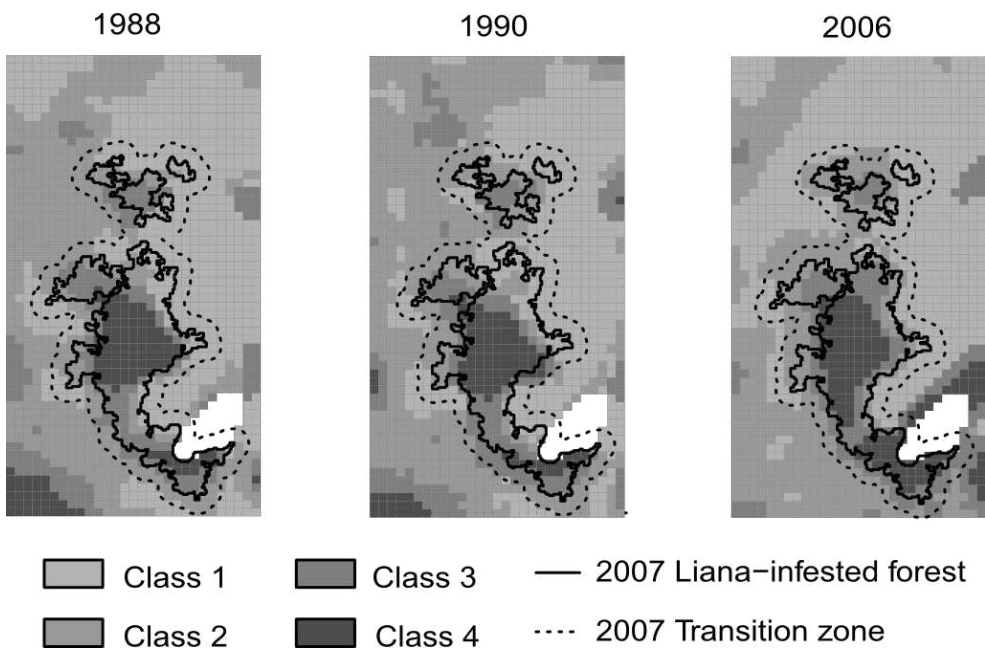


Figure 4

